Chronic Histopathological and Behavioral Outcomes of Experimental Traumatic Brain Injury in Adult Male Animals

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Abstract

The purpose of this review is to survey the use of experimental animal models for studying the chronic histopathological and behavioral consequences of traumatic brain injury (TBI). The strategies employed to study the long-term consequences of TBI are described, along with a summary of the evidence available to date from common experimental TBI models: fluid percussion injury; controlled cortical impact; blast TBI; and closed-head injury. For each model, evidence is organized according to outcome. Histopathological outcomes included are gross changes in morphology/histology, ventricular enlargement, gray/white matter shrinkage, axonal injury, cerebrovascular histopathology, inflammation, and neurogenesis. Behavioral outcomes included are overall neurological function, motor function, cognitive function, frontal lobe function, and stress-related outcomes. A brief discussion is provided comparing the most common experimental models of TBI and highlighting the utility of each model in understanding specific aspects of TBI pathology. The majority of experimental TBI studies collect data in the acute postinjury period, but few continue into the chronic period. Available evidence from long-term studies suggests that many of the experimental TBI models can lead to progressive changes in histopathology and behavior. The studies described in this review contribute to our understanding of chronic TBI pathology.

Key words: behavior; chronic; function; histopathology; TBI

Introduction

Overview and purpose

IT IS WELL-ESTABLISHED that traumatic brain injury (TBI) leads
to diverse histopathological and behavioral consequences that to diverse histopathological and behavioral consequences that begin in the acute period (hours to days) and persist chronically (weeks, months, and years after injury). Chronic symptoms negatively affect survivors' quality of life and hinder their independence and ability to return to preinjury responsibilities.^{1–6} Even with modern medical care, an estimated 3.2–5.3 million Americans are living with one or more residual problem attributed to TBI. 2.7 There is an impetus to better understand the long-term consequences of TBI, given that chronic symptoms are distressing for both TBI survivors and their families and are coupled with significant health service utilization and cost.

Animal models have been a mainstay of TBI research for over a century.8–11 Several types of experimental TBI models have been developed to model the consequences of TBI, and four of the most

commonly used options are discussed in this article: fluid percussion injury (FPI); controlled cortical impact (CCI); blast TBI (bTBI); and closed-head injury (CHI). In this review, separate headings are used for different injury induction methods with the test species, location, or injury severity denoted in the body of the text when relevant. It is important that additional long-term studies of experimental TBI are conducted so that the persistence and progression of TBI pathology may be better understood, given that this information can be applied to guide the development of therapeutic interventions that reduce chronic disability. To date, the chronic consequences of experimental TBI remain less characterized than the acute pathology. This was confirmed by Gold and colleagues, who reviewed 314 data-based publications from experimental TBI studies, which met the following criteria: used rodent models, compared TBI-exposed cases to controls, and included functional outcome assessments.¹² The researchers found that only 32% of all experimental TBI publications meeting the above-mentioned criteria included outcomes beyond 1 month

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postinjury, and a mere 8.6% of studies assessed outcomes beyond 2 months postinjury.¹² Other TBI researchers have acknowledged that only limited attention has been given to understanding the chronic effects of experimental TBI.¹³ Only a handful of studies have included postinjury outcomes out to 1 year or beyond.^{14–18} Despite the limited quantity of evidence, the literature that is available from experimental models of TBI suggests that histopathological and functional outcome deficits persist into the long term, as observed in TBI survivors.^{19–21}

The purpose of this review is to summarize the key evidence available from long-term studies of FPI, CCI, bTBI, and CHI. Less commonly used methods for inducing experimental TBI, such as cold probe injury,²² penetrating ballistic-like brain injury,²³⁻²⁶ and combinatorial models (e.g., blast + stress), are not included. In this review, long-term outcomes, defined as evidence collected at or beyond 2 weeks after injury, are emphasized. Our rationale for using a 2-week cutoff for chronic outcomes in this review is based on a desire to provide the most comprehensive review possible, keeping in mind the relative dearth of long-term outcome assessments highlighted in the Gold and colleagues review. Notably, there is no consensus regarding how the time course of recovery observed in humans compares to those observed in the various experimental TBI models.

The resources at a large university library system and online search engines (e.g., PubMed, PubMed Central, Google Scholar, and Web of Science) were used to identify relevant literature. In total, 193 articles were identified, as summarized in Table 1. Assessment time points are not always reported and when they are the units of time varied (e.g., days, weeks, months, or years). To facilitate ease of comparison in this review, all data collection time points were converted to approximate number of days based off a

Table 1. Summary of the 193 Long-Term STUDIES IDENTIFIED

| Characteristic | Frequency (%) | | |
|----------------------|---------------|--|--|
| Type test animal | | | |
| Rat | 126 (65.3) | | |
| Mouse | 60 (31.1) | | |
| Pig | 4(2.1) | | |
| Nonhuman primate | 2(1.0) | | |
| Rabbit | 1(0.5) | | |
| Sex | | | |
| Male | 161 (83.4) | | |
| Female | 8(4.1) | | |
| Both male and female | 7(3.6) | | |
| Unspecified | 17(8.8) | | |
| Study duration, days | | | |
| 14-29 | 73 (37.8) | | |
| $30 - 59$ | 69 (35.8) | | |
| $60 - 89$ | 15(7.8) | | |
| $90 - 119$ | 12(6.2) | | |
| 120-149 | 2(1.0) | | |
| 150-179 | 4(2.1) | | |
| 180-209 | 5(2.6) | | |
| 210-239 | 1(0.5) | | |
| $240 - 269$ | 0(0) | | |
| 270-299 | 1(0.5) | | |
| 300-329 | 1(0.5) | | |
| 330-365 | 9(4.7) | | |
| $366+$ | 1(0.5) | | |

Type of animal used, animal sex, and study duration are noted.

30-day month and a 365-day year. Study length in days was used to create ordinal groups: 14–29, 30–59, 60–89, 90–119, 120–149, 150–179, 180–209, 210–239, 240–269, 270–299, 300–329, 330– 365, and 366 + days postinjury. Group frequencies were generated, which revealed that, of the long-term studies identified, only one quarter (26.4%) collected outcomes at or beyond 2 months (60 days) postinjury. A mere nine studies (4.6%) collected outcomes at 1 year (365 days) postinjury, $1^{4-18,27-30}$ with one extending beyond 1 year (0.5%) .^{26,31}

Of the 193 articles identified, the vast majority (96.4%) used rodent models, with 65.3% of studies being rat studies and 31.1% mouse studies. The remaining 3.6% of studies used pigs, nonhuman primates, and rabbits (Table 1). No exclusions were made based on the species used, but efforts were made to denote the species with the evidence, especially for nonrodent models.

Notably, the vast majority of long-term studies identified used male animals exclusively (83.4%), whereas only a handful of studies used females exclusively (4.1%) or both males and females (3.6%). The remaining 8.8% did not specify the sex of the animal used (Table 1). In this review, the long-term evidence highlighted will be limited to studies exclusively and explicitly using male animals. The rationale for this decision is 2-fold: 1) outcomes of TBI may be moderated by sex and/or the effect of sex hormones $32-35$ and 2) human males in all age groups are more likely to experience TBI than females.³⁶ Whereas long-term evidence will be limited to males, studies using female animals or not specifying animal sex may be cited in supporting text (e.g., introducing/defining concepts, describing models, in the Discussion section).

Age of the test animals is another study variable that is often not reported by researchers. Indeed, nearly one third (31.6%) of studies did not specify the age, with many of these also not indicating weight, which could be used to approximate age of the test animals. Another one quarter of the studies (25.9%) vaguely listed the age as adult. A mere 12 studies (6.2%) explicitly described the sample as consisting of immature (i.e., juvenile, pediatric, and adolescent) animals or provided animal ages that correspond to an immature animal of that type/strain. These 12 studies were excluded from the evidence highlighted in this review. However, owing to the large percentage of studies that did not specify animal age, studies were not excluded from this review if age was unspecified, as was true for studies that did not specify sex.

For each of the five TBI models included, a brief historical overview is provided with commonly used species noted. A summary of key evidence from studies using each model is divided into histopathological and functional outcomes. The histopathological consequences of interest in this review are gross morphological changes, ventricular enlargement, gray/white matter (GM/WM) shrinkage, cell death, axonal injury, cerebrovascular histopathology, inflammation, and neurogenesis. With respect to functional outcomes, this review includes overall neurological function, motor function, frontal lobe function, and stress-related outcomes.

In the summary of the evidence provided, injury severity is sometimes included to provide additional details that may be of interest to readers. However, it is important to note that injury severities included in this review are based off the original description of injury level from the primary source; these severity levels may not be consistent across research groups complicating comparisons across studies. Moreover, these injury severity levels may or may not correlate with clinical TBI severity.

In summarizing the evidence pertaining to each outcome of interest for the five models, the emphasis is on evidence gathered over the longest study period from the publications identified during the

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literature review. After the model-specific discussion of the longterm histopathological and behavioral consequences of experimental TBI, a brief description of the comparisons and contrasts between the models is provided, including a table to summarize key comparisons. The primary aims of this review are to highlight the literature detailing the chronic histopathological and behavioral outcomes of TBI, and leave the reader with an understanding of the techniques available for the study of chronic TBI in animals. Careful study planning is important to select the appropriate model characteristics to best address the research question(s).

Outcomes of interest

A short definition/description of each histopathological and behavioral outcome is provided, and the clinical relevance of the outcomes of interest is noted. Gross morphological and histopathological changes are apparent with the use of simple staining and microscopy. Examples include gross contusion, lesion, or volume loss of particular brain structures. These overt changes in brain morphology have been observed after clinical $TBI³⁷$ and replicated in experimental models.³⁸ The manifestation of post-traumatic functional impairments can exist in the absence of overt morphological changes,³⁹ and thus conclusions from studies are strengthened by the inclusion of both histopathological and functional deficits.

Three related changes are discussed under a single heading: ventricular enlargement, shrinkage of GM, and shrinkage of WM. Ventricular enlargement has been observed after clinical TBI where it correlated with deficits in cognition and memory.^{40–43} Similarly, GM shrinkage has been observed after clinical TBI.^{44,45} Gale and colleagues used voxel-based morphometry in TBI survivors approximately 1 year postinjury and reported that GM concentration was significantly lower than in matched controls.⁴⁵ WM atrophy contributes to tissue shrinkage observed after clinical $TBI^{42,46}$ and can be studied using animal models.^{28,47} Changes in GM/WM concentration^{28,29,48,49} and ventricular enlargement have also been reported after experimental TBI.^{14,27}

A factor contributing to the aforementioned changes to GM and WM is cell death. Cell death occurs in multiple types of central nervous system (CNS) cells after TBI through multiple mechanisms: apoptosis, necrosis, and autophagy.^{50,51} Depending on the types of cell death (or subset of a particular cell death pathway) that are of interest, the researcher can choose between available models (e.g. FPI, CCI, bTBI, and CHI), which differ in their histopathological and behavioral consequences, as described in this review. Discussion of cell death will be broken down under three headings pertaining to apoptosis, necrosis, and autophagy; when relevant, a discussion of the patterns of cell death will be included.

Early evidence of axonal injury, a common TBI pathology, was reported nearly 70 years ago by Rand and Courville, who observed histopathological changes throughout many brain regions post-TBI.⁵² Additional evidence accrued regarding axonal pathology at early post-TBI time points in varying injury severities.⁵³⁻⁵⁵ More in-depth categorization of these changes occurred during the 1980s, leading to the now widely use concept of diffuse axonal injury (DAI).56,57 DAI is characterized by early axonal changes and swelling during the first 1–2 days post-TBI with the progression to more extensive secondary damage and ultimate axonal disconnection.⁵⁸ Axonal injury has been reported in numerous experimental TBI models.^{57,59,60}

Cerebrovascular histopathology includes disruption of the blood–brain barrier (BBB), endothelial cell damage, and dysregulation in cerebrovascular blood flow. These changes to the cerebrovascular system have been observed in the clinical setting post- $TBL^{61–64}$ Evidence of cerebrovascular histopathology has also been reported in experimental models of TBI.^{65–67}

The study of inflammation began in antiquity, with Celsus.⁶⁸ More recently, scientific understanding of inflammation has broadened to include both the multiple influences and outcomes when defined as a ''multi-mediated phenomenon, of a pattern type in which all mediators would come and go at the appropriate moment...increasing vascular permeability, attracting leucocytes, producing pain, local edema and necrosis.''⁶⁹ Historically, the brain was considered immune privileged; however, CNS inflammation has since been discovered, as evidenced by major histocompatibility complex expression, glial activation, acute phase response, complement activation, expression of adhesion molecules, inflammatory mediator synthesis, edema, and immune cell invasion.70 In the context of clinical TBI, inflammation represents a key pathophysiological process during both the acute and chronic periods^{46,71–73} and is replicated by experimental TBI.^{49,74,75} Whereas microglial and astrocytic responses are also part of the inflammatory cascade, they are not emphasized in this review; rather, the focus is primarily on cytokines because these are better categorized in the long term postinjury.

Neurogenesis can be defined as the process in which new neurons are generated through differentiation and maturation of neural stem cells and neuronal precursor cells.^{76–79} Following the observation that ongoing neurogenesis occurs in the adult human $brain₁^{80,81}$ this physiological process has been explored in animal models as a mechanism by which new neurons could be generated to compensate for cell loss after TBI.⁸²⁻⁸⁶ Whereas adult neurogenesis occurs in both the subgranular zone of the hippocampus and the subventricular zone of the lateral ventricle, this review focuses on hippocampal neurogenesis because the hippocampus is an important contributor to specific cognitive tasks. $87-90$ Studies using many of the aforementioned animal models of TBI demonstrate that therapeutic interventions designed to enhanced neurogenesis may contribute to improved neurobehavioral function post-TBI.^{84,91-93}

There are six main behavioral outcomes of interest in this review; each outcome is studied using a variety of experimental methodologies. Overall neurological function is negatively impacted by clinical TBI and can be evaluated in TBI patients using tools such as the Glasgow Outcomes Scale (GOS), Glasgow Outcomes Scale Extended, and Neurobehavioral Rating Scale.^{94–98} In experimental models of TBI, overall neurological function is assessed using various composite tools.⁹⁹⁻¹⁰²

Motor deficits are common after clinical and experimental TBI. In pre-clinical studies, motor deficits are assessed using the beam balance task (BBT), beam walking task (BWT), inclined plane task, cylinder test, foot fault test, bilateral tactile adhesive removal task, rotarod, and rotating pole task. Impairments in acute and chronic motor function have been observed on these assessments when applied to studies using varied experimental TBI models. A composite neuroscore, which combines several motor assessments into a single score,^{99,103} can be used by researchers to evaluate gross and/or fine motor function, depending on the task(s) utilized and study time frame.

Cognitive dysfunction is cited by TBI survivors as one of the greatest contributors to reduced quality of life.¹⁰⁴ In animal models, the components of cognitive function commonly studied include spatial memory/learning, nonspatial learning, and reference memory. Spatial memory is most commonly assessed using the Morris water maze (MWM) hidden platform task, reference memory with the MWM probe trial, and nonspatial learning using the passive avoidance task.¹⁰⁵ Passive learning is assessed using cued and contextual fear conditioning.¹⁰⁶

Frontal lobe function encompasses executive function, memory, language, aggression, and reasoning. $107,108$ TBI in humans is known to affect the frontal lobe and cause dysfunction in the cognitive processes controlled by this brain region.^{109–111} However, frontal lobe dysfunction remains understudied in animals because it is challenging to propose experimental analogs of the complex frontal lobe functions observed in humans (e.g., executive function, impulse control, and language). To date, frontal lobe function after experimental TBI has been most studied in the context of CCI.

Several stress-related outcomes have been associated with TBI, most notably post-traumatic stress disorder $(PTSD)$.^{112–117} Fear and stress can lead to emotional and physical consequences, including outcomes associated with sympathetic nervous system actions.118,119 In experimental models of TBI, heightened stress and fear have been reported.^{120,121} Often, bTBI studies include stress-, fear-, and anxiety-related outcome measurements, such as the elevated plus maze, zero maze, and responses to predator stress exposure.122,123

Fluid Percussion Injury: Model Overview and History

FPI is one of the earliest standardized models of TBI described and remains relevant and widely used to this day (Fig. 1). FPI was developed for use in rabbits by Lindgren and Rinder.¹¹ More than a decade later, the model was applied by Sullivan and colleagues to cats.¹²⁴ Subsequently, Dixon and colleagues applied FPI to rats,¹²⁵ and recently, the model has been applied to mice¹²⁶ and swine.^{127,128}

FPI uses a bolus of saline that is injected at a rapid rate into the closed cranium to produce a brain injury. Preceding the FPI, a craniotomy is performed, typically in one of two locations: 1) at midline in the location of the sagittal sinus¹²⁵ (i.e., medial FPI, midline FPI, or central FPI), or 2) lateral in the location of the parietal cortex^{103,129,130} (i.e., lateral FPI or parasagittal). Cemented over the craniotomy site is a plastic cap coupled with a female Leur-Loc connector. The Leur-Loc is temporarily attached to a Plexiglas or metal tube connected to a saline-filled reservoir. The release of a swinging pendulum and the resulting contact with the plunger causes a fluid pulse, measured in atmospheres (atm) that contacts the dura and enters the epidural space. The percussion produces brief displacement and deformation of neural tissue.^{131,132} Injury severity is modified by adjusting the height of the pendulum to alter the magnitude of fluid pulse pressure. More recently, Kabadi enhanced the reproducibility of FPI by adapting the instrument to be pneumatically driven and controlled by a microprocessing unit.¹³¹

Since its development, FPI has become recognized as a clinically relevant model of brain injury that is particularly useful for studying specific characteristic features of TBI. Lateral FPI is frequently utilized to study alterations in blood flow within the cerebrovasculature,¹³³ WM damage,¹³⁴ hippocampal cell death,¹⁰³ and parieto-occipital cortex.¹³⁵ Midline FPI is commonly chosen to model concussive injuries and DAI.^{125,136}

Fluid Percussion Injury: Chronic Histopathological Outcomes

Shrinkage of gray/white matter and enlargement of ventricles

Carbonell and Grady reported that parasagittal FPI in mice led to WM degeneration in multiple brain regions, which was evident by 4 days postinjury and progressed at least 35 days postinjury. The researchers concluded that the spatial pattern of damage was similar in mice and rats post-FPI, but did note one key difference: Mice exhibited a shorter period of damage, particularly within the thalamus and hippocampus.¹³⁷ In rats, enlargement of the lateral ventricles has been reported 8 weeks post-FPI.¹³⁸ Long-term ventricular enlargement post-FPI has also been reported by Nonaka and colleagues, who found progressive ventricular expansion up to 1 year after parasagittal FPI in rats.¹⁶

FIG. 1. Fluid percussion injury diagram. A saline-filled tube with a flexible end cap is struck by a pendulum; this rapidly injects a saline bolus into the closed cranium to produce traumatic brain injury. A protractor on the pendulum allows for precise and repeatable forces.

Cell death

Patterns. The existing body of literature suggests that cell death begins rapidly post-TBI and persists into the long term. Early pre-clinical work by Bramlett and colleagues suggests that significant hippocampal cell loss within the ipsilateral dentate hilar region was found after moderate FPI in rats.¹³⁸ Patterns of cell death post-FPI have been reported in the literature, contributing substantially to our understanding of the chronic effects of TBI. Bramlett and colleagues conducted a 1-year study in rats and reported that the penumbral tissue exhibited ongoing cell death that resulted in an enlarged cavity lined with glia.²⁸ Smith and colleagues described progressive tissue loss starting within 1 h of initial injury and continuing into the subsequent weeks, months, and out to 1 year after parasagittal FPI in rats. 14 This study used multiple assessment techniques, including hematoxylin-eosin (H&E) staining, photomicrographs of glial fibrillary acid protein (GFAP), and quantification of the percent of cortical tissue lost; continued ventricular expansion and progression of the cortical lesion at 6 months postinjury was a key finding of this study.¹⁴ H&E staining at 6 and 12 months postinjury further revealed long-term hippocampal changes, including progressive shrinkage of the layer of pyramidal cells and sustained loss of neurons in the dentate hilus at 12 months.¹⁴ After lateral FPI, chronic neuron loss in the ipsilateral cortex and hippocampus were observed at 1–4 weeks postinjury.¹³⁵ Functional deficits can be present in the absence of overt histopathology as shown in a study of mild, moderate, and severe FPI, which failed to find evidence of significant cell death in the hippocampal CA3 region and cerebral cortex, despite MWM deficits, when assessed 2 weeks postinjury.¹³⁹

Apoptosis. The term apoptosis was first coined by Kerr and colleagues to describe cells exhibiting certain morphological characteristics of cell death, including, but not limited to, chromatin condensation, karyorrhexis (i.e., nuclear fragmentation), pyknosis (reduced cell volume), and phagocytic engulfment.¹⁴⁰ Apoptosis has been observed post-FPI, though chronic evidence is limited and only a small number of studies have reported delayed apoptosis post-FPI. Conti and colleagues studied apoptosis 2 weeks after lateral FPI in rats using double-labeled immunocytochemistry to identify terminal deoxynucleotidyl transferase dUTP nick end labeling (TUNEL)-positive neurons. The researchers observed apoptosis immediately postinjury and again starting at 1 week postinjury, peaking at 2 weeks postinjury, and returning to preinjury levels by the 2-month assessment time point.¹⁴¹ In this study, apoptosis was found to affect the injured cortex, thalamus, and, to a lesser extent, the hippocampus.

Autophagy. As with apoptosis, autophagy is defined according to its characteristic features, namely, degeneration and clearing of cytoplasmic material using autophagosomes.^{142,143} Post-FPI in rats, immunoblot analysis of tissue from the neocortical regions showed markedly redistributed autophagy-related gene (ATG)12- ATG5 conjugates on day 15 postinjury.¹⁴⁴ Longer-term evidence of autophagy post-FPI was not identified.

Necrosis. Necrosis is associated with organelle swelling, oncosis (increased cell volume), rupture of the plasma membrane, and the resulting release of cellular contents.¹⁴⁵ Unlike apoptosis, necrosis lacks chromatin fragmentation. Necrosis is frequently observed acutely after TBI, but relatively few studies have examined it chronically. Bramlett and colleagues evaluated rat brain tissue 8 weeks after moderate FPI, and reported focal necrosis within a subset of thalamic cells.¹³⁸

Axonal injury

The FPI model has been used to characterize the progression of axonal injury.59,146 However, only a handful of studies have evaluated chronic axonal injury. A study by Pierce and colleagues was among the first to include both histopathological and behavioral outcomes out to 1 year after severe lateral FPI.²⁷ Notable findings from this study were year-long functional deficits (e.g., learning, motor), which co-occurred with axonal degeneration in the injured cortex, corpus callosum (CC), and striatum. Smith and colleagues published several experimental TBI studies that contributed to the understanding of the prolonged time course of traumatic axonal damage.^{147–150} Axon swelling throughout the brain has been observed out to 6 months postinjury, and time-dependent reductions in the number of myelinated axons in the thalamus and cortex were reported up to 1 year postinjury.²⁹ In a study of parasagittal FPI, Dietrich and colleagues found a spatial relationship between areas where axonal damage was found and those where long-term GFAP messenger RNA was observed.¹⁵¹

Using an FPI model to produce a mild TBI (mTBI), Spain and colleagues found evidence of spatially progressive axonal degeneration within the external capsule and the dorsal thalamus up to 6 weeks after insult. At the 4-week time point, elevated levels of axonal bulbs within the external capsule were evident in injured animals, whereas no major differences were present in other areas of the brain. In addition, myelin basic protein immunostaining showed no damage to myelin during any time point within the study. 152

Cerebrovascular histopathology

Cerebrovascular dysfunction has not been well studied during the chronic period post-FPI. One study by Guo and colleagues documented evidence of ongoing angiogenesis out to 2 weeks postinjury. In this study, CD34-positive cells were reported starting 72 h after injury and persisting for 14 days post-TBI.¹⁵³ Existing evidence suggests that CD34-positive cells are directly incorporated into expanding vascular cells.¹⁵⁴ CD34-positive cells have also been found to impact secretion of angiogenic growth factors, such as vascular endothelial growth factor (VEGF).¹⁵⁵ Thus, CD34-positive cells are believed to promote angiogenesis both directly and indirectly. However, the exact mechanisms by which CD34-positive cells contribute to angiogenesis remains unknown.¹⁵⁶

Neurogenesis

A recent experimental study observed neurons expressing neural progenitor and immature neuron markers within perilesional regions, including the cortex, WM, and hippocampus, in resected tissue from adults humans post-TBI.¹⁵⁷ After an FPI, the hippocampus exhibits a robust increase in cellular proliferation of neural stem cells in the subgranular zone of the dentate gyrus within the first week of brain injury^{86,158,159}; however, the transient elevation in the rate of cellular proliferation returns to baseline by 2 weeks postinjury.⁸⁶ Cellular proliferation is identified by the incorporation of bromodeoxyuridine or other thymidine analog into the DNA during cellular replication. As an alternative, researchers can assess cell proliferation using Ki67 immunoreactivity; Ki67 is a protein expressed during several phases of the cell cycle (e.g., late G_1 , S, G2, and mitosis).160,161 Many of the newly proliferated cells will

adopt an astrocytic or GFAP-positive lineage, but a subpopulation will differentiate and express neuronal markers in the weeks postinjury.¹⁶² In the context of FPI, two studies demonstrate that newly generated neurons extend axonal projections that integrate into CA3 at 2^{159} and 8 weeks¹⁶² postinjury. These studies demonstrate that FPI stimulates a post-traumatic neurogenic response that results in an elevation in the number of new neurons that can functionally incorporate into the hippocampus in the weeks after injury.

Fluid Percussion Injury: Chronic Behavioral Outcomes

Overall neurological function

Kabadi and colleagues found distinct injury-induced neurological score impairment after sham, mild FPI, and moderate FPI out to 3 weeks postinjury,¹³¹ with scoring as previously described.^{163–165} Briefly, neurological score was calculated based on four motor assessments: 1) right (R) and left (L) forelimb contraflexion when rats were suspended by the tail; 2) R&L hind limb flexion when rats were suspended by the tail; 3) resistance to R&L lateral pulsion; and 4) ability to stand on an inclined angle board.¹³¹ Another study found that severe lateral FPI worsened composite neuroscore performance out to 2 months postinjury.²⁷ McIntosh and colleagues evaluated composite neuroscore score using five motor assessments: 1) forelimb flexion during tail suspension; 2) circling behavior during spontaneous ambulation; 3) decreased resistance to lateral pulsion; 4) ability to balance on a narrow (2 cm wide) wooden beam; and 5) ability to stand on an angled board with the maximal angle the animal could stand on for 5 sec recorded.⁹⁹ This 5-point neurological assessment results in scores ranging from 0 to 20, interpreted as follows: $20 = normal$, $15 = slight impairment$, $10 =$ moderate impairment, $5 =$ severe impairment, and $0 =$ afunctional. Whereas McIntosh and colleagues found no effects of mild injury, moderate FPI led to deficits up to 4 weeks postinjury in this study.¹⁰³ Other researchers have reported neuroscore deficits post-FPI, as described in the following section.

Motor function

Deficits on the BBT have been observed up to 4 months post-FPI.^{166,167} Impaired rotarod performance beyond 4 weeks postinjury has been observed after lateral FPI.¹⁶⁸ A number of motor behaviors assessed by Smith and colleagues, including BWT, skilled forelimb reaching, and locomotor placing, were assessed after lateral FPI.¹⁶⁹ The BWT is commonly used by researchers to study the consequences of experimental TBI on fine motor performance. In this assessment, animals are motivated, using negative reinforcement, to traverse a narrow beam. Deficits on the BWT have been observed after a single head trauma modeled using lateral FPI, $167,170-172$ as well as repeated head trauma modeled using lateral FPI. The bilateral adhesive removal task is another fine motor task that has shown deficits out to 1 month after lateral FPI.¹⁷³ Other less-common assessments that have shown long-term deficits in FPI studies include the foot fault test of locomotor function, 130 the rotarod test of balance, 173 the cylinder test of dorsal raphe nuclei and median raphe function, 174 and the rotating pole test of coordination,^{166,168,173} all of which have shown deficits 1 month postinjury.

Other common assessments of motor function are the composite neuroscore and MWM. Early use of the composite neuroscore by McIntosh and colleagues combined five motor assessments into a single test, as described above. $99,103$ Motor deficits assessed using the composite neuroscore have been reported at 1 month postinjury in several studies.^{27,166,168,175–177} One of the studies found that deficits persisted out to 2 months postinjury.²⁷ The MWM, discussed below in relation to its use for assessment of cognitive function, such as spatial learning/memory and reference memory, also collects swim speed data that provides information about overall motor function. Long-term deficits on swim speed have been observed after both isolated lateral FPI¹⁷⁸ and repeated lateral $FPI.¹⁷⁹$

Cognitive function

Learning deficits assessed using the MWM were evident out to 2 weeks in a rat model of severe FPI.¹⁸⁰ MWM deficits have been observed to persist for up to 4 weeks post-FPI in some studies^{179,181,182} and 8 weeks postinjury in others.^{167,183,184} When the severity of injury was increased, MWM deficits were observed out to 1 year postinjury.²⁷ An alternative assessment of learning, the reversal learning test, also showed impairments 8 weeks after a single lateral FPI^{186} or repeated lateral $FPI^{179,181}$

Reference memory is also impaired after FPI. Injury effects on the MWM have been reported out to 2 weeks post-FPI. 185 Other studies in rodents have reported reference memory deficits out to 1 month after both lateral FPI, 130, 175, 176, 184, 186, 187 as well as medial FPI.188,189 Sun and colleagues observed cognitive deficits assessed by the MWM on days 11–15 and 26–30 postinjury; in this study, deficits were resolved by days 56–60 postinjury.¹⁶²

Radial arm maze task for assessment of spatial learning and memory was found to be sensitive to FPI out to 7 weeks postinjury.¹⁶⁷ As an alternative, researchers can assess spatial learning/ memory using the Barnes Maze, where rodents are placed on a well-lit circular platform with 40 holes and trained to locate a specific hole under which a goal box is found.¹⁹⁰ Injured animals assessed at 1 and 3 months postinjury impaired Barnes Maze performance.¹⁹¹ Impaired spatial learning was observed 3 weeks post-FPI with concomitant localized axonal damage within the external capsule and dorsal thalamus, despite unaltered myelin.¹⁵²

Stress-related outcomes

Overall, stress-related outcomes are rarely assessed into the long term post-FPI. Anxiety, assessed using the elevated plus maze, has been reported out to 1 month after a single lateral FPI, 172 as well as after repeated lateral FPI.179,181 Depression-like behavior in rodents are observed 1 month after lateral FPI in the forced swim test. 179

Controlled Cortical Impact: Model Overview and History

CCI is a widely used, well-characterized model of injury, which is valued for its quantifiable, replicable, and programmable nature. CCI was first developed for use in ferrets¹⁹² and within a few years was translated to rats⁶⁰ and mice.^{48,193} CCI experiments with primates have also been reported in the literature.¹⁹⁴ Briefly, CCI produces a TBI by transferring mechanical energy onto the exposed dura matter. Most commonly, the impact in CCI is delivered by a pneumatic cylinder (Fig. 2); however, an electromechanical alternative is also available. CCI is well regarded for the precise control it affords over injury parameters; the velocity at which the impactor tip moves, depth of depression of neural tissue, dwell time, and tip geometry are all precisely controlled, which ultimately enhances

FIG. 2. Controlled Cortical impact diagram. Test animals are held in a stereotactic frame using ear bars and an incisor bar. A doubleacting pneumatic piston drives an impactor tip into the test animal's intact dura overlying the brain or, less commonly, onto the intact skull. The piston can be precisely moved to control the depth of impact and the velocity is determined by controlling the pressure applied to the piston. There is an electromagnetically driven alternative to a pneumatically actuated piston (not pictured).

the reproducibility of the injury and consistency across test animals. CCI is considered to be clinically relevant and replicates many important secondary injury features seen in TBI patients. CCI has been used in a handful of studies evaluating injury progression up to 1 year postinjury. One study found that long-term histopathological changes, including enlarged contusion volume, progressive tissue loss, and ventricular expansion, occur out to 1 year postinjury.¹⁵

Controlled Cortical Impact: Chronic Histopathological Outcomes

Gross morphological and histological changes

One study compared animals exposed to CCI and sham and found that CCI-exposed animals had vastly larger contusion volumes at 3 weeks postinjury.¹⁵ Another notable finding of this study was that the size of the contusion nearly doubled by 1 year post-CCI.¹⁵ Though progressive gross changes have been reported, evidence of long-term morphological changes remains sparse.

Enlargement of ventricles and shrinkage of gray/white matter

Post-CCI in rats, volume of ipsilateral ventricles increased approximately 3-fold between 3 weeks and 1 year postinjury, suggesting chronic loss of GM and WM.15 A CCI model of repetitive mTBI found that bilateral contusion injuries spaced 1 week apart resulted in continued damage to the myelin within the CC that was not present in animals with only a single injury at 60 days postinjury.195

Cell death

Patterns. Fox and colleagues used TUNEL, Hoechst staining, as well as anti-NeuN antibodies and found that apoptosis was frequent and abundant.¹⁹⁶ More recently, Zhou and colleagues used Fluor-Jade B at several acute time points and one chronic time point at 14 days post-CCI. This study identified that most neurons degenerate within the first 24 h of initial injury, but cell death was ongoing up to 14 days postinjury.¹⁹⁷

Apoptosis. Apoptosis, defined previously in the FPI section, was first reported after experimental TBI in a CCI study by Colicos and colleagues.¹⁹⁸ Delayed apoptotic cell death was observed in the hippocampal CA1 and CA3 out to 2 weeks post-CCI.¹⁹⁸ In this study, characteristic apoptotic features were evaluated by silver impregnation and cresyl violet staining.¹⁹⁸ Another study observed increased levels of the apoptotic executioner protein, cleaved caspase 3, at 2 weeks postinjury.¹⁹⁹ Notably, outside of a handful of studies that assessed apoptotic outcomes at or beyond 2 weeks, the majority of studies assessing apoptosis do so in the acute period.

Necrosis. Characteristics of necrotic death have been reported in the short term post-CCI, including cellular swelling, blebbing, and lysis.²⁰⁰ Evidence of necrosis in the chronic postinjury period is sparse. Necrosis has been observed to begin rapidly post-CCI and persist for at least 2 weeks, albeit at a low level.¹⁹⁷ Necrosis of subcortical WM and concomitant working memory deficits in the absence of substantial somatosensory damage has been reported 21–23 days after mild CCI.¹⁹⁶

Axonal injury

Axonal injury has traditionally been included as a short-term outcome post-CCI.^{201,202} Myelin loss is another change to axons that has been reported in the long term post-CCI. Glushakova and colleagues used Luxol fast blue to detect loss of myelin out to 3 months postinjury in conjunction with microbleeds.²⁰³ The vascular consequences observed in this study are described in additional detail in the next section.²⁰³

Cerebrovascular histopathology

In the aforementioned Glushkova and colleagues study, microbleeds were associated with myelin loss and glial scarring. Taken together, the findings suggest that vascular damage is associated with the combination of breakdown of the BBB and inflammatory processes.²⁰³ Moreover, the researchers assert that enhanced understanding of delayed microvascular changes could lead to a better understanding of long-term TBI pathology.

Inflammation

Overall, cyclooxygenase 2 (COX-2) activity produces benefits in the context of TBI; however, ongoing production of COX-2 may be associated with secondary damage from oxidative stress, deficits in cellular metabolism, vascular changes, and associated symptoms.²⁰⁴ Evidence of long-term inflammation was reported in a study by Briones and colleagues, in which rats sacrificed one month post-CCI exhibited elevated interleukin (IL)-1 β and tumor necrosis factor alpha in both the cortex and hippocampus.²⁰⁵ The antiinflammatory cytokine, IL-10, was elevated in both the cortex and hippocampus when assessed one month post-CCI.²⁰⁵

Neurogenesis

In addition to mature granular neuron loss, immature hippocampal neurons are particularly vulnerable to TBI, resulting in a reduction in immature neuron density up to 2 weeks post-CCI.^{82,83,206,207} Similar to FPI, CCI stimulates an increase in cellular proliferation and neural stem cell expansion during the week postinjury,82,206,208,209 resulting in the generation of newborn posingary,
neurons in the granular layer.^{82,206–208,210} However, the majority of newly proliferated cells adopt a GFAP-positive lineage, which could include glial cells and neural progenitor pool repopulation in the subgranular zone at 1 month post-CCI.⁸²

Controlled Cortical Impact: Chronic Behavioral Outcomes

Overall neurological function

Several studies have found that CCI leads to global neurological deficits at 1 month postinjury.^{211–214} Although there has been some debate about the reliability, validity, and bias of composite scores,¹² assessments of overall neurological function have been widely applied in CCI and other experimental models of TBI.

Motor function

Motor function deficits have been reported using the BBT out to 1 month postinjury.²¹⁵ Fine motor deficits have also been observed 1 month after CCI using the BWT.196,212,216,217 Two experimental CCI studies demonstrated motor function deficits with vermicelli test of manual dexterity up to 8 weeks postinjury.218,219 Animals assessed with the cylinder test exhibited paw placement preference out to1 month post-CCI.²²⁰ Balance deficits have been observed on the rotarod test 1 month post-CCI, 221 as well as out to 11 weeks after moderate CCI.²²² One study found that grid walk performance was impaired at 4 weeks after CCI in mice, and spontaneous forelimb elevation was reduced at 5 months postinjury.²²³ Reduced forelimb function after moderate bilateral CCI has been reported up to 18 days postinjury. 224

Cognitive function

Dixon and colleagues reported that moderate CCI produced persistent spatial memory deficits on the MWM up to 1 year postinjury.¹⁵ More recently MWM deficits have been observed as early as 2 weeks and up to 1 month post-CCI.^{47,211,212,214–216,225–232} Chronic deficits have been observed out to 1 month post-CCI, when reference memory is assessed using the MWM probe trial.^{15,227,232,233} In mice, evaluation of learning using the MWM has revealed deficits out to 24 days postinjury234,235 and working memory deficits out to 4 weeks post-CCI.¹⁹⁶ Teresita Briones and her colleagues found that the CCI significantly increased latency on the MWM.205

Fox and colleagues showed impaired performance on the Barnes Maze task up to 24 days postinjury in mice subjected to CCI.^{217,234} Deficits in working memory function have been reported out to 1 month post-CCI versus controls.²³³ Nonspatial learning shows an injury effect out to 1 month post-CCI when assessed using the passive avoidance task.²³⁶ Attenuation of CCI-induced cognitive deficits was observed at 3 months postinjury in mice that exercised beginning 5 weeks after trauma.²³⁷

Frontal lobe function

The attentional set-shifting test (AST) is a test of frontal lobe function in which animals complete a series of perceptual discriminations of increasing difficulties with food as a reward. Animals are required to both form and maintain an attentional set and then shift from stimulus to stimulus. The food rewards are hidden within terra cotta pots filled with distinctive digging material; each pot has a distinctive odor around the rim that animals use to learn the location of the reward.^{238–240} Previous applications of the AST include rodent models of attention deficit hyperactivity disorder, 241 Parkinson's disease, 242 aging, 243 and stress. $238,244,245$ Bondi and colleagues first applied the AST to an experimental TBI study in rats and found deficits at 4 weeks post-CCI. In this study, a series of discriminative tasks were used, with difficulty of discrimination increasing progressively and included simple discriminations, compound discriminations, stimulus reversals, as well as intra- and extradimensional shifts. TBI was found to result in AST deficits, with extradimensional set-shifting and stimulus reversal performance worsening with increasing injury severity.²⁴⁶

Blast Injury: Model Overview and History

bTBI is a common mechanism of head injury for combat military personnel. In a study from one combat site in Operation Iraqi Freedom, a staggering 78% of all injuries were attributed to explosions.²⁴⁷ Another research team found that among individuals who experienced TBI severe enough to result in loss of consciousness (LOC), the majority (79%) of cases were related to a blast exposure.²⁴⁸ When individuals are in close proximity to the location of the explosion, injury tends to be severe, but the majority of exposures occur at a distance and result in mTBI; notably, even mild bTBI can produce long-term or delayed cognitive and neuropsychiatric symptoms.²⁴⁹ Thus, there is an impetus to better understand bTBI to reduce morbidity and mortality among military members. For this reason, many experimental bTBI labs are being utilized to simulate blast waves similar to those produced by detonation of an explosive. Briefly, animals are placed in a large tube and exposed to pressure waves caused by air pressure or an explosion (Fig. 3).^{250,251} There have been several attempts to design clinically relevant, reliable, and valid models of bTBI. $252-254$ Recently, efforts have been made to

scale the blast wave used to replicate exposures observed in human bTBI by scaling the thickness of the membrane to control peak overpressures.²⁵⁵ Models designed to replicate explosive blasts affecting high-mobility multipurpose wheeled vehicles and within walled areas have been developed for use with pigs.²⁵⁴ As an alternative to using animals, three-dimensional models of bTBI have been developed, such as a physical head model constructed using a polycarbonate shell and filled with gel.²⁵⁶ In one study by Zhang and colleagues, the physical head model was subjected to six blast tests with varying orientations (e.g., frontal, side, 45-degree oblique) and recorded a wide range of blast overpressures (129.5–769.3 kPa). This study also reported on two distinct stages of the bTBI response: an overpressure stage followed by a blast wind stage.²⁵⁶

In a review by Risling and Davidsson, they describe the blast mechanism as consisting of four components to blast injury.²⁵⁷ The primary blast wave consists of the initial wave of energy caused by the blast, and secondary effects include injury caused by the impact of objects displaced by the initial blast. Advancements in helmet technology have helped to curb some of the effects of secondary impacts; however, without the use of helmet sensors, there are challenges to scaling experimental models to replicate battlefield blasts.²⁵⁸ The third component of blast injury is the acceleration and rotation of the brain within the skull; this aspect of blast injury is typically associated with DAI.²⁵⁷ The final component of bTBI is the resultant chemical emissions and thermal energy associated with typical battlefield blasts. Several different models have been developed in an attempt to replicate either a single or multiple components of blast injury. These include the aforementioned blast tube fragmentation models that seek to replicate the secondary effects of blasts, which often accompany severe TBI, and penetrating ballistic models that replicate the path and cavitation caused

FIG. 3. Blast injury diagram. Nonexplosive shock tubes are commonly used to study blast traumatic brain injury and are typically comprised of a driver section separated by a longer driven section by a diaphragm. The driver section of the tube is pressurized, and when the pressure is sufficient, the diaphragm bursts and rapid expansion of the gas into the driven section produces a pressure waveform. By varying the diaphragm's thickness, the bursting pressure can be controlled. Depending on the goals of the study, the test animal can be placed in varying positions with respect to the tube. Body armor can be used to minimize noncerebral damage, if desired.

by bullets. Currently, pneumatically driven shock tubes are the most frequently used model to simulate pressure-related features of bTBI. Various models are used to replicate acceleration and deceleration injuries associated with blast. Few models exist to test the quaternary effects of blasts, and therefore limited data have been generated on the quaternary effects of bTBI.²⁵⁷

Blast Injury: Chronic Histopathological Outcomes

Enlargement of ventricles and shrinkage of gray/white matter

As is true for other models of injury, WM damage is a common pathology of bTBI. WM damage has been observed in both preclinical and clinical work and is commonly reported in the CC, brainstem, and parasagittal WM.²⁵⁹⁻²⁶¹ Notably, in a rat model of bTBI induced using a shock tube with body shielding, the most prominent histopathological consequence observed 2 weeks postinjury was degeneration of WM within the fiber track of the cerebellum using silver staining.²⁶²

Cell death

Apoptosis. Povlishock and Katz posited that cell death mechanisms likely underlie the destruction of WM commonly reported post-bTBI. Specifically, they hypothesize that apoptosis and necrosis contribute to this matter pathology, which continue into the long term subsequent to the initial blast exposure.²⁶¹ Empirical evidence of ongoing apoptosis has been reported 2 months postinjury using TUNEL staining. No other evidence of long-term apoptosis post-bTBI was identified.

Axonal injury

Among rat models of TBI, axonal injury has been reported postbTBI.²⁶² Garman and colleagues evaluated axonal degeneration using amino silver staining and found multi-focal axonal degeneration at all data collection time points after the initial blastinduced TBI, including up to 2 weeks postinjury.²⁶² The researchers suggest that silver staining is a valuable, yet underutilized, method of analyzing structural outcomes of experimental TBI, such as axonal injury.262 However, they also acknowledge that because of the limited sensitivity of the silver staining, it is best to confirm axonal injury using morphological staining patterns.²⁶²

Axonal injury has also been reported in swine up to 2 weeks post-bTBI; specifically, elevated amyloid precursor protein was found, compared to naïve and sham controls, in a study by de Lanerolle and colleagues.²⁶³ In a study of blast injury with body shielding in rats, scattered axonal spheroids were observed in the deep cerebellar WM and brainstem at 2 weeks postinjury, suggesting DAI.262 Axonal density changes have also been reported in the cingulum bundle out to 14 days after laser-induced shock-wave exposure $(0.5-1.5 \text{ J/cm}^2)$ in rats.²⁶⁴

Aside from axonal injury, changes surrounding myelin have been observed post-bTBI. One month after a single high-intensity (200 kPa) blast in macaques, researchers identified axons completely devoid of myelin staining in both transverse and longitudinal sections at 1 month postinjury, suggesting long-term evidence of axonal pathology post-bTBI.²⁶⁵

Cerebrovascular histopathology

Available evidence suggests that bTBI leads to impairments in cerebrovascular compensatory responses. This can contribute to secondary insults and development of chronic deficits. However, there remains a gap in the knowledge regarding the effects of blast injury on acute and chronic cerebral vascular reactivity.²⁶⁶ One bTBI study in rats observed vessel tortuosity within the primary visual cortex along with evidence of collagenous remnants at 10 months after three blast injuries induced on 3 consecutive days.²⁶⁷ H&E staining after 3 consecutive 74.5-kPa exposures revealed hemorrhage in the region of the fimbria, third ventricle, and adjacent to the periventricular nucleus at 10 months after the final $bTBL²⁶⁷$ In a rat model of bTBI induced using a compressiondriven shock tube (20.6 psi), Kovesdi and colleagues observed vasculogenesis, as evidenced by elevated VEGF 268 One month post-bTBI induced using trinitrotoluene, increased BBB permeability was observed.²⁶⁹ Another study found that early elevation of VEGF remained significantly elevated when assessed 2 weeks after overpressure blast injury in pigs wearing body armor.²⁷⁰

Inflammation

Long-term evidence of inflammation was reported by Cernak and colleagues. In this study, mild (rupture pressure $= 183 \pm 14$ kPa) and moderate $(213 \pm 17 \text{ kPa})$ bTBI led to elevation in macrophageinhibiting factor related protein 8, a macrophage-related protein. These changes were reported within the brainstem and hippocampus at 14 and 30 days postinjury.²⁷¹

Blast Injury: Chronic Behavioral Outcomes

Cognitive function

Long-term memory deficits have been reported post-bTBI induced using a compression-driven shock tube (20.6 psi) and was ameliorated by environmental enrichment, when compared to standard housing.²⁶⁸ Spatial memory deficits have been reported out to 1 month with the Y maze task and Novel Object Recognition (NOR) task post-bTBI induced using 500 g of detonated trinitrotoluene.²⁶⁹ Similarly, blast overpressure exposure in mice has been associated with memory deficits on the NOR task.²⁷²

In this study by Cernak and colleagues, the active avoidance response task was used to evaluated cognitive function; mild injury was found to result in cognitive deficits out to 21 days postinjury, but these deficits were attenuated by 30 days postinjury. Conversely, moderate bTBI resulted in persistent cognitive deficits out to 30 days postinjury.²⁷¹

Stress-related outcomes

A review by Graner and colleagues summarizing the applications of functional magnetic resonance imaging (MRI) suggested that bTBI survivors may experience symptoms related to stress and emotional disturbance related to underlying brain change (e.g., activation of the amygdala).²⁷³ Clinically, there is a challenge in separating out these problems from more-severe psychological consequences, such as PTSD and major depressive disorder, both of which are common in military personnel. $274,275$

In the context of animal models, bTBI led to prolonged psychological dysfunction for months, including enhanced contextual fear conditioning.¹²³ Elder and colleagues found that post-TBI stress-related traits persisted for many months after injury, including contextual fear conditioning, increased anxiety, and an altered response in a predator scent assay. Another study reported that rats exposed to blast injury exhibited increased levels of anxiety in the elevated plus maze (EPM) at 1 month; however, at 2 months postinjury, there was no difference between injured and sham animals.¹²² Kovesdi and colleagues found that injured animals displayed increased anxiety on the EPM at 45 days post-bTBI induced using a shock tube (20.6 psi) ²⁷⁶

Other researchers have evaluated potential strategies to target anxiety post-bTBI. Kovesdi and colleagues observed elevated anxiety peaking at 6 weeks post-bTBI induced within a compression-driven shock tube (20.6 psi), which returned to normal by 2 months postinjury.²⁶⁸ Long-term evidence of depression has also been reported after blast-induced TBI in mice. Specifically, Cernak and colleagues used two- and three-dimensional mapping of exploratory activity, which revealed hypoactivity, preference for corners, and freezing activity consistent with depressive behaviors at 14 and 30 days postinjury. 271

Closed Head Injury: Model Overview and History

Marmarou's weight drop impact-acceleration model was initially developed in the mid-1990s.^{12,38,277} Preceding injury, the rodent has a metal disk fastened to its skull; the weight is dropped onto this disk from a desired height to produce the TBI. A key feature of Marmarou's model is that animals are placed on a foam pad during injury to limit linear acceleration (Fig. 4). Feeney and colleagues proposed an alternative weight drop model, in which a weight is dropped onto the intact dura after a craniotomy.38,278,279 Another method of inducing closed-head trauma uses a weight propelled by a spring down a tube onto a helmet.²⁸⁰ The projective concussive impact model, proposed by Leung and colleagues, induces concussion with a blunt impact.²⁸¹ CHI is frequently used to study the effects of repeated TBI.

Closed-Head Injury: Chronic Histopathological Outcomes

Gross morphological and histological changes

In a repeated mTBI weight drop model, Mannix and colleagues subjected mice to repeated CHI over a 9-day period, in an attempt to replicate repeated human concussion in the absence of convulsion or LOC. No gross morphological changes were observed using MRI out to 6 months after final injury.²⁸² Further, none of the histopathological testing in this study suggested major WM tract disruption.²⁸²

Axonal injury

Weight drop impact acceleration is well known for resulting in widespread damage to axons and thus may be chosen by researchers interested in axonal injury as an outcome variable. When silver staining was used after repetitive closed-skull TBI in mice, the silver staining intensity was significantly higher at 1, 2, and 3 weeks postinjury than it was at baseline.²⁸³ In a weight drop model of repeated CHI (7 injuries over 9 days), no axonal pathology was present when assessed 6 months after final injury.²⁸²

Neurogenesis

In the context of weight drop impact acceleration, little is known about the vulnerability of immature neurons in the hippocampus after injury. Many of the studies evaluating long-term posttraumatic neurogenesis after weight drop impact acceleration do not assess the hippocampus, but instead the subventricular zone, 84,284 and were not included in this discussion. Bye and colleagues demonstrated that, similar to FPI and CCI, weight drop impact acceleration increases the rate of cellular proliferation during the week postinjury and leads to an increased number of newly generated neurons in the granular layer at 8 weeks postinjury.⁸⁵

Closed Head Injury: Chronic Behavioral Outcomes

Overall neurological function

Notably, an early pre-clinical assessment of global neurological function was first applied to a rat model of CHI by Shapira and colleagues.²⁸⁵ This composite score was based off the following five assessments: 1) righting reflex; 2) presence of hemiplegia; 3) presence of hemiparesis; 4) seeking behavior; and 5) ability to exit a large ring (50 cm diameter).²⁸⁵ Since the original publication of the Neurological Severity Score (NSS), it has been modified to assess specific aspects of motor function that may be impaired post-TBI. For example, additional assessments of reflexes were added by Shohami and colleagues.²⁸⁶ More recently, the scoring of the NSS was further expanded to a 14-point scale^{287,288} based off assessment of reflexes, along with motor and sensory functions, which was further adapted into the modified NSS (mNSS).²⁸⁹ The mNSS is also measured on a 14-point scale and includes assessments of reflexes, motor function, balance, and sensory function.287,288,290

Motor function

Coordination deficits assessed by rotating pole test have been reported out to 1 month after repeated CHI. This study also observed deficits in balance assessed by the rotarod task.²⁹¹ Notably, no additional long-term evidence of motor deficit post-CHI was found by us during the literature search.

Cognitive function

MWM deficits have been observed out to 1 month after a single CHI.292 Another study reported working memory function deficits post-CHI has been reported out to 1 month postinjury.²⁹³ No other long-term evidence of cognitive deficits post-CHI was identified.

Stress-related outcomes

Depression- and anxiety-like behaviors have been observed after impact accelerated TBI induced using a modified weight drop protocol.294 In this study, depression-like behavior was observed post-TBI using the open-field exploration task, the EPM task, along with observations of changes in social behavior and hypermotionality. Anxiety-like behavior was assessed using observations of social interaction and the marble-burying tests.²⁹⁴

Discussion and Conclusion

Overall, this review of the literature demonstrates that several experimental TBI models are being used to study chronic TBI pathology. Both histopathological and behavioral outcomes of TBI are reported into the long term postinjury. Notably, compared to the acute effects of experimental TBI, the chronic effects remain poorly characterized. Of the long-term evidence published to date, studies exclusively using male test animals are, by far, the most common; additional characterization of long-term outcomes of TBI in female animals is warranted. Both adult and pediatric studies have been conducted into the long term, but a number of studies fail to report the age of the animal or provide a vague characterization

FIG. 4. Closed head injury diagram. This model depicts the most commonly used version of closed head injury: the Marmarou model, in which the animal's head is placed on a foam pad to limit linear acceleration. Before injury induction, a metal disk is affixed to the skull and the weight is dropped onto the disk. Both the mass of the weight used and the height from which it is dropped can be controlled by researchers.

of adult or young adult. Under-reporting of sex, age, and other important study characteristics may affect interpretation of findings and the ability of other researchers to replicate results. This underreporting also highlights the need for common data elements (CDEs).

The need for CDEs has also been recognized by the National Institute for Neurological Diseases and Stroke (NINDS), which is establishing a set of CDEs in an effort to enhance the extent to which comparisons can be made across studies. These developing CDEs span several categories, including characteristics of the animals used (e.g., species, sex, and supplier), age, weight, and the outcome assessment(s) used (e.g., timing of assessment and measures). The NINDS has also released guidelines regarding optimizing experimental design in pre-clinical TBI studies to reduce bias and promote best practices in data interpretation and reporting.²⁹⁵ These guidelines were designed to promote clinical relevance of TBI research and ultimately promote translation to clinical trials and care. The reader is encouraged to stay up to date with these guidelines and incorporate them into their publications. The following is a brief discussion regarding how the various models of TBI compare and contrast. Notably, not all histopathological and functional outcomes of interest in this review have been observed in the chronic period postinjury using each of the included models. A summary of long-term histopathological and functional outcomes by model type is shown in Tables 2 and 3.

FPI was the first widely used injury induction method for experimental brain injury. Since FPI was developed, this model has

CHRONIC OUTCOMES OF EXPERIMENTAL TBI 1873

Table 2. Summary of Long-Term Histopathological DEFICITS BY MODEL

| | FPI | Controlled cortical impact | Blast TBI | Closed head injury |
|---|-----|----------------------------------|---------------------|-----------------------|
| Gross changes in | | X | | X |
| morphology/histology Ventricular enlargement and gray/white matter shrinkage | X | X | X | |
| Cell death patterns | X | X | | |
| Apoptosis | X | X | X | |
| Autophagy | X | | | |
| Necrosis | X | X | | |
| Axonal injury | X | X | X | X |
| Cerebrovascular histopathology | X | X | X | |
| Inflammation | | X | X | |
| Neurogenesis | X | X | | X |

This table states whether or not literature was identified that reported long-term effects of TBI on the histopathological outcomes of interest in this review; however, it does not compare model subtypes (e.g., medial FPI vs. lateral FPI) nor does it provide information regarding extent or severity of each outcome.

TBI, traumatic brain injury; FPI, fluid percussion injury.

been widely applied to study chronic outcomes of TBI. Similarly, the CCI model of TBI, also one of the earlier developed models, is widely used today. Overall, the chronic histopathological and behavioral consequences of CCI are well established.

FPI and CCI are similar, in that both lead to numerous histopathological deficits and behavioral dysfunction. Post-CCI, deficits are common on the MWM (compared to control animals),211,212,214–216,225–233 especially when compared to the rare long-term deficits observed after lateral FPI^{179,181} and medial FPI.¹⁸² Moreover, several studies suggest that CCI leads to chronic foot fault test deficits, $92,213,214,296,297$ whereas only one study showing long-term foot fault deficits after FPI was identified.¹³⁰

Conversely, in preparing this review, no evidence of long-term reversal learning task impairment post-CCI was identified; however, injury effects have been observed on this task after a single lateral FPI^{186} as well as repeated lateral $FPI^{179,181}$ Moreover, no references were identified where this test was applied and deficits found post-CCI or other models included in this review.

Table 3. Summary of Long-Term Behavioral DEFICITS BY MODEL

| | <i>FPI</i> | Controlled cortical impact | Blast TBI | Closed head injury |
|---|------------|----------------------------------|---------------------|--------------------------|
| Overall neurological function | X | X | | X |
| Motor function | X | X | | X |
| Cognitive function Frontal lobe function | X | X X | X | X |
| Stress-related outcomes | x | | | |

This table states whether or not literature was identified that reported long-term effects of TBI on the behavioral outcomes of interest in this review; however, it does not compare model subtypes (e.g., medial FPI vs. lateral FPI) nor does it provide information regarding extent or severity of each outcome.

TBI, traumatic brain injury; FPI, fluid percussion injury.

Some models that were less commonly used historically are gaining popularity. For example, bTBI has been a rapidly developing area of TBI research. This increasing interest in bTBI is owing, in part, to the fact that this represents a major injury mechanism affecting combat military personnel. CHI is less studied. Still, valuable evidence has been gleaned from these models. Moreover, each of the models of TBI have merit and may be useful for studying some particular pathoanatomical or behavioral feature of TBI.

All the experimental models are characterized by postinjury survival and the initiation of numerous pathologic and protective processes. Notably, some models have reported mortality, which may or may not correlate with injury severity.²⁹⁸ Overall, the evidence suggests that TBI is a diverse process that begins in the acute period and continues chronically. Some models of TBI may be better suited than others for studying certain features of TBI. For instance, FPI and CCI have been used to study apoptosis, necrosis, and autophagy, whereas bTBI has been used to study apoptosis.

It is also worth briefly noting that some outcomes of TBI remain understudied across all TBI models. Social dysfunction is a common, debilitating outcome of clinical brain injury, only recently studied using animal models of TBI and especially understudied in the long term. For example, Bridgette Semple and her colleagues have evaluated the long-term effects of TBI on social functioning in pediatric and adolescent animals.299,300 Additional research exploring sociosexual outcomes of experimental TBI is warranted, along with expansion of this work to include adult animals, with the goal of reducing sociosexual symptoms known to affect TBI survivors.^{301–304} Evidence of long-term frontal lobe dysfunction has only been reported in the context of CCI. Extending underutilized assessments in less-characterized models of TBI represents an opportunity for researchers.

Strengths

Overall, the available evidence suggests that animal models of TBI replicate most histopathological and functional outcomes observed clinically. That said, as discussed previously, some models replicate certain aspects of TBI better than others. In addition to the clinical relevance and advantages over in vitro models, in some applications, experimental studies have advantages over clinical trials. For example, pre-clinical studies of TBI are useful for building a preliminary evidence base and controlling confounding variables that could affect study findings. For example, in animal models, diet and environment can be precisely and acurately controlled.^{215,305}

An additional strength of experimental models of TBI is that whereas many of the same histopathological outcomes can be assessed in humans, experimental TBI studies facilitate specifically timed data collection in ways that would be neither feasible nor ethical in humans. Experimental TBI studies allow researchers to precisely and accurately control timing of animal sacrifice and collect fresh postmortem tissue for analysis.

Trials of potential TBI therapeutics represent one of the most important applications of experimental TBI models. It is critical that safety and efficacy of a potential therapeutic be established in animals before translating the agent into clinical trials and, ultimately, patient care. Experimental TBI drug studies also facilitate identification of potential adverse treatment effects.

Weaknesses

The published evidence surrounding the long-term histopathological and functional outcomes of experimental TBI is limited in scope and volume. Few studies include outcome assessments

beyond 1 month, with even fewer extending out to 3, 6, or 12 months (Table 1). This narrow timeframe of data collection may obscure our understanding of long-term consequences. Additional research assessing outcomes of TBI into the long term is needed. Arguably, the most significant barrier to conducting long-term experimental TBI studies is the high cost associated with animals, housing, veterinary care, food, and the need for specialized facilities. Concern for the ethical treatment of laboratory animals may further contribute to the preference for shorter-term studies.

Although animal research facilitates control of important variables, including genetics, environment, and diet, there are some unique considerations for researchers to take into account when conducting this type of work. Notably, the clinical population is not as homogenous as those observed in experimental studies, with respect to age, genes, and environment. Thus, additional research efforts are needed to promote generalizability of study findings and/ or facilitate clinical translation.

A final limitation of using animal models to study the long-term histopathological and functional outcomes of TBI is that the relationship between structural and functional deficits is not one to one. For example, it is possible to have functional deficits with or without the development of a lesion. Morphological changes can be sufficient to produce functional deficits, but are not necessary. The opposite is also true; pathophysiological changes can occur in the absence of functional deficits. For instance, in a weight drop model of focal brain injury in rats, there was inflammation in the absence of functional deficits.¹³ That said, limitations in the available research tools could prevent consequences of TBI from being detected.

Closing remarks

Animal models of TBI have been widely used to understand the histopathological and behavioral consequences of brain trauma in humans. Animal models of head trauma remain relevant today. Researchers using animal models to study TBI have a number of critical decisions regarding experimental parameters to consider, including, but not limited to, the species to use, injury method, severity, injury location, and outcome measures. As part of the effort to promote rigorous TBI studies, researchers are advised to follow the aforementioned criteria proposed by the NINDS.²⁹⁵ Researchers considering the use of an experimental TBI model should give careful consideration and attention to ensure that the most appropriate model is chosen to address the research question(s). Researchers can control for injury severity and target damage to different brain regions by selection of appropriate models.

Careful study planning and thoughtful consideration are necessary to promote clinical relevance of animal studies of TBI. Specifically, attention should be given to selecting clinically relevant outcome variables that are comparable to what is observed in human patients. There are obvious challenges in assessing cognition in animals, compared to humans; for example, verbal assessments are not possible. Moreover, in deciding the types of longterm outcomes to evaluate in pre-clinical studies, researchers must have an understanding of the types of functional deficits that are commonly experienced by, and particularly distressing for, TBI survivors. Notably, some commonly reported problems, such as difficulties in devoting attention to multiple competing stimuli, cannot be easily studied in animals³⁰⁶; however, Corina Bondi and her colleagues have proposed and tested one such assessment.²⁴⁶

Assessment of functional outcomes in animal models requires inference in line with classic Skinnerian thought. As mentioned above, there are some functional outcomes of TBI in humans that have no clear analog in animals. Additional efforts are needed to map human to animal domains and develop additional reliable, valid assessments of functionality for use in animals. Moreover, it is worth noting that functional tests can be completed within hours in humans, whereas assessment of neurobehavioral function in animals can take much longer.

There are some relevant considerations for researchers regarding the species to use. Not all outcome variables can be assessed in all species. For example, if behavioral tests are of interest, a rodent model may be preferred given that functional outcome tests are well characterized in this context, especially when compared to large animals.307 Rodent models afford unique opportunities to assess histopathological and behavioral consequences of TBI under controlled conditions and at precisely timed intervals. However, these small animal models are associated with their own set of pitfalls, such as limited generalizability, the potential for test strains to exhibit deficits that would confound study results, and the need for substantial research efforts before translation.^{308,309} Most behavioral tests developed for use in rats have been scaled for translation to mice; however, the tests may not yield similar injury effects across species.

Likewise, selection of assessment time point(s) can affect results. If assessed too early, the effects of anesthesia may confound findings, whereas, if the assessment occurs too late, the injury effect may have subsided. Thus, Fujimoto and colleagues suggest that researchers should assess the functional outcomes of interest at multiple time points. Previously, experimental TBI researchers have suggested that additional long-term studies are needed.³¹⁰

Overall, experimental models have contributed substantially to our understanding of acute and chronic TBI outcomes. Despite available research, chronic changes remain relatively understudied, though available evidence suggests that chronic outcomes of experimental TBI are diverse and include histopathological and behavioral changes. In this review, effort was given to include as much evidence as possible; however, this review is not intended to be fully comprehensive. Readers of this review will take away a familiarization of key evidence from four common experimental TBI models (FPI, CCI, bTBI, and CHI), along with the key assessments used to evaluate the long-term histopathological and behavioral consequences of these models.

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