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## Cognitive behavioral therapy for depression improves pain and perceived control in cardiac surgery patients

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### Abstract

**Background**—Depression after cardiac surgery (CS) is associated with increased pain and decreased sleep quality. While cognitive behavioral therapy (CBT) aimed at depression is effective in relieving depressive symptoms after cardiac surgery, little is known about its ability to ameliorate other common postoperative problems that affect recovery and quality of life.

**Aims**—The purpose of this study was to evaluate the effects of CBT for depression on pain severity, pain interference, sleep, and perceived control in patients recovering from CS.

**Methods**—Depressed patients recovering from CS were randomized to receive either eight weeks of CBT or usual care. At baseline and post-intervention, patients completed questionnaires for depressive symptoms, pain, sleep, and perceived control. Group comparisons were conducted using *t*-tests or chi square analysis. Repeated measures analysis was used to assess the effect of the intervention in changes over time.

**Results**—The sample ( $n=53$ ) included 16.9% women and had a mean age of  $67.8\pm 9.2$  years. CBT for depression increased perceived control ( $p<0.001$ ) and decreased pain interference ( $p=0.02$ ) and pain severity ( $p=0.03$ ). Group effects remained significant ( $p<0.05$ ) for perceived control and pain interference and a trend was observed for pain severity ( $p<0.10$ ) after controlling for variables that differed at baseline. There were no group differences in sleep disturbance over time.

**Conclusions**—A depression-focused CBT intervention yields benefits in other common postoperative problems, specifically improved perceived control and decreased pain in depressed cardiac surgery patients.

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## Keywords

Cardiovascular disease; depression; pain; cognitive behavioral therapy

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## Introduction

Depression after cardiac surgery is common, with 23%–45% of patients believed to suffer postoperatively from elevated depressive symptoms or clinical depression.<sup>1–4</sup> Among effective treatments for depression, cognitive behavioral therapy (CBT) is considered to be as effective for moderate depression as antidepressants.<sup>5</sup> In cardiac patients, CBT alone or in combination with antidepressants has been effective in relieving depressive symptoms and clinical depression.<sup>6–9</sup> CBT is a form of psychotherapy based on the theory that emotions and behaviors are influenced by one's perceptions of events.<sup>10</sup> A major component of CBT is the process of cognitive restructuring in which patients learn to identify and challenge dysfunctional beliefs (e.g. catastrophizing, overgeneralizing) and alter maladaptive behaviors caused by those dysfunctional beliefs.<sup>11</sup> Cognitive restructuring leads to changes in depressive symptoms by altering thinking, emotions, and behavior. Other components of CBT may include behavioral activation (increase engagement in previously enjoyed activities), social skills training, or coping skills.

Cognitive behavioral interventions designed specifically to address other symptoms common after cardiac surgery, such as sleep disturbance and pain, are known to be effective in older adults. For example, for chronic insomnia, specialized CBT (including modules on sleep restriction, stimulus control, sleep hygiene, and cognitive reframing related to sleep) is considered the standard of care for older adults.<sup>12,13</sup> Likewise, CBT designed specifically for chronic pain is also well established as a treatment for adults with back pain and other non-cardiac conditions.<sup>14,15</sup> Another problem common after cardiac surgery, a low sense of perceived control over one's health, has yet to be treated with CBT. Perceived control, which is the degree to which an individual believes that he/she controls key processes related to his/her heart condition,<sup>16</sup> has been associated with health outcomes in cardiac patients, with lower levels indicating higher levels of anxiety and decreased levels of quality of life in coronary artery disease and heart failure patients.<sup>17–20</sup>

While CBT aimed at depression is effective in relieving depressive symptoms after cardiac surgery, little is known about its ability to ameliorate other common postoperative problems that affect recovery and quality of life after cardiac surgery. The purpose of this study was to evaluate the effects of CBT for depression (CBT-D) on pain severity, pain interference, sleep and perceived control in patients recovering from cardiac surgery.

## Methods

### Sample and setting

This report is a secondary analysis from a randomized controlled trial testing the effect of CBT on depressive symptoms in patients early after cardiac surgery.<sup>8</sup> Institutional Review Board (IRB) approvals were obtained from all participating sites, and the study was

registered on clinicaltrials.gov (Identifier: NCT00522717). The investigation conforms with the principles outlined in the Declaration of Helsinki.<sup>21</sup> Participants who underwent cardiac surgery were recruited prior to hospital discharge. Exclusion criteria, which included age <30 years, residing outside the greater Los Angeles area, evidence of cognitive impairment (Mini-Mental State Examination<sup>22</sup> score  $\geq 24$ ), major psychiatric condition (i.e. schizophrenia, bipolar disorder, substance abuse), and autoimmune disorder or malignancy, were evaluated after informed consent and prior to hospital discharge. After an initial screening for depression while still hospitalized post-operatively, a second screening was conducted within one month after hospital discharge, followed by a structured interview conducted by trained researchers to diagnose depression using the Structured Clinical Interview for the Diagnostic and Statistical Manual of Mental Disorders (DSM-IV) (SCID-I).<sup>23</sup> Eighty-one participants met inclusion criteria for major or minor depression and were randomized to receive either CBT or usual care (UC). This report includes 53 participants (CBT=33; UC=20) who completed measures for pain, sleep, and perceived control at baseline and after eight weeks.

### Intervention

CBT consisted of eight one-hour sessions with an advanced practice nurse, who had received standardized training (Beck Institute, Philadelphia, Pennsylvania, USA). All sessions were conducted face-to-face in the participant's home and included only the nurse and the participant. To ensure fidelity and consistency of the intervention, therapy nurses used a weekly manual, which included agenda templates and commonly used analysis forms. Every session included a mood check using the Beck Depression Inventory (BDI), collaborative agenda setting by the nurse and the participant, discussion of events or problems important to the participant, and some mutually agreed upon behavioral or cognitive work ("homework") for the participant to complete between sessions.<sup>24</sup> A more detailed description of the intervention has been previously published.<sup>8</sup>

### Outcomes

Primary outcomes assessed depressive symptoms (BDI), which have been previously published.<sup>8</sup> Secondary outcomes assessed pain (Brief Pain Inventory Short Form (BPI)), sleep (Pittsburg Sleep Quality Index (PSQI)), and perceived control (Cardiac Attitude Scale-Revised (CAS-R)) at two time points: baseline and post-intervention.

The BDI is a 21-item self-report measure used widely to measure depressive symptoms in cardiac patients.<sup>25,26</sup> Scores range from 0–63, with higher scores indicative of more depressive symptoms. Its internal consistency (mean Cronbach alpha=0.82) and concurrent validity (with the Hamilton Rating Scale for Depression,  $r=0.075$ ) have been supported by numerous reports.<sup>27</sup> For this study, the BDI yielded an internal consistency of 0.87 for the baseline administration.<sup>8</sup> The BPI is an 11-item self-report measure that assesses both a respondent's current pain state (pain severity) and the degree to which pain interferes with his/her daily living (pain interference).<sup>28</sup> Pain severity is a composite of four pain questions (a mean severity score, ranging from 0–10). Pain interference is scored as the mean of seven interference items (range 0–10). Its reliability has been established in both medical and surgical populations, with alpha coefficients ranging from 0.94–0.97.<sup>29,30</sup> The PSQI is a 19-

item self-report questionnaire designed to measure seven components of sleep (subjective sleep quality, sleep latency, sleep duration, habitual sleep efficiency, sleep disturbances, use of sleep medications, and daytime dysfunction). Scores range from 0–21, with higher scores indicative of poorer sleep quality. The reliability coefficient for the PSQI has been reported at 0.83 for both healthy and depressed subjects, and the sensitivity and specificity are 89.6% and 86.5% respectively.<sup>31</sup> The CAS-R is an eight-item scale designed to measure the perception of control felt by individuals with cardiac disease. Scores ranges from 8–40; higher scores indicate higher feelings of control.<sup>32</sup> Perceived control is believed to play a vital role in determining adaptation to cardiac disease and plays a more prominent role in determining psychosocial recovery.<sup>33</sup> Cronbach's alpha values for the CAS-R in the coronary heart disease (CHD), acute myocardial infarction (AMI) and heart failure (HF) patient sample were 0.73, 0.72, and 0.76, respectively.<sup>32</sup>

## Analysis

All data analyses were performed using IBM SPSS 22.<sup>34</sup> First, baseline values were carried forward for missing follow-up data. Group comparisons were conducted using independent *t*-tests or chi square analysis. Repeated measures analysis of variance was used to measure the effect of the intervention in changes over time in perceived control, pain interference and severity, and sleep disturbance. In each analysis, we controlled for baseline values and gender, since despite randomization the prevalence of women was not equal across groups. To assess the effect of baseline group differences, we used linear regression with change scores for each variable as the dependent variable. Independent variables that differed at baseline (gender and weight) were entered into the equation first, with group assignment (CBT or UC) entered separately into a second block. Significance was set at 0.05 for all analyses.

## Results

### Sample characteristics

For this report, the sample was characteristic of the adult cardiac surgery population.<sup>35,36</sup> The sample included 16.9% women and the mean age was 67.8±9.2 years. The most common procedure was coronary artery bypass (71.7%), followed by aortic or mitral valve replacement (18.8%) or combined bypass/valve replacement (9.4%). Most procedures were elective (60.4%) (Table 1). There were no group differences (CBT vs UC) by marital status, ethnicity, procedure type, or urgency of surgery. Compared to UC, fewer women were allocated to CBT ( $p=0.05$ ) and patients in the CBT group tended to be younger than those in UC ( $p=0.06$ ) (Table 1).

We excluded patients with missing questionnaires at both time points. There were no baseline differences in non-responders by group assignment (CBT vs UC). Compared to responders, the non-responders were younger (mean age 60 vs 68 years,  $p=0.02$ ), female (57% vs 17%,  $p<0.001$ ), and had a higher body mass index (BMI) on average (35 vs 28 kg/m<sup>2</sup>,  $p<0.001$ ).

## Effect of CBT on postoperative recovery

Compared to those in the UC group, those in the CBT group experienced increased perceived control and decreased pain interference and pain severity. The repeated measurement analysis with group (CBT and UC) and follow-up (baseline and eight weeks) showed significant effects (i.e. group×follow-up interaction) in perceived control ( $F(1,50)=14.3, p<0.001$ ) decreased pain interference ( $F(1,45)=5.4, p=0.02$ ), and pain severity ( $F(1,46)=5.1, p=0.03$ ), in favor for the CBT group (Figures 1 and 2). No effect was found in sleep disturbance ( $F(1,42)=1.3, p=0.26$ ) (Figure 1). In linear regression models, group effects remained significant ( $p<0.05$ ) for perceived control (Table 2) and pain interference (Table 3) and a trend was observed for pain severity ( $p<0.10$ ) after controlling for baseline differences in weight and gender.

## Discussion

### Perceived control

Significant improvements in perceived control occurred in the CBT group compared to the UC group, even after controlling for group differences (Figure 1). It is likely that cognitive reframing of negative thoughts, an integral part of CBT, helped participants to accept more balanced assessments of their control over their cardiac health. Only two studies have investigated the role of perceived control in the context of CBT for depression. A recent report of a randomized trial of CBT in individuals with non-cardiac chest pain found that illness perceptions and personal control accounted up to 50% of the change in post-CBT depressive symptoms.<sup>37</sup> In a study on online self-help CBT for individuals with depressive symptoms, investigators found that perceived control mediated the outcomes of the program on depressive symptoms.<sup>38</sup> Further study is needed to determine what elements or activities in CBT are associated with positive changes in perceived control and to investigate further the relationship of perceived control and depressive symptoms in CBT for cardiac patients.

### Pain

We found that a CBT intervention directed at reducing depressive symptoms also reduced pain interference and pain severity. With respect to pain, CBT has been studied primarily as an intervention for chronic pain in such conditions as back pain and fibromyalgia.<sup>39-43</sup> Our study differed from these reports in two ways. First, the focus of CBT in this report was depressive symptoms, rather than pain. Second, our post cardiac surgery cohort, recruited at hospital discharge, was likely to experience acute or sub-acute postoperative pain. However, chronic pain is relevant for this population because chronic postoperative pain occurs in up to 55% of cardiac surgery patients.<sup>44</sup> In some studies of CBT for chronic pain, investigators reported that CBT decreased catastrophizing and increased coping with pain and that these changes mediated improvements in functioning and daily pain intensity.<sup>39,43</sup> These mechanisms are consistent with our findings that CBT for depression decreased pain interference and severity levels. A recent study suggests that CBT may act to reduce acute postoperative pain by reducing catastrophizing.<sup>45</sup>

There have been few studies of CBT for acute or postoperative pain. An experimental study of healthy young adult volunteers showed that cognitive reappraisal training produced

increased anticipatory psychological appraisals of self-efficacy and control in response to a physical pain cold pressor task, but did not change ratings of pain intensity.<sup>46</sup> This is consistent with our finding that CBT reduced pain interference and supports the hypothesis that cognitive reframing may be a mechanism of this change. Cognitive reframing in CBT may have helped participants to cope better with acute and sub-acute pain, so that they were able to adhere to postoperative recommendations, such as taking analgesics or attending cardiac rehabilitation.<sup>47</sup> More study with at least three months of follow-up is needed to further explore the mechanisms by which CBT may have acted to reduce postoperative pain interference.

### **Sleep disturbance**

We did not find any differences in overall sleep quality in CBT vs UC groups. Few studies have evaluated directly the effect of CBT for depression on sleep outcomes. However, pain and sleep disturbance are related,<sup>48</sup> so it is noteworthy that CBT for depression improved pain, but not sleep disturbance. Several factors may contribute to this finding. First, we used self-report measures for sleep. Some reports show that differences between subjective and objective sleep reports may be influenced by psychosocial factors and affect.<sup>49</sup> Second, the postoperative period is associated with changes in Circadian rhythms, which could have influenced sleep disturbance and pain in different ways.<sup>50</sup> Third, other forms of pain, such as chronic pain from preexisting comorbidities, may contribute disproportionately to sleep quality after surgery.<sup>51</sup> All of these factors could have influenced patients' responses to CBT for depression. In addition, previous reports found that patients who experienced remission of major depression after either CBT or antidepressant therapy continued to have ongoing sleep disturbances, including sleep-onset insomnia (22%), sleep-maintenance insomnia (26%), and early awakening (17%).<sup>52</sup> These findings suggest that therapists need to be more attuned to sleep disturbances in the context of depression, especially because sleep disturbance has been associated with depression relapse.<sup>53,54</sup> Conversely, relatively new reports suggest that CBT for insomnia may have positive effects on depressive symptoms.<sup>55</sup>

Another explanation for our findings related to both pain and sleep may be in the concept of symptom clusters. Symptom clusters are defined as two or more symptoms that are related to each other and occur together and are relatively independent of other clusters.<sup>56</sup> Recent studies in cancer patients using latent class analysis have identified symptom clusters that include pain, fatigue, sleep disturbance and depression.<sup>57,58</sup> Further, these clusters have been associated with pro-inflammatory genetic variations, which suggests that there may be a biological pathway common to the symptoms.<sup>57,59</sup> However, symptom cluster analysis has not been extended to postoperative cardiac patients. Studies of symptoms clusters after coronary artery bypass graft (CABG) are warranted to understand relations among symptoms, identify possible biological pathways, and develop multimodal interventions to relieve symptoms.

### **Limitations**

Our study has several limitations. First, we measured pain with a single instrument and did not distinguish postoperative pain from other chronic pain. Given the age of our sample, it is likely that some participants may have experienced chronic pain, which could have



influenced their responses to the intervention. Distinguishing non-surgical chronic pain (i.e. neuromuscular or skeletal pain) from acute or sub-acute postoperative pain may have provided insight into the effect of CBT on both pain severity and pain interference. Second, we had a relatively small sample. Consequently, our study may have been underpowered to find effects of CBT on sleep. Also, we included only participants who met diagnostic criteria for clinical depression. Thus, these findings should be applied cautiously to cardiac surgery patients who have mild to moderate depressive symptoms, but do not meet diagnostic criteria. In our design, we were not able to control for the effect of home visits, which may have contributed to the impact of our intervention. Finally, we conducted pre- and post-test evaluations only. Further study is needed to elucidate relationships of CBT to perceived control, pain, and depressive symptoms, to evaluate the feasibility of visiting nurse CBT as a part of standard treatment, and to study the long-term effect of CBT for depression on quality of life and overall recovery after cardiac surgery.

## Conclusions and clinical implications

Our study has important clinical and research implications for postoperative nursing and medical management of depressed cardiac surgery patients. Importantly, our study shows that CBT for depression has multiple benefits in this population. Although the intervention was intended to treat depression and its symptoms, it also yielded positive results for perceived control, which has been linked to quality of life. Improvements in pain interference were also noted, which suggests that CBT for depression may have improved coping skills related to pain. Further study is needed to elucidate relationships of CBT to perceived control, pain, and depressive symptoms, to evaluate the clinical importance of CBT for depression on pain and perceived control in specific settings, and to study the long-term effect of CBT for depression on quality of life and overall recovery after cardiac surgery.

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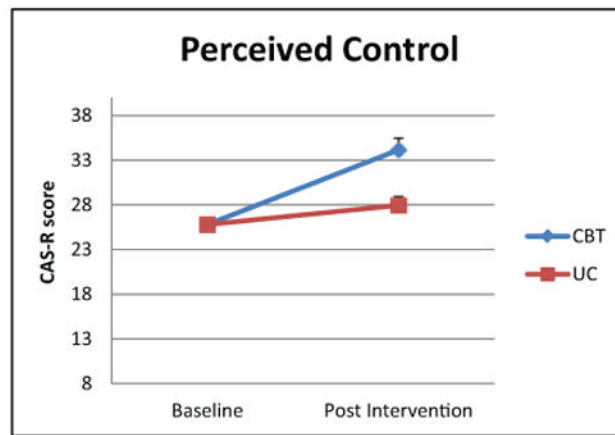


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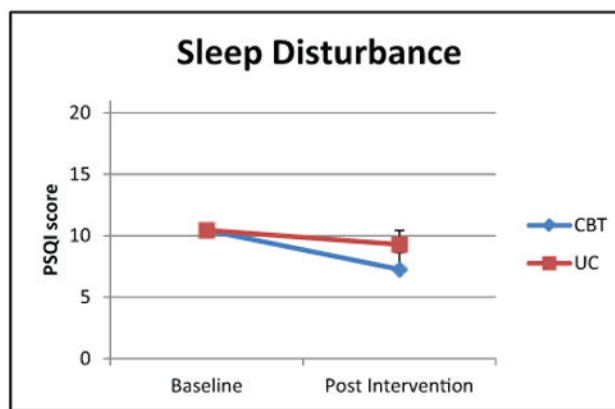
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### Implications for practice

- Cognitive behavioral therapy for depression has multiple benefits for depressed cardiac surgery patients.
- Cognitive behavioral therapy for depression improves perceived control, which has been linked to quality of life.
- Cognitive behavioral therapy for depression may improve coping skills related to pain.

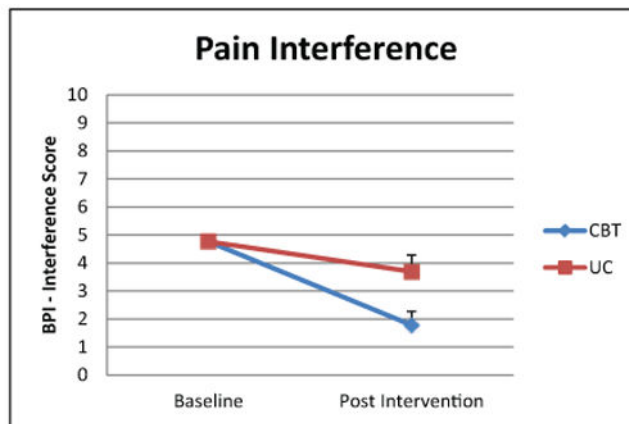


Time x Group Interaction,  $F(1,50)=14.3$ ,  $p<0.001$

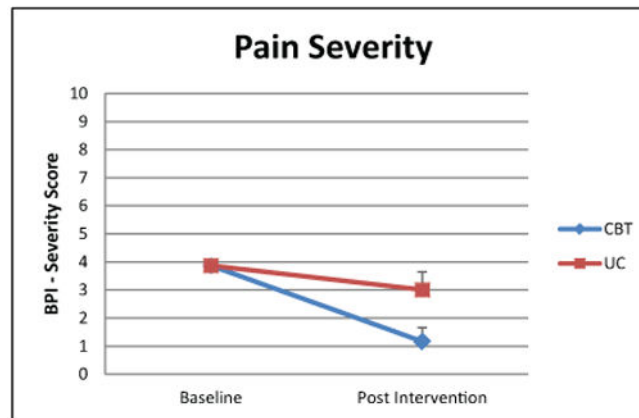


Time X Group Interaction,  $F(1,42) = 1.3$ ,  $p=0.26$

**Figure 1. Changes in perceived control and sleep disturbance over time**  
Scales show estimated marginal means controlling for baseline score and gender. CAS-R: Cardiac Attitude Scale-Revised; CBT: cognitive behavioral therapy; PSQI: Pittsburg Sleep Quality Index; UC: usual care.



Time x Group Interaction,  $F(1,45)=5.4$ ,  $p=0.02$



Time X Group Interaction,  $F(1,46) = 5.1$ ,  $p=0.03$

**Figure 2. Changes in pain over time**

Scales show estimated marginal means controlling for baseline score and gender. BPI: Brief Pain Inventory Short Form; CBT: cognitive behavioral therapy; UC: usual care.

**Table 1**

Sample characteristics.

Variable	CBT <i>n</i> =33	Usual care <i>n</i> =20	<i>p</i>
	Mean±SD	Mean±SD	
Age (years)	63.9±7.8	68.4±9.0	0.06
Time from surgery to enrollment (days)	16.8±20.6	9.50±7.6	0.13
Weight (kg)	87.7±16.2	77.0±16.8	0.03
BMI (kg/m <sup>2</sup> )	29.8±7.7	26.2±5.0	0.07
	<b>No (%)</b>	<b>No (%)</b>	
Female	3 (9.1)	6 (30)	0.05
Single	8 (24.2)	5 (25.0)	0.95
Minority	11 (33.3)	6 (30)	0.80
Non-elective surgery	11 (33.3)	10 (50)	0.23
On antidepressants	12 (36.4)	7 (35.0)	0.92
History of depression	11 (33.3)	6 (30.0)	0.80
Major depression	23 (69.7)	16 (80.0)	0.27
BDI <sup>a,b</sup>			
Pre	17.4±9.8	14.9±10.5	0.39
Post	8.6±9.6	17.6±10.8	0.003
BPI–Interference <sup>a,b</sup>			
Pre	5.3±3.1	3.8±3.2	0.10
Post	1.9±2.3	3.4±3.4	0.08
BPI–Severity <sup>a,b</sup>			
Pre	4.0±2.2	3.4±2.7	0.37
Post	2.1±2.1	2.5±2.4	0.49
CAS-R <sup>a,c</sup>			
Pre	26.6±5.6	24.5±7.7	0.26
Post	34.4±5.6	27.6±6.5	<.001
PSQI <sup>a,b</sup>			
Pre	10.6±4.4	10.4±4.8	0.88
Post	7.7±4.3	9.2±5.8	0.30

<sup>a</sup>Ranges of possible scores: 0–63 (BDI), 0–10 (BPI), 8–40 (CAS-R), 0–21 (PSQI).

<sup>b</sup>Higher scores indicate greater symptom severity.

<sup>c</sup>Higher scores indicate greater control.

BDI: Beck Depression Inventory; BMI: body mass index; BPI: Brief Pain Inventory; CAS-R: Control Attitudes Scale-Revised; PSQI: Pittsburgh Sleep Quality Index; CBT: cognitive behavioral therapy; PSQI: Pittsburgh Sleep Quality Index; SD: standard deviation.



Effect of cognitive behavioral therapy (CBT) on perceived control controlling for potentially confounding variables.

**Table 2**

Model	R2	Adjusted R2	R square change	F change	Sig F change	
	0.13	0.08	0.13	2.47	0.07	
	<b>Beta</b>	<b>Std error</b>	<b>Standardized beta</b>	<b>T</b>	<b>Sig</b>	<b>95% confidence interval</b>
Constant	-4.34	5.79		-0.75	0.46	-15.99 7.31
Female	3.94	3.00	0.20	1.31	0.20	-2.09 9.97
Weight (kg)	0.08	0.07	0.18	1.21	0.23	-0.05 0.22
CBT group	4.64	2.22	0.30	2.09	0.04	0.19 9.09

Effect of cognitive behavioral therapy (CBT) on pain interference controlling for potentially confounding variables.

**Table 3**

Model	R2	Adjusted R2	R square change	F change	Sig F change	
	0.17	0.12	0.17	3.15	0.03	
	<b>Beta</b>	<b>Std error</b>	<b>Standardized beta</b>	<b>T</b>	<b>Sig</b>	<b>95% confidence interval</b>
Constant	0.34	2.69		0.13	0.90	-5.06 5.75
Female	0.39	1.44	0.04	0.27	0.79	-2.51 3.30
Weight (kg)	-0.01	0.03	-0.06	-0.38	0.71	-0.08 0.05
CBT group	-2.7	1.06	-0.37	-2.56	0.01	-4.84 -0.58