



Published in final edited form as:

*Nat Neurosci.* 2014 December ; 17(12): 1736–1743. doi:10.1038/nn.3863.

## Mechanism and treatment for the learning and memory deficits associated with mouse models of Noonan syndrome

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### Abstract

In Noonan Syndrome (NS) 30% to 50% of subjects show cognitive deficits of unknown etiology and with no known treatment. Here, we report that knock-in mice expressing either of two NS-associated *Ptpn11* mutations show hippocampal-dependent spatial learning impairments and deficits in hippocampal long-term potentiation (LTP). In addition, viral overexpression of the *PTPN11*<sup>D61G</sup> in adult hippocampus results in increased baseline excitatory synaptic function, deficits in LTP and spatial learning, which can all be reversed by a MEK inhibitor. Furthermore, brief treatment with lovastatin reduces Ras-Erk activation in the brain, and normalizes the LTP and learning deficits in adult *Ptpn11*<sup>D61G/+</sup> mice. Our results demonstrate that increased basal Erk activity and corresponding baseline increases in excitatory synaptic function are responsible for the LTP impairments and, consequently, the learning deficits in mouse models of NS. These data

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### Contributions

Y.-S.L., D.E. and A.J.S. conceptualized the research, designed the experiments and wrote the manuscript; Y.-S.L., D.E., M.Z., M.K., H.R., C.K., C.I.N. and Y.C. performed behavioral experiments; Y.-S.L. performed whole-cell patch clamp recordings; Y.-S.L., M.Z. and Y.S. performed LTP recording and biochemical analyses; J.-Y.O. and H.K.K. performed immunocytochemistry and biotinylation experiments; T.A. and B.G.N. provided *Ptpn11*<sup>D61G/+</sup> and *Ptpn11*<sup>N308D/+</sup> founders, discussed the results and edited the manuscript; D.B. and C.B. packaged viral vectors; Y.-S.L., D.E., M.Z., J.B., H.K.K., B.-K.K. analyzed the data and discussed the results.

also suggest that lovastatin or MEK inhibitors may be useful for treating the cognitive deficits in NS.

## Introduction

Noonan syndrome (NS) is an autosomal dominant genetic disorder with an incidence of ~1 in 2,500 live births characterized by facial abnormalities, short stature, motor delay and cardiac defects<sup>1, 2</sup>. Importantly, 30% to 50% of NS patients show cognitive deficits<sup>3-6</sup>. NS patients also show clumsiness, motor delay, hearing loss, deficits in spatial knowledge, planning, and social/emotional problems<sup>3, 4, 7</sup>. Recent studies showed that NS patients show impairments in hippocampal-dependent memory tasks<sup>4, 8, 9</sup>.

Germ line mutations in genes involved in Ras-Erk signaling such as *PTPN11*, *SOS1*, *KRAS*, *NRAS*, *RAF1*, *BRAF*, *SHOC2*, *MEK1* and *CBL* have been reported to cause NS<sup>1, 10</sup>. Among those, mutations in the *PTPN11* gene, which encodes the non-receptor protein tyrosine phosphatase SHP2, account for ~ 50% of NS cases<sup>1</sup>. SHP2 is a positive regulator for Ras-Erk signaling<sup>11</sup> which is critically involved in many cellular processes including learning and memory<sup>12</sup>. The *PTPN11* mutations found in NS patients result in gain-of-function alleles that up-regulate this signaling cascade<sup>11, 13-15</sup>. Cognitive problems, such as learning disabilities and memory impairments, are common in NS<sup>3, 5, 6</sup>. However, little is known about the role of *PTPN11* in synaptic plasticity and learning and memory in the mammalian brain. Furthermore, there is no available treatment for cognitive deficits associated with this common genetic disorder.

Previous studies, that used NS mouse models derived by knocking-in mutations in the NS-associated *Ptpn11* gene, demonstrated that the heterozygous knock-in mice show phenotypes similar to those found in NS patients. These include short stature, craniofacial abnormalities, myeloproliferative disease and multiple cardiac defects<sup>14, 16</sup>. In the present study, we first tested whether NS mutant mice have deficits in learning and memory and synaptic plasticity. Then, we asked whether increasing SHP2 activity in adult brain affects synaptic function, LTP and learning and memory. Finally, we examined whether it is possible to rescue the LTP and learning deficits of NS mutant mice in adults.

## Results

### NS mutant mice show deficits in spatial learning and memory

To investigate the underlying mechanism of the learning and memory deficits associated with NS, we studied two lines of heterozygous knock-in mice harboring gain-of-function mutations found in NS patients<sup>14, 16</sup>: *Ptpn11*<sup>D61G/+</sup> and *Ptpn11*<sup>N308D/+</sup>. Previous studies showed that the *Ptpn11*<sup>D61G/+</sup> mutation causes more severe phenotypes than the *Ptpn11*<sup>N308D/+</sup> mutation<sup>14, 16</sup>. As NS patients show deficits in spatial function and in memory tasks dependent on the hippocampus<sup>4, 8, 9</sup>, we tested both *Ptpn11* mutants in the hidden platform-version of the Morris water maze<sup>17</sup>. In this task, mice learn to use spatial cues around a pool to find an escape platform hidden beneath the water surface. Following training, memory is assessed in probe trials wherein the mice search for 60 seconds with the

platform removed from the pool. *Ptpn11*<sup>N308D/+</sup> mutants showed comparable latencies to find the hidden platform to their wild-type (WT) controls during training (Fig. 1a; Repeated measures ANOVA,  $F_{1, 18} = 2.078$ ,  $P = 0.167$ ) and showed normal swimming speeds in probe trials (WT,  $17.33 \pm 1.55$  cm/s,  $n = 11$  mice; *Ptpn11*<sup>N308D/+</sup>,  $18.37 \pm 0.82$  cm/s,  $n = 9$  mice; unpaired two-tailed t-test,  $t = 0.554$ ,  $P = 0.586$ ). However, in probe trials *Ptpn11*<sup>N308D/+</sup> mutants spent significantly less time than WT mice in the target quadrant where the platform was located during training (Fig. 1b; WT,  $57.87 \pm 4.83$  %; *Ptpn11*<sup>N308D/+</sup>,  $41.85 \pm 4.30$  %; unpaired two-tailed t-test,  $t = 2.421$ , \*  $P < 0.05$ ). Also, the searches of WT mice during the probe trials were closer to the target platform than those of the mutants (WT,  $32.53 \pm 2.26$  cm; *Ptpn11*<sup>N308D/+</sup>,  $40.18 \pm 2.05$  cm; unpaired two-tailed t-test,  $t = 2.450$ , \*  $P < 0.05$ ). In contrast, *Ptpn11*<sup>N308D/+</sup> mutants performed normally in the visible-platform version of the water maze (Supplementary Fig. 1), suggesting that the *Ptpn11*<sup>N308D/+</sup> mutation does not impair either visuomotor function or motivation. After extended training, the *Ptpn11*<sup>N308D/+</sup> mutants reached a level of performance comparable to WT mice in probe trials, demonstrating that they can acquire spatial information, albeit at a slower rate than WT mice (Supplementary Fig. 2). In addition, *Ptpn11*<sup>N308D/+</sup> mutants also showed deficits in contextual fear conditioning, another hippocampus-dependent task (Supplementary Fig. 3).

In agreement with the greater severity of phenotypes associated with the D61G mutation compared with the N308D mutation in both mutant mice and NS subjects<sup>6, 15, 16, 18</sup>, *Ptpn11*<sup>D61G/+</sup> mice showed a more severe behavioral phenotype than *Ptpn11*<sup>N308D/+</sup> mice. In probe trials, *Ptpn11*<sup>D61G/+</sup> mice did not search selectively in the target quadrant (Fig. 1d;  $F_{3,36} = 2.029$ ,  $P = 0.127$  and  $F_{3,56} = 23.51$ , \*\*\*  $P < 0.0001$  for *Ptpn11*<sup>D61G/+</sup> and WT, respectively; one-way ANOVA) and spent more time searching further from the former platform location than did WT littermates (WT,  $46.23 \pm 1.29$  cm,  $n = 15$  mice; *Ptpn11*<sup>D61G/+</sup>,  $52.43 \pm 2.14$  cm,  $n = 10$  mice; unpaired two-tailed t-test,  $t = 3.178$ , \*  $P < 0.05$ ). Even with additional training, *Ptpn11*<sup>D61G/+</sup> mice were unable to reach WT performance levels (Supplementary Fig. 2). Furthermore, *Ptpn11*<sup>D61G/+</sup> mice took longer to reach the platform during training for both the hidden (Fig. 1c; Repeated measures ANOVA,  $F_{1, 23} = 38.54$ , \*\*\*  $P < 0.0001$ ) and the visible-platform versions (Supplementary Fig. 1) of the Morris water maze, and showed slower swimming speeds (*Ptpn11*<sup>D61G/+</sup>,  $11.98 \pm 1.27$  cm/s,  $n = 10$ ; WT,  $19.72 \pm 0.46$  cm/s,  $n = 15$ ; unpaired two-tailed t-test,  $t = 6.618$ , \*\*\*  $P < 0.0001$ ), which might have contributed to their longer latencies to reach the platform. Additional behavioral characterization in an open field test revealed that *Ptpn11*<sup>D61G/+</sup> mice were hypoactive (Supplementary Fig. 1). These data demonstrate that the behavioral deficits of *Ptpn11*<sup>D61G/+</sup> mice go beyond spatial learning and memory abnormalities. Importantly, the phenotype of NS patients also is not limited to cognitive deficits and can include other neurologic abnormalities, such as a higher rates of motor delay, clumsiness and poor coordination<sup>2</sup>.

### NS mutant mice show deficits in synaptic plasticity

Hippocampal long-term potentiation (LTP) in the Schaffer collateral synapses of CA1 cells has a key role in spatial learning and memory<sup>19</sup>. To identify the mechanism responsible for the learning and memory deficits caused by the *Ptpn11* mutations, we examined CA1

Schaffer collateral LTP in *Ptpn11*<sup>N308D/+</sup> and *Ptpn11*<sup>D61G/+</sup> mice by performing extracellular field recordings in acute hippocampal slices. *Ptpn11*<sup>N308D/+</sup> and WT slices showed no significant differences in basal synaptic transmission or paired-pulse facilitation (Supplementary Fig. 4). However, LTP induced with theta-burst stimulation (TBS; 2 or 5 theta bursts) was significantly reduced in *Ptpn11*<sup>N308D/+</sup> mice (Fig. 2a; last 10 min of recording, WT, 159.5 ± 4.23 %, n=6 slices from 6 mice; *Ptpn11*<sup>N308D/+</sup>, 143.4 ± 4.81 %, n=6 slices from 6 mice; unpaired two-tailed t-test,  $t = 2.506$ ,  $P < 0.05$ ; Supplementary Fig. 5). Consistent with the hypothesis that these LTP deficits account for the learning impairments in *Ptpn11* mutant mice, *Ptpn11*<sup>D61G/+</sup> mice, with the bigger learning impairments, also showed more severe LTP deficits than those in *Ptpn11*<sup>N308D/+</sup> mice (Fig. 2b; last 10 min of recording, WT, 139.2 ± 8.41 %, n=7 slices from 7 mice; *Ptpn11*<sup>D61G/+</sup>, 110.8 ± 6.30 %, n=7 slices from 6 mice; unpaired two-tailed t-test,  $t = 2.698$ ,  $P < 0.05$ ). As in *Ptpn11*<sup>N308D/+</sup> mice, basal synaptic transmission and paired-pulse facilitation were normal in *Ptpn11*<sup>D61G/+</sup> mutants (Supplementary Fig. 4).

### Adult expression of *PTPN11*<sup>D61G</sup> impairs LTP and memory

The mutations in *Ptpn11* mice are present throughout development, affect the entire body and could disrupt the function of brain structures other than the hippocampus. Similarly, NS is a systemic developmental disorder, and it has been assumed that developmental defects are responsible for the cognitive deficits in these patients<sup>20</sup>. Viral vectors provide spatial and temporal regulation of gene expression critical for testing the specific role of *Ptpn11* mutations in the adult brain. Moreover, NS alleles severely compromise the viability of mutant mice<sup>14</sup>, thus making it very difficult to obtain sufficient number of mutant mice for all studies envisioned (Supplementary table 1). To test whether altered Shp2 signaling in the adult hippocampus can cause LTP and, consequently, learning deficits, we overexpressed mutant *PTPN11*<sup>D61G</sup> using recombinant adeno-associated virus (AAV-*PTPN11*<sup>D61G</sup>) in the CA fields (CA1, CA2 and CA3) of the hippocampus of adult WT mice. *PTPN11*<sup>D61G</sup> overexpression in the hippocampus (Fig. 3a and Supplementary Fig. 6) resulted in increased Erk activation as assessed by immunoblotting p-Erk, confirming that AAV-expressed *PTPN11*<sup>D61G</sup> is functional (Fig. 3b; n = 5 hippocampi for each group, unpaired two-tailed t-test,  $t = 2.452$ , \*  $P < 0.05$ ). Consistently, AAV-*PTPN11*<sup>D61G</sup> expression impaired performance in probe trials of the water maze (Fig. 3c). AAV-*PTPN11*<sup>D61G</sup>-expressing mice spent significantly less time in the target quadrant than did AAV-*GFP*/vehicle-injected control mice (Fig. 3c; *PTPN11*<sup>D61G</sup>/veh, 25.89 ± 3.38 %, n=10; *GFP*/veh, 35.88 ± 2.95 %, n=13; unpaired two-tailed t-test,  $t = 2.231$ , \*  $P < 0.05$ ). Unlike the *Ptpn11*<sup>D61G/+</sup> mutation in mice, AAV-*PTPN11*<sup>D61G</sup> expression did not affect swimming speed or other performance variables during the acquisition phase of the water maze (Supplementary Fig. 7), suggesting that the acute expression of *PTPN11*<sup>D61G</sup> in the hippocampus only affects learning and memory, whereas deregulation of Shp2-Erk signaling during development in the *Ptpn11*<sup>D61G/+</sup> mice might affect other functions including motor coordination. Notably, overexpressing WT *PTPN11* did not affect basal p-Erk levels or spatial learning and memory (Supplementary Fig. 8), demonstrating that the adverse impact on Erk signaling and learning and memory is specific to the NS-related *PTPN11* mutation.

AAV-*PTPN11*<sup>D61G</sup>-expressing mice were also tested in another hippocampus-dependent task (object-place recognition) 24-hours after training; WT AAV-*PTPN11*-expressing mice were used as controls (Fig. 3d). Consistent with the water maze results, *PTPN11*<sup>D61G</sup> expression in the CA fields of the hippocampus caused memory deficits in this task: the control mice spent significantly more time exploring the object at the new location (Fig. 3d;  $n = 15$  mice,  $59.79 \pm 3.72$  % for new place, one-sample paired t-test compared to 50 %,  $t = 2.633$ , \*  $P < 0.05$ ), but the *PTPN11*<sup>D61G</sup> mice did not (Fig. 3d;  $n = 15$  mice,  $52.61 \pm 4.10$  % for new place, one-sample paired t-test compared to 50 %,  $t = 0.636$ ,  $P = 0.535$ ). Importantly, AAV-*PTPN11*<sup>D61G</sup>-expressing mice showed comparable total exploration time to that of WT AAV-*PTPN11*-expressing mice during training (WT AAV-*PTPN11*,  $43.70 \pm 3.98$  s,  $n = 15$ ; AAV-*PTPN11*<sup>D61G</sup>,  $39.29 \pm 4.94$  s,  $n = 15$ ; unpaired t-test,  $t = 0.695$ ,  $P = 0.493$ ). All together, these data show that expressing *PTPN11*<sup>D61G</sup> in the adult CA fields of the hippocampus is sufficient to disrupt memory, and demonstrate that *PTPN11* plays a critical role in adult brain function, in addition to its effects on development<sup>20</sup>.

To test whether reducing Erk activity could reverse the memory deficits in AAV-*PTPN11*<sup>D61G</sup>-expressing mice, we treated these mice with the MEK inhibitor SL327 or vehicle daily, 30 min before training. SL327 treatment (32 mg/kg, intraperitoneal injection) decreased Erk activation in the hippocampus of control and AAV-*PTPN11*<sup>D61G</sup> mice (Supplementary Fig. 9). We choose a sub-threshold dose of the drug that does not impair spatial learning in WT mice and only decreases hippocampal Erk activation in WT mice by ~ 25% (Supplementary Fig. 9). Importantly, this SL327 treatment rescued the spatial learning deficits of the AAV-*PTPN11*<sup>D61G</sup> mice without affecting the performance of the AAV-*GFP* group (Fig. 3c; *PTPN11*<sup>D61G</sup>/SL327, one-way ANOVA,  $F_{3, 36} = 10.44$ ,  $P < 0.001$ ; target vs. other quadrants, Dunnett's Multiple Comparison Test, \*  $P < 0.05$ ). Consistent with the water maze results, the same SL327 treatment also rescued the memory deficits in the object-place recognition task (Fig. 3e; WT *PTPN11*,  $n = 5$ ,  $58.83 \pm 2.01$  % for new place, two-tailed paired t-test compared to 50 %,  $t = 4.395$ , \*  $P < 0.05$ ; *PTPN11*<sup>D61G</sup>,  $n = 8$ ,  $59.90 \pm 3.41$  % for new place, two-tailed paired t-test compared to 50 %,  $t = 2.904$ , \*  $P < 0.05$ ). These results demonstrate that increased Ras-Erk signaling in adult CA fields of the hippocampus contribute to the memory deficits in AAV-*PTPN11*<sup>D61G</sup>-expressing mice. Remarkably, SL327 also reversed the memory deficits of the adult *Ptpn11*<sup>D61G/+</sup> mutant mice in the Morris water maze, showing that normalizing Erk activity in adults can reverse the behavioral deficits even in mutant mice with germ line mutations (Supplementary Fig. 10).

Next, we asked whether AAV-*PTPN11*<sup>D61G</sup> expression in adults also impairs CA1 Schaffer collateral LTP. As in *Ptpn11*<sup>D61G/+</sup> mutant mice, hippocampal slices from AAV-*PTPN11*<sup>D61G</sup>-transfected mice showed significantly reduced LTP in response to a TBS tetanus (Fig. 3f, g; *GFP*/veh,  $154.8 \pm 4.18$  %,  $n = 7$  slices from 7 mice; *PTPN11*<sup>D61G</sup>/veh,  $131.9 \pm 4.38$  %,  $n = 10$  slices from 10 mice; unpaired two-tailed t-test,  $t = 3.625$ , \*\*  $P < 0.01$ ), demonstrating that manipulating Shp2 signaling specifically in the adult CA fields of the hippocampus is sufficient to impair LTP. In addition, TBS failed to further activate Erk in AAV-*PTPN11*<sup>D61G</sup>-transfected hippocampi (Fig. 3b;  $n=5$  hippocampi for each group, unpaired two-tailed t-test,  $t = 1.580$ ,  $P = 0.1527$ ). SL327 treatment, which reversed their

learning deficits, also normalized CA1 LTP in hippocampal slices from the AAV-*PTPNI<sup>D61G</sup>*-transfected mice (Fig. 3f, g; Two-way ANOVA,  $F_{1,30} = 6.526$ ,  $*P < 0.05$ ; Bonferroni post-test reveals significant effect of SL327 treatment only on the *PTPNI<sup>D61G</sup>* group,  $*P < 0.05$ ). It is noteworthy that basal synaptic transmission and paired-pulse facilitation were not affected either by AAV-*PTPNI<sup>D61G</sup>* expression or by the SL327 treatment we used (Supplementary Fig. 7). Taken together, these results indicate that deregulated Erk activity causes CA1 LTP deficits, and these deficits are responsible for the learning and memory impairments in mouse models of NS.

### ***PTPNI<sup>D61G</sup>* overexpression increases excitatory synaptic function**

Next, we examined the electrophysiological mechanism underlying the LTP impairment in AAV-*PTPNI<sup>D61G</sup>*-transfected mice. Increases in Ras signaling are known to facilitate AMPA receptor trafficking to the surface membrane<sup>21</sup>. For example, expression of constitutively active Ras enhances AMPA receptor-mediated currents in hippocampal neurons and impairs LTP<sup>21</sup>. Hence, we asked whether the increases in activated Erk associated with *PTPNI<sup>D61G</sup>* expression enhanced AMPA currents. Whole-cell voltage clamp recordings revealed that the ratio of AMPA:NMDA currents was increased in AAV-*PTPNI<sup>D61G</sup>*-transfected hippocampi (Fig. 4a, b; AAV-*PTPNI<sup>D61G</sup>*,  $1.51 \pm 0.11$ ,  $n = 10$  cells from 5 mice; AAV-*GFP*,  $0.96 \pm 0.05$ ,  $n = 10$  cells from 5 mice, unpaired two-tailed t-test,  $t = 4.754$ ,  $***P < 0.001$ ). Importantly, SL327 treatment normalized the AMPA:NMDA ratio (Fig. 4a, b; *PTPNI<sup>D61G</sup>/SL327*,  $1.07 \pm 0.11$ ,  $n = 7$  cells from 6 mice; *GFP/SL327*,  $1.08 \pm 0.07$ ,  $n = 8$  cells from 6 mice; *PTPNI<sup>D61G</sup>/Veh* vs. *PTPNI<sup>D61G</sup>/SL327*, unpaired two-tailed t-test,  $t = 2.832$ ,  $*P < 0.05$ ). Although paired-pulse facilitation (PPF) ratio was unaffected by AAV-*PTPNI<sup>D61G</sup>* (Fig. 4c; AAV-*PTPNI<sup>D61G</sup>*,  $n = 12$  cells from 5 mice; AAV-*GFP*,  $n = 11$  cells from 5 mice; repeated-measures ANOVA,  $F_{1,21} = 0.010$ ,  $P = 0.921$ ), mEPSC frequency (but not amplitude) was enhanced by this manipulation (Fig. 4d; *GFP/Veh*,  $1.50 \pm 0.53$  Hz,  $n = 9$  cells from 3 mice; *PTPNI<sup>D61G</sup>/Veh*,  $4.64 \pm 0.94$  Hz,  $n = 9$  cells from 3 mice; unpaired two-tailed t-test,  $t = 2.923$ ,  $**P < 0.01$ ). The increased excitation in *PTPNI<sup>D61G</sup>*-transfected mice was reversed by SL327 treatment (Fig. 4d; *PTPNI<sup>D61G</sup>/Veh*,  $4.64 \pm 0.94$  Hz,  $n = 9$  cells from 3 mice; *PTPNI<sup>D61G</sup>/SL327*,  $2.02 \pm 0.32$  Hz,  $n = 9$  cells from 5 mice; unpaired two-tailed t-test,  $t = 2.645$ ,  $*P < 0.05$ ). Consistently, mEPSC frequency, but not amplitude, was significantly increased in pyramidal neurons of *Ptpn11<sup>D61G/+</sup>* mice compared with WT (Fig. 4e; WT/Veh,  $2.68 \pm 0.55$  Hz,  $n = 9$  cells from 5 mice; *Ptpn11<sup>D61G/+</sup>/Veh*,  $5.71 \pm 0.56$  Hz,  $n = 10$  cells from 3 mice; unpaired two-tailed t-test,  $t = 3.858$ ,  $**P < 0.01$ ). Moreover, mIPSC frequency and amplitude were unaffected in both AAV-*PTPNI<sup>D61G</sup>*-transfected mice and *Ptpn11<sup>D61G/+</sup>* mutants (Fig. 4f; mIPSC frequency: GFP,  $6.91 \pm 0.87$  Hz,  $n = 9$  cells from 4 mice; *PTPNI<sup>D61G</sup>*,  $6.93 \pm 1.15$  Hz,  $n = 7$  cells from 4 mice, unpaired two-tailed t-test,  $t = 0.022$ ,  $P = 0.983$ ; mIPSC amplitude, GFP,  $19.13 \pm 1.19$  pA,  $n = 9$  cells from 4 mice; *PTPNI<sup>D61G</sup>*,  $20.09 \pm 1.62$  pA,  $n = 7$  cells from 4 mice, unpaired two-tailed t-test,  $t = 0.486$ ,  $P = 0.634$ ; Fig. 4g; mIPSC frequency: WT,  $15.06 \pm 2.08$ ,  $n = 7$  cells from 5 mice; *Ptpn11<sup>D61G/+</sup>*,  $15.35 \pm 3.50$ ,  $n = 8$  cells from 5 mice, unpaired two-tailed t-test,  $t = 0.0683$ ,  $P = 0.947$ ; mIPSC amplitude: WT,  $32.91 \pm 3.06$  pA,  $n = 7$  cells from 5 mice; *Ptpn11<sup>D61G/+</sup>*,  $33.59 \pm 2.32$  pA,  $n = 8$  cells from 5 mice, unpaired two-tailed t-test,  $t = 0.180$ ,  $P = 0.860$ ). Importantly, just as with AAV-*PTPNI<sup>D61G</sup>* mice, the increased excitation in *Ptpn11<sup>D61G/+</sup>* mice was reversed by SL327

treatment (Fig. 4e; *Ptpn11*<sup>D61G/+</sup>/Veh,  $5.71 \pm 0.56$  Hz,  $n = 10$  cells from 3 mice; *Ptpn11*<sup>D61G/+</sup>/SL327,  $2.87 \pm 1.02$  Hz,  $n = 9$  cells from 3 mice; unpaired two-tailed t-test,  $t = 2.508$ , \*  $P < 0.05$ ), indicating that increased Ras-Erk signaling is responsible for the enhanced excitatory synaptic function associated with the *Ptpn11*<sup>D61G</sup> mutation.

To test the hypothesis that the increase in excitation caused by the *PTPN11*<sup>D61G</sup> mutation is due to increases in the number of synapses with AMPA receptors, we transfected cultured hippocampal neurons (21 days *in vitro*, DIV) with *PTPN11*<sup>D61G</sup> and labeled surface GluA1 AMPA receptors (Fig. 5a, b). Indeed, the number of surface GluA1 receptor clusters was significantly increased in *PTPN11*<sup>D61G</sup>-transfected neurons compared with controls (Fig. 5a, b; GluA1 particle number per 10  $\mu\text{m}$ : *PTPN11*<sup>D61G</sup>,  $8.60 \pm 0.59$ ,  $n = 20$  neurons, 1,432.6  $\mu\text{m}$  of dendrites; *GFP*,  $6.76 \pm 0.34$ ,  $n = 22$  neurons, 1,759.6  $\mu\text{m}$  of dendrites; unpaired two-tailed t-test,  $t = 2.763$ , \*\*  $P < 0.01$ ), a result consistent with the increase in mEPSC frequency caused by *PTPN11*<sup>D61G</sup>. The size of GluA1 clusters, however, was not affected by *PTPN11*<sup>D61G</sup> expression (Fig. 5a, b; GluA1 particle size ( $\mu\text{m}^2$ ): *PTPN11*<sup>D61G</sup>,  $0.19 \pm 0.02$ ,  $n = 20$  neurons, 1,432.6  $\mu\text{m}$  of dendrites; *GFP*,  $0.18 \pm 0.02$ ,  $n = 22$  neurons, 1,759.6  $\mu\text{m}$  of dendrites; unpaired two-tailed t-test,  $t = 0.319$ ,  $P = 0.751$ ), a result consistent with the finding of normal mEPSC amplitude. To quantitatively analyze the surface expression of GluA1, cultured neurons transfected with either WT *PTPN11* or *PTPN11*<sup>D61G</sup> constructs were surface labeled with biotin and the biotinylated surface proteins were pulled-down and analyzed (Fig. 5c, d). While the total expression levels of GluA1 were similar in WT *PTPN11* and *PTPN11*<sup>D61G</sup>-expressing neurons, the surface expression of GluA1 was significantly increased in *PTPN11*<sup>D61G</sup>-expressing neurons compared with WT *PTPN11*-expressing neurons (Fig. 5c, d; Two-way ANOVA followed by Bonferroni post-test: interaction between fraction (total/surface) x virus (*PTPN11*/*PTPN11*<sup>D61G</sup>),  $F_{1, 12} = 5.704$ , \*  $P < 0.05$ ; total, *PTPN11* vs *PTPN11*<sup>D61G</sup>,  $P > 0.05$ ; surface, *PTPN11* vs. *PTPN11*<sup>D61G</sup>, \*  $P < 0.05$ ). These data support the results from the immunocytochemistry experiments showing that *PTPN11*<sup>D61G</sup> expression facilitates the surface expression of GluA1. These results indicate that post-synaptic changes in AMPA receptor trafficking contribute to the increase in excitatory synaptic function caused by the *PTPN11*<sup>D61G</sup> mutation.

### Lovastatin treatment rescued LTP and learning deficits in *Ptpn11*<sup>D61G/+</sup> mice

A MEK inhibitor SL327 rescued the spatial learning deficits in adult *Ptpn11*<sup>D61G/+</sup> mice (Supplementary Fig. 10), suggesting that decreasing basal Erk activation can be a therapeutic strategy for learning deficits in NS. Previous studies also showed that lovastatin, a blood-brain-barrier-permeable member of a widely used class of FDA-approved drugs (statins), decreases the levels of isoprenyl groups required for Ras membrane localization and biological activity<sup>22, 23</sup>. As in AAV-*PTPN11*<sup>D61G</sup>-transfected mice, p-Erk levels were increased in *Ptpn11*<sup>D61G/+</sup> hippocampi (Fig. 6a; WT/Veh,  $100.0 \pm 7.23$  %,  $n = 8$ ; *Ptpn11*<sup>D61G/+</sup>/Veh,  $128.2 \pm 2.87$  %,  $n = 7$ ; unpaired two-tailed t-test,  $t = 3.438$ , \*  $P < 0.05$ ). Lovastatin treatment normalized p-Erk levels in mutant hippocampi at concentrations that did not affect Erk activation in controls (Fig. 6a; *Ptpn11*<sup>D61G/+</sup>/Veh,  $128.2 \pm 2.87$  %,  $n = 7$ ; *Ptpn11*<sup>D61G/+</sup>/Lova,  $111.8 \pm 6.41$  %,  $n = 8$ ; unpaired two-tailed t-test,  $t = 2.231$ , \*  $P < 0.05$ ). Importantly, lovastatin-treated *Ptpn11*<sup>D61G/+</sup> mice showed better performance (e.g., faster times to reach the hidden platform of the Morris maze) than vehicle-treated *Ptpn11*<sup>D61G/+</sup>

mice (Fig. 6b; Two-way ANOVA followed by Bonferroni post-test, WT/Veh vs. *Ptpn11*<sup>D61G/+</sup>/Veh, \*\*  $P < 0.01$ , \*\*\*  $P < 0.001$ ; *Ptpn11*<sup>D61G/+</sup>/Veh vs. *Ptpn11*<sup>D61G/+</sup>/Lova, +  $P < 0.05$ ) although their swimming speeds were unchanged by the treatment (Fig. 6c; WT/veh,  $18.5 \pm 0.6$  cm/s,  $n = 14$  mice; *Ptpn11*<sup>D61G/+</sup>/veh,  $13.6 \pm 1.3$  cm/s  $n = 11$  mice; WT/lova,  $17.9 \pm 0.8$  cm/s,  $n = 13$  mice; *Ptpn11*<sup>D61G/+</sup>/lova,  $14.2 \pm 1.1$  cm/s,  $n = 11$  mice; two-way ANOVA with genotype as between-subjects factor and drug treatment as within-subjects factor, effect of genotype:  $F_{1,45} = 19.79$ , \*\*\*  $P < 0.0001$ , interaction:  $F_{1,45} = 0.4489$ ,  $P = 0.506$ ). These data suggest that the learning deficits in these animals are not due to their slower swimming speeds or other performance deficits. In probe trials, lovastatin-treated *Ptpn11*<sup>D61G/+</sup> mice, unlike vehicle-treated *Ptpn11*<sup>D61G/+</sup> mice, showed selective searching in the target quadrant. Also, during probe trials lovastatin-treated *Ptpn11*<sup>D61G/+</sup> mice showed lower average proximity to the platform site (i.e., better performance) than vehicle-treated mutant mice, indicating that lovastatin treatment dramatically improved the performance of *Ptpn11*<sup>D61G/+</sup> mice in probe trials (Fig. 6d, e; % time spent in target quadrant, *Ptpn11*<sup>D61G/+</sup>/veh,  $33.48 \pm 4.44$  %,  $n = 11$  mice; *Ptpn11*<sup>D61G/+</sup>/Lova,  $45.70 \pm 4.43$  %,  $n = 11$  mice; unpaired two-tailed t-test,  $t = 1.947$ , \*  $P < 0.05$ ; proximity to target platform, WT/veh,  $38.26 \pm 2.33$  cm,  $n = 14$  mice; WT/Lova,  $35.28 \pm 3.26$  cm,  $n = 13$  mice; *Ptpn11*<sup>D61G/+</sup>/veh,  $49.05 \pm 3.15$  cm,  $n = 11$  mice; *Ptpn11*<sup>D61G/+</sup>/Lova,  $39.82 \pm 2.53$  cm,  $n = 11$  mice; unpaired two-tailed t-test, WT/veh vs. *Ptpn11*<sup>D61G/+</sup>/veh,  $t = 2.813$ , \*\*  $P < 0.01$ ; *Ptpn11*<sup>D61G/+</sup>/veh vs. *Ptpn11*<sup>D61G/+</sup>/Lova,  $t = 2.284$ , \*  $P < 0.05$ ). Importantly, the spatial learning performance of lovastatin-treated *Ptpn11*<sup>D61G/+</sup> mice was indistinguishable from controls (Fig. 6d, e). Notably, at the concentration used, lovastatin had no effect on any measure of learning in WT animals (Fig. 6d, e).

Consistent with the hypothesis that increased Ras–Erk activity leads to the LTP deficits responsible for spatial learning impairment in *Ptpn11* mutant mice, the levels of 5 TBS-induced LTP in lovastatin-treated *Ptpn11*<sup>D61G/+</sup> mice were significantly higher than those in the vehicle-treated mutants and indistinguishable from those in WT control animals (Fig. 6f, g; two-way ANOVA with genotype as between-subjects factor,  $F_{1,25} = 5.936$ , \*  $P < 0.05$ , Bonferroni post-test reveals a significant effect of lovastatin treatment only on *Ptpn11*<sup>D61G/+</sup> group, \*\*  $P < 0.01$ ). By contrast, lovastatin treatment had no effect on LTP in hippocampal slices from WT mice (Fig. 6f, g). Thus, lovastatin treatment can normalize LTP deficits and spatial learning impairments even in adult *Ptpn11*<sup>D61G/+</sup> mice. Although we cannot exclude the possibility that lovastatin may affect other biological processes<sup>24</sup>, our data suggest that lovastatin reverses the spatial learning deficits of *Ptpn11*<sup>D61G/+</sup> mice, by reducing Erk activation and consequently correcting LTP deficits.

## Discussion

Our study provides compelling evidence that the spatial learning and memory deficits in mouse models of NS are caused by enhanced Ras–Erk activation, which disrupts the balance between excitation and inhibition (E/I) and impairs hippocampal long-term potentiation. Furthermore, our experiments with viral vectors demonstrate that *Ptpn11* plays critical roles not only in regulating development<sup>20, 25</sup>, but also in adult brain functions. Consistent with our findings, expression of the fly ortholog of SHP2 (Csw) bearing gain-of-function mutations impaired long-term memory in *Drosophila*<sup>26</sup>.



In the present study, we used two knock-in mutant mice harboring a D61G or a N308D mutation in *PTPN11*. The D61G mutation is associated with both NS and leukemia and shows higher enzymatic activity than N308D, which is only associated with NS<sup>15</sup>. Consistently, *Ptpn11*<sup>D61G/+</sup> mice showed more severe deficits in LTP and learning than *Ptpn11*<sup>N308D/+</sup> mice. Although basal-level of p-Erk was significantly higher in the hippocampus of *Ptpn11*<sup>D61G/+</sup> mice compared to WT littermates (Fig. 6), we could not detect significant increases in basal p-Erk levels in the hippocampus of *Ptpn11*<sup>N308D/+</sup> mice, perhaps because these mice showed an overall milder phenotype (Supplementary Fig. 9c).

The activation of Ras-Erk signaling facilitates AMPA receptor trafficking during LTP<sup>21</sup> and abnormal hyperactivation of postsynaptic Erk signaling impairs hippocampal LTP and learning<sup>27, 28</sup>. Our findings suggest that the *PTPN11*<sup>D61G</sup> mutation increases the number of synapses with postsynaptic AMPA receptors, thus occluding LTP and therefore impairing learning. In agreement with the hypothesis that there are more synapses with AMPA receptors, we found that *PTPN11*<sup>D61G</sup> expression increases mEPSC frequency, but does not affect PPF ratio (Fig. 4c), a form of plasticity very sensitive to changes in pre-synaptic function. Additionally, *PTPN11*<sup>D61G</sup> expression increased the evoked AMPA:NMDA ratios (Fig. 4a, b), another observation consistent with the hypothesis that the *PTPN11*<sup>D61G</sup> expression resulted in more synapses with AMPA receptors. Importantly, these observations were reproduced in both AAV-*PTPN11*<sup>D61G</sup>-transfected mice and in the germ line mutants. Importantly, *PTPN11*<sup>D61G</sup> expression enhanced the surface expression of GluA1 and increased the number of surface GluA1 clusters in cultured hippocampal neurons, a finding consistent with the hypothesis that the enhancement in excitatory synaptic function driven by *PTPN11*<sup>D61G</sup> expression is caused by postsynaptic mechanisms. Interestingly, deletion of a Ras-Erk regulator (SynGAP) was reported to increase ERK signaling, enhance the levels of AMPA receptors, increase mEPSC frequency and impair LTP<sup>27</sup>.

Deregulation of Ras-Erk signaling has been associated with other genetic disorders including neurofibromatosis type I (NF1), Costello syndrome, LEOPARD syndrome, CFC syndrome, and Legius syndrome<sup>10, 29</sup>. Among these, studies with the *Nf1*<sup>+/-</sup> mutant mouse, which is a model of NF1, demonstrated that increased Ras signaling results in increased GABA release (excitation is normal in these mice) that leads to deficits in LTP and, consequently, learning and memory impairments<sup>30-33</sup>. Altogether these findings demonstrate that similar behavioral (e.g., spatial learning deficits) and even electrophysiological phenotypes (i.e., LTP deficits) can be caused by different cellular mechanisms: increases in AMPARs in NS mice and increases in GABA release in NF1 mice. Homozygous deletion of the NF1 gene in mouse post-natal excitatory neurons does not affect either synaptic transmission or learning<sup>32</sup>, whereas expression of the NS-mutation *PTPN11*<sup>D61G</sup> in post-natal excitatory neurons does disrupt both synaptic transmission and learning, a direct demonstration of the distinct roles of these two Ras signaling modulators.

In this study, we show that postnatal treatment with an FDA-approved drug, lovastatin, can reverse learning and memory as well as LTP deficits in an adult NS mouse model. A previous study showed that lovastatin treatment can rescue spatial learning problems, attention deficits and pre-pulse inhibition deficits in *Nf1*<sup>+/-</sup> mutant mice<sup>22</sup>. Thus, our studies

suggest that this FDA-approved drug with a strong safety profile may also be useful for treatment of cognitive deficits associated with NS.

## ONLINE METHODS

### Methods

**Mice**—*Ptpn11*<sup>D61G/+</sup> mice were backcrossed to 129S6/SvEv and *Ptpn11*<sup>N308D/+</sup> mice were backcrossed to C57Bl/6J mice at least 6 times before experiments. Three to six month-old male and female mice were used. For AAV experiments, 3 – 4 month-old male C57Bl/6J mice (Jackson Laboratory) were used. Mice were randomly assigned to treatment and experimental condition. All experiments used littermates as controls and were carried and analyzed with the experimenters blinded to genotype and treatment. Animals were group housed (2 – 4) on a 12 h light/dark cycle in vivarium at UCLA and CAU. All studies were approved by the Animal Research Committee at UCLA and CAU.

**Drugs**—SL327 (Tocris) was dissolved in DMSO (16 mg/ml) and was injected intraperitoneally once daily, 30 min before the water maze experiment at a dose of 32mg/kg. The volume of a single injection was under 80  $\mu$ l. Lovastatin (Mevinolin, Sigma) was prepared as previously described<sup>22</sup>. Briefly, lovastatin was dissolved in ethanol (final concentration of 8%) and 1N NaOH was added to convert mevinolin to the sodium salt. The pH of the final solution (4 mg/ml) was adjusted to 7.5 with HCl. The Vehicle solution was prepared with the same procedure. Lovastatin was administered daily (subcutaneous injection, 10 mg/kg) for 3 days before the first training day of the water maze and 6 h before training every day thereafter.

**AAV**—The coding sequence of human *PTPN11* with or without the D61G mutation was subcloned into the HindIII – NsiI site of the AAV expression vector pSOFF. The resultant vector expresses mutant *PTPN11* under the synthetic CBA promoter (CMV enhancer and chicken beta-actin promoter). Recombinant virus (rAAV5) was purified as previously described<sup>34</sup>. Briefly, an iodixanol gradient purification was performed followed by an ion exchange chromatography step which results in a 99 % pure vector preparation as judged by silver stained-SDS acrylamide gel fractionation. After the chromatography, the buffer was exchanged and the virus was concentrated in Ringer's solution using a Biomax 100 K concentrator (Millipore). Vector titers were determined by Real Time PCR. Typical titers were  $3.09 \times 10^{12}$  genome copies/ml. rAAV5-*GFP* expressing only GFP was used as a control. Virus was infused into two sites per hemisphere (1  $\mu$ l per injection, AP=-2.5, Lat= +/-2, DV=-1.7; AP=-1.8, Lat= +/-1, DV=-1.6) over 5 min through a 30-gauge Hamilton microsyringe. Viruses (GFP, WT *PTPN11* or *PTPN11*<sup>D61G</sup>) were randomly assigned for infusion. After completion of infusion, the syringe was left in place for an additional 5 min. All the experiments were done three weeks after the infusion.

**Behavior**—Behavioral experiments were performed during the light cycle. In the hidden platform-version of Morris water maze, mice were trained with two blocks of 2 trials (ITI = 1min) spaced about 45 min apart. In each training trial, mice were released from a different starting position and then were allowed to search for the escape platform for 60 s. The platform was submerged 1 cm under the surface of the water. Once a mouse found the

platform, it was left there for 15 s. If a mouse did not find the platform within 60 s, it was guided to the platform and remained on the platform for 15 s before being removed from the pool. Mice were trained for 5 – 7 consecutive days. Memory was assessed in probe trials that were given after completion of training as described in the main text. During the probe trials, the platform was removed and the mice were allowed to search for it for 60 s. One mouse was excluded from further analysis because of floating (no voluntary movement for more than 10 s in more than 2 trials). The same group of mice was tested in the visible platform–version of Morris water maze. Data were acquired and analyzed using WaterMaze software (Actimetrics).

The object-place recognition task included a training and a test session. Before training, mice were handled 5 min per day for 4 days, and then habituated in a square box (27.5 cm × 27.5 cm × 25 cm) for 15 min for another 2 days. One side of the experimental box included a prominent cue. During the 10–min training session, mice were placed in the box, exposed to two identical objects and allowed to explore these objects. During the test session (24h after training), mice were placed back into the experimental box with the same two objects for 5 min: one object (Old location) stayed in the same location as during training, while the other object (New location) was moved to a new location. For the rescue experiment, SL327 (32 mg/kg, i.p.) was injected 30 min before the training session. The objects changed during the test sessions were randomly counterbalanced between mice. Experiments were videotaped and the exploration times were manually analyzed.

**Electrophysiology**—For extracellular recordings of field excitatory postsynaptic potentials (fEPSP), sagittal slices (400 μm) were prepared with a vibratome (VT1000S, Leica) in ice-cold artificial cerebrospinal fluid (ACSF). Slices recovered at room temperature for at least 90 min before recording in ACSF saturated with 95 % O<sub>2</sub> and 5 % CO<sub>2</sub> containing the following (in mM): 120 NaCl, 3.5 KCl, 2.5 CaCl<sub>2</sub>, 1.3 MgSO<sub>4</sub>, 1.25 NaH<sub>2</sub>PO<sub>4</sub>, 20 NaHCO<sub>3</sub> and 10 D-glucose. Recording was performed in a submerged chamber perfused with ACSF (32 °C). fEPSPs were recorded with platinum-iridium electrodes placed in the CA1 stratum radiatum. Bipolar platinum stimulating electrodes were placed in Schaffer collaterals. Baseline responses were measured with stimulation (0.017 Hz, 0.1 ms pulse duration) at an intensity (typically 20 – 30 μA) that evoked a response that was approximately one third of the maximum evoked response. LTP was induced with theta-burst stimulation (2 or 5 bursts, each burst consisting of four pulses at 100 Hz with a 200 ms inter-burst interval). Initial fEPSP slopes were measured and normalized to the average of baseline (with Clampfit 10.2).

Whole-cell voltage clamp recordings were done with an Axopatch 200B amplifier (Axon Instrument) as previously described<sup>31, 32</sup>. Coronal slices (350 μm) were prepared in ice-cold slice cutting solution containing the following (in mM), 140 2-hydroxy-*N,N,N*-trimethylethanaminium chloride (Choline Chloride), 3 Na-Pyruvate, 2.5, KCl, 1 CaCl<sub>2</sub>, 7 MgSO<sub>4</sub>, 26 NaHCO<sub>3</sub>, 30 D-glucose, 1 kynurenic acid, 1.3 Na-ascorbate. Patch electrodes (3–6 MΩ when filled) were filled with a solution containing the following (in mM): 140 Cs-methanesulfonate, 7 NaCl, 10 HEPES, 0.2 EGTA, 4 Mg-ATP, 0.3 Na-GTP, 5 QX-314. For mEPSC recordings, voltage clamp recordings were performed at -60 mV in the presence of 100 μM picrotoxin and 1 μM TTX. mIPSCs were measured at + 10 mV in the presence of 1

mM kynurenic acid and 1  $\mu$ M TTX. Only recordings during which series resistance changed less than 20 % throughout the experiment were analyzed. mPSCs were analyzed with an in-house analysis software (EVAN)<sup>35</sup>. For AMPA/NMDA currents ratio experiments, recordings were performed in ACSF containing 100  $\mu$ M picrotoxin. Pyramidal neurons in CA1 were voltage-clamped at  $-65$  mV, and AMPA-mediated EPSCs were evoked by stimulating with a bipolar platinum stimulating electrode at 0.1 Hz. After recording 15 responses, the holding potential was manually changed to  $+40$  mV to record NMDA receptor-mediated EPSCs. The AMPA/NMDA ratio was calculated by dividing the mean value of 15 AMPA-mediated EPSC peak amplitudes by the mean value of 15 NMDA receptor-mediated EPSC amplitudes measured at 50 ms after the onset of stimulation (Clampfit 10.2).

**Western blot and immunohistochemistry**—Dissected hippocampi were homogenized in protein lysis buffer (10mM Tris-Cl pH 6.8, 1.6 % SDS) containing protease and phosphatase inhibitor cocktails (Sigma). Supernatants were collected after centrifugation and the protein concentration was determined using a BCA assay kit (Thermo). Equal amounts of proteins (5  $\mu$ g) were separated by electrophoresis on a 4 % – 12 % SDS-PAGE (Invitrogen), and then transferred to nitrocellulose membranes. After blocking with 5% BSA in TBS-T (Tris-buffer saline containing 0.1% Tween-20) for 1 hr at room temperature, membranes were hybridized with a primary antibody overnight at 4°C. After washing with TBS-T, membranes were incubated with a secondary antibody in 5% non-fat milk/TBS-T for 1 hr at room temperature. Signals were visualized by ECL (Thermo) and exposure time was adjusted so that the signals measured were in a linear range. After detecting phospho-Erk, the membranes were stripped and re-probed with a total Erk antibody. The total Erk levels were used to normalize each sample. The following primary antibodies were used: anti-phospho-Erk (#9101S, Cell Signaling, 1:6000), anti-total Erk (#9102S, Cell Signaling, 1:5000) and anti-SHP2 (sc-280, Santa Cruz, 1:3000).

For immunohistochemistry of SHP2, rAAV5-*PTPN11*<sup>D61G</sup>- or rAAV5-*GFP*-injected mice were perfused with ice-cold 4 % paraformaldehyde and the brains were removed, followed by post-fixation in 4 % paraformaldehyde overnight at 4 °C. Coronal brain sections (30  $\mu$ m thick) were mounted onto slide glasses and were treated with 0.3 % H<sub>2</sub>O<sub>2</sub> in methanol for 30 min to quench endogenous peroxidase activity. After blocking with 5 % normal goat serum in TBS-T (0.1 % Triton X-100), sections were incubated with anti-SHP2 antibody (1:100; Sc-280, Santa Cruz Biotechnology) for 48 hrs at 4°C. A biotinylated anti-rabbit antibody (1:50, 1 h at room temperature; Vector laboratories) was used as a secondary, which was followed by avidin-biotin-peroxidase complex (Vector Laboratories) formation for 30 min. Signals were visualized by incubating sections in DAB substrate solution (Vector Laboratories). For fluorescent immunohistochemistry of SHP2 and Gad67, anti-SHP2 antibody (1:100, Santa Cruz Biotechnology) and anti-Gad67 antibody (1:500, Millipore, MAB5406) were used as primary antibodies, anti-rabbit Alexa-568 (1:250) and anti-mouse Alexa-647 (1:250) were used as secondary antibodies. Images were acquired by using a confocal microscope (Olympus).

**Sindbis viral vector construction and immunocytochemistry**—The coding sequence of human *PTPN11* with or without the D61G mutation was subcloned into a Sindbis viral expression vector (pSinRep5; Invitrogen) and GFP was inserted into the 3' region of the coding sequence along with an additional subgenomic promoter for bicistronic expression. Sindbis viruses were produced according to the manufacturer's protocol (Invitrogen) and directly added to the medium of cultured rat hippocampal neurons (DIV21). Twelve hours after infection, immunocytochemistry was performed with or without permeabilization by using anti-GluA1-N (#AGC-004, Alomone labs) antibody and Cy3-conjugated anti-rabbit IgG antibody (Jackson ImmunoResearch Lab). Images were acquired by using confocal microscope (Zeiss LSM 710) and analyzed by using ImageJ (ver. 1.42q).

**Biotinylation of surface proteins**—Rat cortical neurons (16–18 DIV) were transfected with the Sindbis virus encoding wild type or mutant (D61G) *PTPN11* and allowed to be expressed for 12 h. The cultures were incubated with sulfo-NHS-SS-biotin (1 mg/ml, Thermo Scientific) in ice-cold PBS for 30 min at 4°C, followed by a 10 min incubation in ice-cold Tris buffer (100 mM, pH 8.0), and subsequently lysed with a lysis buffer [50 mM Tris-Cl (pH 7.4), 150 mM NaCl, 1 mM EDTA, 1 % Triton X-100, 0.1 % Sodium deoxycholate, 1X protease inhibitor (Roche)]. Biotinylated surface proteins were precipitated with Streptavidin agarose (Thermo Scientific) through overnight incubation. The precipitated beads were washed and used in Western blotting analysis. Antibodies were as follows: anti-GluA1-N (1:1000, Alomone labs), anti-Rab4 (1:2000, #6100889, BD Transduction Laboratories), anti-Cadherine (1:4000, sc-59876, Santa Cruz Biotechnology), anti-β-Actin (1:4000, A5316, Sigma-Aldrich), anti-SHP2 (1:2000, Santa Cruz Biotechnology).

**Statistics**—For water maze data, we used ANOVAs to analyze quadrant occupancy (% time spent in quadrant). After initial ANOVA analyses, searching specificity for each genotype was determined by comparing target quadrant to other quadrants using Dunnett's Multiple Comparison Test. We also used two-way ANOVAs to analyze the interaction between genotypes and pool quadrants. In addition, we compared target quadrant occupancy among different groups by using the unpaired two-tailed t-test. Proximity measures between two genotypes also were analyzed by the unpaired two-tailed t-test. Effects of drug treatments on different genotypes were analyzed by using two-way ANOVA followed by appropriate post-hoc tests. LTP data were analyzed by using repeated-measures ANOVA followed by Bonferroni test on the responses after LTP induction and unpaired two-tailed t-test on the average of the last 10 min of recording. For other experiments, we used Student's t-test to compare two groups and ANOVA to compare three or more groups. We did not use statistical methods to predetermine the sample sizes, but our sample sizes are similar to those reported in previously published papers<sup>31–33</sup>. Data distribution was assumed to be normal but this was not formally tested. All the data are represented as mean ± s.e.m.

A supplementary methods checklist is available.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

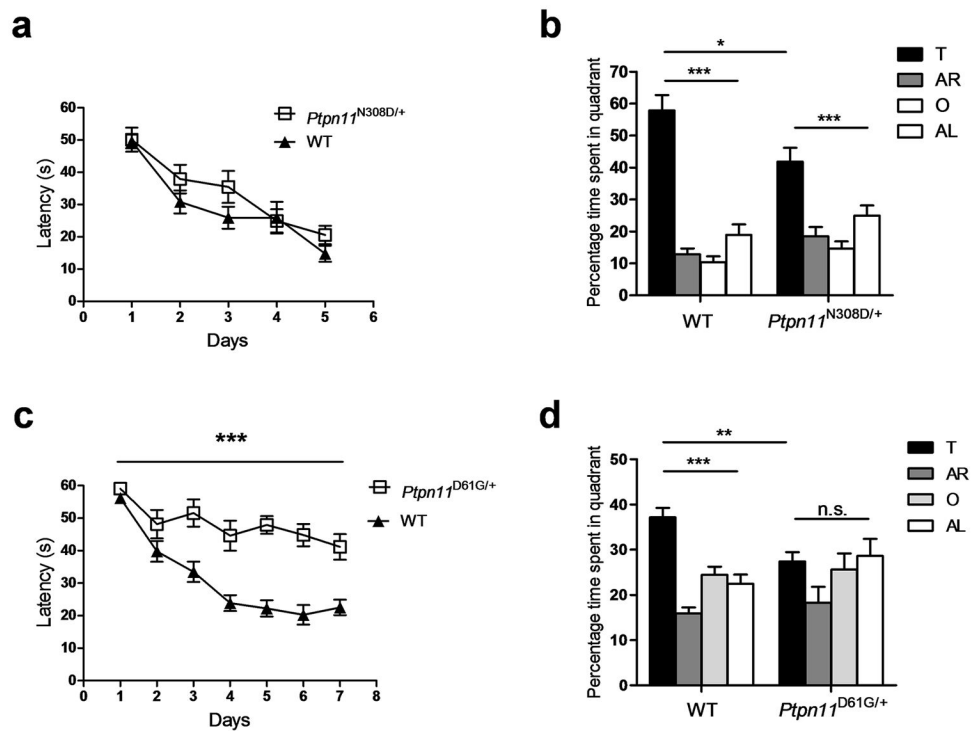
The authors would like to thank Dr. Istvan Mody, Dr. Thomas O'Dell, Dr. Peyman Golshani and Silva lab members for their comments on the manuscript and for valuable discussions, Ryan Jones and Dr. Yu Zhou for helping with electrophysiological analysis, Dr. Denise Y. Cai for statistical advice, Aida Amin, Hwang Shan and Ryan Knier for technical support.

This work was supported by MH084315 to A.J.S. NRF-2013R1A1A1006766 and NRF-2013R1A3A1072570 to Y.-S.L, R37 CA49132 to B.G.N, MEST-2012-0005751 to H.K.K. B.G.N. is also a Canada Research Chair, Tier 1, and work in his lab is partially supported by the Ontario Ministry of Health and Long Term Care and the Princess Margaret Cancer Foundation.

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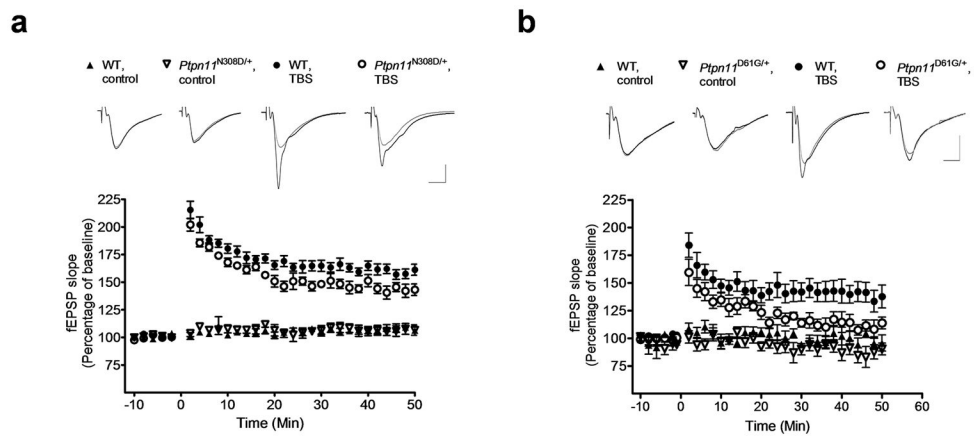
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**Figure 1. NS mice show spatial memory deficits**

- a.** Escape latencies of *Ptpn11*<sup>N308D/+</sup> (n = 9) and WT littermates (n = 11) were not different in the hidden platform version of the water maze.
- b.** *Ptpn11*<sup>N308D/+</sup> and WT littermates selectively searched in the target quadrant in a probe trial given after 3 days of training (*Ptpn11*<sup>N308D/+</sup>, n = 9 mice, One-way ANOVA,  $F_{3, 32} = 13.82$ , \*\*\*  $P < 0.001$ ; WT, n = 11 mice, One-way ANOVA,  $F_{3, 40} = 48.48$ , \*\*\*  $P < 0.001$ ). However, *Ptpn11*<sup>N308D/+</sup> mice spent significantly less time in the target quadrant than WT mice. Two-way ANOVA for quadrant occupancy with genotype as between-subjects factor and pool quadrant as within-subjects factor, genotype x pool quadrant interaction:  $F_{3, 54} = 4.091$ , \*  $P < 0.05$ . Pool quadrants; target (T), adjacent right (AR), opposite (O), and adjacent left (AL) quadrant.
- c.** *Ptpn11*<sup>D61G/+</sup> mutants (n = 10) showed significantly longer latency to the platform during training compared with WT controls (n = 15) in the hidden-platform version of the water maze.
- d.** Quadrant occupancy for the probe trial conducted after 3 days of training reveals that *Ptpn11*<sup>D61G/+</sup> mice (n=10) did not show preference for the target quadrant, but their WT littermates (n=15) did. In addition, *Ptpn11*<sup>D61G/+</sup> mice spent significantly less time in the target quadrant than did WT mice (*Ptpn11*<sup>D61G/+</sup>,  $27.44 \pm 2.04$  %; WT,  $37.14 \pm 2.09$ , \*\*  $P < 0.01$ ; unpaired two-tailed t-test). Two-way ANOVA for quadrant occupancy with genotype as between-subjects factor and pool quadrant as within-subjects factor, genotype x pool quadrant interaction:  $F_{3, 69} = 2.884$ , \*  $P < 0.05$ . n.s., not significant ( $P > 0.05$ ).

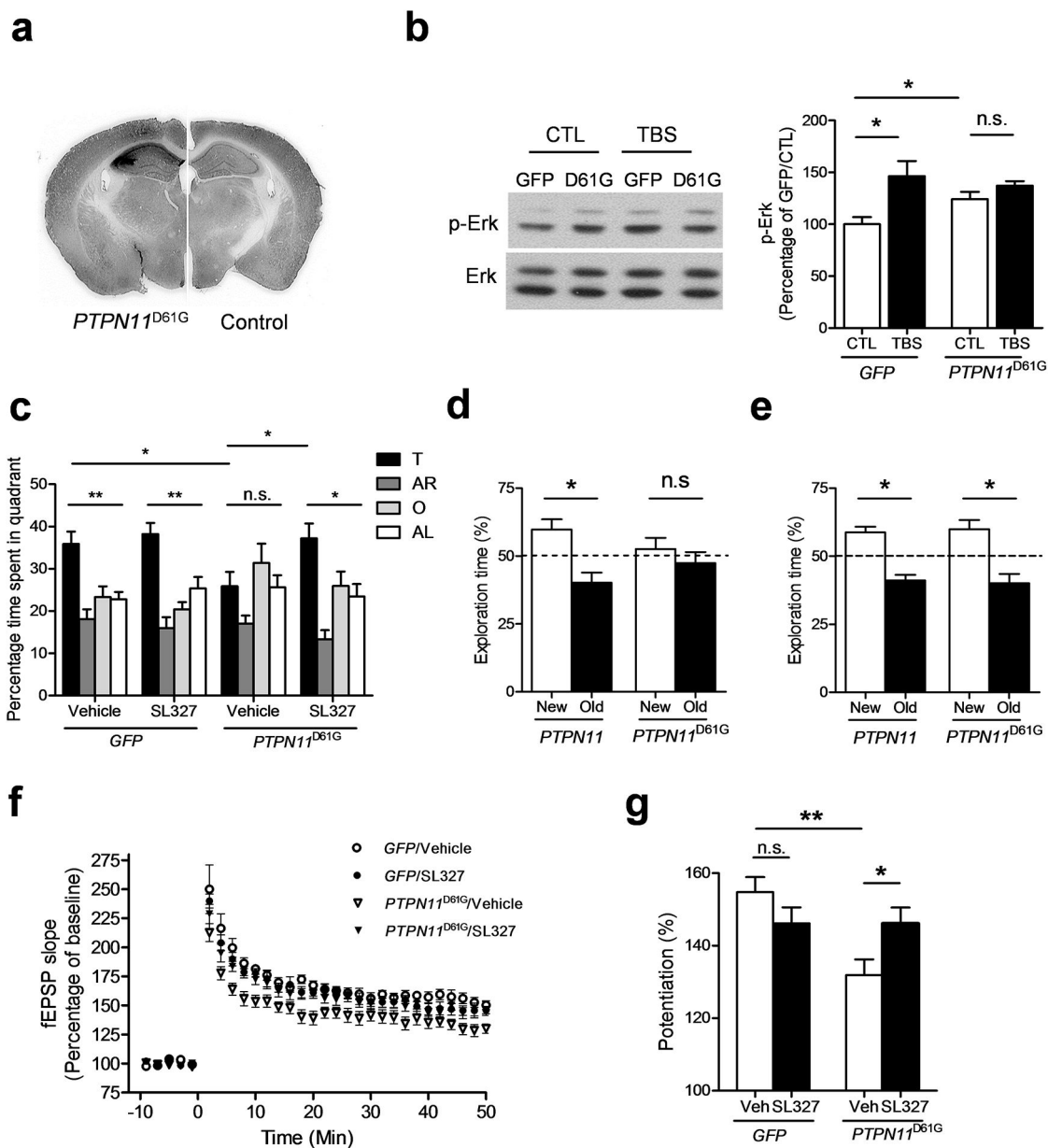




**Figure 2. NS mice show LTP deficits**

**a.** LTP induced by a 5 TBS was reduced significantly in hippocampal slices from *Ptpn11*<sup>N308D/+</sup> mice compared with their WT littermates (WT, n = 6 slices from 6 mice; *Ptpn11*<sup>N308D/+</sup>, n = 6 slices from 6 mice; Repeated-measures ANOVA:  $F_{1,10} = 7.893$ ,  $P < 0.05$ ).

**b.** LTP induced by a 5 TBS protocol was reduced in hippocampal slices from *Ptpn11*<sup>D61G/+</sup> mice compared with those from WT mice (WT, n = 7 slices from 7 mice; *Ptpn11*<sup>D61G/+</sup>, n = 7 slices from 6 mice; Repeated-measures ANOVA:  $F_{1,12} = 5.828$ ,  $P < 0.05$ ). fEPSP slopes normalized to the average baseline response before LTP induction (at time 0) are plotted in 2-min blocks. Sample traces show responses during baseline (gray) and the last 10 min (black) of the recording (average of ten recording traces). Scale: vertical bar, 0.5 mV; horizontal bar, 4 ms. Error bars represent s.e.m.



**Figure 3. *PTPN11<sup>D61G</sup>* overexpression induces learning and memory and LTP deficits that can be reversed by MEK inhibition**

**a.** AAV-*PTPN11<sup>D61G</sup>* infection results in overexpression of SHP2<sup>D61G</sup>. Anti-SHP2 immunohistochemistry shows robust overexpression of SHP2 in the hippocampus of AAV-*PTPN11<sup>D61G</sup>*-infused brains (left) compared with AAV-*GFP* infused brains (right). Full-length blots/gels are presented in Supplementary Figure 11.

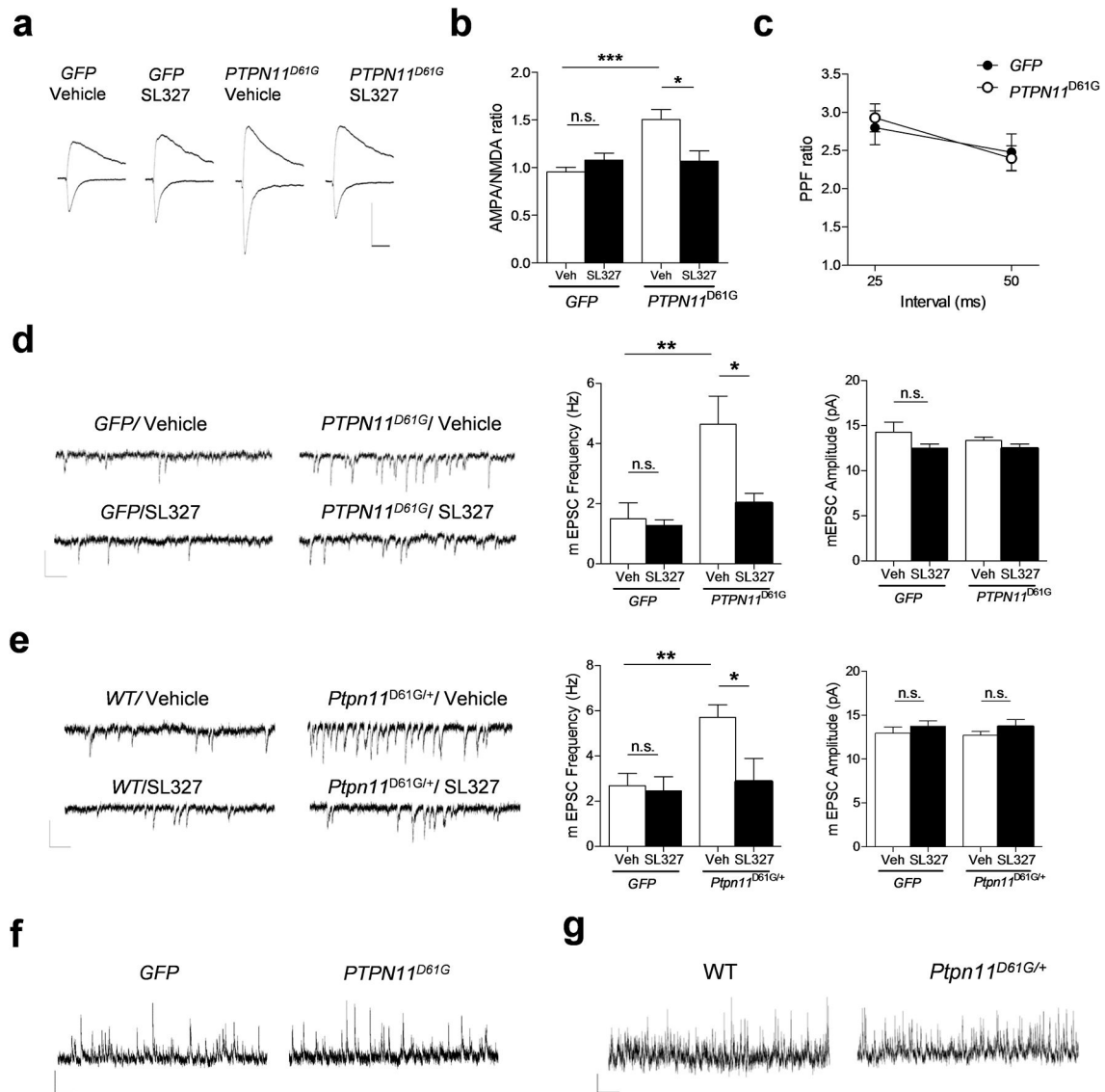
**b.** *PTPN11<sup>D61G</sup>* overexpression increases basal Erk activity (phospho-Erk level) and prevents further Erk activation in response to TBS. *Left*, Representative immunoblot showing p-Erk (upper) and total Erk (lower) in *PTPN11<sup>D61G</sup>*-expressing slices and *GFP*-expressing slices. Slices were prepared 1 h after TBS. *Right*, Bar graph displays normalized p-Erk levels (mean ± s.e.m.). CTL, control without TBS.

**c.** MEK inhibitor SL327 reverses spatial memory deficits in *PTPN11*<sup>D61G</sup>-overexpressing mice in the Morris water maze. Quadrant occupancy analysis for the probe trial reveals that *PTPN11*<sup>D61G</sup>/veh mice showed no preference for the target quadrant (target vs. other quadrants, Dunnett's Multiple Comparison Test after one-way ANOVA,  $P > 0.05$ ). *PTPN11*<sup>D61G</sup>/veh mice also spent significantly less time in the target quadrant compared with *GFP*/veh mice. SL327 treatment significantly increased the time spent in the target quadrant in *PTPN11*<sup>D61G</sup>-expressing mice compared with vehicle-treated *PTPN11*<sup>D61G</sup> mice (*PTPN11*<sup>D61G</sup>/SL327,  $37.25 \pm 3.50$  %,  $n=10$ , unpaired two-tailed t-test,  $t = 2.335$ , \*  $P < 0.05$ ).

**d.** *PTPN11*<sup>D61G</sup> overexpression in the hippocampus impairs memory in the object-place recognition test. Control mice expressing WT *PTPN11* spent significantly more time exploring the object in the new place than exploring the object in the old place during the test session 24 h after training. However, *PTPN11*<sup>D61G</sup>-overexpressing mice did not show preference for the object in the new place.

**e.** MEK inhibitor SL327 rescues memory deficits in object-place recognition test caused by *PTPN11*<sup>D61G</sup> overexpression. When SL327 (32 mg/kg) was injected 30 min before training in the object-place recognition test, both *PTPN11*- and *PTPN11*<sup>D61G</sup>-expressing mice spent significantly more time exploring the object in the new place than exploring the object in the old place during the test session 24 h after training.

**f. and g.** MEK inhibitor SL327 reverses LTP deficits caused by *PTPN11*<sup>D61G</sup> overexpression. **f.** *PTPN11*<sup>D61G</sup> overexpression significantly impaired 5 TBS-induced LTP, and bath application of SL327 reversed the deficit (Repeated-measures ANOVA,  $F_{3, 72} = 140.2$ ,  $P < 0.0001$ ). SL327 (1  $\mu$ M) was applied for 1 h before LTP induction, and then maintained in the bath throughout recording. **g.** Average % fEPSP changes (last 10 minutes of recording) shows a significant LTP deficit in the vehicle-treated *PTPN11*<sup>D61G</sup> group compared with the vehicle-treated GFP group (*GFP*/veh,  $154.8 \pm 4.18$  %,  $n=7$ ; *PTPN11*<sup>D61G</sup>/veh,  $131.9 \pm 4.38$ ,  $n=10$ ; unpaired two-tailed t-test,  $t = 3.625$ , \*\*  $P < 0.01$ ) and significant reversal by SL327 treatment (*PTPN11*<sup>D61G</sup>/SL327,  $146.1 \pm 4.36$  %,  $n=10$ ; unpaired two-tailed t-test,  $t = 2.309$ , \*  $P < 0.05$ ). SL327 did not affect LTP in the *GFP* group (*GFP*/SL327,  $146.2 \pm 4.37$  %,  $n=7$ ; unpaired two-tailed t-test,  $t = 1.414$ ,  $P = 0.183$ ).



**Figure 4. *PTPN11<sup>D61G</sup>* overexpression enhances excitatory synaptic function through increased Ras-Erk signaling**

**a.** AMPA receptor-mediated currents were measured at the peak of the currents at  $-65$  mV, and NMDA currents were measured 50 ms after onset at  $+40$  mV. The average of 15 traces is shown. Scale, 100 pA and 40 ms.

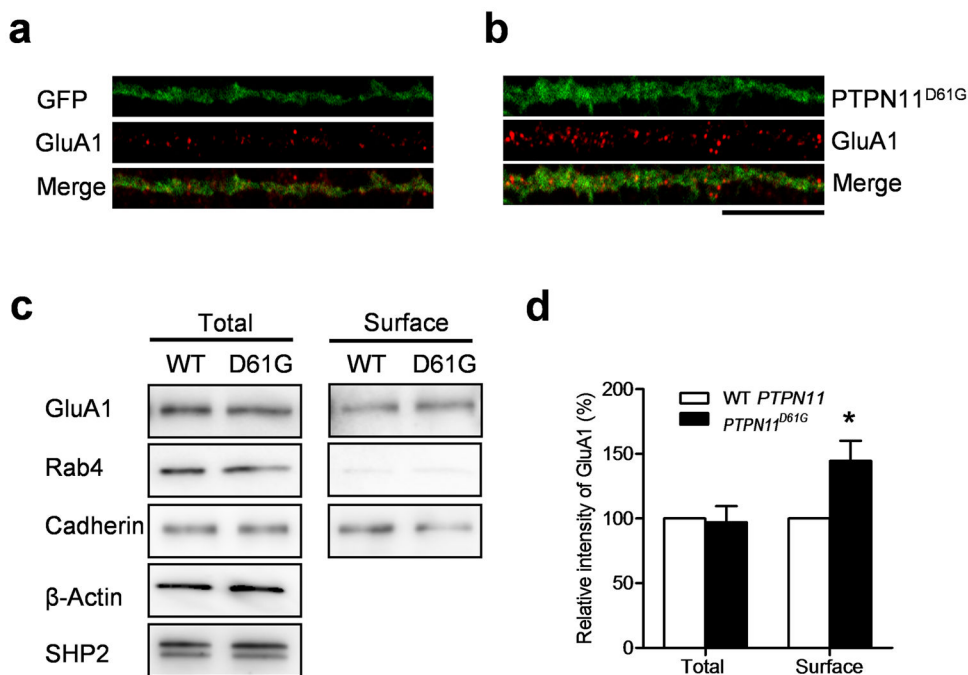
**b.** Group data showing the increased AMPA:NMDA current ratio in AAV-*PTPN11<sup>D61G</sup>* mice compared with AAV-*GFP* mice. SL327 treatment ( $1 \mu\text{M}$ , 1 h) significantly reversed the AMPA:NMDA current ratio in the *PTPN11<sup>D61G</sup>* group without affecting *GFP*-expressing mice. Two-way ANOVA, interaction between viral treatment and drug,  $F_{1,31} = 10.53$ ,  $**P < 0.01$ . Bonferroni post-test reveals significant effect of SL327 treatment only on *PTPN11<sup>D61G</sup>* group ( $**P < 0.01$ ).

**c.** Paired-pulse facilitation ratio is unaffected by *PTPN11<sup>D61G</sup>*. There was no significant difference at 25 ms or 50 ms intervals between the two groups.

**d.** *PTPN11*<sup>D61G</sup> overexpression increases excitatory synaptic function. *Left*, Representative traces of mEPSC recordings from GFP or *PTPN11*<sup>D61G</sup>-expressing hippocampus. *Middle*, mEPSC frequency was increased in AAV-*PTPN11*<sup>D61G</sup>-transfected mice compared with AAV-*GFP* mice, and was reversed by SL327 (1  $\mu$ M) treatment without affecting on the AAV-*GFP* group. Two-way ANOVA with viral treatment as between-subjects factor,  $F_{1,30} = 10.31$ , \*\*  $P < 0.01$ . *Right*, mEPSC amplitudes were not significantly different among groups. Two-way ANOVA with viral treatment as between-subjects factor,  $F_{1,30} = 0.470$ ,  $P = 0.498$ . Scale, 20 pA and 200 ms.

**e.** Excitatory synaptic function is increased in *Ptpn11*<sup>D61G/+</sup> mutant mice and reversed by SL327 treatment. *Left*, Representative traces of mEPSC recordings from WT or *Ptpn11*<sup>D61G/+</sup> mice. *Middle*, mEPSC frequency was increased in *Ptpn11*<sup>D61G/+</sup> mice compared with WT littermates, and was reversed by SL327 (1  $\mu$ M) treatment. Two-way ANOVA with genotype as between-subjects factor,  $F_{1,33} = 5.914$ , \*  $P < 0.05$ . *Right*, mEPSC amplitudes were not significantly different among groups. Two-way ANOVA with genotype as between-subjects factor,  $F_{1,33} = 0.418$ ,  $P = 0.839$ . Scale, 20 pA and 200 ms.

**f. and g.** mIPSC was not changed in either AAV-*PTPN11*<sup>D61G</sup>-transfected mice or *Ptpn11*<sup>D61G/+</sup> mutants. **f.** Representative traces of mIPSC recordings from GFP or *PTPN11*<sup>D61G</sup>-expressing hippocampus. **g.** Representative traces of mIPSC recordings from *Ptpn11*<sup>D61G/+</sup> mutant mice or WT littermates. Scale, 20 pA and 1 s.

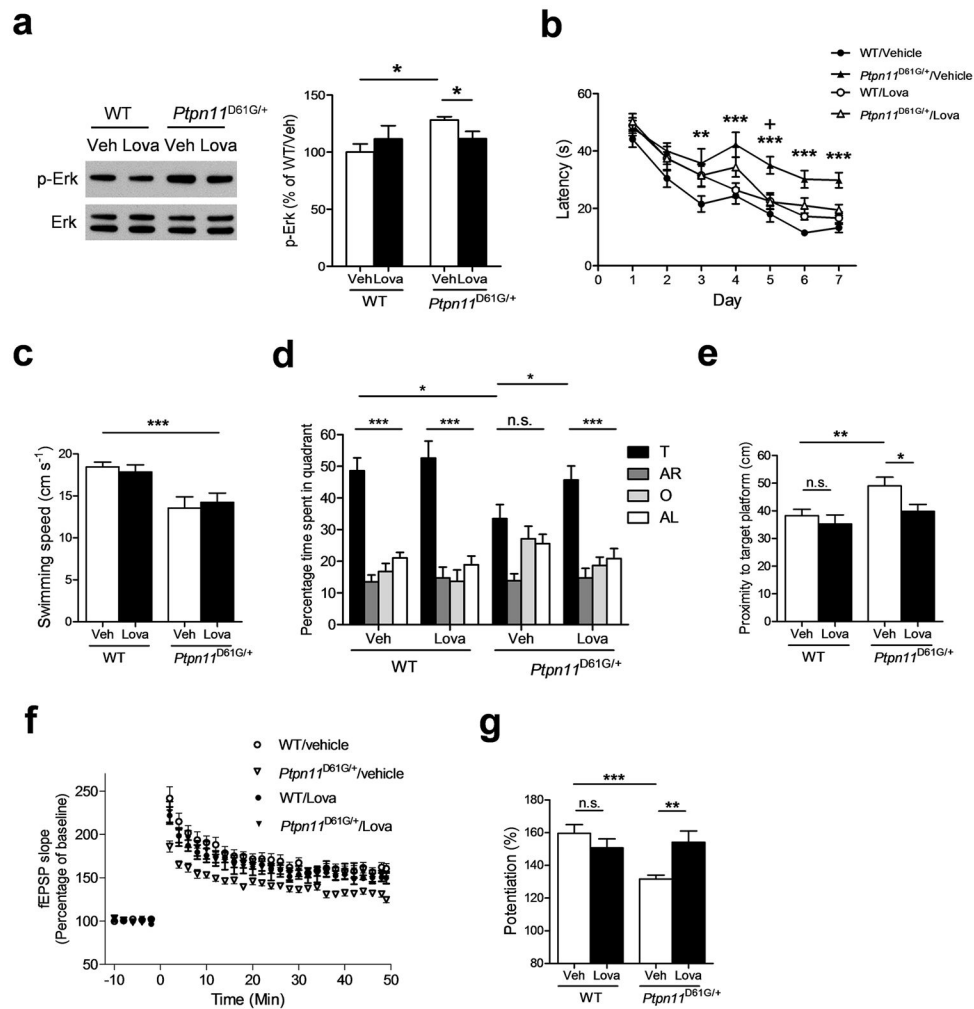


**Figure 5. *PTPN11*<sup>D61G</sup> overexpression increases surface AMPA receptor expression**

**a. and b.** Representative images of surface GluA1 staining in cultured neurons. GFP alone (**a**) or *PTPN11*<sup>D61G</sup> and GFP (**b**) were co-expressed using a bicistronic Sindbis viral vector in cultured hippocampal neurons (DIV21). Scale, 20μm.

**c.** Representative images of western blotting of total and biotinylated surface proteins. Cadherin and Rab-4 were used as markers for surface and cytosol expression, respectively. Full-length blots/gels are presented in Supplementary Figure 11.

**d.** Surface expression of GluA1 was significantly increased in *PTPN11*<sup>D61G</sup> expressing neurons compared to WT *PTPN11* expressing neurons, while the total expression level of GluA1 did not differ between WT *PTPN11* and *PTPN11*<sup>D61G</sup> transfected neurons.



**Figure 6. Lovastatin treatment reverses spatial learning and memory and LTP deficits in *Ptpn11*<sup>D61G/+</sup> mice**

**a.** Lovastatin treatment reverses increased Erk activation in hippocampi from *Ptpn11*<sup>D61G/+</sup> mice. *Left*, Representative immunoblot showing p-Erk (upper) and total Erk (lower) levels in WT and *Ptpn11*<sup>D61G/+</sup> mutant mice. Hippocampi were dissected 6 h after the 4<sup>th</sup> day of lovastatin injection (subcutaneous (s.c.) injections, 10 mg/kg). Full-length blots/gels are presented in Supplementary Figure 11. *Right*, Bar graph displaying normalized p-Erk levels (mean ± s.e.m.).

**b.** Vehicle-treated *Ptpn11*<sup>D61G/+</sup> mutant mice showed significantly longer latency to the hidden platform during training sessions compared with vehicle-treated WT mice. Lovastatin-treated *Ptpn11*<sup>D61G/+</sup> mice showed comparable latency to WT mice.

**c.** Lovastatin treatment did not improve swimming speed.

**d and e.** Lovastatin treatment (10 mg/kg) reverses spatial memory deficits in *Ptpn11*<sup>D61G/+</sup> mice at a concentration that does not affect WT controls. **d.** Quadrant occupancy analysis for the probe trail reveals that *Ptpn11*<sup>D61G/+</sup> mice with vehicle treatment (*Ptpn11*<sup>D61G/+</sup>/veh) showed no preference for the target quadrant (target vs. other quadrants, Dunnett's Multiple Comparison Test after one-way ANOVA,  $P > 0.05$ ). By contrast, the *Ptpn11*<sup>D61G/+</sup>/Lova

group selectively searched for the target quadrant, suggesting that lovastatin treatment reversed the spatial memory deficit in *Ptpn11*<sup>D61G/+</sup> mice (target vs. other quadrants, Dunnett's Multiple Comparison Test after one-way ANOVA, \*\*\*  $P < 0.0001$ ). The *Ptpn11*<sup>D61G/+</sup>/Lova group also spent significantly more time in the target quadrant compared with *Ptpn11*<sup>D61G/+</sup>/veh mice. **e.** Proximity analysis reveals that the spatial memory deficit in *Ptpn11*<sup>D61G/+</sup> mice can be reversed by lovastatin treatment.

**f ang g.** Lovastatin treatment reverses LTP deficits in *Ptpn11*<sup>D61G/+</sup> mice at concentrations that do not affect WT littermates. **f.** *Ptpn11*<sup>D61G/+</sup> mice showed a deficit in 5 TBS-induced LTP that was reversed by systemic administration of lovastatin (Repeated-measures ANOVA,  $F_{3,96} = 14.38$ ,  $P < 0.0001$ ). **g.** Average % fEPSP changes (last 10 minutes of recordings) show that lovastatin treatment significantly rescued the LTP deficit in *Ptpn11*<sup>D61G/+</sup> mice (WT/veh,  $159.6 \pm 5.33$  %, n=7; WT/Lova,  $150.7 \pm 5.49$  %, n=6; *Ptpn11*<sup>D61G/+</sup>/veh,  $131.7 \pm 2.31$  %, n=9; *Ptpn11*<sup>D61G/+</sup>/Lova,  $154.2 \pm 6.88$  %, n=7; unpaired two-tailed t-test, \*\*  $P < 0.01$ , \*\*\*  $P < 0.001$ ).