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Childhood maltreatment, 9/11 exposure, and latent dimensions of psychopathology: A test of stress sensitization

Jacquelyn L. Meyers^a, Sarah R. Lowe^a, Nicholas R. Eaton^b, Robert Krueger^c, Bridget F. Grant^d, and Deborah Hasin^{a,e,f,*}

^aDepartment of Epidemiology, Mailman School of Public Health, Columbia University, New York, NY 10032, USA

^bDepartment of Psychology, Stony Brook University, Stony Brook, NY 11794, USA

^cDepartment of Psychology, University of Minnesota, Minneapolis, MN 55455, USA

^dLaboratory of Epidemiology and Biometry, National Institute on Alcohol Abuse and Alcoholism, Bethesda, MD 20892, USA

^eDepartment of Psychiatry, College of Physicians and Surgeons, Columbia University, New York, NY 10032, USA

^fNew York State Psychiatric Institute, New York, NY 10032, USA

Abstract

On September 11, 2001, a terrorist attack occurred in the U.S. (9/11). Research on 9/11 and psychiatric outcomes has focused on individual disorders rather than the broader internalizing (INT) and externalizing (EXT) domains of psychopathology, leaving unknown whether direct and indirect 9/11 exposure differentially impacted these domains rather than individual disorders. Further, whether such effects were exacerbated by earlier childhood maltreatment (i.e. *stress sensitization*) is unknown. 18,713 participants from a U.S. national sample with no history of psychiatric disorders prior to 9/11 were assessed using a structured in-person interview. Structural equation modeling conducted in a sample who endorsed no psychiatric history prior to 9/11, indicated that *indirect* exposure to 9/11 (i.e. media, friends/family) was related to both EXT (alcohol, nicotine, and cannabis dependence, and antisocial personality disorder) and INT (major depression, generalized anxiety, and post-traumatic stress disorder (PTSD)) dimensions of psychopathology (EXT: $\beta = 0.10$, $p < 0.001$; INT: $\beta = 0.11$, $p < 0.001$) whereas *direct* exposure was associated with the INT dimension only ($\beta = 0.11$, $p < 0.001$). For individuals who had experienced childhood maltreatment, the risk for EXT and INT dimensions associated with 9/11 was exacerbated (Interactions: $\beta = 0.06$, $p < 0.01$; $\beta = 0.07$, $p < 0.001$, respectively). These

*Corresponding author. Columbia University College of Physicians and Surgeons, Department of Psychiatry, 1051 Riverside Drive #123, New York, NY 10032, USA. Tel.: +1 212 543 5035; fax: +1 212 543 5913. dsh2@columbia.edu (D. Hasin).

Authors contribution

JLM, SRL, and DH were responsible for the study concept and design. BFG contributed to the study design and acquisition of the National Epidemiologic Study on Alcohol Related Conditions data. JLM conducted data analysis and SRL, NRE, and RK assisted with interpretation of findings. JLM and SRL drafted the manuscript. NRE, RK, BFG, and DH provided critical revision of the manuscript for important intellectual content. All authors critically reviewed content and approved final version for publication.

Declaration of interest

The authors have no conflicts of interest.

findings indicate that 9/11 impacted latent liability to broad domains of psychopathology in the US general population rather than specific disorders with the exception of PTSD, which had independent effects beyond INT (as indicated by a significant ($p < 0.05$) improvement in modification indices). Findings also indicated that childhood maltreatment increases the risk associated with adult trauma exposure, providing further evidence for the concept of *stress sensitization*.

Keywords

9/11; World Trade Center; Child abuse; Child neglect; Externalizing; Internalizing; Stress sensitization; Psychopathology; Substance use; NESARC

1. Introduction

On September 11, 2001, a large-scale terrorist attack occurred in New York City (9/11) (Galea et al., 2002). Since then, studies have linked 9/11 exposure to adverse psychological outcomes, including depression, anxiety, and substance use disorders (Breslau et al., 2010; Henriksen et al., 2010; Neria et al., 2011; Perlman et al., 2011; Lucchini et al., 2012). Individuals directly impacted by 9/11 have been studied in depth (Welch et al., 2012). However, most of the US population experienced 9/11 indirectly, through relatives, friends, or media exposure, e.g., television news (Dougall et al., 2005). Such indirect 9/11 exposures were also associated with depression, anxiety, and problem drinking, particularly in vulnerable groups, such as those with prior psychiatric disorders (Lengua et al., 2005; Pollack et al., 2006; Otto et al., 2007; Holman et al., 2011; Barnes et al., 2012; Pietrzak et al., 2012). However, many aspects of the associations between 9/11 exposures and psychological outcomes remain unknown. Outstanding issues involve the relationships between direct and indirect 9/11 exposure, their impact on broad underlying dimensions of psychopathology rather than specific individual disorders, and whether such relationships are altered by prior exposure to other well-documented risk factors such as childhood maltreatment or a history of parental mental illness.

Risk factors for one disorder tend to predispose individuals to other disorders (Kendler et al., 2003; Carr et al., 2013). The high comorbidity of substance and psychiatric disorders (Kessler et al., 1994; Compton et al., 2007; Hasin et al., 2007b) has been conceptualized as forming a meta-structure of broader internalizing and externalizing latent dimensions (Krueger et al., 2002; Kendler et al., 2003; Eaton et al., 2012; Krueger and Markon, 2014). In a US national sample, childhood maltreatment affected the risk for specific adult mood, anxiety, personality, and substance use disorders primarily through the broader internalizing and externalizing dimensions rather than impacting the risk for specific individual psychiatric disorders (Keyes et al., 2012). Further, in another US national sample, familial aggregation of mood, personality, and substance use disorders was explained by underlying vulnerabilities to internalizing and externalizing dimensions transmitted across generations (Kendler et al., 1997). However, up to now, 9/11 research has focused on individual disorders rather than the broader internalizing and externalizing dimensions, leaving unknown whether 9/11 effects are primarily through these domains, whether such effects differ between the internalizing or externalizing domains, and whether additional effects

would be found for specific individual disorders. Further, differential effects on the internalizing and externalizing dimensions among those directly or indirectly exposed to 9/11 remain unknown. Addressing such questions is important not only to understanding 9/11 effects, but to building broader knowledge about the effects of large-scale traumatic events that affect major segments of the general population.

Incorporation of prior risk factors including negative childhood events (e.g., childhood maltreatment, parental psychopathology) can aid in building this understanding. Studies indicate that individuals who experience an earlier negative life event may be more sensitized to the effects of a subsequent trauma, and therefore more likely to develop mental health problems. This process has been termed *stress sensitization* (Van Winkel et al., 2008; Heim and Nemeroff, 2009; Pratchett and Yehuda, 2011). For example, several studies found that childhood maltreatment moderates the association between an adult traumatic event and adult psychopathology, such that those who experienced childhood maltreatment have more severe symptoms after later trauma than those who did not experience maltreatment (Keyes et al., 2014; Pratchett and Yehuda, 2011; Young-Wolff et al., 2012; van Winkel et al., 2013). However, stress sensitization has not been examined in the context of an unanticipated, mass traumatic event such as 9/11. Evidence that negative childhood experiences moderate risk associated with direct and indirect exposure to events such as 9/11 would assist in the identification of individuals at risk of adverse psychological outcomes, and provide further insight into the concept of stress sensitization.

We therefore investigated two research questions related to 9/11 exposure and subsequent psychiatric disorders in a sample of household residents in the US, the National Epidemiologic Study on Alcohol Related Conditions (NESARC). Our first goal was to examine the association between direct and indirect 9/11 exposure and post-9/11 onsets of psychopathology in the internalizing and externalizing dimensions of psychiatric and substance use disorders, prior to and after accounting for childhood maltreatment and/or parental history of mental illness (depression, alcohol or drug dependence, antisocial behavior). Our second goal was to investigate whether the experience of childhood maltreatment increased risk for externalizing and internalizing disorders associated with greater exposure to 9/11 (i.e. stress sensitization).

2. Methods

2.1. Study design and sample

NESARC is a survey of non-institutionalized US adults residing in homes or group quarters. NESARC data were collected at two time points: Wave 1 (2001–2002) with 43,093 participants and Wave 2 (2004–2005) with 34,653 of the original participants (cumulative Wave 2 response rate, 70.2%). Young, Black and Hispanic individuals were oversampled. Data were weighted to reflect the demographic characteristics of the US population based on the 2000 census (Grant et al., 2004; Grant et al., 2005). The research protocol, including written informed consent, received approval from the US Census Bureau and the US Office of Management and Budget. Further study details are described elsewhere (Grant et al., 2004; Grant et al., 2005; Ruan et al., 2008).

The present study included Wave 2 participants, as the Wave 2 interview included all relevant variables. Of the 34,653 participants assessed at Wave 2, 46.0% (N = 15,940) had a prior psychiatric diagnosis (lifetime), and were excluded from the present analyses. This was done so that we can specifically examine the lifetime onset of psychiatric symptoms following 9/11 exposure, versus the reoccurrence of prior lifetime psychiatric symptoms. The present analyses included 18,713 participants with no history of psychiatric disorders prior to 9/11. As shown in Table 1, participants were 58% female with an age range of 21–90 (Mean = 49.1, Standard Deviation (SD) = 17.3). White participants comprised 58.2% of the sample, African Americans 19.0%, Hispanics 18.4%, Asian or Pacific Islanders 2.8% and American Indians and Alaska Natives 1.7%.

2.2. Measures

Participants were interviewed in person with the Alcohol Use Disorder and Associated Disabilities Interview Schedule, DSM-IV version (AUDADIS-IV), a fully structured instrument designed for lay interviewers.

2.2.1. Outcomes

2.2.1.1. Psychiatric disorders: Psychiatric disorders were defined using the DSM-IV criteria (American Psychological Association, 2000), as assessed by the AUDADIS-IV (Grant et al., 2003), including alcohol dependence (AD), cannabis dependence (CD), nicotine dependence (ND), and antisocial personality disorders (ASPD), major depressive disorder (MDD), generalized anxiety disorder (GAD), and post-traumatic stress disorder (PTSD), as described elsewhere (Compton et al., 2005; Grant et al., 2005; Hasin et al., 2006). AUDADIS diagnoses have fair to excellent test–retest reliability ($k = 0.42–0.84$) in clinical and general population samples (Hasin et al., 2007, 2012), and good to excellent validity in US (Hasin et al., 1994, 1997b; Hasin and Paykin, 1999; Canino et al., 1999; Grant et al., 2003; Ruan et al., 2008) populations. We analyzed disorders with lifetime initial onset after 9/11.

2.2.2. Predictors

2.2.2.1. 9/11 Exposure: Questions on 9/11 included the type of exposure to the attacks. From these, we used an ordinal NESARC 9/11 variable with four exposure levels, as described elsewhere (Henriksen et al., 2010): (1) No exposure; (2) Indirect exposure through media, news, etc.; (3) Had a close friend or family member injured or killed; and (4) Direct exposure (respondent at the scene of the terrorist attack and/or injured). If a respondent experienced 9/11 in more than one way, they were coded at the highest level of exposure they experienced. The four levels were dummy-coded for comparisons of different exposure levels.

2.2.2.2. Childhood maltreatment: Nineteen items adapted from two empirically validated scales (Straus, 1979, 1990; Wyatt, 1985) were used to assess maltreatment before age 18. Scales of these items have excellent reliability (intraclass coefficients, 0.79–0.88 (Ruan et al., 2008)). A factor analysis of these 19 items conducted previously (Keyes et al., 2012) indicated that a five-factor model with a latent dimension for each maltreatment type (sexual

abuse, physical abuse, physical neglect, emotional abuse, and emotional neglect) provided excellent fit to the data. This five-factor model was used in the present analyses.

2.2.2.3. Parental history: Parental histories of problems with alcohol, drugs, antisocial behavior and depression were ascertained using the AUDADIS-IV. In assessing family history, interviewers read definitions to respondents that included examples of observable manifestations of the diagnostic criteria, since these are the mostly likely to be known to family members, increasing sensitivity (Andreasen et al., 1977; Zimmerman et al., 2004; Heiman et al., 2008). Interviewers then asked whether respondents' biological mother and father experienced the condition as defined. AUDADIS-IV family history variables have very good to excellent test–retest reliability (Grant et al., 1995, 2003; Hasin et al., 1997a). From this information, four separate variables were created representing parental histories of alcohol, drug, depression, and antisocial behavior problems. For example, participants were coded as positive for parental alcohol problems if either their mother or father had such problems.

2.3. Statistical analyses

2.3.1. Latent meta-structure of psychiatric disorders—To examine the relationships between 9/11 exposure and subsequent psychopathology, we used a latent dimensional approach. Model fit indices included Comparative Fit Index (CFI), Tucker–Lewis Index (TLI), and Root Mean Square Error of Approximation (RMSEA). Individuals who had never used the relevant substance were coded as zero for each disorder (since wave 1, 7804 individuals (41.7%) had not consumed alcohol; 1632 individuals (87.2%) had not used nicotine, and 17,947 individuals (95.9%) had not used cannabis). Individuals with psychiatric diagnoses prior to 9/11 were excluded from all analyses. Psychiatric and substance use diagnoses, and family history variables were, analyzed as ordered categorical variables (0, 1) implementing these models with a weighted least squares estimator (WLSMV). Missing data were addressed via full-information maximum likelihood in Mplus version 6.12 (Muthén and Muthén, 2000). Estimates were weighted and standard errors adjusted for the complex sample design of the NESARC survey.

In preliminary analyses to ensure that 9/11 exposure was associated with each disorder indicating the latent dimensions and that single disorders were not driving the effects observed in INT and EXT, we explored associations between 9/11 exposure and each diagnosis. Using the established meta-structure for these disorders (Eaton et al., 2013), we created an externalizing (EXT) factor (AD, ND, CD, ASPD) and an internalizing (INT) factor (MDD, GAD, PTSD), using confirmatory factor analysis. A two-factor solution provided an excellent fit to the data (CFI = 0.99, TLI = 0.99, RMSEA = 0.02).

2.3.2. 9/11 Exposure, risk factors, and latent meta-structure of psychiatric disorders—Next, we estimated a structural equation model (SEM) simultaneously regressing two latent factors (INT, EXT) on (1) 9/11 exposure (one four-level observed variable), (2) childhood maltreatment (one latent variable with five latent indicators), and (3) parental histories (alcohol, drugs, depression, antisocial behavior; four binary observed variables). All models were adjusted for demographic variables, and latent variables were

allowed to correlate with each other. For each of the latent variables, the paths to the first indicator were set to 1 to establish the metric of the latent variable as has been suggested by Muthén and Muthén (2000). As mentioned above, preliminary association analyses between 9/11 exposure and each individual disorder were conducted to ensure that single disorders were not entirely driving the effects observed in INT and EXT. Additionally, there are both theoretical and empirical (Henriksen et al., 2010) reasons to expect a particularly strong relationship between trauma exposure (9/11 exposure, childhood maltreatment) and certain disorders, such as PTSD. Thus, in further consideration of any individual disorder effects that are not captured by the latent INT and EXT dimensions, we also evaluated modification indices to determine whether residual correlation between 9/11 exposure and specific disorders would appreciably improve the model fit, with an a priori rule that direct effects between INT and EXT and individual disorders would be included in the model if the model fit improvement was above 3.96, corresponding to a p-value of 0.05 (Keyes et al., 2012).

2.3.3. Moderation by childhood maltreatment—The interaction between 9/11 exposure and childhood maltreatment was assessed by including a multiplicative interaction term (9/11 Exposure*childhood maltreatment) to predict each latent dimension in the SEM (Muthén and Muthén, 2000).

2.3.4. Post-hoc analyses—Finally, post-hoc analyses were conducted to probe the significant direct and interaction effects on INT and EXT dimensions for each of the four levels of 9/11 exposure. In these analyses, dummy codes for each level of exposure (reference group (0): No exposure; (1): Indirect exposure through media, news, etc.; (2): Had a close friend or family member injured or killed; and (3): Direct exposure) were first included in place of the categorical exposure variable in the main effects model. Subsequently, interaction terms between each dummy code and each childhood adversity variable were included.

3. Results

3.1. Descriptives

Demographic characteristics of the sample are shown in Table 1 by 9/11 exposure level. The majority (77.5%) of respondents reported exposure to 9/11. Most (72.8%) reported exposure indirectly: through media outlets (i.e. television, news), 3.6% had a close friend or relative injured or killed, while 0.5% ($n = 170$) directly experienced 9/11. Among participants who experienced 9/11 *directly*, males were overrepresented, whereas females were overrepresented among those who experienced 9/11 *indirectly*. Overall, age did not vary significantly by 9/11 exposure. However participants over age 65 were less likely to have experienced 9/11 *directly*. Conversely, race/ethnicity did vary significantly by 9/11 exposure, with White participants being more likely to report experiencing 9/11 *directly*. Further details on these 9/11 exposure groups, including tests assessing differences among key descriptive variables are provided in Henriksen et al., 2010.

Frequencies of 9/11 exposure, substance and psychiatric disorders are shown in Table 2. Rates of disorders that began after 9/11 ranged from 0.4% (CD) to 13.7% (ND). All disorder pairs were significantly correlated (tetrachoric correlations (r), 0.04–0.35), except GAD and

ASPD. Regarding childhood maltreatments, 35.9% experienced at least one form of maltreatment. Over one-third (35.2%) of individuals reported a parental history of at least one form of psychopathology. Childhood maltreatment factors and parental histories of problems were correlated (r : 0.07–0.26), with the lowest correlations between emotional neglect and parental history of drug use (r = 0.07) and depression (r = 0.09), and the highest correlations between emotional abuse and parental alcohol problems (r = 0.21) and antisocial behavior (0.26).

3.2. 9/11 Exposure, risk factors, and latent meta-structure of psychiatric disorders

Preliminary analyses indicated that participants had increased odds of meeting criteria for each disorder since 9/11 (Table 2) if they indirectly experienced 9/11 (i.e. media, news) or had a close friend or relative directly impacted, compared with those not exposed. Directly experiencing the events of 9/11 was significantly associated with increased odds of PTSD, with the effects on AD approaching significance.

The main effects model (Fig. 1) explained 20% and 21% of the variance in the INT and EXT dimensions, respectively. The full model that included main and interaction effects (9/11 exposure*childhood adversities) explained 21% and 22% of the variance in the INT and EXT dimensions, respectively. The full model provided an excellent fit to the data (CFI = 0.996, TLI = 0.994, RMSEA = 0.003). INT and EXT were correlated (r = 0.58). Participants had higher INT and EXT levels if they were exposed to 9/11 (EXT: β = 0.10, p < 0.001; INT: β = 0.11, p < 0.001). These results did not change significantly after adjusting for childhood maltreatment and parental psychopathology. Further, modification indices did not suggest that any associations between 9/11 exposure and individual disorders were unmediated by the association with the disorder with the latent dimensions (no difference in model fit exceeded a priori significance threshold of greater than 3.96), with the exception of PTSD. According to these criteria, there was significant model fit improvement when a direct effect of 9/11 exposure on the individual diagnosis of PTSD was included in the model (Modification = 5.66, p < 0.05).

Childhood maltreatment was related to INT (β = 0.39, p < 0.001) and EXT (β = 0.29, p < 0.001). Parental history of alcohol problems was associated with INT (β = 0.03, p < 0.01) and EXT (β = 0.06, p < 0.01), as was parental history of drug problems (INT β = 0.01, p < 0.01; EXT β = 0.05, p < 0.001), antisocial behavior (INT β = 0.02, p < 0.01; EXT β = 0.10, p < 0.001) and depression (INT (β = 0.16, p < 0.001; EXT β = 0.10, p < 0.01)).

3.3. Moderation by childhood maltreatment

Childhood maltreatment and level of 9/11 exposure showed a significant interaction effect on the INT and EXT dimensions (β = 0.06, p < 0.01; β = 0.07, p < 0.001, respectively). The association between 9/11 exposure and each latent dimension of psychopathology (INT and EXT) was stronger among those who experienced childhood maltreatment compared with those who did not (depicted in Fig. 2a and b).

3.4. Post-hoc analyses

Post-hoc analyses further evaluated the effects on INT and EXT at each level of 9/11 exposure. Compared to those unexposed, participants had increased risk on the EXT dimension if they indirectly experienced 9/11 ($\beta = 0.10$, $p < 0.001$) or had a close friend or relative directly impacted by 9/11 ($\beta = 0.15$, $p < 0.001$), but not if they directly experienced 9/11. Compared to those unexposed to 9/11, participants had increased risk on the INT dimension if they indirectly experienced 9/11 ($\beta = 0.11$, $p < 0.001$), had a close friend or relative directly impacted by 9/11 ($\beta = 0.27$, $p < 0.001$), or if they had directly experienced the events of 9/11 ($\beta = 0.29$, $p < 0.001$). The association between indirect exposure to 9/11 and EXT was greater among those who experienced childhood maltreatment than those who did not (Fig. 2a). The association between indirect and direct exposure to 9/11 and INT was greater among those who experienced childhood maltreatment than those who did not (Fig. 2b); Interaction effects on INT between childhood maltreatment and 9/11 were significant for those who indirectly experienced 9/11 through the media or through family/friends, or who experienced 9/11 directly as compared with those who were not exposed to 9/11.

4. Discussion

In this study, we expanded upon previous work on the experience of 9/11 and negative mental health outcomes in the US population in several important ways. First, we showed that level of 9/11 exposure significantly predicted latent dimensions of both internalizing and externalizing pathology in a sample who endorsed no psychiatric history prior to 9/11. These effects of the level of 9/11 exposure remained associated with these latent dimensions even after accounting for two robust risk factors for psychopathology, childhood maltreatment and parental history of mental illness. Second, post-hoc analyses revealed significant associations between *indirect* exposure to 9/11 as experienced through media outlets, friends or family and INT and EXT dimensions of subsequent psychiatric and substance use disorders, whereas *direct* exposure to 9/11 was only related to the INT dimension. Finally, childhood adversities increased the risk associated with direct and indirect 9/11 exposure for developing INT and EXT disorders following 9/11 exposure. Post-hoc analyses showed that specifically, childhood maltreatment increased the risk for developing INT and EXT disorders among those with 9/11 exposure.

4.1. 9/11 Exposure, risk factors, and latent meta-structure of psychiatric disorders

The majority of the US population was exposed to 9/11 indirectly, through media outlets such as news programs, and through family and friends. Thus, examining the health consequences of mass traumatic events experienced through media and technology outlets is increasingly important. Consistent with previous findings (Breslau et al., 2010; McLaughlin et al., 2010b), the current study found that those who directly experienced 9/11 had an increased risk of subsequent INT disorders, an effect that was largely driven by PTSD. However, those who experienced 9/11 through the media, family or friends had increased risk on both the INT and EXT dimensions, which may indicate that these individuals had a broader range of psychological consequences following 9/11. It is important to consider however, that the non-significant EXT effects observed for those with direct exposure may

be due to the smaller sample size (and related lack of power to detect an effect), rather than 9/11 direct exposure being less impactful than 9/11 indirect exposure.

In this study, we examined the relationship between parental history of psychopathology and latent dimensions of offspring psychopathology (new onsets following 9/11) in a representative sample of Americans. Consistent with previous studies (Kendler et al., 1997), we found that parental problems with alcohol, drug, antisocial behavior, and depression were related to both INT and EXT. Consistent with prior expectations, parental alcohol, drug, and antisocial behavior problems were more closely related to EXT, while parental depression was more closely related to INT. In addition, we found associations between childhood maltreatment and initial onset of dimensions of psychopathology after 9/11.

4.2. Moderation by childhood maltreatment

In this study, childhood maltreatment increased the risk associated with 9/11 exposure for developing INT and EXT disorders following 9/11. This is consistent with the concept of stress sensitization, which posits that early exposure alters stress response systems such that these systems are primed to respond in ways that increase risk for distress after exposure to later trauma (McLaughlin et al., 2010a; Pratchett and Yehuda, 2011). While the mechanisms by which stress sensitization occurs remains unclear, much evidence suggests that trauma early in life may alter neurobiologic and behavioral systems, thereby influencing subsequent stress responses (Pratchett and Yehuda, 2011). Studies find that childhood adversity is associated with HPA axis dysfunction, that may lead to later development of adult psychopathology (Watson et al. 2007; Newport et al., 2004). Increased behavioral stress reactivity has also been identified among adults who were maltreated as children. For example, individuals with a history of childhood sexual abuse display stronger emotional responses to everyday stressors than those never abused or sexually abused after age 18 (Thakkar and McCanne, 2000). These complex biological and interpersonal systems are influenced by various factors, including the time in development that the adverse events occur, nature of the individual's social support systems, and related resilience and coping mechanisms. Prospective longitudinal studies are required to delineate the mechanisms involved in the progression from childhood trauma to adult psychopathology.

4.3. Implications

Our results have etiologic and clinical implications that challenge the current conceptualization of psychopathology as consisting of specific, individual psychiatric or substance use disorders. These disorders show considerable comorbidity due to shared variance at an underlying (i.e., latent) level. Clinicians may better serve patients with comorbid mood/anxiety, or substance use and impulsivity-related disorders, if their comorbidity is seen as an indication of high INT and EXT dimension levels, rather than as multiple specific disorders (Livesley, 2008; Eaton et al., 2011). Additionally, clinical interventions could focus on a cluster of symptoms and behavioral problems related to a particular dimension of psychopathology instead of using treatments tailored for one particular disorder. Further, research on the etiology of mental and substance use disorders should focus on understanding genetic and environmental predispositions towards INT

and/or EXT as well as the additional specific factors that may determine how this liability is expressed for each individual (Kendler et al., 2008; Torgersen et al., 2008).

4.4. Strengths and limitations

Study limitations are noted. First, NESARC diagnostic interviews were administered by trained lay interviewers rather than clinicians. This limitation is somewhat mitigated by the AUDADIS-IV's structured design and good psychometric properties. Second, this is a study of adults providing retrospective reports of adverse experiences, including childhood adversity and adult exposure to 9/11. Self-reports may be unstable over time (Fergusson et al., 2000; Polanczyk et al., 2009), typically underestimating adversity prevalence. Third, while this study used measures of parental history of mental illness similar to those used in many other studies, such measures reflect the participant's *perception* of their parents' history of problems and may be influenced by participants' own experiences (Kendler, 1991). However, a recent study comparing the prevalence of child's perception of family alcohol problems to true prevalence supports use of such family history measures (Kendler et al., 2012). Forth, our findings are based on DSM-IV diagnoses of mood, anxiety, personality and substance use disorders and should be replicated using DSM-5 diagnoses. Fifth, the rates reported for disorders in this study could be underestimated due to attrition. However, attrition between the Wave 1 and Wave 2 NESARC was small (13.3%) and the Wave 2 data were adjusted for nonresponse associated with socio-demographic characteristics (Grant et al., 2009). Finally, the present analyses only included participants with no history of psychiatric disorders prior to 9/11. This was done so that we could be clear that what we were examining was the new onset of psychiatric symptoms following 9/11 exposure, rather than a mixed group of new onsets plus relapses of prior lifetime psychiatric symptoms that were in remission prior to 9/11. However, this analytic strategy does limit the generalization of these findings in that the associations with 9/11 exposure, and childhood maltreatment might be different for this subpopulation of individuals with no prior history of psychiatric or substance use disorders from those with a prior history who were previously in remission at the time of the 9/11 attack. This is particularly relevant given the strong relationship between childhood maltreatment and psychopathology (Keyes et al., 2012) and should be formally explored in future research. These limitations are counterbalanced by several study strengths. The NESARC is the largest psychiatric epidemiological survey of the US general population conducted to date, with rich measures of childhood maltreatment, exposure to traumatic life events, and a wide range of psychiatric disorders. Our results build on the stress-sensitization literature by providing a unique insight into the relationship between childhood maltreatment, adult exposure to 9/11, and the structure of common psychiatric disorders.

This study was the first to explore associations between 9/11 exposure and latent dimensions of psychopathology. We found that in a sample who endorsed no psychiatric history prior to 9/11, *indirect* exposure (i.e. media, friends/family) was related to both INT and EXT dimensions of psychopathology, whereas direct exposure was associated with the INT dimension only. The associations between 9/11 and these outcomes went beyond the effects of two indicators of childhood adversity, childhood maltreatment and parental history of mental illness, and for individuals who had experienced these forms of childhood adversity,

the risk for INT and EXT dimensions associated with 9/11 was exacerbated. Taken together, these findings indicate the broad psychological impact of 9/11 on the US general population, and provide further evidence that early adversity increases the psychological risk associated with later trauma exposure. These results highlight the need for mental health clinicians to incorporate assessments of childhood maltreatment and parental history of psychopathology into assessments of mental health service users, in order to provide suitable, evidence-based treatment to individuals who report such experiences. In addition, clinicians treating patients for disaster-related psychopathology (both direct and indirect exposure) should be attuned to the role of childhood adversity in exacerbating symptoms. Finally, these findings suggest that research on the etiology of mental and substance use disorders should examine predispositions towards INT and/or EXT as well as the additional specific risk factors that may determine how this liability is expressed for each individual (Kendler et al., 2008; Torgersen et al., 2008).

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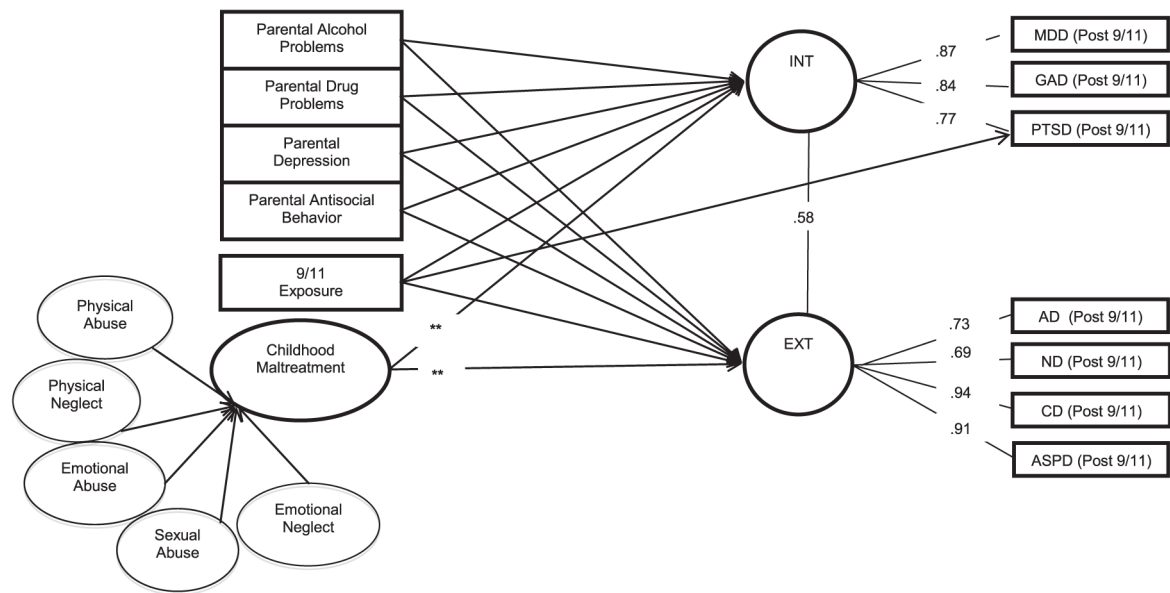
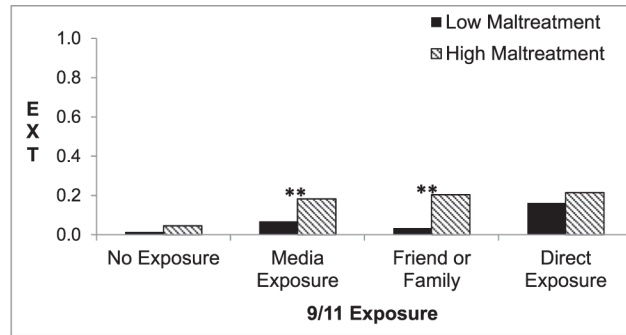


Fig. 1. The Association between 9/11 exposure, childhood adversities (maltreatment and parental histories of psychopathology) and latent dimensions of subsequent internalizing and externalizing disorders in the NESARC (Wave 2).

Note: Covariances between all predictors (with the exception of 9/11 exposure) were included in the model (ranged from 0.14 to 0.62), but excluded from this figure for clarity. Asterisks represent significant moderation (or Interactions). All pathways adjusted for age, sex, race.

A. 9/11 and Externalizing, by Childhood Maltreatment



B. 9/11 and Internalizing, by Childhood Maltreatment

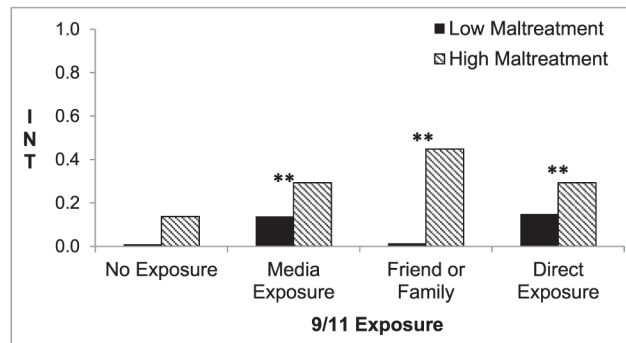


Fig. 2. Interaction effects of *Childhood Maltreatment* and *9/11 Exposure* on Externalizing (A) and Internalizing (B) Disorders in the NESARC Note: **denote differences significant at $p < 0.05$.

Table 1

Analytic sample characteristics by 9/11 exposure.

9/11 Exposure	All (Irrespective of 9/11 exposure)	No exposure (n = 7791)	Indirectly experienced (n = 25,239)	Close friend or relative directly experienced (n = 1241)	Directly experienced (n = 170)
	N (%)				
Sex					
Male	14,564 (42.0)	3133 (46.9)	10,692 (47.9)	555 (51.1)	90 (61.0)
Female	20,089 (58.0)	4658 (53.1)	14,547 (52.1)	686 (48.9)	80 (39.0)
Age					
18–29	4913 (14.2)	1036 (15.4)	3633 (16.5)	207 (19.6)	24 (17.5)
30–44	10,603 (30.6)	2179 (27.7)	7851 (30.0)	443 (34.1)	62 (33.9)
45–64	11,960 (34.5)	2484 (31.6)	8863 (35.4)	488 (37.6)	65 (34.9)
65+	7177 (20.7)	2092 (25.3)	4892 (18.1)	103 (8.7)	19 (13.8)
Race					
White, Non-Hispanic	20,161 (58.2)	3847 (63.0)	15,368 (73.2)	753 (72.9)	87 (63.9)
Black, Non-Hispanic	6587 (19.0)	1954 (15.2)	4331 (9.8)	226 (12.0)	41 (17.2)
Hispanic, any	6359 (18.4)	1654 (15.0)	4429 (10.8)	202 (8.9)	30 (8.4)
American Indian, Alaska Native, Non-Hispanic	578 (1.7)	111 (2.0)	442 (2.3)	19 (1.4)	0 (0.0)
Asian/Hawaiian/Other Pacific Islander, Non-Hispanic	968 (2.8)	225 (4.8)	669 (4.0)	41 (4.9)	12 (10.5)

Table 2

Severity of 9/11 exposure and subsequent psychiatric disorders.

	% Within 9/11 exposure				
	Frequency (%)	9/11 Exposure	Indirectly experienced	Close Friend/Relative	Directly experienced
Psychiatric Dx (Since 9/11)		8003 (23.1%)	25,239 (72.8%)	1241 (3.6%)	170 (0.5%)
Alcohol Dependence					
No	32,842 (94.8%)	7681 (96.0%)	23,843 (94.5%)	1160 (93.5%)	158 (92.9%)
Yes	1811 (5.2%)	322 (4.0%)	1396 (5.5%)	81 (6.5%)	12 (7.1%)
Odds Ratio (95% CI)		reference	1.40 (1.23, 1.58)	1.67 (1.30, 2.14)	1.83 (1.00, 3.29)
p-value			< 0.0001	< 0.0001	0.051
Nicotine Dependence					
No	29,899 (86.3%)	7108 (88.8%)	21,572 (85.5%)	1054 (84.9%)	155 (91.2%)
Yes	4764 (13.7%)	895 (11.2%)	3667 (14.5%)	187 (15.1%)	15 (8.8%)
Odds Ratio (95% CI)		reference	1.35 (1.25, 1.46)	1.41 (1.19, 1.67)	0.77 (0.45, 1.31)
p-value			< 0.0001	< 0.0001	0.334
Marijuana Dependence					
No	34,498 (99.6%)	7983 (99.8%)	25,113 (99.5%)	1233 (99.4%)	169 (99.4%)
Yes	155 (0.4%)	20 (0.2%)	126 (0.5%)	8 (0.6%)	1 (0.6%)
Odds Ratio (95% CI)		reference	2.00 (1.25, 3.21)	2.59 (1.14, 5.89)	2.36 (0.32, 17.70)
p-value			0.004	0.023	0.403
Major Depression					
No	31,080 (89.7%)	7314 (91.4%)	22,551 (89.3%)	1065 (85.8%)	150 (88.2%)
Yes	3573 (10.3%)	689 (8.6%)	2688 (10.7%)	176 (14.2%)	20 (11.8%)
Odds Ratio (95% CI)		reference	1.27 (1.16, 1.38)	1.75 (1.47, 2.09)	1.42 (0.88, 2.27)
p-value			< 0.0001	< 0.0001	0.150
Generalized Anxiety					
No	33,103 (95.5%)	7739 (96.7%)	24,053 (95.3%)	1151 (92.7%)	160 (94.1%)
Yes	1550 (4.5%)	264 (3.3%)	1186 (4.7%)	90 (7.3%)	10 (5.9%)
Odds Ratio (95% CI)		reference	1.45 (1.26, 1.66)	2.29 (1.79, 2.94)	1.83 (0.96, 3.51)
p-value			< 0.0001	< 0.0001	0.068
Post-Traumatic Stress Disorder					

	% Within 9/11 exposure	Frequency (%)	9/11 Exposure			
			No exposure	Indirectly experienced	Close Friend/Relative	Directly experienced
No		31,868 (92.0%)	7550 (94.3%)	23,081 (91.4%)	1097 (88.4%)	140 (82.4%)
Yes		2785 (8.0%)	453 (5.7%)	2158 (8.6%)	144 (11.6%)	30 (17.6%)
Odds Ratio (95% CI)		reference	1.56 (1.40, 1.73)	2.19 (1.80, 2.67)	<0.0001	3.57 (2.38, 5.36)
p-value			<0.0001	<0.0001	<0.0001	<0.0001

Bold font indicates that the Odds Ratio is significantly different than zero (p-value < 0.05). However, the bold can be removed since the p-value is described in the table.