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***Pbx* regulates patterning of the cerebral cortex in progenitors and postmitotic neurons**

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SUMMARY

We demonstrate using conditional mutagenesis that *Pbx1*, with and without *Pbx2*^{+/-} sensitization, regulates regional identity and laminar patterning of the developing mouse neocortex in cortical progenitors (*Emx1-Cre*) and in newly generated neurons (*Nex1-Cre*). *Pbx1/2* mutants have three salient molecular phenotypes of cortical regional and laminar organization: hypoplasia of the frontal cortex; ventral expansion of the dorsomedial cortex; ventral expansion of *Reelin* expression in the cortical plate of the frontal cortex, concomitant with an inversion of cortical layering in the

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AUTHOR CONTRIBUTIONS

O.G. designed, conducted, and analyzed data for all experiments described in this manuscript. S.L. helped perform and analyze ChIP-Seq experiments, and provided comments on the manuscript. A.N., P.T. and A.V. performed informatic analyses of the ChIP-Seq data. A.Y. performed analysis of *Pbx2* mutants. L.S. provided the *Pbx* mutant mice and information about the PBX antibodies. J.L.R.R. provided funding and laboratory resources, helped guide the project and analyze results. O.G. and J.L.R.R. together prepared the manuscript.

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rostral cortex. Molecular analyses, including PBX ChIP-Seq, provide evidence that PBX promotes frontal cortex identity by repressing genes that promote dorsocaudal fate.

INTRODUCTION

Understanding the genetic underpinnings that control development of the frontal cortex is particularly important for understanding the evolution of complex computational modules found in higher mammals, and for understanding mechanisms underlying neuropsychiatric disorders such as autism and schizophrenia. In these disorders, there is evidence for alterations in the size and function of the frontal cortex (Amaral et al., 2008; Crespo-Facorro et al., 2000; Gourion et al., 2004; Piven et al., 1995; Yamasue et al., 2004).

Regional patterning of the cerebral cortex is coordinately controlled by secreted factors such as FGF8, 15 and 17, and cell autonomously controlled by transcription factors (TF), among other mechanisms. Loss of *Fgf8* and *Fgf17* expression leads to preferential deletion/hypoplasia of the frontal cortex (Cholfin and Rubenstein, 2007; Fukuchi-Shimogori and Grove, 2001; Garel et al., 2003). FGF-signaling controls the gradiential expression of multiple TFs that contribute to cortical regional identity. For instance the graded expression of TFs, such as *CoupTF1*, *Emx2*, *Lef1*, *Lhx2*, *Pax6* and *Sp8*, along the rostral/caudal (R/C) and ventral/dorsal (V/D) axes, imparts regional identities to neuroepithelial cells in the ventricular zone (VZ) (Armentano et al., 2007; Bishop et al., 2000; Borello et al., 2014; Chou et al., 2009; Faedo et al., 2008; Galceran et al., 2000; Mallamaci and Stoykova, 2006; Mangale et al., 2008; Sahara et al., 2007; Yun et al., 2001).

Regional identity is then translated to the subventricular zone (SVZ) and cortical plate (CP). Initially, the CP also exhibits gradients of TFs (i.e. *CoupTF1* (*PD1*), *Bhlhb5*, *Lhx2*, *Tbr1* and *Tbr2*) that are gradually converted to patterns with regional boundaries that are correlated with anatomical and functional subdivisions such as the frontal, motor, somatosensory and visual cortex; there is evidence that these TFs also regulate regional fate (Alfano et al., 2014; Bedogni et al., 2010; Elsen et al., 2013; Greig et al., 2013; Joshi et al., 2008; Zembrzycki et al., 2015). At early developmental stages thalamic afferents have little role in regional patterning (Miyashita-Lin et al., 1999; Nakagawa et al., 1999), whereas later in development thalamic afferents contribute to refining cortical areal properties (Chou et al., 2013).

Herein we demonstrate that the *Pbx1* TF has a potent role in orchestrating the developmental elaboration of the mouse frontal cortex. We use a *Pbx1* conditional allele (Ficara et al., 2008) that was selectively deleted in the cortical ventricular zone (VZ) using *Emx1-Cre* (Gorski et al., 2002) or in newly generated cortical neurons using *Nex1-Cre* (Goebbels et al., 2006).

Pbx1 is one of four vertebrate *Pbx* genes; these are members of the TALE (Three Amino acid Loop Extension) homeodomain transcription factor superfamily of atypical homeodomain-containing transcription factors, which include the invertebrate orthologues *exd* (*Drosophila melanogaster*) and *ceh-20* (*C. elegans*) (Burglin, 1997; Capellini et al., 2011b). These proteins have a PBC domain that promotes protein-protein interactions with two other TALE subclasses: MEIS and PREP (PKNOX). PBX/EXD proteins form

complexes with HOX proteins, and function upstream of *Hox* genes, and control patterning of the A-P body axis and the limb bud (Capellini et al., 2011b; Vitobello et al., 2011). In addition, mouse *Pbx* genes have critical functions in regulating spleen, craniofacial, and skeletal development (Capellini et al., 2011a; Ferretti et al., 2011; Koss et al., 2012).

Pbx1, 2 and 3 are expressed in the developing forebrain (Long et al., 2009; Toresson et al., 2000), the function of these TFs have not been elucidated in these structures. Here, we found that loss of cortical *Pbx1* function alone, or in a *Pbx1;Pbx2*^{+/-} sensitive background, led to hypoplasia and dyslamination of the frontal cortex through three mechanisms. In progenitors *Pbx1* regulated rostrocaudal and dorsoventral patterning. Surprisingly, abnormal D/V patterning resulted in ectopic *Reelin* expression in the rostral cortical plate leading to abnormal laminar patterning. In immature neurons, loss of *Pbx1* resulted in loss of molecular features of the rostral cortex. Gene expression analyses identified dysregulated TFs (e.g. *Emx2* and *Lhx2*) that we propose contribute to abnormal cortical patterning through their functions in progenitors. We used PBX-ChIP-Seq to identify genomic loci where PBX proteins bind in the E12.5 and E15.5 cortex. These results yielded evidence that PBX binds near *Emx2* and *Lhx2* promoters. Furthermore, we identified enhancer elements that are active in the E11.5 cortex that have PBX-binding sites. Informatics approaches defined *in vivo* PBX binding sites, and provided evidence that these genomic elements also have signatures of combinatorial binding with other TFs.

RESULTS

Expression of *Pbx* RNA and protein in developing mouse cortex

We examined *Pbx1* RNA and protein expression in the developing cortex using *in situ* hybridization (ISH) and immunohistochemistry (IHC) with an antibody specific to PBX1A splice variant of *Pbx1* (expression is lost in the *Pbx1* mutant; Figure S1Q and R) (Phelan et al., 1995; Shen et al., 1996). PBX1A protein expression in the E12.5 cortical ventricular zone (VZ) showed a caudorostral gradient with low expression in the medial pallium (MP) and cortical plate. Similar results were seen using *in situ* RNA hybridization (Figure S1A–F; Allen Brain Developmental Atlas at E11.5).

PBX1A and PAX6 proteins were co-expressed in the cortical VZ (Figure 1A–B and Figure S1J–K), whereas PBX1a was not detected in secondary progenitors and was not co-expressed with *Tbr2* at E13.5 (Figure 1C–D and Figure S1L–M). By E15.5 PBX1a expression in the VZ was reduced, but was extensive in the cortical plate (CP) where it was coincident with TBR1 in deep layers (Figure 1E–F and Figure S1N–P). At E18.5 PBX1A IHC labeled superficial layers of the CP, particularly in the rostral cortex (Figure 1G). *Pbx1* RNA expression closely matched protein expression (Figure S1A–F). Two other *Pbx* family members were expressed in the developing telencephalon. *Pbx2* was broadly expressed in progenitors at E12.5, E13.5 and E15.5 except for the MP (Figure S1G–I). *Pbx3* expression appeared largely restricted to the basal ganglia [(Toresson et al., 2000) and Allen Brain Atlas]

Cre-mediated elimination of *Pbx* expression in cortical progenitors (*Emx1-Cre*) and young neurons (*Nex-Cre*)

Pbx1 null mutants die due to hematopoietic defects in mid-gestation (DiMartino et al., 2001); therefore we used *Pbx1* conditional mutants (*Pbx1^{fllox}* allele) to analyze its function during cortical development (Ficara et al., 2008). To distinguish *Pbx1*'s role in progenitors versus neurons we used two different Cre lines: *Emx1-Cre* to delete *Pbx1* (*Pbx;Emx1-Cre*) in the VZ beginning at E10.5-E11 (Gorski et al., 2002) and *Nex-Cre* to remove *Pbx1* (*Pbx;Nex-Cre*) in postmitotic neurons (Goebbels et al., 2006). Deletion was confirmed using *Pbx1* ISH (Figure S1Q–R). While the *Pbx1* conditional mutants had cortical phenotypes (Figure S2H–K), we augmented the phenotype by reducing *Pbx*-dosage by including one *Pbx2* null allele (Figure S2I–O). Previous studies showed that *Pbx2*^{+/-} state exacerbated *Pbx1* non-brain phenotypes (Capellini et al., 2006), even though *Pbx2*^{-/-} null mice were viable and had no obvious phenotype (Selleri et al., 2004). We observed an exacerbation of *Pbx1*^{-/-} cortical molecular phenotypes in a *Pbx2*^{+/-} background, and therefore performed most of our analyses on the sensitized *Pbx2*^{+/-} background. We did not observe a patterning phenotype in the *Pbx2*^{+/-} mice (Figure S2F–G), and thus used *Pbx2*^{+/-} as the control genotype. Our preliminary analysis suggests that the *Pbx2*^{-/-} cortex is hypoplastic (not shown).

Pbx;Emx1-Cre mutants were viable and survived into adulthood. The P7 *Pbx;Emx1-Cre* brain appeared grossly normal; histological analysis showed hypoplasia of telencephalic commissures, mild thinning of the caudal cortex and dyslamination in hippocampal CA fields (Figure S1T–Z). Herein, we focused on the phenotype of the prenatal cortex.

Pbx1 regulates rostrocaudal patterning in both progenitors and in postmitotic neurons, but regulates DV patterning primarily in progenitors

Given PBX1 expression in the cortical VZ, and its function in patterning of other tissues (Capellini et al., 2006; Selleri et al., 2001; Vitobello et al., 2011) we hypothesized that *Pbx1* may regulate cortical regionalization. Thus, we performed whole mount ISH (WM-ISH) on P0 brains using *Lmo4*, *Nt3* and *Lmo3* probes (Cholfin and Rubenstein, 2007, 2008). In control animals, *Lmo4* labels rostral (frontal) and caudal (visual) areas. *Pbx;Emx1-Cre* mutants lacked the *Lmo4*⁺ frontal domain, and there was a rostral shift of the caudal *Lmo4* domain (Figure 2A–A' and B–B'), providing evidence *Pbx1* regulates rostrocaudal (RC) cortical patterning.

To further assess the rostral phenotype, and to examine dorsoventral (DV) patterning in the mutant, we studied *Nt3* (*Ntf3*) expression. At P0, in addition to labeling part of the frontal cortex, *Nt3* was expressed dorsally in the cingulate-retrosplenial cortex. Similar to the *Lmo4* phenotype, the *Pbx;Emx1-cre* mutant lacked the frontal *Nt3* domain and importantly, the dorsal domain expanded ventrally and rostrally (Figure 2C–C'). Finally, we examined *Lmo3* expression (a marker of the somatosensory cortex). The mutants showed a rostroventral expansion of this domain (Figure 2D–D' and E–E'). Thus, *Pbx1* was required to promote rostral and repress dorsal gene expression properties in the developing cortex.

Because *Pbx1* is expressed in both the cortical progenitors and neurons, we tested whether loss of *Pbx1* expression in postmitotic neurons regulated cortical patterning by studying *Pbx;Nex-cre* mutants at P0 using WM-ISH. These mutants lost frontal cortex expression of *Lmo4* and *Nt3* (Figure 2A'' and C''). However, the DV patterning changes of *Lmo4* and *Nt3* expression in *Pbx;Nex-cre* mutants were much milder than in the *Pbx;Emx1-cre* mutants (Figure 2).

We confirmed that the P0 WM-ISH expression changes led to the expected deletion of frontal cortex and expansion of dorsal and caudal cortex by performing ISH on P8 coronal sections of control, *Pbx;Emx1-cre* and *Pbx;Nex-cre* brains (Figure S2P–GG''). For instance in the frontal cortex, both mutants lost *Nt3* expression, had reduced *Cux2* and *Er81* expression, and the *Pbx;Emx1-cre* had greatly reduced *Lmo4* expression. The *Pbx;Emx1-cre* mutant also had ventral expansion of *Er81*, *Nt3* and *Nurr1*. In all, the data provide evidence that *Pbx1* regulates rostrocaudal patterning in both progenitors and in postmitotic neurons, but regulates DV patterning primarily in progenitors.

Abnormal D/V patterning in the *Pbx;Emx1-cre* mutant leads to ectopic Reelin expression in the rostradorsal cortex leading to dyslamination

As noted above, loss of *Pbx1* function led to ventral expansion of dorsal cortical properties (*Nt3* and *Lmo3*). In the most rostral regions at E13.5 and E15.5, Reelin is expressed in a small domain adjacent to the septum, which is probably the indusium griseum (Figure 3).

In E13.5 *Pbx;Emx1-cre* mutants this Reelin⁺ domain broadly expanded ventrally in the cortical plate (Figure 3A). By E15.5, a Reelin⁺ deep layer in the cortical plate extended ventrally from the most dorsal position through roughly half of the cortex, but only in the rostral cortex, as seen in both coronal and sagittal views (Figure 3BE). These Reelin⁺ cells did not co-express Calretinin, and thus are probably not Cajal Retzius neurons (Figure S3A–C).

As Reelin regulates laminar positioning of cortical projection neurons (Ogawa et al., 1995), we assessed the expression of molecular markers of the subplate (*Nurr1*), layer 6 (*Tle4*), 5 (*ER81*, *Etv1*), 4 (*RORb*) and 2/3 (*Cux2*) at P8 (Hoerder-Suabedissen et al., 2009; Molyneaux et al., 2007; Nieto et al., 2004; Schaeren-Wiemers et al., 1997). Consistent with the ectopic *Reelin* expression in the deep cortical plate, we observed an inversion of the cortical layers in the rostral cortex (i.e. the region with the ectopic *Reelin*) (Figure 4). Particularly note the inverted expression of *Nurr1* and *Tle4* in the superficial layers (compare Figure 4 A and A', B and B'), as well as *Cux2* and *RORb* inverted expression in the deep layers (compare Figure 4 D and D', E-E'). BrdU birthdating analyses support the evidence for inverted lamination in the rostral cortex (Figure S4).

Pbx;Nex-cre mutants did not have the abnormal lamination phenotype (compare Figure 4 A–E and A''–E''). Thus, loss of *Pbx* function in progenitors, which lead to abnormal D/V patterning in the *Pbx;Emx1-cre* mutants, caused the ventral spread of *Reelin* expression into rostral deep cortical layers, with a subsequent inversion of cortical layers of the rostral cortex.

Molecular mechanisms underlying the DV patterning defects in *Pbx* mutant cortical progenitors: altered TF expression (*Dbx1*, *Dmrt1*, *Emx2*, *Lhx2* and *Prep1*) and increased SMAD1/5 phosphorylation

We next searched for the mechanisms through which *Pbx1* regulates patterning in cortical progenitors. FGF signaling regulates arealization and size of the frontal cortex as exemplified by frontal cortex hypoplasia in *Fgf8* hypomorphs and *Fgf17* null mice (Cholfin and Rubenstein, 2007, 2008). Therefore we examined the genetic interactions between FGF signaling and *Pbx1* function. First, we found that *Pbx1* expression appeared normal in *Fgf8^{neo/neo}* hypomorphs implying that *Pbx1* was not strongly regulated by FGF-signaling (Figure S5J). Then we examined expression of FGF-responsive genes (*Erm*, *Pea3* and *Sp8*) in the VZ of the rostral cortex in E13.5 *Pbx;Emx1-cre* mutants. We detected no change in their expression suggesting the *Pbx1* does not promote rostral identity through promoting FGF-signaling (Figure S5A–C).

Like the *Pbx1* mutant, loss of *Pax6* function causes RC and DV patterning defects (Stoykova et al., 2000; Yun et al., 2001). However, *Pbx1* expression was not altered in *Pax6^{sey/sey}* mutants at E11.5 and E12.5 (Figure S5K). Furthermore, *Pax6* expression was not altered in E13.5 *Pbx;Emx1-cre* mutants (Figure S2E). Together, these data suggest that *Pbx1* exerts its rostral patterning function independently of FGF-signaling or *Pax6*.

We next turned our attention to *Pbx1*'s repression of dorsal properties, as up-regulation of this system may alter frontal cortex development. We studied the expression of TFs that control cortical DV patterning: *CoupTF1*, *Emx2*, *Lhx2*, and *Lmx1a* by ISH at E13.5 in *Pbx;Emx1-cre* mice. *CoupTF1* and *Lmx1a* had no clear expression changes (Figure S5D and H).

On the other hand, *Emx2* and *Lhx2* expression were increased, particularly in the ventral cortical VZ (red arrows) (Figure 5 A–D). *Lhx2* expression increased about 2-fold in mutant's ventral cortex and about 1.6 fold in the lateral cortex (Figure 5C). *Emx2* expression increased about 1.5-fold in the mutant's ventral cortex (Figure 5F). Both *Emx2* and *Lhx2* are critical in specifying cortical identities (Cholfin and Rubenstein, 2008; Chou et al., 2009; Mallamaci et al., 2000; Monuki et al., 2001; Muzio and Mallamaci, 2003). Thus, up-regulation of *Emx2* and *Lhx2* could contribute to DV and RC patterning shifts in *Pbx1* mutants. *Lhx2* expression did not change in *Nex-cre* mutants (Figure S5S–T).

Next, to obtain unbiased information on *Pbx1*-regulated genes, we compared RNA expression (using gene expression array analysis) in the cortex from E12.5 and E15.5 control and *Pbx;Emx1-cre* brains. RNA expression changes were not strong at E12.5 (data not shown), whereas at E15.5 the *Pbx1* mutant had robust changes in RNA levels for several genes (Table 1).

We focused on TFs with altered expression levels (*Dbx1*, *Dmrt1* and *Pknox1*) by performing ISH analysis. All three TFs were over-expressed in the cortical VZ (Figures 5G–J and 6F'). The *Pknox1* (also known as *PREP1*) is a co-factor of PBX1 (Berthelsen et al., 1998a; Berthelsen et al., 1998b; Berthelsen et al., 1998c). We performed over-expression experiments, in which *Pknox1* was electroporated *in utero* at E12.5. However, this did not

change *Lmo4* and *NT3* P0 WM-ISH expression (data not shown), suggesting that the increased *Pknox1* did not contribute substantively to the *Pbx1* mutant phenotype, but rather may reflect compensatory up-regulation.

Dbx1 and *Dmrt1* expression were increased in the *Pbx;Emx1-cre* cortex; their expression domains expanded dorsally (Figure 5G–J). *Dbx1* regulates DV patterning of the spinal cord (Pierani et al., 2001). *Dmrt1* loss of function analysis in the cortex has not been reported; however its expression is increased by *Pax6*, implying that *Dmrt1* may promote ventral fate (Kikkawa et al., 2013); *Dmrt1*'s closely-related family member *Dmrt2* regulates cortical dorsoventral patterning (Konno et al., 2012). Thus, we propose that *Pbx1* regulates DV patterning, at least in part, by repressing TFs (*Dbx1*, *Dmrt1*, *Emx2* and *Lhx2*) that are expressed in VZ cortical progenitors (in either DV or VD gradients).

In addition to molecular defects in the cortical VZ, *Pbx;Emx1-cre* mutants had dysregulation in the cortical SVZ. There was reduced expression of *Svet1* (~2 fold) and *Cxcl12* (~2 fold) (Figure S5M–N). The SVZ (but, not the VZ) had a ~40% reduction of M-phase (PH3⁺) cells at E12.5 in *Pbx;Emx1-Cre* mutants (and not *Nex-cre* mutants) (Figure S5L and P–Q). This could account for the reduction in the thickness of the superficial cortical layers. Furthermore, consistent with reduced *CXCL12* expression, a known attractant for interneurons (Li et al., 2008; Wang et al., 2011), there were fewer *Dlx1*⁺ and *Lhx6*⁺ cells in the E15.5 *Pbx;Emx1-cre* cortex (not shown).

Pbx repressed expression of *Cav1* (Figure S5O). *Cav1* is normally expressed at low levels in the dorsal-most cortex at E13.5; in the mutant it is dramatically up-regulated throughout the VZ. *Cav1* encodes a structural component of caveolae that plays an important role in integrating multiple signaling pathways.

Pbx was essential for the expression of *Smoc1* in the ventricular zone of the cortex (Figure 6H'); ChIP-Seq supports it as a PBX-target (see below, Figure 6H). *Smoc1* is an extracellular matrix protein that acts as BMP antagonist in early embryogenesis (Thomas et al., 2009). As such, we tested whether BMP signaling may be abnormal in the *Pbx;Emx1-cre* mutants by measuring SMAD phosphorylation using immunohistochemistry.

Phosphorylation of SMAD increases its ability to signal (Goumans and Mummery, 2000; Kitisin et al., 2007), and regulates dorsoventral patterning of the neural tube (Fernandes et al., 2007). pSMAD1/5 is normally detected in the ventricular zone of the medial pallium with a dorsal to ventral gradient at E15.5. *Pbx;Emx1-cre* mutants had increased pSMAD1/5, and a ventral spread along ventricular zone (Figure 6J–J'). This increase was detected throughout rostrocaudal extent of the cortex. pSMAD2 levels did not change (data not shown). pSMAD staining did not change in *Nex-cre* mutants (Figure S5V). Nor did we observe a change in *Bmp4* expression at E13.5 (Figure S5I).

WNT-signaling is required for the most-dorsal cortical regions (Lee et al., 2000; Zhou et al., 2006), and participates in neocortical patterning (Caronia-Brown et al., 2014) We assessed expression of *Axin2* and *Wnt3*, transcriptional readouts of WNT-signaling. At E13.5 their expression appeared normal in *Pbx;Emx1-cre* mutants (Figure S5F–G), providing evidence that *Pbx* does not mediate cortical patterning through modulating WNT-signaling. Thus,

abnormal regional patterning of the *Pbx;Emx1-cre* cortex appears to be due to the alterations in the gradients of TF expression (*Dbx1*, *Dmrt1*, *Emx2*, *Lhx2*) and increased SMAD1/5 signaling. We next assessed which of these phenotypes was directly due to *Pbx1* chromosomal binding.

PBX ChIP-Seq from embryonic cortex identifies target genes

To determine which of the gene expression changes in the VZ may be directly PBX regulated, we performed ChIP-Seq from E12.5 wild type cortex using pan-PBX antibody. Towards identifying direct PBX targets in progenitors and postmitotic cells, we performed ChIP-Seq from E15.5 cortex. As a specificity control, we added a PBX1 peptide to the chromatin immunoprecipitations to block antibody binding. About ~4100 peaks were identified at E12.5 and ~7600 peaks at E15.5. About ~2500 peaks were the same between E12.5 and E15.5. GREAT analysis [(<http://bejerano.stanford.edu/great/public/html/>); (McLean et al., 2010)] showed the distribution of PBX binding sites as a function of their distance from the transcription start site; ~35% were near the promoter (+/- 5 kb), whereas the majority (65%) mapped at more distant locations at both E12.5 and E15.5 (Figure S6A–B).

We compared our E12.5 ChIP-Seq peaks with 900 enhancers (Visel et al., 2013) that have reproducible tissue-specific enhancer activity in transgenic assays (Vista enhancer browser, <http://enhancer.lbl.gov/>). We found that about 30% of these 900 enhancers contained PBX peaks. Of enhancers that contained PBX peaks, about 40% had forebrain expression in the transgenic assay. Examples of four such enhancers are depicted in Supplemental Figure 6C. These data support that PBX is frequently associated with *bona fide* distant-acting *in vivo* enhancers in general and with enhancers active in the developing forebrain in particular.

Additionally, we compared p300 ChIP-Seq dataset from E11.5 forebrain (Visel et al., 2009) with our E12.5 ChIP-Seq to evaluate how many of PBX-enriched regions also map to p300-bound enhancers. Out of 2,453 p300 bound forebrain enhancers, 651 (26%) also contained Pbx ChIP-Seq peaks.

Lhx2 and *Emx2* were up-regulated in the ventral cortex of *Pbx* mutants (Figure 5). The promoter regions of these genes had PBX ChIP-Seq peaks (Figure 6A and B). At E12.5 there were two prominent PBX ChIP-Seq peaks at *Lhx2* promoters. PBX peaks were present in the same *Lhx2* genomic locations at E15.5 cortex, although they were not as pronounced. The *Emx2* locus contained two PBX peaks, one at the 5' end, and the other at the 3' end of the gene (Figure 6B).

PBX had ChIP-Seq peaks over the proximal promoters of *Pknox1* and *Meis2* genes (at E12.5 and E15.5) (Figure 6F–G). *Pknox1* and *Meis2* are members of the TALE homeodomain protein family that cooperatively bind with PBX proteins to promoters of target genes (Bjerke et al., 2011). In *Pbx* mutants, *Pknox1* expression is strongly increased throughout the cortex (Figure 6F'). Expression of *Meis2* is also strongly increased in ventricular zone as well as the cortical plate in *Pbx* mutants (Figure 6G').

PBX binding sites were also found in proximity of TFs that are preferentially expressed in specific cortical layers and regions. PBX ChIP-Seq mapped to the start sites of *Etv1* (*ER81*) (E12 and E15), *Lmo3* (E12) and *Lmo4*, expression of these three genes was dysregulated in *Pbx* mutant (Table 1; Figure 4, and not shown).

While our analysis found many other interesting genes that are probable PBX targets, we wish to highlight *Reelin*. As shown in Figure 3, *Reelin* RNA expression was upregulated in the *Pbx;Emx1-cre* mutants (Figure 3). The *Reelin* locus contains 2 intragenic PBX peaks at E15 and 1 peak at E12 (which is in the same location as one of the E15 peaks) (Figure 6I).

Thus, there is good evidence that PBX binds to regulatory regions of genes whose abnormal expression is implicated in the regional and laminar phenotypes of *Pbx* mutants.

Nucleotide motifs in genomic loci bound by PBX

Next we performed computational analyses to identify PBX *in vivo* binding sequences, and to provide evidence for TFs that interact with the PBX-regulated genomic elements. We identified nucleotide sequences that were over-represented in the PBX-ChIP-Seq peaks using the RSAT peak-motifs tool (Thomas-Chollier et al., 2010) and clustered motifs by motif similarity and co-occurrence within ChIP-seq peaks using pvcust (Suzuki and Shimodaira, 2006). Representative motif logos and frequencies for major identified motifs are shown in Figure 7 and Figure S7A–C. The most common motifs mapped to the MEIS1 motif in the JASPAR database, as well as PBX1 in JASPAR and in the cisPB database. The MEIS1/PBX1-annotated motif family included a long inverted palindromic motif and a short motif that represents half the palindrome (Figure 7A–B). 77% of the identified PBX peaks at E12.5 and 79% of peaks at E15.5 contained at least one predicted motif and these motifs showed strong enrichment at the center of PBX peaks, indicating that this is likely the primary binding motif recognized by PBX. The inverted palindrome motif and half-site have been identified previously using site-selection experiments with MEIS1 (Shen et al., 1997).

The most common secondary motif at both time-points was a degenerate motif mapping to the SP/EGR families (53% of E12.5 peaks and 47% of E15.5 peaks) (Figure 7C–D). At E12.5, the second most frequent secondary motif was a strong PDX1 motif (36% of peaks). At E15.5, the second most frequent secondary motif was MECOM (46%), also correlated with the NFATC motif identified at E12.5. The co-occurrence of PBX1 and PDX1 has been previously reported (Swift et al., 1998).

We tested for differences in the distance to the nearest gene for identified motifs, revealing sets of motifs that are preferentially located proximal or distal to TSSs. There was no difference for MEIS1 along with NFYA (highly correlated with MEIS1/PBX1 binding motifs and also annotated to PBX1 in cisBP), FOXM1/TCF7L2, and ZNF354C. There was significant bias (t-test, p-value < 0.05) towards proximal PBX peaks for SP/EGR, NRF1, ELK4, MZF1_1-4, and TFAP2A motifs and bias towards distal PBX peaks for PDX1, POU5f1::SOX2, NFATC2/MECOM, FOXP1/SRY, MEF2A, ATOH1, and SOX5. Clustering of motif co-occurrence captures the strong enrichment for TSS-proximal motifs, with little minimal structure observed for motifs preferentially found distal to the TSS at both time points (Figure 7E–F, Figure S7D–E).

Finally we tested for motifs that were enriched at one time point but not the other using peak-motifs with the background as the second time point. At E12.5, PDX1/NOBOX motifs are strongly enriched compared to peaks identified at E15.5, with 64% of E12.5 peaks annotated with this site. SP/EGR family motifs are also enriched relative to E15.5. At E15.5, we identified ATOH1, NFIC/HAND1::TCFE2a, and FOXD3, all three of which were preferentially located distal to TSSs. ATOH motifs were found in nearly 70% of E15.5 PBX peaks when analyzed with E12.5 as the background. The increased frequency of occurrence for the NOBOX/PBX1 at E12.5 and ATOH1 at E15.5 is at least in part driven by these motifs clustering with MEIS1 sites in the original analysis using peak-motifs default background.

DISCUSSION

Herein, we demonstrate using conditional mutagenesis that *Pbx1* regulates regional identity and laminar patterning of the developing mouse neocortex in cortical progenitors (using *Emx1-Cre*) and in newly generated neurons (using *Nex1-Cre*). Because *Pbx1* and *Pbx2* have similar RNA expression patterns at E11.5 (Figure S1), and because they are known to share functions (Capellini et al., 2006), we amplified the cortical phenotype by eliminating one *Pbx2* allele. Note that analyses of *Pbx1* mutants with normal *Pbx2* dosage were qualitatively the same as the compound mutant (Figure S1). Furthermore, cortical patterning appeared normal in *Pbx2*^{+/-} (Figure S2).

We found three salient molecular phenotypes of cortical regional and laminar organization: 1) hypoplasia of the frontal cortex in both *Pbx;Emx1-Cre* and *Pbx;Nex1-Cre* (Figures 2, S2); 2) ventral expansion of the dorsomedial cortex in *Pbx;Emx1-Cre* (Figure 2); 3) robust ventral expansion of *Reelin* expression in the cortical plate of the frontal cortex, concomitant with a partial inversion of cortical layering in *Pbx;Emx1-Cre* (Figures 3, 4). The latter is a novel phenotype, in which abnormal cortical patterning is coupled with region-specific abnormal laminar patterning. Below we address mechanistic underpinnings of these phenotypes.

Pbx function in cortical progenitors regulates DV patterning by repressing *Lhx2* and *Emx2* expression

We propose the *Pbx* regulates cortical regional fate in the cortical VZ in part by repressing expression of TFs that control cortical dorsoventral patterning. *Pbx;Emx1-cre* mutants have ventral expansion of high *Emx2* and *Lhx2* expression. The mutants also exhibit dorsal expansion of *Dbx1* and *Dmrt1* (from the ventral-most cortex) (Figure 5). Concomitant with these changes in the VZ are a dorsal-to-ventral expansion of molecular properties in the cortical plate that is particularly striking for *NT3* (Figures 2, S2). There is no clear ventral-to-dorsal expansion of cortical plate properties. We propose that the loss of frontal cortex properties (loss of *Lmo4*, *NT3*; gain of *Lmo3*) is in part due to the rostroventral shift of caudodorsal properties (e.g. *Lmo3*) (Figure 2).

The changes in expression of *Lhx2* and *Emx2* could contribute to the *Pbx* mutant's patterning phenotype, as each of these TFs has demonstrated functions in cortical patterning. *Lhx2* promotes neocortical fate by repressing properties of flanking structures. *Lhx2* null

mutants illustrate that *Lhx2* dorsally represses choroid plexus identity, and that ventrally *Lhx2* represses properties of the ventral pallium (also known as antihem) (Bulchand et al., 2001; Mangale et al., 2008; Monuki et al., 2001). In *Lhx2;Emx1-cre* mutants, lateroventral cortex acquires neocortical fate (Chou et al., 2009). Thus, like *Lhx2*, *Pbx* controls the balance of cortical fates along the dorsoventral axis. In *Pbx* mutants the *Lhx2* gradient is changed, where there is up-regulation in the lateral/ventral regions of the cortical VZ, and in the cortical plate. Dorsal properties are expanded (e.g. *NT3*⁺ cingulate/retrosplenial) at the expense of more ventral properties (*Lmo3*⁺ somatosensory). Thus, we propose that *Pbx* maintains the correct level of *Lhx2* expression, which is crucial in regulating the balance between different cortical regions.

Emx2 over-expression is also likely to contribute to the *Pbx* mutant phenotype. A ~2 fold increase in *Emx2* expression in the VZ repressed rostroventral fate, and led to expansion of caudodorsal cortical areas (Hamasaki et al., 2004). As noted above, this phenotype is similar to that of the *Pbx* mutant. ChIP-Seq analysis identified two PBX peaks just 5' of the transcribed region of *Lhx2*, and two PBX peaks within *Emx2*'s transcribed domain (Figure 6). Thus, PBX may directly control *Lhx2* and *Emx2* transcription.

Molecular mechanisms underlying the D/V patterning defects in *Pbx* mutant cortical progenitors: altered TF expression (*Dbx1*, *Dmrta1*, *Emx2*, *Lhx2* and *Pknox1*) and increased SMAD1/5 phosphorylation

It is likely that additional mechanisms contribute to *Pbx1*'s control of cortical region fate, in addition to altered *Emx2* and *Lhx2* expression. Prominent dorsal expansion of *Dbx1* and *Dmrta1* expression (Figure 5) merits further consideration, but will require better understanding of the functions of these TFs during cortical development.

PBX proteins function in part through forming complexes with other TALE homeodomains, such as the PKNOX (PREP) and MEIS proteins (Bjerke et al., 2011). *Pbx;Emx1-Cre* mutants have striking over-expression of *Pknox1* (*Prep1*) and *Meis2*; both genes have PBX ChIP-Seq peaks (Figure 6). It is possible that the increased *Pknox1* (*Prep1*) and *Meis2* expression was a compensatory mechanism, or that the increase intensifies the phenotype. Future analyses of *Pknox1* (*Prep1*) and *Meis2* mutants, alone or in combination with *Pbx1*, is needed to elucidate their respective functions.

As noted above, *Pbx;Emx1* mutants had a prominent ventral expansion of dorsal properties in the VZ (*Emx2* and *Lhx2*; Figure 5), and in the cortical plate (*NT3*, Figure 2). These mutants also showed increased levels of activated (phosphorylated) pSMAD1/5 TFs (Figure 6J; J'). BMP signaling activates SMAD1/5 (Itoh et al., 2000; Kitisin et al., 2007). In the forebrain, BMP signaling is known to specify the choroid plexus, most dorsal fate in the telencephalon (Fernandes et al., 2007). Thus it is possible that increased pSMAD1/5 participates in the expansion of dorsal cortical properties in the *Pbx;Emx1* mutant that in conjunction with the increased *Emx2* and *Lhx2* expression, contributes to the loss of the frontal cortex. We are uncertain about the mechanisms for increased pSMAD1/5, but speculate that loss of *Smoc1* expression (Figure 6H, H') may contribute to this. In *Xenopus* early embryogenesis, there is evidence that *Smoc1* acts as a BMP antagonist (Thomas et al., 2009).

***Pbx* function in newly born cortical neurons regulates cortical patterning**

While regional specification of cortical domains is initiated in neuroepithelial stem cells (VZ) by processes that control gene expression, there is evidence, based on loss of *CoupTFI*, *Bhlhb5* and *Lhx2* functions (Alfano et al., 2014; Joshi et al., 2008; Zembrzycki et al., 2015), that immature cortical plate neurons maintain plasticity regarding cortical regional/areal identity. Here we found that eliminating *Pbx* function in newly generated cortical neurons, using *Nex-Cre*, degraded regional cortical molecular properties, particularly in the frontal cortex, which showed greatly reduced *Lmo4* and *NT3* expression (Figure 2).

ChIP-Seq analysis identified PBX peaks in the *Lmo3* and *Lmo4* loci, providing evidence that *Pbx* expression in postmitotic neurons (Figure 1) directly regulates these markers of cortical areas. Furthermore, *Lmo4* function is required in rostral cortical neurons to control the identity of its projection neurons (Cederquist et al., 2013). Thus, our data are consistent with the model that *Pbx* expression in cortical progenitors controls region fate through repression of *Emx2* and *Lhx2*, and *Pbx* expression in cortical neurons controls their identity through promoting *Lmo4* expression.

***Pbx* represses *Reelin* expression in rostral cortical plate neurons – Evidence that ectopic *Reelin* expression in *Pbx* mutants leads to dyslamination in the rostral cortex**

Reelin regulates radial migration of immature cortical projection neurons, and orchestrates cortical “inside-out” laminar organization (Ogawa et al., 1995). Here, we found that loss of *Pbx* in cortical progenitors leads to ectopic *Reelin* expression, that expands ventrally from indusium griseum (where it is normally present), into the rostral neocortex, particularly in early born layers (Figure 3). We suggest that abnormal dorsoventral patterning in the cortical VZ accounts for much of this ectopic expression. On the other hand, loss of *Pbx* in newly generated neurons (*Nex-Cre*) leads to a subtle increase in *Reelin* over-expression (Figure 3), suggesting that *Pbx* regulates *Reelin* in both the VZ and in cortical neurons. Consistent with this, there is a prominent PBX ChIP-Seq intragenic peak in *Reelin* in both the E12.5 and E15.5 analyses (Figure 6I).

The *Pbx;Emx1-Cre* mutant shows a robust lamination phenotype (only in the rostral cortex, where the ectopic *Reelin* is evident) (Figure 4), consistent with the model that ectopic *Reelin* expression disrupts the normal lamination pattern. In the rostral cortex, neurons expressing subplate, layer 6 and layer 5 markers are in a superficial position, whereas neurons expressing *Cux2*, the layer 2/3 marker, are in a deep position. BrdU pulse-chase analyses support the inversion of these layers in the rostral cortex (Figure S4). Thus, *Pbx* controls programs that mediate regional and laminar development, particularly in the rostral cortex.

PBX ChIP-Seq from embryonic cortex identifies target genes

The anti-PBX antibody used in the ChIP experiments recognizes PBX1, PBX2 and PBX3 (Ferretti et al., 2011). *Pbx3* expression is not detectable in the developing cortex (Allen Brain Atlas). As noted previously, *Pbx1* and *Pbx2* share similar expression during cortical development (Figure 1, S1), and share functions (Capellini et al., 2006; Capellini et al., 2008). Thus, the PBX ChIP-Seq results most likely reflect both PBX1 and PBX2 genomic binding sites.

We performed PBX ChIP-Seq from E12.5 and E15.5 cortex. At E12.5, the majority of cells in the cortex are progenitors – thus the ~4,100 PBX ChIP-Seq peaks from this age should largely reflect PBX-bound regulatory elements in dividing cells of the VZ and SVZ, some of which are generating neurons destined to deep cortical layers. In the E15.5 cortex there are both progenitors and neurons; thus the ~7,600 PBX ChIP-Seq peaks from this age should reflect a mixture of PBX-bound regulatory elements in progenitors and immature neurons. We predict that PBX binding captured by the ChIP-Seq experiments includes both activating and repressive activity. In the future, region-specific chromatin datasets across the cortex could be used to examine this at a chromatin level. Nonetheless, here we show that PBX binds near genes that are both up-regulated and down-regulated after conditional deletion of *Pbx1*, evidence for direct PBX regulation of critical patterning genes.

The intersection of the E12.5 and E15.5 ChIP-Seq data identified ~1,600 PBX peaks unique to E12.5 data. These may be enriched for regulatory elements that function in cortical progenitors, and/or are important in the generation of deep layer cortical neurons. Conversely, the intersection of the E12.5 and E15.5 ChIP-Seq data showed ~5,100 PBX peaks unique to E15.5. These may be enriched for regulatory elements that function in immature cortical neurons, and/or are important in the generation of superficial layer cortical neurons. Finally, PBX bound to ~2,500 peaks at both E12.5 and E15.5; these regulatory elements may execute functions common to these stages of corticogenesis.

Roughly 20% of PBX ChIP-Seq peaks were found close (0–5 kb) to genes, particularly 5' of the exons, and thus represent, in part, binding to promoters (Figure S6). In addition, >65% of the peaks mapped > 5kb away from transcribed genic regions (Figure S6), suggesting that PBX also binds to enhancers. Indeed, we identified PBX peaks on 270 regions that have enhancer activity at E11.5 (Table S1); 120 of these regions have enhancer activity in the E11.5 forebrain (Figure S6D; Table S2) (Visel et al., 2013).

Nucleotide motifs in genomic loci bound by PBX

De novo analysis of motifs from PBX peak sequences identified the likely primary PBX binding motif, which corresponds to a previously described motif for MEIS1 (Knoepfler et al., 1997; Shen et al., 1997). This binding motif occurs as both a full inverted palindromic motif and a set of motifs that are half-sites of the full inverted motif. PBX peaks proximal to TSS were strongly enriched for binding motifs mapped to the SP/EGR family, NRF1, ELK4, MZF1_1-4, and TFAP2A. Thus, PBX proteins may cooperate at promoters with these proteins. Note that the SP family member SP8 has a prominent role in cortical patterning (Borello et al., 2014; Sahara et al., 2007).

Distal PBX peaks were strongly enriched for motifs that are bound by proteins related to PDX1, MECOM/NFATC2, POU5f1::SOX2, FOXP1/SRY, NFATC2, ATOH1, SOX5, and MEF2A. These TFs are likely enhancer regulators, many of which are related to TFs which known functions in cortical development. For instance, the POU5f1::SOX2 complex, which control ES cell pluripotency, is related to the SOX2 and BRN (POU) proteins that promote neural fate (Tanaka et al., 2004). *Sox5* function is crucial for development of deep layer neurons (Kwan et al., 2008; Lai et al., 2008; Leone et al., 2008). ATOH1 is a bHLH family member, many of which have fundamental roles in cortical development, including *Ngn1*,

Ngn2, and the *NeuroD* family (Fode et al., 2000; Mattar et al., 2008; Olson et al., 2001; Sun et al., 2001). The observation that the ATOH1 motif was enriched at E15.5 but not E12.5 (Figure 7C–F), suggests that PBX and bHLH proteins may coordinately bind to enhancers with activity during neurogenesis, neuronal migration and maturation, rather than in neuroepithelial progenitors. Finally, the FOXP1 motif is consistent with known functions of *FoxP1* and *FoxP2* in neural differentiation (Bacon et al., 2014; Tsui et al., 2013). In sum, these results are an entrée for elucidating the mechanisms whereby combinations of transcription factors interact with PBX proteins on cis-regulatory elements to modulate gene expression during cortical regionalization, laminar patterning and neuronal differentiation.

EXPERIMENTAL PROCEDURES

In situ hybridization on brain sections

20µm frozen sections were dried, wash three times with PBS (5 min each) and fixed with 4% paraformaldehyde in PBS for 10min. Sectioned were then rinsed with PBS three times (3 min each) and treated with 1µg/ml Proteinase K for 17 min. After two quick rinsed in PBS sections were postfixed with 4% Paraformaldehyde for 5min and rinsed again in PBS three times (3 min each). Acetylation was performed for 10min in Acetylation Buffer containing 1.3% triethanolamine, 0.17% HCl and 0.4% acetic anhydride in water. Sections were then rinsed with PBS three times (10 min each) and prehybridized by incubating with hybridization buffer (50% formamide, 5XSSC pH 4.5, 50µg/ml yeast tRNA, 1% SDS, 50µg/ml Heparin) for 2 hours in 67C oven. After prehybridization *in situ* probes diluted in hybridization buffer at 500ng/ml were added for overnight incubation at 67C. Next day slides were rinsed with prewarmed 5X SSC pH 4.5, washed twice (30min each) with 0.2X SSC pH4.5 at 70C and then wash once (5min) with 0.2X SSC pH4.5 at room temperature followed by a wash with NTT buffer (0.15 M NaCl, 0.1 M Tris pH 8.0 0.1% Tween-20). Sections were blocked with NTT blocking buffer containing 5% heat inactivated horse serum and 2% Blocking buffer (cat# 11096176001, Roche) for 1hour at room temperature followed by an overnight incubation at 4C with Anti-Digoxigenin-AP antibody (1:5000 dilution in NTT blocking buffer). Next day sections were washed three times with NTT buffer (30min each), followed by three 5-min washes with NTTML buffer (0.15 M NaCl, 0.1 M Tris pH 9.5, 0.1% Tween-20, 50 mM MgCl₂, 2 mM Levamisole) and incubated with developing reagent BM Purple (cat# 11442074001, Roche) until desired intensity of the signal was reached. Development reaction was stopped with PBS. Sections were allowed to dry, were dehydrated with Xylenes and mounted with Permount.

Whole mount *in situ* hybridization

The meninges were removed from dissected P0 brains and brains were fixed overnight in 4% paraformaldehyde. After two rinsed with PBS containing 0.1% Tween-20 (10min each) brains were rehydrated through a series of methanol washes in PBS-Tween-20, (25%, 50%, 75%, 100%) and stored at –20C until further processing. On the day of the experiment brains were rehydrated through a series of methanol washes (75%, 50%, 25%), rinsed with PBS-Tween twice and treated with 20µm/ml Proteinase K for 30 min. After digestion tissue was rinsed with 100mM Glycine and PBS-Tween and postfixed with 4% paraformaldehyde/0.1% glutaraldehyde for 20min. After postfixation brains were washed once with PBS-

Tween and then washed once with 1:1 mixture of PBS-Tween and hybridization buffer (50% formamide, 1.3X SSC pH4.5, 5mM EDTA, 50ug/ml yeast tRNA, 100ug/ml heparin, 0.5% Tween). Solution was then replaced with hybridization buffer and tissue was allowed to prehybridize for 1h at 70C. *In situ* probes were diluted in hybridization buffer at 500ng/ml and hybridization was performed overnight at 70C. Next day brains were washed three times (30min each, at 70C) with hybridization buffer, once with 1:1 mixture of hybridization buffer and TBST (30min at 70C) and three times (30 min each) with room temperature TBST. Brains were blocked with TBST containing 10% heat inactivated horse serum and 0.1% blocking buffer (Roche) for 2h at room temperature followed by an overnight incubation at 4C with Anti-Digoxigenin-AP antibody (1:4000 dilution). Next day brains were washed with TBST 8 times 30 min each and left in wash buffer overnight. BM Purple (Roche) was used as a developing reagent.

Chromatin Immunoprecipitation

Wt cortices (one litter of E12.5 or E15.5) were dissected, triturated in 1% formaldehyde in PBS and fixed for total of 10min at room temperature. Fixed cell were pelleted and washed with cold PBS. Pellets were lysed in 500ul of lysis buffer (1% SDS, 10mM EDTA and 50mM Tris, pH 8.1) on ice for 10min and lysates were sonicated using Bioruptor (Diagenode) on High Settings for 15 cycles (7.5 min of total sonication time). Resulting average chromatin size was 200–500 bp as verified by the Bioanalyzer. Cleared Chromatin was diluted 10 times with Dilution buffer (0.01% SDS, 1.1% Triton X-100, 1.2mM EDTA, 16.7mM Tris-HCl, pH 8.1, 167mM NaCl) and was incubated overnight at 4C with 3ug of appropriate antibody. Mixture of protein A and G magnetic beads (Life Technologies) was preblocked overnight with BSA and tRNA and was added to the chromatin next day for 3 hours. Immune complexes were washed once with Low Salt buffer (0.1% SDS, 1% Triton X-100, 2mM EDTA, 20mM Tris-HCl, pH 8.1, 150mM NaCl), High Salt buffer (0.1% SDS, 1% Triton X-100, 2mM EDTA, 20mM Tris-HCl, pH 8.1, 500mM NaCl), LiCl buffer (0.25M LiCl, 1% NP-40, 1% deoxycholic acid (sodium salt), 1mM EDTA, 10mM Tris, pH 8.1) and TE buffer. Complexes were eluted in 1% SDS, 10mM Sodium bicarbonate buffer at 65C for 10min. Crosslinks were reversed at 65C overnight, proteins were digested using proteinase K and DNA was purified using Zymo Chip DNA Clean and Concentrator kit (Zymo research). Immunoprecipitation was performed using the following antibody: Pbx1/2/3/sc-888, Santa Cruz). As a negative control in PBX CHIP experiments, the PBX antibody was incubated with PBX blocking peptide (sc-888P, Santa Cruz) at 1:400 molar ratio, and added to the chromatin lysates. ChIP-Seq Libraries were prepared using NEBNext library Prep kit (New England Biolabs) and Illumina standard adaptors and sequenced using a 50bp single end strategy using Illumina HiSeq platform.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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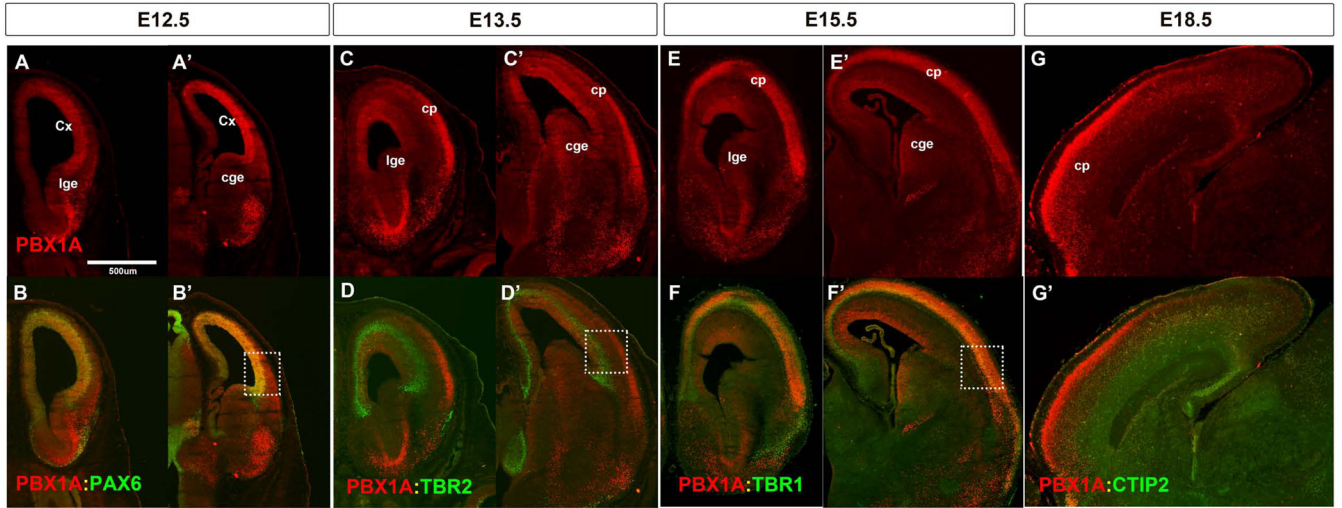


Figure 1. PBX1 protein is expressed in progenitors and neurons of the embryonic cortex
 Immunofluorescence co-staining at four prenatal ages with indicated antibodies. (A–B') PBX1A (in red) and PAX6 (in green) co-localize in ventricular zone of the cortex at E12.5; (coronal view). (C–D') PBX1A (in red) is expressed in ventricular zone and cortical plate at E13.5 PBX1A and TBR2 (in green) do not co-localize in subventricular zone of the cortex (coronal view). (E–F') PBX1A (in red) and TBR1 (in green) co-localize in the cortical plate at E15.5 in (coronal view). (G, G') PBX1A (in red) and CTIP2 (in green) at E18.5 (sagittal view). Higher magnification and quantification of the images within the white rectangles are in Supplemental Figure1. Abbreviations: cp: cortical plate; Cx: cortex; cge: caudal ganglionic eminence; lge: lateral ganglionic eminence.

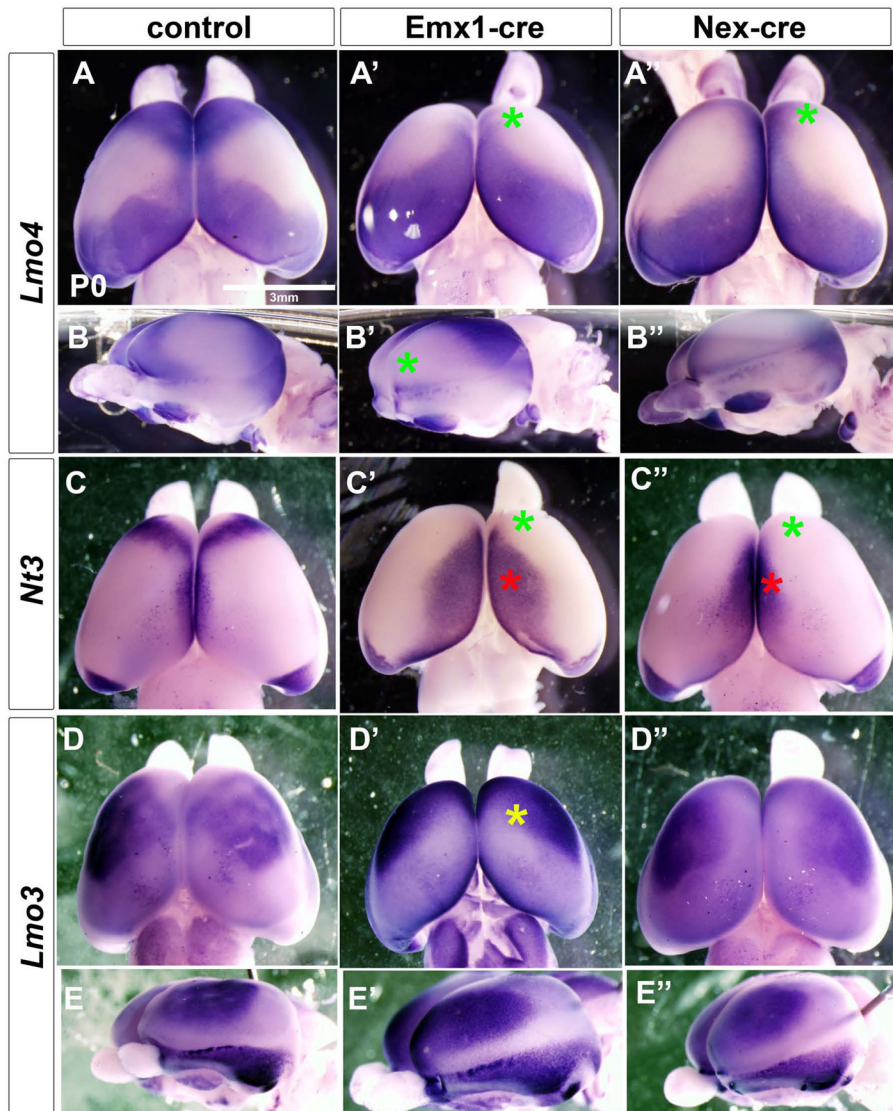


Figure 2. *Pbx* mutants have major alterations in cortical regional patterning including loss of molecular features of the frontal cortex. Analysis of the cortical patterning by whole mount in situ hybridization on P0 brains of control (left column) *Pbx;Emx1-cre* mutants (middle column) and *Pbx;Nex-cre* mutants (right column). *Lmo4* probe: superior view (A–A''); lateral view (B–B''). *Nt3* probe: superior view (C–C''). *Lmo3* probe: superior view (D–D''); lateral view (E–E''). Green asterisks represent reduction of frontal cortex expression compared to control. Red asterisks represent expansion of dorsomedial cortical expression as compared to control. Yellow asterisk represents a change in frontal cortex expression pattern as compared to control.

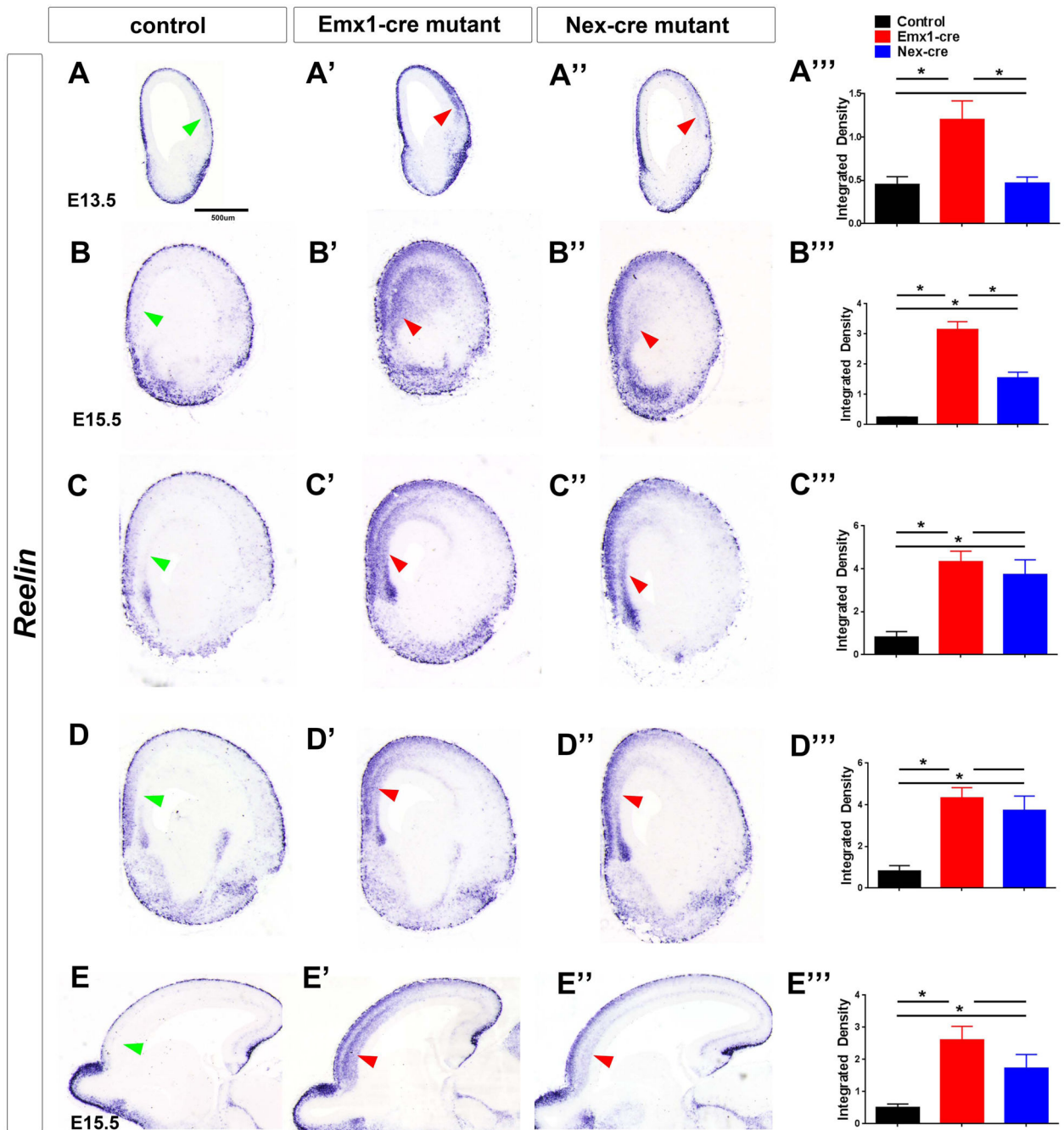


Figure 3.

Pbx mutants have ectopic *Reelin* expression in the rostral cortical plate. *Reelin* RNA expression analysis by *in situ* hybridization in control (left column), *Pbx;Emx1-cre* mutants (middle column) and *Pbx;Nex-cre* mutants (right column). (A–D) *Reelin* expression at E13.5 and E15.5 in coronal sections. (E) *Reelin* expression at E15.5 on sagittal sections. Red arrows point to the increased *Reelin* expression in the mutant's rostral cortical plate as compared to the control (green arrows). (A'', B'', C'', D'', E'') *Reelin in situ* signal intensity (Integrated Density) around the regions indicated by arrows, was quantified and analyzed

using ImageJ as described (McCloy et al., 2014). At least three brain sections were used for each measurement. * Indicates $p < 0.05$ (mean \pm SD)

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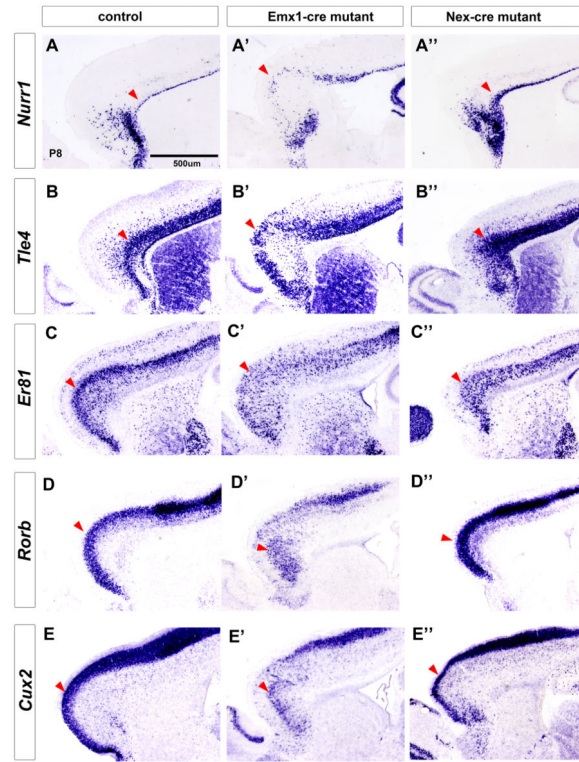


Figure 4.

Pbx mutants have inversion of cortical layers in the rostral cortex. Laminar marker expression in *Pbx;Emx1-cre* and *Pbx;Nex-cre* mutants at P8 by *in situ* hybridization on control (left column), *Pbx;Emx1-cre* mutants (middle column) and *Pbx;Nex-cre* mutants (right column). (A–A'') *Nurr1*, marker of subplate; (B–B'') *Tle4*, marker of layer VI; (C–C'') *Er81*, marker of layer V; (D–D'') *Rorb*, marker of layer IV; (E–E'') *Cux2*, marker of layers II–III. Red arrowheads point to the superficial boundary of the corresponding layers, showing the laminar inversions in the mutant.

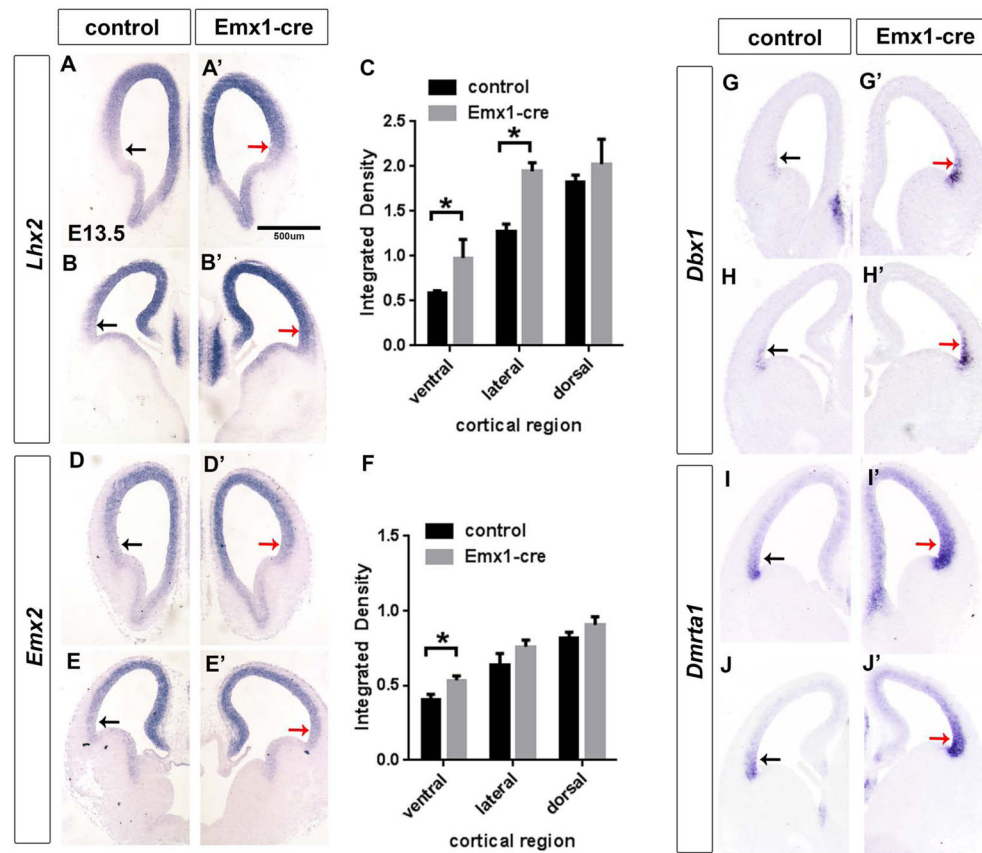


Figure 5.

Pbx;Emx1-cre mutants at E13.5 have changes in the VZ expression of TFs that control cortical patterning shown on coronal sections using *in situ* hybridization. (A–B') *Lhx2* expression; (D–E') *Emx2* expression; (G–H) *Dbx1*; (I–J') *Dmrta1*. Black arrows indicate normal expression in the ventro-lateral cortex; red arrows point of the increase in expression of patterning genes in the mutant's ventro-lateral cortex. (C) and (F) Quantification of *Lhx2* (C) and *Emx2* (F) *in situ* signal in *Emx1-cre* mutant vs control cortex. Measurements in 4 different brain sections were made in the ventral, lateral and dorsal regions of the cortex. Integrated Density was calculated as described previously (McCloy et al., 2014). * Indicates $p < 0.05$ (mean \pm SD)

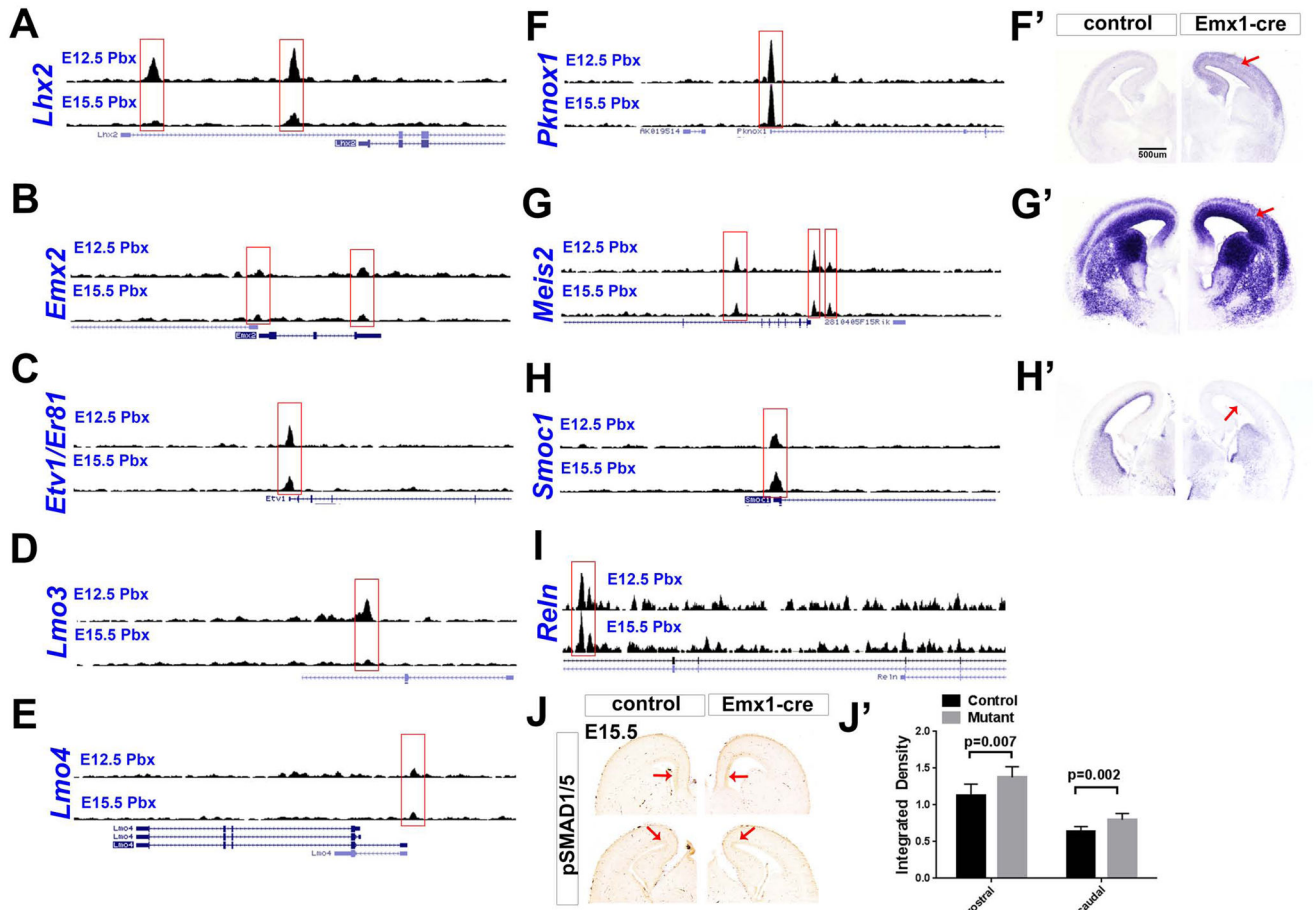


Figure 6. ChIP-Seq showing PBX binding to promoters, and other gene regions, that are dysregulated in *Pbx* mutants. Genome browser views showing PBX ChIP-Seq peaks at E12.5 and E15.5. Gene expression changes are shown in Figures 2, 4 and 5, except for *Pknox1*, *Meis2* and *Smoc1*, which are shown here in panels F–H'. (J,J') *Pbx;Emx1-cre* mutants have expanded domain of pSMAD1/5 expression in the dorsal cortex (red arrows) at E15.5. Signal intensity was quantified and expressed as Integrated Density in J' (mean±/–SD).

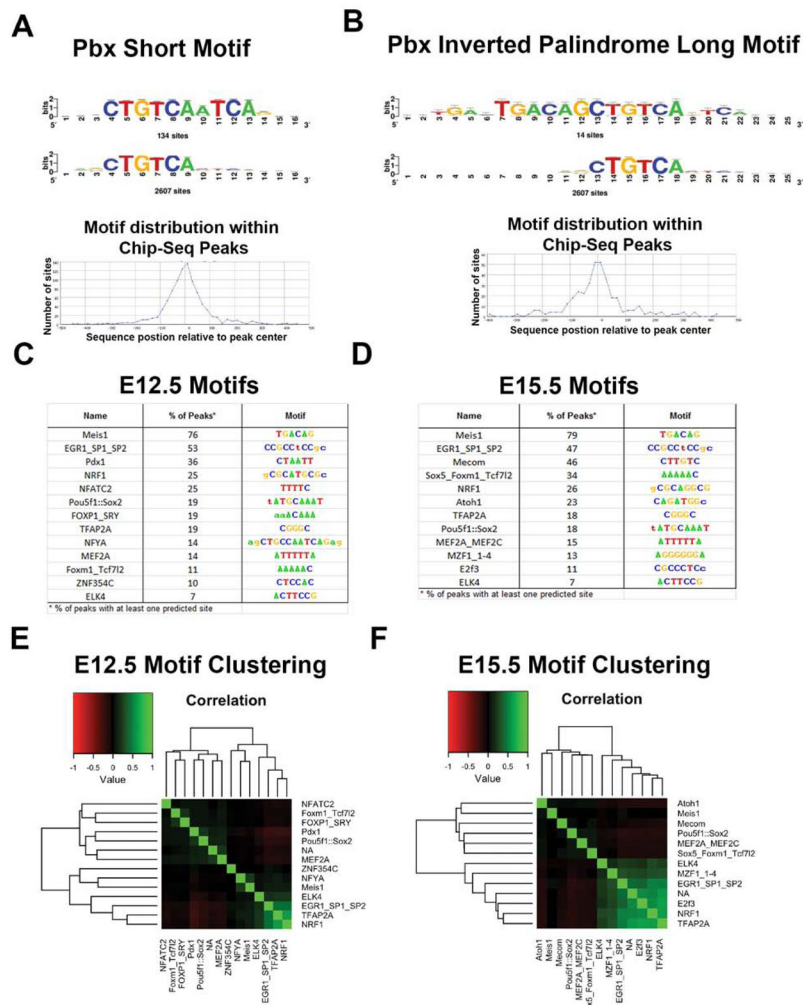


Figure 7. (A,B) PBX short (A) and long (B) motifs identified from the ChIP-seq data. The long motif is an inverted palidrome. The sequence of both the short and long motifs map to the center of the PBX ChIP-Seq peaks. (C, D) Motifs identified in PBX ChIP-Seq peaks at E12.5 (C) and E15.5 (D); their frequency is noted. The MEIS motif is the same as the PBX motif. (E, F): Identification of TF motifs, other than PBX/MEIS at E12.5 (E) and E15.5 (F).

Table 1

Results of gene expression array analysis of the E15.5 *Pbx;Emx1-cre* cortex, showing down-regulated and up-regulated genes. A red star indicates which genes have PBX ChIP-Seq peaks in their promoter and/or intragenic regions.

Genes changed in Pbx1 mutants			
Symbol	Name	Fold change	FDR
Downregulated			
Smoc1*	SPARC related modular calcium binding 1	3.8	9.9E-05
Rai14*	retinoic acid induced 14	2.5	1.2E-03
Rbp1	retinol binding protein 1, cellular	2.4	4.5E-02
Flrt3*	fibronectin leucine rich transmembrane protein 3	2.3	1.8E-02
Ccbe1*	collagen and calcium binding EGF domains 1	2.3	3.6E-02
Pdzm3*	PDZ domain containing RING finger 3	2.2	1.1E-03
Bmpr1b*	bone morphogenetic protein receptor, type 1B	2.0	1.7E-02
Cxcl12	chemokine (C-X-C motif) ligand 12	2.0	5.5E-03
Cux2*	cut-like homeobox 2	2.0	3.8E-02
Fzd8	frizzled homolog 8	1.8	3.5E-03
Figf	c-fos induced growth factor	1.8	2.7E-02
Plxna4*	plexin A4	1.6	2.4E-02
Ngfr	nerve growth factor receptor	1.6	4.5E-02
Rnd2	Rho family GTPase 2	1.4	3.8E-02
Sema6d	semaphorin 6D	1.4	2.3E-02
Upregulated			
Dbx1	developing brain homeobox 1	4.6	9.0E-03
Pknox1*	Pbx/knotted 1 homeobox (Prep1)	3.0	9.9E-05
Npr3	natriuretic peptide receptor 3	2.8	1.4E-02
Pde1a*	phosphodiesterase 1A, calmodulin-dependent doublesex and mab-3 related transcription factor like	2.3	2.7E-02
Dmrt1*	family A1	2.3	3.3E-02
Fzd7	frizzled homolog 7	2.0	3.6E-02
Lmo3*	LIM domain only 3	1.9	3.1E-03
Lmo4*	LIM domain only 4	1.7	3.8E-02
Nr4a2 (Nurr1)	nuclear receptor subfamily 4, group A, member 2	1.7	2.3E-02
Ngef	neuronal guanine nucleotide exchange factor	1.6	2.7E-02

* indicates genes that contain promoter or intragenic Pbx ChIP-seq peaks