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Trends Plant Sci. Author manuscript; available in PMC 2017 January 01.

Published in final edited form as:

Author manuscript

Trends Plant Sci. 2016 January ; 21(1): 16-30. doi:10.1016/j.tplants.2015.08.014.

# CO<sub>2</sub> sensing and CO<sub>2</sub> regulation of stomatal conductance: advances and open questions

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# Abstract

Guard cells form epidermal stomatal gas exchange valves in plants and regulate the aperture of stomatal pores in response to changes in the carbon dioxide  $(CO_2)$  concentration in leaves. Moreover, the development of stomata is repressed by elevated  $CO_2$  in diverse plant species. Evidence suggests that plants can sense  $CO_2$  concentration changes via guard cells and via mesophyll tissues in mediating stomatal movements. We review new discoveries and open questions on mechanisms mediating  $CO_2$ -regulated stomatal movements and  $CO_2$  modulation of stomatal development, which together function in  $CO_2$ -regulation of stomatal conductance and gas exchange in plants. Research in this area is timely in light of the necessity of selecting and developing crop cultivars which perform better in a shifting climate.

#### Keywords

Atmospheric carbon dioxide; guard cell signaling; stomatal movements; stomatal development; climate; agriculture

# Importance of CO<sub>2</sub> regulation of stomatal conductance

Higher than ambient CO<sub>2</sub> concentrations mediate a closure of stomatal pores in plants and conversely, low CO<sub>2</sub> concentrations trigger opening of stomatal pores. Respiration in plant

The authors declare no conflicts of interest.

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leaves in the night (dark) causes a rapid rise in the intercellular CO<sub>2</sub> concentration (Ci) in leaves and measurements indicate that CO<sub>2</sub> levels can exceed 600 ppm (Figure 1A) CO<sub>2</sub> [1]. Moreover intercellular CO<sub>2</sub> concentrations ([CO<sub>2</sub>]) can rapidly drop to below 200 ppm in the light [165 $\pm$ 58 ppm] [1]. In parallel to the diurnal oscillation in Ci, global CO<sub>2</sub> levels have risen exponentially [2, 3] since the advent of the industrial revolution (Figure 1B). April 2014 was the first month in recorded history to have consistently had CO<sub>2</sub> levels above 400 ppm. This increase in atmospheric CO<sub>2</sub> causes a rise in leaf Ci. Stomatal pore apertures respond to these changes in Ci [4, 5]. A longer term effect of the continuing [CO<sub>2</sub>] rise is the down-regulation of stomatal development in the leaf epidermis [6]. This developmental response was first discovered almost 3 decades ago [6], and has been subsequently confirmed with evidence in the fossil record [7, 8]. While a preponderance of species exhibit this response, some species show either an opposite effect or are unresponsive to elevated CO<sub>2</sub> concentrations [9, 10]. Despite the prime importance of these responses, little has been known about the underlying genetic mechanisms.

The combined effect of the above two  $CO_2$  responses negatively impacts overall stomatal conductance on a global scale by reducing both stomatal apertures and the total numbers of stomata per unit leaf area. While decreased stomatal conductance is beneficial for limiting water loss from plant leaves [11] through reduced evapotranspiration, there is also a potential cost to such water savings. Fewer and more closed stomata in response to elevated  $CO_2$  levels also reduce the evapotranspirative cooling ability of leaves, which in turn adds to leaf heat stress [12-14] under water-limited growth regimens. Heat stress, combined with drought and rising temperatures can reduce plant health [15] and crop productivity globally, thus impacting agricultural practices and possibly nutrient content [16, 17] and supply [18]. Furthermore, a large stomatal conductance can correlate with improved crop yield [19–21], and thus the downregulation of stomatal conductance by CO<sub>2</sub> may contribute to suboptimal yields when sufficient water is available. Additionally, CO<sub>2</sub> concentrations also affect leaf development and leaf area in some species and these responses have a direct bearing on water conservation and improving biomass in plants. These varied responses to changes in atmospheric CO<sub>2</sub> levels complicate efforts to model and predict the effects of rising CO<sub>2</sub> levels on global gas exchange in forests and ecosystems. Future research and attempts to adapt crop production to climate change would benefit significantly from a molecular understanding of CO2-regulated plant gas exchange. Here we summarize recent discoveries of molecular and cellular mechanisms mediating CO<sub>2</sub> regulation of stomatal movements. Interested readers are directed to reviews summarizing previous metabolic and physiological aspects of stomatal function [22–24]. We also review a recently uncovered initial framework [25] for mechanisms mediating elevated CO<sub>2</sub> repression of stomatal development in plants, and point to open questions for further inquiry into this pathway. Predicting plant performance and plant responses to the combination of rising CO<sub>2</sub> and other environmental stresses such as heat and drought is reviewed elsewhere [12–14, 26–29].

### Convergence of CO<sub>2</sub> and ABA signaling

Pioneering research has shown that the plant hormone abscisic acid (ABA) enhances the stomatal  $CO_2$  response [30]. However, the precise signaling convergence point remains to be resolved [31–33]. Among the first genetic stomatal  $CO_2$  signaling components

discovered were the dominant abscisic acid (ABA) -insensitive PP2C protein phosphatase mutations, abi1-1 and abi2-1, which cause conditional CO<sub>2</sub> insensitivity [31, 34]. Molecular genetic components of the ABA and stomatal movement regulatory signal transduction machinery have been shown to be conserved over evolutionary timescales [35-37]. Interestingly, ABA signaling through ABA receptors, named PYR/RCARs, partially affects stomatal responses to elevated CO<sub>2</sub> [33]. A present model proposes that these ABA receptors may not directly mediate guard cell CO<sub>2</sub> signaling, but disruption slows CO<sub>2</sub> responses. These results suggest that CO2 and ABA responses are mediated by converging pathways, such that the CO<sub>2</sub> response is weakened in the complete absence of an ABA response. Thus two models could be considered: (i) ABA and ABA receptors function upstream of the convergence point of CO2 and ABA signaling, while synergistically amplifying common downstream signaling mechanisms [32, 33]. Or (ii) CO<sub>2</sub> elevation may rapidly (within 1 to 3 minutes) cause an elevation in the guard cell [ABA] concentration and thus mediate stomatal closing. The recent development of real time ABA reporters enables time-dependent monitoring of ABA concentration changes in single cells including guard cells [38, 39]. Such Förster resonance energy transfer (FRET) reporters will enable future analyses which address the question of whether [CO2] elevation causes a rapid [ABA] increase in guard cells.

## Roles for carbonic anhydrase enzymes in CO<sub>2</sub> signaling

The cellular sensing mechanisms for changes in CO<sub>2</sub> and/or bicarbonate concentrations that mediate rapid high CO<sub>2</sub>-induced stomatal movements are key to understanding the underlying response. Carbonic anhydrase enzymes functioning in guard cells have been shown to be important for the rapid wild type stomatal movement response to changes in  $CO_2$  levels [40]. These enzymes are beta carbonic anhydrases [40] and the  $CO_2$  response of the alpha and gamma classes of carbonic anhydrases [41] has not yet been determined. Interestingly, complementation of double mutant plants by expressing a structurallyunrelated mammalian carbonic anhydrase preferentially in guard cells restores the WT response [40]. These data indicate that the catalytic activity of the carbonic anhydrase enzymes is involved in "transponding" [42] the CO<sub>2</sub> stimulus in this pathway and point to bicarbonate and/or protons as possible second messengers involved in signal transduction. The importance of the role of bicarbonate in stomatal aperture regulation was found in patch clamp studies. Elevation in the cytoplasmic bicarbonate concentration activates anion channels in guard cells required for stomatal closing [32]. A recent study has suggested that a MATE transporter-like protein, RHC1, functions as a bicarbonate sensor in this pathway [43].

Two key questions for stomatal  $CO_2$  signaling are the tissue and sub-cellular sites of  $CO_2$  perception. Is  $CO_2$  sensed in the mesophyll or in the guard cells or in both cell types?  $CO_2$  perception in animals is currently believed to be a vestigial sensory mechanism [42] and studies in animals have linked carbonic anhydrases to the perception of carbonation [44]. Adenylyl cyclase enzymes have been shown to play important roles in  $CO_2$  sensing in mammalian and fungal systems [45, 46], but plant orthologs for these proteins have not been identified. Another area of scientific inquiry is whether photosynthesis plays a direct role in rapid  $CO_2$ -regulated stomatal movements. Of the six *Arabidopsis thaliana* beta carbonic

anhydrases, CA4 is localized at the plasma membrane while CA1 is mainly targeted to chloroplasts upon transient expression in tobacco (*Nicotiana benthamiana*) cells [40, 47]. This expression pattern raises the question: how does this expression pattern of carbonic anhydrases function in mediating stomatal responses to  $CO_2$ ?

In a recent study, the intracellular targeting of the  $\beta$ -carbonic anhydrases CA1 and CA4 in guard cells was characterized in relation to their roles in CO2 control of stomatal movements. Expression of fluorescently-tagged carbonic anhydrases in guard cells of calca4 double mutant plants showed that expression of CA4 at the plasma membrane or CA1 in guard cell chloroplasts can restore CO<sub>2</sub> -induced stomatal responses [48]. Mathematical modeling and experiments have revealed that spatial gradients of inter-cellular second messengers can play a role in signaling events [49]. In addition, recent computational and experimental studies in Xenopus laevis oocytes have demonstrated that increases or decreases in the external CO<sub>2</sub> concentration can lead to significant and transient spatial intracellular gradients of CO2 and its reaction products including bicarbonate [50]. In this mathematical model, the plasma membrane was assumed to be permeable to CO2 and carbonic anhydrase were assumed to be in the cytoplasm. Although reaction-kinetic parameters of carbonic anhydrases may be similar in plants, the size of guard cells is significantly smaller *than Xenopus laevis* oocytes:  $\approx 5 \,\mu m$  compared to  $\approx 1000 \,\mu m$ . This smaller dimension leads to a much smaller diffusional time scale, which can be estimated by taking the ratio of the square of the cell size and the diffusion constant. Taking the diffusion constant of CO<sub>2</sub> to be of the same order as in oocytes,  $D\approx 1000 \,\mu m^2/s$ , the diffusion time in guard cells is less than 1 s [48], which is faster than the typical time scale of stomatal responses. Thus, CO<sub>2</sub> gradients are unlikely to occur in guard cells and play a significant role in regulating stomatal opening and closing. Consistent with this analysis, recent mathematical modeling addressing the spatial localization of carbonic anhydrases showed virtually identical dynamics of HCO<sub>3</sub><sup>-</sup> concentration changes at any depth into modeled guard cells [48]. This modeling study predicts that carbonic anhydrases that are localized to the plasma membrane and to the cytoplasm produce effective intracellular HCO<sub>3</sub><sup>-</sup> concentration changes in guard cells, while the participation of the chloroplast localized CA, in this process, is less likely [48]. This simplified model, together with functional analyses of CA1 and CA4, predicted that intracellular HCO3<sup>-</sup> concentration in guard cells, driven by carbonic anhydrases at the plasma membrane or in the cytosol, can regulate stomatal CO2 responses. In addition, this research also suggests that in guard cells, chloroplast-localized carbonic anhydrases contribute to CO2 control of stomatal closing via an unknown plastidial mechanism that will require further investigation [48].

Based on the above model and findings, new gas exchange analyses of single carbonic anhydrase mutants support the model that the plasma membrane-localized CA4 plays an important role in  $CO_2$  signal transduction as the *ca4* mutant can show a slightly altered sensitivity, even if not always significant, to the  $CO_2$  stimulus (Figure 1C and D). The *ca1ca4* double mutant shows a clearly more pronounced slowed  $CO_2$  response [40, 48] (Figure 1E). One possible model to explain the enhanced phenotype in *ca1ca4* could be that either mutation alone causes a largely sub-threshold impairment in the  $CO_2$  response. However, overexpression of either CA is sufficient for recovery of a more wild type-like

 $CO_2$  response by over-coming this threshold effect [40, 48]. This threshold model is also consistent with the finding that the catalytic activity of carbonic anhydrases mediates the stomatal  $CO_2$  response [40], and that CAs accelerate the rate of CO2/HCO3-+H+ equilibration.

*thaliana*  $\beta$ CA1 is mainly targeted to the chloroplast stroma [47]. Furthermore, YFP-tagged  $\beta$ CA1 in guard cell chloroplasts restored stomatal responses to CO<sub>2</sub> [48]. These data raised the question, whether guard cell photosynthesis functions directly in the stomatal-CO<sub>2</sub> response. Transgenic plants in which chlorophyll was directly removed from guard cell chloroplasts, but not from mesophyll chloroplasts, showed that chlorophyll-lacking guard cells continue to show CO<sub>2</sub>-induced stomatal closing [51]. Together with pharmacological studies using norflurazon [52], these findings suggest that the role of  $\beta$ CA1 in CO<sub>2</sub> signaling does not lie in photosynthesis, and implies an unknown function of guard cell chloroplasts in the CO<sub>2</sub> response, which needs to be identified.

# Mesophyll and guard cell mechanisms of CO<sub>2</sub> signaling and a role for photosynthesis

Photosynthesis plays a well-documented role in  $CO_2$  regulation of stomatal apertures by lowering the intercellular  $CO_2$  concentration (Ci) in leaves [4] (Figure 1A). In addition to this indirect function of photosynthesis via lowering of Ci, present studies have led to differing models for the site of direct  $CO_2$  sensing in the published literature (for reviews see [53, 54]). Some studies have shown a role for guard cells in  $CO_2$  sensing [52, 55–58]. Yet other studies indicate that mesophyll cells play a key role by directly sensing  $CO_2$  [59, 60]. Presently it cannot be excluded that two pathways (mesophyll and guard cell) converge. Genetic evidence for  $CO_2$  insensitive mutants in both pathways is needed. We will review each pathway separately.

#### CO<sub>2</sub> sensing in the mesophyll

Stomatal conductance was demonstrated to respond to intercellular [CO<sub>2</sub>] rather than external leaf surface [CO2] [4]. Based on results from experiments which showed that stomata in isolated epidermal tissues of Tradescantia pallida and Pisum sativum exhibited a limited response to CO<sub>2</sub> and that mesophyll tissue enhanced the response, it has been proposed that the mesophyll tissue in leaves plays an important role in CO<sub>2</sub> sensing [60, 61]. Studies revealed that an enhanced and reversible stomatal response to CO2 occurs when mesophyll tissues are placed upon an excised leaf epidermis [60]. Studies suggest that this CO<sub>2</sub> response involves a diffusible substance (cite here refs 60 and 62: Mott et al Fujita et al)., Adding a polyethylene (diffusion disruption) barrier impairs mesophyll amplification of the stomatal response [62]. This result was proposed to show that mesophyll-derived signals could be small diffusible molecules in the aqueous phase [62]. While the precise nature of the mesophyll to stomata signal is unknown, possible candidates include: sucrose [53] or malate [63]. Abscisic acid (ABA) should also be considered as a possible diffusible small molecule, as ABA plays a synergistic role in the CO<sub>2</sub> response [30, 33, 34, 64]. Notably, ABA was shown to amplify the CO2 response in Vicia faba guard cells which typically show a much more sluggish response to CO<sub>2</sub> without the addition of ABA [65].

Further research is needed to determine whether the level of the unknown mesophyllderived amplifying factor is itself regulated by  $CO_2$  concentration changes or whether such a factor is constitutively present, independent of  $CO_2$  and thus amplifies  $CO_2$  sensing mechanisms in guard cells. Identification of the mesophyll-derived signaling molecules is needed to elucidate the predicted  $CO_2$  signaling mechanisms. Isolation of genetic mutants that show  $CO_2$  insensitivity in regulation of stomatal movements and for which the underlying proteins function in mesophyll cells would also help advance an understanding of the underlying mechanisms.

#### Photosynthesis and CO<sub>2</sub>-controlled movements

Studies have proposed that leaf photosynthesis directly mediates stomatal function during  $CO_2$  regulation of stomatal movements [59, 60]. However, other studies suggest that these  $CO_2$  sensing mechanisms do not depend on leaf photosynthesis [52, 57, 66]. Altering the photosynthetic capacity via Rubisco antisense plant lines did not affect stomatal movement rates and conductance responses at ambient  $CO_2$  compared to the wild type [57, 66]. Norflurazon treated chlorophyll-less "albino" leaves showed functional  $CO_2$  regulation of stomatal movements [40, 52]. Studies with Phosphoenolpyruvate carboxylase (PEPC) antisense construct lines showed that in C4 plants, PEPC activity is required for stomatal aperture opening under high light fluxes and low Ci [67].

Photosynthesis can partake in red light-induced stomatal opening by the photosynthesisderived decrease in intercellular leaf space [CO<sub>2</sub>] (Ci) [68–70]. Similarly, increased intercellular [CO2] (Ci) in Xanthium strumarium leaves induces a decline of their stomatal conductance [59]. The slope of the stomatal conductance change was gradual until the transition of photosynthesis from Rubisco-limitation to electron transport-limitation, after which point the slope steeply declined with high values of Ci. A shift in the slope of stomatal conductance to intercellular [CO<sub>2</sub>] in concert with this type of photosynthesis limitation, was observed under different  $[O_2]$  and light intensities thus implicating a role of photosynthesis in determining stomatal aperture [59]. However, when the calculated intercellular CO<sub>2</sub> concentration was kept stable by adjusting ambient CO<sub>2</sub>, the majority of red light-induced stomatal opening was not dependent on mesophyll-induced reductions in intercellular CO2. Therefore this study also indicated a Ci-independent component of red light-induced stomatal opening. In addition, leaves treated with the photosystem II inhibitor DCMU still responded to CO<sub>2</sub> [59]. Taken together, these studies point to the question whether red light-induced stomatal opening occurs via more than one pathway, with one pathway mediated by low Ci signaling. A recent genetic study of the High Temperature ht1 mutant supports a model of a Ci-dependent and a Ci-independent component of red lightinduced stomatal opening [70]. Another recent study, showed that carbonic anhydrase calca4 double mutant plants did not affect whole leaf assimilation rates at a wide range of Ci concentrations from < 50 to > 1200 ppm CO<sub>2</sub> [51], further supporting the model that calca4 mutant does not affect the CO2 response via impairing whole leaf photosynthesis.

The function of guard-cell photosynthesis in  $CO_2$ -induced stomatal responses was genetically investigated by studying transgenic plants that lack chlorophyll specifically in guard cells [51]. Gas exchange analyses and stomatal movement analysis showed that  $CO_2$ -

induced stomatal closure is not directly mediated by guard-cell photosynthesis or electron transport. Interestingly, ~45% of the stomata in these lines showed a deflated "thin-shaped" stomatal morphology, suggesting a different but key role of guard cell photosynthesis in the energization and turgor production in guard cells. The role of photosynthesis in the direct response to  $CO_2$ -mediated stomatal conductance regulation remains a subject of debate.

# CO<sub>2</sub> sensing in guard cells

Several studies have shown the ability of isolated guard cells to respond to environmental stimuli (and specifically  $[CO_2]$  changes) suggesting that sensing and signaling pathway components for these reside in guard cells [40, 55, 56, 71–73]. One of the first reported instances is the classical work by J.D.B. Weyers and colleagues which showed that in response to changes in  $[CO_2]$ , isolated guard cell protoplasts swell and shrink [56, 73]. The presently identified genetic mutants that impair  $CO_2$  control of stomatal movements encode proteins which exert their function in guard cells including the carbonic anhydrases CA1, CA4 [40], the HT1 protein kinase [74], the SLAC1 anion channel [75, 76], the OST1 protein kinase [32, 77], the PATROL1 Munc13-like protein [78], the AtALMT12/QUAC1 R-type anion channel [79] and the RHC1 MATE transporter [43].

# Role for protein kinases

Thermal imaging of plant leaves is a convenient way to predict their transpiration and stomatal conductance [80, 81]. The *ht1* (*high leaf temperature 1*; *ht1-1* and *ht1-2*) mutant was a first CO<sub>2</sub> response mutant selected through a thermal imaging screen in Arabidopsis thaliana [74]. HT1 encodes a protein kinase, and ht1-1 mutation leads to decreased HT1 kinase activity resulting in a reduced stomatal CO2 response. The ht1-2 mutant abolishes measureable HT1 kinase activity. Leaf stomata of ht1-2 mutant plants show a constitutively "high" CO<sub>2</sub> response, resulting in a low stomatal conductance and thus elevated leaf temperature. Nonetheless, ht1-2 leaf stomata retain responses to blue light, fusicoccin and ABA. To date, at least five ht1 alleles have been isolated by the same strategy (Hashimoto-Sugimoto, unpublished). HT1 may be a central negative regulator of stomatal high  $CO_2$ signaling [74] (Figure 2A). The open stomata 1 (OST1) protein kinase is required for CO<sub>2</sub>induced stomatal closing [32]. A recent study has proposed that the HT1 protein kinase phosphorylates the OST1 protein kinase, thereby deactivating OST1 [43]. However, another study has found HT1 to be epistatic to OST1 in a high CO2-induced stomatal closing assay [70]. Further research is warranted to fully understand the roles of OST1 and HT1 in stomatal movement control.

## **Role for calcium**

Calcium ions have been shown to act as guard cell second messengers in CO<sub>2</sub> signal transduction in *Commelina communis* [72, 82] and *Arabidopsis thaliana* [58, 83]. An ABA-insensitive mutant, *gca2 (growth controlled by abscisic acid)* shows a similar  $[Ca^{2+}]_{cyt}$  transient rate at low and elevated CO<sub>2</sub>, and exhibits a strongly attenuated stomatal closure in response to elevated CO<sub>2</sub> in leaves [58]. The *gca2* mutant also exhibits an altered ABA-induced  $[Ca^{2+}]_{cyt}$  pattern in guard cells (Allen et al. 2001), suggesting GCA2 functions downstream of, or at the convergence point of CO<sub>2</sub> and ABA signaling. Research suggests

that the increased  $Ca^{2+}$  spiking rate of *gca2* mutant guard cells results from a more negative plasma membrane voltage in guard cells due to  $CO_2$  and ABA insensitivity [58]. Consistent with this model, more negative membrane potentials cause an increased  $Ca^{2+}$  spike rate in guard cells [84–86]. Cloning of the *GCA2* gene may help us better understand the synergistic mechanisms in  $CO_2$  and ABA signal transduction.

Wildtype guard cells of the Arabidopsis thaliana accession Landsberg erecta show a dampening of the cytosolic  $Ca^{2+}$  transient rate in response to elevated  $CO_2$  and ABA [58, 85], similar to Vicia faba and Commelina communis guard cells exposed to ABA [84, 87].  $CO_2$  induced dampening in the  $Ca^{2+}$  transient rate was not as clearly resolved in guard cells of the Arabidopsis thaliana Columbia accession when compared to the Landsberg accession (Figure 2B). These findings may be linked to a weaker stomatal closing response in the Arabidopsis thaliana Columbia guard cells relative to those in the Landsberg erecta accession. The findings that guard cells show such "spontaneous" Ca<sup>2+</sup> transients without ABA or CO<sub>2</sub>, but that CO<sub>2</sub> signaling requires cytosolic  $Ca^{2+}$  led to formulation of a "Ca<sup>2+</sup> sensitivity priming" hypothesis [58]. This model postulates that the stomatal closing stimuli,  $CO_2$  and ABA, enhance (prime) the Ca<sup>2+</sup> sensitivity of stomatal closing mechanisms, as has been found for abscisic acid [86, 88] and for CO<sub>2</sub>/ HCO<sub>3</sub><sup>-</sup> activation of S-type anion channels in guard cells [32]. Initial molecular, genetic, biochemical and cellular mechanisms mediating stimulus-induced Ca<sup>2+</sup> sensitivity priming have been identified for ABA signal transduction showing that type 2C protein phosphatases control the ABA-mediated Ca<sup>2+</sup> signal specificity [89].

#### Role for intracellular membrane trafficking

Stomatal opening is initiated by the activation of H<sup>+</sup>-ATPases in the guard cell plasma membrane. Low [CO<sub>2</sub>] triggers a more negative plasma membrane voltage (hyperpolarization), and elevated [CO<sub>2</sub>] is likely to inhibit proton efflux by plasma membrane H<sup>+</sup>-ATPases [55]. However, investigation of a direct link between CO<sub>2</sub> and H<sup>+</sup>-ATPase regulation remains to be characterized. The Arabidopsis thaliana patrol1 mutant isolated in a thermal imaging screen, is impaired in stomatal opening in response to low [CO<sub>2</sub>] and light [78]. The PATROL1 gene encodes a protein with a MUN domain [90]. Munc13 has been reported to be involved in membrane trafficking of neurotransmitter release in animals, and the MUN domain is the minimal Munc13 region sufficient for the activity of Munc13-1 in synaptic vesicle priming [90]. This suggests a molecular role of PATROL1 in intracellular membrane traffic. PATROL1 is expressed throughout plant tissues including guard cells, and located in endosomes. Intriguingly, environmental conditions affect the intracellular distribution of the PATROL1 protein. The PATROL1 protein is detected in close proximity to the plasma membrane of guard cells under stomatal opening conditions (well-watered plants and light), and is observed inside guard cells as numerous punctate structures under stomatal closure conditions (dark or desiccation). Loss of function mutation of *patrol1* disturbs the normal plasma membrane targeting of the Arabidopsis thaliana H<sup>+</sup>-ATPase AHA1, but does not affect that of the S-type anion channel SLAC1, and the inward rectifying potassium channel KAT1 [78]. This indicates that PATROL1 is target-selective and it has been proposed to function in targeting or tethering the AHA1 H<sup>+</sup>-ATPase to the plasma membrane during stomatal opening. Over-expression

of *PATROL1* in plants (PATROL1-OX) leads to a rapid and enhanced rise in stomatal conductance in response to low [CO<sub>2</sub>] or light, eventually resulting in higher CO<sub>2</sub> assimilation and increased biomass production than wild type plants [78]. Furthermore, PATROL1-OX plants show normal stomatal closure in response to high [CO<sub>2</sub>], darkness and desiccation. Whether the increased biomass of PATROL1-OX plants is solely due to stomatal conductance changes or additional roles in enhancing photosynthesis as well as cellular expansion will be interesting for future analyses

#### Plasma membrane regulators

Increased  $[CO_2]$  has been shown to enhance anion channel activity in guard cells [40, 69, 71, 91]. S-type anion channels in the plasma membrane of guard cells were proposed to provide a central control mechanism for stomatal closing [92]. Genetic evidence for this model was obtained in two studies. Thermography was used to isolate the Arabidopsis thaliana mutant cdi3 (carbon dioxide insensitive 3) that is impaired in CO<sub>2</sub>-dependent leaf temperature change [75]. The CDI3 protein is a distant homologue of bacterial and fungal C4-dicarboxylate transporters, and is localized specifically in the plasma membrane of guard cells. *cdi3* guard cell protoplasts exhibited a higher content of K<sup>+</sup>, Cl<sup>-</sup> and Malate<sup>2–</sup> [75]. Parallel to this research, the characterization of the ozone signaling mutant *rcd3* (radical induced cell death) in Arabidopsis thaliana revealed that rcd3Arabidopsis thaliana leaves are more sensitive to the ozone radical because stomatal closing is impaired in response to ozone, ABA, -,  $Ca^{2+}$  and  $CO_2$  [76]. The *RCD3* gene was independently mapped to the same C4-dicarboxylate transporter homologous gene [76]. Patch clamp analyses of rcd3 mutant alleles showed that S-type anion channel currents were greatly impaired, whereas the R-type anion channel and Ca<sup>2+</sup> channel currents were intact in mutant guard cells [76] providing genetic evidence for findings suggesting that S-type and R-type anion channels are distinct from one another [93]. These results supported the idea that CDI3/RCD3 encodes an essential anion transporting subunit of the Slow (S-type) anion channels in plants and was re-named SLAC1 [75, 76]. S-type anion channels in guard cells are activated by phosphorylation events [94, 95]. Electrophysiological experiments in Xenopus laevis oocytes demonstrated that in the presence of the protein kinase OST1 [77, 96], SLAC1 generates S-type anion channel activity [97, 98]. These studies provided evidence that SLAC1 encodes an S-type anion channel, which is activated by OST1-mediated phosphorylation. Recent research has focused on the regulatory events that trigger anion channel activation. The calcium-dependent protein kinases CPK21 and CPK23 phosphorylate and activate SLAC1 [99]. CPK6 with CPK3 was found to participate in the ABA- and Ca<sup>2+</sup>-dependent regulation of guard cell S-type anion channels and stomatal closure [100]. The functional reconstitution of ABA activation of SLAC1 was shown using either the CPK6 or OST1 protein kinases in Xenopus laevis oocytes [101].

Several regulators involved in the ABA-induced activation of SLAC1 have been identified. An example is the receptor-like kinase GHR1, which is mainly localized in the guard cell plasma membrane, and activates SLAC1 anion currents in *Xenopus laevis* oocytes [102]. However, the relative functions of the  $Ca^{2+}$ -dependent and  $Ca^{2+}$ -independent pathways, which are key for models of guard cell signaling *in planta*, remain unknown. It remains to be genetically dissected whether these two pathways function independently of one another.

Furthermore, the molecular mechanism by which CO<sub>2</sub> activates S-type anion channel activity is a matter of present interest. OST1 is a positive regulator of CO<sub>2</sub>-induced stomatal closing and activation of the S-type anion channels in guard cells [32]. Tian et al. recently reported [43] that a MATE-type transporter, RHC1, is activated by bicarbonate and functions upstream of HT1, and furthermore that HT1 directly phosphorylates OST1 and inhibits OST1-induced activation of SLAC1 (Figure 2A).

Various mechanisms have been suggested that couple a rise in  $[CO_2]$  to changes in the activity of plasma membrane ion channels. The apoplastic malate concentration rises from  $1.00 \pm 0.60$  to  $3.10 \pm 2.30$  mM in response to high [CO<sub>2</sub>], which can activate R-type anion channels in guard cells [103]. This rise in malate may result from malate efflux from guard cells, as stomatal closing has been shown to be accompanied by malate efflux [104]. Furthermore, R-type anion channels mediate malate efflux [79, 105]. An alternative model has also been proposed in which CO<sub>2</sub> causes malate release from mesophyll cells [103], but direct evidence or mechanisms for this alternate model have not yet been reported. The AtALMT12/QUAC1 gene was shown to encode the anion transporting activity of R-type anion channels in guard cells [79, 106]. AtALMT12/OUAC1, a member of the aluminumactivated malate transporter family in Arabidopsis thaliana, is highly expressed in guard cells and is targeted to the plasma membrane. Plants lacking AtALMT12/QUAC1 are impaired in CO<sub>2</sub>-induced stomatal closure, as well as in ABA responses. Electrophysiological studies of loss-of-function mutant guard cells and Xenopus laevis oocytes expressing the protein revealed that AtALMT12/QUAC1 represents the malatesensitive R-type anion channel [79].

The ABC transporter AtABCB14, was suggested to encode a malate uptake transporter in the guard cell plasma membrane [107]. Plants lacking the AtABCB14 transporter were reported to show a slightly more rapid high CO<sub>2</sub>-induced stomatal closure in comparison to wild type controls. However, in isolated epidermal strips that contained guard cells, no difference in stomatal CO<sub>2</sub> responses was observed between wild type and *atabcb14* mutant. The authors suggested that AtABCB14 removes extracellular malate which is known to activate anion channels [103] and consequently, that part of the CO<sub>2</sub> response is mediated by malate secreted into the apoplast. Recent research has also proposed a role for AtABCB14 in auxin transport [108]. Further research on AtABCB14 functions is needed to determine the range of transported substrates.

#### CO<sub>2</sub> modulation of stomatal development

[CO<sub>2</sub>] regulates the development of stomata [6, 109, 110] and wild type *Arabidopsis thaliana* plants of the Columbia ecotype show a slight repression in stomatal development at elevated CO<sub>2</sub> [111]. Responses of stomatal density to long-term CO<sub>2</sub> doubling are varied in different accessions of *Arabidopsis thaliana*, however, the majority of plant species exhibit reduced stomatal development [7, 109]. The mechanisms by which CO<sub>2</sub> levels can modulate stomatal development [112–118] are of interest given the continuing rise in atmospheric CO<sub>2</sub> levels.

Research has suggested that CO<sub>2</sub> control of stomatal development is mediated by Ci in leaves [119]. A cell wall wax biosynthesis mutant, *hic*, was shown to disrupt this response and exhibited an inverted developmental response, with more stomata being produced at elevated CO<sub>2</sub> in the hic mutant [120]. While the precise mechanism of action is unknown, HIC codes for a putative 3-keto acyl coenzyme A synthase which is involved in the synthesis of very-long-chain fatty acids. Interestingly, other genetic mutations which affect cell wall wax deposition in plants also show changes in stomatal development [120–123]. Presently unknown systemic signals which travel from mature to young leaves can function in CO<sub>2</sub>-mediated changes of stomatal density in emerging young leaves [124], and thus cuticular waxes may serve as candidates which affect the movement of diffusible signals. Recent research found that carbonic anhydrase mutant plants exhibit an inversion in their stomatal development response to elevated CO<sub>2</sub>, showing increased stomatal development at elevated [CO<sub>2</sub>] (500 ppm or 1000 ppm) compared to low [CO<sub>2</sub>] (150 ppm) grown plants [25]. These effects were observed in both cotyledons and mature rosette leaves [25]. The findings that elevated CO2 causes enhanced stomatal development in cotyledons of calca4 double mutant plants provides evidence that CO2 can also exert a developmental response without long distance leaf to leaf signaling [25]. The epidermal patterning factor gene EPF2 [125, 126] has been shown to the bind the ERECTA receptor kinase [127–129]. The ERECTA receptor kinase [130, 131] and transgenic modulation of EPF2 expression [132] have also been shown to play roles in determining transpiration and water use efficiency of plants via regulation of stomatal index. Interestingly, epf2 mutant alleles also show an inverted stomatal development phenotype at elevated CO<sub>2</sub> [25]. Activation of the EPF2-propeptide requires EPF2 cleavage. Cell wall proteome analyses led to the identification of the CRSP protease which can cleave EPF2 in vitro and which is involved in EPF2 function in vivo. Mutations in the CA1 and CA4 carbonic anhydrases, EPF2 and CRSP genes show an inverted stomatal development response to elevated CO2 [25]. CAs are required for high CO<sub>2</sub> upregulation of EPF2 and CRSP mRNAs based on qPCR analyses [25]. The mechanisms by which and whether carbonic anhydrases modulate EPF2 and CRSP activity remain to be determined. Several new questions emerge from this framework model (Figure 3); chief among them are: (i) Is CO<sub>2</sub> regulation of EPF2 and CRSP transcripts due to feedback modulation or is the CO2 response transcriptional and what are the transcriptional regulators mediating the elevated CO<sub>2</sub> response? (ii) What are the downstream signaling components beyond the EPF2 peptide and ERECTA receptor? (iii) Analyses of CRSP protease insertion mutant alleles have led to the suggestion that additional proteases may act in the CO<sub>2</sub> response [25] and hence, are there other proteases which cleave and activate EPF2? (iv) How is excess EPF2 degraded or sequestered? And (v) Why do the described mutants show an increase in stomatal density and index at elevated CO2 rather than a decrease?

While initial experiments have explored aspects of the effects of environmental stress on stomatal development [109, 118, 124, 133, 134], future genetic studies involving the specific effects of abiotic factors such as CO<sub>2</sub>, light, temperature and humidity [124, 133] will reveal if and how these signaling cascades overlap and interact. A recent study showed that ABA plays an important role in stomatal cell lineage specification [135] by regulating the initiation of stomatal development and inducing the expansion of epidermal pavement cells.

Another area of future research which appears promising is the response of plants at lower than ambient Ci that occurs in daylight [1]. The carbonic anhydrase mutants exhibit WT-like stomatal development at low CO<sub>2</sub> [6, 25]. These findings point to the possibility of a separate mechanism regulating stomatal development at these lower than ambient CO<sub>2</sub> levels. One possibility is that other carbonic anhydrases may play key roles at these low CO<sub>2</sub> concentrations and remain to be identified. Alternatively, if the enzymatic activity of carbonic anhydrases transmits the developmental CO<sub>2</sub> signal, it is plausible that at low [CO<sub>2</sub>] of 150 ppm, non-accelerated catalysis of CO<sub>2</sub> to bicarbonate and protons may be sufficient for this CO<sub>2</sub> response. The specific mechanisms of EPF2 peptide stability and abundance modulation are open questions and lend themselves to several avenues of future research which could target the precise timing of CO<sub>2</sub>-mediated stomatal developmental repression and the temporal scale of repressive inhibition.

## Concluding remarks and future directions

The observed transcriptional response to a  $CO_2$  stimulus of hundreds of genes [25, 136], including photosynthesis-linked genes, indicates that unidentified mechanisms for transcriptional control exist in this pathway, as well as in CO<sub>2</sub>-regulated photosynthesis. Future research aimed at isolating these players could involve bioinformatic mining of published data on stomatal gene networks and guard cell responses to environmental triggers [25, 136–142]. Systems and network biology tools could be employed to probe transcriptomic and proteomic resources to identify not only putative transcription factors, but also identify candidate response elements of known transcriptional regulators of the stomatal development and movement pathways. Such candidates may also aid efforts in engineering plants that maximize stomatal conductance under well-watered conditions and more water use-efficient plants capable of adjusting to elevated  $CO_2$  in a more desirable fashion than present cultivars. Another area of future research is the need for studying the stomatal and physiological responses of plants to the atmospheric  $CO_2$  levels predicted to be present in the next decade [132, 143]. Results from such studies could assist breeders and biotechnological choices for plant and crop cultivars and germplasm better capable of adapting to those CO<sub>2</sub> levels. As an example, experiments exploring natural variation in stomatal density [144] which impact plant water use and productivity, could be conducted. Along these lines, a recent comprehensive study on 374 Arabidopsis thaliana accessions [145] has unveiled a wealth and diversity in stomatal responses to the stimuli light and CO<sub>2</sub> in Arabidopsis thaliana. As a starting point, these varied responses (see Outstanding Questions Box) to the same stimulus may indicate a plethora of underlying mechanisms which mediate responses of plants to abiotic stress and the environment.

#### Acknowledgments

Work in our laboratories was supported by the National Science Foundation (MCB1414339) and in part the National Institutes of Health (GM060396-ES010337) to J.I.S. and by Grants-in-Aid for Scientific Research on Priority Ares (21114002 and 26221103) to K.I. and (15K18556) to J.N. from the Ministry of Education, Culture, Sports, Science, and Technology of Japan. T.A-S. received initial support from a postdoctoral fellowship from the US-Israel Binational Agricultural Research and Development Fund (BARD) and partial support from the UCSD FISP program.

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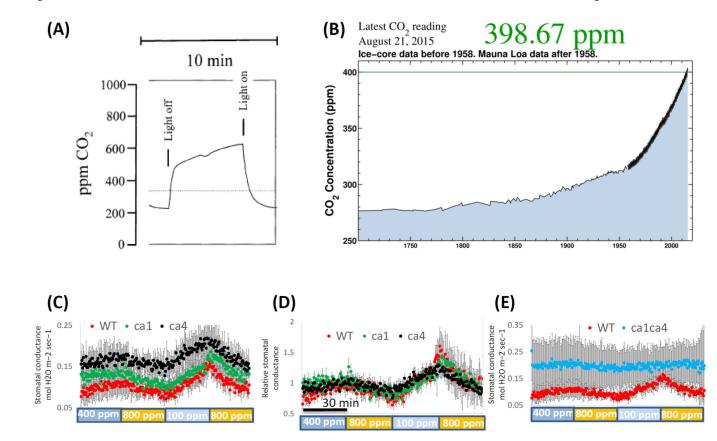
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Outstanding questions box	<ul> <li>Stomatal guard cells simultaneously perceive various cues from the environment, and are sensory organs that process this information in ways that most benefit the plant. Thus for sessile plants, stomata play key roles in avoiding and mitigating environmental and biotic stress. However, many</li> </ul>
	<ul> <li>aspects of the molecular mechanisms and network underlying stomatal function remain unknown. A few important questions in stomatal CO2 responses follow:</li> <li>How does the chloroplastic localization of carbonic anhydrase.</li> </ul>
	<ul> <li>in guard cells function in transducing the CO2 stimulus into stomatal movement responses?</li> <li>These recent findings suggest an important role for guard cell</li> </ul>
	<ul> <li>These recent mindings suggest an important role for guard cent chloroplasts in CO2 signalling for stomatal movements, that however does not require guard cell photosynthesis. Hence, what are the underlying plastidial mechanisms which transmit the CO2 signal in guard cells and how do these converge with the cytosolic bicarbonate signaling branch?</li> </ul>
	• What are the guard cell bicarbonate sensors and what is the biochemical protein basis by which intracellular bicarbonate functions as a second messenger in guard cells?
	What is the mechanism by which mesophyll cells contribute to stomatal CO2 responses?
	<ul> <li>What is the major CO2 responsive mechanism and what are the transcription factors involved in the CO2-regulated stomatal development response?</li> </ul>
	• When such necessary advanced information becomes available can we robustly manipulate stomatal gas exchange and plant responses to the continuing rise in atmospheric CO2 levels?
Trends box	<ul> <li>Research on how plant guard cells, which form epidermal stomatal gas exchange valves, regulate the aperture of stomatal pores in response to changes in the carbon dioxide (CO2) concentration is of current interest given the necessity of selecting and developing crop cultivars which perform better in a shifting global climate. Understanding of the underlying CO2 response mechanisms is also needed for predictive modelling efforts to better understand plant responses to rising atmospheric CO2 levels.</li> </ul>
	<ul> <li>Recent discoveries in guard cell CO2 and secondary messenge signalling, contributions of subcellular localization of the CO2 binding carbonic anhydrases, interplay with the stress hormone abscisic acid and the role of photosynthesis in stomatal responses to the CO2 stimulus point to new models and new open questions in CO2 signal transduction.</li> </ul>
	<ul> <li>Elucidation of the molecular mechanisms controlling stomatal development and identification of initial mechanisms mediating elevated CO2 repression of stomatal development points to a signaling model and to new avenues for further research on this pathway.</li> </ul>

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# Figure 1. Change of leaf CO2 concentration (Ci) in response to light and darkness, atmospheric CO2 rise and stomatal gas exchange response to ambient [CO2] changes ( $\Delta$ ) Effects of light on [CO2](C) in the Vicia faba substomatal cavity at an external ambient

(A) Effects of light on  $[CO_2]$  (C<sub>i</sub>) in the *Vicia faba* substomatal cavity at an external ambient  $[CO_2]$  of 350 ppm. Sub-stomatal cavity carbon dioxide concentrations were measured with a potentiometric CO<sub>2</sub>-biosensor microprobe inserted into leaves through open stomata [1] (Reprinted with permission from Elsevier publishing group). (B) Carbon dioxide concentrations in the earth's atmosphere [2, 146]. (Reprinted with permission from: https://scripps.ucsd.edu/programs/keelingcurve/wp-content/plugins/sio-bluemoon/graphs/ co2\_800k\_zoom.png). (C–E) Effects of CO<sub>2</sub> on gas exchange in carbonic anhydrase mutant leaves. Raw (C and E) and normalized (D) stomatal conductance values for *ca1* and *ca4* single carbonic anhydrase mutants and wild type (WT). Individual ambient CO<sub>2</sub> treatments were each 30 minutes in duration. 1 leaf from each of 3 separate plants per genotype were analyzed over a period of 3 weeks and averaged. Errors represent s.e.m.

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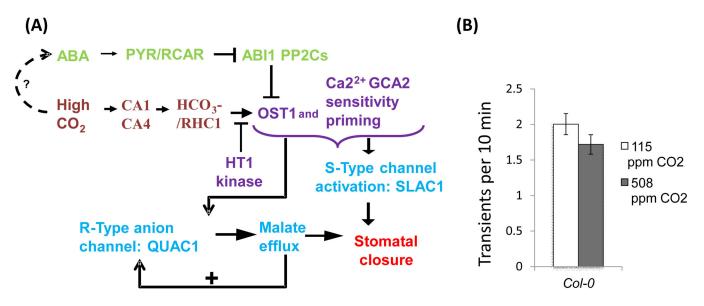
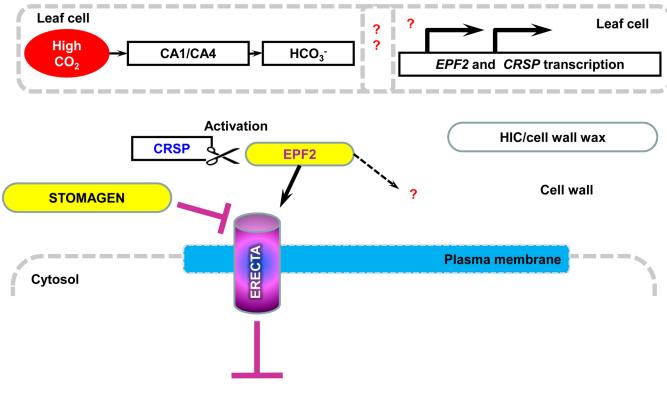


Figure 2. CO2 signaling pathway in stomatal movement regulation and putative convergence points with ABA signaling

(A) A simplified model for carbon dioxide and abscisic acid (ABA) signal transduction pathways in guard cells that mediate stomatal closure. The current model involves the enzymatic function of the carbonic anhydrases CA1 and CA4 with bicarbonate ions as intermediary signaling molecule. Downstream calcium, protein kinases, and ion channels are required for the stomatal closure response to CO<sub>2</sub>. Note that amplifying signals from the mesophyll [60, 62] are not shown here for simplicity (see text for details). Different pathway components are color-coded for ease of viewing: ABA genes = green; CO<sub>2</sub> genes = brown; kinases = purple; channels = blue. Abbreviations: ABA = Abscisic acid; PYR/RCAR = ABA receptors; CO<sub>2</sub> = carbon dioxide; CA = carbonic anhydrase; HCO<sub>3</sub><sup>-</sup> = bicarbonate Ca = calcium; ABI1 = protein phosphatase 2C. (B) The rate of cytosolic calcium transient production in guard cells of the Arabidopsis thaliana Col-0 ecotype are not significantly modulated by the CO<sub>2</sub> concentration, in contrast to the *Ler* ecotype. Cytosolic calcium transients in guard cells pre-exposed to low CO2, switched to high CO<sub>2</sub>, then returned to low CO<sub>2</sub>, at the indicated concentrations. Buffer composition was 10 mM KCl/50 mM CaCl2/10 mM Mes·Tris, pH 6.15. Data are the means of n=27 guard cells ±SE.





Stomatal development

#### Figure 3. CO<sub>2</sub> control of stomatal development

A current framework [25, 120] includes EPF2, CRSP, the carbonic anhydrases CA1 and CA4 and the cell wall wax biosynthesis mutant *hic* [120]. The secreted extracellular protease CRSP cleaves and activates EPF2, which is involved in extracellular communication resulting in a modulatory repression of stomatal development in response to elevated CO<sub>2</sub>. Experiments have shown that the ERECTA receptor kinase has specific EPF2 binding activity [128, 129]. Downstream signaling components include key stomatal fate transcriptional regulators (see: [113, 147]). Signaling components and mechanisms are indicated by question marks (see text for details). Abbreviations:  $CO_2 =$  carbon dioxide; CA = carbonic anhydrase; CRSP =  $CO_2$ -responsive secreted protease; EPF = epidermal patterning factor; HIC = high carbon dioxide mutant; HCO<sub>3</sub><sup>-</sup> = bicarbonate.