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Object-oriented Persistent Homology

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Abstract

Persistent homology provides a new approach for the topological simplification of big data via measuring the life time of intrinsic topological features in a filtration process and has found its success in scientific and engineering applications. However, such a success is essentially limited to qualitative data classification and analysis. Indeed, persistent homology has rarely been employed for quantitative modeling and prediction. Additionally, the present persistent homology is a passive tool, rather than a proactive technique, for classification and analysis. In this work, we outline a general protocol to construct object-oriented persistent homology methods. By means of differential geometry theory of surfaces, we construct an objective functional, namely, a surface free energy defined on the data of interest. The minimization of the objective functional leads to a Laplace-Beltrami operator which generates a multiscale representation of the initial data and offers an objective oriented filtration process. The resulting differential geometry based objectoriented persistent homology is able to preserve desirable geometric features in the evolutionary filtration and enhances the corresponding topological persistence. The cubical complex based homology algorithm is employed in the present work to be compatible with the Cartesian representation of the Laplace-Beltrami flow. The proposed Laplace-Beltrami flow based persistent homology method is extensively validated. The consistence between Laplace-Beltrami flow based filtration and Euclidean distance based filtration is confirmed on the Vietoris-Rips complex for a large amount of numerical tests. The convergence and reliability of the present Laplace-Beltrami flow based cubical complex filtration approach are analyzed over various spatial and temporal mesh sizes. The Laplace-Beltrami flow based persistent homology approach is utilized to study the intrinsic topology of proteins and fullerene molecules. Based on a quantitative model which correlates the topological persistence of fullerene central cavity with the total curvature energy of the fullerene structure, the proposed method is used for the prediction of fullerene isomer stability. The efficiency and robustness of the present method are verified by more than 500 fullerene molecules. It is shown that the proposed persistent homology based quantitative model offers good predictions of total curvature energies for ten types of fullerene isomers. The present work offers the first example to design object-oriented persistent homology to enhance or preserve desirable

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features in the original data during the filtration process and then automatically detect or extract the corresponding topological traits from the data.

Keywords

Computational topology; Variation; Laplace-Beltrami flow; Protein; Fullerene; Total curvature energy

I Introduction

In mathematical science, homology is a general procedure to associate a sequence of abelian groups or modules to a given topological space and/or manifold.^{26, 39} The idea of homology dates back to Euler and Riemann, although homology class was first rigorously defined by Henri Poincaré, who built the foundation of modern algebraic topology. The topological structure of a given manifold can be studied by defining the different dimensional homology groups on the manifold such that the bases of the homology groups are isomorphic to the bases of the corresponding topological spaces. In computational perspective, a given manifold can be approximated by a triangulated simplicial complex, on which homology groups can be further defined. The triangulation of a manifold or a topological space can be realized through a number of methods, such as the Delaunay triangulation. There are many triangulation software packages, such as TetGen and CGAL. In scientific computing, the Cartesian representation is one of the most important approaches in numerical analysis. Consequently, cubical complex based homology analysis has also become a popular research topic in the past decade. A systematic description of homology analysis in the cubical complex setting has been given by Kaczynski et al.⁴²

Persistent homology creates a multiscale representation of topological structures via a scale parameter relevant to topological events.^{25, 29, 61, 99} In the past decade, persistent homology has been developed as an efficient computational tool for the characterization and analysis of topological features in large data sets.^{25, 99, 100} Topological persistence over the filtration process can be captured continuously over a range of spatial scales in persistent homology analysis. Unlike commonly used computational homology which results in truly metric-free or coordinate-free representations, persistent homology is able to embed geometric information into topological invariants so that the "birth" and "death" of isolated components, circles, rings, loops, pockets, voids or cavities at all geometric scales can be monitored by topological measurements. Compared with traditional computational topology^{12, 44, 91} and/or computational homology, persistent homology inherently has an additional dimension, namely, the filtration parameter, which can be utilized to embed some crucial geometry or quantitative information into the topological invariants. Barcode representation has been proposed for the visualization of topological persistence, 32 in which various horizontal line segments or bars are utilized to represent the persistence of the topological features. Efficient computational algorithms such as, the pairing algorithm, $^{22, 25}$ Smith normal form^{26, 99} and Morse reduction, $37, 38, 72$ have been proposed to track topological variations during the filtration process.^{7, 22, 23, 26, 50} Some of these persistent homology algorithms have been implemented in many software packages, namely Perseus, $50, 52$ JavaPlex⁷¹ and Dionysus. In the past few years, persistent homology has been

applied to image analysis, $5, 9, 58, 67$ image retrieval, 30 chaotic dynamics verification, $42, 49$ sensor networks,⁶⁶ complex networks,^{40, 45} data analysis,^{8, 47, 53, 60, 73} computer vision,⁶⁷ shape recognition²⁴ and computational biology.^{21, 31, 43, 86}

Nevertheless, the applications of persistent homology have been essentially limited to qualitative classification and analysis. Indeed, there is little literature about the use of persistent homology as a quantitative tool, i.e., for mathematical modeling and physical prediction, to our best knowledge. Recently, we have introduced molecular topological fingerprints (MTFs) as a quantitative tool for revealing topology-function relationships in protein folding, 86 modeling and prediction of the stability of proteins 86 and nano particles, 85 and resolving ill-posed inverse problems in cryo-electron microscopic (cryo-EM) structure determination.88 We have proposed resolution based persistent homology89 and multidimensional persistence⁸⁷ for biomolecules.

In the past few decades, geometric analysis, which combines differential equations and differential geometry, has become a popular approach for data analysis, signal and image processing, surface generation and computer visualization.27, 33, 48, 56, 64, 65, 69, 93 Geometric partial differential equations (PDEs), 83 i.e., the Laplace-Beltrami flows, are efficient apparatuses for data analysis and geometric processing in applied mathematics and computer science.^{11, 20, 68} Osher and Sethian^{55, 65} have devised level set as a computational tool for solving geometric PDEs. An alternative approach is to make use of the Euler-Lagrange variation to derive a desirable set of geometric PDEs from a functional, such as a Mumford-Shah functional,⁵¹ for image or surface analysis.^{6, 10, 46, 57, 62, 63 Wei introduced some of the} first families of high-order geometric PDEs for image analysis in 1998.77 Mathematical analysis of high-order geometric PDEs was reported in the literature.^{34, 35, 41, 90} Geometric PDE based high-pass filters was pioneered by Wei and Jia by coupling two nonlinear geometric PDEs.79 Recently, this approach has been extended to a more general formalism, the PDE transform, for image and surface analysis.74–76, 96

Curvature-controlled PDEs was introduced by Wei and co-workers for the construction of biomolecular surfaces in 2005.⁸⁰ Based on differential geometry, the first variational solvent-solute interface: the minimal molecular surface (MMS), was proposed for molecular surface representation in $2006²⁻⁴$ Since the surface free energy is the product of surface tension and surface area, the minimization of the surface free energy leads to the Laplace-Beltrami operator. One then obtains the Laplace-Beltrami flow by adopting an artificial time. The Laplace-Beltrami flow approach has been used to calculate both solvation energies and electrostatics of proteins.^{1, 4, 18} We have proposed potential-driven geometric flows, which admit non-curvature-driven terms, for biomolecular surface construction subject to potential interactions.¹ Our approaches were employed by many others^{19, 92, 94, 95} for biomolecular surface and electrostatics/solvation modeling.

The above idea was utilized to construct differential geometry based multiscale models.⁷⁸ The essential idea is to use the differential geometry theory of surfaces as a natural means to geometrically separate the macroscopic domain of the biomolecule from the microscopic domain of the solvent, and to dynamically couple the continuum treatment of the solvent from the discrete description of the biomolecule. In the past few years, differential geometry

based multiscale models have been implemented for nonpolar solvation analysis,18 full solvation analysis, $15-17$ proton transport, $13, 14$ ion permeation across membrane channel proteins.59, 81, 82, 97, 98 The performance of our methods has been extensively validated with experimental data, including solvation energies and current-voltage (I–V) curves.

In this work, the above ideas in variational geometric PDEs and computational topology are combined to develop object-oriented persistent homology methods for proactively extracting desirable topological traits from biomolecular data. As a general procedure, we construct an objective functional to optimize desirable features in data. In our specific example, such an optimization is realized through a geometry-embedded filtration process and leads to an object-oriented persistent homology method. As a proof of principle, we utilize differential geometry theory of surfaces to minimize the surface free energy, which results in an objectoriented partial differential equation, i.e., the Laplace-Beltrami flow. The evolution of the Laplace-Beltrami flow creates a multiscale representation of a nano-bio object, which naturally constitutes a filtration and gives rise to a differential geometry based persistent homology method. The proposed differential geometry based persistent homology is utilized to analyze nano-bio data. The topological invariants of a given nano-bio object are extracted from the evolutionary profiles of the Laplace-Beltrami flow. Then topological persistence is analyzed to identify the intrinsic topological signature of a given data. Such information is further utilized to unveil quantitative topology-function relationships. It is well known that geometric PDEs can be designed to preserve certain geometric features in the time evolution.⁷⁷ Specifically, Laplace-Beltrami flow minimizes the mean curvature or surface area.⁴ As a result, topological invariants computed from the geometric PDE based filtration enhance the corresponding features. This idea is potentially useful and powerful for automatic feature detection and extraction from big data. In particular, the current framework can be utilized for analyzing the topological structure of the cubical data, such as, cryo-EM density maps, which are of fundamental importance in structure biology.

The rest of this paper is organized as follows. In Section II, we give a brief introduction to the theory of Laplace-Beltrami flows for nano-bio systems, such as proteins and carbon fullerene molecules. A computational protocol, including numerical implementation, for integrating the evolution of Laplace-Beltrami flow is described in detail. In Section III, a brief review of homology and persistent homology theories are given in the cubical complex setting. The construction of object-oriented persistent homology is discussed in Section IV. As a specific example of this new method, we propose the Laplace-Beltrami flow based persistent homology. The validity of the proposed method is carefully carried out in Section V using carbon fullerene data. The consistence with radius based filtration and the numerical convergence are verified. The proposed method is applied to the analysis of proteins and fullerene molecules in Section VI. We consider the topological persistence of a beta barrel, which has an intrinsic ring structure. We demonstrate that the specific intrinsic feature of the beta barrel, namely the inner ring structure, is enhanced during the time evolution. Whereas, some undesirable topological feature due to the Vietoris-Rips complex can be effectively suppressed in the present approach. We further apply this differential geometry based persistent homology to the quantitative prediction of fullerene isomer total curvature energies. This paper ends with a conclusion.

II Laplace-Beltrami flows for nano-bio systems

In this section, we provide a brief summary of differential geometry based Laplace-Beltrami flows. To this end, we discuss differentiable manifolds and curvature, followed by the construction of Laplace-Beltrami operator using an objective functional. The implementation of the Laplace-Beltrami flow for biomolecular data is described in detail.

II.A Differentiable manifolds and curvatures

Consider an immersion of an open set $U \subset \mathbb{R}^3$ to \mathbb{R}^4 via a differentiable hypersurface element $\mathbf{f}: U \to \mathbb{R}^4$. Here the hypersurface element is a vector-valued C^2 function: $\mathbf{f}(\mathbf{u}) =$ $(f_1(\mathbf{u}), \mathbf{f}_2(\mathbf{u}), \mathbf{f}_3(\mathbf{u}), \mathbf{f}_4(\mathbf{u}))$ and $\mathbf{u} = (u_1, u_2, u_3) \in U$.

Tangent vectors (or directional vectors) of **f** are $X_i = \frac{\partial \mathbf{f}}{\partial u_i}$. The Jacobi matrix of the mapping **f** is given by $D\mathbf{f} = (\mathbf{X}_1, \mathbf{X}_2, \mathbf{X}_3)$.

As a symmetric and positive definite metric tensor of **f**, the first fundamental form is **I** := $(g_{ij}) = (Df)^T \cdot (Df)$, where matrix elements are $g_{ij} = \langle \mathbf{X}_i, \mathbf{X}_j \rangle$. Here $\langle \cdot, \cdot \rangle$ is the Euclidean inner product in \mathbb{R}^4 , *i*, *j* = 1, 2, 3.

The Gauss map $v: U \to S^3$ is defined by the unit normal vector $v(\mathbf{u})$

$$
\nu(u_1, u_2, u_3) := \frac{\mathbf{X}_1 \times \mathbf{X}_2 \times \mathbf{X}_3}{||\mathbf{X}_1 \times \mathbf{X}_2 \times \mathbf{X}_3||} \in \perp_u \mathbf{f}, \quad (1)
$$

where the cross product in \mathbb{R}^4 is a generalization of that in \mathbb{R}^3 . Here $\perp_u \mathbf{f}$ is the normal space of **f** at point $\mathbf{p} = \mathbf{f}(\mathbf{u})$. It is easy to verify that

$$
\langle \nu, \nu \rangle = 1.
$$

Locally at **p**, the normal vector *v* is perpendicular to the tangent hyperplane T_u **f**:

$$
\langle \nu, \mathbf{X} \rangle = 0.
$$

Note that $T_u f \oplus \perp_u f = T_{f(u)} \mathbb{R}^3$, which is the tangent space at point **p**. The second fundamental form is of crucial importance and can be defined by means of the normal vector ^ν and tangent vector **X***ⁱ* ,

$$
\mathbf{II}(\mathbf{X}_i, \mathbf{X}_j) = (h_{ij}) \equiv \left(\left\langle -\frac{\partial \nu}{\partial u_i}, \mathbf{X}_j \right\rangle \right). \quad (2)
$$

The definition of the second fundamental form can be systematically generalized by using the Weingarten map, a shape operator of **f**:

$$
\mathscr{L} \mathbin{:=} -D\nu \circ (D\mathbf{f})^{-1}.
$$

Since \mathcal{L} is a self-adjoint operator, we have

$$
\mathbf{II}(\mathbf{X}_i, \mathbf{X}_j) = \mathbf{I}(\mathscr{L}\mathbf{X}_i, \mathbf{X}_j) = (h_{ij}) = \left(\left\langle -\frac{\partial \nu}{\partial u_i}, \mathbf{X}_j \right\rangle \right) = \left(\left\langle \frac{\partial^2 \mathbf{f}}{\partial u_i \partial u_j}, \nu \right\rangle \right). \quad (3)
$$

The third and fourth fundamental forms are conveniently given in terms of the shape operator

$$
III(\mathbf{X}_i, \mathbf{X}_j) = I(\mathscr{L}^2 \mathbf{X}_i, \mathbf{X}_j) = (e_{ij}) = \left(\left\langle \frac{\partial \nu}{\partial u_i}, \frac{\partial \nu}{\partial u_j} \right\rangle \right) \tag{4}
$$

$$
IV(X_i, X_j) = I(\mathcal{L}^3 X_i, X_j).
$$
 (5)

The Laplace-Beltrami can be calculated by

$$
H = \frac{1}{3} h_{ij} g^{ji}, \quad (6)
$$

where we use the Einstein summation convention, and (g^{ij}) denotes the inverse matrix (g^{ij}) = $(g_{ij})^{-1}$.

Principal curvatures κ_i (*i* = 1, 2, 3) are defined as the eigenvalues of Weingarten map $\mathscr L$ with eigenvectors being unit tangent vectors. Appropriate organization of the principal curvatures gives rise to the first three Laplace-Beltramis

$$
K_1 = \frac{1}{3}(\kappa_1 + \kappa_2 + \kappa_3) \quad (7)
$$

$$
K_2 = \frac{1}{3}(\kappa_1 \kappa_2 + \kappa_1 \kappa_3 + \kappa_2 \kappa_3) \quad (8)
$$

$$
K_3 = \kappa_1 \kappa_2 \kappa_3 \quad (9)
$$

where $K_1 = H = \frac{1}{3} \text{Tr}(\mathcal{L})$ is the Laplace-Beltrami and $K_3 = K = \text{Det}(\mathcal{L})$ is the Gauss-Kronecker curvature or Gauss curvature. The local property of the Gauss curvature is used to classify the point as elliptic, hyperbolic, parabolic, etc. The combination of Gauss and Laplace-Beltramis has been used to characterize protein surfaces and predict protein-ligand binding sites.^{28, 84} It follows from the Cayley-Hamilton theorem that the first four fundamental forms satisfy: $IV - 3HIII + 3K_2II - KI = 0$.

We discuss an iterative procedure to generate a family of hypersurfaces that have vanishing Laplace-Beltrami except at the boundary. Let $U \subset \mathbb{R}^3$ be an open set with a compact closure

and boundary *U*. Consider a family of hypersurface elements \mathbf{f}_{ε} : $\rightarrow \mathbb{R}^4$ ($\varepsilon > 0$) generated by deforming **f** in the normal direction with speed of the Laplace-Beltrami:

$$
\mathbf{f}_{\varepsilon}(x,y,z) := \mathbf{f}(x,y,z) + \varepsilon H\nu(x,y,z). \quad (10)
$$

Equation (10) is iterated until $H = 0$ in all of *U*, except at boundary *U*, which can be a set of atomic surface constraints. This procedure leads to a minimal hypersurface.⁴

As discussed above, the hypersurface element is a vector-valued function which is cumbersome in biophysical application. We therefore construct a scalar hypersurface function by setting $f(u) = (x, y, z, S)$, where $S(x, y, z)$ is a hypersurface function of interest. The first fundamental form can be explicitly computed

$$
(g_{ij}) = \begin{pmatrix} 1+S_x^2 & S_x S_y & S_x S_z \\ S_x S_y & 1+S_y^2 & S_y S_z \\ S_x S_z & S_y S_z & 1+S_z^2 \end{pmatrix}.
$$
 (11)

Matrix tensor (*gij*) has the inverse

$$
(g^{ij}) = \frac{1}{g} \begin{pmatrix} 1+S_y^2+S_z^2 & -S_xS_y & -S_xS_z \\ -S_xS_y & 1+S_x^2+S_z^2 & -S_yS_z \\ -S_xS_z & -S_yS_z & 1+S_x^2+S_y^2 \end{pmatrix},
$$
 (12)

where $g = Det(g_{ij}) = 1 + S_x^2 + S_y^2 + S_x^2$ is the Gram determinant. From Eq. (1), the normal vector is given by

$$
\nu = (-S_x, -S_y, -S_z, 1) / \sqrt{g}. \quad (13)
$$

The second fundamental form, the Hessian matrix of *S*, is obtained as

$$
(h_{ij}) = \left(\frac{1}{\sqrt{g}}S_{x_ix_j}\right). \quad (14)
$$

Using Eq. (6), one can obtain the Laplace-Beltrami

$$
H = \frac{1}{3} \nabla \cdot \left(\frac{\nabla S}{\sqrt{g}}\right). \quad (15)
$$

II.B Laplace-Beltrami flow

II.B.1 Laplace-Beltrami equation—According to differential geometry theory of surfaces, a surface area is minimized if and only if the Laplace-Beltrami is zero everywhere

on the surface except for a set of boundary points. Following Eq. (10), we construct a family of hypersurfaces S_ε as

$$
S_{\varepsilon}(x, y, z) = S(x, y, z) + \frac{\varepsilon}{3\sqrt{g}} \nabla \cdot \left(\frac{\nabla S}{\sqrt{g}}\right). \quad (16)
$$

The iteration of the hypersurface function so that $S_e(x, y, z) \to S(x, y, z)$, i.e., $\nabla \cdot \left(\frac{\nabla S}{\sqrt{g}}\right) = 0$, leads to the desired minimal hypersurface function *S*.

A more general procedure is to construct an objective functional, i.e., a surface free energy functional, for the molecular data of interest

$$
E = \int_{\partial \Omega} \gamma d\Omega, \quad (17)
$$

where Ω is the boundary of the molecule, γ is the surface tension and $d\Omega = \sqrt{g} dx dy dz$. Using the Euler Lagrange equation, we minimize the surface free energy density $e=\gamma \sqrt{g}$ with respect to *S*

$$
\frac{\partial e}{\partial S} - \frac{\partial}{\partial x} \frac{\partial e}{\partial S_x} - \frac{\partial}{\partial y} \frac{\partial e}{\partial S_y} - \frac{\partial}{\partial z} \frac{\partial e}{\partial S_z} = 0.
$$
 (18)

Since γ 0 in general, we arrive at the vanishing of the mean curvature operator

 $\nabla \cdot \left(\frac{\nabla S}{\sqrt{g}}\right) = 3H = 0$ again.

From the computational point of view, the iteration process can be efficiently achieved by introducing an artificial time variable *t* so as to change the elliptic PDE into a parabolic one. Specifically, instead of iterating Eq. (16), we set the hypersurface function *S* to be $S(x, y, z, z)$ *t*) in the computational perspective and construct the following Laplace-Beltrami equation

$$
\frac{\partial S}{\partial t} = \sqrt{g} \nabla \cdot \left(\frac{\nabla S}{\sqrt{g}}\right). \quad (19)
$$

A similar approach is to set \sqrt{g} as $|\nabla S|$, leading to another popular form of the Laplace-Beltrami equation⁴

$$
\frac{\partial S}{\partial t} = |\nabla S| \nabla \cdot \left(\frac{\nabla S}{|\nabla S|}\right). \quad (20)
$$

These equations were employed to construct minimal molecular surfaces of proteins and other biomolecules.1, 4, 15, 84

II.B.2 Initial value and boundary condition for nano-bio Laplace-Beltrami flows —In the present work, we generate a family of hypersurface functions indexed by the

artificial time *t* by using Laplace-Beltrami equataion (19). We call this family of hypersurface functions the profiles of Laplace-Beltrami flows. Note that we do not seek the minimal molecular surfaces described in our earlier work.^{1, 4, 15, 84} Instead, we look for a geometric PDE or Laplace-Beltrami flow representation of nano-bio molecules. To apply this approach to proteins and nano-molecules, we start with a given set of *N* atomic coordinates $\{r_i\}$, $(i = 1, 2, \dots, N)$, which can be obtained from the Protein Data Bank (PDB). We define a set by $\mathbf{R}_N = \bigcup_{i=1}^N B_{\varepsilon}(r_i)$, where $B_{\varepsilon}(\mathbf{r}_i, r_i)$ is the ball centered at \mathbf{r}_i of radius $r_i =$ ϵr_{vdW} . Here $\epsilon > 0$ is a parameter and r_{vdW} is the van der Waals radius of the *i*th atom.

The initial value of the hypersurface *S* can be chosen in a number of ways. One choice is

$$
S(\mathbf{r},0) = \begin{cases} 1 & \text{if } \mathbf{r} \in \mathbf{R}_N, \\ 0 & \text{otherwise.} \end{cases} \tag{21}
$$

Remark 1: The initial radii of an atom εr_i in a molecule can be adjusted by parameter ε . For different applications, one can choose different initial radii. In our earlier work, $\varepsilon > 1$ was used.^{1, 4, 15, 84} In the present work, we set $\varepsilon = \frac{1}{2}$.

Alternatively, another choice is a heaviside function θ

$$
S(\mathbf{r},0) = \theta(\mu(\mathbf{r}) - \mu_0), \quad (22)
$$

where μ_0 is a cutoff value and $\mu(\mathbf{r})$ is a rigidity function⁵⁴

$$
\mu(\mathbf{r}) = \sum_{i}^{N} w_i \Phi(|\mathbf{r} - \mathbf{r}_i|; \eta_i). \quad (23)
$$

Here w_i is a weight associated with the atomic type of the *i*th atom and is set to 1 in the present work. Additionally, correlation functions $\Phi(|\mathbf{r} - \mathbf{r}_i|; \eta_i)$ are monotonically decreasing radial basis functions, such as generalized exponential functions or generalized Lorentz functions.⁵⁴ The scaling function η_i can be set to $\eta_i \propto r_{vdW}$ and should be systematically adjusted for different choices of Φ.

Obviously, the other choice of the initial value is to directly use the rigidity function

$$
S(\mathbf{r},0) = \mu(\mathbf{r}). \quad (24)
$$

The initial values given by Eq. (22) are smoother than those given by Eq. (21). However, Eq. (24) provides the smoothest initial values. The results reported in this work are based on Eq. (21). However, our tests indicate that other two types of initial values work well.

Both the Dirichlet boundary (*S*(**r**, *t*) = 0 \forall **r** ∈ *U*) or the Neumann boundary ($\frac{\partial S}{\partial r}$ = 0 \forall **r** ∈ ∂U can be employed. The solution of Eq. (19) gives a family of hypersurface functions *S*(*x*, *y*, *z*,

t). We extract desirable nano-bio information from *S* by using two different procedures. One is to take an iso-surface for a given iso-value, i.e., $S = c$, which can be extracted by the level set method. For our applications, the iso-value of the hypersurface for carbon fullerene molecules is set to be $c = 0.1$, and that for protein molecules is set $c = 0.01$. The other approach is to evaluate the structural information contained in $S(x, y, z, T)$ at a given time T $\gg 0$. We typically set *T* to be a quite large value so the hypersurface profile is well developed. However, to avoid boundary effect, *T* should not be too large.

III Cubical complex based homology and persistent homology

In this section, a brief review of the homology and persistent homology in the cubical complex setting is provided. The reader is referred to the literature^{42, 70} for more comprehensive discussion and treatment.

III.A Geometric building blocks

The cubes are the basic geometric building blocks of the homology and persistent homology theory in the cubical complex setting. First of all, we need to introduce a few basic concepts about cubes.

- **•** An elementary non-degenerate interval is a closed interval $I \subset \mathbb{R}$ of the form $I =$ $[m, m + 1]$ (or $I = [m]$ for simplicity) for some integer *m*. An elementary degenerate interval is a point $I = [m, m]$.
- **•** An elementary cube *Q* or *d* cube is a *d*-product of elementary intervals, i.e.,

$$
Q=I_1\times I_2\times\ldots\times I_d\subset\mathbb{R}^d,
$$

where each I_i , $i = 1, 2, ..., d$ is an elementary interval of non-degenerate or degenerate type, and *d* is called the embedding number of *Q*, denoted as emb $Q = d$. The dimension of *Q*, denoted by dim *Q*, is defined to be the number of nondegenerate components in Q , and \mathcal{K}_k denotes the set of all k dimensional elementary cubes. Let $\mathcal{K}:=\bigcup_{d=1}^{\infty}\mathcal{K}^d$ be the set of all elementary cubes, and \mathcal{K}^d be the set of all elementary cubes in ℝ*^d* .

The set of *k*-dim cubes with embedding number *d* is $\mathcal{K}_k^d := \mathcal{K}_k \cap \mathcal{K}^d$. Obviously, if

$$
Q \in \mathcal{K}_k^d \text{ and } P \in \mathcal{K}_{k'}^{d'}, \text{ then } Q \times P \in \mathcal{K}_{k+k'}^{d+d'}.
$$

With the above building blocks, we say that set $X \subset \mathbb{R}^d$ is cubical if *X* can be written as a finite union of elementary cubes.

For a given cubical set $X \subset \mathbb{R}^d$, we define the following cubical set $\mathcal{K}(X)$ and *k*-cube set *k* (*X*) of *X*:

$$
\mathscr{K}(X) = \{ Q \in \mathscr{K} | Q \subset X \},
$$

$$
\mathscr{K}_k(X) := \{ Q \in \mathscr{K}(X) | \dim Q = k \} .
$$

The elements of $\mathcal{K}_k(X)$ are called the *k*-cubes of *X*.

III.B Algebraic building blocks

With the above geometric building blocks, we define the algebraic operations on the building blocks, following the line of Kaczynski et al.⁴²

First, each elementary *k*-cube $Q \in \mathcal{K}_k^d$ is associated with an algebraic object Q which is called an elementary *k*-chain of ℝ*^d* . The set of all elementary *k*-chains of ℝ*^d* is

$$
\hat{\mathcal{K}}_k^d := \{ \hat{Q} | Q \in \mathcal{K}_k^d \},
$$

and the set of all elementary chains of ℝ*^d* is

$$
\hat{\mathscr{K}}^d\!:=\!\underset{k=0}{\overset{\infty}{\cup}}\hat{\mathscr{K}}^d_k
$$

Second, addition operation and boundary operator are defined for the further algebraic treatment of the cubical complex.

III.B.1 Addition operation—To define the addition operation on elementary chains, first, the following *k*-chains, i.e., a linear combination of *k*-chain,

$$
c = a_1 \widehat{Q}_1 + a_2 \widehat{Q}_2 + \cdots a_m \widehat{Q}_m, \quad a_i \in \mathbb{Z}, i = 1, 2, \ldots, m,
$$

is allowed for any given finite collection { $\widehat{Q}_1, \widehat{Q}_2, \ldots, \widehat{Q}_m$ }, and, if all the $a_i = 0$, then we set $c = 0$.

The set of all the above *k*-chains is denoted by C_k^d . The addition of two *k*-chains is defined by:

$$
\sum a_i \widehat{Q}_i + \sum b_i \widehat{Q}_i = \sum (a_i + b_i) \widehat{Q}_i.
$$

It is easy to check for \forall *k*-chains $c = \sum_{i=1}^{m} a_i \widehat{Q}_i$, there is an inverse element $-c=\sum_{i=1}^m -a_i\widehat{Q}_i$ with the property $c + (-c) = 0$, note the addition operation is commutable, thus C_k^d is an abelian group.

III.B.2 Boundary operator—Before we define the boundary operator, the scalar product and cubical product operation on the *k*-chain group C_k^d need to be defined.

Definition III.1: Let $c_2 \in C_n^d$, where $c_1 = \sum_{i=1}^n a_i Q_i$ and $c_2 = \sum_{i=1}^n b_i Q_i$. The scalar product of chains c_1 and c_2 is defined as:⁴²

$$
:= \sum_{i=1}^m a_i b_i.
$$

Definition III.2: For all elementary cubes $P \in \mathcal{K}_\nu^d$ and $Q \in \mathcal{K}_{\nu}^d$, the cubical product between P , Q is defined to be:⁴²

$$
\hat{P} * \hat{Q} \mathop{:=} \widehat{P \times Q}
$$

And for all chains $c_1 \in C_k^d$ and $c_2 \in C_{k'}^{d'}$, the cubical product is:

$$
c_1 \ast c_2 {=} \sum_{P \in \mathscr{K}_k, Q \in \mathscr{K}_{k'}} \!\!\! < \!\! c_1, \hat{P} \!\! > \!\! < \!\! c_2, \hat{Q} \!\! > \!\! \widehat{P \times Q},
$$

and $c_1 * c_2 \in C_{k+k'}^{d+d'}$.

For the cubical product, the following important factorization property holds: 42

Lemma III.1: For $\forall Q \in \mathcal{A}^{\hat{d}}$ with $d > 1$. There exists unique elementary cubical chains \hat{I} and *P* with emb *I* = 1 and emb *P* = *d* − 1, such that $Q = \hat{I} * P$.

With the above preparation, the boundary operation can be defined inductively in the following way.⁴²

Definition III.3: For $k \in \mathbb{Z}$, the cubical boundary operator

$$
\partial_k{:}{\mathscr C}^n_k\to{\mathscr C}^n_{k-1}
$$

is a homomorphism of abelian groups, defined for an elementary chain $\hat{Q} \in \mathcal{K}_{\mu}^{n}$ by induction on the embedding number *n* as follows:

For $n = 1$, Q is an elementary interval, i.e., $Q = [m]$ or $Q = [m, m + 1]$ for some m ∈ ℤ, *and one defines:*

$$
\partial_k \hat{Q} = \begin{cases} 0 & if Q = [m] \\ \lceil \widehat{m+1} \rceil - \lfloor \widehat{m} \rfloor & if Q = [m, m+1]. \end{cases}
$$

• *For n* > 1*, let I* = *I*₁(*Q*) *and P* = *I*₂(*Q*) $\times \cdots \times I_n$ (*Q*) *so that* $Q = \hat{I} * P$ *, then one defines:*

$$
\partial_k \hat{Q} = \partial_{\dim I} \hat{I} * \hat{P} + (-1)^{\dim I} \hat{I} * \partial_{\dim P} \hat{P}.
$$

By linearity this can be extended to chains, i.e., if $c = \sum_{i=1}^{p} a_i \hat{Q}_i$, then:

$$
\partial_k c{=}\overset{p}{\underset{i=1}{\sum}} a_i \partial_k \hat{Q}_i.
$$

Theorem III.1: The boundary operator operator satisfies:

$$
\partial_k \circ \partial_{k-1} = 0, \forall k > 1,
$$

which is consistent with the simplicial complex setting.

Now, for a given cubical set $X \subset \mathbb{R}^d$, let $\{K_k(x) := Q \hat{Q} \in \mathcal{K}_k(X)\}$ and let $C_k(X)$ be the subgroup of C_k^d generated by the elements of $K_k(X)$, which is called the set of *k*-chains of *X*. The boundary operator maps $C_k(X)$ to a subset of $C_{k-1}(X)$, thus one can restrict the boundary operator to the cubical set *X*.

Definition III.4: The boundary operator for the cubical set *X* is defined to be:

$$
\partial_k^X : C_k(X) \to C_{k-1}(X),
$$

obtained by restricting ∂_k : $C_k^d \to C_{k-1}^d$ to $C_k(X)$.

Definition III.5: The cubical chain complex for the cubical set $X \subset \mathbb{R}^d$ is

$$
\mathscr{C}(X) := \{C_k(X), \partial_k^X\}_{k \in \mathbb{Z}}
$$

where $C_k(X)$ are the groups of cubical *k*-chains generated by $\mathcal{K}_k(X)$ and ∂_k^X is the cubical boundary operator restricted to *X*.

III.C Homology of cubical sets—As discussed above, one has the corresponding *k*chains group $C_k(X)$, for a given cubical set *X*, now one can define two subgroups of $C_k(X)$.

k-cycle group $Z_k(X)$:=ker $\partial_k^X = C_k(X) \cap \text{ker}\partial_k \subset C_k(X)$.

•

k-boundary group $B_k(X) := \text{im } \partial_{k+1}^X = \partial_{k+1}(C_{k+1}(X)) \subset C_k(X)$.

Following from $k \text{ o } k-1 = 0 \forall k > 1$, one has $B_k(X) \subset Z_k(X)$. Therefore, one has the following homology group.⁴²

Definition III.6: The *k*th homology group of the cubical set *X* is the quotient group:

$$
H_k(X) := Z_k(X)/B_k(X).
$$

The *k*th Betti number is defined as the rank of the *k*th homology group,

$$
\beta_k = \text{rank } H_k.
$$

From the topological point of view, $H_k(X)$ describes *k*-dimensional holes of *X*, e.g., $H_0(X)$ measures connected components, $H_1(X)$ measures loops and $H_2(X)$ measures voids. In other words, β_0 is the number of connected components, β_1 is the number of loops, β_2 is the number of voids, and so on. We are particularly interested in behavior of β_0 , β_1 and β_2 for proteins and fullerenes.

III.D Persistent homology of cubical complex—Homology gives a characterization of a manifold, while it does not distinguish different holes in the same dimension. To measure these topological features, the concept of persistent homology was proposed based on the simplicial complex. Persistence measures the birth, death and the lifetime of the topological attributes during the filtration process.

To define the persistent homology, first we need a filtration, i.e., a complex *K* together with a nested sequence of sub-complexes $\{K^i\}_{0 \ i \ n}$, such that

$$
\emptyset = K^0 \subset K^1 \subset \cdots \subset K^n = K.
$$

Each sub-complex K^i in the filtration has an associated chain group C^i_k , cycle group Z^i_k and boundary group $B_k^i \forall i \geq 1$, and thus one has the following definition.⁷⁰

Definition III.7: The *p*-**persistent** *k***th homology group** of *Kⁱ* is:

$$
H_k^{i,p} = Z_k^i / \left(B_k^{i+p} \cap Z_k^i \right).
$$

Here $H_k^{i,p}$ captures the topological features of the filtrated complex that persists for at least *p* steps in the filtration.

IV Object-oriented persistent homology

In this section, we propose a general procedure for constructing object-oriented persistent homology. We start with an objective functional for the data of interest. By the optimization of the objective functional, we arrive at one or a set of object-oriented operators, or objectoriented PDEs. The number of operators depends on how the objective functional is parametrized. The action of the objective operators leads to a series of objective-embedded representations of the original data. We then utilize such objective-embedded representations for the filtration of original data to construct object-oriented persistent homology. We illustrate this procedure by a flow chart in Fig. 1

As discussed in Section II.B, the minimization of the surface free energy functional gives rise to the mean curvature operator for the biomolecular data. We formulate the Laplace-Beltrami flow to computationally minimize the surface free energy. The integration of the Laplace-Beltrami flow leads to a family of minimal surface representations of the original data. In this part, we construct a filtration ${K_T}_{T}$ of the data of interest based on the Laplace-Beltrami flow. Here, $T = 0, 1, 2, \dots$, are the time steps. For a given initial structure, we embed it in an enlarged bounding box, which defines the whole computational domain. Then a uniform Cartesian mesh is employed for our computation:

$$
\{(i, j, k)|1 \le i \le n_x, 1 \le j \le n_y, 1 \le k \le n_z\}.
$$

The initial values of the grid points that are inside the initial geometric object is set to be 1, and 0 for grid points outside the object.

Under the geometric flow action, the following vertex set can be constructed at each evolution time:

$$
V_0 := \emptyset,
$$

\n
$$
V_t := \{(i, j, k) | S(i, j, k, t) \ge S_0, 1 \le i \le n_x, 1 \le j \le n_y, 1 \le k \le n_z\}, \quad \forall t > 0,
$$

where S_0 is the threshold value for extracting the iso-surface.

Furthermore, let \tilde{V}_T : = $\cup_{0 \t t} T V_t$, which is the set of vertices that have value greater than the threshold value at time *T*.

The *T*th component of the filtration is set to be:

$$
K_T
$$
 := {cubes whose vertices set is a subset of V_T }.

Based on the above construction, it is obvious that $K_T \subset K_{T+1}$, $\forall T \quad 0$.

Remark 2: Neumann boundary condition is utilized to make the Laplace-Beltrami flow computationally well posed. Since the Laplace-Beltrami flow is dispersive, when the

evolution time is large enough, the value of *S* will be less than a given S_0 for all the grid points. Therefore the evolutionary flow based filtration is upper bounded.

IV.A Laplace-Beltrami flow based persistent homology

IV.B Computing Laplace-Beltrami flow based persistent homology

The object-oriented persistent homology on the cubical complex can be computed by existing software packages. In the present work, we utilize $Perseus⁵⁰$ for persistent homology calculation. The sparse grid data structure is utilized as the input data format for the Perseus software in the present work.

Remark 3: Since the Laplace-Beltrami flow minimizes the surface area of the surface defined on the initial data, the persistence of topological features associated with minimal surfaces is enhanced in the Laplace-Beltrami flow based persistent homology approach.

V Validation

V.A Topological invariant analysis

In this subsection, we examine accuracy and reliability of the proposed geometric flow based persistent homology method. To this end, we consider a fullerene molecule, C_{60} , which has distinct topological loops, namely pentagon and hexagon loops. The structural data of fullerene molecules and isomer total curvature energies³⁶ used in our tests are downloaded from the webpage: fullerene-isomers. In these structural data sets, coordinates of fullerene carbon atoms and isomer total curvature energies are given. The atoms of all these molecules form only two types of polygons, namely, pentagons and hexagons. For the fullerene cage composed only of pentagons and hexagons, according to Euler Characteristics, the number of pentagons must be 12 and that of hexagons is $\frac{N}{p} - 10$, where *N* is the number of atoms of the fullerene molecule.

Figure 2 depicts six frames extracted from the solution of the Laplace-Beltrami equation for C_{60} fullerene molecule. Note that the initial setting is a set of balls with half van der Waals radii as described in Eq. (21). It is seen that during the time evolution, many pentagonal rings disappear followed by the disappearance of hexagonal rings. Table 1 gives a summary of topological invariants in these six frames. From this table we notice that pentagons persist in the time interval $[0, 0.15]$ and the hexagon persist in the time interval $[0, 0.67]$. The difference of the last two frames is that the second last frame has a cavity, whereas the last frame has no cavity.

The evolution of the topological features of carbon fullerene C_{60} under the geometric flow is demonstrated in Fig. 3. As a comparison, we also plot the result generated by using the Rips complex. In β_0 panels, one sees a long-lasting bar from the present method, while a reduction from 60 bars to one bar in the Rips complex representation. This behavior is expected because the starting point of the present method is a set of connected balls as described above, while Rips complex filtration starts from the zero radius. In the β_1 panels, there is a good consistency between two approaches. One sees 12 short-lived bars, which correspond to 12 pentagonal rings. However, there are only 19 relatively long bars for 20

hexagonal rings because one of H_1 element can be expressed as the combination of other H_1 basis elements. Finally, in β_2 panels, the present method provides a single relatively longlived bar for the inner cavity, while the Rips complex filtration gives rise to additional 20 short-lived bars for 20 hexagons. The disappearance of the short-lived β_2 bars in the present approach is due to the cubical complex used in our calculation. Short-lived bars are often regarded as topological noise in the literature, while used in our models for physical modeling.⁸⁶ However, in the present work, we only need the long-lasting β_2 bar for our quantitative modeling as discussed in Section VI.B.

Remark 4: The persistent homology derived from the Laplace-Beltrami flow results in nonlinear modification of certain topological features. Because the geometric PDE is able to preserve certain geometric features, 77 the persistence of the corresponding intrinsic topology can be amplified. This feature is a fundamental property of the object-oriented persistent homology constructed in this work. It is possible to design object-oriented PDEs to selectively enhance and/or extract other desirable topological features from big data.

V.B Convergence analysis

Figures 4 and 5 demonstrate the numerical convergence of of proposed Laplace-Beltrami flow approach for computing the persistence of β_1 invariants. We present the time evolution of the persistence of β_1 invariants collected over a sufficiently long period at different grid sizes. It can be seen that the persistent pattern at grid size 0.25Å is essentially the same as that at grid size 0.125Å, which shows the convergence with respect to grid spacing variations.

As another validation of the proposed Laplace-Beltrami flow based persistent homology method, we examine the numerical convergence of the proposed method. Additionally, we demonstrate that topological invariants computed from our Laplace-Beltrami flow method converge to the right ones, where we regard the β_1 barcodes obtained via the conventional Rips complex filtration based on the growth of the radius of the point cloud data as the benchmark. To this end, we consider the persistent homology of the two approaches for two fullerene structures, namely, C_{36} and C_{100} . The coordinates of these fullerene structures are downloaded from Web fullerene-isomers and are saved. For isomers, the first structure in the isomer family is used. These fullerene molecules contain pentagon and hexagon loops, which give rise to appropriate β_1 bars.

It remains to show that our persistent homology results converge to the right ones. As shown in Figures 4 and 5, there are a total of 12 pentagon β_1 bars. The numbers of hexagon bars are 7 and 39, respectively for C_{36} and C_{100} , as expected. Therefore, the proposed geometric flow based filtration captures the intrinsic topological features of fullerenes. Additionally, the Rips complex based filtration is employed as a reference with a fine atomic radius growth rate of 0.001Å per step. The comparison of topological invariants computed from the proposed method and that obtained from the Rips complex is given in Figures 4–5. Clearly, persistent patterns obtained by Laplace-Beltrami flow based method capture all topological features generated from the Rips complex, which indicates the reliability of the proposed method.

In fact, we have carried out similar tests for many other fullerenes, including C_{38} , C_{40} , C_{44} , C_{52} , C_{84} , C_{86} , C_{90} and C_{92} . Although these results are omitted for simplicity, our findings are the same.

The above validations verify that the Laplace-Beltrami flow based filtration in conjugation with the cubical complex setting is convergent and accurate. The resulting topological invariants are consistent with those obtained with the Rips complex using radius based filtration. On the other hand, our results also indicate that the Laplace-Beltrami flow based method is very sensitive to grid resolution. Some topological features barely show up at the grid size of 0.5Å. Therefore, the grid resolution better than 0.25Å is recommended for nanobio data.

VI Application

Having verified the reliability, accuracy and efficiency of the present Laplace-Beltrami flow based persistent homology analysis, we utilize it for the study of proteins and nano-material in this section.

VI.A Protein structure analysis

VI.A.1 Protein 2GR8—In this subsection, we explore the topological structures and their persistence of the protein molecules using the Laplace-Beltrami flow based persistent homology. We consider a beta-barrel protein (PDB ID: 2GR8).

Figure 6 shows the initial structure of protein 2GR8 in both secondary structure and atomic representations. Clearly, it is a beta barrel with 12 twisted beta strands coiled together in an antiparallel fashion to form a cylindrical structure in which the first strand is hydrogen bonded to the last. However, inside the beta barrel, there are also three alpha helices as shown in the left chart of Fig. 6. The topological structure is complicated due to the presence of these alpha helices.

We first consider the geometric evolution of protein 2GR8 under the Laplace-Beltrami flow and then compute its homology evolution. Figure 7 depicts some frames generated from the time evolution process of the Laplace-Beltrami flow. The first two frames exhibit much atomic detail. As time progress, the atomic features disappear while beta strands are clearly demonstrated in frames 3–6. In fact, beta strand features diminish at the last two frames and the global cylindrical feature dominates. Therefore, the Laplace-Beltrami flow generates a multiscale representation of the protein as illustrated in our earlier work.^{80,84}

Table 2 gives the corresponding time evolution of topological invariants of the six frames for protein 2GR8. One sees a large number of β_1 rings in the first frame. However, there is just one ring, in the second frame. The number of cavities reaches the highest values in the second frame (among six frames) and gradually reduces to zero. From Table 2, we note that there is a ring in Frames 2–5. However, we cannot determine whether it is the same ring or not from the classical homology theory. There may be a different ring generated at each of Frame 2–5. Persistent homology is designed to reserve this issue. The persistence of the topological invariants during the time evolution process is illustrated in Fig. 8. It is

confirmed that the ring initially exists and is not generated in intermediate steps of the evolution. However, this ring is not a global one because it lasts for a relatively short period during the time evolution.

VI.A.2 A beta barrel—We next create a pure beta barrel by removing three alpha helices from protein 2GR8, which enables us to observe the beta barrel ring geometry and topology clearly. The initial structure of the beta barrel is shown in Fig. 9. The time evolution of the beta barrel is illustrated in Fig. 10. Again, one sees atomic details in the first few frames and global features in later frames. Obviously, there is a large ring structure in the beta barrel.

Table 3 lists the corresponding topological invariants of six frames for the beta barrel. Although the number of β_2 varies dramatically, that of β_1 does not change over a long time period, indicating the global ring structure of the beta barrel.

The persistence of the topological invariants over time evolution process for the beta barrel is illustrated in Fig. 11. The β_1 panel has a long-lasting bar. A comparison with the time scale in the β_1 panel of Fig. 8 confirms that the present long-lasting bar corresponds to the intrinsic global structure of the beta barrel.

The above results demonstrate that the proposed Laplace-Beltrami flow based persistent homology is an efficient tool for analyzing the topological structures of protein molecules.

VI.B Fullerene total curvature energy prediction

Having demonstrated the utility of the proposed Laplace-Beltrami flow based persistent homology method for protein characterization, we are interested in the further application of this topological tool for quantitative analysis of carbon fullerene molecules. In particular, we explore the application of the present persistent homology method to the prediction of the total curvature energies of the carbon fullerene isomers. Fullerene molecules admit a large number of isomers, especially when the number of atoms is large. Different isomers with the same chemical formula have different geometric structures which leads to the variations in their total curvature energies. The stability of each given fullerene isomer is determined by its total curvature energy. In general, the higher energy isomer is less stable.

We assume that different isomers of a fullerene molecule have the same surface area. This assumption is reasonable because all isomers share the same set of atoms and bonds. However, these isomers may have different enclosed volumes as some isomers are more spherical than others. Those isomers that deviate from the spherical shape must have high curvature energies. The more deviation from the sphericity in the structure, the higher curvature energy an isomer has. Additionally, by the iso-perimetric inequality we know that for a class of isomers of a given surface area, the volume is maximized when the isomer is a perfect sphere. For fullerene isomers, more deviation from the sphericity in the structure, the earlier in the time evolution the β_2 bar dies, which leads to a shorter β_2 bar length. Therefore, we can establish a relationship between the persistence of β_2 invariant and the total curvature energy of a fullerene isomer.

In this work, the persistence or bar length of Betti 2, which essentially measures the size of the central cavity, is employed to predict the total curvature energy of carbon fullerene isomers. The Laplace-Beltrami flow is discretized with time stepping size 0.001 and grid spacing size 0.25. To quantitatively verify our prediction, the least squares method is employed to fit our predictions of the total curvature energies with the values provided in the web mentioned above. The accuracy of our prediction is evaluated by the correlation coefficient (cc)

$$
cc = \frac{\sum_{i=1}^{N} (L_i - \overline{L})(E_i - \overline{E})}{\left[\sum_{i=1}^{N} (L_i - \overline{L})^2 (E_i - \overline{E})^2\right]^{1/2}},
$$
 (25)

where L_i represents the bar length of β_2 generated by the Laplace-Beltrami flow based persistent homology method for the *i*th fullerene isomer of a given carbon fullerene family, *L* is the average of the bar length of β_2 over all the isomers of the fullerene, E_i is the total curvature energy of the *i*th fullerene isomer, is the average of the total curvature energy over all the isomers of the fullerene. Note that we only count the β_2 bar that is due to the central cavity.

We consider a total of ten different fullerene families with more than 500 fullerene isomers in this study, where the data are chosen from the following rules:

- **•** For a specific carbon fullerene family, if it has less than or equal to 100 isomers, all the data are utilized.
- **•** For a given carbon fullerene family, if there are more than 100 isomers, the first 100 isomer molecules listed in the web are utilized.

The predicted results and the corresponding total curvature energies are illustrated in Figs. 12 and 13. Table 4 gives the correlation coefficients and standard deviations of the predicted total curvature energies based on the proposed persistent homology theory and the total curvature energy data. Our results for ten different fullerene molecules show good predictions of our differential geometry based persistent homology model.

To test the reliability and robustness of our method in the isomer total curvature energy prediction, we have carried out our analysis with different grid spacing sizes and time stepping sizes for 15 C₃₆ isomers. Table 5 lists the lengths of β_2 bars obtained with different time stepping sizes (*t*) and the total curvature energies of C₃₆ isomers. A uniform spatial spacing size of $h = 0.25$ is used in this test. Similarly, Table 6 gives the lengths of β_2 bars computed with different grid spacing sizes h and the total curvature energies of C_{36} isomers. A given time stepping size of $t = 0.0001$ is adopted in this validation. Again, we see good consistency among our results.

Based on the above spatial and temporal convergent analysis, it is clear that our results are robust and reliable. Therefore, the persistence of Betti 2 has a strong correlation with the total curvature energies of fullerene isomers. These results demonstrate that the persistence of Betti 2 is indeed inversely proportional to the total curvature energies of fullerene isomers. Additionally, the proposed Laplace-Beltrami flow based persistent homology

approach performs extremely well in quantitative prediction of topology-function relationship for fullerene isomers.

VII Conclusion

It is well known that topology typically does not distinguish a doughnut and a mug, which implies there is too much reduction in the geometric information. Indeed, topology is seldom used for quantitative description and modeling. In contrast, geometry gives rise to very detailed models for the physical world. At nano scale and/or atomic scale, geometry based models often involve too many degrees of freedom such that their simulations become intractable for many real world problems. Persistent homology is a new branch of algebraic topology that has recently become quite popular for topological simplifications in scientific and engineering applications. Its essential idea is to embed topological invariants in a minimal amount of geometric variation, i.e., a filtration parameter. As a result, persistent homology bridges the traditional topology and geometry.

In the past, most successful applications of persistent homology have been limited to classification and analysis in the literature. Indeed, persistent homology has been rarely employed for quantitative prediction. In our recent work, 86 we have introduced molecular topological fingerprints, which treat all barcodes in an equal footing for data classification and analysis. We have also proposed topology-function relationships, which utilize persistent homology as an efficient tool for the physical modeling and quantitative prediction of biomoelcular systems.

In this work, a general procedure is introduced to construct object-oriented persistent homology approaches for the detection, extraction and/or enhancement of desirable topological traits in data. Our essential idea is to define an objective functional to optimize desirable properties. The optimization leads to a set of operators whose actions enforce the objective functional and give rise to a multiscale representation of the original data. When such a multiscale representation is utilized for filtration, the resulting object-oriented persistent homology automatically detects, extracts and/or amplifies the corresponding topological persistence of the data. As a proof of principle, we use the differential geometry theory of surfaces to construct a surface energy functional. The optimization of this functional leads to the Laplace-Beltrami operator, which is able to provide a geometryembedded filtration of the data of interest. The resulting persistent homology enhances the corresponding geometric structure in topological persistence. The proposed method is intensively validated using benchmark tests and structures with known topological properties.

The application of the proposed geometric flow based topological method is considered to both the qualitative analysis and quantitative modeling of proteins and carbon fullerene molecules. We first employ the present method for the analysis of a beta barrel protein. The structure of the beta barrel has a large ring. Topologically, it is interesting to observe a longlived Betti-1 bar during the time evolution of the Laplace-Beltrami flow.

Another application of the proposed method is the total curvature energy prediction of fullerene isomers. We propose a model to correlate isomer total curvature energy and its

structural sphericity. The latter is measured by the length of the Betti 2 bar of the isomer central cavity. Essentially, a more distorted isomer has a higher total curvature energy and a shorter period of persistence of the central cavity Betti 2 bar. In our quantitative energy prediction, we have utilized a total of ten sets of fullerene isomers. Our results indicate that both the proposed Laplace-Beltrami flow based persistent homology method and the present quantitative model work extremely well. All the correlation coefficients are very high.

The present differential geometry based persistent homology opens a new approach for the topological simplification of big data. We expect that other objective functionals can be designed and corresponding object-oriented persistent homology methods can be developed for specific purposes in data sciences. This approach will also lead to the construction of new object-oriented partial differential equations (PDEs), geometric PDEs and topological PDEs in the future.

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Appendix

As stated above, all fullerene data are downloaded from a web page: fullerene-isomers. However, it is well known that a web page may not exist after certain time. We therefore present fullerene isomers and their total curvature energies used in the present work in the following tables. The corresponding structure data are available up on request.

Table 7

Fullerene C36 isomers and total curvature energies

Table 8

Fullerene C38 isomers and total curvature energies

Fullerene C40 isomers and total curvature energies

Table 10

Fullerene C44 isomers and total curvature energies

Fullerene C52 isomers and total curvature energies

Table 12

Fullerene C84 isomers and total curvature energies

Fullerene C86 isomers and total curvature energies

Table 14

Fullerene C90 isomers and total curvature energies

Table 15

Fullerene C92 isomers and total curvature energies

Table 16

Fullerene C100 isomers and total curvature energies

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Figure 1.

A flow chart for the construction of object-oriented persistent homology.

Figure 2.

Selected frames of fullerene C_{60} generated from the time evolution of the Laplace-Beltrami flow. Charts from left to right and from top to bottom are frames 1 to 6, respectively. According to Table 1, the second last frame has a central cavity while the last frame has no void.

Figure 3.

Comparison of the topological evolution and persistence of the C_{60} molecule. Top row: Barcodes obtained from the proposed Laplace-Beltrami flow based filtration; Bottom row: Barcodes obtained from the Rips complex filtration.

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Figure 4.

Comparison of the persistence of β_1 barcodes obtained from the growth of atomic radius filtration and from the geometric flow based filtration for fullerene C_{36} . Top left: Atomic radius filtration; Top right: Geometric flow filtration, $h = 0.5\text{\AA}$; Bottom left: Geometric flow filtration, $h = 0.25 \text{Å}$; Bottom right: Geometric flow filtration, $h = 0.125 \text{Å}$.

Figure 5.

Comparison of the persistence of β_1 barcodes obtained from the growth of atomic radius filtration and from the geometric flow based filtration for fullerene C_{100} . Top left: Atomic radius filtration; Top right: Geometric flow filtration, *h* = 0.5Å; Bottom left: Geometric flow filtration, $h = 0.25 \text{Å}$; Bottom right: Geometric flow filtration, $h = 0.125 \text{Å}$.

Figure 6.

The initial structure of protein 2GR8. Left chart: Secondary structure representation; Right chart: atomic representation. Colors indicate different types of atoms.

Figure 7.

Geometric evolution of protein 2GR8 under the Laplace-Beltrami flow. Charts from left to right and from top to bottom are frames 1 to 6, respectively.

Figure 8.

The time evolution of the topological invariants of protein 2GR8 under the Laplace-Beltrami flow.

Figure 9.

The initial structure of a beta barrel. Left chart: Secondary structure representation; Right chart: atomic representation. Colors indicate different types of atoms.

Figure 10.

The geometric evolution of a beta barrel under the Laplace-Beltrami flow. Charts from left to right and from top to bottom are frames 1 to 6, respectively.

Figure 11.

The evolution of the topological invariants of the beta barrel under the Laplace-Beltrami flow.

The comparison of fullerene isomer total curvature energies and persistent homology theory predictions.

The comparison of fullerene isomer total curvature energies and persistent homology theory predictions

The evolution of the topological features of C_{60} molecule under the time evolution of the Laplace-Beltrami flow.

The time evolution of the topological invariants of protein 2GR8 under the Laplace-Beltrami flow.

The evolution the topological invariants of the beta barrel under the geometric flow.

The correlation coefficients and standard deviations of the predicted values with respect to total curvature energy data.

Table 5

Total curvature energies of C₃₆ isomers vs lengths of the β_2 bar ($L(\beta_2)$) obtained with different time stepping sizes.

Total curvature energies of C₃₆ isomers vs lengths of the β_2 bar ($L(\beta_2)$) obtained with different spatial spacing sizes

