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# Understanding Luminal Microorganisms and their Potential Effectiveness in Treating Intestinal Inflammation

M. Nedim Ince,  $MD^{*,+}$ , Bruce R. Blazar,  $MD^{\ddagger}$ , Michael B. Edmond,  $MD^{\$}$ , Guido Tricot,  $MD^{\$}$ , and Michael J. Wannemuehler,  $PhD^{\$}$ 

<sup>\*</sup> Department of Internal Medicine, Division of Gastroenterology and Hepatology, University of Iowa, Carver College of Medicine, Iowa City, IA

<sup>‡</sup> Department of Pediatrics, Division of Blood and Marrow Transplantation, Masonic Medical Center, University of Minnesota, Minneapolis, MN

§ Department of Internal Medicine, Division of Infectious Diseases, University of Iowa, Carver College of Medicine, Iowa City, IA

<sup>¶</sup> Department of Internal Medicine, Division of Hematology, Oncology and Bone Marrow Transplantation, University of Iowa, Carver College of Medicine, Iowa City, IA

Department of Veterinary Microbiology, Iowa State University, Ames, IA

# Abstract

The human intestine contains 10<sup>14</sup> bacteria, which outnumber the mammalian cells 10-fold. Certain other commensal or infectious agents, like helminthic parasites become members of this microbial ecosystem, especially in populations living under less hygienic conditions. Intestinal microbes, also called the microbiome or microbiota, shape the host immune reactivity to self and nonself throughout life. Changes in microbiome composition may impair the maturation of immune regulatory pathways and predispose the host to develop various forms of inflammatory disorders, like Crohn's disease or ulcerative colitis. The microbiome is also critical to successful transplantation of organs or grafts. After allogeneic hematopoietic stem cell transplantation (HSCT), when the new donor cells, such as T lymphocytes learn to discriminate "the new-self from nonself" in the transplant recipient, they need healthy microbiota-derived signals to preserve the immune homeostasis. Restoring microbiota via intestinal delivery of bacterial strains, helminths, fecal microbiota transplantation or stool substitutes have the potential to improve and correct aberrant immune reactivity in various disorders.

Soon after birth, the sterile human gut becomes populated by approximately  $10^{14}$  bacteria (1, 2). Besides the huge number, intestinal bacteria have enormous diversity with different strains from various taxa being represented(3). Furthermore, certain viruses, fungi and helminthic parasites can become members of the microbial ecosystem, which is also referred to as the gut microbiome. The symbiotic relationship between the intestinal immune system

<sup>&</sup>lt;sup>†</sup>Address Correspondence: M. Nedim Ince, MD, Department of Internal Medicine, Division of Gastroenterology and Hepatology, University of Iowa, Carver College of Medicine, 4546 JCP, 200 Hawkins Drive, Iowa City, IA, Tel: (319) 353-7797, m-nedimince@uiowa.edu.

and the microbiome promotes colonization by numerous, beneficial bacterial strains. The gut microbiome assists in digestion, generates essential vitamins and promotes further development of the immune system. Altered microbiome composition may predispose to diseases. Recent technologies based on large-scale nucleic acid or metabolic product identification have enabled detailed analysis of intestinal bacteria. These studies lead to discovery of critical bacterial strains in health and disease. For example, adherent-invasive *Escherichia coli* colonizing ileal mucosa in Crohn's disease patients has been shown to trigger severe intestinal inflammation(4). *Clostridium scindens* has been identified as a probiotic and beneficial strain, whose restoration in intestinal lumen appears to protect antibiotic-treated and microbiota-depleted subjects from *Clostridium difficile* infection(5). Additional studies, in which preclinical models or human subjects were depleted or restored of microbiota, have also classified some strains or strain combinations as protective and others as harmful, further characterizing the microbial composition of the healthy versus disease-prone intestine.

Microbial strains are believed to colonize the mammalian digestive tract in equilibrium with each other and the host. Unbalanced microbial composition or loss of microbial diversity in the gut is called dysbiosis and predisposes the host to several pathologies, such as obesity, diabetes, cardiovascular disease, cancer or even to cognitive behavioral disorders(6-12). Bacteria belonging to phyla, *Firmicutes* and *Bacteroidetes* dominate the microbiome in healthy intestine. The synergy and communication between metabolically compatible strains ensures healthy microbial commensalism(13). In equilibrium, the mammalian immune system generates a tightly controlled inflammatory response to gut commensals that is associated with intact immune regulatory and tissue renewal pathways. Dysbiosis may trigger an uncontrolled inflammatory response to microbiota and lead to allergic, autoimmune or immunological disorders.

The sterile intestine at birth inherits first the mother's microbiota and undergoes continuous changes throughout life, under the influence of genetic and environmental factors, preserving the tightly controlled intestinal inflammatory response against microbiota. Antibiotic use, poor dietary habits or illnesses modulate the microbiota strain diversity, cause dysbiosis and lead to uncontrolled inflammation. Some studies have found an association between antibiotic use during infancy or early childhood and the development of inflammatory bowel disease (IBD), suggesting that environmental factors, altering microbiota – especially in early childhood - may trigger inflammation(14, 15). Shifting the microbiota composition may induce autoimmune reactivity(16, 17).

Besides bacteria, helminthic parasites become members of the intestinal microbial ecosystem. Helminths exert immune regulation through their direct effects on host cells(18) and/or via altering the composition of intestinal commensals(19). Helminths appear to increase the number of beneficial or probiotic strains in the intestine, such as enriching the microbiota for members of the *Lactobacillaceae* family. Therefore, self-limited gut colonization with helminthic parasites constitutes an attractive research tool to understand intestinal immune balance and an appealing therapeutic implement to suppress aberrant immunity in various disorders.

Infection with helminths has a particular geographic distribution, with rates highest among populations living under conditions of poor hygiene, i.e. in developing countries, and lowest in landscapes where clean living conditions predominate (20). The striking inverse relationship between helminth carriage and autoimmunity has been investigated in the context of hygiene hypothesis. According to hygiene theory, modern and hygienic life style in developed countries has removed some of our natural surroundings that are critical for the maturation and maintenance of a healthy immune system. For example, pasteurization of milk that is routinely applied in developed countries prevents zoonotic infections, such as Brucellosis but also prevents exposure to beneficial commensals, like *Streptococcus* thermophilus(21). The immune regulatory potential of probiotic strains, including S. thermophilus has been shown in preclinical colitis models and by clinical studies in IBD patients(21, 22). Additional epidemiological studies discovered higher prevalence of inflammatory bowel disease among people with decreased frequency of infections, lending support to the hygiene hypothesis(23). Similar to S. thermophilus and other probiotic strains, helminth addback experiments have demonstrated remarkable regulation of allergic, immunological and autoimmune disorders in preclinical models (24). Moreover, clinical studies have shown clinical benefit of helminths in immunological diseases(25).

Animal models have been instrumental in understanding the pathogenesis of allergic, immunological and alloreactive pathologies, which are heterogenous disorders, characterized by multiple genetic abnormalities. Moreover, uncontrolled inflammation in the intestine or other organs is set in motion by means of various environmental factors other than dysbiosis. Preclinical model systems have been developed to address the role of an isolated variable or a group of variables in the complex pro- or dysbiotic mammalian intestine that undergoes continuous alterations under the influence of host genes and copious environmental factors. Preclinical animal models include (i) germ-free mice with no aerobic or anaerobic microbiota in the gut, (ii) mice with a controlled luminal microenvironment carrying predefined and detectable bacterial strains in their intestinal tract, (iii) animals kept at special pathogen-free (SPF) facilities, (iv) intestinal infections with certain microorganisms and (v) genetically engineered mice. All have been vital tools to address the role of a single variable or a group inconstants. These models have enabled scientists to investigate how a particular gene mutation affect the host, the immune stimulatory potential of a unique microbial strain or product.

Studies on microbiota have led investigators to fruitful and provocative results not only in the direction from bench to bedside but also in reverse way, achieving clues on the cellular or molecular regulatory mechanisms involved in certain clinical applications. A good example to the latter is the use of short chain fatty acids (SCFA) in diversion colitis: gut microbiota generate SCFA that are applied to distal intestinal segments devoid of fecal stream to restore a nutrient, essential for renewal and homeostasis of the mucosa. While clinical observations have clearly demonstrated benefit and reduced rectal bleeding after short chain fatty acid enema use, recent studies in mice have shown that SCFA can induce intestinal immune regulation by promoting the generation of regulatory (suppressor) T cells (Treg) (26, 27). Whether the clinical benefit of SCFA enemas are associated with or due to Treg induction in patients after diversion surgery remains to be established. Tregs are

dominant regulators of intestinal immunity and are critically important in achieving peripheral immune tolerance. Further studies in preclinical models have demonstrated the crucial contribution of gut microbiota to Tregs induction(28, 29). These studies have become classical examples of microbiome-induced immune regulation.

Regulatory T cells (Tregs) that express the transcription factor FoxP3 have recently emerged as a separate peripheral T lymphocyte lineage over the last ~15 years (30). Most Tregs are generated in the thymus and they are called thymic regulatory T cells (tTregs). Besides the group originating in the thymus, FoxP3 negative naïve T cells can be converted to FoxP3 positive Tregs in the periphery (peripheral; pTregs). Tregs exert dominant immune regulation in the gut as well as other organ systems. FoxP3 deficiency results in intestinal and systemic inflammation in patients as well as in preclinical models. Adoptive transfer of Tregs regulates intestinal inflammation in different animal models.

Intestinal microbiota appear critical in peripheral induction and maintenance of Tregs. Germ-free mice without microorganisms in their gut but with an intact thymus have reduced numbers of Tregs in their colon(28). Colonic Treg numbers in germ-free mice can be restored by colonizing their gut with bacterial strains of SPF facilities(28). T cell receptor chain analyses have shown that colonic Tregs populating the gut in these mice are peripheral Tregs(31), suggesting that gut microbiota drive conversion of FoxP3 negative T cells into FoxP3 positive Tregs besides possibly promoting the expansion and maintenance of tTregs. Generation of pTreg in the gut appears to contribute to peripheral immune tolerance because mice with normal number of tTregs but inability to generate pTregs due to a genetic defect develop intestinal inflammation(32). These findings attest to a crucial role of intestinal microorganisms in inducing peripheral Treg generation and regulation of inflammation in various conditions. In exchange and to the benefit of the microbial ecosystem, Tregs promote the maintenance of bacterial diversity(33), an essential element of intestinal symbiosis.

Specific microorganisms or bacterial products derived from the gut microbiome have been shown to increase the Treg number and enhance Treg function. Colonizing the gut of germfree mice with spore forming Clostridia or Bacteroides was sufficient for Treg generation(29, 34). Further research has shown that a single product of *Bacteroides*, polysaccharide A (35, 36) was sufficient to promote Treg generation and stimulate Treg function. Similarly, helminths have been shown to promote Treg survival and stimulate their regulatory function(24, 37). Helminthic parasites may also exert immune regulation through engaging innate immune pathways independent of regulatory T and B cell subsets. Studies on gut microbiota-induced immune regulation have led to a provocative idea in clinical medicine whether one can stimulate Tregs or other immune regulatory pathways to control inflammation in various allergic or autoimmune disorders. In the era of stool transplantation, delivery of fecal microbiota - as a whole or as selected microbial strains - into the intestine is an appealing tool to restore a healthy gut microbiome, stimulate regulatory T cell responses and suppress aberrant immune reactivity. This is especially true for disorders with impaired or insufficient Treg function, such as IBD, as well as immune pathologies with decreased Treg number, such as graft-versus-host disease (GVHD). We will address the similarities between host-microbiome interactions in IBD and GVHD in the next sections.

# Inflammatory bowel disease

Before the analysis of microbiota became available, several preclinical and clinical observations attested to the importance of gut commensals in the pathogenesis of IBD. A good clinical example is diversion surgery where the surgeons have diverted fecal stream to treat therapy resistant terminal ileal Crohn's disease(38). As Rutgeerts et al. reported repeat colonoscopy showed no disease recurrence in ileal segments, devoid of the fecal stream. By contrast, disease recurrence occurred in patients whose terminal ilea were exposed to fecal material after takedown surgery. Complementing this clinical observation, intestinal inflammation did not occur in mice raised in germ-free facilities(39). Thus fecal elements, that stimulate intestinal tissue renewal, repair and regulatory responses, can trigger inflammation in IBD.

Inflammatory responses to microbiota occur in IBD due to genetic and environmental factors. Genome-wide association studies (GWAS) indicated more than 100 gene variants that predispose to or protect from IBD. One of the best-characterized genes in IBD is CARD15/NOD2. It was first described as a result of gene association studies. Subsequent research has shown that CARD15/NOD2 is the intracellular receptor of bacterial muramyl dipeptide(40). CARD15/NOD2 is expressed by several tissues in the intestine but distinctively in Paneth cells and contributes to antibacterial gut immunity. Antimicrobial responses in the intestine prevent bacterial translocation and influence the gut microbiota composition, preserving diversity. Indeed, CARD15/NOD2 deficient mice display a different pattern of intestinal flora suggesting that host immune factors determine the quality and quantity of intestinal microbiota(41).

Another group of hereditary abnormalities associated with Crohn's disease affect genes associated with autophagy. Autophagy involves sequestration and recycling of intracellular organelles and other structures. It is a cellular regenerative process critical in intestinal tissue repair, renewal and antibacterial host defense. Intestinal microbiota trigger autophagy that is important in maintaining the immune homeostasis and preventing bacterial translocation. In IBD, autophagy defects, such as ATG16L1 mutations, result in impaired tissue renewal, bacterial translocation and inflammatory responses(42).

GWAS also identified interleukin 23 receptor (IL23R) variants to protect from Crohn's disease. Signaling through IL23R is believed to trigger inflammatory T helper 17 responses and cause inflammation(43). Additional data showed that IL23R signaling controls the abundance of certain strains and, through the induction of IL22, promotes barrier repair(44).

Research on IBD genetics may have impact on treatment. Besides showing the importance of genes in controlling the gut microbial diversity and helping us better understand the disease pathogenesis, these studies contributed to the development of a monoclonal antibody targeting IL23 p40 subunit, namely ustekinumab, for clinical use. Therapeutic induction of autophagy may also lead to innovative treatment modalities of IBD in the future. Immune modulators, biologics or medications that induce autophagy are potent drugs, though with concerning short- and long-term toxicities. By contrast, restoring microbiota with stool transfer or helminth colonization may have a safer side effect profile. Clinical data on the

use of stool transplantation, fecal microbial transfer and helminths are accumulating, as we discuss below.

Besides genetic, environmental factors including intestinal microbiota play a role in IBD pathogenesis. One approach in the search for environmental factors that influence IBD has focused on changes during the second half of 20<sup>th</sup> century, as the prevalence of this condition and other autoimmune diseases has increased significantly during that period (23). For example, the use of antibiotics and the refrigeration of food became frequent practices. Whereas both have clear benefits, they also have long term unappreciated negative effects. In the case of antibiotics, the positives are that they cure infections; the negatives are that they reduce intestinal bacterial load and change the quality of microbiome. Similarly, although refrigeration suppresses bacterial growth and prevents food from spoiling, it permits the growth of certain bacteria in food before it is ingested, again shifting the balance of the microbiome. For example, refrigeration selectively permits the growth of *Listeria* that is frequently isolated from the gut of inflammatory bowel disease patients(45).

The microbiota as a whole may stimulate or regulate inflammation in IBD. Early antibiotic use during childhood is associated with a predisposition to ulcerative colitis and Crohn's disease, suggesting that the intestinal flora during early childhood is critical for peripheral immune system development and plays a role as a protective factor from IBD(14, 15). By contrast, antibiotics have a limited and well-defined therapeutic role in certain forms of inflammatory bowel disorders, such as fistulizing Crohn's disease(46). Thus, altering the balance between microbiota strains during early childhood may predispose to dysbiosis and IBD, whereas possible effector bacterial strains of a dysbiotic intestine may be eradicated by antibiotic use in IBD patients. Similarly, pouchitis can be treated using probiotics, such as Lactobacillus rhamnosus or VSL #3 (a combination of 8 probiotic strains) as well as antibiotics(47, 48). Preclinical models in germ-free mice or in mice raised at SPF facilities showed microbiota-driven induction of Tregs and Treg-mediated regulation of colitis. Whether bacteria, helminths or their products, such as SCFA induce immune regulation by stimulating Tregs in IBD patients remains to be established. As adoptive Treg transfer has limitations in clinical practice, expanding and stimulating Tregs in vivo by means of manipulating luminal microenvironment is a provocative idea for clinical IBD research.

#### Graft versus host disease

A severe and devastating form of intestinal inflammation with similarities to IBD in preclinical models and bone marrow transplant recipients is graft versus host disease (GVHD). It is caused by donor cells, especially T lymphocytes, administered with the hematopoietic stem cells to the recipient to enhance marrow engraftment and suppress cancer growth(49-51). In GVHD, donor T cells attack mainly the skin, gut and liver because of major or minor histocompatibility antigen mismatching. Controlled donor T cell alloreactivity to recipient tissues is a desired endpoint in allogeneic stem cell transplantation, as it suppresses cancer growth. However, uncontrolled alloreactive activation of the graft leads to severe and potentially lethal GVHD-related inflammation. Thus, in hematopoietic stem cell transplantation (HSCT), donor cell alloreactivity is desired to be sufficient to suppress cancer growth, in patients with malignant disorders, but also limited to prevent

overwhelming GVHD. Studies have shown the intestine to be a primal organ in the induction, maintenance and regulation of alloreactive donor T cell activation that leads to GVHD (52, 53). GVHD-related gut inflammation is difficult to treat and is a bad outcome sign in clinical medicine(54). Patients do not see a great benefit from glucocorticoids or other immune suppressives. However, they incur severe toxicity. Therefore, regulation of gut inflammation using microbiota or helminths is an attractive research idea in the management of lethal and devastating GVHD. In mice, helminths expand Tregs *in vivo*(37), a cell population that regulates GVHD preserving the anti-tumor immunity(55).

The endoscopic appearance of GVHD may mimic IBD. Moreover, colitis in certain preclinical IBD and GVHD models is similar and based on colitogenic effects of expanding naïve T cells in an immunodeficient host (Figure 1). The host is usually T and B cell deficient recombinase activation gene (RAG) knockout or immune compromised severe combined immunodeficient (scid) mouse in the case of IBD and, most often, a lethally irradiated recipient in the case of GVHD. Intestinal inflammation relies on autologous or syngeneic T cell activation in inflammatory bowel disease, while donor T cell alloreactivity and MHC mismatch drive the immune pathology in GVHD. Inflammation does not occur in mice raised in a germ-free environment attesting to the importance of gut microbiota in driving the inflammation in either group of disorders(39, 56).

Additional surprising similarities between GVHD and IBD came via further genetic association studies that showed that autophagy or CARD15/NOD2 gene defects predispose to and IL23R mutations protect from GVHD (Table 1). Studies have demonstrated more frequent and more severe GVHD in HSCT recipients with CARD15/NOD2 mutations in donor/recipient pairs(57). CARD15/NOD2 regulates GVHD through its inhibitory effect on antigen presenting cell function. This knowledge opens a new area of therapeutic approaches. Whether CARD15/NOD2 mutations predispose to GVHD by influencing the gut flora composition or by modulating various immune pathways remains to be established.

Association studies have shown that IL23R mutations protect from GVHD similar to IBD(58). In mice, autophagy gene defects, whose homologues predispose to IBD in humans, predispose the bone marrow transplant recipients to GVHD(59). According to some studies, mutations of toll-like receptors (TLRs), a predominant group of microbiota sensors in the intestine, also predispose to graft versus host or inflammatory bowel disease.

Surprising similarities between inflammatory bowel and graft versus host diseases have motivated researchers to investigate the role of gut microbiota in GVHD. Loss of bacterial strain diversity – not as a result of antibiotic use but as a consequence of alloreactive inflammation – has been shown in GVHD(53). Loss of strain diversity is accompanied by loss of *Clostridia* and enrichment for *Lactobacillae* and *Enterobacteriaceae* in intestinal lumen. Bacterial translocation from the gut is a major trigger for systemic inflammation after chemo- or radiotherapy prior hematopoietic stem cell transplantation and worsens alloreactive donor cell activation. Broad-spectrum antibiotic use is routine in clinical bone marrow transplantation (BMT). This prevents bacterial infections in severely immune compromised patients and interferes with bacterial translocation. Besides antibiotics, probiotics may also reduce bacterial translocation, as shown in mice(60). Whether

restoration of bacterial diversity by probiotics or fecal microbiota transplantation suppresses GVHD-related inflammation in patients remains to be established. Colonizing the gut with helminths can also regulate GVHD(37), where helminths suppress inflammation through their direct immune regulatory effects on host cells or through modulating microbiota. Helminths have been shown to enrich for *Lactobacillae* in murine gut. Although *Lactobacillae* enrichment appears to accompany loss of microbiota strain diversity and can be associated with worsening GVHD(53), addback of certain *Lactobacillus* strains into the intestine alleviates graft-versus-host reactivity in mice. Better definition of microbiota and their interactions with helminths or host cells will help us design better treatment strategies and safer environments for bone marrow transplant patients.

It will be also important to characterize in molecular and cellular detail the impact of antibiotics on intestinal dysbiosis. A study from Sweden has demonstrated a decrease in infectious complications and a lower incidence of GVHD in patients undergoing hematopoietic stem cell transplantation at home(61). The home environment with different antibiotic practices and microbial communities may prevent bacterial dysbiosis in HSCT patients.

Administration of enriched donor or *ex vivo* propagated Tregs can prevent GVHD(62). However, this is a technically challenging and costly practice in clinical medicine. Strategies also are being developed to *in vivo* expand Tregs in an attempt to prevent GVHD(63). With well-established stimulatory effects on Tregs in mice, gut bacteria or helminths may provide novel treatments to patients with GVHD in future.

HSCT is mostly performed as an immunotherapy against certain malignant diseases, such as leukemia, lymphoma and myeloma. The direct effects of the microbiome against tumor cells is heavily investigated not only in these hematological malignancies where HSCT is frequently applied but also in other forms of cancer.

# Cancer

The gut immune system and the intestinal microbiota communicate to maintain a balance between tolerance and activation. Since there is such a close interaction between these two players, it is not surprising that cancers of the immune system, e.g., lymphomas such as mucosal-associated lymphoid tissue (MALT) lymphomas have been shown to be caused by bacteria. These lymphomas originate in the marginal zone and 90% of MALT lymphomas are associated with the presence of *Helicobacter*; elimination of *Helicobacter* leads to complete remission in approximately 80% of all cases(64). The causative effect of *Helicobacter* in MALT lymphomas has been demonstrated in animal models. Other bacteria such as *Campylobacter jejuni, Borrelia burgdorferi* and *Chlamydia psittaci* may also play a role in lymphoma development and associations with these bacteria have been demonstrated in humans. Bacteria can cause changes in T-cell activity eliciting increases in inflammatory cytokines. Besides increasing cellular turnover in the intestine through inflammation, oxidative stress caused by intestinal bacteria can also affect carcinogenesis. *Helicobacter pylori* has also been implicated in *esophageal cancer*, but its role remains unclear(65).

Commensal bacteria play a major role in the development of colorectal cancer (CRC). It was recently proposed that selected commensals polarize colon macrophages to produce endogenous mutagens that initiate chromosomal instability (CIN), which leads to expression of cancer stem cell markers in non-cancer stem cell malignant cells and drive CRC through a bystander effect(66). This hypothesis was validated in immunodeficient mice. The same group also showed that *Enterococcus faecalis* induced aneuploidy and tetraploidy in colonic epithelial cells in mice(67). An association between the salivary microbiota and pancreatic cancer has been observed. Two bacteria (*Neisseria elongata* and *Streptococcus mitis*) showed significant association with pancreatic cancer with a 96.4% sensitivity and 82.1% specificity(68).

Recent studies have also suggested that intestinal bacteria can modulate cancer development in distant non-intestinal tissues, such as prostate cancer and breast cancer due to Helicobacter hepaticus(12, 69).

The beneficial effects of the microbiota can be enhanced by probiotics and microbiota transplants to increase butyrate-producing bacteria and by decreasing inflammation-causing and Th17-inducing bacteria. Butyrate acts as a histone deacetylase inhibitor and anti-inflammatory agent; it also improves the oxidative status(70). Curcumin is a natural phenol that decreases inflammatory response in human intestinal epithelial cells(71).

# Chemotherapy-or radiation-induced mucositis

Patients receiving cytotoxic and radiation therapy exhibit marked changes in the intestinal microbiota with most frequently a decrease in *Bifidobacterium, Clostridium* cluster XIVa, *Faecalibacterium pra-usnitzii*, and increase in *Enterobacteriaceae* and *Bacteroides*. These modifications contribute to diarrhea and bacteremias. There have been some promising results with probiotics to prevent cancer therapy-induced mucositis(72). Results showing benefit in utilizing microbiota in cancer or IBD patients and *Clostridium difficile*-infected individuals initiated studies that may lead to wider use of microbiota or its products in clinical medicine.

#### Fecal Microbiota Transplantation: Current and Future Applications

Over the past few years there has been a heightened interest in fecal microbiota transplantation (FMT), primarily due to the increasing incidence of *Clostridium difficile* infection. FMT is increasingly viewed as an established effective therapy for recurrent *C. difficile* infection. These patients have been shown to have loss of intestinal bacterial diversity, which is reversed following engraftment of donor stool(73). Three randomized, open label trials have demonstrated cure rates of 90-94%(74-76). Two of the three trials compared FMT to oral vancomycin(74, 76), while the third compared FMT delivered by the nasogastric route to delivery via colonoscopy(75). Another emerging therapeutic application of FMT is for multidrug resistant pathogen decolonization of the GI tract. Two case reports demonstrate successful decolonization of highly resistant gram-negative pathogens in humans(77), and decolonization of vancomycin resistant enterococci has been demonstrated in a mouse model(78).

FMT is also under active evaluation for the treatment of IBD. A meta-analysis of nine cohort studies determined that the pooled estimate of clinical remission for ulcerative colitis was 22%, with results of individual studies varying from 0% to 75%(79). More recently, two randomized, placebo controlled trials of FMT for ulcerative colitis have been published. In the first study (70 patients randomized to FMT or placebo administered weekly via enema for 6 weeks), 24% of patients in the FMT arm were in remission at 7 weeks versus 5% in the placebo arm (P=0.03)(80). In the second study, 50 patients were randomized to FMT from healthy donors versus autologous donation (placebo) administered via nasoduodenal tube at two time points (0 and 3 weeks). Remission was achieved in 30% in the active treatment arm versus 20% in the placebo arm (P=.51)(81). Moreover, as of May 19, 2015, there are an additional 18 clinical trials for patients with IBD actively recruiting patients (https:// clinicaltrials.gov).

FMT has also been studied in human subjects for several other diseases. In a small, randomized, double blind, placebo controlled trial, 18 men received either FMT from a lean male donor or autologous FMT (placebo). Those receiving FMT from a lean donor showed a significant improvement in peripheral insulin sensitivity(82). Another potential role for FMT may be GVHD following allogeneic HSCT, given the loss of bacterial diversity (83) and elevation of fecal inflammatory biomarkers (calprotectin and  $\alpha$ 1-antitrypsin) (84) seen in these patients. Indeed, loss of intestinal bacterial diversity has been shown to be an independent predictor for mortality in patients following allogeneic HSCT (85). Lastly, case reports on patients with multiple sclerosis (MS) or Parkinson's disease that underwent FMT, were reported. Of the 3 patients with MS, who underwent FMT for chronic constipation, all experienced a significant durable improvement in neurologic findings (86).

After helminth infection models in mice showed very promising data on the regulation of aberrant immune reactivity, clinical trials have been initiated, that addressed the role of helminths in patients(24, 25). Early observations showed clinical benefit in various diseases, such as IBD or MS(25). Currently, six clinical trials on the effect of helminths on disease outcome in autoimmunity and other pathological conditions are actively recruiting patients (https://clinicaltrials.gov).

Given the concerns regarding transmission of pathogens during FMT, there has been interest in the development of synthetic stool substitutes. Two studies evaluated the use of the same mixture of 10 intestinal bacteria, each of which was grown in pure culture, then reconstituted in normal saline and delivered via enema for the treatment of recurrent *C*. *difficile* infection. The combined results demonstrated cure in 12 of 13 patients (92%)(87, 88). In another study, 33 bacterial strains were chosen from the stool of a healthy donor, grown in pure culture, reconstituted in normal saline, and delivered via colonoscopy to two patients with recurrent *C. difficile* infection. Both patients were cured(89). It is anticipated that synthetic stool substitutes may ultimately replace FMT.

## Conclusions

The intestine has emerged as a crucial immune organ influencing inflammatory reactivity in allergic, autoimmune and alloreactive disorders. Intestinal immune regulatory pathways, like

Tregs are stimulated by intestinal microbiota or helminths. Live organisms or their products trigger intestinal and systemic immune regulation. Dysbiosis of microbiota and immune dysregulation accompany each other in several diseases, with pathogenesis mechanisms overlapping significantly in IBD and GVHD. Preclinical models and early clinical trials suggest that various pathological conditions with aberrant immune reactivity can be managed by restoring healthy and nondysbiotic microbiota. Future research on microbiota or helminths colonizing the gut may lead to better treatment modalities of IBD as well as similar devastating disorders, such as GVHD.

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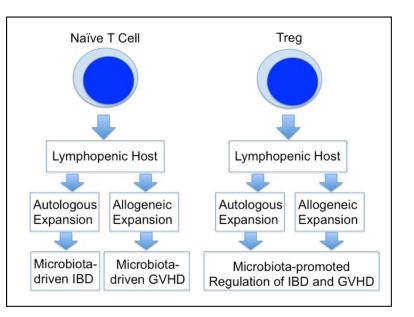


Figure 1. Similar effector and regulatory immune pathways are involved in mouse GVHD besides IBD

Expansion of naïve T cells in syngeneic host leads to IBD, whereas allogeneic activation and expansion of naïve T cells in an MHC-mismatched host results in GVHD. Treg coadministration regulates IBD- and GVHD-related inflammation. The lymphopenic host, that receives naïve T cells or Tregs, is a RAG-/- or *scid* mouse in IBD models and is usually an irradiated recipient in GVHD experiments.

#### Table 1

Common gene mutations in IBD and GVHD

Mutation	Effect on IBD	Effect on GVHD
CARD15/Nod2	Increases risk	Increases risk
ATG16L	Increases risk	Increases risk
IL23R	Reduces risk	Reduces risk