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## Subclinical atherosclerosis and subsequent cognitive function

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### Abstract

**Objective**—To examine the relationship between measures of subclinical atherosclerosis and subsequent cognitive function.

**Method**—Participants from the Dallas Heart Study (DHS), a population-based multiethnic study of cardiovascular disease pathogenesis, were re-examined 8 years later (DHS-2) with the Montreal Cognitive Assessment (MoCA); N = 1904, mean age = 42.9, range 8–65. Associations of baseline measures of subclinical atherosclerosis (coronary artery calcium, abdominal aortic plaque, and abdominal aortic wall thickness) with MoCA scores measured at follow-up were examined in the group as a whole and in relation to age and ApoE4 status.

**Results**—A significant linear trend of successively lower MoCA scores with increasing numbers of atherosclerotic indicators was observed ( $F(3, 1150) = 5.918, p = .001$ ). CAC was weakly correlated with MoCA scores ( $p = .047$ ) and MoCA scores were significantly different between participants with and without CAC ( $M = 22.35$  vs  $23.69, p = 0.038$ ). With the exception of a small association between abdominal AWT and MoCA in subjects over age 50, abdominal AWT and abdominal aortic plaque did not correlate with MoCA total score ( $p = .052$ ). Cognitive scores and atherosclerosis measures were not impacted by ApoE4 status ( $p = .455$ ).

**Conclusion**—In this ethnically diverse population-based sample, subclinical atherosclerosis was minimally associated with later cognitive function in middle-aged adults.

### Keywords

Montreal Cognitive Assessment; MoCA; Cognition; Atherosclerosis; Dallas heart study

## 1. Introduction

A relationship between vascular risk factors and the onset and progression of cognitive dysfunction is well established, in large part by the well-known Framingham Study [1] and

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### Conflict of interest

None.

others [2–5]. The most common cause of vascular disease is atherosclerosis [6] and atherosclerotic risk has been traditionally assessed based on the sum of predisposing factors such as hypertension [7], diabetes [8], and increased abdominal fat [9]; however, computerized tomography (CT) and magnetic resonance imaging (MRI) provide direct measurement of atherosclerosis. Carotid atherosclerosis has been associated with the onset, severity, and progression of cognitive dysfunction [10–13]; however, atherosclerosis begins in the abdominal aorta and only later involves the carotid arteries [14]. Therefore, other direct measures may provide a better index of subclinical atherosclerosis [15], such as coronary artery calcium (CAC) [16], abdominal aortic plaque [17], and abdominal aortic wall thickness (AWT) [18], which have been shown to independently predict future adverse cardiovascular events [19].

The extent to which subclinical atherosclerosis is related to cognition is not fully understood, particularly whether these processes exert a detectable effect on cognition in the absence of stroke or prior to the onset of dementing illnesses. The relationship between objective evidence of atherosclerosis and cognition has been studied in convenience samples and studies of the elderly, but has not been widely examined in a population-based sample of adults with a wide age range. Research on subclinical atherosclerosis has recently increased due to advances in imaging, and the majority of this work has utilized carotid measures of atherosclerosis [20,21]. This is the first study to date to simultaneously examine three direct measures of atherosclerosis (CAC, abdominal aortic plaque, abdominal AWT) in relationship to global cognitive function in a large, ethnically diverse community-based sample. Using a large community-based sample, it was hypothesized that higher levels of atherosclerosis as measured by CAC, abdominal aortic plaque, and abdominal AWT would be moderately related to lower cognitive performance at a later point in life and that an increasing number of positive atherosclerotic indicators would have an incremental negative effect on MoCA scores.

## 2. Method

### 2.1. Participants

This investigation was conducted as part of the Dallas Heart Study (DHS), a longitudinal, population-based, multi-ethnic cohort study of factors contributing to cardiovascular disease [22]. All participants provided written informed consent and the study protocol was approved by the Institutional Review Board at the University of Texas Southwestern Medical Center. The first phase of DHS (DHS-1) was initiated in 1999, and participants were drawn from those who returned for follow-up in DHS-2 (n = 2069), which gathered data from September 2007 to January 2010 (see Fig. 1).

All participants met the following inclusion criteria: 1) English-speaking and 2) completed a valid Montreal Cognitive Assessment (MoCA) test. Thirty-eight individuals were excluded due to positive stroke history and 1 person was removed due to unclear stroke history. One participant was excluded because he requested that his data not be used. Forty entries were deleted due to missing items. Primarily Spanish-speaking participants who were not fluent in English (n = 86) were excluded. Following these exclusions, 1904 participants with valid MoCA scores were available for the current study. Of those, 1154 had DHS-1 data for all

three selected measures of atherosclerosis. The baseline characteristics of the 1154 subjects with full data did not significantly differ from the smaller subset of the sample with available MoCA scores but incomplete atherosclerosis measures (n = 750).

## 2.2. Procedures

During DHS-1, demographic and health-related information, physical examination, and blood and urine sampling were obtained. Participants underwent EBCT and abdominal MRI. In DHS-2, this information was gathered with the addition of cognitive testing using the MoCA. The MoCA was administered by trained personnel, scored in the conventional manner but without the suggested 1-point correction for 12 years of education. The de-identified MoCA data were entered item-by-item in the DHS-2 database using only the subject identifier.

## 2.3. Measures

**2.3.1. Atherosclerosis**—Coronary calcification was assessed for participants 30 years of age or older with electron beam computed tomography (EBCT) using a previously described protocol [23]. A calcium score was expressed in Agatston units [24] and the mean of the two consecutive scans was used as the final CAC score. Individuals with a mean EBCT score >10 Agatston units were classified as CAC “positive” [23].

Abdominal aortic plaque scores and abdominal AWT were determined by MRI using a previously described protocol [25]. Areas of increased signal intensity, luminal protrusion, and focal wall thickening were identified as atherosclerotic plaque and categorized as “present” or “absent” [17]. Since there is no widely accepted clinical cut-off for AWT, a dichotomous threshold at the 75th percentile was retrospectively defined using the overall DHS-1 sample of approximately 6000 participants, as has been done by other DHS investigators [26]. The 75th percentile cut-off was stratified by gender and age and defined as “normal” or “elevated.”

**2.3.2. Cognition**—The MoCA is a commonly used 30-point cognitive screening tool that requires approximately 10 min to administer and evaluates aspects of attention, orientation, language, verbal memory, visuo-spatial, and executive function. The MoCA items have been described in detail elsewhere [27]. The proposed cut-off score for mild cognitive impairment is [26,27] although whether this cutoff is appropriate for all sociodemographic groups has been questioned [28,29].

**2.3.3. Other measures**—Hypertension was defined as average systolic blood pressure 140 mm Hg and/or diastolic blood pressure 90 mm Hg or use of antihypertensive medication. Diabetes was defined by a fasting glucose level 126 mg/dl or use of any hypoglycemic medication. Hypercholesterolemia was defined as low-density lipoprotein cholesterol 160 mg/dl, total cholesterol 240 mg/dl, or the use of statin medication. Waist circumference was measured in centimeters on a horizontal plane 1 cm above the iliac crest. The National Cholesterol Education Program obesity threshold was used to determine abdominal obesity; 88 cm and 102 cm for women and men, respectively [30]. Apolipoprotein E genotyping was conducted by a previously established method [31].

## 2.4. Statistical analysis

Statistical analyses were conducted using SPSS version 18.0 (SPSS, Inc., Chicago IL). The level of significance was set at  $p = 0.05$ . To assist with the determination of clinical relevance, correlation coefficients were designated as small (.10–.29), medium (.30–.49), and large ( $>.50$ ) using standard criteria [32]. Participants with missing data were compared to those with complete data, with no significant differences noted. Markedly skewed variables (CAC, abdominal aortic plaque, and CRP) were log<sub>10</sub> transformed to meet assumptions of parametric statistical testing when used as continuous variables; for clarity, we report these results as antilogs. Although the transformation did not fully normalize the distribution of these variables, additional ANCOVA analyses were conducted using rank order transformations and results were similar to those with the log-transformed data.

To determine if there was a relationship between direct measures of atherosclerosis and global cognition, partial Spearman correlations between the MoCA scores and CAC scores, abdominal aorta plaque, and AWT were performed, controlling for education and age because these variables were correlated with MoCA scores in this study and have a well-established effect on cognitive test performance. In order to determine if having more than one positive indicator of atherosclerosis (e.g. CAC $>10$ ) resulted in a stronger relationship to cognitive function, participants with a greater number of positive risk factors were compared to those without. For this analysis, only participants with data for all 3 indicators were used and those with no positive indicators ( $n = 517$ ) were excluded due to unequal sample size. The mean MoCA score among these groups (1, 2, or 3 positive indicators) was compared using ANCOVA, controlling for education and age, and a test for trend was also conducted. To determine if the presence of the ApoE4 allele related to cognitive performance, mean MoCA scores for participants with and without an E4 allele were also compared using ANCOVA.

## 3. Results

### 3.1. Sample characteristics

Descriptive information is presented in Table 1. The mean MoCA score for the entire sample was 23.36 (4.03) points. Education was associated with MoCA performance ( $r = .43$ ,  $p < .001$ ), as was age ( $r = -.20$ ,  $p = .001$ ). After controlling for education and age, there was no difference in MoCA scores by sex (M, SD male: 23.29, 3.95 and female: 23.42, 4.08).

### 3.2. Relationship to cognition

A partial Spearman's correlation controlling for age and education showed a weak but statistically significant correlation between MoCA Total Scores and CAC [ $\rho(1409) = -.06$ ,  $p = .047$ ], whereas abdominal AWT [ $\rho(1283) = -.04$ ,  $p = .187$ ] and abdominal aortic plaque [ $\rho(1280) = -.06$ ,  $p = .052$ ] did not correlate with MoCA Total Score. When stratified by sex or ethnicity, the relationships between these direct measures of atherosclerosis and MoCA did not differ ( $p = .114$  for each). When correlational analyses were conducted with only those participants greater than 50 years of age, who were thought to be more vulnerable to the effects of atherosclerosis, a small but significant relationship was found between abdominal AWT and MoCA ( $n = 355$ ,  $\rho = -.16$ ,  $p = .004$ ).

Direct measures of atherosclerosis were also examined as categorical variables (CAC >10 Agatston units, AWT > 75th percentile, and present aortic plaque). After controlling for age and education, small but significant differences in MoCA scores were observed between participants with and without CAC ( $M = 22.35$  vs  $23.69$ ,  $p = 0.038$ ). No differences in MoCA were observed based on the presence or absence of abdominal aortic plaque ( $23.03$  vs  $23.95$ ,  $p = .120$ ) or AWT ( $23.41$  vs  $23.68$ ,  $p = .894$ ), see Table 2. Results did not change when race and other vascular risk factors (hypertension, hypercholesterolemia, diabetes, waist circumference) were included as covariates.

Incrementally lower MoCA scores were observed based on the number of positive atherosclerotic indicators (see Fig. 2), and those with no elevated/present measures of atherosclerosis had slightly but significantly better MoCA scores compared to their counterparts with all three positive atherosclerotic indicators [ $F(3, 1153) = 5.92$ ,  $p = .001$ ], though this finding was attenuated by age and education ( $p = .150$ ). There was a significant linear trend,  $F(3, 1150) = 5.918$ ,  $p = .001$ , indicating that as the number of atherosclerotic indicators increased, MoCA scores decreased proportionally.

### 3.3. ApoE4, cognition, and atherosclerosis

The proportion of ApoE4 was 0.15, which is comparable to the 0.14 observed in the general U.S. population [33]. The frequency was greater in African Americans (0.19) compared to white participants (0.13), consistent with prior reports [34,35]. There was no difference in MoCA Total Scores between participants with ( $M = 23.40$ ,  $SD = 3.99$ ) or without at least one E4 allele ( $M = 23.35$ ,  $SD = 4.03$ );  $F(1, 1643) = .074$ ,  $p = .780$ . The influence of E4 on direct atherosclerosis measures was also examined, with no differences in CAC, AWT, or aortic plaque ( $p = .455$ ). Given that ApoE4 frequency varied by race, these analyses were also examined for African Americans and Whites separately, and results did not differ ( $p = .180$ ).

## 4. Discussion

Much of the evidence for an association between cognitive function and atherosclerosis comes from studies of select populations, such as the elderly and those with advanced atherosclerosis, and the majority of this work has utilized carotid measures [13,36–38]. This is the first study to simultaneously examine three direct measures of atherosclerosis (coronary artery calcium, abdominal aortic wall thickness, and aortic plaque) in relation to global cognitive function in a population-based study of middle-aged adults. In this sample, we detected a small but significant relationship between measures of subclinical atherosclerosis and MoCA scores obtained approximately 8 years later.

Cognitive performance had a negative association with age and was more strongly influenced by education. A small correlation between CAC and MoCA was observed, as was a similarly weak association between abdominal AWT and MoCA in subjects over age 50. There was no relationship between abdominal AWT and abdominal aortic plaque with MoCA scores, and the relationship between cognitive performance and atherosclerosis did not differ by gender or race. When MoCA scores were compared between positive and negative CAC, present versus absent aortic plaque, and AWT above or below the 75th

percentile, results were in the expected direction, with lower cognitive performance in groups with positive atherosclerotic measures and a significant difference (but less than 1 point in MoCA Total Score) between positive and negative CAC. The few prior studies of CAC and cognition have had mixed outcomes, with greater CAC volume associated with worse performance on neuropsychological tests of information processing speed and motor speed, risk of dementia, and brain MRI abnormalities, albeit in elderly samples [39–41], while in a cross-sectional study of middle-to-older aged adults with subclinical atherosclerosis, CAC was not associated with lower neuropsychological performance [11]. The CARDIA study, a larger cohort of middle-aged, healthy adults, demonstrated that a greater amount of both CAC and abdominal aortic plaque was associated with worse performance on three cognitive tests examining psycho-motor speed, verbal memory, and executive function [42]. It is possible that this study saw a relationship in part due to the use of more sensitive, domain-specific neuropsychological tests, while our study was limited to a single global screening measure. The relationship between these direct measures of atherosclerosis and cognition has otherwise been largely unexamined. In this study, the presence of ApoE4 did not significantly impact cognitive performance, similar to prior work suggesting that the E4 allele may not contribute to detectable cognitive decline in a non-dementia context [43].

We did observe a significant trend of incrementally lower MoCA scores with successively greater number of positive indicators of atherosclerosis (e.g. CAC  $\geq 10$  and presence of aortic plaque, etc.). Thus, even though the relationships between individual factors and cognition were not significant, a combination of factors or the cumulative effects of these burdens may contribute to cognitive vulnerability over time, though differences were marginal after considering demographic factors. It may be that the levels of CAC, aortic plaque, and abdominal AWT in this relatively young sample had not yet reached a threshold that would result in notable decrements in cognition. It remains to be seen if early-life development or prolonged elevations of these atherosclerotic measures are associated with the development or earlier expression of cognitive impairment with advancing age.

The mechanism by which atherosclerosis contributes to cognitive decline may primarily be through stroke, and as such there may not be a direct, early, consistent link between atherosclerosis and cognition before stroke onset. However, studies using the carotid artery have shown an inverse relationship between subclinical carotid atherosclerosis and cognitive function, mostly in elderly samples [44] but also in middle-aged cohorts [38] and stroke-free cohorts [45]. Atherosclerosis is a gradual, systemic process that starts early in life and may have a pattern of development that is influenced by a variety of modifiers and may affect vessel beds differentially [41,46,47]. Although atherosclerosis begins in the abdomen [14], it may not exert significant cognitive risk until it is present in the carotid arteries due to proximity to the brain and critical role in brain blood supply [47,48].

Despite the large sample size and unselected nature of this cohort, the present study has limitations. The DHS sample was relatively well educated and had a majority of minority participants, so these findings may not generalize to less educated populations or those with a different ethnic composition. While the DHS was longitudinal over two phases, this study utilized data from each, but not both, phases and is thus neither longitudinal nor strictly

cross-sectional. An important limitation is the lack of baseline cognitive testing and the 8–10 year interval between measurement of atherosclerosis and MoCA testing. It is possible that less healthy participants dropped out during that interval, which would bias in favor of a negative outcome. It is also possible that concurrent biologic measurements and/or change scores over time may show a greater relationship with cognitive functioning than what was seen in the present study, and those data are forthcoming. Finally, while the MoCA has been reported to be more sensitive than other brief tests such as the Mini-Mental State Examination [49] in detecting mild cognitive impairment [50], it is a screening tool for global cognition and may not be sensitive enough to show the cognitive effects of subtle biological processes. This is supported by the fact that prior studies reporting significant relationships between atherosclerosis and cognition have utilized traditional neuropsychological tests rather than a general screening measure [11,38,42,51].

Subclinical atherosclerosis was examined in relationship to cognitive function measured 8 years later with the MoCA, a brief index of global cognitive function. These results were derived from a large, ethnically diverse, community-based sample and thus may have epidemiologic implications, though the findings were of modest size. We found a weak but significant negative relationship between CAC and MoCA and observed a significant trend of incrementally lower MoCA scores with successively greater number of positive indicators of atherosclerosis. No relationship was found between abdominal plaque or abdominal AWT and cognitive function. Presence of the ApoE4 allele did not impact the relationship between atherosclerosis and global cognitive function. Although CAC, abdominal aortic plaque, and abdominal aortic wall thickness were only minimally associated with later cognitive function in middle-aged adults, it is not yet known if early-life development or prolonged elevations of these biological measures may play a role in the development or earlier expression of cognitive impairment with advancing age.

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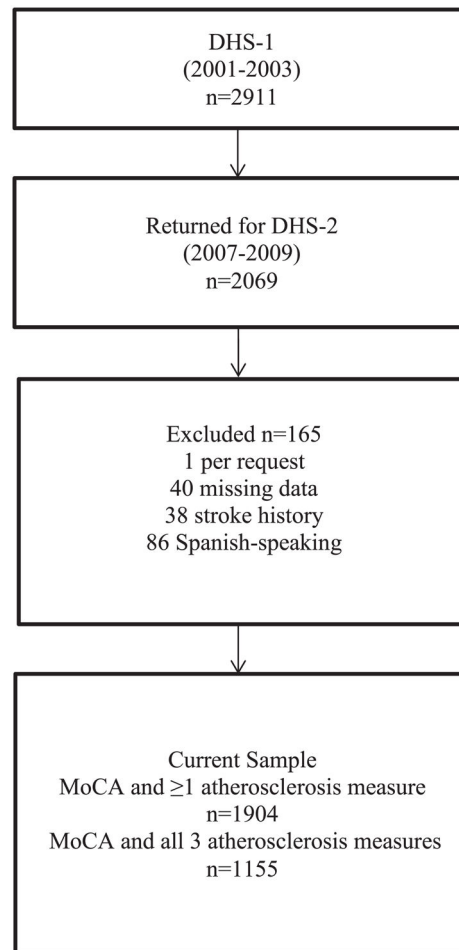
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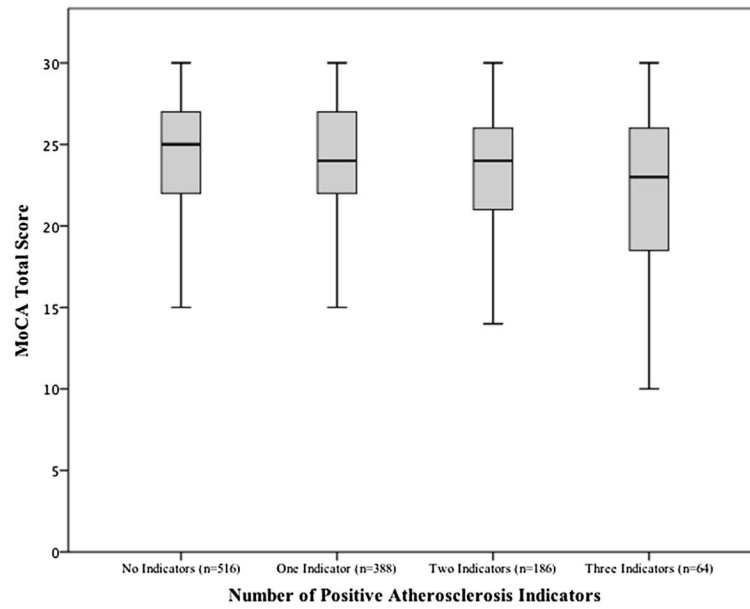


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**Fig. 1.**  
Flowchart of sample selection.



**Fig. 2.** MoCA Total Score by Number of Atherosclerosis Measures (Positive CAC, Present Aortic Plaque, Elevated AWT). Significant linear trend,  $F(3, 1150) = 5.918, p = .001$ .

**Table 1**

Sample Characteristics (Total n = 1904).

	%	Range	M (SD)
<sup>b</sup> Age at DHS 2, yrs		26–74	50.87 (10.39)
<sup>c</sup> Education, yrs		1–20	13.59 (2.69)
Female	58		
African American	54		
White	33		
Hispanic	11		
Other <sup>d</sup>	2		
MoCA total score (n = 1904)		7–30	23.36 (4.03)
CAC (Agatston units; n = 1414)		0–7444	52.55 (310.4)
% CAC Positive (>10 AU)	15		
Abdominal Aortic Plaque (mm <sup>2</sup> ; n = 1284)		0–588	23.12 (59.4)
% Present Plaque	37		
Abdominal AWT (mm; n = 1287)		1–3.47	1.68 (0.30)
% Elevated AWT ( >75th percentile)	25		
Waist Circumference (cm)		56–164	99.55 (17.04)
Female		56–162	98.03 (18.36)
Male		69–164	101.62 (14.84)
>88 cm for females	56		
>102 cm for males	40		
Diabetes	8		
Hypertension	30		
Hypercholesterolemia	10		
ApoE4 (1 or 2 alleles)	32		
ApoE Status			
E2/E2	1		
E2/E3	10		
E2/E4	3		
E3/E3	48		
E3/E4	22		
E4/E4	4		

Note. DHS = Dallas Heart Study; MoCA = Montreal Cognitive Assessment; CAC = coronary artery calcium; AWT = aortic wall thickness.

<sup>a</sup>Excluded from further group analyses due to small sample size; analyses did not differ.

<sup>b</sup>Significantly associated with MoCA scores ( $r = -.20, p < .001$ ).

<sup>c</sup>Significantly associated with MoCA scores ( $r = .43, p < .001$ ).

**Table 2**

MoCA total score by atherosclerosis measure.

	Coronary artery calcium		Abdominal aortic plaque		Abdominal aortic wall thickness	
	Positive N = 287	Negative N = 1127	Positive 471	Negative 813	Positive 325	Negative 962
MoCA M(SD)	22.35 (4.40)	23.69 (3.87)	23.03 (4.15)	23.95 (3.78)	23.41 (4.13)	23.68 (3.88)
<i>p</i> -value	.038 <sup>a</sup>		.120		.894	

*Note.* Positive denotes that the atherosclerotic measure was above the established cut-off or present (e.g. CAC >10 Agatston units). Negative denotes that the atherosclerotic measure was below the established cut-off or absent.

<sup>a</sup>Small but significantly different, *p* = 0.05.