

## ORIGINAL ARTICLE

# Incidence and clinical implication of tumor cavitation in patients with advanced non-small cell lung cancer induced by Endostar, an angiogenesis inhibitor

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## Keywords

Activated circulating endothelial cells; angiogenesis; cavitation; Endostar; non-small cell lung cancer.

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## Introduction

Lung cancer is the most frequently diagnosed cancer in developed nations.<sup>1</sup> More than 80% of patients are diagnosed with non-small cell lung cancers (NSCLCs), which usually present as incurable locally advanced or metastatic disease.<sup>1</sup> For such patients, a platinum-based doublet is the standard first line therapy, which results in median survival times of eight to 10 months.<sup>2</sup> Recent investigation to improve treatment for

## Abstract

**Background:** Antiangiogenesis plays a key role in the treatment of non-small lung cancer (NSCLC). We observed the cavitation of lesions in patients with stage IIIB/IV NSCLC treated with Endostar and vinorelbine-cisplatin (NP) chemotherapy, and evaluated the imaging characteristics and clinical outcome of patients who developed tumor cavitation.

**Methods:** Our study included 105 untreated NSCLC patients who received Endostar in combination with NP chemotherapy at the Tianjin Lung Cancer Center. Chest computed tomography (CT) was performed to evaluate the efficacy every two cycles. The number of activated circulating endothelial cells (aCECs) was measured by flow cytometry. Rates of tumor cavitation were documented and their clinical CT imaging data were analyzed.

**Results:** Tumor cavitation occurred in 11 of the 105 (10.5%) patients treated with Endostar and NP. The response rates were 37.2% (35/94) in patients without cavitation, 27.3% (3/11) evaluated by Response Evaluation Criteria in Solid Tumors, and 100.0% (11/11) if evaluated by an alternate method in patients who developed cavitation. Three of the 11 cases with cavitation had a centrally located tumor. No patients had hemoptysis or any other severe side effects. Compared with patients not developing cavitation, cavity formation resulted in a longer median survival time (13.6 vs. 11.8 months,  $P=0.011$ ) and an increase in the number of aCECs ( $244.4/10^5$  vs.  $23.3/10^5$ ,  $P=0.000$ ).

**Conclusions:** Intratumoral cavitation induced by Endostar is common in NSCLC patients, and is not correlated with squamous histology, tumor location or pulmonary hemorrhage. Cavitation might have a significant effect on the number of aCECs and overall prognosis.

NSCLC has focused on targeted therapies, including angiogenesis inhibitors.<sup>3</sup> Bevacizumab, a monoclonal antibody to vascular endothelial growth factor (VEGF), has demonstrated efficacy (improved response rates [RRs] and overall survival [OS]) in phase II and III trials in combination with standard first-line chemotherapy in NSCLC.<sup>4,5</sup>

In 1997, Folkman *et al.* first identified endostatin, another antiangiogenesis agent, in the conditioned media of heman-gioendothelioma cells as an antiangiogenic molecule.<sup>6</sup>

Animal studies demonstrated that endostatin strongly inhibited the growth of a variety of murine and xenotransplanted human tumors by suppressing the neovascularization. Thus, it was quickly pushed into clinical trials.<sup>7</sup> It was apparently unsuccessful in clinical trials, however, because of problems with the technique for recombinant human endostatin production.<sup>8</sup> Reduced solubility of the recombinant endostatin prepared from *Escherichia coli* led to failure in clinical therapy. A soluble form of endostatin is available from a yeast system that has a relatively low yield and high cost, which has made it difficult to produce endostatin in quantities sufficient for extensive clinical evaluation.<sup>9</sup>

Endostar is a recombinant humanized endostatin purified in an *Escherichia coli* system.<sup>10</sup> Compared with endostatin, Endostar has an additional nine amino acid sequence (MGGSHHHHH) added to the N-terminal of the protein, which results in the formation of a six-histidine tag and enhancement of affinity with metal ions.<sup>11</sup> These changes have simplified the purification and improved the stability of the protein. It is reported that Endostar had a potent effect in animal tumor models, and the half-life of Endostar was longer than endostatin.<sup>12</sup> Preclinical data suggest that Endostar inhibits the migration of endothelial cells for angiogenesis, suppresses the formation of new tumor blood vessels, obstructs the nutrition supply for tumor cells, and, thus, inhibits the proliferation or metastasis of tumors.<sup>13</sup>

A randomly controlled, double blind and multi-center Phase III clinical trial was conducted on the combined administration of Endostar and the vinorelbine-cisplatin (NP) regimen in 493 stage IIIB/IV NSCLC patients.<sup>14,15</sup> The results showed that the addition of Endostar to the NP regimen resulted in a significant improvement in the RR (35.4% vs. 19.5%,  $P = 0.0003$ ), median time to tumor progression (6.3 vs. 3.6 months,  $P < 0.001$ ), and clinical benefit rate (76.5% vs. 65.0%,  $P = 0.023$ ) compared with NP alone in advanced NSCLC patients. Based on systemic preclinical and clinical studies, Endostar was approved by the State Food and Drug Administration (SFDA) in China for the treatment of NSCLC in September 2005.<sup>16</sup>

The current criteria for evaluating antiangiogenic efficacy are insufficient as tumor shrinkage occurs after blood perfusion decreases. Circulating endothelial cells (CECs), which mainly consist of endothelial progenitor cells (EPC) from the bone marrow and endothelial cells shed from the walls of blood vessels, are rarely found in the blood of a healthy body, but increase dramatically during tumor progression where they play an essential role in tumor angiogenesis. Circulating endothelial cells also decrease significantly after effective chemotherapy or tumor resection. Apparently, changes in CEC levels could reflect development and suppression of angiogenesis.

We observed tumor cavitation in a patient treated with Endostar and chemotherapy in a phase III clinical trial in

2004. More patients with cavitation of pulmonary lesions after antiangiogenic therapy have been noted in recent years. The purpose of this retrospective study is to evaluate the frequency, imaging characteristics, and clinical data of patients receiving Endostar and NP chemotherapy who developed tumor cavitation, and correlate these findings with the change of activated circulating endothelial cells (aCECs) and overall prognosis.

## Patients and methods

### Patient selection and treatment

One hundred and five patients with histologically proven NSCLC who were treated with Endostar in combination with NP chemotherapy at the Tianjin Lung Cancer Center between January 2007 and January 2012 were considered for our retrospective study. All patients in our study were proven stage IIIB or IV NSCLC who had never received antiangiogenic therapy or any other treatment including chemotherapy or radiotherapy, with a Karnofsky performance status  $\geq 70\%$  or an Eastern Cooperative Oncology Group performance status of 0 to 1, with stable hepatic, hematologic, and renal functions. Pathologic type and tumor location were not exclusion criteria. Patients with cardiac disease or hemorrhagic disease were excluded. In this study, patients received 25 mg/m<sup>2</sup> vinorelbine on days one and eight, 75 mg/m<sup>2</sup> cisplatin on day one, and 7.5 mg/m<sup>2</sup> Endostar from day one to day 14, every 21 days (generally 4–6 treatment cycles). All of the agents were administered intravenously. All patients were treated at a single center (Tianjin Lung Cancer Center) and had complete clinical data, including chest computed tomography (CT), performed every two cycles of treatment. The number of aCECs was measured by flow cytometry (FCM) at the same time. Our lung cancer center approved our retrospective study, and this study was in compliance with the Health Insurance Portability and Accountability Act regulations.

### Clinical assessments

Clinical data were retrieved from the database system at the Tianjin Lung Cancer Center, Tianjin Cancer Institute & Hospital. Information obtained included: patient's age, gender, stage of disease, histology of lung cancer, clinical response, adverse events, total number of treatment cycles, progression-free survival (PFS) and survival time. Information about drug toxicity was based on the original investigator report and was graded according to the National Cancer Institute Common Toxicity Criteria. Evaluation of the oncologic clinical response was based on two methods. One was the conventional method for response assessment, Response Evaluation Criteria in Solid Tumors (RECIST).<sup>17</sup> The other

was an alternate, modified method introduced by Crabb *et al.*, especially used in tumor cavitation after antiangiogenic therapy.<sup>18</sup> They concluded that incorporating an assessment of cavitation when measuring target lesions might more accurately reflect changes in tumor volume. It was used for target lesions in which the longest diameter of any cavitation (zero if no cavity present) was subtracted from the longest total diameter of the lesion, with each measurement taken in the same plane, to provide an alternate measure that was used to calculate the sum of measurements for all target lesions. All other details regarding the assessment of non-target lesions for this alternate method were identical to RECIST.

### Radiologic evaluation

All patients included in the study had chest CT 14 days before starting Endostar and NP chemotherapy. The CT section thickness used was 10 mm. Follow-up scans were performed every 42 days. A full retrospective radiology review was performed by one of two radiologists blinded to clinical details and outcome. Response evaluation was performed first by RECIST. Patients with tumor cavitation after treatment also received response assessment according to the alternate method described by Crabb *et al.* Imaging characteristics of the cavity in the tumor, including tumor location, histology, pre- and post-therapeutic longest diameter of tumor, longest diameter of cavity, wall of cavity, and distribution of the cavity were recorded.

### Sample assay for activated circulating endothelial cells (aCECs)

Blood samples were obtained two days before the beginning of each therapeutic cycle and the last blood sample was collected on the eighth day after completion of the last cycle. All blood samples were anticoagulated with ethylenediamine tetraacetic acid (EDTA), stored at 4°C and processed within 36 hours after collection.

FCM was used to determine aCECs (CD45<sup>-</sup>, CD146<sup>+</sup>, CD105<sup>+</sup>). One hundred  $\mu$ l of blood specimens were anticoagulated with EDTA. All antibodies were purchased from Becton Dickinson (USA) except for CD105, which was purchased from Chemicon (USA). The samples were incubated for 30 minutes in the dark with 10  $\mu$ L of the following basic combinations of fluorescein isothiocyanate (FITC), phycoerythrin (PE) and PE-Cy5 antibodies: CD45-PE-Cy5, CD146-PE, CD105-FITC and isotype control IgG1 from mice. After incubation, red blood cells were lysed with lysing solution (purchased from Beckman Coulter, USA) for 20 minutes in the dark and then washed three times in phosphate-buffered saline (PBS) by centrifugation. Using the forward-scatter/side-scatter (FS/SS) gating strategy, the acquisition was performed by FCM (Beckman Coulter,

EPICS-XL) equipped with a 488 nm argon-ion laser. A minimum of 100 000 events was collected for each sample. The data of each sample was analyzed by Software-System II (Beckman Coulter).

### Statistical analysis

Continuous variables were given as mean  $\pm$  standard deviation (SD) and categorical variables as absolute numbers. Descriptive statistics were used to summarize the baseline and adverse events of patients. Statistical differences between the two groups were assessed with the *t* test for continuous variables (e.g. comparison of the number of aCECs between the with and without cavitation groups) and the chi-square and Fisher's exact test for categorical variables (e.g. RR between the with and without cavitation groups). A log-rank test was used to compare the PFS and OS in the two groups. All tests were two-sided. A *P* value < 0.05 was considered statistically significant. The statistical analysis was performed using SPSS 16.0 software package.

## Results

### Patient characteristics

From January 2007 to January 2012, 105 patients with advanced NSCLC received Endostar combined with NP chemotherapy as first line therapy at the Tianjin Lung Cancer Center. Our analysis included 57 men and 48 women, with a mean age of  $59.4 \pm 8.7$  years (range, 31–74 years), who received at least two cycles of Endostar and NP chemotherapy. All patients were followed up from starting treatment for seven to 24 months with a median of 14 months. The majority of patients had stage IV disease ( $n = 91$ , 86.7%). There were 45 adenocarcinoma (42.9%), 40 squamous carcinoma (38.1%), seven large-cell carcinoma (6.7%), eight sarcomatoid carcinoma (7.6%) and five adenosquamous carcinoma (4.7%). Grade I/IV neutropenia occurred in 54 patients (51.4%), and grade I/IV thrombocytopenia occurred in 15 patients (14.3%). Mild hypertension occurred in 19 patients (18.1%), and grade I/II adverse cardiologic reactions, including myocardial ischemia and atrial premature beat, occurred in 14 patients (13.3%). Forty-seven patients (44.7%) had grade I/II nausea. No patients had hemoptysis or any other severe side effects. The gender, tumor stage, histology, and adverse events of the patients' with/without tumor cavitation in this study are listed in detail in Table 1.

### Tumor cavitation and clinical, radiologic data

No cavity was detected in any of the patients at the start of treatment. Pulmonary cavitation occurred in 11 cases of 105 in Endostar plus NP chemotherapy (10.5%). The median

**Table 1** Baseline and adverse events of non-small cell lung cancer patients receiving Endostar and vinorelbine-cisplatin

Characteristics	No. Cavitation <i>n</i> (%)	Cavitation <i>n</i> (%)	Cavitation/ Total (%)
Gender			
Male	55 (58.5)	6 (54.5)	9.8
Female	39 (41.5)	5 (45.5)	11.4
Tumor histology			
Adenocarcinoma	40 (42.6)	5 (45.5)	11.1
Squamous carcinoma	37 (39.4)	3 (27.3)	7.5
Other	17 (18.1)	3 (27.3)	15.0
Tumor stage			
IIIB	12 (12.8)	2 (18.2)	14.2
IV	82 (87.2)	9 (81.8)	9.9
Adverse events			
Hypertension	16 (17.0)	3 (27.3)	15.8
Cardiac disease	11 (11.7)	3 (27.3)	21.4
Pulmonary bleedings	0	0	0
Nausea/vomiting	41 (43.6)	6 (54.5)	12.8
Gastrointestinal bleedings	0	0	0
Deep vein thrombosis	5 (5.3)	1 (9.1)	16.7
Fatigue	42 (44.7)	6 (54.5)	12.5
Total number	94	11	10.5

time from the beginning of therapy to the development of cavitation was  $7.6 \pm 2.8$  weeks: eight cases (72.7%) after six weeks and three cases (27.3%) after 12 weeks. Among 94 patients who did not develop cavitation, 35 (37.2%) experienced partial response (PR) (no complete response [CR]). Among 11 patients who developed cavitation, only three (27.3%) experienced PR if evaluated by RECIST. However, all of them achieved PR (100%) if evaluated by the alternate method described by Crabb *et al.* (Table 2). There was also a significant difference in RR (evaluated by alternate method)

and median survival time (Table 3). There was no significant difference in PFS ( $P = 0.068$ , Table 3).

CT showed that eight of these 11 cases had a peripherally located tumor (involvement in segmental and subsegmental airways); only three of them had a centrally located tumor (involvement in main bronchus and lobar bronchi). There was only one cavity in each case and most of the pulmonary cavities of the tumor were roundish with an average diameter of  $3.0 \pm 1.1$  cm. There were six cavities with thin and five with thick walls. Eight cavities were situated in the center of the tumor and three were eccentric. Of the 11 patients, there were six men and five women; five with adenocarcinoma, three with squamous carcinoma, one with large-cell carcinoma, one with adenosquamous carcinoma, and one with sarcomatoid carcinoma. Imaging characteristics are listed in Table 4 and shown in Figure 1.

### Tumor cavitation and change of aCECs

In general, the number of aCECs had a tendency to decrease from  $366.1/10^5$  to  $307.2/10^5$  ( $P = 0.059$ ) after treatment with Endostar and NP in this study. It decreased especially in patients achieving PR or stable disease (SD) (from  $389.2/10^5$  to  $294.5/10^5$ ,  $P = 0.010$ ). In patients with progressive disease (PD), no significant difference in the number of aCECs was found (from  $305.7/10^5$  to  $371.1/10^5$ ,  $P = 0.257$ ). The number of aCECs decreased remarkably in 11 patients with tumor cavitation after treatment ( $P = 0.001$ ). There was no difference in pre-therapy aCECs between the groups with and without cavitation ( $P = 0.757$ ). On the contrary, a significant difference was found in post-therapy aCECs ( $P = 0.000$ ), and in the number of aCECs (pre-therapeutic amount minus post-therapeutic amount) ( $P = 0.000$ ) between them. The numbers of aCECs in detail are shown in Figure 2 and Table 3.

**Table 2** Response assessment and change of activated circulating endothelial cells of 11 cases of tumor cavitation

No.	Response by RECIST	Response by Alternate Method	Change of aCECs ( $/10^5$ )		
			Pre-therapy (X)	Post-therapy (Y)	Difference (X-Y)
1	SD	PR	261	16	245
2	SD	PR	781	74	707
3	SD	PR	150	0	150
4	PR	PR	133	11	122
5	SD	PR	291	64	227
6	SD	PR	361	125	236
7	SD	PR	485	106	379
8	PR	PR	653	89	564
9	PR	PR	315	121	194
10	SD	PR	295	88	207
11	SD	PR	264	106	158

aCECs, activated circulating endothelial cells; PR, partial response; RECIST, Response Evaluation Criteria in Solid Tumors; SD, stable disease.

**Table 3** Response assessment change of activated circulating endothelial cells in non-small cell lung cancer patients receiving Endostar and vinorelbine-cisplatin

Response and aCECs	No Cavitation <i>n</i> (%)	Cavitation <i>n</i> (%)	<i>P</i>
Response assessment†			
Complete response	0	0	
Partial response	35 (37.2)	11 (100)	0.008
Stable	39 (41.5)	0	
Progression	20 (21.3)	0	
Progression-free survival† (median, months)	4.2	4.5	0.068
Overall survival time (median, months)	11.8 ± 0.2	13.6 ± 0.8	0.011
Change of aCECs			
Pre-therapy (X, /10 <sup>5</sup> )	367.6 ± 170.2	362.6 ± 201.1	0.757
Post-therapy (Y, /10 <sup>5</sup> )	344.3 ± 149.3	118.2 ± 96.3	0.000
Difference (X-Y, /10 <sup>5</sup> )	23.3 ± 127.9	244.4 ± 122.7	0.000

†Objective response and progression-free survival was assessed by alternate method. aCECs, activated circulating endothelial cells.

## Discussion

The presence of an abnormal hollow space within the lung parenchyma, either partially filled with fluid or vacant, is defined as a lung cavity. Cavitation in the pulmonary parenchyma can be caused by a wide variety of pathologic conditions, such as pneumonia, tuberculosis, and cancer.<sup>19</sup> As patients with advanced NSCLC have a median survival of approximately 10 months when treated with traditional platinum-based therapy, inhibition of tumor-related angiogenesis has become an attractive target for anticancer therapy. Marom *et al.* first elaborated in their study on the development of tumor cavitation after treatment of antiangiogenesis agents in 10 different clinical trials.<sup>20</sup> In our study, we analyzed the clinical and imaging data of 11 patients who developed tumor cavitation caused by Endostar and NP chemotherapy. Endostar, a kind of recombinant humanized endostatin and an endothelial cell growth inhibitor with independent intellectual property rights in China, was categorized to novel antiangiogenesis drugs.<sup>21,22</sup> Multi-center clinical trials have proved that combinative use of Endostar and chemotherapy can raise the RR and TTP (time to progression) of advanced NSCLC.<sup>14,15</sup> To our knowledge, no previous reports on pulmonary cavitation caused by Endostar have been published. Our analysis of 105 advanced NSCLC patients treated with Endostar and NP chemotherapy showed that 11 patients developed pulmonary cavitation (10.5%). Both their objective RR and median survival time appeared to be superior to those of patients who did not develop cavitation, which did not match Marom *et al.*'s results.<sup>20</sup>

Bevacizumab, a monoclonal antibody with a high affinity for VEGF, is the most studied antiangiogenesis agent in patients with NSCLC.<sup>23,24</sup> In a randomized phase II trial, a higher incidence of bleeding was noted in the bevacizumab-treated patients.<sup>4</sup> Severe pulmonary hemorrhage, which was observed in six patients (9.1%) and led to four fatalities, was associated with squamous cell histology, tumor cavitation,

central tumors, and disease location close to major blood vessels. In a phase III trial (E4599), there was also a significantly higher incidence of hematologic toxicities, febrile neutropenia, hemorrhage, hypertension, and proteinuria for bevacizumab-treated patients.<sup>5</sup> Thus, advanced NSCLC patients with squamous histology are not eligible for bevacizumab treatment.

During phase I-III clinical trials, Endostar was administered to 470 advanced patients.<sup>15,25</sup> Frequent adverse reactions (1–10%) mainly occurred in the heart, and rare adverse reactions (0.1–1%) occurred mainly in the digestive system and in skin/annexa allergies. Thirty patients (6.38%) had degree I/II or mild/moderate adverse cardiologic reactions – mainly myocardial ischemia within two to seven days of administration of the Endostar – but these symptoms did not pose a danger to the life of the patients. In patients with previous coronary heart disease and hypertension, Endostar can induce mild ST-T change, atrioventricular conduction blocking, atrial premature beat, and rare ventricular premature beat. Most adverse reactions affecting the digestive system are reversible, and mild cases do not require symptomatic treatment. No death related to adverse reactions was observed in this multi-center trial of 470 Endostar treated patients.

In view of the results above, patients with cardiac or hemorrhagic disease were excluded from treatment with Endostar. However, pathologic type and tumor location were not exclusion criteria. Forty (38.1%) patients with squamous carcinoma were treated in our study. Eight of them had centrally located tumors with infiltration of central blood vessels prior to starting the treatment, but none developed tumor cavitation or pulmonary bleeding. Fortunately, no pulmonary hemorrhage occurred in any of the 11 patients with cavitation in our study. Interestingly, our study showed that only three squamous cell lung cancer patients developed pulmonary cavitation and another eight patients had no squamous carcinoma, central tumor or tumor located near major blood vessels. The difference in the frequency of hemorrhage

**Table 4** Imaging characteristics of 11 cases of tumor cavitation induced by Endostar and vinorelbine-cisplatin

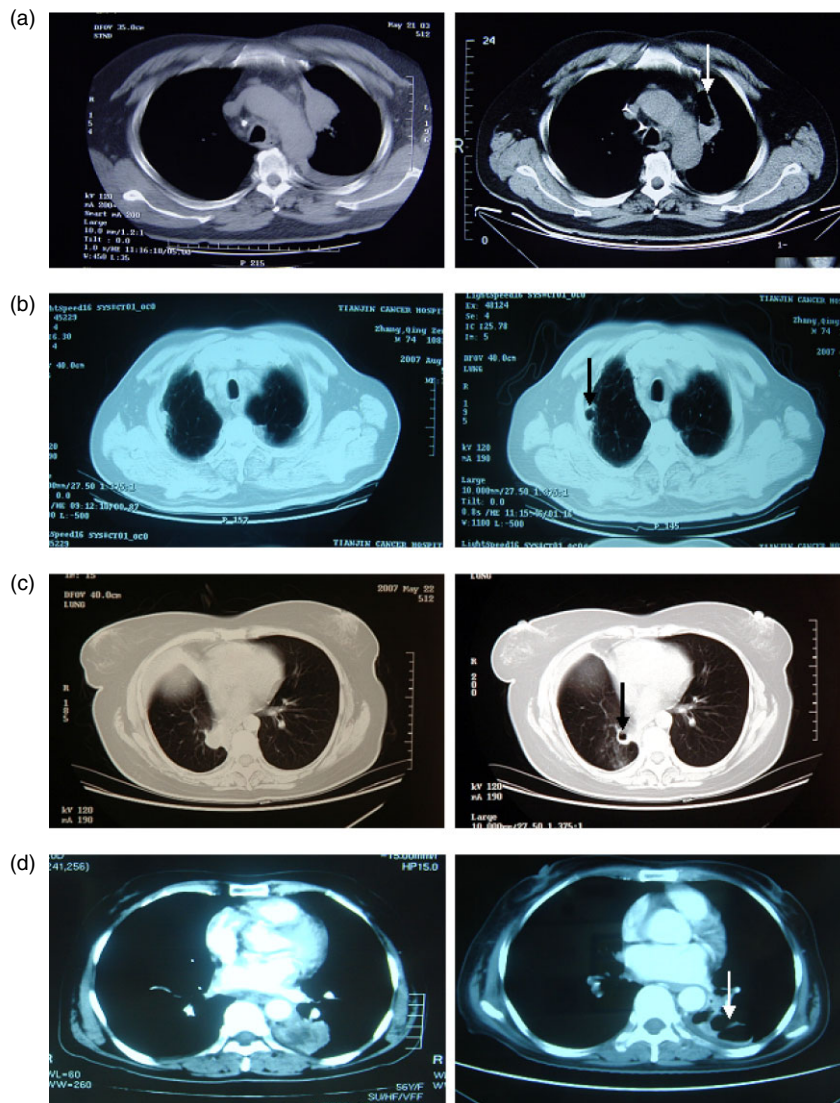
Case No.	Tumor location	Histology	Longest diameter of tumor			Longest diameter of active tumor (Z = X-Y, cm)	Percentage of tumor loss (A-Z/A, %)	Wall of cavity†	Distribution of cavity
			Pre-therapy (A, cm)	Post-therapy (X, cm)	Longest diameter of cavity (Y, cm)				
1	Superior lobe of left lung (Peripheral)	Adenocarcinoma	6.6	6.4	4.1	2.3	65.2	Thick	Decentered
2	Superior lobe of right lung (Peripheral)	Adenosquamous carcinoma	1.9	1.9	1.7	0.2	89.5	Thin	Centered
3	Inferior lobe of right lung (Peripheral)	Adenocarcinoma	2.2	2.0	1.9	0.1	95.5	Thin	Centered
4	Superior lobe of right lung (Peripheral)	Sarcomatoid carcinoma	4.2	2.8	1.5	1.3	69.0	Thick	Centered
5	Inferior lobe of left lung (Peripheral)	Adenocarcinoma	4.8	4.5	4.3	0.2	95.8	Thin	Centered
6	Right lung (Central)	Squamous carcinoma	5.1	4.8	3.9	0.7	86.3	Thick	Centered
7	Left lung (Central)	Squamous carcinoma	6.0	5.2	4.1	1.1	81.7	Thick	Decentered
8	Inferior lobe of left lung (Peripheral)	Large-cell carcinoma	3.9	2.6	2.3	0.3	92.3	Thin	Centered
9	Superior lobe of right lung (Peripheral)	Adenocarcinoma	4.5	2.9	2.5	0.4	91.1	Thin	Centered
10	Inferior lobe of Left lung (Central)	Squamous carcinoma	6.0	5.6	3.5	2.1	65.0	Thick	Decentered
11	Inferior lobe of right lung (Peripheral)	Adenocarcinoma	4.0	3.4	3.1	0.3	92.5	Thin	Centered

†Thick wall is defined as  $\geq 5$  mm in the diameter of wall of cavity, with thin wall defined as  $< 5$  mm.

between bevacizumab and Endostar has remained unclear so far.

We also investigated 98 NSCLC patients treated with NP chemotherapy alone in our lung cancer center from January 2007 to January 2012. As a concurrent control, none of them developed tumor cavitation during treatment. According to our experience, very few patients with lung cancer will develop tumor cavitation after chemotherapy alone. With the introduction of more antiangiogenesis agents into clinical practice in recent years, frequency in the development of tumor cavitation has tended to increase.<sup>18,20</sup> However, how to assess tumor response in patients with tumor cavitation is not clear. In our study, three patients attained PR and eight patients attained SD according to RECIST, whereas all of them achieved PR if assessed by the Crabb *et al.* method. We think that RECIST, widely used in response assessment of conventional cytotoxic agents, has limitations with angiogenesis inhibitors, especially when cavitation occurs. One important reason is that RECIST, which focuses on the change in the sum of the longest diameter of target lesions, does not seem to adequately describe change in tumor tissue volume if cavitation is present. The alternate method introduced by Crabb *et al.* is more accurate. In this study, cavitation patients had a longer survival time than non-cavitation patients. We believe that the main reason for this is that all cavitation patients (100%) achieved PR, while only 35 non-cavitation patients (36.5%) achieved PR (all evaluated by the alternate method).

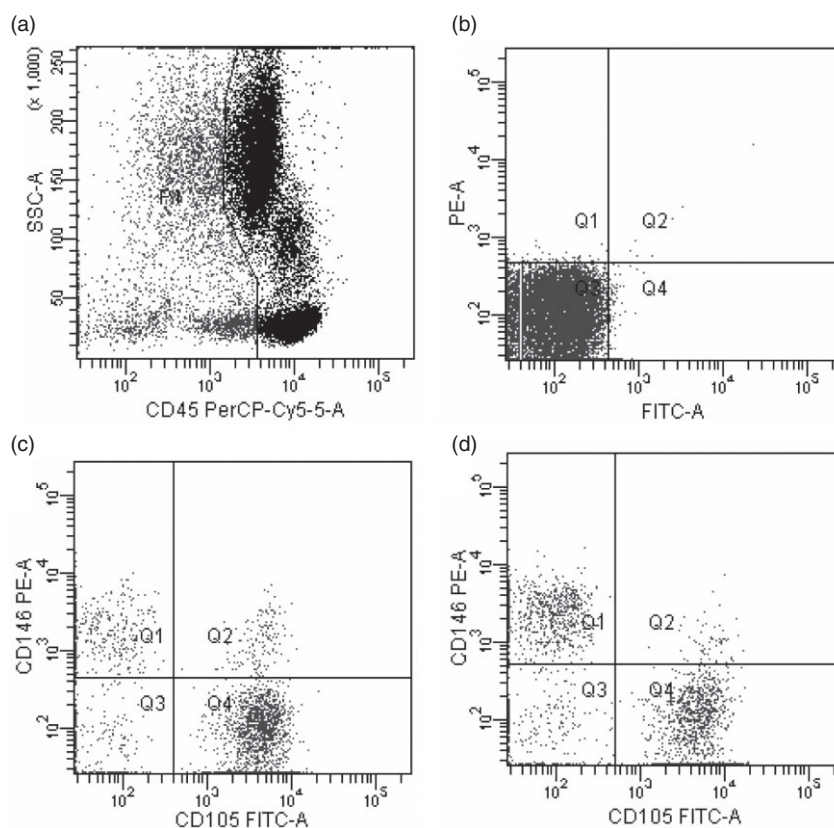
CEC plays an important role in angiogenesis.<sup>26-29</sup> Mancuso *et al.* reported that resting and activated endothelial cells are increased in the peripheral blood of cancer patients.<sup>30</sup> Beerepoort *et al.* found that increased levels of viable circulating endothelial cells are an indicator of progressive disease in cancer patients.<sup>31</sup> CECs are recognized as executive endothelial cells of capillaries in normal tissue, and endothelial progenitor cells (EPCs) in marrow running into peripheral circulation after activation by cytokines secreted by tumor cells. They are termed as “aCECs” for their ability to migrate, proliferate, and form neo-vessels around tumors. In our study, we chose CD45-CD146+CD105+ to mark aCECs (Fig. 1). This is because CD146 is regarded to characterize most endothelial cells,<sup>30</sup> expressing on almost all endothelial cells in circulating blood, including EPCs.<sup>32</sup> CD105 is considered to be a good marker of aCECs,<sup>30,33</sup> with CD45 used to eliminate the confounding of monocytes (CD45+) in blood. Our study revealed that, in general, the amount of aCECs tended to decrease after treatment with Endostar and NP ( $P = 0.059$ ). It particularly decreased in patients achieving clinical benefit ( $P = 0.010$ ). No significant difference was found in the change of aCECs in patients with PD ( $P = 0.257$ ). A potential link between change of aCECs and tumor cavitation has not been reported. Our data indicated that patients who developed tumor cavitation after antiangiogenesis and chemo-



**Figure 1** Tumor cavitation of four patients. **(a)** Case 1 – a 54-year old man with lung adenocarcinoma. Pulmonary cavitation in the left superior lobe mass near the aortic arch occurred after two cycles of Endostar and vinorelbine-cisplatin chemotherapy. The patient died of progressive disease (PD) 13.6 months after initiation of treatment. **(b)** Case 2 – a 74-year old man with lung adenosquamous carcinoma. Pulmonary cavitation in the right superior lobe mass near the chest wall occurred after two cycles of therapy. The patient died of PD 14.5 months after initiation of treatment. **(c)** Case 3 – a 44-year old woman with lung adenocarcinoma. Pulmonary cavitation in the right inferior lobe mass occurred after two cycles of therapy. The patient survived for 38 months after the initiation of treatment. **(d)** Case 4 – a 56-year old woman with lung adenocarcinoma. Pulmonary cavitation in the left inferior lobe mass near the aorta descendens occurred after two cycles of therapy. The patient died of PD 13.5 months after initiation of treatment.

therapy experienced a remarkable decrease in the number of aCECs, from  $362.6/10^5$  to  $118.2/10^5$ , which was much higher than that of patients without tumor cavitation after treatment ( $P = 0.000$ ). Specifically, the amount of aCECs was reduced from  $150.0/10^5$  to  $0/10^5$  (undetectable level by FCM) in one patient with a significant cavitation whose OS time amounted to 38 months. We hypothesized that tumor cavitation in combination with the decrease in the number of aCECs indicated a good prognosis of NSCLC treated with antiangiogenesis.

Our study has some limitations. It is based on the retrospective analysis of patients from a single-institution. In addition, Endostar, a novel recombinant human endostatin, has not been widely used outside China. However, Endostar might exert antiangiogenic effects via a similar mechanism with endostatin, and moreover, Folkman *et al.* found that Endostar is at least twice as potent as endostatin in animal tumor models.<sup>12</sup> There are homogeneous standards in patient baseline conditions, therapeutic agents, and post study therapy.



**Figure 2** Circulating endothelial cell enumeration by flow cytometry. (a) Panels show the gate used to exclude CD45-positive cells; (b) Negative control; (c) Panels show the gate used to count activated circulating endothelial cells (aCECs) (defined as CD45<sup>-</sup> CD146<sup>+</sup> CD105<sup>+</sup>) pre-therapeutically; (d) Panels show the gate used to count aCECs (defined as CD45<sup>-</sup> CD146<sup>+</sup> CD105<sup>+</sup>) post-therapeutically.

## Conclusion

In conclusion, our data suggest that pulmonary cavitation of a tumor is one of the particular imaging characteristics found in NSCLC patients after treatment with Endostar and NP chemotherapy, seen in 10.5% of patients. We did not find any clear link between pulmonary hemorrhage and tumor cavitation. Cavitation induced by Endostar is not correlated with squamous histology or tumor location. The alternate method described by Crabb *et al.* is more reasonable than conventional RECIST in the response assessment of tumors with cavitation after antiangiogenic therapy. Cavitation seems to have a significant effect on the number of aCECs and overall prognosis.

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## Disclosure

No authors report any conflict of interest.

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