

# Separable roles for Mycobacterium tuberculosis ESX-3 effectors in iron acquisition and virulence

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Mycobacterium tuberculosis (Mtb) encodes five type VII secretion systems (T7SS), designated ESX-1–ESX-5, that are critical for growth and pathogenesis. The best characterized is ESX-1, which profoundly impacts host cell interactions. In contrast, the ESX-3 T7SS is implicated in metal homeostasis, but efforts to define its function have been limited by an inability to recover deletion mutants. We overcame this impediment using medium supplemented with various iron complexes to recover mutants with deletions encompassing select genes within esx-3 or the entire operon. The esx-3 mutants were defective in uptake of siderophore-bound iron and dramatically accumulated cell-associated mycobactin siderophores. Proteomic analyses of culture filtrate revealed that secretion of EsxG and EsxH was codependent and that EsxG–EsxH also facilitated secretion of several members of the proline-glutamic acid (PE) and proline-proline-glutamic acid (PPE) protein families (named for conserved PE and PPE N-terminal motifs). Substrates that depended on EsxG–EsxH for secretion included PE5, encoded within the esx-3 locus, and the evolutionarily related PE15–PPE20 encoded outside the esx-3 locus. In vivo characterization of the mutants unexpectedly showed that the ESX-3 secretion system plays both irondependent and -independent roles in Mtb pathogenesis. PE5–PPE4 was found to be critical for the siderophore-mediated iron-acquisition functions of ESX-3. The importance of this iron-acquisition function was dependent upon host genotype, suggesting a role for ESX-3 secretion in counteracting host defense mechanisms that restrict iron availability. Further, we demonstrate that the ESX-3 T7SS secretes certain effectors that are important for iron uptake while additional secreted effectors modulate virulence in an iron-independent fashion.

Mycobacterium tuberculosis | type VII secretion system | ESX-3 | mycobactin | siderophore

**B**acterial secretion systems play roles in pathogenesis, anti-genicity, and virulence and are keys to understanding hostpathogen interactions (1, 2). Mycobacterium tuberculosis (Mtb), the causative agent of tuberculosis (TB), encodes five type VII secretion systems (T7SS) within genomic loci referred to as "exx-1" through "esx-5" (3). There is considerable interest in understanding these secretion systems, because they appear to be central to Mtb pathogenesis. ESX-1 has been studied most extensively because deletion of a large portion of this coding region is the primary attenuating mutation in Mycobacterium bovis-bacillus Calmette– Guérin (bacillus Calmette–Guérin), the widely used TB vaccine (4–6). In addition to containing the secretion apparatus itself, esx-1 encodes several substrates, including a pair of small helical proteins belonging to the WXG100 family as well as members of the proline-glutamic acid (PE) and proline-proline-glutamic acid (PPE) families (named for conserved N-terminal amino acid signatures) (7). A large body of data points to the importance of ESX-1 in modulating host cell signaling and bacterial trafficking, perhaps in large measure because of a membrane-lytic function (8, 9). However, the precise mechanisms whereby individual substrates contribute to ESX-1 functions are less clear. The lessinvestigated ESX-3 provides an alternate system by which we can explore how T7SS contribute to growth and virulence. ESX-3 has been thought primarily to play a bacterial intrinsic role in metal homeostasis. This notion is based on the observation that the  $ex-3$ gene cluster (Rv0282–Rv0292) is transcriptionally de-repressed in response to iron and zinc starvation and on genetic data pointing to a role for ESX-3 in using iron bound to the siderophore mycobactin (Mb) in both nonpathogenic mycobacteria and Mtb (10–13). Similar to the esx-1 locus, esx-3 also encodes a pair of WXG100 family members (EsxG and EsxH) and PE–PPE proteins (PE5 and PPE4) (3), but their specific functions remain largely undefined.

Emerging data point to additional roles of ESX-3 in virulence and modulation of immune responses. Introduction of Mtb esx-3 into Mycobacterium smegmatis (Msmeg) strains lacking the

### **Significance**

Mycobacterium tuberculosis (Mtb) uses type VII secretion systems to secrete cognate protein pairs that alter host interactions. Here, we address the contributions of the ESX-3 secretion system to Mtb growth and pathogenesis through a combination of genetics, proteomics, and growth studies both in vitro and in vivo. ESX-3 is demonstrated to play a critical role in iron acquisition through secretion of a pair pf proteins belonging to the PE–PPE family (PE5–PPE4). In vivo, the importance of PE5–PPE4 secretion was found to depend upon host genotype, likely reflecting a host capacity to restrict iron availability. However, secreted effectors EsxG–EsxH play an iron-independent role in Mtb virulence. Therefore, ESX-3 secretes multiple effectors that target distinct host pathways to influence pathogenesis.

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endogenous locus generates altered cytokine responses, and, when used as a vaccine, this strain protects against subsequent challenge with Mtb (14). EsxG and EsxH form a heterodimer (15) and generate prominent CD4 and CD8 T-cell responses in mice and humans (16–18). The EsxG–EsxH complex impairs phagosomal maturation by interfering with the host endosomal sorting complex required for transport (ESCRT) (19). Finally, repression of the entire esx-3 locus in bacillus Calmette–Guérin impairs bacterial survival in macrophages (13). Although ESX-3 has been implicated in both metal homeostasis and virulence, it is not known whether these two phenomena are related, and the roles of specific effectors are undefined. Investigation of these questions has been hampered by the essentiality of ESX-3 in standard laboratory medium. Here, we isolated Mtb strains lacking ESX-3 and mutants deficient in the secreted substrates EsxG, EsxH, and PE5– PPE4 by recovering the strains on iron-supplemented media. We evaluated the esx-3 region mutants with regard to their iron and zinc phenotypes, their ability to produce mycobactin, their capacity for siderophore-mediated iron uptake, and their virulence in macrophages and in mice. Further, by comparing culture filtrates (CFs) of WT and knockout strains using label-free quantitative MS, we comprehensively defined ESX-3–secreted factors and determined their codependence on EsxG and EsxH. By comparing the phenotypic data with the secretome analysis, we were able to link secretion of particular substrates to specific effector functions. The phenotypic and proteomic analyses point to a model in which PE5 and PPE4 are the critical ESX-3 substrates involved in metal homeostasis and in counteracting host iron restriction, while EsxG and EsxH also play an essential ironindependent role in virulence.

## Results

Hemin and Mb Rescue Growth of Mtb Δesx-3, ΔesxG, ΔesxH, and Δpe5–ppe4. Because ESX-3 has been implicated in using Mbbound iron (13), we reasoned that we might be able to recover mutants in the esx-3 locus if we used hemin as an alternative iron source (20, 21). Because Esx proteins and PE–PPE family members are implicated as substrates of T7SS (7, 22), we generated strains lacking  $exG$ ,  $exH$ , and  $pe5$ -ppe4, all of which are encoded within  $ex-3$  [\(Fig. S1](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF1) A and  $G-I$  $G-I$ , [Table S1,](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=ST1) and [Dataset](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.1523321113.sd01.xlsx) [S1](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.1523321113.sd01.xlsx)), as well as a complete esx-3 deletion (i.e., ΔRv0282-Rv0292) [\(Fig. S1](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF1) A–[F](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF1)) and, for comparison of iron phenotypes, a deletion mutant of  $mbtB$  [\(Fig. S1](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF1)J), which codes for a peptide synthase required to produce Mb (23) (see [SI Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=STXT) for details of iron-containing media used to recover mutants). The Δesx-3 mutant, ΔesxG, ΔesxH, the double-knockout Δpe5–ppe4, and  $\Delta mbtB$  all grew on medium containing 100 μM hemin, failed to grow on unsupplemented medium (Fig.  $1 \land$  and  $B$ ), and were rescued with integrating plasmids expressing the relevant genes under control of the heat-shock protein 60 (hsp60) promoter [\(Fig. S2](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF2)A and [Table S2](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=ST2)) or, for  $\Delta$ esx-3 and  $\Delta$ mbtB, with a cosmid containing the entire esx-3 region or the entire mbt-1 Mb synthesis locus, respectively (Fig.  $1 \text{ } A$  and  $B$ , [Fig. S2](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF2) $A$ , and [Table](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=ST2) [S2](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=ST2)). For unclear reasons,  $\Delta$ esx-3,  $\Delta$ esxH, and  $\Delta pe5-ppe4$  also required Tween 80 to grow on hemin-containing medium, whereas  $\Delta$ esxG and  $\Delta$ *mbtB* did not (Fig. 1*A*).

Previous work in Msmeg suggested that ESX-3 participates in the utilization of iron bound to Mb (13). Therefore, we were surprised at the abundant growth of Mtb  $\Delta$ esx-3 when 2 μg/mL mycobactin J (MbJ) (a standard concentration used for culturing Mycobacterium avium subsp. paratuberculosis) was provided. On titrating MbJ, we found that 100-fold more MbJ was needed for growth rescue of the esx-3 mutants than for growth rescue of the ΔmbtB mutant (Fig. 1B). Similarly, 10-fold more hemin was needed to rescue  $ΔexH$  than to rescue  $ΔmbtB$  ([Fig.](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF2) 1A and Fig. [S2](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF2)B), and most of the mutants were not effectively rescued by supplementation with an approximately sixfold excess of ferric ammonium citrate (FAC) and a 10-fold excess of zinc sulfate ([Fig.](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF2) [S2](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF2)C), compared with standard 7H10 medium. The only exception was  $\Delta$ esx $G$ , which grew with zinc supplementation and was further



Fig. 1. Defined iron sources rescue the essentiality of esx-3 region mutants. (A and B) The indicated Mtb strains H37Rv WT, Δesx-3 (mc<sup>2</sup>7788), Δesx-3::esx-3<sub>TB</sub> (mc<sup>2</sup>7827), ΔesxG (mc<sup>2</sup>7789), ΔesxH (mc<sup>2</sup>7790), Δpe5-ppe4 (mc<sup>2</sup>7792), and ΔmbtB (mc<sup>2</sup>7808) [\(Fig. S1](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF1) and [Table S1\)](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=ST1) were plated on 7H10 medium with or without 100 μM hemin and Tween 80 (0.05%) (Tw 80) (A) or with increasing concentrations of MbJ (B). Some late-appearing contamination was present when Δpe5–ppe4 was plated on medium containing hemin and Tween 80 in A. (C and D) The indicated strains, which differ from those used in the plating experiments shown in A and B in that the sacB-hyg<sup>R</sup> cassettes were removed, were grown in 7H9 medium (C) or 7H9 medium supplemented with 100 μM 2,2<sup>'</sup>dipyridyl (D). Data points represent the mean  $\pm$  SEM from duplicate cultures.

enhanced by additional FAC after prolonged incubation ([Fig. S2](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF2) C [and](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF2) D). Thus,  $\Delta$ esxH and  $\Delta pe\bar{5}$ -ppe4 phenocopy  $\Delta$ esx-3, but  $\Delta$ esx $G$  exhibits a somewhat distinct phenotype. In contrast to the findings on solid medium, the esx-3 mutants had relatively mild growth defects in 7H9 broth unless the lipophilic iron chelator 2,2′-dipyridyl was included (Fig. 1  $C$  and  $D$ ), but growth of ΔmbtB was severely restricted even in nonchelated medium (Fig. 1C). In conclusion, the data are consistent with esx-3 playing a role in siderophore-mediated iron acquisition, a role that appears to be more crucial on solid medium than in liquid medium. Furthermore, ESX-3 is nonessential provided that iron is available in an accessible form. This result suggests the presence of a less efficient uptake system(s) that functions in the absence of ESX-3. Finally, the high concentration of hemin required to rescue growth of the *exx*-3 region mutants suggests potential involvement of ESX-3 in iron uptake from other sources in addition to siderophores.

The esx-3 Mutants Overproduce Mb and Fail to Assimilate Mb-Bound Iron. When grown in iron-replete 7H9 broth, the esx-3 region mutants developed a distinct orange pigmentation [\(Fig. S3](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF3)A), which we reasoned might represent excess Mb. To address this possibility, bacteria were grown under iron-limited conditions (chelated Sauton's medium) in the presence of  $7-[14]C]$ -salicylic acid, the biosynthetic precursor of Mb. These experiments used esx-3 and mbtB mutants generated in the auxotrophic mc<sup>2</sup>6230 (H37Rv  $\triangle$ RD1  $\triangle$ *panCD*) strain background ([Table S1\)](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=ST1), which facilitates work with radiolabeled, infectious samples because it can be used at biosafety level 2 (BSL2) (24, 25). When analyzed by TLC, only Mb was observed in cell pellets, whereas the CF contained both Mb and carboxymycobactin (cMb). ΔmbtB showed the expected absence of Mb and cMb (26, 27). In contrast, Δesx-3 produced Mb slightly in excess of the parental strain, which was restored to WT levels in the complemented strain [\(Fig. S3](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF3)B). When cell pellet extracts from Δesx-3, ΔesxG, ΔesxH, and Δpe5–ppe4 grown in iron-replete 7H9 medium were analyzed by TLC, the orange-pigmented material comigrated with Mb; this material was undetectable in H37Rv WT, Δesx-3::  $ex-3_{TB}$ , and  $\Delta mbtB$  ([Fig. S3](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF3)C). These data are consistent with the idea that the esx-3 mutants experience iron deprivation in standard 7H9 medium and respond by up-regulating production of Mb. In iron-limited medium, both WT and  $ex-3$  region mutants experience iron starvation and increase production of Mb, so the differential increase for the mutants is less apparent. To quantify the relative accumulation of Mb in the Δesx-3 and  $\Delta$ esxH mutant strains, we analyzed them by MS after growth in 7H9 as described in *[SI Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=STXT)*. As expected, Mb and cMb were absent or were present in trace amounts in extracts of the  $\Delta mbtB$  strain (Fig. 2A). In the  $\Delta e$ sx-3 and  $\Delta e$ sxH strains there was >15-fold accumulation of Mb in the cell pellets and CF compared with the parental strain, accounting for  $>4\%$ of total lipids in both fractions. cMb also was increased in the CF of the mutants, although the changes were more modest (Fig. 2A).

Because a previously described ΔmmpS4/S5 siderophore export mutant became "intoxicated" by excess intracellular siderophores (28), we asked whether the Mb accumulation in the mutants might contribute to their growth defect. We deleted the entire mbt- $\overline{I}$  gene cluster in strains lacking both esx $G$  and esx $H$  or esx-3 ([Fig. S1](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF1) K and L) and found that the  $\Delta$ esxG–esxH  $\Delta$ mbt-1 double-deletion strain behaved similarly to  $\Delta$ esx $G$ –esx $H$  in requiring high concentrations (200 ng/mL) of MbJ for growth on solid medium (Fig. 2B). Similarly, the  $\Delta$ esx-3  $\Delta$ mbt-1 double



Fig. 2. esx-3 region mutants produce Mb but fail to assimilate Mb-bound iron. (A) UPLC-MS analysis of lipids extracted from WT (mc<sup>2</sup>6230) and the indicated mutant strains [Δesx-3 (mc<sup>2</sup>7860), Δesx3::esx-3<sub>TB</sub> (mc<sup>2</sup>7863), ΔesxH (mc<sup>2</sup>7861), and Δ*mbtB* (mc<sup>2</sup>7862)] following growth in 7H9 medium without Tween 80 for 3 wk. The amount of Mb and cMb was normalized to total lipids in each sample and reported as a relative abundance out of 10,000 arbitrary units. Data points show the values for individual samples; lines indicate mean  $\pm$  SD. (*B*) ΔesxG–esxH (mc<sup>2</sup>7791), Δ*mbt-1* (mc<sup>2</sup>7809), and ΔesxG–esxH Δ*mbt-1* (mc<sup>2</sup>7851) were grown on 7H10 medium containing MbJ as indicated. (C) The Δesx3 Δmbt-1 double mutant (mc<sup>2</sup>7852) was inoculated in 7H9 medium or in 7H9 medium supplemented with hemin (100 μM) or MbJ (200 ng/mL). For 7H9 medium, growth curves are in duplicate. Data points represent the mean ± SEM; growth curves for 7H9 + hemin and 7H9 + MbJ were performed in singlicate. (D) The acquisition of <sup>55</sup>Fe-loaded cMb was assessed for WT (mc<sup>2</sup>6230) and Δesx-3 (mc<sup>2</sup>7818) at 37 °C and 4 °C.

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mutant was highly impaired for growth in 7H9 medium, even with supplementation (Fig. 2C). Therefore, although esx-3 mutants accumulate Mb, this excess siderophore does not account for the growth defect.

To verify that  $ex-3$  plays a role in siderophore uptake, we examined the accumulation of  $<sup>55</sup>Fe$ -loaded cMb by WT and</sup> Δesx-3. At 37 °C, Δesx-3 accumulated <50% of the radiolabel acquired by the parental strain (Fig. 2D), although the strains exhibited similar levels of nonspecific adsorption at 4 °C. Al-<br>though uptake of <sup>55</sup>Fe-cMb was substantially less for Δ*esx*-3 than for WT, it was not completely absent, supporting the idea that a lower-affinity Mb uptake system functions in the absence of esx-3. Taken together, these results substantiate a role for esx-3 in siderophore-mediated iron acquisition.

Virulence Role of  $pe5$ – $ppe4$  and  $mbtB$  Depends on Host Genotype.  $To$ assess the virulence of numerous mutant strains rapidly, we initially infected BALB/c SCID mice by the i.v. route. A dose of 104 cfu of H37Rv was lethal by ∼40 d postinfection, whereas mice survived ~10' cfu of  $\Delta$ esx-3 (Fig. 3A). Despite their strikingly similar in vitro iron-related growth defects, mutants harboring individual deletions within the exx-3 region were less severely attenuated. After infection of SCID mice with  $~2 \times 10^6$  cfu, the median survival time (MST) was 22 d for mice infected with the WT strain, 27 d for mice infected with Δ*mbtB* and Δ*pe5–ppe4*, and 65 d for mice infected with  $\Delta$ esxH; this substantial attenuation of  $\Delta$ esxH was largely reversed by genetic complementation (Fig. 3B). Most dramatically, the Δesx-3–infected mice survived for 12 mo, whereas complementation of Δesx-3 resulted in slight hypervirulence (MST 19 d). The relatively modest attenuation of Δpe5–ppe4 and ΔmbtB was surprising, given their profound phenotypes in vitro. To address whether hemin supplementation in the growth medium used before i.v. infection provided iron stores that the bacteria could use in vivo, we examined the virulence of the strains following growth in different amounts of hemin. For ΔmbtB, the kinetics of mortality were nearly identical if bacteria were pregrown in 5 or 100  $\mu$ M hemin (MST 30 d; Fig. 3C). Similarly, when the  $\Delta pe5$ -ppe4 mutant was cultured in the absence of hemin, it was only mildly attenuated (Fig. 3C), similar to when it was pregrown in 100 μM hemin (Fig. 3B). These results show that, despite their profound growth defects in vitro, the Δpe5–ppe4 and ΔmbtB mutants are only mildly attenuated in vivo.

The SCID mice used here were in the BALB/c background, which is defective in natural resistance-associated macrophage protein 1 (Nramp1) (29), a metal transporter localized to the endosomal compartment of macrophages that may restrict phagosomal iron  $(30)$ . In inbred mouse strains, *nrampl* is found in two allelic forms, *nramp1*<sup>R</sup> (resistant) and *nramp1*<sup>S</sup> (susceptible); the susceptible allele results in degradation of the protein and susceptibility to a variety of intracellular pathogens (31). Nramp1 is functional in CBA mice (29), which we challenged by i.v. infection with ∼2 × 10<sup>6</sup> cfu of H37Rv,  $\Delta m b tB$  grown in high or low hemin, or Δpe5–ppe4. Strikingly, in contrast to observations in the  $nramp\hat{I}^S$  mice,  $\Delta pe5-ppe4$  and  $\Delta mbtB$  were highly attenuated in  $nramp1^R$  CBA mice (Fig. 3D). These data demonstrate a host-dependent requirement for ESX-3 substrates.

Δesx-3 and ΔesxH Are Attenuated for Growth in Human Macrophages, but Intracellular Growth of Δpe5–ppe4 Depends on Macrophage Polarization. Differences in iron handling have been described for classically (M1) as opposed to alternatively (M2) activated macrophages (32, 33). Similar observations have been made for human macrophages differentiated with macrophage colonystimulating factor (M-CSF) versus GM-CSF (34), where GM-CSF may promote a more iron-restrictive state. We therefore examined the growth of Δesx-3, ΔesxH, and Δpe5-ppe4 in human macro-phages differentiated with M-CSF or GM-CSF [\(Fig. S4\)](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF4). The Δesx-3 and ΔesxH mutants were highly attenuated for growth in human macrophages, regardless of the cytokine used for differentiation. However, Δpe5–ppe4 showed a modestly improved growth capacity



Fig. 3. Deletion of the entire esx-3 locus results in dramatic attenuation in vivo, but pe5–ppe4 and mbtB are required for virulence in a mouse straindependent manner. (A and B) Survival of SCID mice infected by the i.v. route with H37Rv or ∆esx-3 (mc<sup>2</sup>7788) at ~10<sup>4</sup> or ~10<sup>7</sup> cfu (A) or with H37Rv WT, Δ*mbtB* (mc<sup>2</sup>7850), Δesx-3 (mc<sup>2</sup>7844), Δesx-3::esx-3<sub>TB</sub> (mc<sup>2</sup>7856), ΔesxH (mc<sup>2</sup>7846), ΔesxH::esxGH (mc<sup>2</sup>7867), Δpe5-ppe4 (mc<sup>2</sup>7848), or Δpe5-ppe4:: pe5–ppe4 (mc<sup>2</sup>7868) at a dose of ~2  $\times$  10<sup>6</sup> cfu (*B*). n = 7 or 8 mice per group in A and 4 or 5 mice per group in B. Before the infections shown in A and B. bacteria were cultured in 7H9 medium with 100 μM hemin. (C) Survival of SCID mice infected by the i.v. route with H37Rv, ΔmbtB (mc<sup>2</sup>7850) pregrown in 100 μM hemin or 5 μM hemin, the  $\Delta mbtB::mbt-1$  complemented strain (mc<sup>2</sup>7874), or Δpe5-ppe4 (mc<sup>2</sup>7848). Except for the indicated ΔmbtB strains, the strains were grown without hemin.  $n = 5$  mice per group. (D) Survival of CBA mice infected by the i.v. route with the strains in C.  $n = 5$  mice per group. Survival differences were assessed using the log-rank (Mantel–Cox) test. In B, all groups were significantly different (P < 0.05) from WT except ΔesxH::esxGH and  $\Delta pe5-ppe4$ :: $pe5-ppe4$ . In C and D, all groups differed significantly (P < 0.05) from WT. In C, survival of mice infected with ΔmbtB grown in 100 μM hemin did not differ significantly from that of mice infected with ΔmbtB grown in 5 μM hemin or with the ΔmbtB::mbt-1 complemented strain. In D, survival of mice infected with ΔmbtB::mbt-1 differed significantly from that of mice infected with the  $\Delta m b t B$  strains.

(∼2.4-fold) in the M-CSF–differentiated macrophages, indicating that the requirement for pe5–ppe4 may differ depending upon macrophage polarization, possibly resulting in differences in iron handling of M-CSF– versus GM-CSF–differentiated cells. In contrast,  $\Delta$ esx-3 and  $\Delta$ esxH were essential for intracellular growth irrespective of macrophage polarization.

The in Vitro Iron Phenotype Does Not Correlate with Virulence in SCID or Recombination-Activating Gene Knockout Mice. To explore further the relationship between the iron phenotype and virulence, we compared  $\Delta$ esx-3 complemented with the Mtb esx-3 region and a strain complemented with the Msmeg esx-3 region. Although the Msmeg esx-3 region complemented the in vitro iron-related growth defects of  $\Delta e$ sx-3 (Fig. 4A), only the Mtb  $e$ sx-3 region restored growth in vivo. Infection with  $\Delta$ esx-3::esx-3<sub>SMEG</sub> did not result in any mortality (Fig. 4B), even after 12 mo of infection. Therefore, despite the substantial homology between the Msmeg and Mtb esx-3 regions (14), Msmeg esx-3 lacks functions necessary for virulence. Additional evidence that virulence is separable from the iron requirement comes from analysis of a ΔesxG mutant expressing an aberrant EsxG protein product that has 12 additional N-terminal amino acids (referred to as "*esxGH*\*\*<sup>12aa</sup>; pJP148") ([Table S2](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=ST2)). Remarkably, the in vitro phenotype of the hygromycin-marked  $\Delta$ esxG strain (mc<sup>2</sup>7789) was rescued by the EsxG<sup>\*\*12aa</sup> variant even though EsxG–EsxH secretion was nearly undetectable on Western blotting (Fig. 4 C and D). This finding is consistent with reports in Msmeg, in which  $\langle 1\%$  of EsxG–EsxH secretion reversed the iron-related growth defects (12). Despite correcting the iron-related growth defect, the strain complemented with EsxG fused to 12 N-terminal amino acids (ΔesxG::esxGH<sup>\*\*12aa</sup>) behaved almost identically to ΔesxG in recombination-activating gene knockout  $(RAGI^{-/-})$  mice (Fig. 4E). Interestingly, although able to restore growth of  $ΔexG$  on solid medium, the same plasmid (pJP148) was unable to rescue growth of  $\Delta e$ sx-3 (Fig. 4F), implying that EsxG–EsxH secretion in Mtb is dependent on the presence of esx-3, consistent with findings in Msmeg (13).

esx-3 and esxH Are Essential for Virulence in Aerosol Infections, but pe5–ppe4 and mbtB Are Dispensable. We next sought to determine whether the differences in virulence between strains also would be observed in a model that more closely mimics the natural infection. We infected C57BL/6  $(nramp1^S)$  mice by aerosol with WT,  $\Delta$ esx-3, and  $\Delta$ esx-3::esx-3<sub>TB</sub> strains. In contrast to WT, the Δesx-3 mutant could not be recovered from the lungs, and it failed to disseminate to the spleen;  $\Delta e$ sx-3:: $e$ sx-3<sub>TB</sub> was mildly hypervirulent (Fig. 5 A and  $\hat{B}$ ). Similarly,  $\Delta$ esxH did not proliferate in vivo, failed to disseminate, and was complemented by expression of  $exG-exH$  (pYUB1944) (Fig. 5 C and D). The marked attenuation of  $\Delta$ esx-3 and  $\Delta$ esxH was in striking contrast to the Δpe5–ppe4 and ΔmbtB mutants, for which bacterial numbers were similar to WT (Fig.  $5 \, C$  and D). Overall, these findings support the idea that defects in iron acquisition do not underlie the dramatic in vivo attenuation of Δesx-3. Rather esx-3 must play an additional role in virulence that is dependent upon EsxH and independent of PE5–PPE4.

Identification of Rv1386 (PE15)/Rv1387 (PPE20) as ESX-3–Secreted **Substrates.** To elucidate further the role(s) played by  $ex-3$  in iron acquisition and virulence, we sought to define the secreted effectors. CF from triplicate samples of WT, Δesx-3, ΔesxG,  $\Delta$ esxH,  $\Delta$ esx-3::esx-3<sub>TB</sub>,  $\Delta$ esx-3::esx-3<sub>SMEG</sub>, and  $\Delta$ mbtB cultured in chelated Sauton's medium was analyzed by MS using the MaxQuant software suite with the Andromeda search engine for peptide identification ([SI Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=STXT) and [Fig. S5\)](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF5). Label-free quantitation (LFQ) algorithms were used to determine relative protein amounts (*[SI Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=STXT)*) (35). A panel of ribosomal and chaperonin proteins ([Dataset S2](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.1523321113.sd02.xlsx)) was used to verify similar amounts of lysis in the samples ([Fig. S5](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF5)B). Correcting for multiple testing by controlling for a false discovery rate (FDR) at 5% using the Benjamini–Hochberg method (36), we detected 854 proteins in total [\(Dataset S2\)](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.1523321113.sd02.xlsx), of which 72 were differentially secreted in the WT strain compared with Δesx-3 (Fig. 6A, and *[SI Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=STXT)*, and [Fig. S6](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF6)A). Esx proteins encoded outside the esx-3 locus that could be unambiguously



Fig. 4. esx-3 from Msmeg and the EsxG variant restore in vitro growth but not virulence to esx-3 region mutants. (A) H37Rv WT, Δesx-3 (mc<sup>2</sup>7844), and Δesx-3::esx-3<sub>SMEG</sub> (mc<sup>2</sup>7857) were plated on 7H10 medium with or without MbJ (200 ng/mL). (*B*) Survival of BALB/c SCID mice infected i.v. with H37Rv or Δesx-3::esx-3<sub>SMEG</sub> (mc<sup>2</sup>7857). n = 5 mice per group. (C) ΔesxG (mc<sup>2</sup>7789) and ΔesxG transformed with pJP148 (mc<sup>2</sup>7830) were plated on 7H10 medium and on 7H10 medium with 200 ng/mL MbJ. (D) CF from strains grown in chelated Sauton's medium was analyzed by Western blotting using an antibody raised against the EsxG–EsxH complex and was compared with H37Rv. Ag85B served as a loading control. (E) Survival analysis of C57BL/6 RAG1<sup>-/−</sup> mice infected by the i.v. route with ~5 × 10<sup>4</sup> cfu of H37Rv, ∆esxG (mc<sup>2</sup>7789), or ∆esxG harboring pJP148 (mc<sup>2</sup>7830). n = 3 mice for H37Rv WT and 4 mice for the other strains. (F) Δesx-3 transformed with pMV361 empty vector (EV) (mc<sup>2</sup>7831) and Δesx-3 or ΔesxG transformed with pJP148 (mc<sup>2</sup>7829 and mc<sup>2</sup>7830, respectively) were plated on 7H10 medium and on 7H10 medium with 200 ng/mL MbJ.



Fig. 5. Δesx-3 and ΔesxH are severely attenuated in aerosol infection of C57BL/6 mice, but Δpe5–ppe4 and ΔmbtB remain virulent. (A and B) Bacterial burdens in lungs (A) and spleens (B) were determined at the indicated time points after aerosol infection with H37Rv, Δesx-3 (mc<sup>2</sup>7788), or Δesx-3:: esx-3<sub>TB</sub> (mc<sup>2</sup>7827).  $n = 4$  or 5 mice per group, except  $n = 3$  for H37Rv-infected spleens at 4 wk. Data points indicate mean  $\pm$  SEM. Data points that are significantly different ( $P < 0.05$ ) from H37Rv WT using an unpaired Student's t test are indicated by an asterisk. (C and D) Following aerosol infection with the indicated strains, bacterial burdens were determined at 24 h and at 3, 8, and 16 wk postinfection in lungs (C) and at 8 and 16 wk postinfection in spleens (D). Strains were H37Rv, Δ*mbtB* (mc<sup>2</sup>7850), ΔesxH (mc<sup>2</sup>7846), ΔesxH:: esxGH (mc<sup>2</sup>7867), Δpe5–ppe4 (mc<sup>2</sup>7848), and Δpe5–ppe4::pe5–ppe4 (mc<sup>2</sup>7868); all strains were cultured in 7H9 medium supplemented with 100  $\mu$ M hemin before use in aerosol infections. Individual data points are shown; lines indicate mean  $\pm$  SEM.  $n = 3$  or 4 mice per group. Dotted lines indicate approximate limits of detection.

identified, including EsxA, EsxB, EsxL, EsxN, and EsxO, were present in similar amounts in both samples, demonstrating that the esx-3 deletion does not globally impair Esx protein secretion (Fig. 6A). As expected from analogy to EsxA and EsxB, EsxG and EsxG and EsxH secretion in both mutants ([Fig. S6](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF6) B and C). To identify ESX-3 substrates, we focused on eight proteins that were present in all three WT samples and were diminished in  $\Delta$ esx-3 (Fig. 6 A, E, and G and [Fig. S6\)](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF6). Of these, secretion of Rv1009/RpfB was not restored by complementation [\(Dataset](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.1523321113.sd02.xlsx) [S2](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.1523321113.sd02.xlsx)), suggesting that it may not be a substrate for secretion. Of the remaining proteins, five (Rv0282, Rv0283, Rv0285/PE5, EsxG, and EsxH) were encoded within the region deleted in the mutant strain. We detected trace levels of these five proteins in the Δesx-3 samples (below 3% of WT levels), consistent with a typical level of carryover. To verify this result, we reran one sample from each strain on an extensively washed column; as expected, these proteins were not detected [\(Fig. S5](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF5)C). Rv1387/PPE20 and Rv2477c were the only other proteins that satisfied our criteria for being potential substrates. However, Rv2477c also was undetectable in two of three  $\Delta mbtB$  samples ([Dataset S2\)](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.1523321113.sd02.xlsx), suggesting that its absence might reflect an adaptation to iron deprivation as opposed to its being a direct ESX-3 substrate. Thus, only Rv1387/PPE20 fulfilled criteria as a potential ESX-3 substrate. Although it did not meet our strict criteria for significance, Rv1386 (PE15) also was diminished in the esx-3 mutant (*P* value 0.007, which corresponds to an FDR of 13%) (Fig.  $64$ ) and was undetectable when the Δesx-3 sample was reanalyzed after carryover removal ([Fig. S5](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF5)C). CF samples prepared from  $\Delta$ esxG and  $\Delta$ esxH also had greatly reduced or undetectable PE15 [and](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF6) PPE20 (Fig. 6  $B$  and  $C$  and [Figs. S5](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF5) $C$  and S6  $B$  and  $C$ ), demonstrating that secretion of these proteins is dependent on EsxG and EsxH. Combined, these data strongly suggest that PE15 and PPE20 are ESX-3 substrates.

Genetic complementation resulted in partial restoration of both

Secretion of PE5–PPE4 Is Required for Iron Acquisition, Whereas Secretion of EsxG and EsxH Is Important for Virulence. We next examined whether secretion of EsxG–EsxH and PE5–PPE4 are codependent. Although still detectable, secretion of PE5 was substantially reduced in  $\Delta$ esxG and  $\Delta$ esxH (Fig. 6 B and C and [Figs. S5](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF5)C and [S6](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF6)B). This diminution reached significance for  $\Delta$ esxG but not for  $\Delta$ esxH, using our strict criteria [P values for PE5 of 0.0003 (FDR =  $6\%$ ) in WT vs.  $\Delta$ esxG and 0.001 (FDR 26%) in WT vs. ΔesxH]. We did not detect PPE4 in the CF of any sample, and this protein likely remains membrane localized because it contains several hydrophobic, potentially membranespanning domains, analogous to the situation in ESX-1, where PE35 is found in the CF and PPE68 remains membrane associated (37, 38). In contrast, the  $\Delta pe5$ -ppe4 mutant secreted nearly WT levels of EsxG and EsxH ([Figs. S5](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF5)C and [S6](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF6)D), a finding confirmed on Western blotting using a polyclonal antibody recognizing the EsxG–EsxH complex (Fig. 6I). Thus, the Δpe5–ppe4 mutant exhibits a severe iron phenotype but secretes EsxG and EsxH normally and is not attenuated in vivo in  $nramp1<sup>5</sup>$  hosts. This observation shows that the iron-acquisition function of ESX-3 is not simply related to the secretion of EsxG– EsxH. Taken together with the phenotypic studies, the data suggest that PE5–PPE4 is important for the iron-acquisition function of ESX-3, whereas virulence correlates with secretion of EsxG, EsxH, PE15, and PPE20 (Fig. 6J).

## Discussion

Mtb encodes five evolutionarily related T7SS, ESX-1–ESX-5 (7, 39–41). These systems are believed to influence the outcome of infection by directing the secretion of specific effector proteins. However, apart from the intensively investigated ESX-1, there are limited data characterizing the secretomes of individual ESX systems or correlating these findings with in vivo data to define the contributions of individual effectors to pathogenesis. This undertaking is complex, because ESX systems have been shown to secrete a variety of substrates lacking classical N-terminal MICROBIOLOGY PNAS PLUS

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Fig. 6. Proteomics MS analysis of CF reveals codependent secretion of PE5 with EsxG-EsxH and identifies PE15/PPE20 as ESX-3 substrates. (A-H) Scatterplots show log<sub>2</sub>-transformed LFQ intensity values of proteins identified in the CF with the following strain comparisons: H37Rv vs. Δesx-3 (mc<sup>2</sup>7844) (A); H37Rv vs. ΔesxG (mc<sup>2</sup>7845) (B); H37Rv vs. ΔesxH (mc<sup>2</sup>7846) (C); H37Rv vs. Δ*mbtB* (mc<sup>2</sup>7850) (D); H37Rv vs. Δesx-3::esx-3<sub>TB</sub> (mc<sup>2</sup>7827) (E); H37Rv vs. Δesx-3:: esx-3<sub>SMEG</sub> (mc<sup>2</sup>7828) (F); Δesx-3 vs. Δesx-3::esx-3<sub>TB</sub> (G); and Δ*mbtB* vs. Δesx-3 (H). Values for proteins of interest (Esx proteins and select PE–PPE proteins) are in yellow and are labeled; proteins implicated as ESX-3 substrates are indicated by blue text. Trace amounts of PE5, EsxG, and EsxH were detected in the Δesx-3 samples because of carryover from other samples; when the columns were washed extensively between runs, PE5, EsxG, and EsxH were absent from Δesx-3, as anticipated [\(Fig. S5](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF5)C). See [Fig. S6](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF6) for lists of proteins that are present at significantly different levels for the various comparisons and [Dataset S2](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.1523321113.sd02.xlsx) for lists of all proteins detected in the secretome analysis. (I) Western blot analysis of CF from strains grown in chelated Sauton's medium using the EsxG-EsxH antibody. Ag85B served as a loading control. (J) Summary of secretome findings, in vitro growth requirements, and in vivo growth characteristics for the various strains.

signal sequences, including Esx family members, members of the diverse PE and PPE protein families, and, in some cases, additional substrates (such as Esp proteins secreted via ESX-1) (41). The studies of ESX-3 described here demonstrate how a single ESX system can secrete multiple effectors to modulate varied host defenses to influence pathogenesis.

Previous findings have suggested that ESX-3 functions in the acquisition of iron (11, 13); in the context of host infection, this iron-uptake capacity is of critical importance to nearly all bacterial pathogens (42, 43). Here, using a panel of Mtb deletion mutants, we demonstrate that not only the entire esx-3 locus but specifically the secreted substrates EsxG–EsxH and PE5–PPE4 are required for iron acquisition. We further demonstrate that the contribution of iron acquisition to Mtb virulence in human macrophages and mouse models depends on the host phenotype. Remarkably, analysis of the esx-3 region mutants showed that ESX-3 plays a critical role in virulence, which correlates with the secretion of effectors distinct from those required for iron acquisition (Fig. 6J). Although all mutants required iron supplementation to grow on solid medium, we found striking differences in their impact on virulence in mice. The Δpe5–ppe4 and ΔmbtB strains, which retained the ability to secrete EsxG–EsxH and PE15–PPE20, of C57BL/6 mice; this virulence may reflect the ability of Mtb to exploit in vivo sources of iron such as heme (20, 21). Still, this result was surprising, given the reported phenotype of other mutants defective in Mb synthesis or trafficking. Some of the data are not directly comparable to ours, because the other studies used bacillus Calmette–Guérin rather than Mtb or guinea pigs rather than a murine model  $(27, 44)$ . However, both *mbtK*, which transfers fatty acids to the Mb peptide core, and mmpS4/S5, which are required for siderophore export, are essential for virulence in mice (45, 46). Notably, however, both of these mutants also have additional phenotypes (altered lipid profiles and toxic accumulation of siderophores, respectively) that could contribute to their in vivo attenuation (28, 45). In contrast to  $\Delta pe^5$ –ppe4 and  $\Delta mbtB$ , the ΔesxH mutant behaved similarly to the strain bearing a deletion of the entire esx-3 region in aerosol infections of C57BL/6 mice. Both  $\Delta$ esxH and  $\Delta$ esx-3 were substantially attenuated, failing to proliferate in the lungs and to disseminate to the spleen. This finding suggests that the virulence defect of  $\Delta$ esx-3 is not caused solely by defective iron acquisition and further suggests that the attenuation is primarily attributable to absence of EsxH, either directly

were virulent in i.v. infections of SCID mice and aerosol infections

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We considered the possibility that the requirement for ironacquisition systems in Mtb might depend on the capacity of the host to restrict iron by comparing infection in mouse lines that differ in the *nramp1* gene  $(29, 47)$ . Nramp1 is thought to export divalent metals out of the phagosome, and that export is postulated to starve bacteria of essential metals and/or to heighten oxidative stress (30). Remarkably, both the  $\Delta mbtB$  and  $\Delta pe5$  $ppe4$  mutants were highly attenuated in  $nramp1<sup>R</sup>$  CBA mice, in contrast to their relatively preserved virulence in the nramp1 mice (C57BL/6 and BALB/c). Interestingly, an extensive body of literature suggests that the  $nramp1^R$  locus does not confer resistance to infection with fully virulent Mtb in mice (48, 49), although in humans polymorphisms in NRAMP1 (termed "SLC11A1") are associated with the development of pulmonary tuberculosis in West African and Asian populations  $(50, 51)$ . The *pe5–ppe4* and *mbtB* mutants, with defects related to iron utilization, may reveal a role of Nramp1 in host defense against Mtb and point to siderophoremediated iron uptake as an immune evasion mechanism. Of course, additional differences between the inbred strains also could underlie the distinct phenotypes (52, 53), and further studies using congenic strains will clarify the contribution of Nramp1. Our macrophage data also indicate that the importance of pe5–ppe4 for intracellular growth of Mtb may depend on macrophage gene expression and polarization. Overall, our studies reveal a relationship between host genotype and bacterial iron handling in determining the outcome of infection with Mtb.

Our proteomic analysis points to a limited set of ESX-3– secreted substrates: EsxG, EsxH, the PE–PPE pair encoded within the locus (PE5–PPE4), an evolutionarily related pair of proteins encoded outside the locus (PE15–PPE20), and perhaps a few others such as Rv2477c. However, Rv2477c lacks the characteristic YxxxD/E T7SS motif and also is reduced in CF from ΔmbtB, making its assignment as a direct ESX-3 substrate less likely. The limited number of substrates assigned to the Mtb ESX-3 secretion system differs somewhat from ESX-1, which secretes a number of Esp substrates, and from ESX-5, which appears to secrete multiple PE–PPE family members (41). However, additional ESX-3 effectors may remain membrane associated and undetectable in CF or may be secreted under culture conditions different from those tested here. Nonetheless, several features of ESX-1 and ESX-5 also appear to be shared by ESX-3. EsxG and EsxH, which form a heterodimer (15, 54), exhibited codependent secretion, as did EsxA and EsxB (55, 56). In addition, elimination of EsxG or EsxH impaired the secretion of PE5 and PE15–PPE20. This suggests that EsxG may behave like its counterpart EsxB, which recently has been shown to promote the ATPase activity of the secretion apparatus (57).

Our data also clearly support the observation that specific PE– PPE pairs are targeted to specific ESX systems (58, 59). To our knowledge, PE15–PPE20 is the first PE–PPE pair encoded outside an esx locus for which the data support secretion by an ESX system other than ESX-5. It is not surprising that ESX-3 secretes this pair, because there is a strong connection between PE5 and PE15: They are evolutionarily closely related (60) and are highly conserved in members of the Mtb complex, share 67% identity, and are suggested to play role(s) in intracellular survival in macrophages (61). Moreover, their relationship is underscored by transcriptional data, because both  $pe5-ppe4$  and  $pe15$  $ppe20$  appear to belong to the ZuR regulon ( $\overline{62}$ ,  $\overline{63}$ ) and are down-regulated by nutrient starvation (64). Interestingly, however, two lines of evidence suggest that PE15 and PPE20 are not responsible for the iron-acquisition function of ESX-3. First, complementation of  $\Delta e$ sx-3 with  $e$ sx-3<sub>SMEG</sub> corrected the in vitro growth defect (Fig. 4A) but failed to restore secretion of PE15/ PPE20 (Fig. 6F). Second, Δpe5–ppe4 exhibited iron-related growth defects very similar to Δesx-3 but secreted PE15/PPE20 in excess of WT ([Fig. S5](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=SF5)C). Our finding that the  $\Delta pe5-ppe4$ mutant has preserved secretion of EsxG/EsxH is also consistent with findings from genetic analysis of the ESX-1 locus, where deletion of ppe68 resulted in a modest increase in EsxA secretion (55, 65). However, the data are more complex for ESX-5, in which deletion of the *ppe25-pe19* gene cluster in H37Rv led to loss of EsxN and PPE41 secretion (66), although differing results were obtained for a ppe27 mutant in the CDC1551 background (67).

In summary, our findings implicate the PE5–PPE4 proteins as the critical ESX-3 effectors involved in iron acquisition. EsxG– EsxH may be required for iron utilization simply by virtue of promoting PE5–PPE4 secretion, or both complexes may act in concert to assimilate Mb-bound iron (see the model in Fig. 7). Our data also indicate that ESX-3 regulates Mtb growth and virulence in vivo in a manner independent of the iron-acquisition functions that are so critical in vitro. This regulation may be related to recent observations that the ESX-3 region can modulate host immune responses; removal of the Msmeg esx-3 region attenuated the organism as the result of clearance by an MyD88 dependent innate immune response (14). Further, EsxH has been demonstrated to interact with and inhibit the mammalian cell ESCRT machinery that plays critical roles in membrane trafficking (19). Additional studies will be required to elucidate the in vivo functions of EsxG–EsxH and to clarify how ESX-3 facilitates iron acquisition and under what circumstances different ESX-3 effector proteins are required for virulence. Finally, this work reinforces the significance of T7SS for mycobacterial– host interactions and highlights the need for continued investigation into their role in pathogenesis.

### Materials and Methods

Bacterial Strains. Mtb H37Rv and mc<sup>2</sup>6230 (H37Rv ΔRD1 ΔpanCD) were used to generate mutants as listed in [Table S1](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=ST1). Mutants were constructed using specialized transduction (68, 69). The antibiotic cassette was removed from selected strains using phage-delivered γδ-resolvase, with sacB counterselection facilitating the isolation of unmarked strains (69). The L5 phage integration system was used for complementation. Details of strain and plasmid construction are provided in [SI Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=STXT).



Fig. 7. The schematic illustrates ESX-3 substrates and their distinct functional roles. EsxG and EsxH are cosecreted and facilitate secretion of PE5– PPE4 (the latter remaining membrane-localized). PE5–PPE4, perhaps in concert with EsxG–EsxH, plays a role in siderophore-mediated iron uptake. EsxG and EsxH also facilitate secretion of PE15–PPE20, and, separately or together, these proteins play additional, iron-independent roles in virulence.

Liquid Growth Conditions. Mycobacterial strains were cultured at 37 °C in Middlebrook 7H9 medium (Difco) with 10% (vol/vol) oleic acid-albumindextrose-catalase (OADC) enrichment (BBL; Becton Dickinson), 0.2% glycerol (Fisher), and 0.05% Tween 80 or 0.05% tyloxapol (referred to as "7H9"), with additional supplements as indicated. Strains bearing antibiotic cassettes were cultured with 50–75 μg/mL hygromycin or 25 μg/mL kanamycin as appropriate. Chelated Sauton's medium consisted of 0.5 g  $KH_{2}PO_{4}$ , 4 g L-asparagine·H2O, 2 g citric acid, 60 g glycerol/L, adjusted to pH ∼7.0, and treated overnight with chelex-100 (10 g/L) (Bio-Rad) to remove trace iron contaminants. After filtration, 0.5 g/L of MgSO<sub>4</sub>.7H<sub>2</sub>O was added.

Solid Medium Growth Experiments. Bacterial cultures were washed in PBS containing 0.05% Tween 80 or 0.05% tyloxapol (PBS-T), were resuspended in PBS-T, and were adjusted to equivalent  $OD_{600}$  values. Serial dilutions were plated onto 7H10 base medium containing 10% OADC and 0.5% glycerol with additional additives as indicated. Supplements included bovine hemin (Sigma), 0.05% Tween 80, FAC (Sigma), zinc sulfate (Sigma or Fisher), and MbJ (Allied Monitor). Plates were incubated for ∼3–6 wk at 37 °C.

Liquid Growth Curves. Bacteria were cultured in 7H9 medium with ∼5 μM residual hemin from the frozen stock. In some experiments 10  $\mu$ M hemin was added to promote growth of ΔmbtB; 100 μM hemin was added for the Δesx-3 Δmbt-1 double mutant. Bacteria were pelleted by centrifugation, washed in PBS-T, and inoculated into growth medium at a starting  $OD_{600}$  of ∼0.02. Medium was unsupplemented 7H9 or included 100 μM 2,2′-dipyridyl (Sigma), 100 μM hemin, or 200 ng/mL MbJ. MbJ was prepared as described (27) by solubilizing 100 μg in a solution containing 250 μg tyloxapol in 1.25 mL ethanol (20% wt/vol). The ethanol was evaporated under vacuum, and the tyloxapol and MbJ were resuspended in 7H9 medium and added to 500 mL 7H9.

Siderophore Uptake and Synthesis. Uptake of cMb was quantified as described previously (46), using Msmeg cMb, which was deferrated and then labeled with <sup>55</sup>Fe (see *[SI Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=STXT)* for details). Analysis of radiolabeled siderophores from Mtb grown in iron-deficient medium and extraction of unlabeled siderophores from iron-replete cultures was performed essentially as described previously (46); additional details are provided in [SI Materials](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=STXT) [and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=STXT). For MS analysis of siderophores, strains were cultured in 7H9 medium with 24 μg/mL D-pantothenate. Cell pellets were washed with PBS and were extracted with CHCl<sub>3</sub>/MeOH (2:1). Two and a half milliliters of sterile filtered supernatants were acidified with 15 μL 3M HCl and extracted with 3.5 mL ethyl acetate (70). The organic phases of pellet and supernatant extractions were dried, resuspended in isopropanol/acetonitrile/water (2:1:1; vol/vol/vol), and subjected to ultra high-performance liquid chromatography (UPLC)-MS analysis as described in [SI Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=STXT) and ref. 71.

Intracellular Bacterial Growth Assays. Human macrophages were prepared as described (72) following the method of Vogt and Nathan (73) and differentiation with either 2 ng/mL recombinant human GM-CSF or 10 ng/mL recombinant human M-CSF (R&D Systems), as detailed in [SI Materials and](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=STXT) [Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=STXT). Following infection at a multiplicity of infection (MOI) of 0.02, mycobacterial growth was enumerated at 14 d postinfection by plating on 7H10 plates containing 200 ng/mL MbJ (Allied Monitor). Colonies were counted after ∼2–3 wk of incubation.

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CF Preparation. CFs were prepared as described (19). Following growth to log phase in 7H9 medium (with 10 μM hemin for the ΔmbtB strain), Mtb were subcultured for 2 d in chelated Sauton's medium, pelleted by centrifugation, and the supernatants were filtered through  $0.22$ - $\mu$ M filters followed by precipitation with 12% trichloroacetic acid (TCA) (also see [SI Materials](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=STXT) [and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=STXT)).

Proteomics MS. Protein pellets were reconstituted in 2-M urea buffer, pH 7.8, before reduction, alkylation, and digestion with trypsin. After desalting the peptide mixtures were gradient eluted directly into a Q Exactive mass spectrometer (Thermo Scientific). The MaxQuant software suite was used for protein identifications and LFQ (35). Protein intensities were compared using a two-sided t test and correcting for multiple testing by controlling for FDR at 5% using the Benjamini-Hochberg method (36). Details are provided in [SI](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=STXT) [Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=STXT).

EsxG–EsxH Antibody Production and Western Blotting. A His-tagged EsxG–EsxH fusion construct (74) was produced in Escherichia coli as described (19) and was used to immunize rabbits. Rabbit polyclonal serum was used in Western blotting.

Mice and Infections. Mouse studies were performed in accordance with National Institutes of Health guidelines (75), and all work was approved by the Albert Einstein College of Medicine Institutional Animal Care and Use Committee (Protocol #20120114). Female C57BL/6 and SCID mice were from the National Cancer Institute, and female CBA/J mice and B6.129S7- Rag1<sup>tm1Mom</sup>/J (RAG1<sup>-/-</sup>) mice were from Jackson Laboratories. Mycobacterial strains were grown in 7H9 medium with antibiotics as appropriate. For all infections, bacteria were washed in PBS-T and were sonicated. For i.v. infections, mice were injected via the lateral tail vein with the indicated doses of bacteria in 200 μL PBS-T. The actual dose delivered was determined by serial dilution and plating. For aerosol infections, the bacterial suspension also contained 0.04% antifoam Y-30 emulsion (Sigma) and was targeted to deliver a dose of ∼50–150 cfu per lung. Mice from each infection group were killed 24 h after aerosol exposure, and lung homogenates were plated to determine initial bacterial numbers delivered per strain. Bacterial burdens in lungs and spleen were determined by plating organ homogenates, prepared and diluted in PBS-T, from three or four mice per infection group onto ap-propriate medium. Details are provided in [SI Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=STXT).

Statistical Analysis. Differences between groups were analyzed by Student's t test. A P value ≤0.05 was considered statistically significant. Survival curves were compared with the log-rank (Mantel–Cox) test using GraphPad Prism for Windows (GraphPad Software). Statistical comparisons of protein intensities in CF samples were performed as described in [SI Materials and Methods](http://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1523321113/-/DCSupplemental/pnas.201523321SI.pdf?targetid=nameddest=STXT).

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