

# Activity of Isavuconazole and Other Azoles against *Candida* Clinical Isolates and Yeast Model Systems with Known Azole Resistance Mechanisms

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**Isavuconazole is a novel, broad-spectrum, antifungal azole. In order to evaluate its interactions with known azole resistance mechanisms, isavuconazole susceptibility among different yeast models and clinical isolates expressing characterized azole resistance mechanisms was tested and compared to those of fluconazole, itraconazole, posaconazole, and voriconazole. *Saccharomyces cerevisiae* expressing the *Candida albicans* and *C. glabrata* ATP binding cassette (ABC) transporters (*CDR1*, *CDR2*, and *CgCDR1*), major facilitator (*MDR1*), and lanosterol 14- $\alpha$ -sterol-demethylase (*ERG11*) alleles with mutations were used. In addition, pairs of *C. albicans* and *C. glabrata* strains from matched clinical isolates with known azole resistance mechanisms were investigated. The expression of ABC transporters increased all azole MICs, suggesting that all azoles tested were substrates of ABC transporters. The expression of *MDR1* did not increase posaconazole, itraconazole, and isavuconazole MICs. Relative increases of azole MICs (from 4- to 32-fold) were observed for fluconazole, voriconazole, and isavuconazole when at least two mutations were present in the same *ERG11* allele. Upon MIC testing of azoles with clinical *C. albicans* and *C. glabrata* isolates with known resistance mechanisms, the MIC<sub>90</sub>s of *C. albicans* for fluconazole, voriconazole, itraconazole, posaconazole, and isavuconazole were 128, 2, 1, 0.5, and 2  $\mu$ g/ml, respectively, while in *C. glabrata* they were 128, 2, 4, 4, and 16  $\mu$ g/ml, respectively. In conclusion, the effects of azole resistance mechanisms on isavuconazole did not differ significantly from those of other azoles. Resistance mechanisms in yeasts involving ABC transporters and *ERG11* decreased the activity of isavuconazole, while *MDR1* had limited effect.**

Invasive fungal infections (IFIs) caused by yeasts are an important cause of morbidity and mortality. *Candida* spp. are opportunistic fungal pathogens and a particular cause for concern in immunocompromised individuals (1). *Candida* infections may account for more than 70% of all IFIs (2). Candidemia is a significant problem in the intensive care unit, as it is associated with a crude mortality rate of 42.6% and high resource use (3).

Azoles constitute a class of drug commonly used for treating infections caused by *Candida* spp., and the Infectious Diseases Society of America recommends them as a primary treatment for candidemia in nonneutropenic patients (1). They are fungistatic in most cases and work by binding and inhibiting the enzyme lanosterol 14- $\alpha$ -sterol-demethylase (encoded by *ERG11*), which is involved in converting lanosterol into ergosterol (4, 5). As ergosterol is an essential component of the fungal cell membrane, disruption of its biosynthesis inhibits fungal growth.

Repeated exposure to azoles and drug pressure in *Candida* spp. can lead to the emergence of azole-resistant strains, thereby increasing the risk of treatment failure and breakthrough infections (6, 7). Fluconazole resistance is common in many isolates of *Candida* spp., but they can remain susceptible to other azoles; for example, *C. krusei* has intrinsic resistance to fluconazole but is susceptible to voriconazole (8).

Different mechanisms of azole resistance exist, and more than one mechanism can be present in azole-resistant strains (9, 10). A common mechanism of resistance is the increased expression of multiple drug resistance genes, which result in the overexpression of efflux pumps, consequently decreasing the drug concentration within the fungal cell (11–13).

ATP binding cassette (ABC) transporter overexpression is caused by the presence of gain-of-function (GOF) mutations in

the transcriptional activator of *CDR* genes, *TAC1* (14), while the overexpression of the major facilitator superfamily (MFS) transporter *MDR1* involves GOF mutations in the multidrug resistance regulator gene *MRR1* (15). Point mutations in *ERG11* are also an important resistance mechanism, reducing the ability of azoles to interact with or bind at the enzyme's target site, thereby reducing the effectiveness of the drug (9, 16). *ERG11* upregulation also can occur via mechanisms that include GOF mutations in transcription factors such as *UPC2* (17), thereby decreasing the ability of azoles to inhibit its action.

Isavuconazonium sulfate is a water-soluble antifungal prodrug that is hydrolyzed rapidly into isavuconazole, an active triazole. The oral and intravenous (i.v.) formulations of the prodrug have been approved by the U.S. Food and Drug Administration for treating adults with invasive aspergillosis and invasive mucormycosis (18). In phase 2 studies, isavuconazonium was observed to have efficacy and safety comparable to

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that of once-daily fluconazole in the primary treatment of uncomplicated esophageal candidiasis (19). *In vitro*, isavuconazole is highly active against bloodstream isolates of *Candida* spp. and has demonstrated good efficacy in animal models of candidiasis (20–22). However, it is important to understand how isavuconazole interacts with known mechanisms of azole resistance and what patterns of cross-resistance it shares with other azoles. In this study, we assessed the possible role of isavuconazole as a substrate for yeast multidrug efflux transporters. We also evaluated the susceptibility profile of isavuconazole when cytochrome P450 proteins encoded by different *ERG11* alleles are expressed in a heterologous yeast host. In addition, isavuconazole susceptibility of clinical strains of *Candida* spp. with different azole susceptibility profiles and known azole resistance mechanisms were evaluated and compared to other azoles (fluconazole, itraconazole, voriconazole, and posaconazole).

## MATERIALS AND METHODS

**Strains.** *Saccharomyces cerevisiae* isolates expressing *Candida albicans* ATP binding cassette (ABC) transporter genes *CDR1* and *CDR2*, the *C. albicans* major facilitator *BEN<sup>r</sup>* (*MDR1*), *FLU1*, and *ERG11* alleles, and *C. glabrata* *CDR1* and *CDR2* (*CgCDR1* and *CgCDR2*) were used in this study; these resistance-conferring alleles have been described elsewhere (23–25). The *S. cerevisiae* strain YKKB13 (*MATa ura3-53 lys2-801<sup>amber</sup> ade2-101<sup>ochre</sup> trp1-Δ63 his3-Δ200 leu2-Δ1 pdr5Δ::TRP1*) was used for all transporter and *ERG11* allele expression experiments with YEp24- and YEp51-derived plasmids, respectively (23, 26, 27).

**Antifungal drugs.** Azole antifungal drugs were obtained as pure substances from pharmaceutical companies (fluconazole and voriconazole, Pfizer Inc., Groton, CT; itraconazole, Janssen Pharmaceuticals, Inc., Titusville, NJ; posaconazole, Schering-Plough, Kenilworth, NJ; isavuconazole, Basilea Pharmaceutica International Ltd., Basel, Switzerland).

**Isavuconazole susceptibility profile of *S. cerevisiae* strains expressing drug resistance genes.** Susceptibility testing with *S. cerevisiae* was performed with broth microdilutions based on protocol M27-A3 of the Clinical and Laboratory Standards Institute (CLSI) (28). This protocol uses selective yeast medium (yeast nitrogen base with 2% added glucose) containing doubling dilutions of azoles (fluconazole, 128 to 0.0625 μg/ml; itraconazole, voriconazole, posaconazole, and isavuconazole, 8 to 0.0039 μg/ml) at 30°C for 48 h. We grew *S. cerevisiae* isolates for *C. albicans* *ERG11* alleles expressed from plasmid YEp51 in YKKB13 in medium containing 1% galactose and 1% raffinose to induce *ERG11* expression as reported previously (23). MICs were obtained with a microplate reader at a wavelength of 540 nm. Normalization was performed by allowing growth to 100% in the absence of drugs. The MIC was defined as the concentration of the drug needed to decrease fungal growth by at least 50%.

**Isavuconazole susceptibility of clinical *Candida* species isolates and *C. albicans* mutants.** Sequential *C. albicans* and *C. glabrata* clinical isolates with increasing MICs for several azoles were obtained from the University Hospital Centre (Lausanne, Switzerland) between 1993 and 2004; their azole resistance mechanisms have been described elsewhere (14, 15, 29–32), and their origins were summarized (14, 15, 25, 29, 31–36) (Table 1).

Several *C. albicans* mutants lacking the major multidrug transporters involved in azole resistance were used in this study (37). DSY1054 (*flu1Δ/Δ*), DSY1021 (*cdr1Δ/Δ*, *cdr2Δ/Δ*, *flu1Δ/Δ*), and DSY1055 (*flu1Δ/Δ*, *mdr1Δ/Δ*) are equivalent to DCY2, DCY20, and DCY7, respectively, and have been described by Calabrese et al. (38). DSY1050 (*cdr1Δ/Δ*, *cdr2Δ/Δ*, *mdr1Δ/Δ*) is the parent of DSY1024 (*cdr1Δ/Δ*, *cdr2Δ/Δ*, *flu1Δ/Δ*, *mdr1Δ/Δ*) and has been described previously (39).

*C. albicans* mutants with the inactivation of known resistance mechanisms also were used in this study; they originated from strain DSY296 (12). This strain originally had a GOF mutation in *TAC1* and a G464S mutation in *ERG11*, and both are homozygous in DSY296. The MICs for *Candida* clinical isolates and mutants were determined using the standard

protocol (CLSI M27-A3) (28). Isolates were grown at 35°C for 48 h in RPMI 1640 medium (Sigma-Aldrich, Switzerland) with azole doubling dilutions, as described previously in this report. Quality controls included *C. albicans* ATCC 928, *C. krusei* ATCC 6258, *C. tropicalis* ATCC 750, *C. parapsilosis* ATCC 22019, and *C. glabrata* ATCC 930 strains, and these were tested with all azoles used in the study.

## RESULTS

**Susceptibility testing of *S. cerevisiae* containing drug resistance genes.** In order to test whether isavuconazole is a potential substrate for ABC and MFS transporters, drug susceptibility testing was carried out with *S. cerevisiae* containing specific transporter genes associated with drug resistance. Changes to the MICs of isavuconazole upon expression of a given transporter indicated whether this azole was a substrate for the expressed transporter.

The expression of ABC transporters, including *CDR1*, *CDR2*, *CgCDR1*, and *CgCDR2*, elevated the MICs of isavuconazole from 2- to 32-fold compared with the MICs of the recipient *S. cerevisiae* strain (Table 2). These values depended on the expressed ABC transporters. *CDR1* expression had the greatest impact on MICs (32-fold increase), while *CgCDR2* expression had the least impact (2-fold increase). Fluconazole and voriconazole showed the largest increases in MICs, ranging from 16- to 128-fold and 8- to 56-fold, respectively.

Expression of MFS transporters *MDR1* and *FLU1* did not increase the MICs of isavuconazole, which was a characteristic shared with itraconazole and posaconazole (Table 2). In contrast, *MDR1* and *FLU1* expression increased the MICs of fluconazole by 128-fold and 16-fold, respectively, and those of voriconazole by 32-fold and 8-fold, respectively. These results indicated that, in contrast to isavuconazole, fluconazole and voriconazole were substrates for these MFS transporters.

Ten *S. cerevisiae* strains, each expressing an *ERG11* allele from different *C. albicans* clinical isolates, were tested for their susceptibility to isavuconazole and other azoles and were compared to a strain carrying a wild-type (WT) allele. The *ERG11* alleles used in this study carried nine distinct single or combined mutations that are known to be involved in azole resistance (23). Isavuconazole MICs ranged from 0.125 to 1 μg/ml. The highest increase for isavuconazole was between 4- and 8-fold and was associated with the substitution Y132H alone or combined with the substitution S405F or G464S.

When *C. albicans* *ERG11* mutant alleles were expressed in *S. cerevisiae*, increases in azole MICs relative to those of the control strain were observed for fluconazole (4- to 32-fold) and voriconazole (1- to 16-fold) when at least two mutations were present in the same *ERG11* allele (Table 3). Correlation coefficient analyses between the different azoles demonstrated that isavuconazole was more closely related to voriconazole and itraconazole than to fluconazole (see Fig. SA1 in the supplemental material). Interestingly, fluconazole MICs in *S. cerevisiae* showed the highest variations, followed by voriconazole MICs. No changes in posaconazole MICs were observed with any of the *ERG11* mutant alleles expressed in *S. cerevisiae*.

**Susceptibility testing of *Candida* species isolates with known azole resistance mechanisms.** Our strain collection included a number of clinical isolates of *C. albicans* and *C. glabrata* with known and diverse resistance mechanisms. Paired isolates exhibited closely related genotypic patterns deduced from multilocus sequence typing (31, 40) (see Table SA1 in the supplemental ma-

TABLE 1 Clinical isolates used in the study

Clinical strain		Species	Parent strain	Resistance mechanism/genotype <sup>a</sup>	Reference or source
DSY no.	Alternative no.				
DSY281	C23	<i>C. albicans</i>	Related to DSY284	WT	32
DSY284	C39	<i>C. albicans</i>		<i>ERG11</i> (S405F), <i>TAC1</i> (G980E) <sup>b</sup>	32
DSY347	C33	<i>C. albicans</i>	Related to DSY348 and DSY289	WT	25
DSY288	C34	<i>C. albicans</i>		<i>ERG11</i> (S405F)	25
DSY289	C26	<i>C. albicans</i>		<i>ERG11</i> (S405F, Y132H), <i>TAC1</i> (A736V)	25, 29
DSY348	C82	<i>C. albicans</i>		<i>ERG11</i> (S405F), <i>TAC1</i> (A736V)	25, 29
DSY290	C27	<i>C. albicans</i>	Related to DSY291, DSY292	WT	25
DSY291	C37	<i>C. albicans</i>		<i>ERG11</i> (G464S) (R467K)	15, 25
DSY292	C40	<i>C. albicans</i>		<i>ERG11</i> (G464S) (R467K, Y132H), <sup>b</sup> <i>MRR1</i> (P683H) <sup>b</sup>	15, 25
DSY294	C43	<i>C. albicans</i>	Related to DSY296	WT	14
DSY296	C56	<i>C. albicans</i>		<i>ERG11</i> (G464S), <i>TAC1</i> (N977D)	14
DSY2321		<i>C. albicans</i>	Related to DSY2322 and DSY2323	<i>ERG11</i> (S405F) <sup>b</sup>	29
DSY2322		<i>C. albicans</i>		<i>ERG11</i> (S405F), <i>TAC1</i> (G980E)	29
DSY2323		<i>C. albicans</i>		<i>ERG11</i> (S405F), <i>TAC1</i> (G980E)	29
DSY731		<i>C. albicans</i>	Related to DSY732 and DSY735	WT	29
DSY732		<i>C. albicans</i>		<i>TAC1</i> ( $\Delta$ M677) <sup>c</sup>	29
DSY735		<i>C. albicans</i>		<i>TAC1</i> ( $\Delta$ M677), i (5L)	29
DSY544		<i>C. albicans</i>	Related to DSY775	WT	32
DSY775		<i>C. albicans</i>		<i>ERG11</i> (G464S), <i>TAC1</i> (G980W)	32
DSY2309		<i>C. albicans</i>	Related to DSY750 and DSY751	WT	33
DSY750		<i>C. albicans</i>		<i>MRR1</i> (N378D) <sup>b</sup>	33
DSY751		<i>C. albicans</i>		<i>ERG11</i> (S405F), <i>MRR1</i> (N378D) <sup>b</sup>	33
DSY2243		<i>C. albicans</i>	Related to DSY2242	<i>ERG11</i> (S442F, R467K)	32
DSY2242		<i>C. albicans</i>		<i>ERG11</i> (S442F, R467K), <i>TAC1</i> (G980E)	32
DSY2284		<i>C. albicans</i>	Related to DSY2285	WT	15, 36
DSY2285		<i>C. albicans</i>		<i>MRR1</i> (T896I), i (5L)	15, 36
DSY550		<i>C. albicans</i>	Related to DSY551	WT	15
DSY551		<i>C. albicans</i>		<i>ERG11</i> (G464S, Y132H)	33
DSY520		<i>C. albicans</i>	Related to DSY522	<i>TAC1</i> (N972D)	32
DSY522		<i>C. albicans</i>		<i>ERG11</i> (G464S), <i>TAC1</i> (N972D)	32
DSY2250		<i>C. albicans</i>	Related to DSY2250	<i>ERG11</i> (S442F, G465S)	32
DSY2251		<i>C. albicans</i>		<i>ERG11</i> (S442F, G465S), <i>TAC1</i> (N972D)	32
DSY741		<i>C. albicans</i>	Related to DSY742	WT	33
DSY742		<i>C. albicans</i>		<i>MRR1</i> (T360I)	33–35
DSY562		<i>C. glabrata</i>		WT	33
DSY565		<i>C. glabrata</i>	DSY562	<i>CgPDR1</i> (I280F)	33–35
DSY1041		<i>C. glabrata</i>	DSY1029	<i>Cgcdr1\Delta</i>	34
DSY1612		<i>C. glabrata</i>	DSY1029	<i>Cgcdr2\Delta</i>	34
DSY1613		<i>C. glabrata</i>	DSY1056	<i>Cgcdr1\Delta, <i>Cgcdr2\Delta</i></i>	34
DSY529		<i>C. glabrata</i>		WT	31
DSY530		<i>C. glabrata</i>	DSY529	<i>CgPDR1</i> (E1083Q)	31
DSY2324		<i>C. glabrata</i>		WT	31
DSY2325		<i>C. glabrata</i>	DSY2324	Mutation petite (loss of mitochondrial DNA)	31
DSY724		<i>C. glabrata</i>		WT	This study
DSY726		<i>C. glabrata</i>	DSY724	WT	31
DSY727		<i>C. glabrata</i>	DSY726	<i>CgPDR1</i> (D876Y)	31
DSY2270		<i>C. glabrata</i>		WT	31
DSY2271		<i>C. glabrata</i>	DSY2270	<i>CgPDR1</i> (D261G)	31
DSY759		<i>C. glabrata</i>		WT	31
DSY2268		<i>C. glabrata</i>	DSY759	<i>CgPDR1</i> (S316I)	31

<sup>a</sup> Mutations are indicated in parentheses. Amino acid changes at given codon numbers of the corresponding gene are given. i (5L), isochromosome 5L.

<sup>b</sup> Heterozygous state.

<sup>c</sup> Deletion of methionine at position 677 in *TAC1*.

terial). Therefore, it was possible to compare azole MICs from the most susceptible isolates to those of the most resistant isolates. All quality controls were observed to have azole MICs within the accepted range (20, 41) (Table 4).

**Susceptibility testing of *C. albicans* clinical isolates.** The MIC range for isavuconazole (0.004 to 8  $\mu$ g/ml) was similar to

that for voriconazole (0.004 to 4  $\mu$ g/ml) (Table 5). The MICs for fluconazole, itraconazole, and posaconazole ranged from 0.125 to 128, 0.016 to 2, and 0.016 to 4  $\mu$ g/ml, respectively. The relative increase in MICs for each azole compared to that of a susceptible control ranged from 32- to 512-fold for fluconazole, voriconazole, and isavuconazole but from only 4- to 32-

TABLE 2 Activity of azoles in *S. cerevisiae* containing several *Candida* multidrug transporters

<i>S. cerevisiae</i> strain	Resistance mechanism/genotype	MIC ( $\mu\text{g/ml}$ [fold difference <sup>a</sup> ])				
		Fluconazole	Itraconazole	Posaconazole	Voriconazole	Isavuconazole
DSY390	YEp24 <sup>b</sup> in YKKB13	1 (1)	0.0625 (1)	0.25 (1)	0.0156 (1)	0.25 (1)
DSY415	<i>CDR1</i> in YKKB13	128 (128)	8 (128)	2 (8)	4 (256)	8 (32)
DSY417	<i>CDR2</i> in YKKB13	16 (16)	0.5 (8)	1 (4)	0.125 (8)	1 (4)
DSY416	<i>MDR1</i> in YKKB13	128 (128)	0.0625 (1)	0.0625 (0)	0.5 (32)	0.25 (1)
DSY426	<i>FLU1</i> in YKKB13	16 (16)	0.0625 (1)	0.125 (1)	0.125 (8)	0.125 (1)
DSY691	<i>CgCDR1</i> in YKKB13	64 (64)	1 (16)	1 (4)	0.5 (32)	2 (8)
DSY687	<i>CgCDR2</i> in YKKB13	128 (128)	0.0625 (1)	0.125 (1)	0.5 (32)	0.5 (2)

<sup>a</sup> Fold difference is relative to the level for DSY390 for each azole.

<sup>b</sup> Yeast replicating vector (parent vector) into which drug resistance genes were cloned.

fold for itraconazole and 2- to 128-fold for posaconazole. The MIC<sub>90s</sub> for the matched clinical *C. albicans* isolates for fluconazole, voriconazole, itraconazole, posaconazole, and isavuconazole were 128, 2, 1, 0.5, and 2  $\mu\text{g/ml}$ , respectively. This suggests that isavuconazole had activity similar to those of itraconazole, posaconazole, and voriconazole.

Isavuconazole MICs for each isolate were compared to those of other azoles, separating non-WT isolates from WT isolates, i.e., those with and without known azole resistance mechanisms (Fig. 1A). The upper isavuconazole MIC value for WT isolates was 0.031  $\mu\text{g/ml}$ . This value is in agreement with another study which reported that 90% of *C. albicans* isolates exhibit isavuconazole MICs of  $\leq 0.03$   $\mu\text{g/ml}$  (42). Correlation curves between isavuconazole MICs of WT and non-WT isolates and other azoles were established (Fig. 1). Isavuconazole MICs correlated with other azoles MICs in the following order of correlation strength: itraconazole < voriconazole < fluconazole < posaconazole. However, the analysis of relative MIC increases of matched isolates for each azole correlation coefficient demonstrated that the MIC profile of isavuconazole was related significantly to those of posaconazole and itraconazole, while profiles of fluconazole and voriconazole were significantly more similar to each other (see Fig. SA2 in the supplemental material).

**Susceptibility testing of *C. glabrata* isolates.** The MIC range for isavuconazole (0.25 to 16  $\mu\text{g/ml}$ ) was similar to the range for posaconazole (0.125 to 16  $\mu\text{g/ml}$ ) (Table 6). The MIC ranges for fluconazole, itraconazole, and voriconazole were 1 to 128, 0.0312

to 16, and 0.0156 to 2  $\mu\text{g/ml}$ , respectively. MIC<sub>90s</sub> of clinical isolates for fluconazole, voriconazole, itraconazole, posaconazole, and isavuconazole were 128, 2, 4, 4, and 16  $\mu\text{g/ml}$ , respectively. These values were higher than those observed for the *C. albicans* isolates DSY565 and DSY2325 and were associated with the highest relative increase in MICs for isavuconazole (32-fold). Comparison of isavuconazole MICs from WT isolates and non-WT isolates revealed that the upper isavuconazole MIC value of WT isolates was 1.0  $\mu\text{g/ml}$  (Fig. 1B). This value was equivalent to that observed in another study (42). Correlation curves between isavuconazole MICs of WT and non-WT isolates and other azoles highlighted that isavuconazole MICs were correlated with MICs of other azoles in the following order: voriconazole < fluconazole < itraconazole < posaconazole. When we analyzed correlation coefficients between relative MIC increases of matched isolates for each azole in *C. glabrata*, we observed that the profile of isavuconazole was related significantly only to that of fluconazole, in contrast to the case for *C. albicans* (see Fig. SA3 in the supplemental material).

**Susceptibility testing of *C. albicans* multidrug transporter mutants.** MICs for isavuconazole and other azoles were determined for *C. albicans* mutants lacking the major multidrug transporters involved in azole resistance in order to test their impact on drug resistance. The absence of *CDR1* had the largest impact on azole MICs (Table 7). For isavuconazole, the MIC decreased from 0.0078  $\mu\text{g/ml}$  in WT CAF2-1 to <0.001  $\mu\text{g/ml}$  in the *cdr1* $\Delta/\Delta$  mutant. The fluconazole MIC decreased from 0.25 to <0.0625

TABLE 3 Activity of azoles in *S. cerevisiae* containing *C. albicans* *ERG11* alleles

<i>S. cerevisiae</i>	Resistance mechanism/genotype	MIC ( $\mu\text{g/ml}$ [fold difference <sup>a</sup> ])				
		Fluconazole	Itraconazole	Posaconazole	Voriconazole	Isavuconazole
DSY595 <sup>b</sup>	YKKB13 and <i>C. albicans</i> <i>ERG11</i>	4 (1)	0.25 (1)	0.5 (1)	0.125 (1)	0.125 (1)
DSY1109	YKKB13 and <i>C. albicans</i> <i>ERG11</i> (G129A)	4 (1)	0.25 (1)	0.5 (1)	0.0156 (1)	0.125 (1)
DSY949	YKKB13 and <i>C. albicans</i> <i>ERG11</i> (S405F)	8 (2)	0.25 (1)	0.5 (1)	0.125 (1)	0.25 (2)
DSY952	YKKB13 and <i>C. albicans</i> <i>ERG11</i> (Y132H)	8 (2)	0.5 (2)	0.25 (1)	0.25 (2)	0.5 (4)
DSY950	YKKB13 and <i>C. albicans</i> <i>ERG11</i> (G464S)	8 (2)	0.25 (1)	0.5 (1)	0.125 (1)	0.125 (1)
DSY951	YKKB13 and <i>C. albicans</i> <i>ERG11</i> (R467K)	8 (2)	0.25 (1)	0.5 (1)	0.125 (1)	0.25 (2)
DSY963	YKKB13 and <i>C. albicans</i> <i>ERG11</i> (S405F/Y132H)	128 (32)	1 (4)	0.5 (1)	2 (16)	1 (8)
DSY965	YKKB13 and <i>C. albicans</i> <i>ERG11</i> (G464S/Y132H)	32 (8)	0.5 (2)	0.5 (1)	2 (16)	1 (8)
DSY1107	YKKB13 and <i>C. albicans</i> <i>ERG11</i> (G464S/R467K)	32 (8)	0.25 (1)	0.5 (1)	0.25 (2)	8 (4)
DSY1108	YKKB13 and <i>C. albicans</i> <i>ERG11</i> (G464S/G129A)	15 (4)	0.25 (1)	0.5 (1)	0.125 (1)	0.25 (2)
DSY612	YKKB13 and YEp51 <sup>c</sup>	1 (0.25)	0.0625 (0.25)	0.25 (0.5)	0.0078 (0.0625)	0.0625 (0.5)

<sup>a</sup> Fold difference is relative to the level for DSY595 for each azole.

<sup>b</sup> Baseline strain.

<sup>c</sup> Yeast replicating vector (parent vector) into which *ERG11* alleles were cloned.



TABLE 4 Azole MIC quality controls

Reference strain	MIC ( $\mu\text{g/ml}$ )				
	Fluconazole	Itraconazole	Posaconazole	Voriconazole	Isavuconazole
<i>C. albicans</i> ATCC 928	0.125	0.0625	0.0078	<0.0039	0.0078
<i>C. krusei</i> ATCC 6258	32	0.125	0.125	0.125	0.5
<i>C. tropicalis</i> ATCC 750	0.5	0.0078	0.0156	0.0078	0.0312
<i>C. parapsilosis</i> ATCC 22019	2	0.0625	0.0625	0.0156	0.125
<i>C. glabrata</i> ATCC 930	4	0.125	0.125	0.0312	0.125

$\mu\text{g/ml}$  in the absence of *CDR1*. MIC decreases in itraconazole and posaconazole were less pronounced, 0.0625 to 0.0156  $\mu\text{g/ml}$ , when multiple transporters were deleted.

**Susceptibility testing of *C. albicans* mutants with inactivation of known azole resistance mechanisms.** The DSY3706 derivative, which lacks *TAC1* (the transcriptional activator of *CDR1* and *CDR2*) and contains WT *ERG11*, had MICs for all azoles tested that were similar to those of DSY294, which is the susceptible parent strain (Table 8). Reintroduction of the *TAC1* muta-

tion in DSY3606-1 increased the MIC of fluconazole by approximately 32-fold. The largest increases in MICs for isavuconazole were observed in the DSY3606-1 (64-fold) and DSY296 (128-fold) strains. These strains contain *TAC1* GOF mutations, suggesting that increases in *CDR1* and *CDR2* are associated with reduced susceptibility to isavuconazole. The G464S mutation in DSY296 resulted in the largest increases in MICs (128-fold) for fluconazole, voriconazole, and isavuconazole. The reintroduction of *ERG11* WT alleles into the *TAC1* deletion mutant DSY3083 re-

TABLE 5 Activity of azoles in *C. albicans* clinical isolates with known resistance mechanisms

<i>C. albicans</i> clinical strain	Resistance mechanism/genotype	MIC ( $\mu\text{g/ml}$ [fold difference <sup>b</sup> ])				
		Fluconazole	Itraconazole	Posaconazole	Voriconazole	Isavuconazole
DSY281	WT	0.250 (1)	0.125 (1)	0.031 (1)	0.004 (1)	0.016 (1)
DSY284	<i>ERG11</i> (S405F), <i>TAC1</i> (G980E) <sup>a</sup>	8 (32)	0.25 (2)	0.25 (8)	0.063 (16)	0.25 (16)
DSY347	WT	0.250 (1)	0.125 (1)	0.125 (1)	0.004 (1)	0.031 (1)
DSY288	<i>ERG11</i> (S405F)	0.5 (2)	0.125 (1)	0.125 (1)	0.031 (8)	0.063 (2)
DSY289	<i>ERG11</i> (S405F, Y132H), <i>TAC1</i> (A736V)	>128 (512)	2 (16)	0.5 (4)	4 (1026)	8 (256)
DSY348	<i>ERG11</i> (S405F), <i>TAC1</i> (A736V)	8 (32)	1 (8)	0.5 (4)	0.063 (16)	1 (32)
DSY290	WT	0.500(1)	0.063 (1)	0.063 (1)	0.004 (1)	0.008 (1)
DSY291	<i>ERG11</i> (G464S, R467K)	2 (1)	0.25 (4)	0.063 (1)	0.031 (8)	0.031 (4)
DSY292	<i>ERG11</i> (G464S, R467K, Y132H), <sup>a</sup> <i>MRR1</i> (P683H) <sup>a</sup>	128 (256)	1 (16)	0.25 (4)	0.5 (128)	2 (256)
DSY294	WT	0.5 (1)	0.016 (1)	0.063 (1)	0.004 (1)	0.016 (1)
DSY296	<i>ERG11</i> (G464S), <i>TAC1</i> (N977D)	64 (128)	0.125 (8)	0.25 (4)	0.5 (128)	2 (128)
DSY2321	<i>ERG11</i> (S405F) <sup>a</sup>	<0.125 (1)	0.031 (1)	0.063 (1)	0.004 (1)	<0.0039 (1)
DSY2322	<i>ERG11</i> (S405F), <i>TAC1</i> (G980E)	16 (128)	1 (32)	0.5 (8)	0.125 (32)	2 (513)
DSY2323	<i>ERG11</i> (S405F), <i>TAC1</i> (G980E)	32 (256)	0.5 (16)	0.5 (8)	0.125 (32)	2 (513)
DSY731	WT	0.250 (1)	0.063 (1)	0.031 (1)	0.004 (1)	0.008 (1)
DSY732	<i>TAC1</i> ( $\Delta$ M677)	16 (64)	1 (16)	0.5 (16)	0.25 (64)	4 (513)
DSY735	<i>TAC1</i> ( $\Delta$ M677), i (5L)	64 (256)	0.5 (8)	1 (32)	0.25 (64)	2 (256)
DSY544	WT	0.125 (1)	0.063 (1)	0.063 (1)	<0.0039 (1)	<0.0039 (1)
DSY775	<i>ERG11</i> (G464S), <i>TAC1</i> (G980W)	64 (512)	1 (16)	0.5 (8)	0.5 (128)	2 (513)
DSY2309	WT	2 (1)	0.125 (1)	0.125 (1)	0.004 (1)	<0.0039 (1)
DSY750	<i>MMR1</i> (N378D) <sup>a</sup>	16 (8)	0.25 (2)	0.125 (1)	0.063 (16)	0.063 (16)
DSY751	<i>ERG11</i> (S405F), <i>MMR1</i> (N378D) <sup>a</sup>	128 (64)	0.5 (4)	0.25 (2)	0.125 (32)	1 (256)
DSY2243	<i>ERG11</i> (S442F, R467K)	0.25 (1)	0.125 (1)	0.063 (1)	0.063 (1)	<0.0039 (1)
DSY2242	<i>ERG11</i> (S442F, R467K), <i>TAC1</i> (G980E)	8 (32)	0.5 (4)	0.5 (8)	1 (16)	1 (256)
DSY2284	WT	0.125 (1)	0.063 (1)	0.063 (1)	0.004 (1)	0.004 (1)
DSY2285	<i>MRR1</i> (T896I), i (5L)	64 (512)	0.25 (4)	0.25 (4)	0.125 (32)	0.25 (64)
DSY550	WT	0.125 (1)	0.016 (1)	0.016 (1)	0.004 (1)	0.008 (1)
DSY551	<i>ERG11</i> (G464S, Y132H)	64 (512)	0.5 (32)	0.5 (32)	2 (513)	2 (256)
DSY520	<i>TAC1</i> (N972D)	16 (1)	0.063 (1)	0.5 (1)	1 (1)	0.250 (1)
DSY522	<i>ERG11</i> (G464S), <i>TAC1</i> (N972D)	128 (8)	2 (32)	1 (2)	2 (2)	2 (8)
DSY2250	<i>ERG11</i> (S442F, G465S)	1 (1)	0.125 (1)	0.031 (1)	0.004 (1)	0.008 (1)
DSY2251	<i>ERG11</i> (S442F, G465S), <i>TAC1</i> (N972D)	32 (32)	1 (8)	4 (128)	0.25 (64)	4 (513)
DSY741	WT	0.125 (1)	0.063 (1)	0.125 (1)	0.008 (1)	0.008 (1)
DSY742	<i>MRR1</i> (T360I)	16 (128)	0.250 (4)	0.125 (1)	0.031 (4)	0.125 (16)
DSY757	WT	0.5 (1)	0.031 (1)	0.063 (1)	<0.0039 (1)	<0.0039 (1)
DSY758	<i>ERG11</i> (G464S, F145L), <sup>a</sup> <i>TAC1</i> (A736V)	32 (64)	0.5 (16)	0.25 (4)	0.125 (32)	1 (256)

<sup>a</sup> Heterozygous state.

<sup>b</sup> Fold difference is relative to the WT or to the most susceptible isolate for each related isolate group.

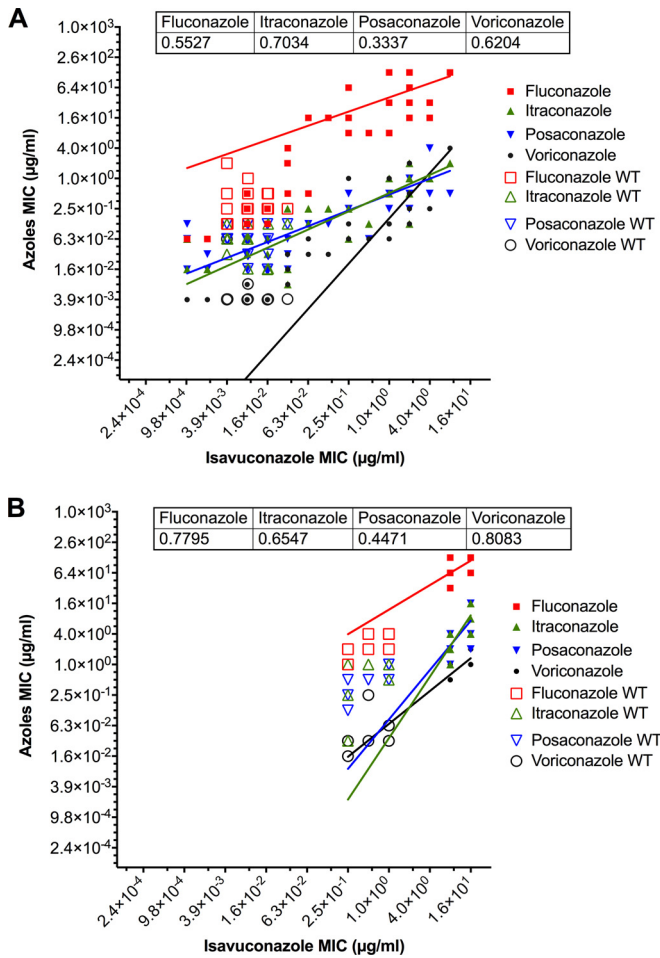


FIG 1 Relationship of isavuconazole MICs to those of other azoles for *C. albicans* isolates (MICs are from Tables 5, 7, and 8) (A) and *C. glabrata* isolates (MICs are from Table 6) (B). Correlations were calculated with nonlinear regression fits and log-log lines as an option using GraphPad Prism 6. The  $R^2$  values are indicated within figure captions. Wild-type (WT) isolates and non-WT isolates with resistance mechanisms are shown with empty and filled symbols, respectively.

sulted in MICs that were decreased by 16-fold (fluconazole) and 4-fold (voriconazole) in strain DSY3706.

## DISCUSSION

This study assessed the mechanisms of isavuconazole resistance in *Candida* species using either *S. cerevisiae* as a vector for expressing specific azole resistance genes or various *C. albicans* and *C. glabrata* isolates with known resistance mechanisms to determine azole susceptibility. Isavuconazole had mechanisms of resistance similar to those of other azoles. Its range of activity was comparable to that of voriconazole in the *Candida* strains tested in this study.

The presence or absence of ABC transporters had the greatest effect on the MICs of isavuconazole as well as those of voriconazole, posaconazole, and itraconazole. The expression of *CDR1*, *CDR2*, *CgCDR1*, and *CgCDR2* in *S. cerevisiae* resulted in elevated isavuconazole MICs compared to those of the controls, indicating that isavuconazole is a substrate for these transporters. This is particularly the case with the *CDR1* and

*CgCDR1* transporters of *C. albicans* and *C. glabrata*, respectively. The expression of these transporters resulted in the largest increases in MICs for isavuconazole. Changes in MICs due to the expression of *CDR* genes were similar to those for isavuconazole, voriconazole, and itraconazole, although isavuconazole exhibited average MIC increases compared to those of other drugs.

*MDR1* and *FLU1* expression did not result in increases in MIC levels for isavuconazole, demonstrating that isavuconazole is not a substrate for MFS transporters. The opposite was observed for fluconazole and voriconazole, for which increases in MICs were linked to the expression of these transporters. This is supported in a study by Cheng et al. (43) in which *MDR1* overexpression in a *C. albicans* petite mutant was associated with increased resistance to fluconazole and voriconazole, but the strain remained susceptible to itraconazole and ketoconazole.

The MIC increases for isavuconazole were moderate when associated with *ERG11* mutations in the *S. cerevisiae* model. The MICs for fluconazole demonstrated the greatest variations, followed by those for voriconazole. A closer look at all azole susceptibility profiles indicated that the profile of fluconazole was distinct from those of isavuconazole and itraconazole. Interestingly, posaconazole MICs exhibited no changes with any of the mutant alleles expressed in *S. cerevisiae*. The largest effect on the MIC for isavuconazole was with *S. cerevisiae* strains expressing *ERG11* alleles with the Y132H mutation either alone or combined with mutation S405F or G464S. These results are consistent with recent Erg11p crystallographic data published by Monk et al. (44), who posited that individual azole molecules interact with specific Erg11p residues depending on their structure. Therefore, we expect that *ERG11* mutations do not have the same effect on the binding efficiency of specific azoles.

When testing *C. albicans* and *C. glabrata* isolates, MIC changes for isavuconazole were similar to those observed for fluconazole, voriconazole, itraconazole, and posaconazole. In general, isavuconazole was more active in *C. albicans* than in *C. glabrata* (MIC ranges of 0.004 to 8  $\mu\text{g/ml}$  and 0.25 to 16  $\mu\text{g/ml}$ , respectively). This difference also has been observed in other studies (20, 45). *C. albicans* clinical isolate DSY289 had the highest isavuconazole MIC (8  $\mu\text{g/ml}$ ). This strain originally was isolated from an HIV-positive patient who had oropharyngeal candidiasis treated with fluconazole (25); this strain is associated with the *ERG11* mutation S405F/Y132H and with the A736V mutation in *TAC1*. The *TAC1* mutation is associated with increased *CDR1* and *CDR2* levels (29). This suggests that combined resistance mechanisms result in high resistance levels against isavuconazole and other azoles. This is consistent with data in the present study, in which the MICs of non-WT isolates were shifted to higher values than those of WT isolates. However, comparison of relative increases in MICs for fluconazole (512-fold) and voriconazole (1,026-fold) to that of isavuconazole (256-fold) indicates that the effect of a combined azole resistance mechanism was reduced on isavuconazole.

Increases in MICs for isavuconazole in *C. glabrata* clinical isolates were observed when the *CgPDR1* GOF mutation was present in non-WT isolates. These mutations cause the upregulation of *CgCDR1* and *CgCDR2* (46, 47). Relative increases for isavuconazole ranged from 8- to 32-fold, similar to values reached by other azoles. However, the analysis of correlation coefficients between relative MIC increases by each azole in specific isolates highlighted

TABLE 6 Activity of azoles in *C. glabrata* clinical isolates with known resistance mechanisms

<i>C. glabrata</i> clinical strain	Resistance mechanism/genotype	MIC ( $\mu\text{g/ml}$ [fold difference <sup>a</sup> ])				
		Fluconazole	Itraconazole	Posaconazole	Voriconazole	Isavuconazole
DSY562	WT	2 (1)	1 (1)	0.5 (1)	0.25 (1)	0.5 (1)
DSY565	<i>CgPDR1</i> (I280F)	64 (32)	4 (4)	2 (4)	1 (4)	16 (32)
DSY1041	<i>Cgcdr1</i> $\Delta$	2 (1)	1 (1)	0.5 (1)	0.0312 (0.12)	1 (2)
DSY1612	<i>Cgcdr2</i> $\Delta$	32 (16)	1 (1)	1 (2)	0.5 (2)	8 (16)
DSY1613	<i>Cgcdr1</i> $\Delta$ , <i>Cgcdr2</i> $\Delta$	1 (0.5)	0.0312 (0.03)	0.125 (0.25)	0.0156 (0.06)	0.25 (0.5)
DSY529	WT	4 (1)	1 (1)	0.5 (1)	0.0312 (1)	0.5 (1)
DSY530	<i>CgPDR1</i> (E1083Q)	64 (16)	2 (2)	2 (4)	0.5 (32)	8 (16)
DSY2324	WT	2 (1)	1 (1)	0.25 (1)	0.0312 (1)	0.25 (1)
DSY2325	Mutation petite	128 (64)	2 (0.5)	2 (8)	1 (32)	8 (32)
DSY724	WT	4 (1)	0.5 (1)	1 (1)	0.0625 (1)	1 (1)
DSY726	WT	2 (0.5)	0.25 (0.5)	0.5 (0.5)	0.0312 (0.5)	0.25 (0.25)
DSY727	<i>CgPDR1</i> (D876Y)	128 (32)	8 (16)	4 (4)	1 (16)	16 (16)
DSY2270	WT	4 (1)	1 (1)	1 (1)	0.0625 (1)	1 (1)
DSY2271	<i>CgPDR1</i> (D261G)	128 (32)	16 (16)	16 (16)	2 (32)	16 (16)
DSY759	WT	4 (1)	1 (1)	1 (1)	0.0312 (1)	1 (1)
DSY2268	<i>CgPDR1</i> (S316I)	64 (16)	4 (4)	4 (4)	0.5 (16)	8 (8)

<sup>a</sup> Fold difference relative to the level for the WT for each related isolate group.

that the susceptibility profile of isavuconazole was closely related to those of posaconazole and itraconazole in *C. albicans* but distinct from that of fluconazole. In *C. glabrata* this tendency was not verified, since the profile of isavuconazole was more closely related to that of fluconazole. The present study also reflects these features, as the MIC profile for isavuconazole was similar to the MIC profile for itraconazole in *C. albicans* and for the fluconazole profile in *C. glabrata*. Azole resistance mechanisms in *C. albicans* and *C. glabrata* are not equally distributed between the two species and therefore may have contributed to the observed susceptibility profile differences. On the other hand, isavuconazole exhibits a chemical structure that is different from those of the two structurally related groups of fluconazole/voriconazole and posaconazole/itraconazole (48). Therefore, isavuconazole might adopt susceptibility profiles that cannot be predicted simply from structural resemblance to the two known azole groups.

The absence of *CDR1* from *C. albicans* mutants had the greatest effect on the MICs of azoles. The lowest MICs for both fluconazole and isavuconazole were associated with *CDR1* knockout either on its own or in association with the deletion of other transporters.

Isavuconazole and fluconazole had similar MIC profiles in these mutants, while decreases in MICs were less pronounced for itraconazole and posaconazole. The inactivation of known resistance mechanisms demonstrated that *TAC1* had the greatest effect on isavuconazole, with its deletion resulting in decreases in MICs and its reintroduction increasing them.

This study suggests that isavuconazole follows the resistance patterns observed in other azoles, with slight variations depending on the investigated fungal species. Currently, there is a lack of data on isavuconazole susceptibility patterns among clinical *Candida* isolates. In an Egyptian epidemiology study, all strains of *Candida* isolated from 187 patients were susceptible to isavuconazole (range, < 0.016 to 1  $\mu\text{g/ml}$ ), while overall resistance to voriconazole was 2.5% in all strains (49). Another study demonstrated isavuconazole to be highly active against 296 *Candida* bloodstream isolates; the activity of isavuconazole was more potent than that of fluconazole against all organisms tested, and often it was more potent than that of itraconazole or voriconazole (20). It also was noted that only two isolates, both *C. glabrata*, had a MIC for isavuconazole of >0.5  $\mu\text{g/ml}$ . In a study investigating approximately 1,400 *Candida* species, isa-

TABLE 7 Activity of azoles in *C. albicans* multidrug transporter mutants

<i>C. albicans</i> transporter mutant	Resistance mechanism/genotype	MIC ( $\mu\text{g/ml}$ )				
		Fluconazole	Itraconazole	Posaconazole	Voriconazole	Isavuconazole
CAF2-1	<i>URA3/ura3</i> $\Delta$	0.25	0.0625	0.0625	<0.0039	0.0078
DSY448	<i>cdr1</i> $\Delta/\Delta$	<0.0625	0.0625	0.0625	<0.0039	<0.001
DSY465	<i>mdr1</i> $\Delta/\Delta$	0.25	0.0625	0.0625	<0.0039	0.0078
DSY468	<i>cdr1</i> $\Delta/\Delta$ <i>mdr1</i> $\Delta/\Delta$	<0.0625	0.0625	0.0156	<0.0039	<0.001
DSY653	<i>cdr2</i> $\Delta/\Delta$	0.125	0.0625	0.0156	<0.0039	0.0078
DSY654	<i>cdr1</i> $\Delta/\Delta$ <i>cdr2</i> $\Delta/\Delta$	<0.0625	0.0156	0.0156	<0.0039	0.002
DSY1054	<i>flu1</i> $\Delta/\Delta$	0.25	0.0625	0.0625	<0.0039	0.0156
DSY1021	<i>cdr1</i> $\Delta/\Delta$ <i>cdr2</i> $\Delta/\Delta$ <i>flu1</i> $\Delta/\Delta$	<0.0625	0.0625	0.0625	<0.0039	<0.001
DSY1024	<i>cdr1</i> $\Delta/\Delta$ <i>cdr2</i> $\Delta/\Delta$ <i>flu1</i> $\Delta/\Delta$ <i>mdr1</i> $\Delta/\Delta$	<0.0625	0.0156	0.125	<0.0039	<0.001
DSY1050	<i>cdr1</i> $\Delta/\Delta$ <i>cdr2</i> $\Delta/\Delta$ <i>mdr1</i> $\Delta/\Delta$	<0.0625	0.0156	0.0312	<0.0039	0.002
DSY1055	<i>flu1</i> $\Delta/\Delta$ <i>mdr1</i> $\Delta/\Delta$	0.25	0.0156	0.125	<0.0039	0.0156

TABLE 8 Activity of azoles in *C. albicans* mutants reconstituting the azole-susceptible parent

<i>C. albicans</i> ERG11/TAC1 mutant	Resistance mechanism/genotype	MIC ( $\mu\text{g/ml}$ [fold difference <sup>a</sup> ])				
		Fluconazole	Itraconazole	Posaconazole	Voriconazole	Isavuconazole
DSY294	WT	0.5 (1)	0.0156 (1)	0.0156 (1)	<0.0039 (1)	0.0156 (1)
DSY296	ERG11 (G464S), TAC1 (N977D)	64 (128)	0.125 (8)	0.25 (16)	0.5 (128)	2 (128)
DSY3082	ERG11, tac1 $\Delta/\Delta$	0.5 (1)	0.0156 (1)	0.0156 (1)	0.0078 (2)	0.0312 (2)
DSY3083	ERG11 (G464S), tac1 $\Delta/\Delta$	4 (8)	0.0078 (0.5)	0.0312 (2)	0.0156 (4)	0.0312 (2)
DSY3706	tac1 $\Delta/\Delta$ , ERG11	0.25 (0.5)	0.0156 (1)	0.0156 (1)	<0.0039 (1)	0.0156 (1)
DSY3606-1	tac1 $\Delta/\Delta$ , ERG11, TAC1-5 (N977D)	8 (32)	0.125 (4)	0.0625 (4)	0.0625 (16)	0.5 (64)
DSY3608-2	tac1 $\Delta/\Delta$ , ERG11, TAC1-1	0.125 (0.5)	0.0312 (1)	0.0156 (1)	<0.0039 (1)	0.0156 (2)
DSY3604	tac1 $\Delta/\Delta$ , ERG11 (G464S) <sup>b</sup>	0.5 (1)	0.0312 (2)	0.0312 (2)	0.0078 (2)	0.0078 (0.5)

<sup>a</sup> Fold difference relative to the level of the WT for each azole.

<sup>b</sup> Heterozygous state.

isavuconazole exhibited high activity. A few isolates with high isavuconazole MICs in this study (1 to 8  $\mu\text{g/ml}$ ) could not be analyzed for their cross-resistance to other azoles (42). *C. albicans* and *C. glabrata* isolates were directly compared by using isavuconazole MICs. Fluconazole- and voriconazole-resistant isolates corresponded to high isavuconazole MICs in *C. albicans* (0.12 to 1  $\mu\text{g/ml}$ ) and *C. glabrata* (1 to 8  $\mu\text{g/ml}$ ), which overlap values obtained in the study reported here (42).

Isavuconazole activity has been investigated in other fungal species with respect to a possible correlation between azole resistance and levels of isavuconazole MICs. One study on *Aspergillus fumigatus* highlighted that the acquisition of resistance to voriconazole and itraconazole due to Cyp51 mutations was followed by an increase of isavuconazole MICs compared to those of susceptible isolates (50). Thus, isavuconazole does not deviate from other azoles in the characteristic decrease of activity in the presence of azole resistance mechanisms.

In conclusion, isavuconazole, as a substrate of multidrug ABC transporters, has properties in common with other azoles. However, in contrast to fluconazole and voriconazole, isavuconazole is not a substrate for the MFS transporter *MDR1* or *FLU1*. Isavuconazole was sensitive to mutations in *ERG11*, but these mutations had less impact on MIC increases in isavuconazole than they did on MIC increases for fluconazole and voriconazole. These mutations had minimal effect on MICs of posaconazole. Isavuconazole had an activity range similar to that of voriconazole in the *Candida* strains tested in this study.

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