• H pylori •

# Pre-treatment urea breath test results predict the efficacy of *Helicobacter pylori* eradication therapy in patients with active duodenal ulcers

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# Abstract

**AIM:** To evaluate the association of pre-treatment <sup>13</sup>C-urea breath test (UBT) results with *H pylori* density and efficacy of eradication therapy in patients with active duodenal ulcers.

**METHODS:** One hundred and seventeen consecutive outpatients with active duodenal ulcer and *H pylori* infection were recruited. *H pylori* density was histologically graded according to the Sydney system. Each patient received lansoprazole (30 mg b.i.d.), clarithromycin (500 mg b.i.d.) and amoxicillin (1 g b.i.d.) for 1 week. According to pre-treatment UBT values, patients were allocated into low (<16‰), intermediate (16-35‰), and high (>35‰) UBT groups.

**RESULTS:** A significant correlation was found between pre-treatment UBT results and *H pylori* density (*P*<0.001). *H pylori* eradication rates were 94.9%, 94.4% and 81.6% in the low, intermediate and high UBT groups, respectively (per protocol analysis, *P*=0.11). When patients were assigned into two groups (UBT results  $\leq$ 35‰ and >35‰), the eradication rates were 94.7% and 81.6%, respectively (*P*=0.04).

**CONCLUSION:** The intragastric bacterial load of *H pylori* can be evaluated by UBT, and high pre-treatment UBT results can predict an adverse outcome of eradication therapy.

Lai YC, Yang JC, Huang SH. Pre-treatment urea breath test results predict the efficacy of *Helicobacter pylori* eradication therapy in patients with active duodenal ulcers. *World J Gastroenterol* 2004; 10(7): 991-994

http://www.wjgnet.com/1007-9327/10/991.asp

# INTRODUCTION

*Helicobacter pylori* can be identified in 90-95% of patients with duodenal ulcers and 60-80% of patients with gastric ulcers<sup>[1]</sup>. Eradication of *H pylori* infection was reported to be able to change the natural history of peptic ulcer disease<sup>[2-4]</sup>. Nowadays, *H pylori* has been regarded as the main cause of

chronic gastritis and peptic ulcer<sup>[5, 6]</sup>.

Eradication therapy for H pylori has developed rapidly in recent years. The global trend has now shifted to proton pump inhibitor (PPI)- based triple therapy (a PPI and two different antimicrobial agents)[7], which can assure rapid symptom relief, improve ulcer healing, and reduce ulcer recurrence<sup>[8,9]</sup>. However, treatment failure still occurs in some cases with this advanced PPI-based triple therapy. Patient compliance and antibiotic resistance are currently regarded as the major causes of eradication failure<sup>[10]</sup>. In addition, several papers have also revealed that high pre-treatment <sup>13</sup>C-urea breath test (UBT) results, suggestive of a high intragastric bacterial load, were an independent predictor of treatment failure<sup>[10-12]</sup>. A high antral density of *H pylori* may be related to low eradication rate and increased rate of ulcer recurrence<sup>[12-14]</sup>. The intragastric bacterial load could be another factor affecting the success of eradication therapy.

UBT is the noninvasive method of choice to determine *H pylori* status. It is easy to perform and reliable, with a high sensitivity and specificity<sup>[15]</sup>. Furthermore, some authors have found that the results of UBT could be employed to semi-quantitatively assess both the intragastric bacterial load and the severity of gastritis<sup>[12,16]</sup>. Therefore, we conducted this study to investigate the association of UBT results with *H pylori* density in the stomach and the efficacy of eradication therapy in patients with active duodenal ulcers.

## MATERIALS AND METHODS

### Patient recruitment

From January 2 000 to December 2 002, consecutive outpatients with endoscopically verified active duodenal ulcers (5 mm in diameter or larger) at Cathay General Hospital were enrolled for this study. All panendoscopic examinations were performed and interpreted by the same group of experienced endoscopists. We only selected patients with a positive diagnosis of H pylori infection proven by both histological examinations and UBT. To avoid interference in evaluating the status of *H pylori*, the following patients were initially excluded before endoscopy: those who had ingested bismuth, antibiotics, anti-secretory medication, or PPI during the 4 wk prior to beginning the study; those who used non-steroidal anti-inflammatory drug; those who were pregnant or immuno-compromised; and those who had a history of gastric surgery or a previous attempt to eradicate *H pylori*. Patients with coexisting gastric ulcers or gastric cancer were also excluded. All procedures were performed after obtaining informed consent from the patients.

The enrolled patients were all treated with the same regimen of 30 mg lansoprazole b.i.d. plus 500 mg clarithromycin b.i.d. and 1 g amoxicillin b.i.d. for the first 7 d and followed by 30 mg lansoprazole once daily for an additional 3 wk. After the completion of such therapy, neither PPI or anti-secretory  $H_2$ -blocker was used; only oral antacids were taken for symptomatic relief when necessary. The second endoscopic examination was scheduled 4 wk after the completion of therapy.

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If the patient refused the second endoscopy, we arranged follow-up UBT only.

#### Histology

During the first endoscopic examination, three biopsies were taken from the gastric antrum (one near the incisura and the other two on the greater and lesser curvature, 2 cm within the pyloric ring)<sup>[17]</sup>. On the follow-up endoscopic examination, two additional specimens were taken from the greater curvature of the gastric body due to the possibility of patchy distribution of H pylori after eradication therapy. We used an Olympus GIF-XQ 200 endoscope; the biopsy forceps were the FB 25-K type. Specimens were stained with hematoxylin-eosin and with a modified Giemsa stain. Then they were examined by an experienced histopathologist unaware of the patients' clinical diagnoses for the existence of H pylori. The version of the visual analogue scale in the updated Sydney system was used to grade the density of H pylori (4 grades: normal, no bacteria; mild, focally few bacteria; moderate, more bacteria in several areas; and marked, abundance of bacteria in most glands)<sup>[18]</sup>. If the density varied, the highest grade of density in the specimens was selected.

## Urea breath test (UBT)

UBTs were performed before the start of therapy (right away after the first endoscopy) and on two separate occasions, at 4 and 8 wk after the completion of therapy, respectively. The <sup>13</sup>C-urea used was 100 mg of 99% <sup>13</sup>C-labelled urea produced by the Institute of Nuclear Energy Research, Taiwan. Patients drank 100 mL of fresh milk as the test meal. The procedure was modified from the European standard protocol for the detection of *H pylori*<sup>[19,20]</sup>. We chose 3 per mL for the cut-off level of the rise in the delta value of <sup>13</sup>CO<sub>2</sub> at 15 min after the ingestion of <sup>13</sup>C-urea. UBT was defined as positive when  $\Delta \delta$  <sup>13</sup>CO<sub>2</sub> was above this value. By using this cut-off, the sensitivity and specificity of UBT are 97% and 95%, respectively<sup>[20]</sup>. According to the pre-treatment UBT value, patients were allocated into low (<16%), intermediate (16-35%), and high (>35%) UBT groups<sup>[12]</sup>.

Eradication of *H pylori* infection was defined as negative results on both UBT and histology tests at 4 wk after the completion of therapy or negative results on two UBTs at both 4 and 8 wk after the completion of therapy<sup>[21]</sup>.

#### Statistical analysis

The qualitative data of patients were evaluated using chi-square or Fisher's exact test. ANOVA test was applied for the quantitative data. The relationship between the UBT results and *H pylori* density was assessed by Spearman's rank correlation test. The Kruskal-Wallis test was applied to detect the significant difference in UBT values among patients with different levels of *H pylori* density. Fisher's exact test was used to compare the eradication rates of *H pylori* infection in different UBT groups. The 95% confidence intervals (CI) were calculated. A *P* value of less than 0.05 was considered significant.

# RESULTS

One hundred and twenty-three patients were initially considered eligible for the study, but 6 patients were later excluded due to negative results for *H pylori*. We thus enrolled 117 patients who fulfilled the inclusion criteria (intention-to-treat). Among them, 4 patients did not complete the study adequately because of not taking drugs regularly (2 cases) or taking disallowed medications (two cases). Therefore, a total of 113 patients were included for the per protocol analysis. Table 1 shows the demographic and other baseline characteristics of the patients according to the levels of UBT results. None of the differences in variables was statistically significant.

Table 1 Clinical characteristics of patients

	UBT result (%)		
-	Low (<16) n=39	Intermediate (16-35) n=36	High (>35) <i>n</i> =38
Gender			
Male	24	26	23
Female	15	10	15
Age (year)			
Mean±SD	$45.1 \pm 10.6$	$44.6 \pm 12.8$	$47.0 \pm 13.2$
Smoking			
Yes	20	20	22
No	19	16	16
Ulcer size			
<1 cm	35	32	34
1-2 cm	4	3	4
>2 cm	0	1	0
No. of ulcers			
One	37	33	35
Two	2	3	3

### Correlation between H pylori density and UBT results

Levels of *H pylori* density, as assessed histologically, were compared with the UBT results in Figure 1. The median ( $P_{75}$ - $P_{25}$ ) UBT value in each group was 9.6 (13.2), 17.9 (26.9) and 33.2 (25.4), respectively (*P*<0.001). A significant correlation was found between the UBT result and *H pylori* density ( $r_s$ =0.47).



**Figure 1** Relationship between UBT result and *Helicobacter pylori* density. *P*<0.001.

# **Table 2** Correlation between UBT results and eradication rates of *H pylori* infection

UBT result (%)	Eradication rate (95% CI)	
Low (<16) n=39	94.9 (81.4-99.1%)	
Intermediate (16-35) n=36	94.4 (79.9-99.0%)	
Low+ Intermediate (n=75)	94.7 (86.2-98.3%)	
High (>35) <i>n</i> =38	81.6 (65.1-91.7%) <sup>1,2</sup>	

<sup>1</sup>, comparison among low, intermediate and high UBT groups (three groups); P=0.11; <sup>2</sup>, comparison between low+intermediate and high UBT groups (two groups), P=0.04.

#### Eradication rates of H pylori infection and UBT results

The overall eradication rates were 87.2% (95% CI: 79.5-92.4%) in the intention-to-treat analysis and 90.3% (95% CI: 82.9-94.8%) in the per protocol analysis. Table 2 shows the eradication rates in the three UBT groups. Although there was a downward

trend in the eradication rate with an increase in the UBT results, the difference was not statistically significant (P=0.11). However, when the UBT results were allocated into only two groups ( $\leq 35\%$  and >35%), the difference in the eradication rates between the 2 groups became statistically significant (P=0.04) (Table 2). The higher UBT group had less eradication efficacy.

## DISCUSSION

*H pylori* is now known to play the leading role in the pathogenesis of duodenal ulcer. Many reports have shown that eradication of *H pylori* not only aids ulcer healing but also prevents ulcer recurrence<sup>[22]</sup>. Eradication of *H pylori* can cure duodenal ulcer disease, and has become a standard therapy for duodenal ulcer patients<sup>[2,3,13]</sup>.

The short-term (7 d) triple therapies including a PPI and two antibiotics (clarithromycin, and amoxicillin or a nitroimidazole compound) are currently considered as the firstline anti-H pylori regimens<sup>[7]</sup>. Despite the high efficacy, treatment failure occurs in a proportion of patients, varying from 10% to 25%, in the first attempt to eradicate H pylori<sup>[10]</sup>. Patient compliance and antibiotic resistance are regarded as the key factors affecting the outcome of treatment<sup>[10,12]</sup>. However, several reports have also revealed that patients with higher intragastric H pylori loads had reduced eradication rates. This association was found in both bismuth- and PPI-based triple therapies<sup>[10,12-14]</sup>. Thus, pre-treatment bacterial density has been used by some authors to predict the success of H pylori eradication in patients with duodenal ulcers<sup>[13,14,23]</sup>. The relationship between the eradication efficacy and intragastric bacterial load supports the importance of bacterial density as a factor in the treatment of H pylori infection<sup>[10,12-14]</sup>.

UBT is the most useful noninvasive test for H pylori. The bacterium produces a powerful urease, which is the basis of UBT<sup>[24]</sup>. Since the numerical results of UBT are a function of the total urease activity within the stomach, they might be a quantitative index of the density of gastric H pylori colonization. Many studies have reported inconsistent conclusions about the relationship between the UBT results and those of histology-based semi-quantitative measures of bacterial infection. Such inconsistencies may have arisen from inter-observer differences or inaccuracies in the estimation of bacterial density<sup>[25]</sup>. Thus, UBT is best considered a qualitative test by some authors' opinions<sup>[15]</sup>. However, in a recent study, Kobayashi et al<sup>[26]</sup> used a sensitive real-time (TaqMan) polymerase chain reaction to estimate numbers of *H pylori* genomes in biopsy samples, and found that the numerical results of *H pylori* density correlated significantly with the values of UBT. So, they concluded the information from UBT was useful not only in identifying *H pylori* infection but also in indirectly assessing of the numbers of *H pylori* in the stomach. In our study, UBT results correlated with the density of *H pylori* in the stomach as well, although the number of cases was relatively small.

Higher intragastric bacterial loads, as semi-quantitatively assessed by UBT, are associated with lower eradication rats<sup>[12-14]</sup>. Adopting the same cut-off value of  $\delta$  UBT used by Perri *et al.*<sup>[12]</sup>, that is, >35% as an index of a high *H pylori* load, we confirmed the existence of an inverse relationship between successful eradication and intragastric bacterial load. Although we did not find a correlation between eradication efficacy and the UBT results when we allocated the patients into three UBT groups, statistically significant correlation was revealed when patients' UBT results were divided at 35% into 2 groups.

High UBT values may reflect high urease activity and indicate a high intragastric bacterial load. As observed in other infectious diseases, the bacterial load could be important in determining the outcome of eradication treatment<sup>[11]</sup>. There are other factors than the number of H pylori which influence the UBT results, including gastric emptying time, area of contact between the bacteria and the urea in the stomach, and differences in urease activity among *H pylori* strains<sup>[15]</sup>. Levels of *H pylori* too low to be detected by histology are usually associated with a negative UBT. High urease activity implies a high density of *H pylori*. Between the two extremes, some authors have considered that the actual test results can tell the clinicians little except that the infection is present<sup>[15]</sup>. When we wanted to use the results of UBT as a predictor of the efficacy of eradication therapy of *H pylori* infection, we had to set a high cut-off level to obtain statistical significance. Our result is consistent with previous reports which also revealed that high pre-treatment UBT results could be an independent predictor of eradication failure<sup>[10-12]</sup>.

Recognition of predictors for eradication therapy is helpful in clinical practice. Since high UBT results adversely influence the efficacy of eradication therapy, patients with high intragastric bacterial loads may benefit from an extension of treatment duration from 1 to  $2 \text{ wk}^{[10]}$ . Prolonging the duration of the standard triple therapy has been proposed to overcome the unfavorable effect caused by high *H pylori* density and proven to be effective<sup>[10,12]</sup>.

Most patients (113/117 cases, 96.6%) in this study had good drug compliance. However, bacterial culture and drug sensitivity test to assess the effect of antibiotic resistance were not performed. Thus, we cannot demonstrate the influence of drug resistance on eradication therapy. The culturing and susceptibility testing of *H pylori* are time-consuming, and they are not performed routinely in most countries<sup>[27]</sup>. Our study may therefore provide an easy and practical option to disclose the pre-treatment predictor of eradication therapy when information on drug sensitivity is not available.

In conclusion, our findings reveal that the UBT results correlate with *H pylori* density in the stomach, and that high pre-treatment UBT results might adversely affect the success of eradication therapy in patients with active duodenal ulcers. Identifying patients with high bacterial loads before treatment and thus making adjustment in therapeutic regimens may further improve the efficacy of eradication therapy.

## ACKNOWLEDGEMENTS

We would like to thank Mr. Shui-Cheng Lee (Institute of Nuclear Energy Research, Taiwan) for his help in the assessment of the urea breath test. This research was supported by a grant from Cathay General Hospital, Taiwan.

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Edited by Xia HHX and Xu FM