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Restoration of lung surfactant protein D by IL-6 protects against secondary pneumonia following hemorrhagic shock

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Summary

Objectives—To identify novel approaches to improve innate immunity in the lung following trauma complicated by hemorrhagic shock (T/HS) for prevention of nosocomial pneumonia.

Methods—We developed a rat model of T/HS followed by *Pseudomonas aeruginosa* (PA) pneumonia to assess the effect of alveolar epithelial cell (AEC) apoptosis, and its prevention by IL-6, on lung surfactant protein (SP)-D protein levels, lung bacterial burden, and survival from PA pneumonia, as well as to determine whether AEC apoptosis is a consequence of the unfolded protein response (UPR). Lung UPR transcriptome analysis was performed on rats subjected to sham, T/HS, and T/HS plus IL-6 protocols. Group comparisons were performed via Kaplan–Meier or ANOVA.

Results—T/HS decreased lung SP-D by 1.8-fold ($p < 0.05$), increased PA bacterial burden 9-fold ($p < 0.05$), and increased PA pneumonia mortality by 80% ($p < 0.001$). IL-6, when provided at resuscitation, normalized SP-D levels ($p < 0.05$), decreased PA bacterial burden by 4.8-fold ($p < 0.05$), and prevented all mortality from PA pneumonia ($p < 0.001$). The UPR transcriptome was significantly impacted by T/HS; IL-6 treatment normalized the T/HS-induced UPR transcriptome changes ($p < 0.05$).

Conclusions—Impaired innate lung defense occurs following T/HS and is mediated, in part, by reduction in SP-D protein levels, which, along with AEC apoptosis, may be mediated by the UPR, and prevented by use of IL-6 as a resuscitation adjuvant.

Keywords

Unfolded protein; response; Hemorrhagic shock; Pneumonia; Alveolar epithelial cell

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Introduction

Nosocomial pneumonia is the most common cause of death in patients suffering trauma complicated by hemorrhagic shock (T/HS) who survive their initial injuries.¹ While significant strides have been made in identifying the clinical findings and laboratory parameters associated with onset of pneumonia following traumatic injuries, the molecular basis for predisposition to pneumonia in T/HS is not fully understood. The concept of immune paralysis or immunodepression in patients following T/HS has growing support.^{2,3} However, details of how immunodepression develops and its subsequent impact on the host have not been fully elucidated, particularly with regards to innate immunity, nor have specific measures emerged to prevent it.

A key component of innate immune defense in the lung is surfactant protein (SP)-D. SP-D is a member of the collectin family of proteins, which have a carboxy-terminal domain with calcium-dependent lectin activity. This lectin domain mediates the lectin:pathogen interaction, leading to pathogen aggregation, opsonization and enhanced pathogen phagocytosis, as well as a direct bactericidal effect.⁴ SP-D has been shown to be critical in the innate host defense of the lung protecting against various inhaled pathogens and allergens.^{5,6} Indeed, SP-D null mice have demonstrated increased susceptibility to multiple pathogens,⁷ and SP-D has been shown to bind and aggregate *Pseudomonas aeruginosa*, one of the most commonly encountered pathogens in ventilator-associated pneumonia (VAP).⁸⁻¹⁰

SP-D, as with other surfactant proteins, is largely produced by type II alveolar epithelial cells (AECII).¹¹ AECII are found within the alveolar space, forming the extensive alveolar epithelial lining of the lung in conjunction with type I alveolar epithelial cells (AECI). AECII constantly produce surfactant proteins, such as SP-D, that are extruded into the extracellular space in an exocytic fashion to help maintain the surfactant layer, a key component of innate lung defense.

Using a rat model of T/HS,¹²⁻¹⁵ we previously demonstrated that up to 15% of AECII undergo apoptosis in the acute post-resuscitative phase, and that AECII injury/apoptosis can be prevented when IL-6 is used as a resuscitative adjuvant through a Stat3-mediated mechanism.¹⁴ In this report, we investigated the hypothesis that AECII injury/apoptosis contributes to pneumonia susceptibility in T/HS and that this contribution is mediated, in part, through reductions in SP-D levels. We found that T/HS decreased lung SP-D levels by almost half, which was associated with a 9-fold increase in lung bacterial burden and a 80% increase in mortality from PA pneumonia. IL-6, when provided at resuscitation to T/HS rats, normalized lung SP-D levels, decreased bacterial burden, and prevented all mortality from PA pneumonia. Analysis of the UPR transcriptome supports the hypothesis that the UPR contributes to AECII apoptosis following T/HS and its prevention by IL-6. These findings provide new opportunities for preventing nosocomial pneumonia in shock/trauma patients including use of IL-6 as a resuscitation adjuvant or administration of clinically available proteostasis modulators.

Methods

Rat T/HS protocol

These studies were approved by the Baylor College of Medicine Institutional Review Board for animal experimentation (Protocol AN-1980) and conform to National Institutes of Health guidelines for the care and use of laboratory animals. Adult male Sprague–Dawley rats were obtained from Harlan (Indianapolis, IN). Rats were subjected to the sham or T/HS protocols, as described^{12–14,16,17} with modifications. Under inhaled isoflurane anesthesia, both superficial femoral arteries (SFA) were cannulated. The right SFA site was used for continuous blood pressure monitoring and the left SFA site was used for blood withdrawal and fluid administration. Animals subjected to T/HS, underwent an initial bleed of 2.25 ml/100 g body weight over 10 min to achieve a target mean arterial blood pressure (MAP) of 35 mmHg, maintained for a period of ~3 h (mean duration = 191 ± 2.5 min) by episodically withdrawing or returning shed blood. Sham rats were anesthetized and cannulated for a total of ~4 h (a time period encompassing both the hypotensive and resuscitation phases), but were not subjected to hemorrhage or resuscitation. At the end of the hypotensive period, rats in the T/HS-PBS groups were resuscitated with our standard protocol (infusion of the remaining shed blood and two times the total shed blood volume with Ringer's lactate over 30 min starting with a 0.1 ml bolus of PBS) or they received IL-6 (10 µg/kg in 0.1 ml PBS via intra-arterial catheter) at the start of standard resuscitation (T/HS-IL6). We previously performed dose-response-studies, in which four doses of IL-6 (1, 3, 10 and 30 µg/kg) were tested, and demonstrated that 10 µg/kg was the optimum concentration to prevent organ injury (Tweardy et al., 2002, unpublished). To assess the effect of T/HS without or with IL-6 on the UPR transcriptome, 4 rats from each group were sacrificed 1 h after the start of resuscitation while under anesthesia and their lungs were harvested for RNA isolation and microarray analysis. To assess the effect of sham or T/HS without or with IL-6 on susceptibility to pneumonia, femoral wounds were closed surgically and anesthesia was reversed. Animals were given analgesia, returned to their cages, observed overnight and allowed to ambulate and feed *ad libitum* before subjecting them to the PA pneumonia protocol (see below). The survival rate in rats subjected to the T/HS protocol without or with IL-6 is ~100% at 24 h and beyond.

Bacterial strain and inoculum preparation and quantification

P. aeruginosa (PA) strain ATCC-27853 (PA; a kind gift from Dr. John Alverdy, University of Chicago, IL) was used in all experiments. The target inoculum size of 3×10^7 CFU was determined as optimal in dose-survival experiments (0.03, 0.1, 0.3, and 1×10^9 CFU) in normal healthy Sprague–Dawley rats based on earlier studies in a rat intra-tracheal inoculum PA pneumonia model.^{18,19} The mortalities observed with each inoculum were 17%, 20%, 75%, and 100%, respectively. The 17% mortality observed with 3×10^7 CFU was assessed as optimum based on our earlier results demonstrating increased susceptibility to intraperitoneal *Staphylococcus aureus* infection in mice following T/HS.²⁰ The target inoculum size (3×10^7 CFU) was obtained by a broth culture prepared by isolating a single colony from an agar plate grown at 37 °C for 15–17 h in trypticase-soy agar (TSA; Becton, Dickinson and Company, Sparks, MD, USA) and inoculating it into trypticase-soy broth (TSB; Becton, Dickinson and Company, Sparks, MD, USA). The broth was incubated at 37

°C and the optical density (OD) measured to achieve the OD corresponding to the target inoculum size, which was then confirmed by serial dilution and culture on TSA plates.

T/HS-pneumonia protocols

Twenty-four hours after being subjected to the sham or T/HS protocol without or with IL-6, rats were given a sublethal dose of PA (mean inoculum size $3.1 \pm 0.2 \times 10^7$ CFU) through the transtracheal route. Briefly, a 1 cm incision in the anterior aspect of the neck was done under 2% isoflurane anesthesia, the fascia and muscle layers were dissected and the trachea exposed. The bacterial inoculum in a volume of 0.2 ml of PBS was transtracheally instilled through a 22-gauge needle inserted into the trachea, followed by 0.5 of air for uniform inoculum distribution. The incision was surgically closed. Rats were administered analgesia, allowed to recover in their cages, and observed every 6 h for 48 h to quantify survival (survival protocol; Fig. 1) or sacrificed 4 h after intratracheal inoculation and lungs harvested for lung bacterial burden quantification (bacterial burden protocol, Fig. 2). After sacrifice, lungs from rats subjected to the bacterial burden protocol were collected, weighed, and homogenized in 2 ml PBS. Serial log dilutions of organ homogenate (1:10, 1:100, and 1:1000) were made and plated on TSA plates in duplicate. Plates were incubated at 37 °C overnight after which bacterial CFU were counted. Results are presented as CFU/gm tissue weight.

Lung protein extraction and protein quantitation

Frozen lungs of rats subjected to sham or T/HS protocol without or with IL-6 and harvested at 1 h after end of resuscitation were cut by cryotome, resuspended in high salt buffer, and sonicated in ice 3 times, 10 s each, as previously described.¹²⁻¹⁵ Samples were then centrifuged 15 min at 5000 RPM and the supernatant collected and evaluated by Bradford assay for total protein quantification.

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Myeloperoxidase (MPO) staining

Paraformaldehyde-fixed and paraffin-embedded lung sections were rehydrated from Xylene to PBS through a series of decreasing concentrations of ethanol and placed in a DAKO autostainer. MPO rabbit polyclonal antibody (Lab Vision, Corp.) was used at the provided concentration. The horseradish peroxidase (HRP) system for rabbit antibodies was used as per the manufacturer's instructions. Slides were counterstained with hematoxyllin. MPO-positive cells were assessed microscopically in 20 random 1000× high power fields (hpf) by an experienced histologist. Data is presented as the number of MPO-positive cells/hpf.

Immunoblotting

Levels of surfactant protein (SP)-D in high-salt protein extracts of frozen lungs were assessed by immunoblotting with mouse monoclonal antibody to SP-D (Santa Cruz

Biotechnology, Santa Cruz CA). Protein samples—total lung protein (50 µg), recombinant rat SP-D (2.5 µg) and protein standards (SeeBlue® Plus2 Pre-stained Standards, Invitrogen; 7 µl) were separated by Tris-Glycine SDS-PAGE and transferred to a PVDF membrane. Recombinant rat SP-D was purified from CHO K1 cells and was variably glycosylated²¹; it was the kind gift of Dr. Erika Crouch, Washington University, St. Louis, MO. The membrane was incubated overnight with mouse monoclonal antibody and subsequently incubated with goat anti-mouse antibody conjugated with horseradish peroxidase (HRP; Zymed, San Francisco, CA) for 1 h. ECL agent (Amersham Biosciences, UK) was used for detection. Densitometry was performed using ImageJ 1.4g software (National Institutes of Health, Bethesda, MD).

Microarray analysis

Gene expression profiling was performed with the Affymetrix Rat Array RAE 230A genechip following Affymetrix protocols used within the Baylor College of Medicine Microarray Core Facility. Genechips were hybridized with RNA isolated from the lungs of rats within each of three groups—sham, T/HS-PBS and T/HS-IL6—one chip for each of four lung RNA samples per group. Total RNA was isolated from 4 to 5 micron cryotome sections of each lung using TRIzol® Reagent (Invitrogen, Carlsbad, California) single step RNA isolation protocol followed by purification with RNeasy® Mini Kit (QIAGEN, Hilden, Germany) as instructed by the manufacturer. We used GenespringGX (Agilent Technologies Inc, Santa Clara CA) software package for quality assessment, statistical analysis and annotation. Low-level analyses included background correction, quartile normalization and expression estimation using RMA-based analysis within Genespring. One-way analysis of variance (ANOVA) with contrasts was used for group comparisons on all genes and on the list of UPR entities. *p*-Values were adjusted for multiple comparisons using the Benjamini-Hockberg method. The adjusted *p*-values represent false discovery rates (FDR) and are estimates of the proportion of “significant” genes that are false or spurious “discoveries”. We used a False Discovery Rate (FDR) of 5% as cut-off. RAE 230A genechips each contained 15,923 probe sets representing 13,521 annotated genes or expressed sequence tags. A UPR gene entity list was created using both Ingenuity Pathway Analysis (IPA® Redwood City, CA) and the Gene Ontology Database®, with keywords “endoplasmic reticulum stress, unfolded protein response”.

Statistical analysis

Data are presented as mean ± standard error of the mean (SEM). Multiple group comparisons of means were done by one-way analysis of variance (ANOVA) and the Student–Newman–Keuls test. Survival analysis was done by Kaplan–Meier test.

Results

T/HS increased mortality and bacterial burden in a model of *P. aeruginosa* pneumonia

To determine the effect of T/HS on susceptibility to PA pneumonia, we developed a pre-clinical model of T/HS combined with PA pneumonia in rats (Fig. 1A). Rats were subjected to the sham or T/HS protocol followed 24-h later by transtracheal inoculation of PA. After

inoculation, rats were observed for survival for 72 h. Remarkably, survival in T/HS rats was decreased by 80% compared to sham rat group ($p < 0.001$, Kaplan–Meier analysis; Fig. 1B).

To determine if the cause of increased mortality observed in T/HS rats is due to increased bacterial burden in the lungs of these animals, we measured bacterial numbers in the homogenates of lungs of the rats subjected to sham or T/HS protocols 4 h after transtracheal inoculation of PA (Fig. 2). The PA CFU/gram of lung tissue was 9-fold higher in the rats subjected to T/HS protocol compared to sham rats ($p < 0.05$, ANOVA) strongly suggesting that the increased mortality of T/HS mice following PA inoculation was due to increased bacterial burden.

To assess if the increased bacterial burden in T/HS rats is due to a decrease in infiltrating neutrophils resulting in impaired clearance of bacteria, we performed myeloperoxidase staining of lung sections 4 h after inoculation with PA. The number of MPO-positive cells in the lungs of PA-infected T/HS rats (35 ± 5 cells per $1000\times$ field; mean \pm SEM) was identical to the number of MPO-positive cells in the lungs of PA-infected sham rats (34 ± 4 cells per $1000\times$ field; mean \pm SEM). In addition to there being no difference between groups in the number of MPO-positive cell within the lung, the distribution of MPO-positive cells within the alveoli and lung interstitium was similar (data not shown). Thus, the increased bacterial burden in T/HS rats was not due to differences in the quantity or distribution of PMN recruitment into the lung.

We previously demonstrated that AECII were the predominant cell type that underwent apoptosis following T/HS.¹⁴ Since AECII produce SP-D, which increases PMN-mediated phagocytosis of bacteria including PA, we assessed SP-D levels in the lungs of T/HS and sham rats (Fig. 3). SP-D levels in the lungs of rats subjected to T/HS were reduced by 50% compared to lungs of sham rats at 24 h ($p < 0.05$, ANOVA). Thus, our data suggest that the increase in bacterial burden in the lungs of T/HS rats is due to reduced levels of SP-D in the lungs.

Administration of IL-6 as a resuscitation adjuvant in T/H rats prevented *P. aeruginosa* pneumonia mortality, reduced bacterial lung burden, and normalized SP-D levels in the lung

We previously demonstrated that IL-6 administration as a resuscitation adjuvant induced activation of Stat3, particularly Stat3 α , within lung parenchymal cells resulting in protection against alveolar epithelial cell apoptosis following T/HS.¹⁴ To assess if this prevention of apoptosis by IL-6 also affected nosocomial pneumonia mortality, rats were subjected to our T/HS protocol with IL-6 administered as a resuscitation adjuvant, followed 24 h later by transtracheal inoculation of PA (Fig. 1B). Impressively, animals that received IL-6 were completely protected against mortality ($p < 0.001$, Kaplan–Meier analysis). When we assessed how IL-6 affected bacterial burden (Fig. 2B), we found that lung bacterial burden was decreased by 4.8-fold in T/HS-IL6 rats compared to lung bacterial burden in T/HS-PBS rats ($p < 0.05$, ANOVA) to levels statistically indistinguishable from sham rats inoculated with PA.

To determine if lung bacterial burden was reduced in IL-6-treated T/HS rats as a result of restoration of SP-D levels, we assessed the effect of IL-6 treatment on SP-D levels in the lung. Densitometry analysis of immunoblots of whole lung homogenates from IL-6-treated T/HS rats (Fig. 3) revealed that IL-6 treatment prevented the reduction in pulmonary SP-D seen in T/HS animals ($p < 0.05$, ANOVA) with SP-D protein levels in the lungs of IL-6-treated T/HS rats being equivalent to sham animals.

The UPR is significantly altered in the lung following T/HS and demonstrated normalization when IL-6 is used as a resuscitation adjuvant

The molecular mechanisms underlying T/HS-induced AECII apoptosis are not understood. We previously investigated the potential contribution of the classical intrinsic and extrinsic pathways to lung apoptosis in T/HS and demonstrated that T/HS altered the expression of many of intrinsic and extrinsic apoptosis pathway-related genes and that IL-6 treatment normalized expression of the majority of those genes altered by T/HS through a Stat3-dependent mechanism.¹⁴ However, which genes were critical for apoptosis of AECII and its prevention, if any, were not specifically delineated in these studies.

The unfolded protein response (UPR) is a critical homeostatic mechanism for highly secretory cells such as AECII. Recently, the UPR has been described as a major cause of apoptosis when secretory cells are exposed to overwhelming or prolonged endoplasmic reticulum (ER) stress as would occur in T/HS. Since a central feature of the UPR is activation of several key transcription factors such as DDIT3 (CHOP), ATF4, ATF6, and XBP1, we investigated the impact of T/HS on the ER stress response at the transcriptome level by mining oligonucleotide microarray (Affymetrix) data previously obtained and archived by us from the lungs of 3 groups of rats: Sham, T/HS-PBS, and T/HS-IL6, sacrificed 1 h after the end of resuscitation.¹⁴ A broad 185-gene UPR-associated entity list was generated following an extensive literature review and with the help of Ingenuity Pathway Analysis (IPA[®]). Of the 185-gene UPR set, 113 distinct gene entities were annotated and expressed across the chips after spot duplicates were removed. Importantly, the three experimental groups self organized based on this UPR-associated gene entity list expression (Fig. 4).

In order to determine the effect of the UPR on the observed T/HS-induced lung apoptosis and its prevention with IL-6, we performed intergroup comparisons of the transcriptome profiles within these groups. Within the list of 113 genes expressed by all groups, 65 (57%) were significantly impacted by T/HS when compared to Sham animals (ANOVA, $p < 0.05$). When we assessed for IL-6 responsive transcripts that proved significantly impacted by T/HS, we found that 53 (47%) entities were significantly altered in both T/HS vs. Sham and T/HS-IL6 vs. T/HS comparator groups. Thirty-two of these UPR-associated genes demonstrated 1.5-fold change in T/HS vs. Sham, 14 of which are considered pro-apoptotic (Table 1). Taking the known apoptotic function of these genes into context, we demonstrated that 86% (12 of 14) of the UPR-associated genes with known pro-apoptotic function were up-regulated following T/HS and subsequently normalized with IL-6. Among the most up-regulated genes within this intergroup comparison were pro-apoptotic UPR members, eukaryotic translation initiation factor 2-alpha kinase 2 (EI-F2AK2; increased 4.7

fold by T/HS), DNA-damage-inducible transcript 3 (DDIT3; increased 2.5 fold by T/HS; also known as C/EBP-homologous protein, CHOP). Canonical UPR members, X-box binding protein 1 (XBP1) and activating transcription factor 4 (ATF4) also were both increased by 1.5 fold by T/HS when compared to sham (Table 1). In animals in which pulmonary cell apoptosis was prevented by receiving IL-6 at resuscitation, we find that these potentially pro-apoptotic UPR member transcripts (EIF2AK2, DDIT3, XBP1, and ATF4) are reduced to levels statistically indistinguishable from sham levels, strongly suggesting a contribution to prevention of pulmonary cell apoptosis (Table 1).

Discussion

To investigate the impact of T/HS on the innate host defense of the lung, we developed a rodent model of secondary *P. aeruginosa* pneumonia following T/HS. We demonstrated in this model that T/HS increased lung bacterial burden 9-fold and resulted in an 80% increase in mortality. Neutrophil recruitment to the lung was not altered in infected T/HS lungs compared to infected sham lung to explain impaired bacterial clearance; rather, lung SP-D protein levels were decreased by nearly 50%. Use of IL-6 as a resuscitation adjuvant prevented the decrease in lung SP-D, reduced lung PA bacterial burden nearly 5-fold and completely prevented PA-mediated mortality. The UPR transcriptome of the lung was found to be significantly impacted by T/HS and to be normalized when IL-6 is given as a resuscitative adjuvant. These findings indicate that there is a maladaptive reduction in innate lung defense in T/HS mediated by a reduction in SP-D, which accompanies AECII apoptosis and contributes to increased susceptibility to pneumonia. AECII apoptosis may be mediated by the UPR, which, along with increased susceptibility to pneumonia, may be prevented by use of IL-6 as a resuscitative adjuvant.

AECII are referred to by some authors^{22,23} as the “Defender of the Alveolus”. They contribute to the mechanical viability of the alveolus by producing the pulmonary surfactant layer and are responsible for restoring injured alveolar epithelium. In addition, they have a unique role in the innate immunity of the lung. AECII have been shown to contribute to host defense by secreting anti-inflammatory and anti-microbial proteins into the alveolar space.^{22,24} Most notable of these anti-microbial proteins are the collectins, SP-A and SP-D. SP-D, in particular, is known to enhance phagocytosis of *Pseudomonas*.^{25,26} Given our previous findings that AECII was the pulmonary cell type undergoing the majority of the apoptosis caused by T/HS,¹⁴ we hypothesized that loss of these cells may contribute to impaired innate host defense of the lung following T/HS. We began to address the role of the AECII in innate host defense by determining levels of SP-D in the lung of animals in our model of T/HS. SP-D is a good marker of AECII function as these cells are the predominant source of SP-D production. SP-D was reduced by nearly 50% in the whole lung of animals undergoing T/HS when compared to sham, which provides a unifying explanation for our observed findings that a relative deficiency of SP-D in the lung allows for increased PA growth leading to increased bacterial burden and increased pneumonia mortality.

Supporting the hypothesis that decreased SP-D predisposes to pneumonia is a recent study demonstrating that children with absent SP-D more frequently have pneumonia²⁷ and a recent study of acute lung injury caused by intestinal ischemia/reperfusion injury, which

demonstrated a significant reduction of SP-D in the lung by immunohistochemistry staining.²⁸ Similarly, other investigators have shown that early in the evolution of acute lung injury, alveolar epithelial cell death leads to decreased production and increased clearance of SP-D.^{29,30}

We have previously demonstrated the ability of IL-6-stimulated Stat3 to prevent AECII apoptosis following T/HS.¹⁴ The exact mechanism of this protection is not fully understood despite prior investigation into the intrinsic and extrinsic cell death pathways.¹⁴ Given the critical role of the UPR in highly secretory cell types such as AECII and its ability to drive these types of cells into apoptosis, we investigated the impact of T/HS with and without IL-6 on the UPR. Our investigation into the UPR suggests that this pathway contributes to the concert of stimuli that leads to cell death within the lung following T/HS. Previous work has demonstrated that the UPR is activated by trauma with hemorrhage demonstrating increased expression of ATF6, PERK, IRE α , and CHOP and is associated with increased apoptosis within the liver.³¹ Utilizing whole organ transcriptomic analysis, we examined the impact of T/HS on the UPR in the lung one hour following resuscitation, when apoptosis is maximal.¹⁴ We identified several canonical members of the UPR with pro-apoptotic functions that demonstrate changes in transcript levels in response to T/HS and IL-6 intervention, which suggest this pathway may contribute to pro-apoptotic signaling. The most significantly impacted UPR members across all experimental comparisons were Eif2ak2, ATF4, CHOP (DDIT3), and XBP-1. ATF4 and XBP-1 have both been shown to be transcriptional activators of CHOP, which has been shown to be a potent stimulator of apoptosis through its downstream targets in many models.^{32–35} Of note, previous work has shown that CHOP signaling mediates LPS-induced lung injury in a mouse model of sepsis, and when over-expressed in lung cell lines leads to increased apoptosis,³² suggesting a role for CHOP in apoptosis of lung epithelial cells in settings of stress including T/HS.

In addition to 12 of the 14 pro-apoptotic gene transcripts that were increased with T/HS vs. Sham and normalized with IL-6 (Table 1), a pattern consistent with their contributing to lung apoptosis in T/HS and the protective effect of IL-6, there were several anti-apoptotic gene transcripts that were increased with T/HS vs. Sham and also were normalized with IL-6 (Table 1). These changes likely are a component of the lung's efforts to maintain homeostasis; T/HS-induced lung apoptosis and its prevention by IL-6 were accomplished in spite of their modulation. However, one UPR-related anti-apoptosis gene, Hspb7, a member of the small heat shock protein family (Table 1), was increased by T/HS vs. Sham and was further increased in the T/HS + IL-6 group while another UPR-related anti-apoptosis gene, Hspa1b, a member of the heat shock protein 70 family (Table 1), was decreased by T/HS vs. Sham and was increased in the T/HS + IL-6 group. Modulations in these two anti-apoptosis genes, perhaps like the 12 pro-apoptotic genes discussed above, also may have contributed to T/HS-induced AECII apoptosis and its prevention by IL-6.

Our results also indicate that IL-6 provides protection against PA pneumonia following T/HS. This protection is due, at least in part, to the ability of IL-6-activated Stat3 to protect AECII against T/HS-induced apoptosis as we previously demonstrated.¹⁴ In this paper, we demonstrate that sparing the AECII from apoptosis maintains SP-D levels in the lung, which

likely contributes to the protection against PA bacterial burden and mortality observed in our model of post-T/HS PA pneumonia.

Nosocomial pneumonia including ventilator-associated pneumonia (VAP) is one of the leading causes of healthcare-associated infection following severe trauma¹ and the most common cause of death in patients surviving the original traumatic injury. VAP following severe trauma is most often due to PA^{8,36,37} with infection with antibiotic-resistant PA^{38,39} and other Gram-negative bacteria⁴⁰ increasing in incidence at an alarming rate. Our model allows for investigation not only of the mechanisms of pathogenesis of pneumonia following T/HS, but also allows us to begin to investigate novel interventions that may prevent PA pneumonia following T/HS. One category of intervention involves agents that might prevent AECII apoptosis and the resultant SP-D deficiency, while another category of intervention involves restoration of impaired innate epithelial cell immunity within the lung. Within the first category is the use of IL-6 as a resuscitation adjuvant. We have established that IL-6 as a resuscitation adjuvant is of clear benefit in preventing organ apoptosis and inflammation in rat and porcine models of T/HS,^{12–15,41} as well as in reducing the severity of illness in pre-clinical models of bloodstream infections.²⁰ In addition to potentially preventing AECII apoptosis and pneumonia susceptibility in T/HS patients, this intervention also may prevent heart and liver dysfunction in T/HS by preventing apoptosis of cardiomyocytes¹² and hepatocytes.¹³ However, the FDA has not approved IL-6 for this or any other indication. An alternative intervention that may be able to prevent AECII apoptosis and subsequent decrease in SP-D is use of proteostasis modulators such as geranylgeranylacetone (GGA; teprenone; Selbex®). GGA is an antiulcer drug that has been used in Japan for over thirty years and has a favorable side effect profile. GGA induces expression of HSP70 and HSP90; HSP90, in particular, has been shown to downregulate apoptosis secondary to UPR.^{42,43} In fact, when given to rats during intracerebral hemorrhage, GGA decreased neuronal cell apoptosis and improved neurological recovery by increasing Stat3 activity.⁴⁴ In studies underway, we are examining the potential benefit of GGA in our rat model of T/HS to determine if it can be used to prevent apoptosis of AECII, reduction in SP-D, and susceptibility to PA pneumonia.

Two potential interventions that may restore lung innate immunity following T/HS-induced AECII apoptosis also are suggested by our findings—one is aerosol administration of SP-D; the second is inhaled Pam2-ODN, a combination of Toll-like receptor (TLR) agonists. Surfactant therapy has been used extensively and successfully in reducing mortality from respiratory distress syndrome of the newborn.⁴⁵ However, a significant proportion of infants born at less than 28 weeks' gestation develop neonatal chronic lung disease. Current surfactant therapies lack SP-D, yet animal models support a role for SP-D in reducing inflammation and infection in the lung, which suggests that supplementation of current surfactant therapies with recombinant forms of SP-D may help offset the risk of development of chronic lung disease. Thus, surfactant preparations containing SP-D may be available in the future to test in T/HS patients for the ability to reduce nosocomial pneumonia. Pam2-ODN consists of Pam2CSK4, a diacylated lipopeptide ligand for TLR2/6, combined with oligonucleotide (ODN) M362, a ligand for TLR9.⁴⁶ Pam2-ODN has been demonstrated to broadly protect mice against otherwise lethal pneumonias including those

caused by *P. aeruginosa* and *Streptococcus pneumoniae*.⁴⁶ Thus, an alternative to SP-D is Pam2-ODN inhalation to therapeutically boost residual lung epithelial cell intrinsic defenses following T/HS and potentially to protect T/HS patients from VAP.

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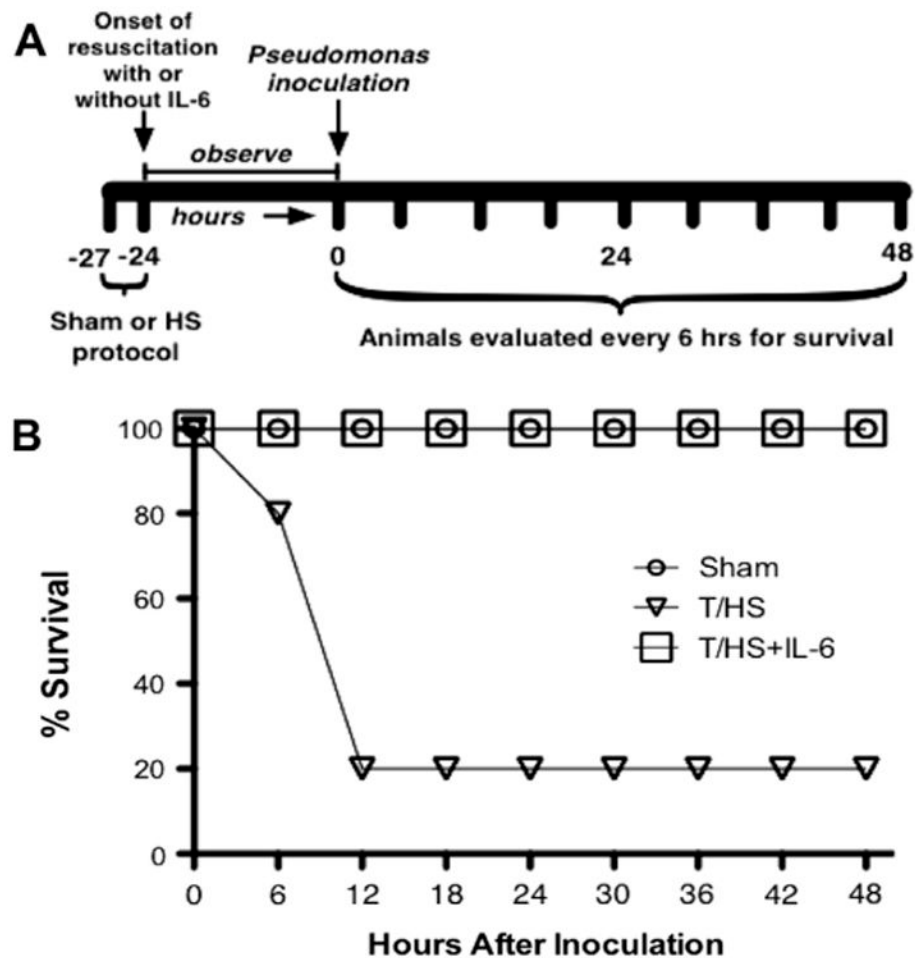


Figure 1. Effect of T-HS on mortality due to PA pneumonia. Panel A depicts the sequence of interventions in the rat pneumonia survival protocol. Rats ($n = 10$ per group) were subjected to either the sham [triangle], T/HS [square], or T/HS + IL-6 resuscitation [circle] protocol over 3 h followed 24 h later by transtracheal inoculation of PA, then observed 48 h for survival. Survival (panel B) of T-HS rats was reduced 80% compared to that of sham rats ($p < 0.001$, Kaplan–Meier analysis); reduction in survival by T/HS was reversed by administration of IL-6 ($p < 0.001$, Kaplan–Meier analysis).

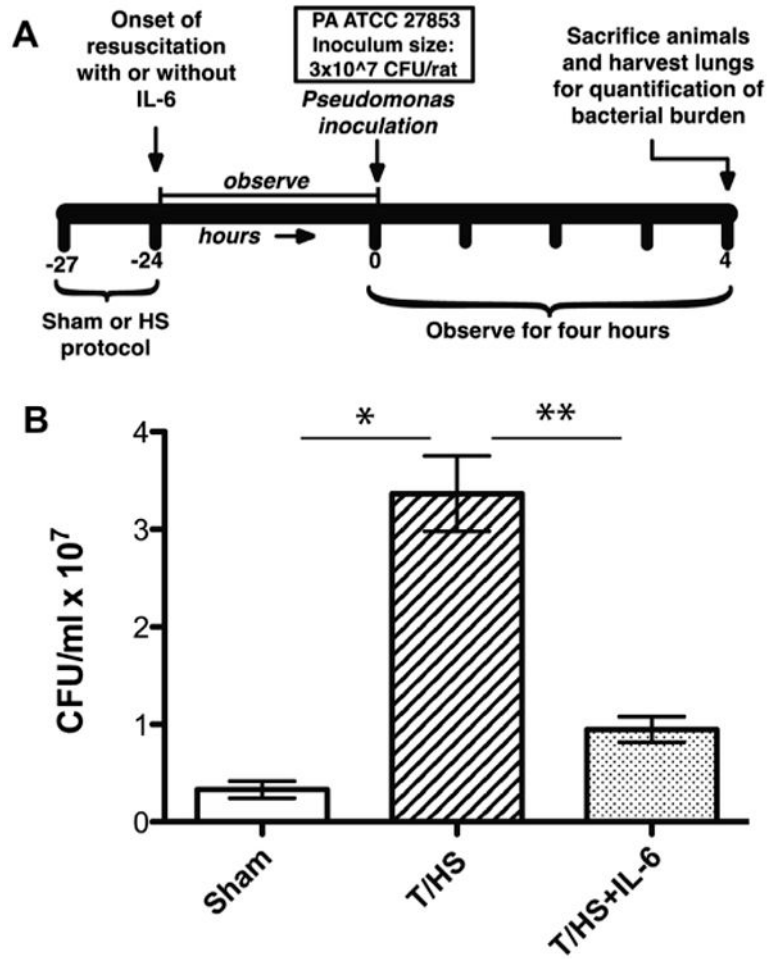


Figure 2. Effect of T-HS on lung bacterial burden in the PA pneumonia. Panel A depicts the sequence of interventions in the rat pneumonia bacterial burden protocol. Rats ($n = 6$ per group) were subjected to either the sham or T/HS protocol over 3 h near the end of which they either received or did not receive IL-6. Twenty-four hr later, rats received a transtracheal inoculation of PA followed by sacrifice 4 h later. Bacterial CFU were counted in lung homogenates. Data are presented as bacteria CFU/gram of lung tissue (mean \pm SEM). Bars with paired single or double asterisks above (*, **) differ significantly ($p < 0.05$, ANOVA).

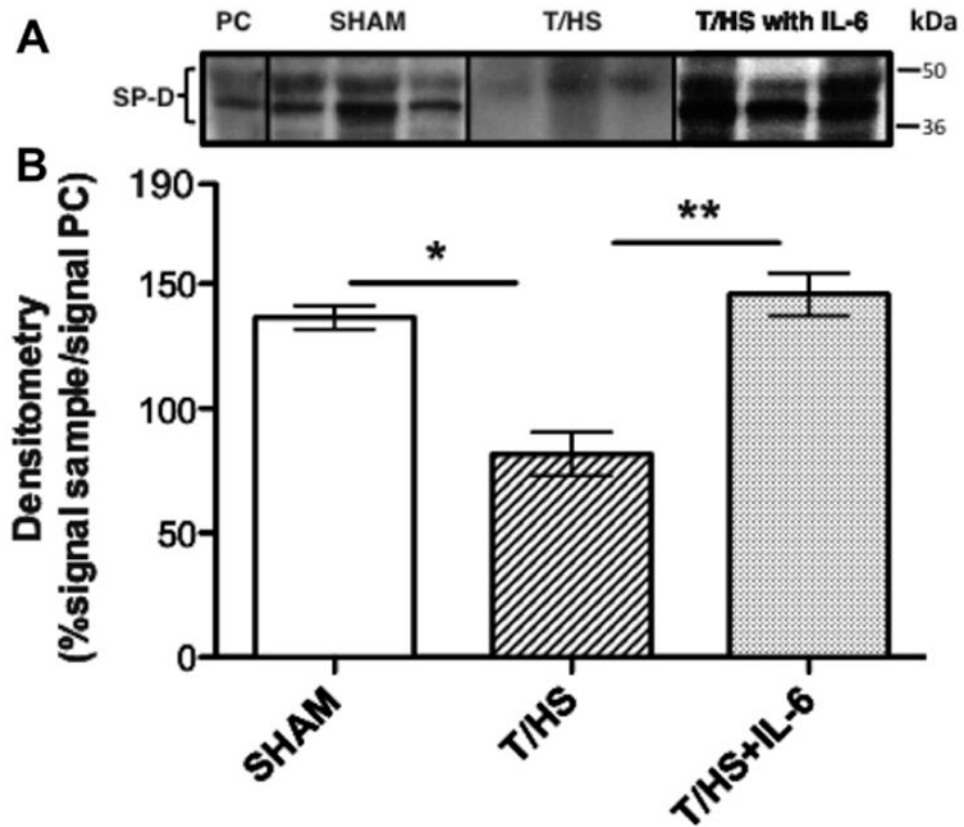


Figure 3.

Effect of T-HS on lung surfactant protein-D (SP-D) levels. Rat recombinant SP-D (2.5 μ g; positive control, PC) or whole lung protein extracts (50 μ g) from rats ($n = 3$ per group) subjected to sham or T/HS protocol were separated by SDS-PAGE and immunoblotted with monoclonal antibodies to SP-D (panel A). The band signal intensity was quantitated by densitometry and reported as a ratio of signal intensity in sample to signal intensity in positive control (PC) times 100. Bars with paired single or double asterisks above (*, **) differ significantly ($p < 0.05$, ANOVA).

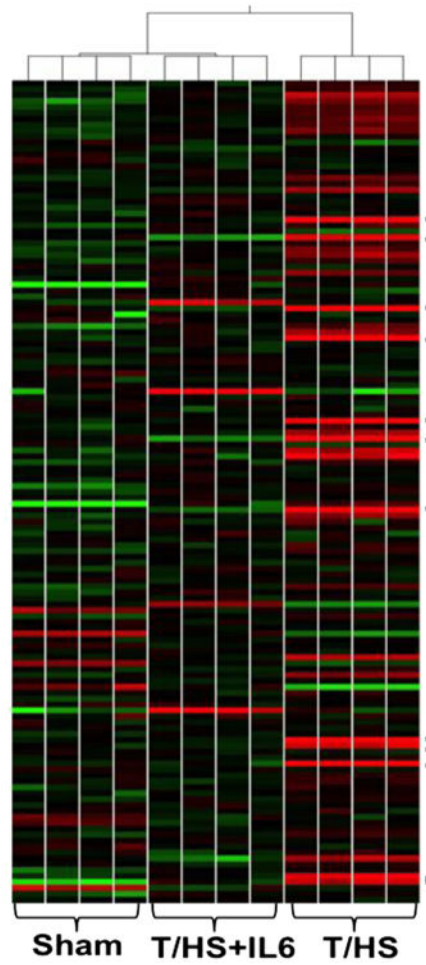


Figure 4.

Self-organizing heat map of UPR transcriptomes. Self-organizing heat map of UPR-associated gene entity list mRNA levels demonstrates clustering of experimental groups ($n = 4$ per group) and relative relatedness based on their expression profiles. Levels of relative expression range from bright green (-2 -fold) to bright red ($+2$ -fold). Genes marked with an asterisk (*) represent the first twelve pro-apoptotic genes listed in Table 1.

Table 1

Fold change comparisons (T/HS vs. Sham, T/HS + IL6 vs. T/HS, and T/HS + IL6 vs. Sham) for mRNA transcript levels with an absolute fold change of 1.5-fold or greater T/HS vs. Sham comparison ($p < 0.05$, ANOVA).

Gene symbol	Apoptotic role	Fold change		
		T/HS vs. Sham	T/HS + IL6 vs. T/HS	T/HS + IL6 vs. Sham
Eif2ak2	pro	4.7	-4.2	1.1
Tnf	pro	2.8	-1.7	1.6*
Ppp1r15a	pro	2.8	-1.3	2.1*
Ddit3	pro	2.5	-3.6	-1.4
Casp12	pro	2.4	-2.3	1.0
Bid	pro	2.1	-1.9	1.1
Casp3	pro	1.6	-2.1	-1.3
Casp7	pro	1.6	-1.6	1.0
Atf4	pro	1.5	-1.4	1.1
Eif2s1	pro	1.5	-1.2	1.3
Tp53	pro	1.5	-1.4	1.1
Xbp1	pro	1.5	-1.2	1.3
Ccnd1	pro	-1.8	1.2	-1.5*
Pik3ip1	pro	-2.3	1.7	-1.4
Apobec1	anti	3.2	-3.9	-1.2
Psmb10	anti	2.6	-2.7	1.0
Psmb9	anti	2.5	-3.3	-1.3
Psme2	anti	2.3	-2.3	1.0
Psmb2	anti	1.9	-1.4	1.4
Psmb8	anti	1.9	-2.7	-1.4
Psma3	anti	1.8	-1.3	1.4
Psma1	anti	1.8	-1.6	1.1
Aars	anti	1.8	-1.7	1.1
Psma5	anti	1.7	-1.5	1.1
Psma7	anti	1.7	-1.5	1.1
Psme1	anti	1.6	-1.9	-1.2
Psmb3	anti	1.6	-1.4	1.1
Psma6	anti	1.5	-1.1	1.4
Vcp	anti	1.5	-1.3	1.2
Hspb7	anti	1.5	2.0	3.0*
Hspalb	anti	-1.5	2.2	1.5*
Tor1b	unknown	2.2	-2.3	1.0

* $p < 0.05$;

all other T/HS + IL6 vs. Sham comparisons $p > 0.05$.