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## Amplification or suppression: Social networks and the climate change—migration association in rural Mexico

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### Abstract

Increasing rates of climate migration may be of economic and national concern to sending and destination countries. It has been argued that social networks – the ties connecting an origin and destination – may operate as “migration corridors” with the potential to strongly facilitate climate change-related migration. This study investigates whether social networks at the household and community levels amplify or suppress the impact of climate change on international migration from rural Mexico. A novel set of 15 climate change indices was generated based on daily temperature and precipitation data for 214 weather stations across Mexico. Employing geostatistical interpolation techniques, the climate change values were linked to 68 rural municipalities for which sociodemographic data and detailed migration histories were available from the Mexican Migration Project. Multi-level discrete-time event-history models were used to investigate the effect of climate change on international migration between 1986 and 1999. At the household level, the effect of social networks was approximated by comparing the first to the last move, assuming that through the first move a household establishes internal social capital. At the community level, the impact of social capital was explored through interactions with a measure of the proportion of adults with migration experience. The results show that rather than *amplifying*, social capital may *suppress* the sensitivity of migration to climate triggers, suggesting that social networks could facilitate climate change adaptation in place.

### Keywords

International migration; climate change; rural Mexico; social networks; suppression mechanism; amplification mechanism

## 1. Introduction

Climate change is of global concern but has differential local impacts due to variation in exposure, sensitivity, and adaptive capacity across settings (Adger et al., 2005). The latest projections of the Intergovernmental Panel on Climate Change (IPCC) suggest that profound changes in the climatic system during the 21<sup>st</sup> century will likely be felt hardest by households in developing countries that strongly depend on agricultural production (IPCC, 2013, 2014). Households in lower-income contexts more likely lack the means to protect against adverse climate events using technological buffers (Gutmann and Field, 2010; Huq et al., 2003).

Rural Mexican households are particularly vulnerable to climate change. Although not entirely dependent on agricultural production, this livelihood activity contributes up to two-thirds of rural Mexican household income (de Janvry and Sadoulet, 2001). Because of its small, often non-commercial scale, much of Mexico's rural agricultural sector lacks even the most basic technological buffers to climate change. For example, in 2001 only about one quarter of permanently cropped land was irrigated (Carr et al., 2009). Due to the lack of technological buffers, climate and weather events have led to major economic losses within the agricultural sector (Saldana-Zorrilla and Sanberg, 2009). Adding to this, the liberalization of the Mexican economy has increased household sensitivity to changes in climate and market conditions (Eakin, 2005).

Under conditions of climate change-related livelihood insecurity, households engage in various forms of livelihood diversification. Families may reduce nonessential expenditures, adopt new livelihood activities, use formal and informal credit, or draw on public assistance (Gray and Mueller, 2012). Alternatively or in conjunction, families may send a member elsewhere to access alternative income sources, resulting in remittances to the origin household (McLeman and Smit, 2006). While temporary labor migration in the context of climatic vulnerability is often directed toward nearby urban areas, households may also send members abroad. The New Economics of Labor Migration (NELM) theory (Stark and Bloom, 1985) suggests that, in the absence of functioning insurance (e.g., crop insurance) and capital markets (e.g., to obtain credit to buy equipment or install irrigations systems), international destinations may be preferred as their economic and climatic conditions are often less correlated with those in the sending area (Massey et al., 1993).

Despite this advantage, international migration is a costly venture and across much of the Global South climate shocks are generally *not* associated with international but rather with shorter-distance, internal movement (e.g., Henry et al., 2004; Massey et al., 2010). Rural Mexico, however, constitutes an exception to this pattern given the long history of cross-border labor flows to the United States. Consistent with the NELM theory, a number of studies find a direct relationship between precipitation decline and Mexico-U.S. migration (Feng and Oppenheimer, 2012; Hunter et al., 2013; Munshi, 2003; Nawrotzki et al., 2013). On the whole, however, this work finds a highly situated climate-migration relationship, in which associations are only found in particular regional contexts depending on factors such as historical climatic conditions (Nawrotzki et al., 2013), the history of migration (Hunter et al., 2013), and urbanization levels (Feng and Oppenheimer, 2012).

Recently, a number of authors have stressed the importance of social networks connecting origins and destinations for the climate-migration association (Adamo and de Sherbinin, 2011; Bardsley and Hugo, 2010). Such networks may operate as “migration corridors” with the potential to amplify climate-related international migration (Bardsley and Hugo, 2010, p. 249) since, it is argued, even small environmental triggers may spur large-scale migration. However, migrant networks may also come with information and resources that increase *in situ* adaptive capacity and thus could reduce or suppress the climate-migration association. Understanding whether migration-receiving hubs will face increasing or decreasing rates of immigration due to climate change may be of economic and national concern to sending and destination countries.

Social networks are a key facilitator of U.S.-bound migration from rural Mexico and provide aspiring migrants with knowledge about the migration process as well as connections to the destination’s labor markets (Massey and Aysa-Lastra, 2011; Davis et al., 2002; Fussell, 2004; Massey and Riosmena, 2010). Because many communities across rural Mexico have well-established migration corridors (Durand and Massey, 2003; Massey et al., 2002; Hamilton and Villarreal 2011), Mexico presents a suitable case to study the potential of social networks influence on the climate-migration association.

In this paper, we explore the moderating effect of social networks on the climate-migration relationship using climate data with a more refined spatial resolution than much prior work on Mexico-U.S. migration (e.g., Hunter et al., 2013; Nawrotzki et al., 2013). In addition to rainfall effects, we also examine the influence of temperature, a factor largely ignored in the Mexican climate-migration literature despite its significant impact on crop yields (Lobell and Field, 2007) and resulting agricultural income (Mueller et al., 2014). We employ a set of 15 climate change indices proposed by the Expert Team of Climate Change Detection and Indices (ETCCDI) to capture the impact of nuanced differences in temperature and precipitation extremes (Alexander et al., 2006). Making use of these climate change indices, we examine the role of social networks on migration to the U.S. from rural Mexico in response to climate change.

## 2. Data and Methods

### 2.1 Data

We combined detailed migration histories and sociodemographic data from the Mexican Migration Project (MMP) with daily temperature and precipitation data obtained from the Global Historical Climate Network-Daily (GHCN-D) (version number: 2.93-upd-2012082407), compiled and made publically available by the National Oceanic and Atmospheric Administration (NOAA). The MMP has collected data across different Mexican locales since 1982 (Massey, 1987a). Each year, 4–6 communities are purposefully selected throughout Mexico and a simple random sample of about 200 households per community is interviewed (once and only once). The MMP provides detailed retrospective information on the first and last U.S. migration of all *de jure* and *de facto* household members (i.e., including head and spouse, even if they are in the United States, as long as they are still considered members of the household), plus all children of the head regardless of place of residence at the time of the survey. While the detailed information comes at the

cost of representativeness, studies have demonstrated that the MMP accurately reflects the characteristics and migration behavior of international migrants in Mexico (Massey and Capoferro, 2004; Massey and Zenteno, 2000). Moreover, the MMP samples are representative of the (rural) communities surveyed and, as such, represent a unique dataset to understand migration community processes (Riosmena, 2009). Due to these features, the MMP data have been used for a large number of migration research projects (e.g., Fussell and Massey, 2004; Hunter et al., 2013; Massey and Espinosa, 1997; Massey et al., 2015; Riosmena, 2009).

To construct the climate change indices we chose the GHCN-D data set because it provides the necessary daily readings of temperature and precipitation for an extended time period (for this project, 1961 to 1998). The GHCN-D data undergoes rigorous quality checks (Menne et al., 2012) and has been used in prior published work exploring changes in the climatic system (e.g., Alexander et al., 2006; Caesar et al., 2006).

## 2.2 Unit of Analysis

We conduct the analysis at the household level given that, in the Mexican context and consistent with the NELM theory, migration is a livelihood decision reached at the household level, rather than by a single individual (Cohen, 2004; Massey et al, 1993). Climate change indices were constructed at the municipality level ( $n = 68$ ) and linked to rural MMP communities for which household-level migration data were available. We defined rural communities as those located in towns (2,500 – 10,000 inhabitants) or *ranchos* (< 2,500 inhabitants). Figure 1 shows the location of the rural MMP municipalities as well as the weather stations for which daily temperature and precipitation data were available.

The impact of climate change on migration was investigated for the period 1986–99. Data limitations precluded analysis of more recent years. The number of weather stations available through GHCN-D, drops from an average of  $n=182$  during 1961–98 to  $n=15$  for more recent years, due to the historical focus of this data source. We did not include migration events before 1986 given the large impacts of the Immigration Reform and Control Act (IRCA) on Mexico-U.S. migration dynamics (LoBreglio, 2004).

## 2.3 Variable Construction

**2.3.1 Outcome Variables**—The MMP defines migration as a move that involves a change in usual residence, excluding short visits for vacation, shopping, visits, and commuting (Fussell, 2004). For this study we were interested in two types of moves – the first and last move from within a household. We distinguish between them given the well-established notion that the “determinants” of migration vary considerably for those with and without prior migration experience (e.g., Massey and Espinosa, 1997). After the first move, a household has established more direct connections to the destination and these networks, as well as the first-hand knowledge about the migration process itself, may impact the strength with which external factors, such as climate change, influence the timing of a move.

The outcome variable was thus coded 0 for non-migrant households and 1 if a household had sent an international migrant to the U.S. For the first move, we obtained all years in

which a household reported a first international move of any household member and selected the initial year a migrant was sent to the U.S. We also obtained the years in which household members engaged in the last move to the U.S. (after the first move had been completed) and selected the latest year within the household. The migration information was then used to construct a household-period data file that contains an entry for each year during the study period (1986–99) in which the household was at risk for migration. Households at risk for a first move are those that have not sent any member to the U.S. prior to the observation window. Households at risk for a consecutive move are those that have not sent a member to the U.S. for the second, third, etc. time but may have experienced a first move prior to the observation window. Households enter the dataset in 1986 when the household was formed (approximated by the current union formation) and the household head was at least 15 years old. Households leave the dataset when a household member migrates to the U.S. after 1986, the head turns 65 years old, the household is censored at data collection, or when the final year of the study period is reached in 1999. In addition household members may engage in domestic moves in and out of the study communities. We only expose households to the risk of migration during years when at least one core household member (household head or spouse) were present in the community. Our sample does not include members of entire households that emigrated abroad prior to the survey year. While this omission could bias our estimates, the amount of error is likely to be small in rural areas, where international migrants are more likely to return (Cornelius 1992; Riosmena 2004). The hazard of migration is shown in Figure 2.

Migration is often responsive to changes in border policies as well as economic conditions. The elevated levels of migration during 1988–90 and 1994–96 may reflect the impact of two major economic crises during these periods (Lustig, 1990; McKenzie, 2006). In addition, the SAW (Special Agriculture Worker) and RAW (Replenishment Agricultural Workers) sub-components of IRCA led to increased migration in the late 80s (Martin, 1990).

**2.3.2 Primary Predictor Variables**—The Expert Team on Climate Change Detection and Indices (ETCCDI), sponsored by the World Meteorological Organization and the United Nations, led an international effort to formulate a suite of 27 core indices with the goal to standardize the use of climate change measures to allow global comparisons across countries and study periods (Peterson and Manton, 2008). The ETCCDI climate change indices were developed for the Intergovernmental Panel on Climate Change (IPCC)’s Third Assessment Report (Peterson et al., 2001) and have been widely used for climatological research (e.g., Alexander et al., 2006; Bindoff et al., 2013) but have not been employed to explore the climate change-migration association. Most likely this omission is the result of a lack of interaction between the climate and demographic research communities (e.g., Hunter and O’Neill, 2014) as well as difficulties in constructing those measures, which requires some understanding of spatial statistics. The climate change indices can be broadly grouped into high temperature, low temperature, high precipitation, and low precipitation indices. Some of the indices are highly correlated and only differ in threshold levels. Since it was the goal of this research to assess the impact of substantively different types of climate change, we selected climate change indices so that the correlation within a subgroup remained below  $r = 0.70$ , resulting in a set of 15 climate change indices (Table 1).

Constructing the climate change indices was a four-step approach involving missing data imputation, index computation, spatial interpolation, and computation of relative change measures. Within the GHCN-D data set, about 20% of the daily recorders were missing, either because the readings failed the quality checks (cf., Menne et al., 2012) or were not recorded (e.g., instrument errors). To impute the missing records, we used Multiple Imputation (MI) (Allison, 2002; Rubin, 1987), a state-of-the-art imputation method that accounts for the uncertainty in the imputation process by explicitly adding random variation to the estimates over several replications of the process (Little and Rubin, 2002; Honaker and King, 2010). We implemented this procedure using the R package *Amelia* (Honaker et al., 2011), which allows for the imputation of time-series data. In this case, we included a second order polynomial for time in the imputation model to account for seasonal changes in climatic conditions.

The imputed data set served as input for the computation of climate change indices, using the R package *climdex.pcic* managed and released by the Pacific Climate Impacts Consortium (Bronaugh, 2014). The climate change indices are based on daily temperature and precipitation data but are constructed as annual aggregates for each weather station. Because weather stations do not necessarily fall in the municipalities for which the migration data is available, we employed cokriging, implemented in a geographical information system (ArcGIS), as a method of spatial interpolation to estimate climate change index values at unknown locations. Cokriging, based on regionalized variable theory (Matheron, 1971), uses the spatial trend and the local spatial autocorrelation to inform the predictions (Hevesi et al., 1992; Bolstad, 2012). Cokriging allows for the inclusion of covariates, and we included information from a digital elevation model (DEM) in the cokriging model because of the correlation between altitude, temperature and precipitation. The DEM is based on remotely sensed images from the Shuttle Radar Topography Mission (SRTM, 30 arc-seconds resolution) created and released by the U.S. Geological Survey (USGS) and the National Geospatial-Intelligence Agency (NGA) (Danielson and Gesch, 2011). Due to its desirable properties, cokriging is frequently used to interpolate climate variables and indices (e.g., Aznar et al., 2013; Garzon-Machado et al., 2014). We compared our cokriging results with a gridded layer of the ETCCDI climate change indices of coarse resolution available through the HadEX2 data set (Donat et al., 2013). In addition, we performed a bootstrap procedure to compute error values for a subset of 10% of the weather stations that were randomly omitted from the interpolation. These tests confirmed that the interpolation produce reliable results. Following the cokriging procedure, climate change values were extracted from the interpolated surface using a  $700 \times 700$  m lattice of points, and the mean value was assigned to the respective MMP municipalities.

In a final step, we computed relative change measures by computing the difference between the climate change index value for a three-year window, leading up to each of the years in the study period and a 30-year reference period (1961–90). To make the climate change indices comparable, we divided the difference scores by the standard deviation measured for each municipality during the reference period. We chose a three-year window to reduce the influence of short-term climatic fluctuations and to capture lagged climate responses in line

with prior research (Henry et al., 2004; Hunter et al., 2013). Table 2 displays summary statistics for the climate change indices.

The trajectories of the temperature measures are largely in line with warming trends projected by the IPCC (2013). For example, the warm spell duration index during 1985 shows a mean value that is 0.21 standard deviation units below the 30-year reference period; this duration increased over the years with a mean value that was 2.09 standard deviation units above the long term average in 1992 and reached a peak value of 6.32 in 1998. However, similar to other studies of historical climate patterns (Klein Tank et al., 2006), no clear trend could be discerned for precipitation measures.

**2.3.3 Control Variables**—Since we conceptualize migration as a livelihood strategy, we classify control variables according to livelihood capitals (financial, physical, social, human, and natural) as suggested by the sustainable livelihood framework (Carney et al., 1999; Scoones, 1999). The control variables capture various sociodemographic characteristics at the household and municipality level that may influence the decision to migrate. Variables were included as both time varying and time constant in the models and were derived from various sources (Table 3).

To capture differences in access to social capital we included a dummy variable indicating whether the household head was female (1=yes) as well as the marital status of the household head (1=married). In order to account for the influence of human capital, we included a measure of the number of young children (age < 5 years) present within a household during each period, as well as measures of the household head's education level (number of years of schooling), work experience (cumulative number of years employed), and the occupational status (blue collar, white collar, unemployed/not in labor force). Household-level physical capital was measured by property and business ownership (1=owner). At the community level, social capital was measured as the percentage of adults with U.S. migration experience, known in the migration literature as the migration prevalence ratio (Fussell, 2004). To capture municipality-level wealth and the overall development stage, we constructed a standardized wealth index (Cronbach's alpha: 0.85) that combines 11 variables measuring the housing quality as well as service and infrastructure access. The migrant prevalence measure and the wealth index were available in decadal time steps and we used linear interpolation to obtain time-varying predictors as a common approach in event-history analysis (Allison, 1984). A measure of the area planted with corn around the year 2000, derived from the Terra Populus extraction system (Kugler et al., in press; MPC, 2015), allows gauging agricultural dependence, while the percentage of farmland irrigated captures the vulnerability of the local agricultural sector to climatic variations. Measures for the average daily precipitation as well as the average daily temperature during the 30-year baseline period (1961–90) were included in all models to account for different climatic regimes. Finally, a municipality measure of the percentage of the male labor force employed in agriculture helps to assess the impact of employment in climate sensitive sectors.

## 2.4 Estimation Strategy

We employ discrete-time event history models (Allison, 1984; Singer and Willett, 2003; Steele, 2005), which have been frequently used to assess the impact of environmental factors on migration (e.g., Gray and Bilsborrow, 2013; Henry et al., 2004; Hunter et al., 2013; Mueller et al., 2014). However, due to the hierarchical data structure, we employ a multi-level version of the discrete-time event history models (Barber et al., 2000; Steele et al., 1996, 2004). To reduce the possibility of endogeneity, all predictors were lagged by one year (cf., Gray, 2009, 2010). The employed model can be formally described as follows (Equation 1).

$$\text{logit}(h_{ijk}) = \alpha + \beta_1(ci_{ik}) + \sum_{n=2}^y \beta_n(x_{nz}) + u_k \quad (1)$$

Equation 1, allows estimating the hazard that a household  $j$  located in municipality  $k$  experienced a migration event in period  $i$  (separate models are estimated for first and last moves). The parameter  $\alpha$  reflects the baseline hazard and was included as a set of dummy variables to allow for the most flexible representation of time (Singer and Willett, 2003). Although we are unable to fully capture non-linear effects of recall bias, all models control for the survey year in an attempt to account for an increase in uncertainty with longer

temporal distance between the move and the survey year. The expression  $\sum_{n=2}^y \beta_n(x_{nz})$  represents the set of control variables operating at the household and municipality level. The coefficient  $\beta_1$  reflects the effect of a generic time-varying climate change index ( $ci_{ik}$ ). It has been shown that time-varying context-level variables, such as the climate change indices, can be effectively modeled using a 2-level structure (Barber et al., 2000). Finally, the parameter  $u_k$  constitutes the random effects term, capturing across-municipality variation. The models were estimated within the R statistical environment version 3.1 (R Core Team, 2014), using the multilevel package *lme4* (Bates, 2010; Bates et al., 2014). For increased speed and improved convergence properties, we adjusted the model settings (integer scalar setting of  $nAGQ = 0$ ) so that the random-effects and the fixed-effects coefficients were optimized (optimizer = “bobyqa”) in the penalized iteratively reweighted least squares step (Bates et al., 2014).

## 3. Theory: Social Networks' Impact on the Climate Change-Migration Association

Our analytical focus is on the influence of social networks operating at household and community levels. To proxy for migrant networks at the household level, we distinguished between first and last moves, with the last move representing the second, third or further migration (e.g., Fussell, 2004). Through the first move, households establish internal social ties between origin and destination areas (Massey et al., 1987; Massey, 1990). Social capital, built through the first move, substantially increases the likelihood of a consecutive move (Curran and Rivero-Fuentes, 2003; Massey and Espinosa, 1997; Massey and Garcia Espana, 1987). Similarly at the community level, more local adults with migration experience



increase international outmigration from rural areas (e.g., Fussel, 2004; Nawrotzki et al., 2013). Broadly two possible mechanisms suggest different underlying causal pathways linking social networks with migration and climate. We coin these “amplification” and “suppression” mechanisms.

### **Amplification mechanism**

The amplification mechanism underlies much of the research on social networks’ effects on environmental drivers. For example, the concept of “migration corridors” (Bardsley and Hugo, 2010, p. 249) proposes that, once established, a corridor reduces migration’s cost and even relatively small environmental strains may yield large-scale migrations (see also, Adamo and de Sherbinin, 2011). Indeed, for the Mexican case, there is some evidence that community-based social capital may facilitate environmentally motivated moves (Hunter et al., 2013). If the amplification mechanism holds true, we would expect the effect of climate change on migration to be strongest for households with greater network access. In regression models, this would manifest in a significant interaction coefficient (*climate change* × *migration networks*) that would have the same direction as the main effect of the climate change measure. Figure 3 provides a visual depiction of the amplification and suppression mechanisms.

### **Suppression mechanism**

In this study, we propose the suppression mechanism as an alternative explanatory framework. It has been argued that the social capital contained in networks is crucial for climate change adaptation strategies *other than* migration (Adger, 2003; Pelling and High, 2005). Social networks not only provide relevant information about destination labor markets but also transmit information about risk management in the farming sector and other natural resource-based enterprises (cf., Pretty and Ward, 2001). Moreover, transnational networks channel financial resources (e.g., remittances) that can be used for climate change adaptation at home. Prior research shows that in times of environmental strain remittance flows increase along established migrant networks (Gubert, 2002; Yang and Choi, 2007). Since social networks can facilitate origin-based climate adaptation through knowledge transfer and remittances, they may suppress the climate-migration association. In this case, we would anticipate climate effects on migration to be strongest when social networks are actually absent. This suppression mechanism is implicitly assumed by Henry et al. (2004), who argue that the first move represents a livelihood strategy more directly linked to the external environment as compared to subsequent migrations that are more heavily influenced by social networks. Also in support of the suppression mechanism, de Janvry et al. (1997) observed that migration from Mexican households *without* access to social networks was more strongly impacted by community and environmental characteristics compared to households that could draw on household-specific migrant networks. Within a regression model the suppression mechanism would manifest as a significant interaction coefficient (*climate change* × *migration networks*) but with a sign opposite to the main effect of climate change.

## 4. Results and Discussion

Migration is influenced by multiple sociodemographic and economic factors. To guard against reporting spurious relationships when investigating the climate change-migration association, it is important to establish a reliable base model of international (U.S.) migration from rural Mexico. Table 4 shows the fully adjusted multi-level discrete-time event history models for the first and last move from within a household.

Table 4 illustrates that households headed by females (Lindstrom and Lauster, 2001) and households with young children were less likely to send an international migrant (Nawrotzki et al., 2013; Massey and Riosmena, 2010). Similarly, employment in a white-collar occupation reduced the odds of sending a migrant internationally, confirming prior research that Mexican migrants coming to the U.S. are mostly young, uneducated males (Massey et al., 1987; Fussell, 2004). Reflecting the importance of social networks, community-based prevalence of adults with migration experience is consistently among the strongest international migration predictors (Fussell and Massey, 2004; Massey, 1990).

A few predictors differed between the first and last migration. A household might use initial migration (first move) to obtain the necessary funding to start a business or build a house (Massey and Parrado, 1998). Households already in possession of a business or home do not need to draw on migration to overcome liquidity constraints and are therefore less likely to send a migrant. In contrast, after a successful first move and establishment of a business or home, the likelihood of a consecutive move is no longer determined by physical capital but rather through internal social networks (cf., Massey and Espinosa, 1997). Working experience only matters for the first move with higher levels of working experience reducing the odds of an international move. In addition, baseline temperature is only a significant predictor for the first move, providing initial evidence that environmental factors may matter more for the first than the last move (Henry et al., 2004). In contrast, the odds of a last but not the first move increase with dependence on corn production in the municipality of residence (cf., Feng and Oppenheimer, 2012). Overall, the observed effects of the control variables largely display the directionality anticipated by theoretical considerations and prior research on Mexican migration (Massey and Espinosa, 1997; Massey, 1987b; Massey and Riosmena, 2010; Taylor et al., 1996). As such, the modeling platform can be judged as a robust tool to test the effect of climate change on the first and last move. In the next analytical step, we added one climate change index at a time to the fully adjusted multi-level event-history model (Table 5).

Table 5 shows that 9 out of 15 (60%) climate change indices are significantly associated with a first move. In contrast, only two out of 15 (13%) indices are significantly associated with a last move. Therefore, based on a simple comparison of the number of significant effects, the analysis provides evidence that climate change more strongly impacts first compared to last international moves from rural Mexico. This supports the suppression mechanism, suggesting that the first move is a livelihood strategy more directly linked to changes in the climate while for later moves, these external triggers have less importance (cf., de Janvry et al., 1997). Household-specific social networks, established after the first move, therefore appear to uncouple migration decisions from climate change effects. The

household specific social networks may have been used to gain knowledge and resources (e.g., remittances) to adopt farming techniques more robust to climate effects (Adger, 2003). Households with more stable livelihoods do not need to modify their migration behavior (either increase or decrease migration) in response to weather patterns and climatic extremes (Warner and van der Geest, 2013), resulting in largely insignificant climate change coefficients for the last move.

The directionality of the climate change effects is largely in the anticipated direction. In general, a warming trend in both high and low temperature extremes leads to higher migration probabilities. For example, an increase in the warm spell duration by one standard deviation unit increases the odds of a first move by 23% (Odd Ratio [OR] = 1.23). Prior research has demonstrated the adverse impact of a rising temperatures on agricultural production and farm profits (Mueller et al., 2014). For instance, corn – Mexico’s primary staple crop (Keleman et al., 2009) – is particularly sensitive to high temperature extremes during certain stages in the growing cycle such as anthesis (flowering) and pollination (Schoper et al., 1987; Tollenaar and Bruulsema, 1988). The trend observed for high temperature extremes is similar evident for low temperature extremes. A warming trend leads to more outmigration (e.g., increase in the temperature of the coldest day) while a cooling trend results in a decline in outmigration (e.g., increase in the percentage of cool days).

For the last move, a significant temperature effect emerged for the temperature during the warmest night. In contrast to the uniform trend for the first move, for which warmer temperatures lead to higher outmigration rates, the opposite is the case for the last move and a warming trend is associated with a decline in outmigration. This effect may be explained with reference to the suppression mechanism, assuming that social capital facilitates climate change adaptation. Under conditions of improved climate change resilience (e.g., use of drought resistant crop, irrigation systems, etc.), an increase in temperature is not necessary problematic and may even be beneficial. For example, when sufficient water supply is guaranteed, an increase in temperature may increase plant metabolism and extend the growing season (Mendelsohn, 2007; Sanchez et al., 2014) and may therefore increase agriculture production and income. In such a situation, farmers may reduce the number of members send to an international destination and request all capable labor to work on the local farm, which could explain the negative temperature effect on the last move.

The general trend among precipitation indices suggests that an increase in high precipitation extremes in the semi- to very-dry Mexican context has beneficial livelihood effects, leading to a decline in the odds of a first international move. For example, an increase of one standard deviation in total wet-day precipitation decreased the odds of a first international move by 20% (OR = 0.80). Water is necessary for various plant metabolic functions and sufficient amounts of precipitation are necessary for positive crop yields (Cakir, 2004; Payero et al., 2006; Steduto et al., 2012). The observed effect is in line with prior research from Mexico, suggesting that more rainfall results in less international migration (Hunter et al., 2013; Nawrotzki et al., 2013).

However, the wet spell duration index provide an exception to this general trend and suggests the existence of non-linear threshold effects as observed in prior research (Nawrotzki et al., 2013). Although an increase in precipitation may be initially beneficial, long wet spells may result in flooding and waterlogging. Waterlogging negatively impacts plants metabolic activities through a reduction in nutrients uptake (Ashraf and Habib-ur-Rehman, 1999; Zaidi et al., 2003), increased risk of plant disease and insect infestation (cf., Kozdroj and van Elsas, 2000), and delayed planting or harvesting due to inability to operate machinery. Growing-season precipitation and harvest yield are non-linearly related with a decline in crop-yield when cumulative precipitation exceeds 500 mm (Rosenzweig et al., 2002). Our results suggest that households may respond with an increase in international migration if flooding results in negative livelihood outcomes. The dry spell duration index, as the inverse measure of wet spell duration, similarly indicates that the absence of flooding (e.g., during times of longer dry spells) is beneficial for rural livelihoods. Dry and warm conditions during the harvest period facilitate operation of harvest machinery, prevent water damage to crops (e.g., mold), and reduce costs of crop drying (cf., Abawi et al., 1995).

While an increase in the length of wet periods negatively impacted livelihoods during the first move, such changes appeared to be beneficial for households with access to internal social capital, as evident by a decline in the odds of a last international move. Assuming that internal social capital facilitates climate change adaptation, these households may have installed drainage systems and build water cisterns to turn long rain periods into an advantage and store rainwater for dry seasons (cf., Adger et al., 2005).

In short, the results suggest that the first move is most sensitive to climate change effects and an increase in temperature and a decline in precipitation generally lead to an increase in first international outmigration. However, the relationship between precipitation and livelihoods is bound by thresholds and flooding may similarly lead to an increase in outmigration. In contrast, the last move is largely insensitive to climate factors potentially due to social capital-mediated climate change adaptation and more robust livelihoods.

In the next step of the analysis, we investigated whether the access to community social networks encourages (amplification mechanism) or impedes (suppression mechanism) climate change-related migration. To this end we interacted each climate change index with the community migrant prevalence measure (Table 6). Table 6 reveals two significant interactions, which emerge for the first international move in relation to changes in temperature extremes. The sign of the interaction coefficient ( $CCI \times IntMig$ ) are the opposite of the climate change main effect (CCI), indicating that an increase in community social networks suppresses climate effects.

Figure 4 illustrates that an increase in temperature leads to the strongest increase (steepest slope) in the probability of a first international move when households have only limited access to community migrant networks (dotted line). However, this relationship declines with better access to migrant networks and communities with the strongest migrant networks show the weakest association with international outmigration.

Much in line with the results from the investigation of household level social capital (first vs. last move), the interaction models suggest that community level social capital suppresses the effect of climate change on migration. As suggested by the suppression mechanism, the social ties as well as the resources available through social networks may facilitate climate change adaptation and build resilience at home (Adger, 2003; Pelling and High, 2005). Households may learn from their successful migrant neighbors how to adjust farming techniques, use technology (irrigation, drought resistant crops), set up informal risk-sharing institutions, or access micro-loans in order to become less vulnerable to the effects of climate change. In addition, remittances channeled through social networks may provide the financial capital necessary to implement technology-based adaptation strategies. Alternatively to a more direct suppression mechanism leading to beneficial effects of social networks for climate change adaptation, the observed interaction could be caused by social capital exhaustion. That is, communities with very dense migrant networks may have less social capital available to potential migrants if the context of reception faced by community members is unfavorable (cf., Menjivar, 2000) and/or networks are closed and lack a continuous inflow of social capital (the so-called strength of weak ties, see Granovetter 1983). However, we believe the suppression mechanism is more likely to be operating given that the main effect of migrant networks is strong and positive.

## 5. Conclusion

Making use of a novel set of 15 ETCCDI climate change indices, we examined the effect of household and community specific social networks on climate-related migration. Some researchers have proposed that migrant networks may operate as “migrant corridors” and may facilitate large-scale international migration in the face of climatic strain (e.g., Bardsley and Hugo, 2010). However, this study suggests that these assumptions do not appropriately reflect the climate change-migration dynamics for Mexico during 1986–99. Rather, we find that migrant networks at the community and household level weakened the effect of climate change on international migration. As such the findings support a *suppression mechanism* rather than an *amplification mechanism*, suggesting social networks may facilitate climate change adaptation at home (Adger, 2003; Pelling and High, 2005) and thereby reduce the importance of climate change as migration driver.

This study is limited in its generalizability due to the selective nature of the employed migration data and applies predominantly to the study communities for the years 1986–99. An additional challenge lies in the data construction, since interpolation and imputation techniques may have resulted in data smoothing and an underestimation of the naturally occurring variation. Finally, the employed climate change indices reflect annual aggregates and may not appropriately reflect seasonal effects that may be of importance for the agricultural sector.

Despite these limitations, this study contributes substantially to our understanding of the climate change-migration relationship. We argue that alarmist assumptions of massive, social network-based, climate migration scenarios should be critically assessed and consider that networks may not always have multiplier effects on migration. The possibility of a “suppression mechanism” whereby social networks facilitate climate change adaptation at

home and reduce the influence of environmental factors should be considered both within policy and future research. Programs designed to reduce climate related migration may usefully consider fostering existing migrant networks and engaging them as a channel for dissemination of information and resources (e.g., remittances) for climate change adaptation in origin communities.

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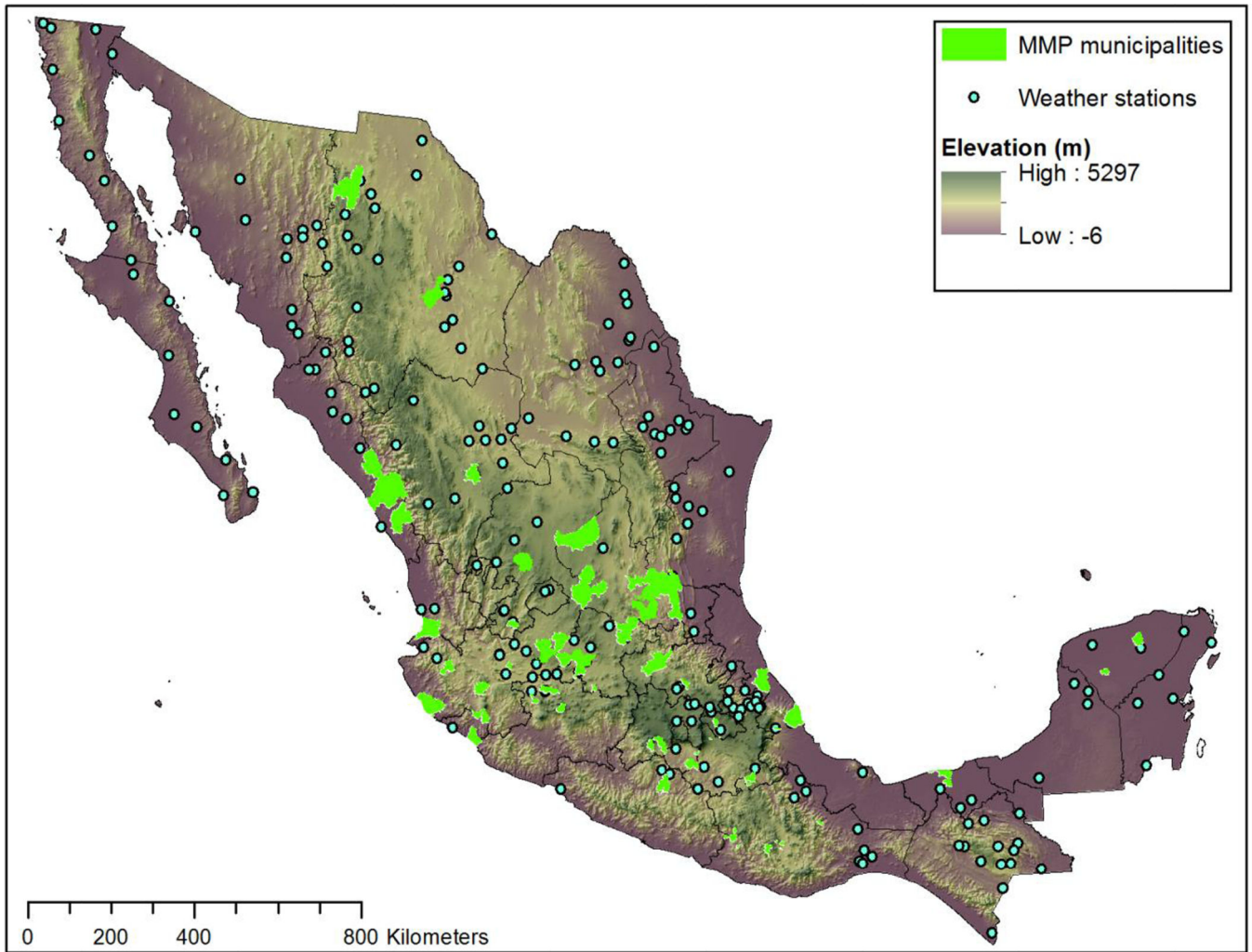
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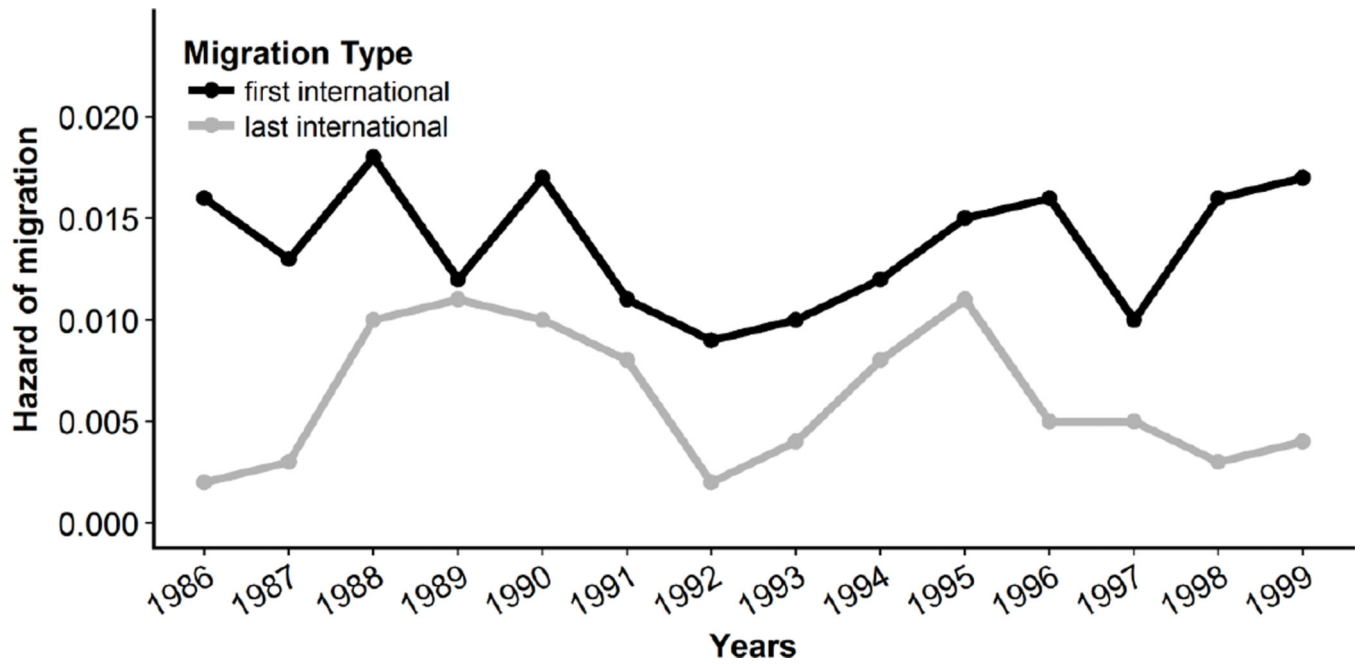
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### Highlights

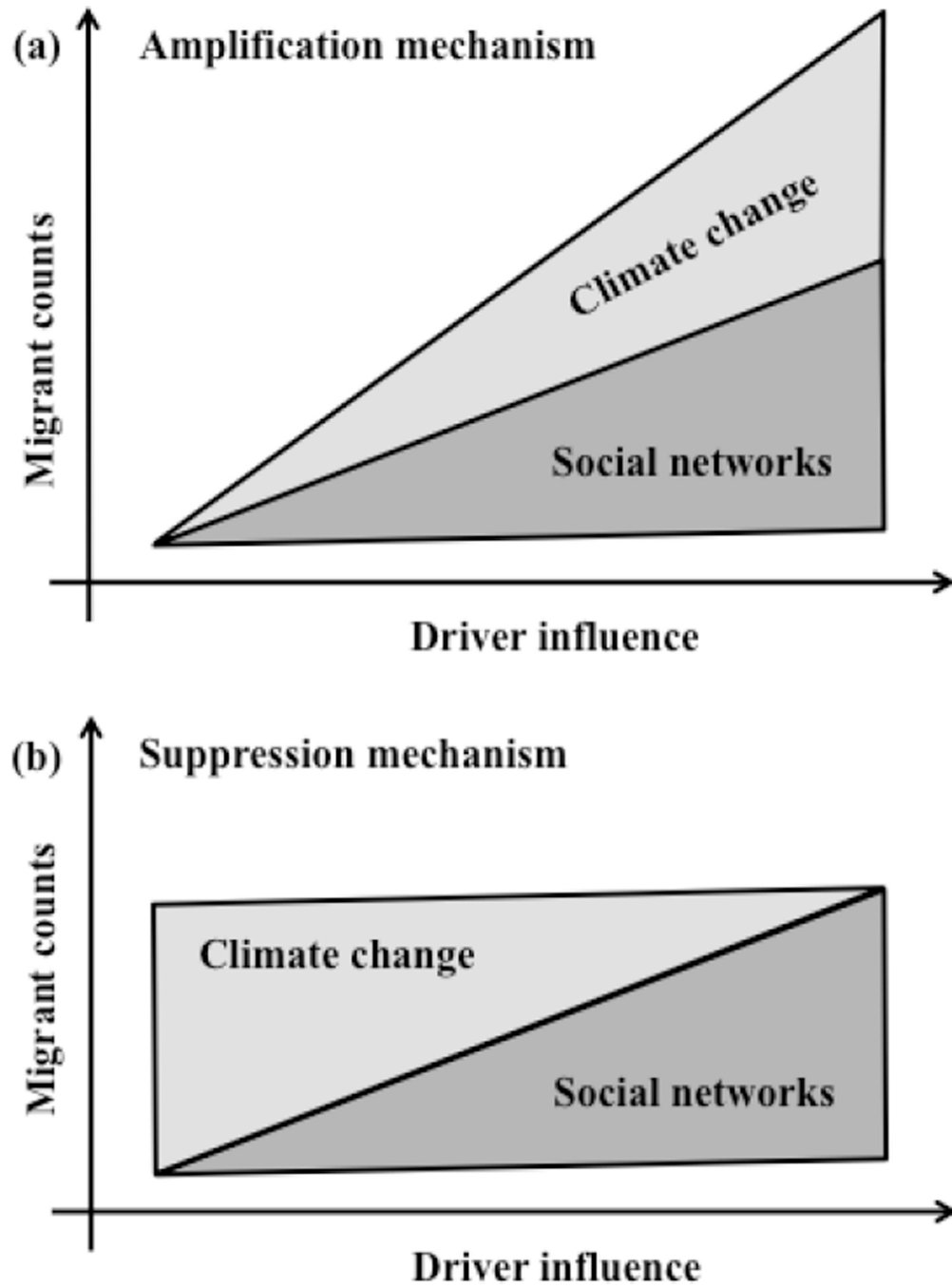
- We model the impact of climate change on international migration from rural Mexico
- We employ a novel set of 15 ETCCDI climate change indices based on daily climate data
- We examine the influence of social networks on climate change related migration
- Warming temperatures and declining precipitation increase international migration
- Access to social networks weakens the climate change migration association



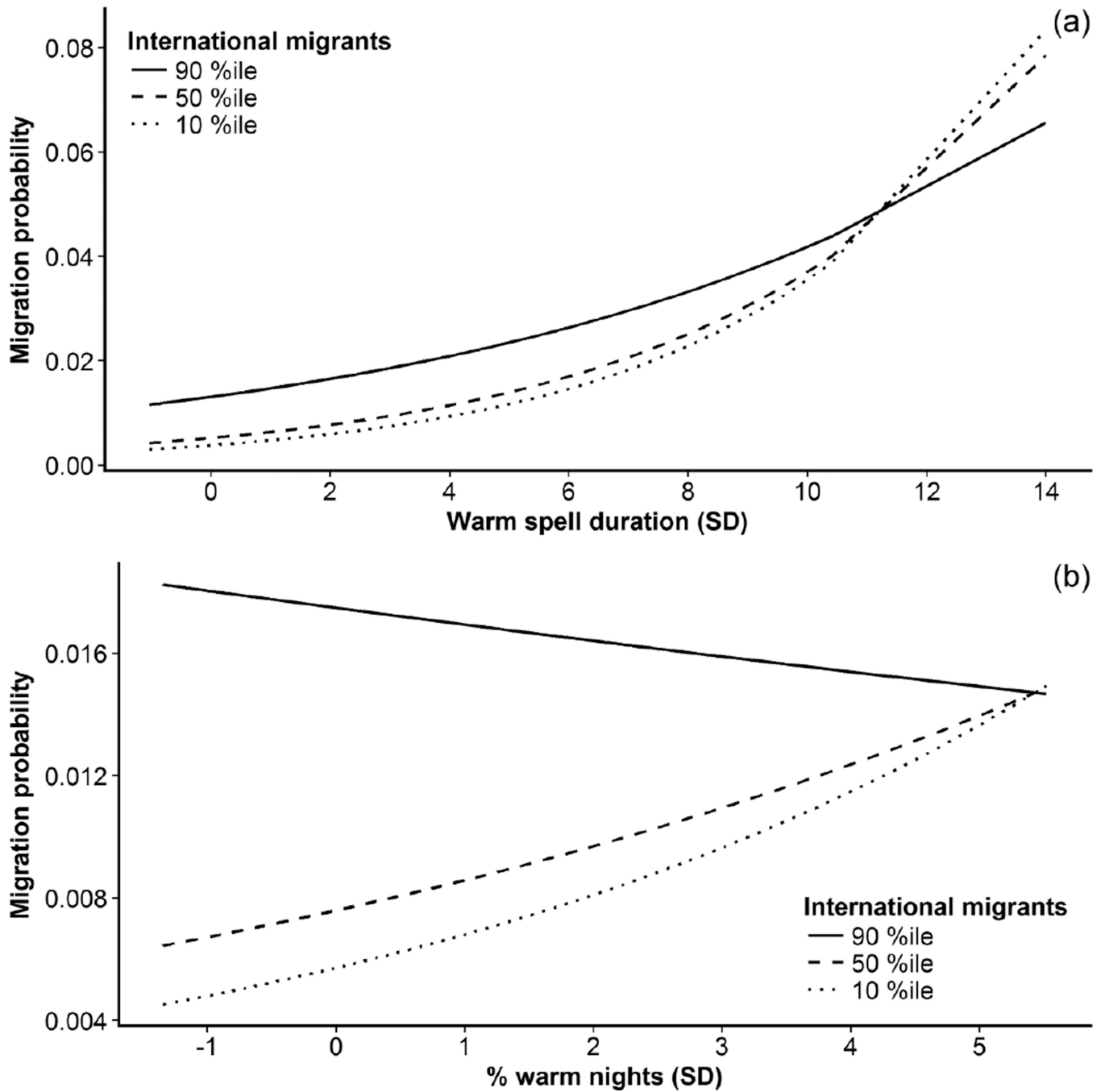
**Figure 1.**  
Location of rural MMP municipalities and weather stations distributed across Mexico



**Figure 2.**  
Hazard of first and last U.S.-bound migration for 68 rural Mexican municipalities, 1986–99  
Source: Estimates based on Mexican Migration Project data. Migration hazards refer to the relevant population at risk (see Data and Methods section for more details).



**Figure 3.** Visual representation of the amplification and suppression mechanism



**Figure 4.** Interaction between warm spell duration (a) and percentage warm nights (b) and community migrant networks in predicting the odds of a first international move from rural Mexico



**Table 1**

Subset of ETCCDI climate change indices employed for the analysis of the impact climate change on first and last international migration from rural Mexico, 1986–99

	<b>ID</b>	<b>Indicator definition</b>	<b>Unit</b>
<u>Temperature (high)</u>			
Warm spell duration	wsgi	Annual count when at least six consecutive days of max temperature > 90th percentile	days
Warmest day	txx	Annual max value of daily max temperature	°C
Warmest night	tnx	Annual max value of daily min temperature	°C
% warm nights	tn90p	Percentage of days per year when daily min temperature > 90th percentile	%
<u>Temperature (low)</u>			
No. frost days	fd	Annual count when daily min temperature < 0°C	days
Cold spell duration	csdi	Annual count when at least six consecutive days of min temperature < 10th percentile	days
Coldest day	txn	Annual min value of daily max temperature	°C
% cool nights	tn10p	Percentage of days per year when daily min temperature < 10th percentile	%
% cool days	tx10p	Percentage of days per year when daily max temperature < 10th percentile	%
<u>Precipitation (high)</u>			
No. days very heavy precip	r20mm	Annual count of days when precip > 20mm	days
Wet spell duration	cwd	Max number of consecutive days with precip > 1mm	days
Max 5-day precip	rx5day	Annual max consecutive 5-day precip amount	mm
Precip extremely wet days	r99ptot	Annual total precip from days when precip > 99th percentile	mm
Total wet-day precip	prcptot	Annual total precip from days when precip > 1 mm	mm
<u>Precipitation (low)</u>			
Dry spell duration	cdd	Max number of consecutive days when precip < 1mm	days

Notes: A full list of the 27 ETCCDI climate change indices and their technical description can be found at [http://etccdi.pacificclimate.org/list\\_27\\_indices.shtml](http://etccdi.pacificclimate.org/list_27_indices.shtml)

**Table 2**

Mean values of ETCCDI climate change indices for selected years for rural Mexico

	SD	Mean		
		1985	1992	1998
<u>Temperature (high)</u>				
Warm spell duration	2.22	-0.21	2.09	6.32
Warmest day	0.81	0.16	0.27	0.42
Warmest night	1.02	-0.17	0.38	-0.15
% warm nights	1.16	-0.56	0.85	1.84
<u>Temperature (low)</u>				
No. frost days	2.01	-0.02	-0.71	0.14
Cold spell duration	1.52	0.01	0.98	3.96
Coldest day	0.91	-0.18	0.18	0.31
% cool nights	0.75	0.41	0.24	1.60
% cool days	0.81	0.43	0.57	-0.23
<u>Precipitation (high)</u>				
No. days very heavy precip	0.82	0.05	0.25	-0.40
Wet spell duration	1.55	0.03	0.05	0.61
Max 5-day precip	0.96	0.04	0.17	0.12
Precip extremely wet days	1.06	0.10	0.69	0.73
Total wet-day precip	0.81	0.24	0.69	-0.04
<u>Precipitation (low)</u>				
Dry spell duration	0.70	0.08	-0.40	0.23

Notes: Values represent z-scores; standard deviation (SD) was computed across all years (1985–98); climate variables were added with a time lag of 1 year to the sociodemographic data so that, for example, the climate measures for 1985 were used to predict migration in 1986.

Descriptive statistics of control variables employed in the analysis of the impact of climate change on first and last international migration from rural Mexico, 1986–99

Table 3

	Unit	TV	Source	Mean	SD
<i>Household level (head)</i>					
<i>Social capital</i>					
Female	1 0	No	MMP	0.11	0.32
Married	1 0	Yes	MMP	0.68	0.47
<i>Human capital</i>					
No. of children	Count	Yes	MMP	0.72	0.99
Education	Years	Yes	MMP	5.62	4.19
Working experience	Years	Yes	MMP	22.45	13.34
Occupation: not in labor force	1 0	Yes	MMP	0.09	0.29
Occupation: blue collar	1 0	Yes	MMP	0.83	0.37
Occupation: white collar	1 0	Yes	MMP	0.07	0.26
<i>Physical capital</i>					
Owens property	1 0	Yes	MMP	0.60	0.49
Owens business	1 0	Yes	MMP	0.14	0.35
<i>Community/municipality level<sup>a</sup></i>					
<i>Social capital</i>					
International migrants	%	Yes	MMP-C	15.53	14.66
<i>Financial capital</i>					
Wealth index	z-values	Yes	IPUMS-I	-0.79	0.39
<i>Natural capital</i>					
Corn (area planted)	sqm/10ha	No	TerraPop	1.26	1.10
Farmland irrigated	%	No	INEGI	23.62	25.68
Base period precip (1961–90)	mm/day	No	GHCN-D	2.82	1.34
Base period temp (1961–90)	deg. C	No	GHCN-D	21.07	2.93
<i>Economic environment</i>					
Male labor in agriculture	%	Yes	MMP-C	55.97	17.67

Notes: TV = time varying (Yes, No); Source information: MMP = Mexican Migration Project data available from <http://mmp.opr.princeton.edu/>; MMP-C = COMMUN supplementary file of MMP; IPUMS-I = Mexican census data (1% extract) obtained via Integrated Public Use Microdata Series – International (Ruggles et al., 2003; MPC, 2013); TerraPop = Terra Populus data extract system (Kugler

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et al., 2015; MPC, 2015); INEGI = data obtained from *Instituto Nacional de Estadística y Geografía* (INEGI, 2012); GHCN-D = data derived from the Global Historical Climate Network – Daily (Menne et al., 2012);

<sup>a</sup>The percent of international migrants is measured at the community level while all other variables pertain to the municipality where the community is located.

**Table 4**

Odds of a first and last international move from rural Mexican communities, 1986–99

	<u>First</u>		<u>Last</u>	
	<b>b</b>	<b>sig.</b>	<b>b</b>	<b>sig.</b>
<u>Household level (head)</u>				
Female	0.54	***	0.39	***
Married	0.98		0.85	
No. of children	0.91	**	0.72	***
Education (years) <sup>a</sup>	0.90		0.97	
Working experience (years) <sup>a</sup>	0.74	***	0.99	
Occupation: not in labor force	0.97		1.31	
Occupation: white collar	0.54	***	0.37	**
Owens property	0.86	*	1.20	
Owens business	0.79	*	0.87	
<u>Community/municipality level</u>				
International migrants (%) <sup>a</sup>	1.50	***	1.68	***
Wealth index (z-values)	1.15		0.93	
Corn (area planted)	0.93		1.25	*
Farmland irrigated (%) <sup>a</sup>	1.03		0.97	
Base period precip (mm/day)	1.18		0.95	
Base period temp (deg. C)	0.90	**	0.99	
Male labor in agriculture (%) <sup>a</sup>	1.05		1.03	
<u>Model statistics</u>				
Var. Intercept (Mun)	0.276		0.138	
BIC	9339		5819	
N (HH-year)	67508		91152	
N (HH)	7062		9595	
N (Mun)	68		68	

Notes: Coefficients reflect odd ratios; all predictors were lagged by one year; low values (< 2.7 for substantive predictors) on the variance inflation factor (VIF) suggest that multi-collinearity is of no concern; models control for baseline hazard by using fixed effects for exposure years (not shown); models control for survey year (not shown) to account for recall bias;

<sup>a</sup> Coefficients refer to an incremental change of 10 units;

\* p<0.05;

\*\* p<0.01;

\*\*\* p<0.001

**Table 5**

Effect of climate change indicator on the odds of a first and last international move from rural Mexican communities, 1986–99

	First		Last	
	b	sig.	b	sig.
<u>Temperature (high)</u>				
Warm spell duration	1.23	***	0.96	
Warmest day	1.04		1.03	
Warmest night	1.00		0.85	*
% warm nights	1.06		0.86	
<u>Temperature (low)</u>				
No. frost days	1.03		0.91	
Cold spell duration	0.99		0.92	
Coldest day	1.26	***	0.90	
% cool nights	0.92		0.82	
% cool days	0.84	**	0.84	
<u>Precipitation (high)</u>				
No. days very heavy precip	0.82	***	1.09	
Wet spell duration	1.07	*	0.88	*
Max 5-day precip	0.82	***	1.05	
Precip extremely wet days	0.79	***	1.09	
Total wet-day precip	0.80	***	0.93	
<u>Precipitation (low)</u>				
Dry spell duration	0.79	***	1.12	

Notes: Coefficients reported in odd ratios; each coefficient was estimated using the complete set of household and municipality control variables as shown in Table 4; a jack-knife type procedure was performed to investigate the estimates' robustness by iteratively removing one municipality from the sample and re-estimating the model (Nawrotzki, 2012; Ruiter and de Graaf, 2006). The results demonstrated a high degree of robustness of the estimates;

\* p<0.05;

\*\* p<0.01;

\*\*\* p<0.001

**Table 6**

Main effect and interaction between climate change and community-level international migration prevalence in predicting the odds of a first and last international move from rural Mexican communities, 1986–99

	First				Last						
	CCI	IntMig	CCI × IntMig	CCI	IntMig	CCI × IntMig	CCI	IntMig	CCI × IntMig		
	b	sig.	b	sig.	b	sig.	b	sig.	b	sig.	
<u>Temperature (high)</u>											
Warm spell duration	1.20	***	1.49	***	0.96	*	0.96		1.67	***	1.00
Warmest day	1.05		1.51	***	0.99		1.04		1.68	***	0.99
Warmest night	0.99		1.50	***	1.01		0.85	*	1.69	***	1.00
% warm nights	1.10		1.48	***	0.92	**	0.84		1.67	***	1.03
<u>Temperature (low)</u>											
No. frost days	1.02		1.50	***	0.98		0.94		1.68	***	0.95
Cold spell duration	0.99		1.49	***	0.96		0.91		1.67	***	1.04
Coldest day	1.26	***	1.51	***	1.02		0.92		1.67	***	0.98
% cool nights	0.91		1.50	***	1.01		0.83		1.66	***	0.97
% cool days	0.81	**	1.49	***	1.08		0.84		1.69	***	0.99
<u>Precipitation (high)</u>											
No. days very heavy precip	0.83	**	1.51	***	0.98		1.15		1.68	***	0.96
Wet spell duration	1.06	*	1.52	***	1.02		0.91		1.62	***	0.96
Max 5-day precip	0.79	***	1.52	***	1.03		1.05		1.67	***	1.00
Precip extremely wet days	0.79	***	1.53	***	1.00		1.13		1.68	***	0.97
Total wet-day precip	0.77	***	1.50	***	1.06		0.94		1.68	***	0.99
<u>Precipitation (low)</u>											
Dry spell duration	0.82	**	1.55	***	0.95		1.13		1.66	***	0.99

Notes: Coefficients reported in odd ratios; each row represents a full interaction model of which only the coefficients for the terms involved in the interaction are shown; CCI = coefficient of climate change index; IntMig = coefficient of community-level international migration prevalence; CCI × IntMig = coefficient of interaction term between CCI and IntMig; one unit reflects a 10% change in international migrant prevalence; variables were centered;

\* p<0.05;

\*\* p<0.01;

\*\*\* p<0.001