



Retrospective Study

Cyberknife treatment for advanced or terminal stage hepatocellular carcinoma

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Abstract

AIM: To investigate the safety and efficacy of the Cyberknife treatment for patients with advanced or terminal stage hepatocellular carcinoma (HCC).

METHODS: Patients with HCC with extrahepatic metastasis or vascular or bile duct invasion were enrolled between May 2011 and June 2015. The Cyberknife was used to treat each lesion. Treatment response scores were based on Response Evaluation Criteria in Solid Tumors v1.1. The trends of tumor markers, including alpha fetoprotein (AFP) and proteins induced by vitamin K absence II (PIVKA II) were assessed. Prognostic factors for tumor response and tumor markers were evaluated with Fisher's exact test and a logistic regression model. Survival was evaluated with the Kaplan-Meier method and multivariate analysis was performed using the Cox proportional hazards model.

RESULTS: Sixty-five patients with 95 lesions were enrolled. Based on the Barcelona Clinic Liver Cancer classification, all patients were either in the advanced or terminal stage of the disease. The target lesions were as follows: 52 were bone metastasis; 9, lung metastasis; 7, brain metastasis; 9, portal vein invasion;

4, hepatic vein invasion; 4, bile duct invasion; and 10 other lesion types. The response rate and disease control rate were 34% and 53%, respectively. None of the clinical factors correlated significantly with tumor response. Fiducial marker implantation was associated with better control of both AFP (HR = 0.152; 95%CI: 0.026-0.887; $P = 0.036$) and PIVKA II (HR = 0.035; 95%CI: 0.003-0.342; $P = 0.004$). The median survival time was 9 mo (95%CI: 5-15 mo). Terminal stage disease (HR = 9.809; 95%CI: 2.589-37.17, $P < 0.001$) and an AFP of more than 400 ng/mL (HR = 2.548; 95%CI: 1.070-6.068, $P = 0.035$) were associated with worse survival. A radiation dose higher than 30 Gy (HR = 0.274; 95%CI: 0.093-0.7541, $P = 0.012$) was associated with better survival. In the 52 cases of bone metastasis, 36 patients (69%) achieved pain relief. One patient had cerebral bleeding and another patient had an esophageal ulcer after treatment.

CONCLUSION: The Cyberknife can be safely administered to patients with advanced or terminal stage HCC. High AFP levels were associated with worse survival, but a higher radiation dose improved the survival.

Key words: Hepatocellular carcinoma; Stereotactic body radiotherapy; Cyberknife; Neoplasm metastasis/therapy; Liver radiotherapy

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Core tip: Due to an aging of hepatocellular carcinoma (HCC) patient population, a growing number of patients are ineligible for conventional therapy. The Cyberknife® system delivers stereotactic body radiation therapy (SBRT), which offers minimally invasive treatment with high doses of radiation. There has been an increase in the number of successful reports of using SBRT against liver-confined HCC. We found that the Cyberknife can safely be administered even in patients with advanced or terminal stage HCC. Our results suggest that SBRT may have the potential to increase the overall survival for advanced stage HCC patients. High alpha fetoprotein levels were associated with worse survival, but a higher radiation dose improved the survival.

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INTRODUCTION

Hepatocellular carcinoma treatment strategy

Hepatocellular carcinoma (HCC) is the third cause of cancer-related deaths worldwide^[1] and is one of

the leading causes of death in patients with hepatic cirrhosis^[2]. The Barcelona Clinic Liver Cancer (BCLC) classification, which evaluates both tumor stage and patient condition, has commonly been used to determine the course of treatment^[3,4]. Based on this staging system, patients with "very early" and "early" stage HCC are candidates for curative treatment such as surgery, percutaneous alcohol injection or radiofrequency ablation (RFA). However, less than 30% of patients are eligible for these radical treatments due to advanced disease stage, poor liver function, or other medical complications^[5]. For patients with intermediate or advanced stage HCC, treatment options include transarterial chemoembolization (TACE)^[6,7], sorafenib^[8], or palliative care. However, patients remain incurable, and consequently have a poor prognosis. As a result, there has been a need for a highly effective and less invasive treatment option for these HCC patients

History of the Cyberknife

Although HCC is a radiosensitive tumor^[9], the use of radiation therapy for HCC has been limited due to the poor tolerance of the entire liver to irradiation. Doses are required to be less than 30-35 Gy, and there is a risk of developing radiation induced liver disease (RILD)^[10]. Originally, RILD was defined as having anicteric hepatomegaly, ascites, and an elevated alkaline phosphatase level typically occurring 2-12 mo after therapy^[11]. In contrast to this "classic" RILD, a "non-classic RILD" has been proposed. Patients with underlying chronic liver diseases such as cirrhosis or viral hepatitis may present with liver dysfunction, including jaundice or markedly elevated serum transaminases (more than 5 times the upper limit of normal) within 3 mo after radiation^[12]. Over the past two decades, thanks to advancements in computer and imaging technologies, this weakness has been overcome, and radiation therapy has evolved to be a safe and feasible option for HCC, with RILD rates of less than 5%^[13].

Stereotactic body radiotherapy (SBRT) is a technique that enables the delivery of highdose radiation (usually 8-12 Gy/fraction) to the tumor with extreme accuracy, while minimizing the damage to normal surrounding tissue in 1-10 fractions. The major advantages of SBRT are the promising radiobiological efficacy of the administration of such large radiation doses to tumor tissues, the short treatment course achieved by a small number of fractions, and the minimal invasiveness of the therapy, which can also be given to patients with a poor performance status. SBRT was initiated in the 1950s for the treatment of intracranial malignancies and resulted in extremely high local control rates (greater than 80%-90%)^[14]. However, its use in extracranial tumors has been limited because of the movement caused by the respiratory cycle. The Cyberknife® (Accuray Incorporated, Sunnyvale, California, United States) is a

robotic image guided system that delivers SBRT, tracks tumors during respiration, and automatically adjusts treatment for any patient movement. The Cyberknife has been used to treat a broad range of tumors throughout the body, including prostate, lung, spine, liver, pancreas, kidney, and other tumors. Currently, there have been increasing numbers of successful reports of using SBRT against HCC and other liver tumors. Four prospective studies and several retrospective studies have suggested that SBRT can be used safely, and that this method has been associated with high local control rates, mostly in the range of 70%-100% at 1-2 years^[15-37]. However, studies focusing on patients with advanced or terminal stage HCC are still scarce. Here, we report the treatment outcomes, safety and efficacy of Cyberknife SBRT for patients with advanced or terminal stage HCC at our institution.

MATERIALS AND METHODS

Patients

Patients with HCC who were unsuitable for surgery, TACE, RFA, or other therapies were eligible for Cyberknife treatment and enrolled after careful discussion between the patients and their treating physicians. We selected tumors for Cyberknife treatment if they met the following eligibility criteria: intrahepatic tumors invading the hepatic vessels or bile duct without other viable lesions, single extrahepatic tumors, or bone metastases causing pain. In principle, patients with multiple metastases were eligible only if they had bone lesions.

All the patients submitted a written consent form before treatment. This retrospective, single-institution study was approved by the institutional research ethics board.

The diagnosis of HCC was based on histological confirmation, or the characteristic radiological appearance on a dynamic computed tomography (CT) scan or a dynamic contrast-enhanced magnetic resonance imaging (MRI) scan. The presence of risk factors, such as cirrhosis, HBV, or HCV infection was also taken into account. For metastatic lesions, we assumed that HCC was the primary tumor if the patient had previously been diagnosed with HCC and had metastasis.

Treatment

All patients were treated as inpatients, except for 4 patients who adamantly chose to be treated as outpatients. All patients were treated with the Cyberknife.

Real-time tracking of tumor movements was performed with the MultiPlan[®] (Accuray) treatment planning software and the Synchrony[®] (Accuray) respiratory tracking system. A gold marker was introduced beside the tumor for those who needed respiratory synchronization. For tumors invading the

hepatic vessels or bile duct, Visicoil[®] (Sceti, Medical Labo K. K., Tokyo, Japan), a helical gold linear fiducial marker 0.75 mm in diameter by 5 mm in length was percutaneously implanted under ultrasound-guidance near the tumor. For lung metastasis, a spherical fiducial marker 1.5 mm in diameter (Olympus, Tokyo, Japan) was inserted by bronchoscopy.

Patients were immobilized in a vacuum cushion or plastic shell in the treatment position to reduce any motion caused by breathing. A spiral CT scan with and without contrast and a slice thickness of 1 mm was obtained for planning. MRI was also used for spine or brain lesion planning. The gross tumor volume (GTV) for intrahepatic lesions was defined as the arterial enhancing site with washout on the venous or delayed phase CT. The GTV for extrahepatic lesions was defined depending on the characteristic radiologic aspects of the metastases. The planned target volume (PTV) for intrahepatic lesions and lung metastases was defined as the GTV with a 2-5 mm margin in all directions. Because the lesions inside the lung are particularly vulnerable to respiratory movement, the margins for these lesions were estimated based on CT scans obtained during both the inhalation and exhalation phases. For spinal lesions, the PTV was defined as the GTV with a 2 mm margin because these lesions are less subject to respiratory movement. For brain lesions, no margin was applied for the GTV because the surrounding brain tissue is considered critical. A total dose of 8-50 Gy in 1-10 fractions was prescribed to the 80% isodose line (95% PTV coverage) and delivered to the PTV for 1-7 consecutive working days. Dose constraints for organs at risk were applied based on a previous report^[38].

Evaluation

Each patient had a clinical and biological evaluation during and after the completion of treatment and every 1 to 3 mo thereafter until death unless they were lost to follow-up, or until death. Patients underwent CT scans 1-3 mo following the completion of SBRT, and radiological follow-up was performed by CT scan or MRI every 3 mo thereafter.

Tumor response was classified according to the Response Evaluation Criteria in Solid Tumors (RECIST) version 1.1^[39] as follows: complete response (CR), complete disappearance of the irradiated tumor; partial response (PR), > 30% reduction in tumor size; stable disease (SD), < 30% reduction or < 20% increase in tumor size; and progressive disease (PD), > 20% increase in tumor size. Although the modified RECIST (mRECIST) has recently been used to evaluate treatment response in HCC, the RECIST version 1.1 still seems to be commonly used in evaluating radiotherapy responsiveness, as seen in previous reports^[17,40]. Tumor markers, including alpha fetoprotein (AFP) and proteins induced by vitamin K absence II (PIVKA II) were evaluated within in one

Table 1 Patient characteristics *n* (%)

Characteristics	Parameter	Patients
No. of patients		65 (100)
Sex	Male	51 (78)
	Female	14 (22)
Age, yr	Median	71
	Minimum-Maximum	26-93
Viral hepatitis	HCV	35 (54)
	HBV	9 (14)
	None	21 (32)
Child-Pugh classification	A	38 (58)
	B	24 (37)
	C	2 (3)
	NA	1 (2)
ECOG performance status	0	16 (25)
	1-2	43 (66)
	3	6 (9)
Previous treatments	Surgery	24 (37)
	RFA	28 (43)
	TACE	49 (75)
	Sorafenib	13 (20)
	Radiation	7 (11)
BCLC stage	C	59 (91)
	D	6 (9)
AFP (ng/mL)	Median	256
	Minimum-Maximum	1-240700
PIVKA II (mAU/mL)	Median	1431
	Minimum-Maximum	8-316400

ECOG: Eastern Cooperative Oncology Group; BCLC: Barcelona Clinic Liver Cancer staging system; AFP: Alpha fetoprotein; PIVKA II: Proteins induced by vitamin K absence; HCV: Hepatitis C virus; HBV: Hepatitis B virus; RFA: Radiofrequency ablation; TACE: Transarterial chemoembolization; NA: Not available.

week prior to the treatment and one month after the treatment. For bone metastases, the efficacy of treatment was also evaluated by symptom relief. The response was self-assessed by subjective pain score and was classified into the following categories: pain relief, exacerbation, or no symptomatic change. Toxicity was graded using the National Cancer Institute Common Terminology Criteria for Adverse Events (CTCAE) version 4.0^[41]. Dose limiting toxicity (DLT) was any CTCAE grade 4 or 5 for hepatic, thrombocytopenic, or GI toxicity occurring within 1 mo of SBRT or RILD requiring treatment in the absence of disease progression within 3 mo of SBRT.

Statistical analysis

Prognostic factors for tumor response and tumor

markers were evaluated with Fisher's exact test and a logistic regression model. Survival was evaluated with the Kaplan-Meier method and multivariate analysis with the Cox proportional hazards model. All statistical analyses were performed using the R statistical package "cmprsk" in version 3.2.0. Differences were considered to be statistically significant at $P < 0.05$.

RESULTS

Patients and treatment

Between May 2011 and June 2015, a total of 65 patients with 95 lesions were treated with SBRT using the Cyberknife system. Fifty-one were male and 14 were female with a median age of 71 (range: 26-93) years. Underlying liver disease included hepatitis C in 35 patients (54%), hepatitis B in 9 patients (14%), and other causes in 21 patients. The patients included in the study had Eastern Cooperative Oncology Group performance scores of less or equal to 2, except for 6 patients with a score of 3. Pre-treatment Child-Pugh score ranged from 5A to 8B, except for 2 patients who had scores of 11C and 12C respectively. Based on the BCLC classification of HCC, 59 patients and 6 patients had advanced and terminal stage disease, respectively. All the patients had previously been treated for HCC, including 24 patients who received surgery, 28 patients who received RFA, 49 patients who received TACE, and 7 patients who received radiation therapy other than SBRT previously. Seven patients with 15 lesions were treated in combination with sorafenib administration. Six patients had been previously treated with sorafenib but discontinued therapy due to side effects. Other patients were not eligible for sorafenib treatment due to contraindications such as poor liver function or brain metastasis. The target lesions were represented as follows: 52 were bone metastasis (mostly spine); 9, lung metastasis; 7, brain metastasis; 9, portal vein invasion; 4, hepatic vein invasion; 4, bile duct invasion; and 10 other lesions (pleura, cavernous sinus, and lymph node metastases).

For tumors invading the hepatic vessels or bile duct, the median tumor size was 29 (range: 12-54) mm and the median prescribed dose was 35 (range: 28-50) Gy in 3-10 fractions. For extrahepatic lesions, the median tumor size was 23 (range: 10-53) mm and the median prescribed dose was 25 (range: 6-48) Gy in 1-6 fractions.

The median follow-up period was 4 (range: 1-33) mo. Of the 65 patients, 35 patients were referred from other institutions and were followed-up after treatment at the referring hospital, and 15 patients were lost to follow-up. Treatment was completed by all patients. The characteristics of the patients are presented in Table 1.

Tumor response

The efficacy of the therapy was as follows; CR was observed in 7 lesions, PR in 25 lesions, SD in 19

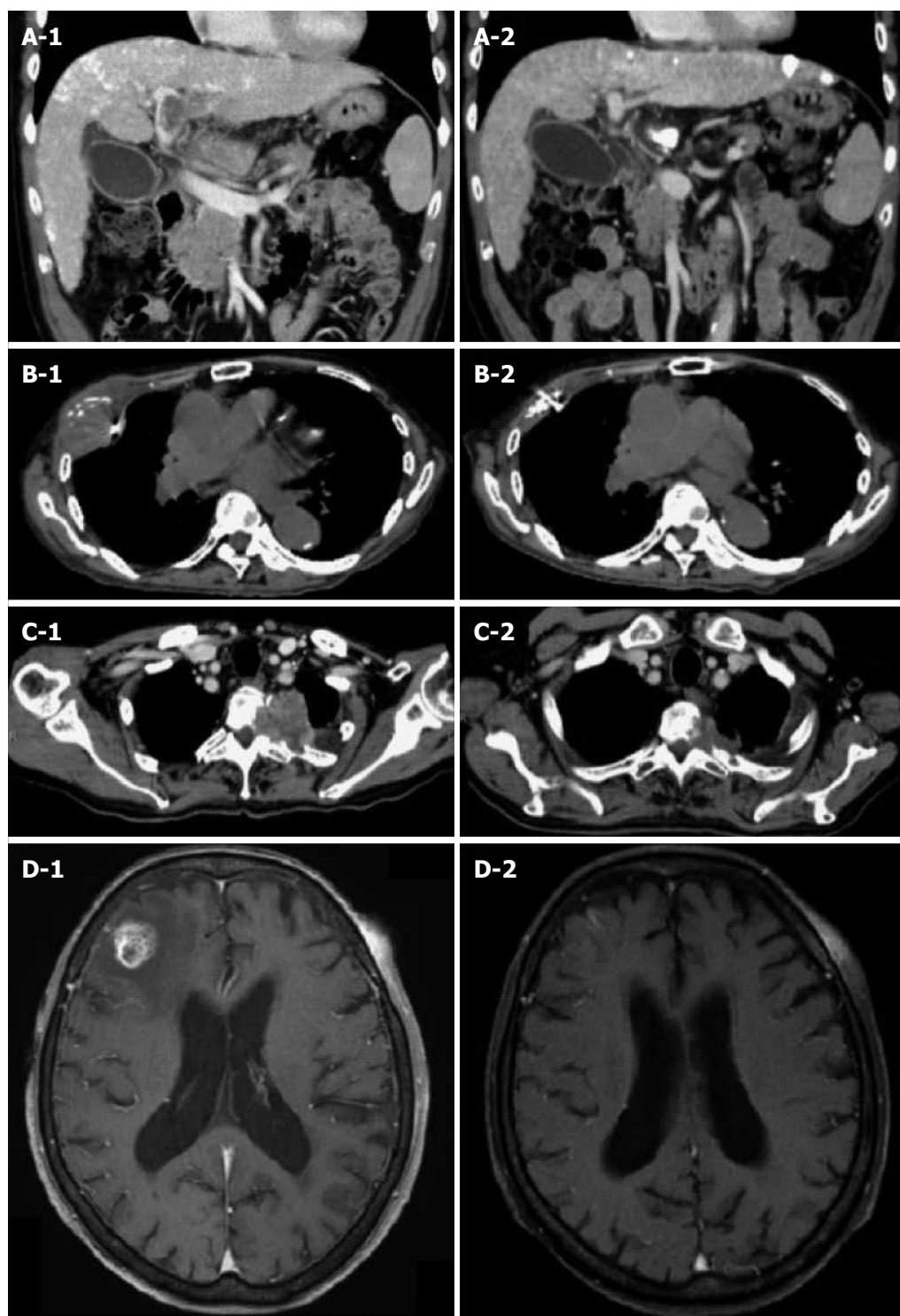


Figure 1 Tumor responses treated with Cyberknife. A1: CT scan of 59-year-old male with portal vein tumor thrombosis. The tumor is invading the portal vein from the main trunk to the 1st branch. The tumor diameter was 46 mm. A fiducial marker was implanted nearby; A2: Three months after irradiation with 35 Gy/5 fractions. The portal vein tumor thrombosis disappeared completely and the patient achieved CR; B1: CT scan of 85-year-old male with pleural HCC metastasis. The tumor diameter was 53 mm. A fiducial marker was implanted nearby; B2: Three months after irradiation with 30 Gy/5 fractions. The tumor disappeared completely and the patient achieved CR; C1: CT scan of 72-year-old male with thoracic spine HCC metastasis. Tumor is invading the left side of the thoracic spine at T2-3 causing bone destruction. The tumor diameter was 52 mm; C2: Three months after irradiation with 30 Gy/5 fractions. The tumor decreased to 33 mm (37% reduction in size) and the patient achieved PR; D1: T1-weighted MRI of 83-year-old female with brain HCC metastasis. There is a right frontal lobe lesion with gadolinium enhancement. The tumor diameter was 19 mm; D2: One month after irradiation of 20 Gy/1 fraction. The tumor disappeared completely and the patient achieved CR. CR: Complete response; CT: Computed tomography; HCC: Hepatocellular carcinoma.

Table 2 Lesions and treatment outcomes

Variables	Total lesions (n = 95) n	Size (mm)		Radiation (Gy)			Response				
		Median	Range	Dose	Range	Fraction	CR	PR	SD	PD	NA
Liver											
Portal vein	9	34.5	(15-54)	36	(28-50)	(3-6)	2		2	1	4
Hepatic vein	4	38	(20-54)	32.1	(28-36)	(4-10)	1	1		1	1
Bile duct	4	19.5	(12-29)	38.5	(28-45)	(5-7)		1	1		2
Bone	52	24.5	(10-52)	21.5	(8-33)	(1-6)	1	13	16	11	11
Lung	9	19	(18-48)	40	(27-48)	(3-4)		4		1	4
Brain	7	23.5	(12-38)	22	(14-30)	(1-3)	2			2	3
Others	10	31	(15-53)	30	(16-48)	(1-6)	1	6			3

CR: Complete response; PR: Partial response; SD: Stable disease; PD: Progressive disease. NA: Not available.

Table 3 Prognostic factors for tumor response n (%)

Prognostic factors	Response (+)	Response (-)	P value
	CR, PR, SD	PD	
Gender			0.716
Female	8 (73)	3 (27)	
Male	43 (77)	13 (23)	
Age (yr)			1.000
< 70	23 (77)	7 (23)	
≥ 70	28 (76)	9 (24)	
AFP (ng/mL)			0.123
< 400	25 (69)	11 (31)	
≥ 400	23 (88)	3 (12)	
BCLC			1.000
Advanced	46 (75)	15 (25)	
Terminal	5 (83)	1 (17)	
Child-Pugh			0.363
< 7	36 (80)	9 (20)	
≥ 7	15 (68)	7 (32)	
Diameter (mm)			0.401
< 30	29 (81)	7 (19)	
≥ 30	22 (71)	9 (29)	
Dose (Gy)			0.119
< 30	33 (70)	14 (30)	
≥ 30	18 (90)	2 (10)	
Dose/fraction (Gy)			0.137
< 8	22 (88)	3 (12)	
≥ 8	29 (69)	13 (31)	
Lesion			0.274
Intrahepatic	10 (91)	1 (9)	
Extrahepatic	41 (73)	15 (27)	
Fiducial			0.126
(-)	34 (71)	14 (29)	
(+)	17 (89)	2 (11)	
Sorafenib			0.460
(-)	43 (78)	12 (22)	
(+)	8 (67)	4 (33)	

Fisher's exact test was used to evaluate prognostic factors for tumor response. Complete response (CR), Partial response (PR) and stable disease (SD) were categorized into response (+), progressive disease (PD) was categorized into response (-).

lesions, and PD in 16 lesions; 28 lesions were not evaluated because of patient death or the loss of a patient to follow-up. Actual tumor responses are shown in Figure 1. The response rate (RR) and disease control rate (DCR) of all the lesions were 34% and 53%, respectively. After excluding the unevaluated cases, the RR and DCR were 48% and 76%, respectively. For

tumors invading the hepatic vessels or bile duct, the RR and DCR of the evaluated cases were 50% and 80%, respectively. Lesions and treatment outcomes are summarized in Table 2.

Univariate analysis was performed but none of the clinical factors were statistically significant for tumor response (Table 3).

Trends in AFP and PIVKA II levels were available in 53 lesions. Thirty patients (57%) presented with decreases in AFP, and 28 patients (53%) presented with decreases in PIVKA II. In the univariate analysis, radiation dose (≥ 30 Gy) and fiducial marker implantation were appeared to be factors associated with both AFP and PIVKA II reductions. In multivariate analysis, fiducial marker implantation remained to be associated with better control of both AFP [(HR = 0.152; 95%CI: 0.026-0.887, P = 0.036) and PIVKA II (HR = 0.035; 95%CI: 0.003-0.342, P = 0.004)]. The results are shown in Tables 4 and 5.

For the 52 cases of bone metastases, the efficacy of treatment was also assessed in terms of pain control. Thirty-six patients (69%) achieved pain relief, 10 patients had no symptomatic change, 1 patient had worse pain, and 4 patients were not evaluated.

Overall survival

At the time of the analysis, 26 patients had died; each died of cancer. The overall 1-year survival rate was 49%. The median survival times for all the patients, advanced stage patients, and terminal stage patients were 9.0 mo (95%CI: 5.0-15.0), 13.0 mo (95%CI: 7.0-15.0) and 1.0 mo (95%CI: 1.0-NA) respectively. The Kaplan-Meier curve for overall survival is presented in Figure 2. Univariate and multivariate analyses were performed to account for the factors associated with survival. In univariate analysis, AFP (≥ 400 ng/mL), BCLC terminal stage, Child-Pugh score (≥ 7) and radiation dose (< 30 Gy) were appeared to be factors associated with worse survival. In multivariate analysis, BCLC terminal stage (HR = 9.809; 95%CI: 2.589-37.17, P < 0.001) and AFP (≥ 400 ng/mL) (HR = 2.548; 95%CI: 1.070-6.068; P = 0.035) were associated with worse survival. Radiation dose (≥ 30 Gy) (HR = 0.274; 95%CI: 0.093-0.7541, P = 0.012)

Table 4 Prognostic factors for alpha fetoprotein response *n* (%)

Prognostic factors	Univariate analysis		<i>P</i> value	Multivariate analysis		
	AFP			Odds ratio	95%CI	<i>P</i> value
	Decrease	Increase				
Gender			0.478			
Female	4 (44)	5 (56)				
Male	26 (59)	18 (41)				
Age (yr)			< 0.001			
< 70	11 (37)	19 (63)				
≥ 70	19 (83)	4 (17)		0.116	0.029-0.460	0.002
AFP (ng/mL)			0.570			
< 400	20 (61)	13 (39)				
≥ 400	10 (50)	10 (50)				
BCLC			1.000			
Advanced	27 (57)	20 (43)				
Terminal	3 (50)	3 (50)				
Child-Pugh			0.151			
< 7	22 (65)	12 (35)				
≥ 7	8 (42)	11 (58)				
Diameter (mm)			0.054			
< 30	11 (42)	15 (58)				
≥ 30	19 (70)	8 (30)		0.286	0.073-1.120	0.072
Dose (Gy)			0.013			
< 30	18 (46)	21 (54)				
≥ 30	12 (86)	2 (14)		0.992	0.093-10.50	0.995
Dose/fraction (Gy)			0.555			
< 8	11 (65)	6 (35)				
≥ 8	19 (53)	17 (47)				
Lesion			0.270			
Intrahepatic	7 (78)	2 (22)				
Extrahepatic	23 (52)	21 (48)				
Fiducial			0.025			
(-)	19 (48)	21 (52)				
(+)	11 (85)	2 (15)		0.152	0.026-0.887	0.036
Sorafenib			0.738			
(-)	23 (55)	19 (45)				
(+)	7 (64)	4 (36)				

Fisher's exact test and a logistic regression model were used to evaluate prognostic factors for AFP response. AFP: Alpha fetoprotein.

was associated with improved survival in multivariate analysis. Prognostic factors associated with overall survival are shown in Table 6.

Adverse effects

Overall, the treatments were well-tolerated by patients. No patient complained of changes in subjective symptoms, such as abdominal pain, nausea, fatigue, or joint pain, and no patients had a toxicity greater than or equal to grade 2. No hematologic complications, significant liver enzyme elevations, or classic RILD were observed during treatment.

One patient had a grade 4 cerebral hemorrhage 2 h after radiation for brain metastasis. The patient recovered well after craniotomy and hematoma removal, but died of liver failure 45 d after therapy. This was the second case of hemorrhage that presented on the first day of the SBRT therapy experienced at our institution.

Another patient presented with a grade 2 esophageal ulcer following treatment that resulted in a digestive hemorrhage. For this patient, the treatment target was in the hepatic vessels, and CR was achieved

in that lesion. The maximum dose with which the esophagus was irradiated was 31.2 Gy in 4 fractions and occurred 16 d after therapy. The patient recovered well with conservative management, including a proton pump inhibitor, mucoprotective agents, and 5-aminosalicylic acid administration.

DISCUSSION

To date, radiation therapy has not been established as a standard therapy for HCC^[42]. This modality has not even been included as a treatment option in the BCLC staging system. However, a growing number of patients who are not eligible for conventional therapy have been treated with radiation therapy with promising results. Furthermore, this therapy modality can be used not only as curative treatment but also for palliative care.

The treatment of advanced HCC with invasion of the major hepatic vessels or the bile duct can be challenging. The majority of available liver-directed therapies are generally contraindicated for such cases. Additionally, these HCC lesions are associated with a

Table 5 Prognostic factors for PIVKA II response *n* (%)

Prognostic factors	Univariate analysis		P value	Multivariate analysis		
	PIVKA II			Odds ratio	95%CI	P value
	Decrease	Increase				
Gender			0.278			
Female	3 (33)	6 (67)				
Male	25 (57)	19 (43)				
Age (yr)			0.052			
< 70	12 (40)	18 (60)				
≥ 70	16 (70)	7 (30)		0.359	0.093-1.390	0.139
AFP (ng/mL)			0.738			
< 400	18 (55)	15 (45)				
≥ 400	10 (50)	10 (50)				
BCLC			0.404			
Advanced	26 (55)	21 (45)				
Terminal	2 (33)	4 (67)				
Child-Pugh			0.267			
< 7	20 (59)	14 (41)				
≥ 7	8 (42)	11 (58)				
Diameter (mm)			0.056			
< 30	10 (38)	16 (62)				
≥ 30	18 (67)	9 (33)		0.185	0.047-0.730	0.016
Dose (Gy)			< 0.001			
< 30	15 (38)	24 (62)				
≥ 30	13 (93)	1 (7)		0.270	0.021-3.40	0.312
Dose/Fraction (Gy)			0.769			
< 8	8 (47)	9 (53)				
≥ 8	20 (56)	16 (44)				
Lesion			0.026			
Intrahepatic	8 (89)	1 (11)				
Extrahepatic	20 (45)	24 (55)		0.000	0.00-∞	0.994
Fiducial			0.001			
(-)	16 (40)	24 (60)				
(+)	12 (92)	1 (8)		0.035	0.003-0.342	0.004
Sorafenib			1.000			
(-)	22 (52)	20 (48)				
(+)	6 (55)	5 (45)				

Fisher's exact test and a logistic regression model were used to evaluate prognostic factors for PIVKA II response.

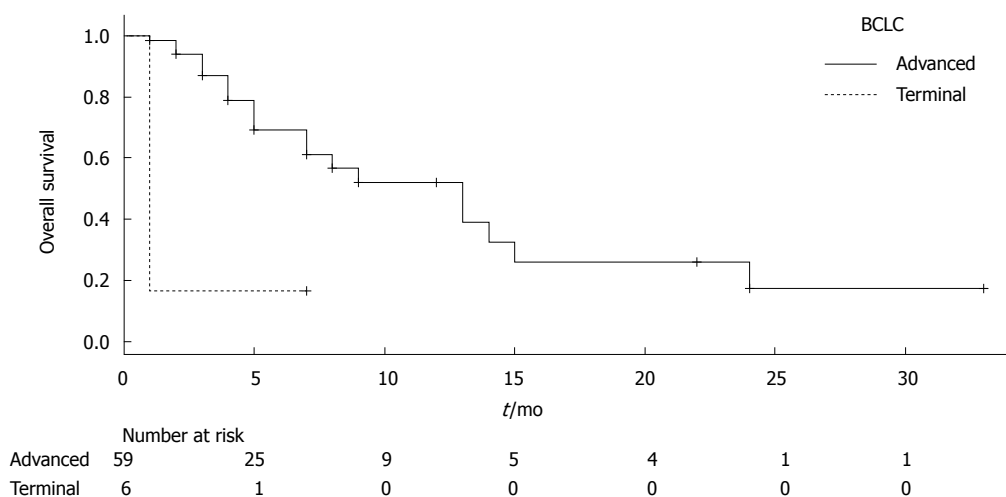


Figure 2 Kaplan Meier curves for overall survival. The median survival times for the advanced stage patients and terminal stage patients were 13 mo and 1 mo respectively.

Table 6 Univariate and multivariate analysis for overall survival

Prognostic factors	Univariate analysis			Multivariate analysis		
	Hazard ratio	95%CI	P value	Hazard ratio	95%CI	P value
Gender (male)	0.968	0.387-2.419	0.945			
Age (\geq 70 yr)	0.770	0.355-1.670	0.508			
AFP (\geq 400 ng/mL)	2.662	1.181-6.001	0.018	2.548	1.070-6.068	0.035
BCLC (terminal)	7.022	2.442-20.19	< 0.001	9.809	2.589-37.17	< 0.001
Child-Pugh (\geq 7)	3.031	1.258-7.301	0.013	1.364	0.510-3.645	0.536
Diameter (\geq 30 mm)	0.654	0.285-1.500	0.316			
Dose (\geq 30 Gy)	0.302	0.114-0.804	0.017	0.274	0.093-0.7541	0.012
Dose/fraction (\geq 8 Gy)	1.889	0.790-4.516	0.153			
Lesion (extrahepatic)	1.789	0.665-4.817	0.250			
Fiducial (+)	0.491	0.195-1.238	0.132	0.783	0.264-2.321	0.659
Sorafenib (+)	1.068	0.247-4.618	0.930			

Cox proportional hazards model was used to evaluate the prognostic factors for overall survival. AFP: Alpha fetoprotein; BCLC: Barcelona Clinic Liver Cancer.

worse prognosis for overall survival. Our results showed that RR and DCR for these lesions at follow-up were 50% and 80%, respectively. Kang *et al.*^[43] reported that RR for portal vein tumor thrombosis treated by SBRT alone was 66.7%, and could be improved up to 73.5% if combined with TACE. Compared with these results, our data are almost equivalent. We believe the small difference between the above results can be partly explained by the higher prescribed dose (median 40.2 Gy) in the previous study compared with our study (median 35 Gy). Previous reports underline a significant relationship between total prescribed dose and local tumor control^[10,17,40]. Although our study could not significantly certify the prognostic factors for tumor response, radiation dose (\geq 30 Gy) had a favorable tendency regarding tumor response (OR = 0.266; 95%CI: 0.027-1.370, $P = 0.119$). In terms of overall survival, radiation dose (\geq 30 Gy) and AFP (\geq 400 ng/mL) were significant prognostic factors, as found in previous reports. Due to limited information regarding optimal treatment indication, doses, and methods remains limited, further studies are required to maximize the efficacy of SBRT.

In our study, all the patients had either advanced or terminal stage disease based on their BCLC classification. Remarkably, all of our patients were able to complete treatment, although most of them were in poor condition, had a poor performance status or other medical complications. All patients were able to complete treatment because SBRT has the advantage of enabling a short treatment course while allowing the administration of a large radiation dose in a small number of fractions.

Furthermore, our patients were mainly comprised those who were not eligible for sorafenib due to its side effects or contraindications to therapy such as poor liver function or brain metastasis. Radu *et al.*^[44] reported that inadequate treatment for advanced stage HCC patients, undertreatment results in a decreased survival (3 mo vs 4 mo) and that overtreatment may increase survival (28 mo vs 4 mo) compared with

standard therapy. Therefore, SBRT may be a hopeful option for patients who are not eligible for other treatments.

To assess the overall disease control, the trends of AFP and PIVKA II were evaluated. Thirty-five patients (57%) presented with decreases in AFP, and 28 patients (53%) presented with decreases in PIVKA II. In multivariate analysis, fiducial marker implantation was associated with better control of both AFP and PIVKA II. This was most likely because fiducial marker implantation was performed against lesions that were the largest burden for patients without other coexisting viable HCC. We assume that the reason that all patients did not achieve tumor marker improvement was because some patients had other coexisting lesions that were left untreated (especially in bone metastases cases). We believe that there was a therapeutic effect with respect to the lesions irradiated, and that SBRT can also be used for palliative care. In terms of SBRT for bone metastases, 69% of the patients achieved pain relief without complications. We conclude that SBRT can be safely and successfully administered to palliate bone metastasis symptoms.

There were several limitations to this study. A major limitation was its retrospective design and consequent lack of a control group. Additionally, this study involved only one institution and our sample size was small. However, based on previous studies, the median survival times of advanced stage and terminal stage BCLC are generally reported to be 4-7 mo and 1-3 mo respectively^[44-46]. In our study, in spite of some patients being lost to follow-up, the median survival times for advanced stage and terminal stage were 13 mo and 1 mo respectively. This result suggests that SBRT may have the potential to increase the overall survival for advanced stage HCC patients, and compares favorably with the best supportive care and with sorafenib (4.2 to 7.9 mo and 6.5 to 10.7 mo, respectively^[5,8]), which is the only other potentially available therapy for these patients. Further prospective studies are expected to define the role of

the Cyberknife in the management of HCC.

In conclusion, this report is pioneering because it focused on Cyberknife SBRT in patients with advanced or terminal stage HCC. Our results suggest that the Cyberknife may be less invasive than other therapies and is useful for local tumor control, palliative care and increasing survival for those who have no other treatment options.

COMMENTS

Background

The Cyberknife® system delivers stereotactic body radiation therapy (SBRT). SBRT is a technique that enables the delivery of high-dose radiation (usually 8-12 Gy/fraction) to the tumor with extreme accuracy in 1-10 fractions, while minimizing the damage to normal surrounding tissue. The major advantages of SBRT are the promising radiobiological efficacy of the administration of such large radiation doses to tumor tissues, the short treatment course achieved by a small number of fractions, and the minimally invasiveness of the therapy, which can also be given to patients with poor performance status. Currently, successful reports of SBRT studies against hepatocellular carcinoma (HCC) and other liver tumors have suggested that SBRT can be used safely and this method has been associated with high local control rates, mostly in the range of 70%-100% at 1-2 years. The authors report treatment outcomes, safety and efficacy of Cyberknife SBRT for patients with advanced or terminal stage HCC at our institution to clarify its safety and efficacy.

Research frontiers

Most studies published about SBRT for HCC have focused only on liver confined tumors, with a few articles discussing SBRT use for extrahepatic HCC. The authors present the largest study on Cyberknife treatment for patients with advanced and terminal stage HCC.

Innovations and breakthroughs

In this study, the authors found that the Cyberknife can safely be administered even in patients with advanced or terminal stage HCC. The median survival time was 9 mo (95%CI: 5-15 mo). Terminal stage disease (HR = 9.809; 95%CI: 2.589-37.17, $P < 0.001$) and an AFP of more than 400 ng/mL (HR = 2.548; 95%CI: 1.070-6.068, $P = 0.035$) were associated with worse survival. A radiation dose higher than 30 Gy (HR = 0.274; 95%CI: 0.093-0.7541, $P = 0.012$) was associated with better survival.

Applications

Present results revealed that SBRT may have the potential to increase the overall survival in advanced stage HCC patients. High AFP levels were associated with worse survival, but a higher radiation dose improved the survival.

Terminology

Cyberknife system: A non-invasive alternative to surgery for the treatment of both cancerous and non-cancerous tumors anywhere in the body. It delivers high-dose beams of high dose radiation to tumors with extreme accuracy. SBRT: A technique that enables the delivery of high radiation doses (usually 8-12 Gy/fraction) to the tumor with extreme accuracy in 1-10 fractions, while minimizing the damage to normal surrounding tissue.

Peer-review

This study describes the treatment outcome of CyberKnife SBRT for primary or metastatic lesions in patients with advanced or terminal HCC according to the Barcelona Clinic Liver Cancer classification.

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