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### **EFFECTS OF AGE AND ACUTE MUSCLE FATIGUE ON REACTIVE POSTURAL CONTROL IN HEALTHY ADULTS**

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#### **Abstract**

**BACKGROUND—**Falls can cause moderate to severe injuries such as hip fractures and head trauma in older adults. While declines in muscle strength and sensory function contribute to increased falls in older adults, skeletal muscle fatigue is often overlooked as an additional contributor to fall risk. The purpose of this investigation was to examine the effects of acute lower extremity muscle fatigue and age on reactive postural control in healthy adults.

**METHODS—**A sample of 16 individuals participated in this study (8 healthy older adults and 8 healthy young persons). Whole body kinematic and kinetic data were collected during anterior and posterior reproducible fall tests before (T0) and immediately after (T1) eccentric muscle fatiguing exercise, as well as after 15-minutes (T15) and 30-minutes (T30) of rest.

**FINDINGS—**Lower extremity joint kinematics of the stepping limb during the support (landing) phase of the anterior fall were significantly altered by the presence of acute muscle fatigue. Step velocity was significantly decreased during the anterior falls. Statistically significant main effects of age were found for step length in both fall directions. Effect sizes for all outcomes were small. No statistically significant interaction effects were found.

**INTERPRETATION—**Muscle fatigue has a measurable effect on lower extremity joint kinematics during simulated falls. These alterations appear to resolve within 15 minutes of recovery. The above deficits, coupled with a reduced step length, may help explain the increased fall risk in older adults.

#### **Keywords**

postural control; muscle fatigue; aging

#### Conflict of Interest Statement:

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All authors are free of conflicts of interest in this study.

#### **1. INTRODUCTION**

Falls are a major source of morbidity and disability in the aging population. Twenty to thirty percent of older adults who fall suffer moderate to severe injuries such as lacerations, hip fractures, and head traumas (Sterling et al., 2001). The economic burden of falls in older adults is very high and the direct medical cost of falls in 2012, adjusted for inflation, was \$30 billion (CDC, 2012; Stevens et al., 2006). Despite the vast public health problem of falls in the older population, studies have generally neglected the impact that muscle fatigue may have on falls.

Acute muscle fatigue has been shown to alter postural control in healthy young and older individuals, which may increase the risk for falls (Bellew and Fenter, 2006; Nam et al., 2013; Paillard, 2012). More specifically, acute muscle fatigue is known to modify the peripheral proprioceptive system and the central processing of sensory inputs (Taylor et al., 2000) both of which are integral for reactive postural control.

Recovery from external perturbations requires reactive postural control, which is defined by modifying sensory and motor systems in response to changing tasks and externally induced postural demands (Shumway-Cook and Woollacott, 2012). The majority of falls in older adults occur in the context of tasks requiring reactive postural control (Niino et al., 2000). In addition, the chances of sustaining a fall are particularly high during slipping or tripping situations (Lord et al., 1993).

Several studies have experimentally examined the effect of acute muscle fatigue on reactive postural control in healthy older adults (Adlerton and Moritz, 2001; Davidson et al., 2009; Granacher et al., 2010; Mademli et al., 2008). Decrements such as increased center of mass (COM) displacements (Davidson et al., 2009), increased center of pressure (COP) displacements (Nam et al., 2013) and sway velocity (Parreira et al., 2013), increased antagonist muscle co-activation (Granacher et al., 2010), and decreased functional reflex activity (Granacher et al., 2010) have been demonstrated following acute muscle fatigue in older individuals in the context of externally induced perturbations. However, just one of these studies has examined the particular biomechanical aspects of postural control recovery at joint-specific anatomic locations (Mademli et al., 2008). This lack of biomechanical detail limits insight into the lower extremity segmental characteristics of postural instability. While Mademli et al. demonstrated alterations in the knee joint angle following muscle fatigue, they did not provide any indication of the duration of the fatigue effect. In general, investigations of muscle fatigue and postural control have neglected the recovery timeline of lower extremity proximal and distal joint kinematics.

The purpose of this investigation was to examine the effects of age and acute muscle fatigue on the biomechanic responses of reactive postural control. The secondary objective was to examine the timeline of postural control recovery following acute muscle fatigue. We hypothesized that regardless of age, acute muscle fatigue would result in declines in kinematic outcomes of reactive postural control but that the declines would be more substantial in older adults. We further hypothesized that these alterations would return to baseline within 20-minutes of post-exercise fatigue recovery (Yaggie and McGregor, 2002).

#### **2. METHODS**

#### **2.1 Study Sample**

Participants were recruited from the local community. Sample sizes were estimated using an effect size of 0.68 for peak center of pressure (COP) displacement following postural perturbations in previously published data (Davidson et al., 2009) and estimate tables (Portney and Watkins, 2009) based on an α level=0.05 and 90% power. Inclusion criteria for the healthy older group required that individuals be older than 50 years of age (Toebes et al., 2012) and between 18 and 35 years of age for the healthy young group. Participants were excluded if they had participated in vigorous exercise 24 hours prior to initiating the study. Subjects were also screened for musculoskeletal or neurologic impairments affecting postural stability via a health questionnaire and baseline functional mobility testing performed by a physical therapist trained in fall prevention. All participants were healthy, generally active individuals who were independent in activities of daily living. This study was reviewed by the University of Utah Institutional Review Board and participants provided informed consent prior to participation.

#### **2.2 Postural Control Assessments**

The reactive postural control task utilized a tether-release model, which forced the subjects to incorporate a protective step to regain stability. The tether-release protocol has been used previously to investigate balance recovery from anterior (Carty et al., 2011; Madigan, 2006; Madigan and Lloyd, 2005) and posterior falls (Hsiao and Robinovitch, 2001). The protocol for this study consisted of securing one end of a tether to a trunk harness at the level of the xiphisternal joint. The other end of the tether was connected to an inline force sensor and electromagnet that was fixed to the wall. Participants were asked to lean against the tether until 9–12% of their body mass registered through the inline force sensor. This value has been shown to exceed sway-based recovery abilities (Hsiao-Wecksler, 2008). Once the subjects were in position for the trial, they were given the following instruction: "When the tether is released try to recover your balance with a single step." Release of the tether was randomized between 1 and 20 seconds from the time they were in position to limit anticipation of the release time. Five consecutive trials were performed in each of the anterior and posterior falling directions, with data analysis being performed on three successful trials for each direction. A trial was considered successful if the individual was able to recover from the tether-release independently in one step, without assistance from the overhead harness, and the joint markers were visible throughout the trial.

For analysis, the reactive postural control task was divided into the following phases: 1) The *swing phase* represented the time between when the stepping foot left the force platform to the point at which the same foot struck the second force platform upon landing (Figure 1). 2) The *support phase* represented the point from when the stepping foot struck the second force platform upon landing until the individual's COM stopped moving in the direction of the fall.

#### **2.3 Data Collection and Procedures**

Following consent, subjects were instrumented with reflective markers based on a standardized gait analysis marker set defining 15 body segments (Plug-In-Gait marker set; Vicon Motion Systems; Oxford, UK). Data were acquired using a Vicon 10-camera motion analysis system (Vicon Motion Systems; Oxford, UK) and 2 AMTI OR6 series force platform systems (AMTI; Watertown, MA) at a rate of 250Hz. Data were recorded and synchronized using Vicon Nexus (Vicon Motion Systems; Oxford, UK) and post-processing occurred using Visual3D (C-Motion, Germantown, MD). Marker and force platform data were filtered using a fourth-order, low pass, zero-phaseshift Butterworth filter at 6 Hz and 20 Hz, respectively (Winter, 2009).

Reactive postural control assessments were performed before (T0) and immediately (<2mins) after muscle fatiguing exercise (T1), as well as after 15-minutes (T15) and 30 minutes (T30) of rest.

Prior to baseline testing participants were exposed to  $1-3$  trial sessions of the tether-release test in order to become familiar with the testing procedure, and to overcome the fear of falling. After performing the baseline (T0) assessments, markers on the posterior aspect of the trunk and pelvis were removed for seated fatiguing exercises (described below). To assure accurate re-application of the markers, the base of each marker was traced prior to removal. Immediately after exercise the markers were re-applied and the immediate post (T1) postural control assessments were performed. Following 15 minutes of rest the T15 assessments were performed. After a second 15 minute rest, the T30 postural control assessments were completed.

#### **2.4 Postural Control Outcomes**

Outcomes collected during the swing phase of the tether-release task included: step length normalized by height and step velocity. Outcome measures collected during the support phase included: hip, knee, and ankle joint angular displacements, peak COP displacement, and center of pressure-center of mass (COP-COM) difference. The COP-COM difference examined the difference between the COP position at its peak displacement in the mediolateral direction and the COM at the concomitant time-point. A large COP-COM difference is indicative of robust postural control (Chang and Krebs, 1999; Martin et al., 2002). With changes in body position during the fall task the distance between COP and COM increases. A large COP-COM difference would indicate robust postural control, because the individual is able to allow straying of the COM outside of the functional base while recovering balance with a single step. Meanwhile, a small COP-COM difference represents a conservative approach to postural tasks, in that the performer does not feel stable enough to allow separation of the COP and COM.

#### **2.5 Fatigue Protocol**

The principal muscle groups fatigued in this study were those necessary for the control of postural responses during the reactive postural control task, namely the quadriceps and hip extensors. Fatigue was induced in the lower extremity using resistance exercise that was performed on a seated, isokinetic ergometer (EccentronTM, BTE Technologies, Inc.,

Hanover, MD). Participants resisted a motorized foot pedal that moved toward them at a self-selected pace between 20–40rpm causing eccentric muscle contractions about the knee and hip extensors. Fatigue was determined by real-time biofeedback of a 30% drop in individual participants' maximal voluntary contraction (MVC), which has been shown to induce a deterioration in postural control following localized muscle fatigue (Paillard, 2012). This was accomplished in the present study by asking participants to "resist the pedals as hard as you can for 10 seconds" prior to beginning the fatiguing bout of exercise. Biofeedback was provided on a computer monitor with the average of 4 maximal effort pedal strokes being represented by a horizontal line. An additional line indicated a 30% decline in their baseline MVC. Subjects were required to perform the exercise until they dropped below the 30% level for 4 consecutive pedal strokes.

#### **2.6 Statistical Analysis**

Summary of the participant demographics and testing of the assumptions of the planned parametric analysis were performed using descriptive statistics and Shapiro-Wilk's test, respectively. A one-way ANOVA was used to determine between-group differences on demographic variables and exercise components. Separate 2 (age group)  $\times$  4 (time period) ANOVAs with repeated measures on the time factor were used to determine between-group, within-group, and interaction effects for all postural control variables. If the assumption of sphericity was failed, a Greenhouse-Geiser correction was used. If significance was found, pairwise comparisons were performed on the time factor using the Bonferroni correction. Effect sizes were calculated using partial eta-squared. Effects were considered statistically significant when  $p<0.05$ . The above statistical analyses were performed using SPSS (version 21.0).

#### **3. RESULTS**

#### **3.1 Participant Demographics**

Sixteen participants were recruited from the local community including 8 healthy older adults (HO) and 8 healthy young adults (HY). Table 1 describes the demographic characteristics of each group. Statistical between-group differences were found for age (p<0.001) but the groups did not differ on sex, height, weight, or Body Mass Index. Descriptive characteristics of total work performed and total exercise time for each group can also be seen in Table 1. These data demonstrate that the HY group exercised longer and produced more muscular work than the HO cohort, but these differences were not statistically significant.

#### **3.2 Effects of Age**

Statistically significant main effects of age were found for step length in both the anterior and posterior fall directions (F=4.82, p=0.045,  $\eta_p^2 = 0.369$ /F=8.19, p=0.013,  $\eta_p^2 = 0.256$ ) (Table 2/Table 3). Analysis of between-group means demonstrated that HY persons stepped further on average than HO individuals during the simulated falls.

#### **3.4 Effects of Acute Muscle Fatigue**

**3.4.1 Anterior Reactive Response—**Statistically significant main effects of time were found for several outcomes of the anterior tether-release, including COP-COM difference (F=4.26, p=0.010,  $\eta_p^2 = 233$ ), step velocity (F=4.47, p=0.008,  $\eta_p^2 = 242$ ), and both knee (F=5.91, p=0.002,  $\eta_p^2 = 0.297$ ) and ankle (F=5.51, p=0.003,  $\eta_p^2 = 0.284$ ) angular displacement of the stepping limb during the support phase. A trend was seen for hip angular displacement though it did not reach statistical significance (p=0.058) (Table 2). No statistically significant interaction effects were found.

**3.4.2 Posterior Reactive Response—**For the posterior tether-release, statistically significant main effects of time were found for COP-COM difference (F=3.31, p=0.029,  $\eta_p^2$ =.192) (Table 3). No statistically significant interaction effects were found.

**3.4.3 Recovery from Fatigue—**Bonferroni adjusted pairwise comparisons (p<0.007) revealed that step velocity was decreased in T15 compared to baseline  $(p=0.006)$  and that knee and ankle angular displacements of the stepping limb in the support phase were increased in the immediate (T1) post-fatigue state compared to baseline ( $p=0.001/p=0.000$ ). These T1 values returned to baseline after 15 minutes of rest (T15) in a statistically significant manner ( $p=0.001/p=0.000$ ) (Figure 2).

#### **4. DISCUSSION**

The purpose of this investigation was to characterize the effects of age and acute muscle fatigue on the biomechanics of reactive postural control in healthy adults. In general, the results indicate that acute muscle fatigue has deleterious effects on reactive postural control in healthy old and young individuals, and that some of these effects are alleviated within 15 minutes of rest.

#### **4.1 Effects of Acute Muscle Fatigue**

The effect of acute muscle fatigue on reactive postural control had a measurable effect on lower extremity joint kinematics. Specifically, increases in hip, knee and ankle angular displacement of the stepping limb during the support phase were found in the immediate post-fatigue test of the anterior tether-release. These findings are in agreement with work by Mademli et al. who reported increased knee flexion angles in the stepping limb during the support phase of an anterior release task in HY and HO individuals following acute muscle fatigue of the quadriceps femoris muscles (Mademli et al., 2008). Muscle fatigue induced by repetitive contractions causes a reduction in the force generating capability of the muscle (Gandevia, 2001). This may have resulted in the decreased capacity of the quadriceps and hip extensor muscles to maintain body weight through the stepping limb during the support phase of the tether-release.

Another neurophysiologic mechanism that could explain the increased joint angular displacement immediately after fatigue (T1) might be the reduction of functional reflex activity (FRA), which has been shown to contribute to the degree of joint laxity and joint stability (Granata et al., 2004). Additional research has demonstrated that ankle fatigue

diminishes FRA in the tibialis anterior muscle of young and elderly men, resulting in reduced joint stability during the support phase of reactive gait perturbations (Granacher et al., 2010). The loss of joint stability in the presence of fatigue may be a significant contributor to the increased joint angular displacements seen in this study. Future research is needed to determine if such increased joint displacements are predictive of falls in HO persons seen at the end of the day (Doheny et al., 2012) or during slipping or tripping situations (Lord et al., 1993).

Regardless of age, the COP-COM difference during the support phase of the anterior and posterior tether-release tasks continued to get smaller at each time-point following the fatiguing intervention. A small COP-COM difference indicates that the individual attempts to minimize the separation of the COM from the COP during the fall, as if they're uncertain of their ability to control COM motion. During an obstacle crossing task, a reduced COP-COM distance indicated a conservative reduction of the mechanical load on joints of the supporting limb (Hahn and Chou, 2004). Similarly, in the present study the significant decreases in COP-COM difference across time suggests that fatigue caused individuals to adopt a conservative strategy that limited the stability demands on the COP during recovery from simulated falls.

#### **4.2 Effects of Age**

Significant differences between the groups in this study occurred in the length of the protective step. HY adults employed a longer step length during recovery from the tetherrelease task than HO individuals. This phenomenon was also seen by Mademli et al., who found that HY individuals stepped 8.9cm further on average, than HO participants following acute muscle fatigue and recovery from a forward-leaning reproducible fall (Mademli et al., 2008). In an actual fall situation, the stepping strategy is used to bring the support base back into alignment under the COM (Maki and McIlroy, 1997). A reduced step length provides a much smaller degree of stabilization and is considered by some to be a maladaptive strategy for HO persons (Menz et al., 2003a, b, 2007).

#### **4.3 Recovery from Fatigue**

The negative effect of fatigue on joint kinematics during reactive postural control tasks appears to be brief. In this study both knee and ankle angular displacements of the stepping limb during the support phase returned to baseline after 15 minutes of rest (Figure 2). Independent of age, previous studies examining localized muscle fatigue have shown that postural control returns to baseline across all age groups between 75 seconds (Harkins et al., 2005) and 20 minutes (Yaggie and McGregor, 2002) with an average time of 8.2 minutes (Adlerton and Moritz, 2001; Harkins et al., 2005; Lin et al., 2009; Parreira et al., 2013; Yaggie and McGregor, 2002). The effect of fatigue on joint angular kinematics appears to concur with previous studies.

Fatigue had a protracted effect however, on step velocity and COP-COM difference in this study. The fact that some outcome measures failed to recover while others were ameliorated over time should not be surprising. Fatigue can result in changing postural strategies including different kinetic and kinematic approaches (Wilson et al., 2006). In addition, the

factors that regulate balance are multifaceted (Shumway-Cook and Woollacott, 2012). Because the postural control system has a series of built in redundancies (Loeb et al., 1999) the system allows the individual to compensate for a decline in one factor by upregulating control in another (Tresch, 2007). In this manner, an individual can attempt to maintain a degree of postural stability despite the ominous demands on muscle due to fatigue (Wilson et al., 2006).

#### **4.4 Limitations**

Although this study found significant alterations in reactive postural response coordination as a result of acute muscle fatigue, these results should be interpreted with caution. The nonsignificant interaction effects in this study reflected smaller effect sizes than expected as a result of large between subject variability. Potential causes for this variability include the large number of male subjects in the HO cohort and the heterogeneous levels of fatigue within groups (see large standard deviations, confidence intervals in Table 1).

While significant main effects of age were found in the study it is likely that more robust effects could have been seen had the sample included a healthy older cohort that was more advanced in age. Most world countries have accepted the chronological age of 65 as a definition of "elderly" persons. Thus, the authors acknowledge that the small effect sizes and lack of interactions between age and fatigue could have been caused by the relatively young age of older participants.

#### **4.5 Suggestions for Future Research**

The results of this investigation and previous examinations of postural control in older individuals in fatigued states (Adlerton and Moritz, 2001; Davidson et al., 2009; Granacher et al., 2010) suggest that acute muscle fatigue has a negative effect on reactive postural control. When coupled with studies reporting the alteration of the effectiveness of sensory inputs and motor output of postural control (Taylor et al., 2000), these trials strongly suggest that fatigue has a measurable clinical effect on stability and potentially on fall risk and raises two important issues worthy of further research.

First, the aging population is becoming increasingly advised to seek clinical interventions for strength and mobility training (Gillespie et al., 2001; Stephens, 2010). Consequently, there is the potential for iatrogenic increases in fall risk following fatiguing exercise that, to our knowledge has not been explored especially in populations already at increased fall risk (e.g., frail elderly, persons with neurodegenerative diseases). Until more empirical data is developed, falls can be mitigated in clinical settings if fatiguing exercises are conducted at the beginning of an exercise session, with lighter exercise occupying the last 15 minutes. High risk clients should be monitored closely at all times regardless of exercise intensity.

Second, the degradation of postural control by acute muscle fatigue would appear to reveal a potential target for intervention. If exercise programs were explicitly designed to make lower extremity muscles more fatigue resistant, the participant might derive postural control benefits. To date, several chronic muscle endurance-training studies have been employed using a combination of postural control outcomes (Avelar et al., 2010; Ballard et al., 2004; Hess and Woollacott, 2005; Lopopolo et al., 2006; Melzer et al., 2003). However, these

studies have employed balance correlates like static stance posture, gait speed and other clinical tests, which fail to incorporate measures of reactive postural control. Controlled trials are needed to examine the efficacy of training regimens on muscle fatigue induced instability in reactive postural control scenarios.

#### **5. CONCLUSIONS**

Acute muscle fatigue has a deleterious effect on the coordination of reactive postural responses, regardless of age. Older persons have reduced spatial measures of postural control recovery, which may influence fall risk. These results should heighten the awareness of clinicians regarding the potential negative effects of acute muscle fatigue and age for older populations during clinical exercise settings. Further research is needed to examine the effects of acute muscle fatigue on postural control in inherently balance-impaired populations.

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#### **HIGHLIGHTS**

**•** Most falls in older adults occur during tasks requiring reactive postural control

- **•** Muscle fatigue alters spatial and kinematic measures of reactive postural control
- **•** Fatigue-induced alterations to postural control resolve within 15 minutes of rest
- **•** These effects may influence fall risk in older adults during/after exercise settings



## **Support Phase Swing Phase**

#### **Figure 1.**

Diagram of a posterior tether-release task, demonstrating both swing and support phases.



#### **Figure 2.**

Angular displacements of the stepping limb knee and ankle joints during the support (landing) phase of the anterior tether-release in healthy old and young individuals. \* indicates statistically significant pairwise comparisons with Bonferroni correction (P<0.007), T0 baseline measurement, T1 immediate-post fatigue test, T15 15-minutes postfatigue, T30 30-minutes post-fatigue

#### **Table 1**

#### Characteristics of study participants.



Values are presented as Mean xx (SD yy) [95% Confidence Intervals]

BMI - body mass index, Total Ex time – total exercise time



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difference during the support (landing) phase, HIP\_ANG\_DISP angular displacement of the stepping limb hip joint during the support (landing) phase, KNEE\_ANG\_DISP angular displacement of the stepping limb ankle joint during difference during the support (landing) phase, HIP\_ANG\_DISP angular displacement of the stepping limb hip joint during the support (landing) phase, KNEE\_ANG\_DISP angular displacement of the enter of mass indicates return to baseline, PK\_COP\_DISP peak mediolateral center of pressure displacement toward the stance limb during the swing phase, COP-COM\_DIFF center of pressure-center of mass stepping limb knee joint during the support (landing) phase, ANKLE\_ANG\_DISP angular displacement of the stepping limb ankle joint during the support (landing) phase

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**Table 2**

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# **Table 3**

Means and standard deviations for reactive postural control measures across all time-points during posterior tether-release. Means and standard deviations for reactive postural control measures across all time-points during posterior tether-release.



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Values are presented as Mean xx (SD yy) **bold**- indicates statistically significant main effect (p<0.05), PK\_COP\_DISP peak mediolateral center of pressure displacement toward the stance limb during the swing phase, COP-COM Values are presented as Mean xx (SD yy) **bold**- indicates statistically significant main effect (p<0.05), PK\_COP\_DISP peak mediolateral center of pressure displacement toward the stance limb during the (landing) phase, KNEE\_ANG\_DISP angular displacement of the stepping limb knee joint during the support (landing) phase, ANKLE\_ANG\_DISP angular displacement of the stepping limb ankle joint (landing) phase, KNEE\_ANG\_DISP angular displacement of the stepping limb knee joint during the support (landing) phase, ANKLE\_ANG\_DISP angular displacement of the stepping limb ankle joint swing phase, COP-COM\_DIFF center of pressure-center of mass difference during the support (landing) phase, HIP\_ANG\_DISP angular displacement of the stepping limb hip joint during the support during the support (landing) phase during the support (landing) phase