

Original Article

The distinct signatures of VEGF and soluble VEGFR2 increase prognostic implication in gastric cancer

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Abstract: Recently, an anti-angiogenic strategy to treat gastric cancer (GC) has been successful with the use of ramucirumab. The comprehensive network of VEGF, soluble VEGF receptor-2 (sVEGFR2) and cytokines and other angiogenic factors (CAF) in GC has not been reported. We aimed to reveal the CAF signature associated with VEGF and sVEGFR2, and to explore their prognostic implication in GC. We measured pretreatment serum levels of 52 CAFs, including VEGF and sVEGFR2, using multiplex bead immunoassays and ELISA, in 70 GC patients treated with palliative chemotherapy. Linear regression analysis for correlating CAFs with VEGF and sVEGFR2, and survival analysis were performed. Results from the current analysis showed the VEGF signature was shown to be associated with seven CAFs (IL-7, IL-12p70, IL-2Ra, IL-10, stem cell factor, FGF2b, IL-3). The sVEGFR2 signature was associated with IL-4 and PDGFb. VEGF and sVEGFR2 showed no association with each other. High VEGF was associated with worse OS (11.2 months, high-VEGF versus 16.7 months, low-VEGF; $P = 0.061$). However, among patients with high-sVEGFR2, OS was not different according to VEGF (12.1 months, high-VEGF versus 15.1 months, low-VEGF; $P = 0.546$). In patients with low-sVEGFR2, OS was significantly different according VEGF (10.9 months, high-VEGF versus 16.8 months, low-VEGF, $P = 0.036$). In multivariate analysis, a high VEGF/sVEGFR2 ratio was significantly correlated with worse OS (HR 1.78 [95% CI 1.08-2.94], $P = 0.024$). In conclusion, VEGF and sVEGFR2 had distinct CAF signatures in GC. Consideration of both VEGF and sVEGFR2 confers more accurate prognostic implication compared with VEGF alone in GC.

Keywords: VEGF, sVEGFR2, CAF, cytokine, gastric cancer

Introduction

Developing an effective strategy to treat unresectable or recurrent gastric cancer (GC) is of critical importance [1]. Tumor angiogenesis is one of the important components for tumorigenesis and progression [2, 3]. Previous reports have shown that both tumor-expressed and secreted VEGF are a poor prognostic factor in major tumor types, including GC [4-7]. Targeting tumor angiogenesis is one of promising strategies in many solid tumor types [8-10].

So far, in GC, results of clinical trials regarding anti-angiogenic agents have been modest [11-14]. Adding bevacizumab (an antibody to VEGF [Vascular Endothelial Growth Factor]) to the chemotherapy regimen did not improve the

overall survival (OS) of GC patients, compared with chemotherapy alone, even though there was an absolute gain in survival of 2 months with bevacizumab [11]. This anti-angiogenic strategy in GC was supported strongly by the recent success of ramucirumab, an antibody to the VEGF receptor 2 (VEGRF2). Ramucirumab improved OS of GC patients compared with the best supportive care in a second-line setting [12]. Furthermore, ramucirumab also improved the OS in combination with paclitaxel, compared with paclitaxel alone, in GC patients [13]. Targeting VEGFR2 was also successful with apatinib, a small-molecule VEGFR2 tyrosine kinase inhibitor, in GC patients [14].

The interaction of VEGF and VEGFR2 is an important key pathway for signaling angiogene-

VEGF and sVEGFR2 in GC

Table 1. Patient characteristics

| | | Total N = 70 |
|---------------------------|-----------------------------|-----------------|
| Age | Median years (range) | 56 (26-77) |
| Sex | Male, n (%) | 44 (62.9) |
| | Female, n (%) | 26 (37.1) |
| ECOG | 0, N (%) | 7 (10) |
| | 1, N (%) | 57 (81.4) |
| | 2, N (%) | 6 (8.6) |
| Palliative setting | Metastatic, N (%) | 56 (80) |
| | Recurrent, N (%) | 14 (20) |
| HER2 | Negative, N (%) | 62 (88.6) |
| | Positive, N (%) | 8 (11.4) |
| Tumor location | Stomach, N (%) | 65 (92.9) |
| | GEJ, N (%) | 5 (7.1) |
| Pathology | Adenocarcinoma, N (%) | 56 (80.0) |
| | (pure) PCC, N (%) | 13 (18.6) |
| | Others, N (%) [*] | 1 (1.4) |
| SRC component | No, N (%) | 48 (68.6) |
| | Yes, N (%) | 22 (31.4) |
| Lauren | Intestinal, N (%) | 9 (12.9) |
| | Diffuse, N (%) | 16 (22.9) |
| | Mixed, N (%) | 1 (1.4) |
| | Unknown, N (%) [†] | 44 (62.9) |
| Chemotherapy regimen | FOLFOX, N (%) | 40 (57.1) |
| | XP, N (%) | 28 (40.0) |
| | Others, N (%) [‡] | 2 (2.9) |
| Overall Survival | Median months (95% CI) | 12.5 (10.1-17) |
| Progression-free Survival | Median months (95% CI) | 6 (4.3-6.9) |
| Follow-up duration | Median months (range) | 81.6 (32.6-113) |

^{*}Adenosquamous carcinoma. [†]Lauren classification: not evaluable in 44 out of 70, due to small amount of tissue. [‡]One patient in the HER2-positive group was treated with trastuzumab plus conventional chemotherapy, another patient was treated with irinotecan, 5-fluorouracil, and leucovorin. Abbreviation: ECOG, Eastern Cooperative Group performance status; GEJ, gastroesophageal junction; HER2, human epidermal growth factor receptor 2; PCC, poorly cohesive carcinoma; SRC, signet ring cell; FOLFOX, 5-fluorouracil, leucovorin, and oxaliplatin; XP, capecitabine and cisplatin.

sis. However, tumor angiogenesis is a very complex process that involves many factors, including various cytokines and angiogenic factors (CAFs), not limited to VEGF. The comprehensive network of VEGF and other CAFs has not yet been reported in the context of tumor angiogenesis. Recently, the role of VEGF in terms of immunosuppressive action has been highlighted. VEGF promotes an immunosuppressive microenvironment by inducing immature dendritic cells, myeloid-derived suppressor cells, and regulatory T cells, by means of immunosuppressive cytokines, such as interleukin (IL)-10

or transforming growth factor (TGF)-beta [15-17]. Therefore, the VEGF signature in the context of CAFs should be identified for accurate assessment of angiogenesis and the immunosuppressive status of the tumor microenvironment [2, 3]. The biologic significance of the soluble form of VEGFR2 (sVEGFR2) has been suggested in several studies [18-21]. The sVEGFR2 could inhibit angiogenesis by binding to its ligand, VEGF, which blocks the binding of VEGF to VEGFR2 [18, 19]. However, the clinical prognostic implication of sVEGFR2 has not been investigated in GC, especially when considering both VEGF and sVEGFR2.

In the current study, we hypothesized that VEGF and sVEGFR2 display distinct CAF-associated signatures, and considering both VEGF and sVEGFR2 could increase the prognostic implication in GC patients.

Materials and methods

Patients

This study was a retrospective analysis of de-identified patient-level data collected from medical charts. Patients diagnosed with GC at Seoul National University Hospital, Republic of Korea, from April 2005 to December 2011 were included in the analysis if they were older than 18 years of age and had histologically-confirmed recurrent or metastatic GC, an Eastern Cooperative Oncology Group performance status of 0 to 2, and adequate organ function.

Sample preparation and CAF analysis

Patients provided written informed consent for the collection of blood samples for biomarker analysis. Specimens were obtained before ini-

VEGF and sVEGFR2 in GC

Table 2. Cytokines and angiogenic factors profile

| CAF | N* | Mean (pg/ml) | Standard error* | Median (pg/ml) | Min (pg/ml) | Max (pg/ml) |
|---|----|--------------|-----------------|----------------|-------------|-------------|
| <i>CAFs Included in the final analysis (N = 42)</i> | | | | | | |
| VEGF | 68 | 284.3 | 28.5 | 207.0 | 1.2 | 1146.8 |
| sVEGFR2 | 70 | 1314.8 | 34.0 | 1324.6 | 742.8 | 2172.8 |
| IL-2Ra | 70 | 163.1 | 15.5 | 126.7 | 12.7 | 726.8 |
| IL-3 | 55 | 201.7 | 33.7 | 123.3 | 12.5 | 1209.3 |
| IL-16 | 70 | 692.1 | 146.4 | 342.1 | 26.2 | 7298.3 |
| IL-18 | 70 | 123.7 | 13.9 | 82.4 | 23.2 | 564.0 |
| CTACK | 70 | 890.3 | 39.7 | 809.1 | 413.7 | 2175.5 |
| GRO-a | 69 | 257.8 | 43.2 | 176.7 | 5.7 | 2386.1 |
| HGF | 70 | 620.1 | 48.1 | 506.9 | 161.0 | 2428.2 |
| IFN-a2 | 58 | 26.4 | 3.2 | 20.8 | 0.2 | 125.9 |
| LIF | 37 | 27.5 | 3.1 | 25.3 | 1.4 | 88.9 |
| M-CSF | 46 | 31.9 | 4.2 | 22.2 | 1.1 | 156.9 |
| MIF | 70 | 4536.3 | 1346.0 | 850.0 | 104.4 | 77622.3 |
| MIG | 70 | 2312.1 | 495.9 | 1449.0 | 421.4 | 34713.6 |
| SCF | 70 | 138.3 | 8.0 | 132.5 | 42.8 | 399.1 |
| SCGF-b | 70 | 33494.8 | 4480.8 | 26173.7 | 4610.6 | 310063.7 |
| SDF-1a | 69 | 251.8 | 21.1 | 209.5 | 31.9 | 1335.6 |
| TRAIL | 47 | 46.9 | 6.2 | 32.6 | 1.5 | 275.7 |
| IL-1Ra | 70 | 305.9 | 130.2 | 106.4 | 30.1 | 9082.5 |
| IL-4 | 69 | 9.6 | 0.9 | 8.1 | 0.2 | 24.3 |
| IL-6 | 64 | 33.8 | 10.3 | 11.3 | 0.9 | 643.5 |
| IL-7 | 62 | 26.1 | 15.0 | 10.0 | 0.6 | 1060.0 |
| IL-8 | 65 | 88.5 | 51.9 | 19.1 | 1.3 | 3578.4 |
| IL-9 | 66 | 76.6 | 36.4 | 16.2 | 0.9 | 2171.1 |
| IL-10 | 49 | 108.2 | 80.4 | 14.5 | 1.3 | 5583.3 |
| IL-12p70 | 67 | 373.9 | 207.6 | 58.9 | 1.4 | 11784.3 |
| IL-13 | 70 | 22.9 | 11.2 | 8.6 | 1.7 | 788.3 |
| IL-17 | 61 | 59.2 | 5.6 | 49.5 | 0.9 | 191.3 |
| Eotaxin | 69 | 121.3 | 9.3 | 106.2 | 12.2 | 565.7 |
| FGF-basic | 68 | 40.7 | 4.0 | 32.7 | 1.2 | 185.8 |
| G-CSF | 70 | 569.8 | 481.3 | 76.3 | 17.6 | 33773.9 |
| IFN-g | 70 | 124.4 | 39.6 | 61.8 | 14.4 | 2734.7 |
| IP-10 | 69 | 2451.5 | 421.9 | 1686.1 | 299.2 | 27797.0 |
| MCP-1 | 70 | 106.2 | 13.3 | 74.3 | 8.6 | 717.8 |
| MIP-1a | 70 | 27.3 | 21.5 | 4.9 | 1.0 | 1511.7 |
| PDGF-bb | 70 | 7791.5 | 632.2 | 6650.9 | 152.3 | 24895.8 |
| MIP-1b | 70 | 277.2 | 107.4 | 154.4 | 50.4 | 7648.1 |
| RANTES | 70 | 28564.5 | 928.0 | 29143.9 | 2735.5 | 41739.8 |
| TNF-a | 46 | 36.2 | 11.7 | 22.0 | 2.6 | 806.2 |
| PIGF | 55 | 38.9 | 6.2 | 22.1 | 8.0 | 244.6 |
| sCA9 | 69 | 165.6 | 27.3 | 98.8 | 18.7 | 1374.5 |
| OPN | 70 | 5.7 | 0.5 | 4.4 | 1.3 | 22.8 |
| <i>CAF excluded in the final analysis (N = 10)</i> | | | | | | |
| IL-1a | 2 | 1.6 | 0.3 | 1.1 | 1.1 | 11.2 |
| IL-12p40 | 23 | 122.9 | 36.4 | 1.0 | 1.0 | 2105.1 |

VEGF and sVEGFR2 in GC

| | | | | | | |
|--------|----|------|------|-----|-----|-------|
| MCP-3 | 24 | 6.7 | 1.6 | 0.4 | 0.4 | 68.3 |
| BNGF | 16 | 4.2 | 1.3 | 0.6 | 0.6 | 72.5 |
| TNF-b | 16 | 4.7 | 0.9 | 1.0 | 1.0 | 32.6 |
| IL-1b | 20 | 3.3 | 1.4 | 0.3 | 0.3 | 84.0 |
| IL-2 | 16 | 6.9 | 4.0 | 0.7 | 0.7 | 276.4 |
| IL-5 | 6 | 2.0 | 0.6 | 0.7 | 0.7 | 37.1 |
| IL-15 | 8 | 2.3 | 0.6 | 0.9 | 0.9 | 26.9 |
| GM-CSF | 31 | 65.6 | 11.5 | 0.4 | 0.4 | 338.4 |

*Number of analytes within detection range. Abbreviation: CAF, cytokines and angiogenic factors; IL, interleukin; IL-2Ra, IL-2 receptor alpha; CTACK, cutaneous T-cell attracting chemokine; GROa, melanoma growth stimulating activity alpha; HGF, hepatocyte growth factor; IFN-a2, interferon alpha 2; LIF, leukemia inhibitory factor; M-CSF, macrophage colony-stimulating factor; MIF, macrophage migration inhibitory factor; MIG, monokine induced by interferon gamma; SCF, stem cell factor; SCGF-b, stem cell growth factor beta; SDF-1a, stromal cell-derived factor 1 alpha; IL-1Ra, IL-1 receptor alpha; TRAIL, Tumor Necrosis Factor Apoptosis-Inducing Ligand; FGF-basic, fibroblast growth factor 2 basic; GCSF, granulocyte colony stimulating factor; IFN-g, interferon gamma; IP-10, interferon gamma-induced protein 10; MCP-1, monocyte chemotactic protein 1; MIP-1A, macrophage inflammatory protein 1 alpha; PDGF-bb, platelet-derived growth factor beta polypeptide b; MIP-1B, macrophage inflammatory protein 1 beta; RANTES, regulated on activation; normal T cell expressed and secreted; TNF-a, tumor necrosis factor alpha; VEGF, vascular endothelial growth factor A; PIGF, placenta growth factor; sVEGFR2, soluble vascular endothelial growth factor receptor 2; sCA9, soluble carbonic anhydrase 9; OPN, osteopontin; MCP-3, monocyte chemotactic protein 3; BNGF, beta-nerve growth factor; TNF-b, tumor necrosis factor beta; IL-1b, interferon-1 beta; GM-CSF, granulocyte macrophage colony-stimulating factor.

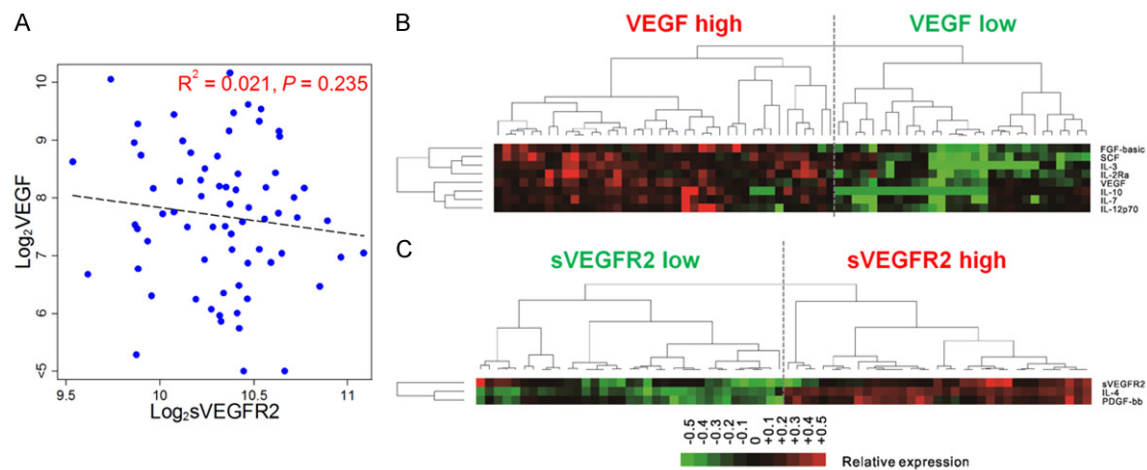


Figure 1. Cluster analysis of VEGF and sVEGFR2 with significantly correlated cytokines and angiogenic factors. Scatter plot of log 2 values of VEGF and sVEGFR2 (A). Unsupervised cluster analysis of cytokines and angiogenic factors (CAFs) that significantly correlated with VEGF (B), and sVEGFR2 (C) in patients with gastric cancer. The CAF concentration ratios are depicted by a log-transformed pseudo-color intensity scale. Abbreviations: VEGF, vascular endothelial growth factor; sVEGFR2, soluble vascular endothelial growth factor receptor 2; IL, interleukin; FDR, false discovery rate; SCF, stem cell factor; FGF-basic, fibroblast growth factor 2 basic; PDGF-bb, platelet-derived growth factor beta polypeptide b.

tiation of palliative chemotherapy. A total of 52 CAFs were analyzed in the serum, according to the manufacturer's instructions with multiplex bead suspension array kits using the Bio-Plex 200 system (Bio-Rad Laboratories, Hercules, California, USA), including Human Group I and II cytokine panels, as described in previous reports [22, 23]. Serum concentrations of solu-

ble carbonic anhydrase IX (sCA9), soluble vascular endothelial growth factor receptor-2, placental growth factor, and osteopontin (OPN) were determined by enzyme-linked immunosorbent assay (R&D Systems, Minneapolis, Minnesota, USA). Each serum sample was analyzed in duplicate and mean CAF concentrations were reported in pg/ml. Analytes for

VEGF and sVEGFR2 in GC

Table 3. Lists of cytokines and angiogenic factors (CAFs) that correlated with VEGF and sVEGFR2 levels

| CAF | VEGF correlation | | | sVEGFR2 correlation | | |
|-----------|------------------|---------|---------|---------------------|---------|-------|
| | R ² | P value | FDR | R ² | P value | FDR |
| VEGF | N/A | N/A | N/A | 0.021 | 0.235 | 0.338 |
| IL-7 | 0.409 | < 0.001 | < 0.001 | 0.006 | 0.538 | 0.630 |
| IL-12p70 | 0.397 | < 0.001 | < 0.001 | 0.003 | 0.668 | 0.721 |
| IL-2Ra | 0.287 | < 0.001 | < 0.001 | 0.025 | 0.193 | 0.331 |
| IL-10 | 0.281 | < 0.001 | < 0.001 | 0.016 | 0.295 | 0.391 |
| SCF | 0.256 | < 0.001 | < 0.001 | 0.052 | 0.057 | 0.233 |
| FGF-basic | 0.256 | < 0.001 | < 0.001 | 0.040 | 0.098 | 0.251 |
| IL-3 | 0.256 | < 0.001 | < 0.001 | 0.034 | 0.128 | 0.268 |
| M-CSF | 0.227 | < 0.001 | < 0.001 | 0.081 | 0.017 | 0.139 |
| IFN-a2 | 0.222 | < 0.001 | < 0.001 | 0.042 | 0.088 | 0.239 |
| TRAIL | 0.203 | < 0.001 | < 0.001 | 0.103 | 0.007 | 0.070 |
| LIF | 0.195 | < 0.001 | < 0.001 | 0.033 | 0.135 | 0.268 |
| IL-1Ra | 0.195 | < 0.001 | < 0.001 | 0.020 | 0.247 | 0.338 |
| CTACK | 0.188 | < 0.001 | 0.001 | 0.022 | 0.220 | 0.334 |
| MCP-1 | 0.167 | < 0.001 | 0.001 | 0.065 | 0.034 | 0.198 |
| IL-18 | 0.159 | < 0.001 | 0.002 | 0.035 | 0.121 | 0.268 |
| IL-16 | 0.156 | 0.001 | 0.002 | 0.006 | 0.511 | 0.616 |
| MIP-1b | 0.151 | 0.001 | 0.002 | 0.034 | 0.128 | 0.268 |
| HGF | 0.150 | 0.001 | 0.002 | 0.045 | 0.080 | 0.233 |
| SDF-1a | 0.131 | 0.002 | 0.005 | 0.011 | 0.390 | 0.495 |
| MIP-1a | 0.130 | 0.002 | 0.005 | 0.010 | 0.399 | 0.495 |
| IL-6 | 0.121 | 0.003 | 0.006 | 0.048 | 0.068 | 0.233 |
| SCGF-b | 0.106 | 0.006 | 0.011 | 0.020 | 0.246 | 0.338 |
| IL-13 | 0.098 | 0.008 | 0.015 | 0.000 | 0.999 | 0.999 |
| MIG | 0.094 | 0.010 | 0.016 | 0.000 | 0.955 | 0.979 |
| TNF-a | 0.093 | 0.010 | 0.016 | 0.047 | 0.071 | 0.233 |
| IL-9 | 0.093 | 0.010 | 0.016 | 0.003 | 0.655 | 0.721 |
| IP-10 | 0.088 | 0.013 | 0.020 | 0.004 | 0.609 | 0.694 |
| IFN-g | 0.071 | 0.026 | 0.038 | 0.045 | 0.078 | 0.233 |
| GRO-a | 0.070 | 0.027 | 0.038 | 0.028 | 0.163 | 0.305 |
| OPN | 0.037 | 0.108 | 0.148 | 0.046 | 0.075 | 0.233 |
| IL-8 | 0.037 | 0.112 | 0.148 | 0.024 | 0.203 | 0.333 |
| PDGF-bb | 0.036 | 0.116 | 0.148 | 0.132 | 0.002 | 0.041 |
| RANTES | 0.030 | 0.152 | 0.189 | 0.074 | 0.023 | 0.158 |
| sVEGFR2 | 0.021 | 0.235 | 0.276 | N/A | N/A | N/A |
| IL-17 | 0.021 | 0.226 | 0.273 | 0.103 | 0.007 | 0.070 |
| Eotaxin | 0.015 | 0.319 | 0.363 | 0.032 | 0.137 | 0.268 |
| sCA9 | 0.009 | 0.448 | 0.486 | 0.027 | 0.182 | 0.324 |
| MIF | 0.008 | 0.451 | 0.486 | 0.059 | 0.042 | 0.217 |
| IL-4 | 0.005 | 0.560 | 0.589 | 0.160 | 0.001 | 0.025 |
| PIGF | 0.001 | 0.781 | 0.800 | 0.029 | 0.216 | 0.334 |
| G-CSF | < 0.001 | 0.971 | 0.971 | < 0.001 | 0.939 | 0.979 |

Abbreviation: CAF, cytokines and angiogenic factors; other abbreviation of CAF, please see footnote of Table 2.

which > 50% of patients had non-detectable levels or coefficients of variation > 20% were not included in the subsequent analyses. Ana-

lytes that had non-detectable levels were recorded as one-half of the lower threshold value.

Statistical analysis

The primary objective of this study was to determine the association of VEGF and sVEGFR2 with other CAFs, as well as clinical outcomes- including survival- of GC patients. The CAF concentrations analyzed in the study were log transformed, as concentrations were highly skewed in all samples. Linear regression analysis was performed for extracting any significant association of CAFs with VEGF or sVEGFR2. For unsupervised hierarchical clustering, the log-transformed concentration of each baseline CAF was standardized by subtracting the sample mean and dividing by the standard deviation. Hierarchical clustering and data presentation of the CAFs that were significantly correlated with VEGF or sVEGFR2, were performed with Cluster 3.0 and TreeView software (downloaded from <http://www.eisenlab.org/>) [24]. Overall survival (OS) and progression-free survival (PFS) were calculated from when palliative first-line chemotherapy was first administered up to the date of either death or the final follow-up visit, and to the date of disease progression (confirmed by imaging modality), respectively. All P values were two-sided and P < 0.05 was considered statistically significant. Additionally, for linear regression analysis to extract significant CAFs that

were correlated with VEGF or sVEGFR2, a false discovery rate (FDR) and adjusted R-square value were applied to exclude false positive cor-

VEGF and sVEGFR2 in GC

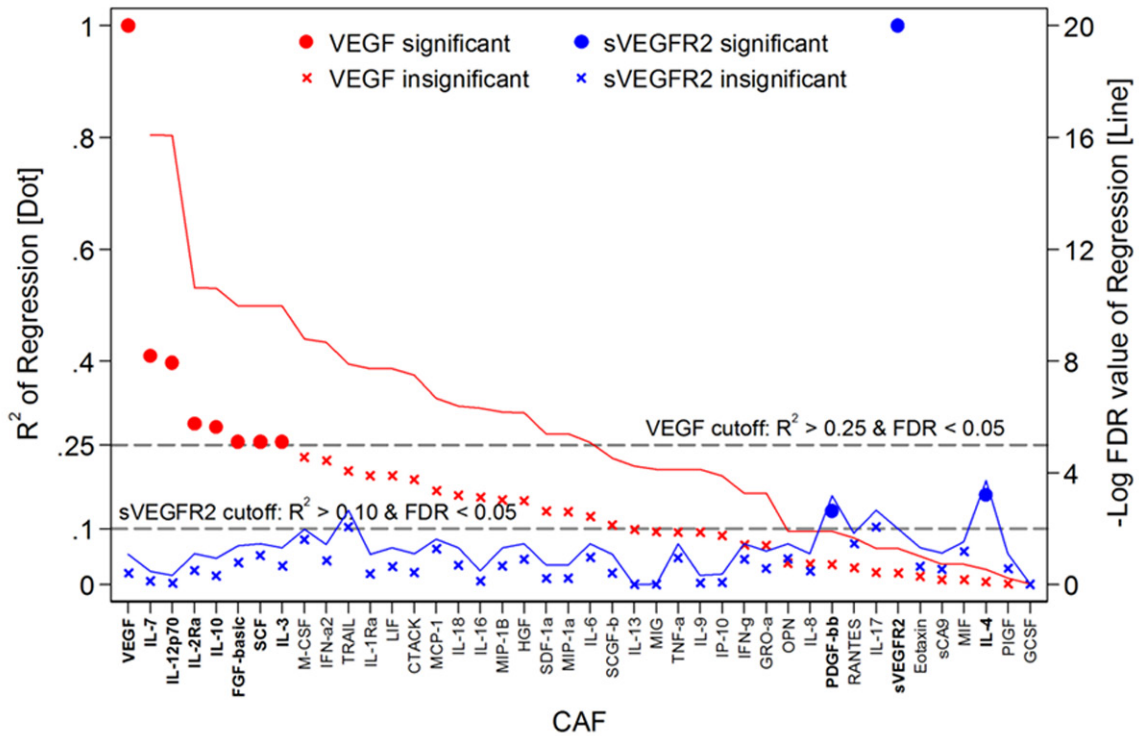


Figure 2. Correlation of VEGF and VEGFR2 with cytokines and angiogenic factors. R^2 (line) and FDR value from linear regression of VEGF (red) or VEGFR2 (blue) with cytokines and angiogenic factors are plotted. Abbreviation: VEGF, vascular endothelial growth factor; sVEGFR2, soluble vascular endothelial growth factor receptor 2; CAF, cytokines and angiogenic factors; other abbreviation of CAF, please see footnote of **Table 2**.

Table 4. List of cytokines and angiogenic factors (CAFs) that significantly correlated with VEGFA and sVEGFR2 levels

| CAF | VEGF correlation | | |
|-----------|---------------------|----------------|---------|
| | R^2 | <i>P</i> value | FDR |
| IL-7 | 0.409 | < 0.001 | < 0.001 |
| IL-12p70 | 0.397 | < 0.001 | < 0.001 |
| IL-2Ra | 0.287 | < 0.001 | < 0.001 |
| IL-10 | 0.281 | < 0.001 | < 0.001 |
| SCF | 0.256 | < 0.001 | < 0.001 |
| FGF-basic | 0.256 | < 0.001 | < 0.001 |
| IL-3 | 0.256 | < 0.001 | < 0.001 |
| | sVEGFR2 correlation | | |
| | R^2 | <i>P</i> value | FDR |
| IL-4 | 0.160 | 0.001 | 0.025 |
| PDGF-bb | 0.132 | 0.002 | 0.041 |

Abbreviation: CAF, cytokines and angiogenic factors; VEGF, vascular endothelial growth factor; sVEGFR2, soluble vascular endothelial growth factor receptor 2; IL, interleukin; FDR, false discovery rate; SCF, stem cell factor; FGF-basic, fibroblast growth factor 2 basic; PDGF-bb, platelet-derived growth factor beta polypeptide b.

relations. After filtering out using a $FDR > 0.05$, an adjusted R-square value of > 0.25 for VEGF-correlation and > 0.10 for sVEGFR2-correlation were considered as having a true significant correlation. Analyses were completed using STATA version 12 software (StataCorp LP, College Station, Texas, USA).

Ethics

The study protocol was reviewed and approved by the Institutional Review Board of Seoul National University Hospital (H-1411-022-623). The study was conducted according to guidelines for biomedical research outlined in the Declaration of Helsinki.

Results

Patient and CAF characteristics

Characteristics of 70 patients included in the current study are summarized in **Table 1**. Eight

VEGF and sVEGFR2 in GC

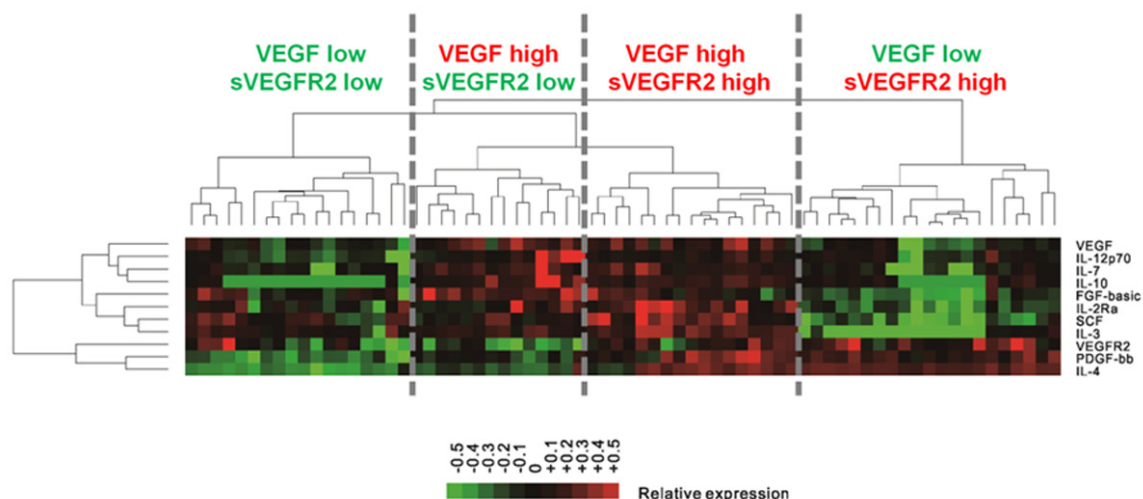


Figure 3. Cluster analysis of combining VEGF and sVEGFR2 with significantly correlated cytokines and angiogenic factors. Unsupervised cluster analysis of cytokines and angiogenic factors (CAFs) with significantly correlated with VEGF and sVEGFR2 in patients with gastric cancer. The CAF concentration ratios are depicted by a log-transformed pseudocolor intensity scale. Abbreviation; VEGF, vascular endothelial growth factor; sVEGFR2, soluble vascular endothelial growth factor receptor 2; IL, interleukin; FDR, false discovery rate; SCF, stem cell factor; FGF-basic, fibroblast growth factor 2 basic; PDGF-bb, platelet-derived growth factor beta polypeptide b.

patients (11.4%) were HER2-positive, 13 patients had poorly cohesive carcinoma. The median follow-up duration was 81.6 months (range 32.6-113 months) and the median OS and PFS of first-line palliative chemotherapy were 12.5 (95% CI 10.1-17) and 6 months (95% CI 4.3-6.9), respectively.

A total of 52 CAFs were initially measured and analyzed, but 10 CAFs were excluded from the final analysis as more than half of the samples were outside of the detection range. The mean, standard error, median, and range of the 52 CAFs are listed in **Table 2**. The median concentrations of VEGF and sVEGFR2 were 207.0 pg/mL and 1324.6 pg/mL, respectively.

CAF signature associated with VEGF and sVEGFR2

VEGF and sVEGFR2 were not significantly associated with each other ($R^2 = 0.021$ and $P = 0.235$, **Figure 1A**). A total of 40 CAFs were analyzed for linear regression with VEGF and sVEGFR2 (**Table 3** and **Figure 2**). Seven CAFs including interleukin (IL)-7, IL-12p70, IL-2 Receptor alpha (IL-2Ra), IL-10, stem cell factor (SCF), FGF-basic (fibroblast growth factor 2 basic), and IL-3 were significantly associated with VEGF, with $R^2 > 0.250$ and FDR of P value < 0.05 . IL-4 and platelet-derived growth factor

beta polypeptide b (PDGF-bb) were significantly associated with sVEGFR2, with $R^2 > 0.100$ and FDR of P value < 0.05 (**Table 4**). Unsupervised hierarchical clustering analysis of VEGF and sVEGFR2, with their associated CAFs, clearly identified two groups of patients (**Figure 1B, 1C**). These distinct signatures of VEGF and sVEGFR2 revealed four groups of patients (**Figure 3**).

The prognostic implication of VEGF and sVEGFR2

Patients with high-VEGF ($>$ median levels of VEGF) had worse OS than others (11.2 months in high-VEGF versus 16.7 months in low-VEGF, $P = 0.061$, **Figure 4A**). However, sVEGFR2 itself did not confer any significant prognostic impact (P value of OS and PFS = 0.423 and 0.272, respectively, **Figure 5**). Interestingly, prognostic implication of VEGF differed according to the sVEGFR2 level. Among patients with high-sVEGFR2 ($>$ median levels of sVEGFR2), the prognostic impact of VEGF was not observed (12.1 months in high-VEGF versus 15.1 months in low-VEGF, $P = 0.546$, **Figure 4B**). However, in patients with low-sVEGFR2, OS was significantly different according VEGF levels (10.9 months in high-VEGF versus 16.8 months in low-VEGF, $P = 0.036$).

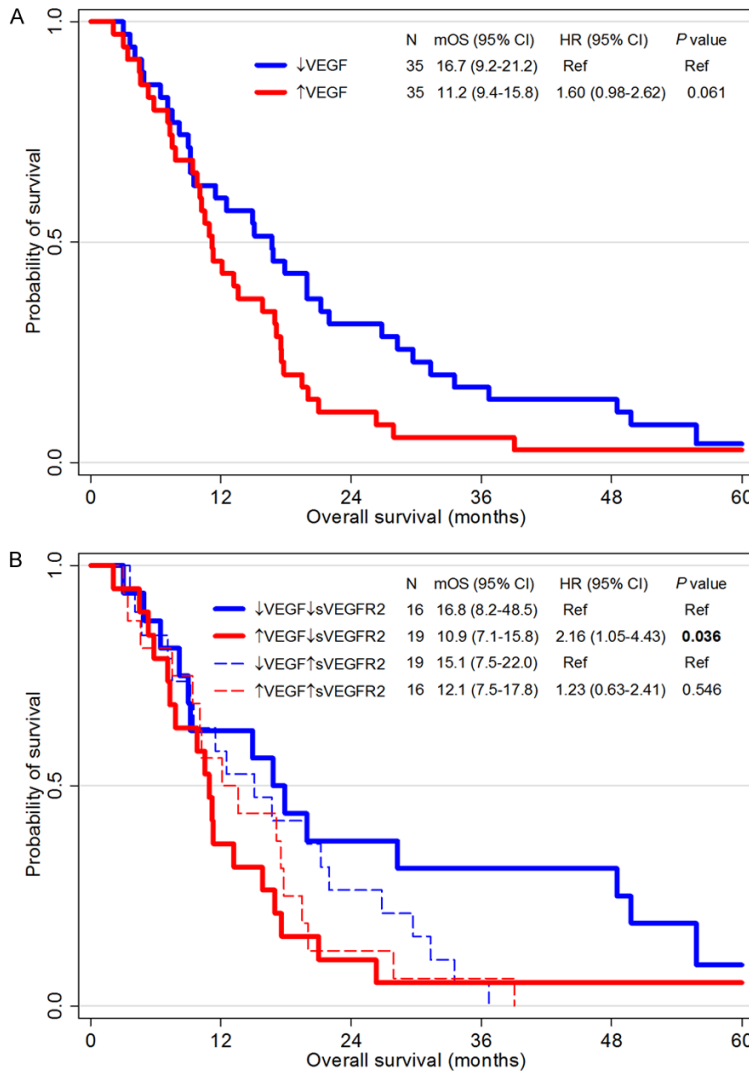


Figure 4. Survival analysis according to VEGF and sVEGFR2 levels. Kaplan-Meier curves for overall survival of two groups divided by the VEGF level higher or lower than its median value (A), and of four groups divided by the VEGF level and the sVEGFR level according to their median levels (B). Abbreviations: CI, confidential interval; HR, hazard ratio; mOS, median overall survival; VEGF, vascular endothelial growth factor; sVEGFR2, soluble vascular endothelial growth factor receptor 2.

To consider both VEGF and sVEGFR2, we calculated the VEGF/sVEGFR2 ratio (median 0.75, range 0.02-1.03). OS was significantly worse in the high VEGF/sVEGFR2 ratio group than in the low VEGF/sVEGFR2 ratio group (11.2 months versus 16.7 months, $P = 0.042$, **Figure 6A**). In multivariate analysis of survival, the VEGF/sVEGFR2 ratio was a significant poor prognostic factor, along with poor performance status and signet ring component ($P = 0.024$, **Table 5** and **Figure 6B**). Clinico-pathological character-

istics were not significantly different according to VEGF/sVEGFR2 ratio (**Table 6**).

Discussion

In this study, we analyzed pre-treatment serum levels of 52 CAFs including VEGF and sVEGFR2 in patients with advanced GC. Clustering analysis of the CAF signature in association with VEGF and sVEGFR2 independently showed two distinct groups. High-VEGF levels were associated with a poor prognosis, and this was only significant in patients with low-sVEGFR2. Taken together, a high-VEGF/sVEGFR2 ratio showed a statistically significant poor prognosis.

Previous research has shown that tumor angiogenesis is promoted by a complex network of immune cells and their related circulating factors [2, 3]. However, comprehensive analysis of multiple array-based cytokines and angiogenic factors (CAF) that are associated with VEGF, has not yet been reported. In the current study, using a strict statistical filtering criteria, we found that VEGF is significantly associated with seven CAFs, namely IL-7, IL-12p70, IL-2Ra, IL-10, stem cell factor (SCF), fibroblast growth factor-basic (FGF-basic), and IL-

3. As a response to hypoxia, hypoxia-inducible factor 1 activates micro-vessel formation by producing pro-angiogenic cytokines such as VEGF and FGF-basic [25, 26]. VEGF and SCF produced by hypoxic cells bind to bone marrow-derived angiogenic cells, recruiting them to the tumor, and stimulating vascularization [26, 27]. Moreover, IL-7 is able to mediate VEGF-induced tumor stromal activation, inducing lymphangiogenesis in the surrounding tumor [28, 29]. As well as activating the angiogenic pathway, VEGF

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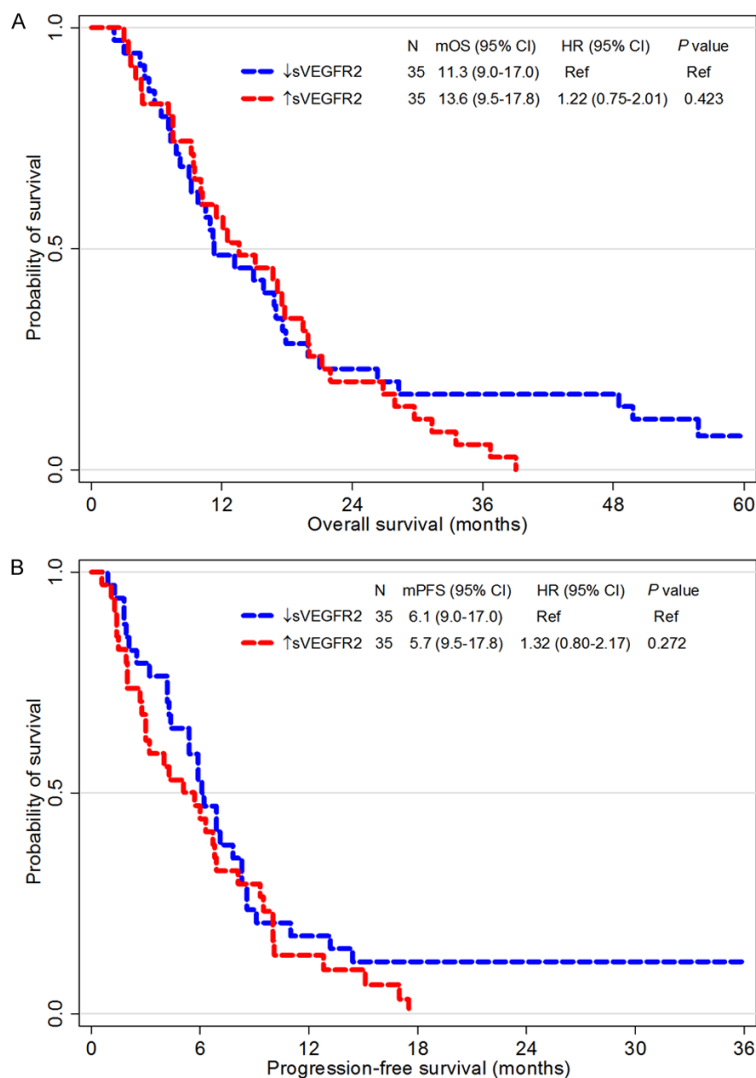


Figure 5. Survival analysis according to sVEGFR2. Kaplan-Meier curves for overall survival (A) and progression-free survival (B) of two groups divided by the sVEGFR2 level higher or lower than its median value. Abbreviation: Abbreviations; CI, confidential interval; HR, hazard ratio; mOS, median overall survival; mPFS, median progression-free survival; sVEGFR2, soluble vascular endothelial growth factor receptor 2.

modulates immunosuppressive features, by activating Th2-related cytokines, such as IL-10 and TGF-beta [2, 3, 25]. The results of the current study elucidate the associations between VEGF and other CAFs in the patients of GC.

The membrane-bound form of VEGFR2 is up-regulated along with VEGF by the signaling pathway response to hypoxia, and can bind to VEGF-A, C, D, and E, thus promoting growth and development of new vessels [2, 3]. Since proteolytic hydrolysis of the membrane form of VEGFR2 is a regulatory mechanism, over-ex-

pression of the membrane form of VEGFR2 would increase the soluble form of VEGFR2 [19, 30]. However, comprehensive association studies of CAFs which correlate with sVEGFR2 have rarely been described. In the current study, IL-4 and platelet derived growth factor beta polypeptide b (PDGF-bb) were significantly correlated with sVEGFR2. Although IL-4 has been established to play a primary role in the Th2-response along with IL-10 [31], this result implies that IL-4 might play a distinct biological role in tumor angiogenesis, independently from IL-10. Previous results have shown that PDGF-bb is involved in angiogenesis [26, 32, 33]. In our study, PDGF-bb significantly correlated with sVEGFR2, along with IL-4.

As described above, VEGF not only directly promotes tumor angiogenesis but also promotes an immunosuppressive network [15-17]. Previous reports consistently showed the poor prognostic impact of VEGF in GC patients treated with conventional chemotherapy, although statistical significances varied in these studies [7, 34]. In the current study, a high-VEGF level showed the trend toward poor survival ($P = 0.061$). However, sVEGFR2 level was not correlated with prognosis, in accordance with previous reports in various clinical settings [35, 36]. Previous studies have reported that sVEGFR2 traps VEGF in its circulating form, inhibiting its ordinary biological role of angiogenesis and immunosuppression [18-20]. Intriguingly, in the current study, the poor prognostic impact of VEGF is observed only in patients with low-sVEGFR2, not in patients with high-sVEGFR2. A high VEGF/sVEGFR2 ratio, which represents the un-trapped form of VEGF, was significantly correlated with poor progn-

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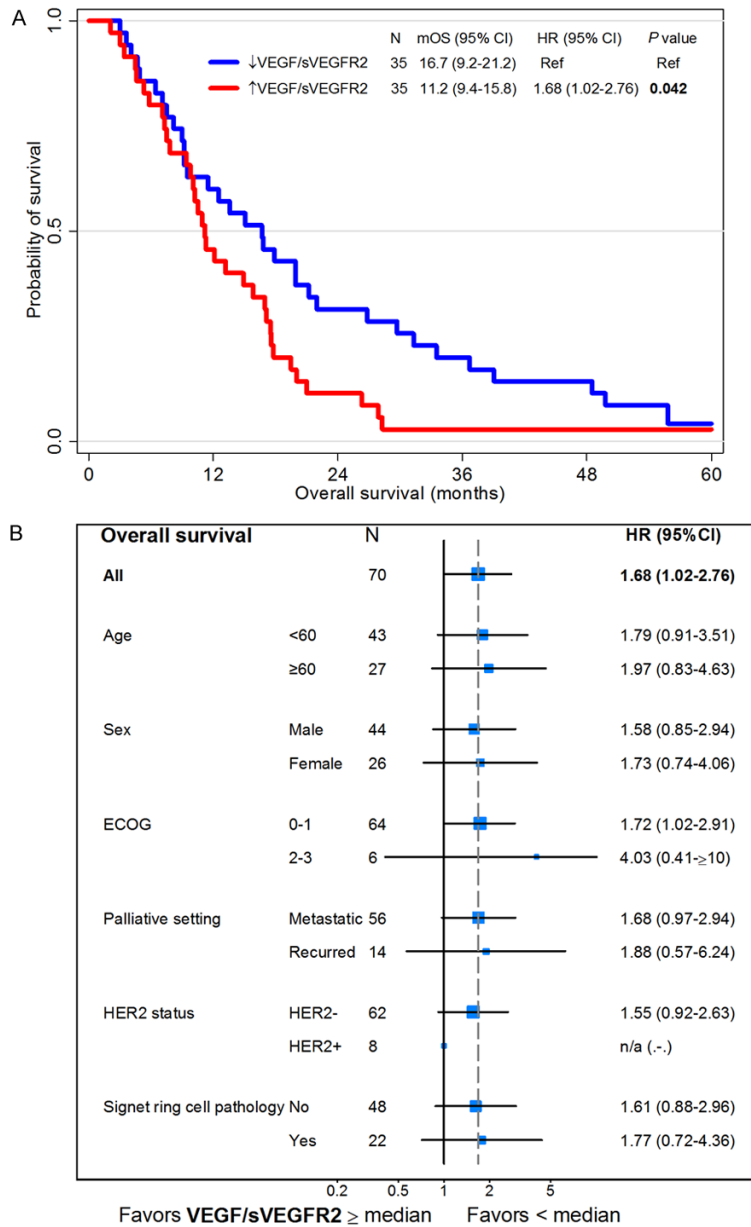


Figure 6. Survival analysis according to the VEGF/sVEGFR2 ratio. Kaplan-Meier curves for overall survival of two groups divided by the VEGF/sVEGFR2 ratio higher or lower than its median value (A). Forest plot of hazard ratios (HR) and 95% confidence intervals (CI) for overall survival assessed by subgroup factors (B). Abbreviation: ECOG, Eastern Cooperative Group performance status; HER2, human epidermal growth factor receptor 2; mOS, median overall survival; VEGF, vascular endothelial growth factor; sVEGFR2, soluble vascular endothelial growth factor receptor 2; n/a, not applicable.

sis. To the best of our knowledge, this is the first report regarding the clinical impact of VEGF combined with sVEGFR2 in GC.

The translational importance of this study is that consideration of both VEGF and sVEGFR2 confers more accurate prognostic implication

compared with VEGF alone in GC.

Although the clinical trial using bevacizumab in GC was unsuccessful [11], recent trials of ramucirumab and apatinib were successful in previously-treated GC [12-14]. Moreover, as a previous biomarker study of bevacizumab clearly showed that high-VEGF patients might benefit from bevacizumab [37], the precise patient selection based on relevant biomarkers is crucially important. The result of the current study implies that sVEGFR2 could be investigated where the clinical implication of VEGF is concerned.

Although the current study presents a new scope of prognostic impact and associated CAFs of VEGF and sVEGFR2, there are several limitations, such as retrospective analysis, with a relatively small sample size. The findings should be validated in a separate cohort or independent studies.

In conclusion, VEGF and sVEGFR2 have distinct CAF signatures. Consideration of both VEGF and sVEGFR2 confers more accurate prognostic implication compared with VEGF alone in GC.

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Table 5. Univariate and multivariate Cox survival analysis of OS according to circulating VEGF and sVEGFR2 levels

| Overall survival | Univariate | | | Multivariate | | |
|----------------------------|------------|-----------|---------|--------------|-----------|---------|
| | HR | 95% CI | P value | HR | 95% CI | P value |
| Age ≥ 60 (vs. < 60) | 0.92 | 0.56-1.51 | 0.732 | | | |
| Sex: female (vs. male) | 1.28 | 0.79-2.10 | 0.320 | | | |
| ECOG ≥ 2 (vs. 0-1) | 4.17 | 1.72-10.1 | 0.002 | 5.33 | 2.14-13.3 | < 0.001 |
| Recurrent (vs. metastatic) | 0.82 | 0.44-1.50 | 0.512 | | | |
| HER2+ (vs. HER2-) | 1.01 | 0.48-2.14 | 0.971 | | | |
| SRC component | 1.87 | 1.09-3.18 | 0.022 | 2.00 | 1.17-3.43 | 0.012 |
| VEGF/sVEGFR2* | 1.67 | 1.02-2.76 | 0.042 | 1.78 | 1.08-2.94 | 0.024 |

*VEGF/sVEGFR2 ≥ median (vs. < median). Abbreviation: VEGF, vascular endothelial growth factor; sVEGFR2, soluble vascular endothelial growth factor receptor 2; ECOG, Eastern Cooperative Group performance status; HR, hazard ratio; CI, confidence interval; HER2, human epidermal growth factor receptor 2; SRC, signet ring cell.

Table 6. Patient characteristics according to VEGF/ sVEGFR2 ratio

| | | VEGF/sVEGFR2↓ N = 35 | VEGF/sVEGFR2↑ N = 35 | P value |
|----------------|-----------------------|----------------------|----------------------|---------|
| Age | Median years (range) | 54 (29-74) | 60 (26-77) | 0.094 |
| Sex | Male, N (%) | 21 (60.0) | 23 (65.7) | 0.621 |
| | Female, N (%) | 14 (40.0) | 12 (34.3) | |
| ECOG | 0, N (%) | 4 (11.4) | 3 (8.6) | 0.923 |
| | 1, N (%) | 28 (80.0) | 29 (82.8) | |
| | 2, N (%) | 3 (8.6) | 3 (8.6) | |
| Palliative | Metastatic, N (%) | 27 (77.1) | 29 (82.9) | 0.550 |
| | Recurrent, N (%) | 8 (22.9) | 6 (17.1) | |
| HER2 | Negative, N (%) | 33 (94.3) | 29 (82.9) | 0.133 |
| | Positive, N (%)* | 2 (5.7) | 6 (17.1) | |
| Tumor location | Stomach, N (%) | 32 (91.4) | 33 (94.3) | 0.643 |
| | GEJ, N (%) | 3 (8.6) | 2 (5.7) | |
| Pathology | ADC, N (%) | 30 (85.7) | 26 (74.3) | 0.201 |
| | PCC, N (%) | 4 (11.4) | 9 (25.7) | |
| | Others, N (%) | 1 (2.9) | 0 (0) | |
| SRC component | No, N (%) | 25 (71.4) | 23 (65.7) | 0.607 |
| | Yes, N (%) | 10 (28.6) | 12 (34.3) | |
| Lauren | Intestinal, N (%) | 6 (17.1) | 3 (8.6) | 0.455 |
| | Diffuse, N (%) | 9 (25.7) | 7 (20.0) | |
| | Mixed, N (%) | 0 (0) | 1 (2.8) | |
| | Unknown, N (%) | 20 (57.1) | 24 (68.6) | |
| Follow-up | Median months (range) | 76.6 (32.6-106) | 85.9 (62-113) | 0.350 |

*One patient in the VEGF/sVEGFR2↓ group was treated with irinotecan, 5-fluorouracil, and leucovorin, and another patient in the VEGF/sVEGFR2↑ was treated with trastuzumab plus conventional chemotherapy. Abbreviation: VEGF, vascular endothelial growth factor; sVEGFR2, soluble vascular endothelial growth factor receptor 2; ECOG, Eastern Cooperative Group performance status; GEJ, gastroesophageal junction; HER2, human epidermal growth factor receptor 2; ADC, adenocarcinoma; PCC, poorly cohesive carcinoma; SRC, signet ring cell; FOLFOX, 5-fluorouracil, leucovorin, and oxaliplatin; XP, capecitabine and cisplatin.

Disclosure of conflict of interest

None.

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