

# Niemann-Pick disease treatment: a systematic review of clinical trials

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**Abstract:** The aim of this systematic review was to analyse all the published clinical trials assessing treatments for Niemann-Pick (NP) disease. At present there are only trials investigating the treatment of NP disease type C. Furthermore, there is no uniformity among studies in treatment outcomes or in data analysis and presentation of results. Miglustat is able to delay neurodegeneration, with greater benefits in patients with a late onset of the disease and  $\beta$ -cyclodextrin-hydroxypropyl (HBP-CD) can attenuate clinical symptoms. As for cholesterol-lowering drugs, the combination of lovastatin, cholestyramine and nicotinic acid is the most effective one for lowering cholesterolemia. Further research is much needed, and ongoing trials using enzyme replacement therapy might hopefully show promising results in the foreseeable future.

**Keywords:** Niemann-Pick (NP) disease; miglustat; clinical trial; treatment

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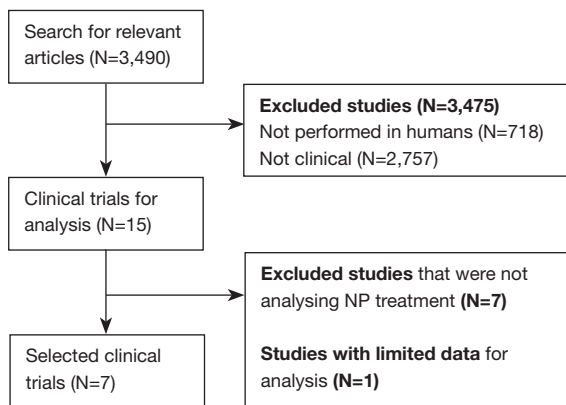
## Introduction

Niemann-Pick (NP) disease is caused by an abnormality in lysosomes, which are unable to degrade macromolecules; as a result, the latter accumulate inside these organelles to form cellular inclusions (1-3). NP disease encompasses a set of autosomal recessive hereditary abnormalities (1) characterized by the accumulation of lipids, mostly sphingomyelin and cholesterol, in different organs such as spleen or liver (1,4,5).

In 1961, Crocker classified the disease into 4 types depending on the organs affected and the age of symptoms' onset: NP type A (NPA), B (NPB), C (NPC) and D (NPD) (1,6,7). In 1966, Brady *et al.* showed that the tissues of

patients with NPA were deficient in acid sphingomyelinase (ASM) (8,9), a lysosomal enzyme whose function is to degrade sphingomyelin (9). Such deficiency also occurs in NPB, but not in NPC or NPD (7,9), with the latter being caused by a defect in the transport of intracellular cholesterol (7). Thus, the 4 disease types can be grouped into 2 main categories (1,4): (I) NPA and NPB; and (II) NPC and NPD.

In NPA and NPB, ASM deficiency results in the accumulation of sphingomyelin in different organs (1) and is caused by mutations in *SMPD1* gene (11p15.1-15.4). Common manifestations of both disease types are hepatosplenomegaly and appearance of cherry-red



**Figure 1** Study selection flowchart.

spots in the retina (1,5) whereas neurodegeneration is only manifest in patients with NPA (4,10). NPC and NPD are characterized by a defect in the intracellular transport of low-density lipoprotein (LDL), which causes the accumulation of free (un-esterified) cholesterol and glycosphingolipids in multiple organs and tissues (4,10-13). NPC is caused by mutations in *NPC1* (18q11.2) and *NPC2* genes (14q24.3) in 95 and 5% of cases, respectively (4,14-16), with these two genes encoding proteins of intracellular lipid transport (12,17). NPD is caused by mutations in *NPC1* and can be considered as a variant of NPC (4,14). Although NPC symptoms are variable and can occur at any age (14), when they start in early life the clinical presentation is characterized by more manifest, faster neuronal degeneration (13,14,18). In general, the most common symptoms of NPC include hepatosplenomegaly and neurologic deterioration with ataxia, motor pathologies and horizontal saccadic eye movements (HSEM) (11,14,17,19-22).

Until some years ago, the treatment for NP disease was based on different drugs such as anti-epileptics, anticholinergic or antidepressants to alleviate symptoms, i.e., tremor, dystonia or seizures (19). Miglustat (Zavesca<sup>®</sup>, Brazaves<sup>®</sup>), a small iminosugar molecule that reversibly inhibits glycosphingolipid synthesis (23) is currently available in Europe, Canada and Japan; this compound is able to delay neurological manifestations in patients with NP, type 1 Gaucher disease (GD1) or GM2 gangliosidosis type Sandhoff (13,19,24-26). In the NPA and NPB types, current research focuses on hematopoietic cell transplantation and enzyme replacement (5,10).

At present, there is no cure for NP disease and potential therapies should be addressed. A main goal is to develop treatments in order to minimize both general symptoms

and neurodegeneration. For this purpose, several clinical trials have been published to assess the effects, advantages and disadvantages of recently discovered treatments for NP disease. Thus, the aim of this systematic review was to analyse all the clinical trials available in the literature assessing potential treatments for NP disease and to compare, when possible, the effectiveness of the different treatments.

## Methods

### Study selection

A search was conducted in ScienceDirect and PubMed to identify all the clinical trials available for the treatment of NP disease. The main search term was “Niemann Pick” but additional searches were added such as “Niemann Pick AND medication”, “Niemann Pick AND clinical trial”, and “Niemann Pick AND treatment”. During the search, there were no restrictions based on language or year of publication.

### Data extraction

We followed the Preferred Reporting Items for Systematic Reviews and Meta-Analyses recommendations (the PRISMA statement) (27). The data extracted from the clinical trials were: article’s first author, participants’ age, total number of subjects, NP type and genetic mutation, number of participants in each group (experimental or control), weight, height and body mass index (BMI), type of treatment, as well as the daily dose, adverse effects, disease signs and symptoms, time and type of diagnosis, blood levels of total cholesterol (TC) (before and after treatment) and changes in the course of the disease.

A total of 3,490 potential articles were identified, but only 15 of them were clinical trials performed in humans. Among them, 7 were excluded with reasons (Figure 1).

## Results

### General characteristics of clinical trials

Seven clinical trials with data from a total of 167 participants were included in the present systematic review (Tables 1,2). All of them focused on NPC. Only 3 trials had a control group (12,13,24), there was one phase 1 (28) and one phase 3 trial (24), and 71% of trials were phase 4 (12,13,17,29,30). Participants’ age was not specified in two trials (12,28),

**Table 1** Main characteristics of the clinical trials

Author [year]	Total N	Active ingredient	NP diagnosis	Type of clinical trial
Patterson [1993]	25	Cholestyramine, DMSO, lovastatin, nicotinic acid	Cholesterol esterification and cholesterol accumulation in lysosomes	Randomized, phase 1
Patterson [2007]	41	Miglustat	Cholesterol esterification and filipin staining in fibroblasts	Controlled, randomized, open, phase 3
Patterson [2010]	12	Miglustat	Cholesterol esterification and filipin staining in fibroblasts	Open, non-controlled, phase 4
Pineda [2010]	17	Miglustat	Cholesterol esterification and filipin staining in fibroblasts	Prospective, controlled, phase 4
Wraith [2010]	29	Miglustat	Cholesterol esterification and filipin staining in fibroblasts	Open, randomized, controlled/non-controlled, phase 4
Héron [2012]	20	Miglustat	Filipin staining and genetic testing	Open, prospective, cohort, multicentre, phase 4
Fecarotta [2015]	23	Miglustat	Filipin staining, LDL-cholesterol esterification or genetic testing	Partly conceived as a single arm, non-controlled, multi-centre, open label clinical trial and partly as an observational study

DMSO, dimethyl sulfoxide; HPB-CD, hydroxypropyl- $\beta$ -cyclodextrin.

and there was considerable variability among studies in patients' age (ranging from less than 1 year to more than 40 years), as well as in total sample size (n=12 to 41). In most study cohorts the majority of patients were women. Finally, the commonest disease diagnostic techniques were demonstration of filipin staining or LDL-cholesterol esterification to evidence intracellular accumulation of cholesterol in patients' skin fibroblasts, followed by genetic testing.

### *Miglustat*

Six of the clinical trials used miglustat (*Table 3*). For those aged >12 years, the dose was 200 mg thrice a day, while for children the dose was calculated from the body surface area (BSA) using the following equation (29): dose (mg) = [(BSA, m<sup>2</sup>)/1.8]×200. Treatment duration varied from 12 to 60 months and 2 studies (13,29) allowed participants to continue with the intervention (*Table 3*). Outcome data on swallowing, language, manipulation, seizures, cognition, ocular movements and ambulation were limited, or not shown in two of the studies (12,17) (*Table 4*). Five of 6 trials divided their final results in subgroups depending on the disease course during the treatment (*Table 5*).

In the study by Patterson *et al.* (24) (n=29 patients aged >12 years), the proportion of participants showing

improvement with the drug was 30% for water intake, 15% for swallowing puree, 15% for soft food intake and 35% for swallowing a third part of a cookie. Yet 58% of participants in the intervention group showed no improvement in cognition parameters while 16% experienced deterioration. In the control group (n=9), only cognition data were available, with 22% of participants showing an improvement.

Pineda *et al.* reported no numerical results (12). However, by observing the data shown in their published Figures, it can be inferred that: (I) 20% of early onset patients (<6 years) showed a stable disease course, while 60% deteriorated and 20% died during the trial; (II) 50% of participants aged 6-11 years remained stable, 25% of them died and 25% worsened; and (III) 57.1% of juvenile/adult onset patients remained stable, while 42.8% suffered clinical deterioration. One of the participants in this trial did not have symptoms and his data were included as a control.

Patterson *et al.* (29) reported that: (I) 67% of 10 patients showed an improvement, or at least stagnation, in HSEM velocity after 24 months of treatment; (II) 10% of the subjects improved swallowing (*vs.* 10% showing deterioration and 80% remaining stable); and (III) 80% of the patients maintained a stable ambulation. Patients who did not show a worsening in swallowing and ambulation parameters or those who only worsened in HSEM velocity by 20% or less, were considered to be overall stable

Table 2 Participants' characteristics

Author [year]	N	Sex (F/M)	Age [SD] (years)	Age range (years)	Gene mutation	Onset of symptoms (age)	Study group
Patterson [1993]	5	–	–	–	–	–	Intervention
	5	–	–	–	–	–	Intervention
	5	–	–	–	–	–	Intervention
	5	–	–	–	–	–	Intervention
	5	–	–	–	–	–	Intervention
Patterson [2007]	20	11/9	25 [9]	12-42	–	Juvenile/adulthood	Intervention
	9	4/5	22 [7]	13-32	–	Juvenile/adulthood	Control
	12	7/5	7 [2]	4-11	–	Neonatal/early childhood, late childhood	Intervention
Patterson [2010]	12	7/5*	7 [2]*	4-11*	–	Neonatal/early childhood, late childhood	Intervention
	10	7/5*	7 [2]*	4-11*	–	Neonatal/early childhood, late childhood	Follow-up
	10	7/5*	7 [2]*	4-11*	–	Neonatal/early childhood, late childhood	Follow-up
Pineda [2010]	5	3/2	–	<6	<i>NPC1</i>	Neonatal/early childhood	Intervention
	4	2/2	–	6-11	<i>NPC1</i>	Late childhood	Intervention
	7	2/5	–	>12	<i>NPC1</i>	Juvenile/adulthood	Intervention
	1	1/0	8		–	Asymptomatic	Control
Wraith [2010]	20	15/14*	24 [9]*	12-42*	–	Juvenile/adulthood	Intervention
	9	15/14*	24 [9]*	12-42*	–	Juvenile/adulthood	Control
	17	15/14*	24 [9]*	12-42*	–	Juvenile/adulthood	Follow-up
	8	15/14*	24 [9]*	12-42*	–	Juvenile/adulthood	Follow-up
	16	15/14*	25 [9]*	12-42*	–	Juvenile/adulthood	Follow-up
Héron [2012]	1	1/0	2 months	12-18*	<i>NPC2</i>	Neonatal/early childhood	Intervention
	8	5/3	<6	12-18*	<i>NPC1</i>	Neonatal/early childhood	Intervention
	8	3/5	6-11	12-18*	<i>NPC1</i>	Late childhood	Intervention
	3	2/1	>12	12-18*	<i>NPC1</i>	Juvenile/adulthood	Intervention
Fecarotta [2015]	2	0/2	1 [1]	<1 to 2	<i>NPC1/NPC2</i>	Early infantile	Intervention
	6	5/1	6 [4]	3-15	<i>NPC1</i>	Late infantile	Intervention
	9	6/3	11 [2]	10-19	<i>NPC1</i>	Juvenile	Intervention
	6	4/2	28 [8]	18-43	<i>NPC1/NPC2</i>	Adult	Intervention

\*, values for the total sample; –, not available data.

patients. Using this criterion, it was concluded that 80% of participants remained stable while 20% worsened.

The trial carried out by Wraith *et al.* (13) showed results from an experimental (n=20) and follow-up group (n=17) after 24 months of treatment in only one outcome, swallowing, and without a parallel control group receiving no treatment. After 12 months of treatment with miglustat HSEM velocity improved or remained stable in 61% of patients, whereas swallowing remained stable or improved in 78.9%. The treatment effects improved after 24 months, when 79-93% of the subjects were stabilized or showed an

improvement in HSEM velocity. An 89% of the patients improved or maintained stable ambulation capacity whereas 77.8% stabilized or suffered an improvement in cognition. Depending on the evolution of the participants in the different parameters, this trial determined the amount of patients who showed a stabilisation during treatment as follows: (I) increase or decrease within  $\pm 20\%$  in HSEM; (II) no changes in swallowing; (III) ambulation did not change or changed only 1 point; and (IV) cognition varied within 2 points. Using the abovementioned criteria, this study found that 68.4% of participants (n=20) remained stable

**Table 3** Clinical trials with Miglustat (Zavesca®)

Author [year]	N	Type of treatment	Active ingredient (drug)	Dose (mg/day) [mean (range)]	Duration (months)	Average exposure to the treatment (days)
Patterson [2007]	20	Chemical	Miglustat	600	12	364.5 [180-429]
	9	Physical measures, social worker, diet	–	0	12	0
	12	Chemical	Miglustat	Based on BSA	12	371 [71-400]
Patterson [2010]	12	Chemical	Miglustat	350 [100-600]	12	1,073 [735-1,604]
	10	Chemical	Miglustat	350 [100-600]	12	1,073 [735-1,604]
	10	Chemical	Miglustat	350 [100-600]	Continuous	1,073 [735-1,604]
Pineda [2010]	5	Chemical	Miglustat	[90-400]	48	[180-1,460]
	4	Chemical	Miglustat	[100-400]	48	[730-1,460]
	7	Chemical	Miglustat	[200-600]	48	[365-1,460]
	1	–	–	0	48	0
Wraith [2010]	20	Chemical	Miglustat	600	12	714 [34-745]
	9	Physical measures, social worker, diet	–	0	12	0
	17	Chemical	Miglustat	600	12	–
	8	Chemical	Miglustat	600	12	–
	16	Chemical	Miglustat	600	48.12	1,465 [825-2,056]
Héron [2012]	1	Chemical and diet	Miglustat	50	48	60
	8	Chemical and diet	Miglustat	[100-300]	48	[240-810]
	8	Chemical and diet	Miglustat	[100-600]	48	[270-1,800]
	3	Chemical and diet	Miglustat	[300-600]	48	[210-900]
Fecarotta [2015]	2	–	Miglustat	Based on BSA [100-600]	24	–
	6	–	Miglustat	Based on BSA [100-600]	24	–
	9	–	Miglustat	Based on BSA [100-600]	24	–
	6	–	Miglustat	[300-600]	24	–

–, not available data. BSA, body surface area.

after 12 months of treatment.

The trial of Heron *et al.* (17) showed no specific data for each clinical parameter, but they reported the individual results of each participant and an overall favourable response to the treatment. Trial results were divided according to the onset of NP. The only patient with perinatal NP (2 months) died during the trial. Among early childhood (<6 years) onset patients, 12.5% remained stable, 75% deteriorated and 12.5% died. Among late childhood (6-11 years) patients, 37.5% remained stable, 37.5% improved, 12.5% experienced deterioration and 12.5% died during the study. Finally, 66% of patients with juvenile (>12 years) NP remained stable while 33.3% suffered deterioration.

Finally, the trial by Fecarotta *et al.* (30) reported that

most patients showed stabilization or improvement in neurological involvement, developmental delay or cognitive impairment, gait abnormalities, dystonia, dysmetria or dysarthria. Moreover, 20 patients showed stabilization or improvement in the ability to swallow water (65%), purée (58%), small amounts of pasta (60%) and biscuit (55%). Lastly, the severity score of the symptoms improved in 57% of patients. However, during the treatment epistaxis and thrombocytopenia, insomnia, leukopenia, behavioural problems, extrapyramidal symptoms, tremors, hypertransaminasemia, and especially weight loss or diarrhea, were detected in some patients.

Of the selected clinical trials, all but one reported common disease symptoms at baseline, i.e., ataxia, speech difficulties, hepatomegaly, splenomegaly, cataplexy and

**Table 4** Results of clinical trials: proportion of patients showing improvement with treatment in study outcomes

Author [year]	N	HSEM $\alpha$	Swallowing	Cognition	Language	Seizures	Ambulation
Patterson [2007]	20	–	1: water 30%, purée: 15%, soft lumps 15%; 1/3 cookie: 35%	1: 58%; 3:16%	–	–	–
	9	–	–	1: 22%	–	–	–
	12	–	–	–	–	–	–
Patterson [2010]	12	–	–	–	–	–	–
	10	1/2: 67%	1: 10%; 2: 80%; 3: 10%	–	–	–	2: 80%
	10	–	–	–	–	–	–
Pineda [2010]	5	–	–	–	–	–	–
	4	–	–	–	–	–	–
	7	–	–	–	–	–	–
	1	–	–	–	–	–	–
Wraith [2010]	20	1/2: 61%	1/2: 86%	1/2: 78%	–	–	1/2: 90%
	9	–	–	–	–	–	–
	17	–	1/2: 79-93%	–	–	–	–
	8	–	–	–	–	–	–
	16	–	–	–	–	–	–
Héron [2012]	1	–	–	–	–	–	–
	8	–	–	–	–	–	–
	8	–	–	–	–	–	–
	3	–	–	–	–	–	–
Fecarotta [2015]	2	–	–	–	–	–	–
	6	–	–	–	–	–	–
	9	–	–	–	–	–	–
	6	–	–	–	–	–	–

–, not available data; 1, improvement; 2, stable; 3, worsening. HSEM $\alpha$ , velocity of horizontal saccadic eye movements.

vertical supranuclear gaze palsy (VSGP). All of them reported the potential adverse effects of miglustat, i.e., diarrhea (present in 83.3% of patients), flatulence, weight loss and tremor (66.6%), and headache, fatigue, nasopharyngitis, dysphagia, vomiting, cough, falls and sinusitis (50%).

### Other treatments

Patterson *et al.* (28) assessed the effects of dimethyl sulfoxide (DMSO), nicotinic acid, lovastatin, cholestyramine, and combinations thereof on cholesterolemia but did not report neurological outcomes. The treatment effects on TC varied depending on the drug combinations and overall improved with the number of drugs: (I) in the first group, treated with DMSO only, TC increased by 7.4%; in those receiving a combination of DMSO and lovastatin, TC decreased

by –20.6%; (II) those treated with DMSO, lovastatin and cholestyramine showed a TC decrease of –34.5%; (III) the group receiving the above drugs together with nicotinic acid showed a decrease of –41.9%; (IV) finally, the group receiving lovastatin, cholestyramine and nicotinic acid showed the greatest decrease in TC levels, i.e., –47.1%. Although patients taking DMSO reported that they had an unpleasant mouth odour, which in most cases remitted with chlorophyll, most adverse effects were associated with nicotinic acid: 20% of patients (80% in the DMSO + lovastatin + cholestyramine + nicotinic group and 20% in the lovastatin + cholestyramine + nicotinic acid arm) showed redness and 16% (60% in the DMSO + lovastatin + cholestyramine + nicotinic group and 20% in that receiving lovastatin + cholestyramine + nicotinic acid) developed acanthosis nigricans. Other side effects such as hepatic enzyme changes, night-time agitation or constipation (due



**Table 5** Results of clinical course (proportion of patients showing improvement, no change or worsening of symptoms, and proportion of deaths)

Author [year]	N	Improvement (%)	Stable (%)	Worsening (%)	Death (%)
Patterson [2007]	20	–	–	–	–
	9	–	–	–	–
	12	–	–	–	–
Patterson [2010]	12	–	–	–	–
	10	80.0	–	20.0	–
	10	–	–	–	–
Pineda [2010]	5	0	20.0	60.0	20.0
	4	0	50.0	25.0	25.0
	7	0	57.1	42.8	0
	1	–	–	–	–
Wraith [2010]	20	–	68.4	–	–
	9	–	–	–	–
	17	–	–	–	–
	8	–	–	–	–
	16	–	–	–	–
Héron [2012]	1	0	0	0	100
	8	12.5	0	75	12.5
	8	37.5	37.5	12.5	12.5
	3	66.6	0	33.3	0

–, not available data.

to cholestyramine) were also reported.

## Discussion

The clinical trials assessing potential treatments for NP disease have focused on investigating the effects of miglustat, hydroxypropyl- $\beta$ -cyclodextrin (HPB-CD) or other drugs or drug combinations in neurological disease progression or blood cholesterol profile, and only in NPC type. Unfortunately there is no uniformity among the different study outcomes, making it very difficult to conclude which is the most appropriate therapy that is currently available for NP. In addition, we are not aware of any published clinical trial that has studied potential treatments for NPA or NPB.

Miglustat was originally approved for the treatment of GD and it was not until January 2009 that the European Union approved its use for the treatment of NPC (7). Miglustat inhibits *glucosylceramide synthase*, the enzyme responsible for catalysing the first step in the synthesis of most glycosphingolipids that accumulate in NPC (19),

thereby decreasing lysosomal storage (24). This drug is able to cross the blood-brain barrier and delay the neurological manifestations in both adult and paediatric NPC patients (13,24). In the scientific literature, clinical trials on NP are scarce, and some observational studies have reported on the effects of miglustat in NPC patients (20,31,32).

Preliminary findings by Galanaud and co-workers reported that miglustat had some beneficial effect on brain dysfunction in NPC after a 24-month treatment (33). Findings of clinical trials are in agreement in that miglustat can slow the progression of neurological symptoms in all patients, yet the therapeutic benefit is greater in those with a late diagnosis (i.e., late childhood onset or juvenile/adult onset) compared with early childhood onset. On the other hand, there is no uniformity among published trials in the presentation of results. Most (n=4) but not all of the 6 selected studies showed the time course of the disease (i.e., % of improvement stagnation or deterioration) in different neurological parameters (HSEM, cognition, ambulation, swallowing). In addition, there are differences among studies in the neurological parameters reported.

Furthermore, results within a study are not always shown for all trial groups, hampering potential comparisons between them. Several adverse effects associated with miglustat such as diarrhea, flatulence, intestinal carbohydrate malabsorption and weight loss must be also underlined (12,13,17,24,26,29,34,35).

Other forms of treatment are based on the use of cholesterol-lowering drugs or low cholesterol diets for the reduction of hepatic cholesterol. The trial performed by Patterson and co-workers (28) was prior to the approval of miglustat as a therapy for NPC [2009]. Thus, the authors studied the effects of diet, DMSO (for its effects on cholesterol transport) and 3 drugs frequently used for the treatment of hypercholesterolemia, i.e., lovastatin, cholestyramine and nicotinic acid (28). The study showed important decreases in plasma and liver cholesterol levels, mainly due to the combination of lovastatin, cholestyramine and nicotinic acid. Yet no information was reported on neurological progression.

Unfortunately no treatment has yet proven able to change the actual course of NPC. Meanwhile, miglustat is the first and only specific drug approved for this disease in Europe [2009], Canada [2010] and Japan [2012]; its objective is based on alleviating disease symptoms while attenuating neurodegeneration (13,19). In the US, the FDA declined to approve this drug in 2010 and called for more data. The good news is that enzyme replacement therapy might represent a more promising treatment and there are currently two ongoing trials with recombinant human ASM for adults and elderly with NPB (EudraCT reference numbers 2010-023953-12 and 2013-000051-40). There is also an ongoing trial with N-butyldeoxynojirimycin (NB-DNJ)-miglustat in NPC patients of all ages (EUdraCT number 2006-005842-35), and another one (phase 1/2 study still in recruitment phase -NCT02124083) for NPC patients aged 18 to 60 years with vorinostat (a histone deacetylase inhibitor that has been shown *in vivo* to increase mutant NPC1 protein levels and to reverse cellular accumulation of unesterified cholesterol).

In summary, at present there are only published clinical trials investigating the treatment for one specific type of NP disease, NPC. Furthermore, there is no uniformity among study outcomes nor in the way the results were analysed and presented by the different authors. Miglustat is expected to delay the neurological symptoms of NPC, with greater benefits in patients with a late onset of the disease. HPB-CD is also able to attenuate clinical symptoms although it is not possible to compare the effectiveness of the two compounds owing to the limited data available for the latter. As for

cholesterol-lowering drugs, the combination of lovastatin, cholestyramine and nicotinic acid is the most effective one for lowering cholesterolemia. Owing to the low number of clinical trials assessing NP treatment and the lack of additional information, it is not yet possible to make a comparison between the different types of treatments for this disease.

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## Footnote

*Conflicts of Interest:* The authors have no conflicts of interest to declare.

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