

New class of turbulence in active fluids

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Turbulence is a fundamental and ubiquitous phenomenon in nature, occurring from astrophysical to biophysical scales. At the same time, it is widely recognized as one of the key unsolved problems in modern physics, representing a paradigmatic example of nonlinear dynamics far from thermodynamic equilibrium. Whereas in the past, most theoretical work in this area has been devoted to Navier–Stokes flows, there is now a growing awareness of the need to extend the research focus to systems with more general patterns of energy injection and dissipation. These include various types of complex fluids and plasmas, as well as active systems consisting of self-propelled particles, like dense bacterial suspensions. Recently, a continuum model has been proposed for such “living fluids” that is based on the Navier–Stokes equations, but extends them to include some of the most general terms admitted by the symmetry of the problem [Wensink HH, et al. (2012) *Proc Natl Acad Sci USA* 109:14308–14313]. This introduces a cubic nonlinearity, related to the Toner–Tu theory of flocking, which can interact with the quadratic Navier–Stokes nonlinearity. We show that as a result of the subtle interaction between these two terms, the energy spectra at large spatial scales exhibit power laws that are not universal, but depend on both finite-size effects and physical parameters. Our combined numerical and analytical analysis reveals the origin of this effect and even provides a way to understand it quantitatively. Turbulence in active fluids, characterized by this kind of nonlinear self-organization, defines a new class of turbulent flows.

turbulence | active fluids | self-organization

Despite several decades of intensive research, turbulence—the irregular motion of a fluid or plasma—still defies a thorough understanding. It is a paradigmatic example of nonlinear dynamics and self-organization far from thermodynamic equilibrium also closely linked to fundamental questions about irreversibility (1) and mixing (2). The classical example of a turbulent system is a Navier–Stokes flow, with a single quadratic nonlinearity, well-separated drive and dissipation ranges, and an extended intermediate range of purely conservative scale-to-scale energy transfer (3). However, many turbulent systems of scientific interest involve more general patterns of energy injection, transfer, and dissipation. A fascinating example of these kinds of generalized turbulent dynamics can be observed in dense bacterial suspensions (4). Although the motion of the individual swimmers in the background fluid takes place at Reynolds numbers well below unity, the coarse-grained dynamics of these self-propelled particles display spatiotemporal chaos, i.e., turbulence (5–7). Nevertheless, the correlation functions of the velocity and vorticity fields display some essential differences compared with their counterparts in classical fluid turbulence (8, 9). Moreover, the collective motion of bacteria in such suspensions exhibits long-range correlations (10), appears to be driven by internal instabilities (11), and depends strongly also on physical parameters like large-scale friction (12). Such results challenge the orthodox understanding of turbulent motion and call for a detailed theoretical investigation. There also exist many other systems with similar characteristics, including flows generated by space-filling fractal square grids (13), turbulent astrophysical (14) and laboratory (15, 16) plasmas, and chemical reaction–diffusion processes (17).

In the present work, we study—numerically as well as analytically—the spectral properties of a continuum model that has recently been suggested as a minimal phenomenological model to describe the collective dynamics of dense bacterial suspensions (4, 18, 19). A basic assumption of the model is that at high concentrations the dynamics of bacterial flow may be described as an incompressible fluid obeying the following equation of motion for the velocity field $\mathbf{v}(\mathbf{x}, t)$,

$$\frac{\partial \mathbf{v}}{\partial t} + \lambda_0 (\mathbf{v} \cdot \nabla) \mathbf{v} + \nabla p = -\Gamma_0 \Delta \mathbf{v} - \Gamma_2 \Delta^2 \mathbf{v} - \mu(v) \mathbf{v}, \quad [1]$$

where $\mu(v) = \alpha + \beta |v|^2$. In addition to the advective nonlinearity, $(\mathbf{v} \cdot \nabla) \mathbf{v}$, and pressure term, ∇p , familiar from the Navier–Stokes model, the equation also accounts for internal drive and dissipation processes. Apart from the last term on the right-hand side and the pressure term, Eq. 1 amounts to a straightforward multidimensional generalization of the Kuramoto–Sivashinsky (KS) equation that has found application in describing magnetized plasmas (20, 21), chemical reaction–diffusion processes (22, 23), and flame front propagation (24, 25). It is widely regarded as a prototypical example of “phase turbulence.” (26) As a hallmark, if both kinetic parameters are positive ($\Gamma_0, \Gamma_2 > 0$), the KS equation is linearly unstable for a band of wave vectors k , similar to other paradigmatic models of nonlinear dynamics, e.g., the Swift–Hohenberg model (27). For active systems this feature emulates energy input into the bacterial system through stress-induced instabilities (11). The growth of these linearly unstable modes is limited by nonlinear and dissipative terms. The main dissipation mechanism in Eq. 1 is mediated through the cubic nonlinearity on the right-hand side, $-\mu(v) \mathbf{v}$. Such a term was originally introduced by Toner and Tu to account for a propensity of self-propelled rod-like objects to exhibit local polar order (“flocking”) (28, 29). This hydrodynamic model comprises some of the key features common to systems exhibiting mesoscale turbulence: interplay of energy input due to a band of linearly unstable modes with the advective

Significance

It is widely appreciated that turbulence is one of the main challenges of modern theoretical physics. Whereas up to now, most work in this area has been dedicated to the study of Navier–Stokes flows, numerous examples exist of systems that exhibit similar types of spatiotemporal chaos but are described by more complex nonlinear equations. One such problem of quickly growing scientific interest is turbulence in active fluids. We find that such systems can exhibit power-law energy spectra with nonuniversal exponents as a result of nonlinear self-organization, defining a new class of turbulent flows.

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Navier–Stokes nonlinearity as well as with terms modeling flocking behavior and dissipation. These generic features are shared by more elaborate hydrodynamic models of active matter recently reviewed in ref. 30. Thus, Eq. 1 serves as a simple but generic test case to address some of the fundamental questions in the field of active turbulence. Via an appropriate choice of parameters, one can describe several different physical systems as explained in more detail in Table S1.

First and foremost, the similarities and differences between low and high Reynolds number turbulence remain to be elucidated. In particular, there is still a lack of understanding of the energy flow between different length scales. Here, we address the above questions by a systematic analysis of the turbulent features of Eq. 1, combining numerical and analytical approaches, and we give a comprehensive picture of the spectral energy balance facilitating the understanding of the interactions among different spatial scales. Furthermore, extensive numerical simulations confirm the existence of a spectral power law at the largest scales of the system with its steepness depending on the parameters of the system (both of the linear and of the nonlinear terms in Eq. 1). The form of the turbulent energy spectrum is an important quantity related to the frictional drag between the system and the surrounding walls (31, 32). In the present work, insight into the remarkable feature of a variable spectral exponent is gained by analyzing the role of the different terms in the equation for the spectral energy balance. As expected for a 2D incompressible fluid, there exists an inverse flow of energy from intermediate to large scales (33). Nevertheless, in contrast to classical, fully developed 2D Navier–Stokes turbulence, there is no inertial range characterized by a constant energy flux. Instead, we find that at large scales the nonlinear frequency corresponding to the Navier–Stokes energy flux is constant for the whole range characterized by spectral self-similarity. This differs fundamentally from the classical Navier–Stokes case, where this nonlinear frequency, the inverse of the nonlinear eddy turnover time, is a function of wave number and energy. In the model at hand, this energy flux is balanced by a linear dissipation/injection and a cubic dissipation term. For the latter, we derive an analytic approximation that compares very favorably with the numerical results and allows for an analytic closure predicting the type of dependence of the power law on the model parameters that is also confirmed numerically.

Results

We have studied the 2D version of the continuum model defined by Eq. 1 both analytically and numerically. Our computational approach relies on a pseudospectral code where the linear terms are computed in Fourier space and the nonlinearities in real space. The details of this procedure and the necessary normalization are described in *SI Text, section S1*. All numerical results reported in this paper use a resolution of 1,024 effective Fourier modes in each direction, unless stated otherwise. A typical velocity is given by $v_0 := \sqrt{\Gamma_0^3/\Gamma_2}$. From the spectral representation $\gamma(k) := -\alpha + \Gamma_0 k^2 - \Gamma_2 k^4$ of the linear part of Eq. 1, one reads off the wave number of the fastest growing mode, $k_{\max} = \sqrt{\Gamma_0/(2\Gamma_2)}$, which suggests characteristic length and time scales as $\ell = 5\pi/k_{\max}$ and $\tau = \ell/v_0$, respectively. Accordingly, the normalized form of the parameters Γ_0 and Γ_2 reduces to fixed numbers; i.e., $\Gamma_0\tau/\ell^2 = 1/(5\sqrt{2}\pi)$ and $\Gamma_2/(\ell v_0^3) \approx 9 \cdot 10^{-5}$. The parameters β and λ_0 can still be chosen freely and here they are set to $\beta\tau v_0 = 0.5$ and $\lambda_0 = 3.5$. The normalization units used here are the same as the ones in ref. 4, meaning that our parameters (with $\alpha = -1$ and up to the different sign of Γ_0) correspond to the bacterial suspension described therein. A typical snapshot of the real-space vorticity field in the turbulent regime is shown in Fig. 1. It makes evident the random distribution of vortices across the simulation domain. Moreover, the time evolution of the vortex configuration turns out to be strongly incoherent. Due to this highly nonlinear behavior,

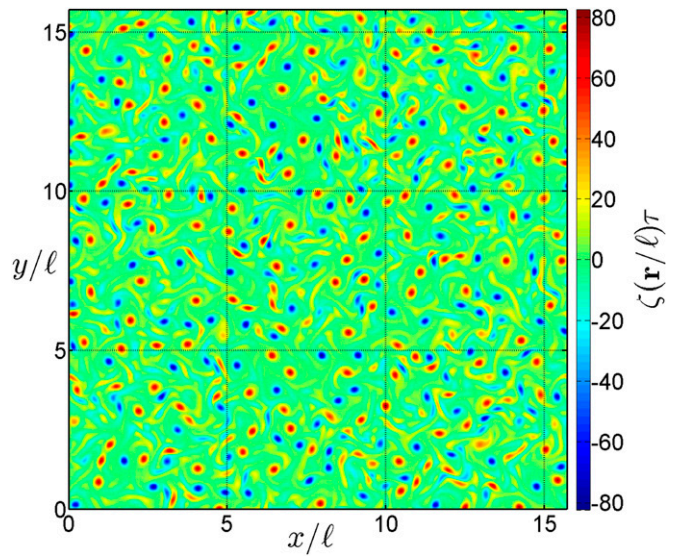


Fig. 1. Snapshot of the 2D vorticity field $\zeta = \partial_x v_y - \partial_y v_x$ right after the onset of the turbulent regime as obtained from a numerical solution of Eq. 1, using a pseudospectral code. The computation has been performed with 1,024 (effective) points in each direction under the constraint of periodic boundary conditions. The Ekman parameter equals $\alpha\tau = -1$, implying that there are two energy sources acting at large scales—the two positive terms in the expression for $\gamma(k)$. The strength of the cubic nonlinearity is set to $\beta\tau v_0 = 0.5$ and for the advective term we have used $\lambda_0 = 3.5$. One can clearly see the highly disordered distribution of vortices justifying the classification of the regime as turbulent.

associated with spatiotemporal chaos, exhibited by the system, we refer to its dynamics as turbulent.

Spectral Analysis. For the analysis of the flow of energy between different spatial scales mediated by the various terms in Eq. 1 we use a Fourier decomposition of \mathbf{v} (*SI Text, section S1*). $E_{\mathbf{k}} := \langle |\mathbf{v}_{\mathbf{k}}(t)|^2 \rangle / 2$ is referred to as the energy of Fourier mode \mathbf{k} , where $\langle \cdot \rangle$ denotes an ensemble average, equivalent to a time average for a statistically stationary state as discussed in *SI Text, section S1*. The ensuing spectral energy balance equation reads

$$\partial_t E_{\mathbf{k}} = 2\gamma(k)E_{\mathbf{k}} + T_{\mathbf{k}}^{\text{adv}} + T_{\mathbf{k}}^{\text{cub}}, \quad [2]$$

with the advective and cubic nonlinear terms given by

$$T_{\mathbf{k}}^{\text{adv}} = +\lambda_0 \text{Re} \left[\sum_{\mathbf{p}} M_{ijl}(\mathbf{k}) \langle v_{-\mathbf{k}}^i v_{\mathbf{k}-\mathbf{p}}^j v_{\mathbf{p}}^l \rangle \right], \quad [3a]$$

$$T_{\mathbf{k}}^{\text{cub}} = -\beta \text{Re} \left[\sum_{\mathbf{p}, \mathbf{q}} D_{ij}(\mathbf{k}) \langle v_{-\mathbf{k}}^i v_{\mathbf{k}-\mathbf{p}}^j v_{\mathbf{p}}^l v_{\mathbf{q}}^l \rangle \right], \quad [3b]$$

where we have used sum convention for Cartesian indexes, $D_{ij}(\mathbf{k}) := \delta_{ij} - k_i k_j / k^2$ are the components of the projection tensor, $M_{ijl}(\mathbf{k}) := -(i/2)(k_j D_{nl}(\mathbf{k}) + k_l D_{nj}(\mathbf{k}))$, and we have omitted all time arguments for simplicity. The Ekman term (proportional to α) either injects ($\alpha < 0$) or dissipates ($\alpha > 0$) energy into/from the system with a rate proportional to $E_{\mathbf{k}}$. The other linear terms are also responsible for either local energy injection (Γ_0 term) or dissipation (Γ_2 term). The nontrivial dynamics of Eq. 1 result from the nonlinear terms, i.e., advection term and cubic nonlinearity. They couple different wave numbers and provide a flow of energy in spectral space that (on average) balances the local injection or dissipation. The different terms in Eq. 2, obtained

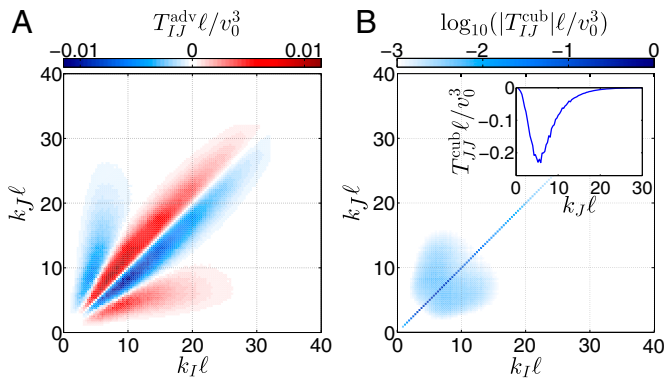


Fig. 3. Numerical computation of the shell-to-shell couplings T_{JJ}^{adv} and T_{JJ}^{cub} as given in Eqs. 6a and 6b; all shells have the same width of three times the minimal wave number Δk and a time average over the statistically stationary state has been performed. (A) The coupling term T_{JJ}^{adv} due to the advective Navier–Stokes nonlinearity in units of v_0^3/ℓ . It exhibits both forward and backward energy flow in spectral space. At intermediate and large wave numbers there is a local forward flux; see the lobes close to the diagonal. In contrast, for small k , there is an inverse flux nonlocal in spectral space; see the side branches. (B) The coupling term T_{JJ}^{cub} due to the cubic nonlinearity in units of v_0^3/ℓ . Note the logarithmic scale. In contrast to the Navier–Stokes term, T_{JJ}^{cub} is symmetric in the shell indexes. In addition, it is almost diagonal, indicating that coupling between different shells is negligible. This shows that at large scales the cubic interaction can be well approximated as a local dissipation term. *Inset* displays only the diagonal entries on a linear scale.

correlations. One way to deal with this “hierarchy” problem is to make approximations at some level (via a “closure relation”), leading to a closed set of equations. Guided by the observation that the statistics of the velocity field at large spatial separations in classical 2D Navier–Stokes turbulence are very close to Gaussian (which we also confirmed numerically for Eq. 1 as explained in *SI Text, section S3*), a natural way to approach the cubic damping term in Eq. 2 is via the quasi-normal approximation (35), also known as the Millionshchikov hypothesis (36). According to it, third-order correlations, e.g., $\langle v_{-\mathbf{k}}^i v_{\mathbf{k}-\mathbf{p}}^j v_{\mathbf{p}}^k \rangle$, are nonzero, and the even-order correlations are approximately sums of products of all possible combinations of second-order correlations (as in Wick’s theorem). Defining the scalar correlation function $Q_k(t)$ via $D_{ij}(\mathbf{k})Q_k(t) := \langle v_{-\mathbf{k}}^i(t)v_{\mathbf{k}}^j(t) \rangle$ and using spatial homogeneity and isotropy, one arrives (for a 2D setting) at

$$T_{\mathbf{k}}^{\text{cub}} \approx -\beta Q_k \sum_{\mathbf{p}} \left(2 \frac{(\mathbf{k} \cdot \mathbf{p})^2}{k^2 p^2} + 1 \right) Q_p \approx -8\beta E_{\text{tot}} E_k, \quad [7]$$

where E_{tot} denotes the total energy of the system; for details of the derivation see *SI Text, section S3*. Hence, the approximation of the cubic damping term in Eq. 2 is directly proportional to the energy spectrum E_k . This resonates with Fig. 3B, showing that the diagonal terms are the dominant ones in T_{JJ}^{cub} . Hence, the cubic damping term is of the same form as the linear Ekman damping, however, with a damping rate that is not constant but proportional to the total energy E_{tot} of the system. This captures the nonlinear character of the cubic damping term: It provides a dynamical response at large spatial scales, where an increase of the total energy of the system leads to a stronger dissipation that, in turn, decreases E_k . This nonlinear feature helps to maintain the spectral energy balance and achieve a statistically stationary state. The latter cannot always be attained if $\beta = 0$. Our investigations revealed that in this case there is a critical value for α (necessarily positive) below which the dissipation due to friction is insufficient and cannot balance the energy that accumulates at the large scales as a result of the inverse energy flow in 2D Navier–Stokes systems.

Advective Nonlinearity. In contrast to the cubic damping term, the advective nonlinearity in Eq. 2 produces an expression that involves third-order correlations, meaning that the quasi-normal approximation is not directly applicable. Formulating an evolution equation for the third-order correlation leads to the known hierarchy problem, which here, due to the presence of the cubic term, would be even more convoluted. Such a hierarchical scheme can, nevertheless, lead to a closed system of equations after applying the Millionshchikov hypothesis, but the resulting system of equations is highly complicated and tractable only numerically.

Because our goal here is to arrive at an analytical approximation for the energy spectrum at small wave numbers, we choose a more heuristic approach. As already discussed, the advective nonlinearity redistributes energy only among the different modes. This implies an energy flux in spectral space, defined as $\Pi_k^{\text{adv}} = -\int_0^k T_p^{\text{adv}} dp$, which is taken to be proportional to the energy E_k at any given scale. The energy corresponding to an eddy of size $\sim 1/k$ scales as $k E_k$, which suggests the relation

$$\prod_k^{\text{adv}} \propto \omega_k k E_k, \quad [8]$$

where ω_k is a characteristic frequency that may vary with k . Because ω_k is still undetermined, the above relation merely shifts the challenge to finding the function ω_k . However, it suggests a physical interpretation for it. In 2D and 3D Navier–Stokes turbulence this frequency is determined by $\omega_k^2 \sim \int_0^k p^2 E_p dp$ (35). Physically, $1/\omega_k$ can be viewed as the characteristic distortion time at length scale $1/k$. For the energy cascade in classical turbulence ω_k scales as $k^{2/3}$. Thus, larger eddies have longer eddy turnover times whereas smaller eddies have shorter ones. This implies that over a time period of the order of the eddy turnover time at scale $1/k$ the effects of the larger wave numbers average out due to their faster dynamics. On the other hand, due to their comparatively slower dynamics, the larger length scales (compared with $1/k$) provide a coherent contribution to the average shear rate acting at the scale $1/k$. Given the decrease of E_k with k in the cascade range of Navier–Stokes turbulence, the main contribution to the integral comes from the part of the integrand around $p \sim k$. Thus, most of the shear stems from wave numbers of a magnitude similar to k , which relates to the locality of the classical energy

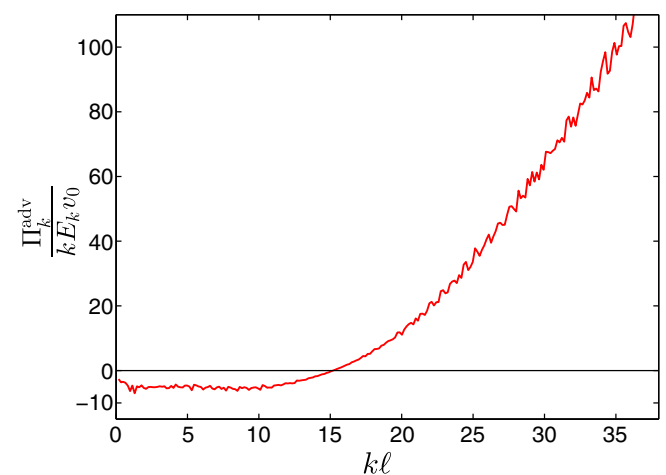


Fig. 4. Numerical computation of the frequency ω_k as a function of k as defined by Eq. 8. Owing to the positive definiteness of the denominator $k E_k$, the sign of the function agrees with the sign of the energy flux arising from the advective nonlinearity. Thus, there is evidently an inverse energy flow (negative flux) at large length scales and a forward energy flow (positive flux) at small length scales. Additionally, ω_k is approximately constant at small wave numbers. The numerical simulation was performed with $\alpha = 1$.

terms, then the product βE_{tot} should exhibit only weak dependence on β .

Summary and Conclusions

In the present work, we investigated the properties of a continuum model describing the turbulent motion of active fluids driven by internal instabilities. In addition to the convective nonlinearity of Navier–Stokes type, the model contains a cubic nonlinearity. Analytical and numerical considerations revealed that at large scales, the latter behaves like an Ekman damping with a frequency that is set by the system self-consistently. The system displays power-law energy spectra even in the absence of an inertial range, but the spectral exponents depend on the system parameters. These properties should be observable in laboratory experiments.

How do these findings fit into a broader perspective on turbulence? In Navier–Stokes turbulence, the dynamics are characterized by an inertial range that is dominated by a single nonlinear term and free of energy sources/sinks, displaying universal properties. Several turbulence models in the literature deviate from this standard picture in that they introduce multiscale forcing and/or damping with a power-law spectrum, thereby removing the inertial range (in a strict sense) (38–40). It can be shown, however,

that, in general, this modification really affects the system only at very small or very large scales, i.e., in the asymptotic limit (41). If and only if the power-law exponent is such that the linear forcing/damping rates scale exactly like the nonlinear energy transfer rates, does the forcing/damping affect the entire scale range, inducing nonuniversal behavior (16, 42, 43).

The physical system discussed in this paper is fundamentally different, however. Here, the existence of a second nonlinearity provides additional freedom, such that the system is able to self-organize into such a critical state (characterized by a scale-by-scale balance between linear forcing/damping rates and nonlinear transfer rates), without the need for external fine-tuning. The observed nonuniversal behavior is a natural consequence of this feature. These properties define a new class of turbulence.

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