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## Insula reactivity to negative stimuli is associated with daily cigarette use: a preliminary investigation using the Human Connectome Database

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## Abstract

**Background**—Individuals who smoke more cigarettes per day are at greater risk for developing smoking-related illness and have more difficulty quitting. Withdrawal-related negative mood is one factor thought to motivate drug use. However, heavy smokers are generally more sensitive to negative affect, not just negative emotion stemming from withdrawal. One possibility is that individual differences in how the brain processes negative stimuli may impact smoking use. Given the wealth of data implicating the insula in nicotine dependence and affective processing we hypothesize that the number of cigarettes an individual smokes per day will relate to insula reactivity to negative stimuli.

**Methods**—A functional magnetic resonance imaging (fMRI) emotional processing task collected by the Human Connectome Project was assessed in 21 daily tobacco smokers who reported smoking between 5–20 cigarettes per day. The number of cigarettes smoked per day was correlated with right and left anterior insula reactivity to faces expressing a negative emotion relative to a control. This anterior insula region of interest has been associated with treatment outcome and smoking cue-reactivity in our prior work.

**Results**—Those who smoked more daily cigarettes showed greater right insula reactivity to negative stimuli (r = 0.564, p = 0.008). Left insula reactivity was not associated with cigarettes smoked per day.

Conflict of Interest

No conflict declared for any author

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Contributors

AJ defined the question and guided data analysis and manuscript preparation, ND and AP contributed to data analysis and wrote the manuscript. All authors approved the final version of the manuscript.

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**Conclusion**—Smokers who use more cigarettes per day have greater insula reactivity to negative stimuli, furthering the field's understanding of the insula's involvement in nicotine use. This preliminary work also suggests a mechanism contributing to higher rates of daily smoking.

#### Keywords

Cigarette smoking; Nicotine; Negative stimuli; Insulal Connectome

## **1. INTRODUCTION**

Over 36 million adults in the United States smoke cigarettes daily (CDC, 2010), yet the number of cigarettes smoked/day varies across individuals (Shiffman, 2009; Burns et al., 1997). Understanding factors influencing smoking rates is essential, as greater smoking rates are associated with increased risk of smoking related illness (Bjartveit and Tverdal, 2005; Law and Wald, 2003) and with poorer quit outcomes (Harris et al., 2004; Hymowitz et al., 1997).

One factor possibly mediating daily cigarette use is reactivity to negative affect, as smoking is often maintained to alleviate negative mood states (Copeland et al., 1995; Brandon, 1994; Baker et al., 2004). Smokers self-administer greater numbers of cigarettes/day when faced with exogenous stressors (Aronson et al., 2008) and more rapid relapse is associated with decreased distress tolerance (Brown et al., 2002), suggesting that smoking may be related to how an individual processes negative affect. To test the hypothesis that daily smoking rates are associated with how negative affect is processed, we evaluated the relationship between the number of cigarettes an individual smokes/day and insula reactivity to negative stimuli.

Insula reactivity to negative stimuli in smokers is of particular interest, because this brain region is implicated in affective processing (Critchley, 2002; Critchley et al., 2004; Damasio et al., 2000; Phan et al., 2002; Craig, 2002, 2010) and smoking (Janes et al., 2010a, 2010b, 2015, 2015; Naqvi et al., 2007; Gray and Critchley, 2007). The insula is engaged during the processing of basic affective states, including the interpretation of external stimuli such as emotional faces (Carr et al., 2003) and internal awareness of one's emotions (Critchley, 2002, 2004; Damasio et al., 2000; Craig, 2002, 2010). Additionally, the insula facilitates nicotine seeking-behavior (Naqvi et al., 2007; Forget et al., 2010; Scott and Hiroi, 2011; Pushparaj et al., 2013) and is related to factors that impact daily smoking such as cigarette craving (Brody et al., 2002; Wang et al., 2007) and nicotine dependence severity (Claus et al., 2013). Thus, our analysis focused on a previously identified anterior insula region of interest (ROI) that is associated with smoking (Janes et al., 2015).

To identify an association between daily cigarette use and insula reactivity to negative stimuli, we investigated data collected via the Human Connectome Project (HCP; Van Essen et al., 2013). Specifically, we assessed whether the number of cigarettes smoked/day was correlated with insula activity collected during an emotional processing task where individuals were presented with faces expressing negative emotion compared to a neutral control. Given the insula's involvement in both emotional processing and nicotine dependence, we hypothesize that insula reactivity to negative stimuli will be associated with greater daily nicotine use.

## 2. METHODS

#### 2.1 Participants

Data analyzed were obtained from the Human Connectome Project (HCP). A description of the recruitment criteria for the HCP is provided by Van Essen et al. (2013). Briefly, individuals were excluded by the HCP if they reported a significant history of psychiatric disorder, substance abuse, neurological disorder, or medical disorder known to influence brain function. Participants in the current study included all those reporting regular cigarette use who did not have a positive urine sample indicating any illicit drug use or a history of alcohol or marijuana dependence. Twenty-one tobacco smokers (16 women, 2 left-handed) between the ages of 28 and 36 (mean =  $30.3 \pm 3.3$  years) with an average of 13 years of education ( $\pm 4.4$ ) were assessed. All subjects reported smoking an average of 9.7 cigarettes/day ( $\pm 4.4$ ; range: 5 - 20), had an average nicotine dependence level of  $3.14 \pm 1.8$  as measured by the Fagerstrom Test for Nicotine Dependence (FTND, Heatherton et al., 1991), and were abstinent for at least 1 hour prior to the fMRI task.

#### 2.2 fMRI data acquisition

All imaging data were acquired with a 32-channel head coil on a modified 3T Siemens Skyra. Ugurbil et al. (2013) provides a detailed description of the human connectome project (HCP) fMRI acquisition protocols, which is summarized by Barch et al. (2013).

#### 2.3 fMRI data preprocessing

The "minimally preprocessed" Quarter 3 release of the HCP data was used for this study (40). We further preprocessed these data using tools from FSL 5.0.6 (FMRIB Software Library; Analysis Group, FMRIB, Oxford, UK; http://www.fmrib.ox.ac.uk/fsl). Data were spatially smoothed to 4 mm full width half max and high pass temporal filter was applied (Gaussian-weighted least squares straight line fitting, with sigma = 100 s).

#### 2.4 Experimental task design

The emotional processing task used by the HCP was adapted from a well-validated task developed by Hariri et al. (2012). Two 2 min and 5 s runs of the task were acquired. Each of the two runs began with an 8 s fixation, followed by 6 alternating blocks: three blocks presented face stimuli (angry or fearful faces) and the other three blocks presented shape stimuli (wide or tall circles). Prior to each block, a cue indicating the type of block (shape or face) was shown for 3 s. Each block lasted 18 s, in which six 2 s trials were followed by a 1 s inter-trial-interval. There was a bug in the E-prime script for this task, such that the task stopped short of the last three trials of the last block (face) in each run. As described on the HCP website (http://www.humanconnectome.org/documentation/Q1/task-fMRI-protocol-details.html), the last block of each run lasted only 9 seconds, which limited trial presentation of that face block to 3 face trials.

#### 2.5 fMRI data analysis

At the first level, two regressors, one corresponding to emotional faces block and the other to shapes block, were included in the general linear model. These regressors were

constructed using boxcar functions of length equal to the duration of the block and convolved with the double gamma hemodynamic response function. Motion was modeled using the six motion regressors (x, y, z, translation and rotation). First-level results were combined across runs followed by a group-level analysis using the mixed model (FLAME) with automatic outlier detection (Woolrich, 2008). A Pearson's correlation coefficient was calculated between the number of cigarettes smoked per day and right and left insula reactivity to negative faces > shapes. Anterior insula regions of interest were comprised of 5-mm spheres located at MNI coordinates ( $\pm$ 34, 26, 2; x, y, z; Figure 1), which are identical to the ROIs we used previously (Janes et al., 2015). To test the specificity of the anterior insula's involvement, we evaluated the relationship between cigarettes/day and the right and left mid ( $\pm$ 8, 2, 2) and posterior insula ( $\pm$ 38, –14, 8); ROIs, also taken from our prior work (Janes et al., 2015). To ensure that findings were not unduly affected by possible outliers, correlations were confirmed using Spearman's nonparametric test.

#### 3. RESULTS

#### 3.1 Association between Insula reactivity and cigarettes/day

There was a positive association between cigarettes smoked/day and reactivity to negative faces > shapes in the right (Pearson's r = 0.564, p = 0.008; Figure 1), but not left anterior insula (Pearson's r = 0.253, p = 0.268). This finding was supported by non-parametric testing using Spearman's rho (right anterior insula r = 0.653, p = 0.001). While there was a marginal association between cigarettes smoked/day and beta weights extracted from the right posterior insula (Pearson's r = 0.446, p = 0.43), this association was not significant when testing with Spearman's rho suggesting outliers were impacting this finding. No relationship was found between cigarettes smoked/day and any other ROI. No relationship was found between age and any ROI.

#### 4. DISCUSSION

Negative affect is associated with nicotine use (Kassel et al., 2003; Hughes et al., 1994), as ameliorating withdrawal-related negative affect is one of the most cited reasons for precipitating relapse (Copeland et al., 1995; Brandon, 1994; Baker et al., 2004). The current finding expands our understanding of the link between negative affect and daily cigarette use by demonstrating that right anterior insula reactivity to negative stimuli is positively correlated with cigarettes smoked/day.

While the bilateral (Naqvi et al., 2007; Janes et al., 2010b) and left insula (Englemann et al., 2012, Janes et al., 2013) have been implicated in aspects of nicotine dependence such as reactivity to and memory for smoking cues, our finding that right insula activity is related to cigarettes/day is in line with emotion literature that demonstrates that the right insula is uniquely responsible for processing negative affect (Craig, 2010). Given the insula's role in internal, or interoceptive, awareness (Craig, 2002), the current findings suggest that individuals who smoke more cigarettes per day may have greater interoceptive awareness of negative emotional states. Prior work supports this concept as greater insula reactivity to emotional stimuli indicates heightened sensitivity to negative emotion (Iaria et al., 2008; Stein et al., 2007). Baker and colleagues (2004) hypothesized that smokers learn to quickly

detect interoceptive cues that indicate very early stages of withdrawal such as a rise in negative affect. However, smokers may be vulnerable to misinterpreting the source of interoceptive changes associated with negative affect leading to nicotine use even when negative affect is not due to withdrawal. For instance, heavy smokers, relative to lighter smokers, are particularly poor at differentiating between various negative emotions and may use nicotine as an emotion regulation strategy (Sheets et al., 2015). The idea that negative affect, irrespective of origin, can influence smoking is suggested by the current work given that a relationship was found between daily cigarette use and insula reactivity to negative stimuli.

#### 4.1 Limitations

There are several limitations to the present study. First, we are unable to confirm whether insula reactivity to negative affect causally enhances cigarette use or vice versa. There is a growing body of translational research supporting the idea that the insula mediates nicotine use (Naqvi et al., 2007; Forget et al., 2010; Scott and Hiroi, 2011; Pushparaj et al., 2013), suggesting that individual variability in smoking behavior may be mediated by insula function. However, the relationship between tobacco use and negative affect may be dynamic as others report that emotional distress can initially lead to tobacco use, but overtime tobacco use enhances emotional distress (Orlando et al., 2001). Irrespective of causal direction, providing treatment that enhances emotional regulation may help break the cycle leading to more successful cessation.

Given the modest sample size of twenty-one subjects from the HCP database we were unable to determine whether other factors such as sex interact with insula reactivity and smoking behavior. Additionally, while it is plausible that a larger sample size would show a relationship between cigarettes smoked/day and the posterior insula, the current work supports the strong relationship between cigarettes smoked/day and the anterior insula.

Future studies would benefit from taking into account other aspects of nicotine use beyond number of cigarettes per day. Our ability to evaluate nicotine use more broadly was limited by the variables provided by the HCP. For instance, expired carbon monoxide was not measured and the exact number of years an individual had been smoking was not provided by the HCP. While all participants were abstinent for at least 1 hour prior to the scan, the HCP did not measure the exact duration of abstinence nor were withdrawal symptoms quantified making it impossible to determine the impact of withdrawal on the current findings. Despite these limitations, this data set adequately captures variability in quantity of nicotine use across the group via the number of cigarettes smoked/day for a week prior to the study visit. Finally, the emotional processing task only included faces with a negative valence and we were not able to conclude whether cigarettes/day is related to insula reactivity to negative affect specifically or emotional processing more generally.

#### 4.2 Conclusion

Given the association between cigarettes/day and anterior insula reactivity during the emotional processing task, the current results suggest that individuals who smoke more cigarettes/day are more reactive to negative emotional stimuli. These findings further our

understanding of the insula's involvement in nicotine use. While our prior work showed that insula reactivity to smoking cues impacts relapse vulnerability (Janes et al., 2010b), the current work suggests that insula reactivity to affective stimuli, unrelated to smoking, also is associated with smoking.

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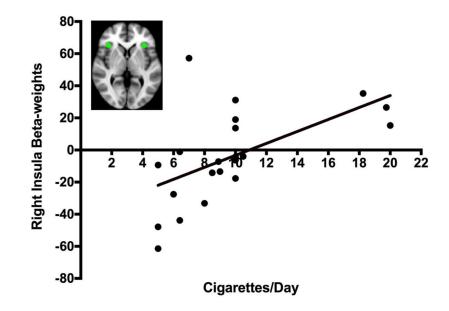
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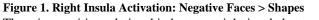
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## Highlights

Prior work shows the insula's role in nicotine dependence and affective processing. We evaluated the link between insula reactivity to negative affect and cigarette use. Smokers using more cigarettes/day have greater insula reactivity to negative stimuli. Individual variability in affective processing is associated with cigarette use. Dias et al.





There is a positive relationship between right insula beta-weights for the negative faces > shapes contrast and the average number of cigarettes smoked per day (Pearson's r = 0.564, p = 0.008). The brain image on the top left shows the right and left insula ROIs in green.