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## BCL-x<sub>L</sub>/MCL-1 inhibition and RAR $\gamma$ antagonism work cooperatively in human HL60 leukemia cells

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### Abstract

The acute promyelocytic leukemia (APL) subtype of acute myeloid leukemia (AML) is characterized by chromosomal translocations that result in fusion proteins, including the promyelocytic leukemia-retinoic acid receptor, alpha fusion protein (PML-RAR $\alpha$ ). All-*trans* retinoic acid (atRA) treatment is the standard drug treatment for APL yielding cure rates >80% by activating transcription and proteasomal degradation of retinoic acid receptor, alpha (RAR $\alpha$ ). Whereas combination therapy with As<sub>2</sub>O<sub>3</sub> has increased survival further, patients that experience relapse and are refractory to atRA and/or As<sub>2</sub>O<sub>3</sub> is a clinically significant problem. BCL-2 family proteins regulate apoptosis and over-expression of anti-apoptotic B-cell leukemia/lymphoma 2 (BCL-2) family proteins has been associated with chemotherapeutic resistance in APL including impairment of the ability of atRA to induce growth arrest and differentiation. Here we investigated the novel BH3 domain mimetic, JY-1-106, which antagonizes the anti-apoptotic BCL-2 family members B-cell lymphoma-extra large (BCL-x<sub>L</sub>) and myeloid cell leukemia-1 (MCL-1) alone and in combination with retinoids including atRA, AM580 (RAR $\alpha$  agonist), and SR11253 (RAR $\gamma$  antagonist). JY-1-106 reduced cell viability in HL-60 cells alone and in combination with retinoids. The combination of JY-1-106 and SR11253 had the greatest impact on cell viability by stimulating apoptosis. These studies indicate that dual BCL-x<sub>L</sub>/MCL-1 inhibitors and retinoids could work cooperatively in leukemia treatment.

### Keywords

HL60; leukemia; BCL-x<sub>L</sub>; MCL-1; retinoic acid; apoptosis

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## Introduction

Leukemia is a hematological malignancy of which the acute myeloid leukemia (AML) type is estimated to yield 14,590 new cases and 10,370 deaths in 2013 [1]. Acute promyelocytic leukemia (APL) is a subtype of AML that makes up 5–10% of AML cases. APL is characterized by a chromosomal translocation, t(15:17), that results in the production of promyelocytic leukemia (PML) and retinoic acid receptor alpha (RAR $\alpha$ ) fusion proteins (PML-RAR $\alpha$ ) [2]. PML-RAR $\alpha$  fusion proteins disrupt RAR $\alpha$  signaling which is important to the differentiation of normal myeloid progenitor cells towards neutrophils [3]. All-*trans* retinoic acid (atRA), an active metabolite of vitamin A and high-affinity ligand that initiates RAR $\alpha$  signaling, is the standard drug treatment for APL yielding cure rates exceeding 80% [3]. atRA activates PML-RAR $\alpha$  dependent transcription and triggers proteasomal degradation of RAR $\alpha$  [2, 4]. Arsenic trioxide (As<sub>2</sub>O<sub>3</sub>) has also been shown to have efficacy in APL treatment by inducing PML-RAR $\alpha$  degradation by targeting the PML moiety and has shown success in treating APL both as a single agent therapeutic and in combination with atRA [4, 5]. Combination therapy with both atRA and As<sub>2</sub>O<sub>3</sub> has increased patient survival rates to over 90% [4–7]. While atRA and As<sub>2</sub>O<sub>3</sub> have dramatically increased patient survival, patients that relapse or are refractory to atRA and/or As<sub>2</sub>O<sub>3</sub> remains a clinically significant problem [8].

The B-cell lymphoma-2 (BCL-2) family of proteins regulates apoptosis through both pro-apoptotic and anti-apoptotic proteins [9–15]. More particularly, protein–protein interactions between the BH3 domains of pro-apoptotic proteins (for example, BCL-2 antagonist/killer 1 (BAK1), BCL-2 associated X protein (BAX), BCL-2 associated death promoter (BAD), BCL2-11 or BCL2-like 11 (BIM), BH3 interacting domain death agonist (BID), phorbol-12-myristate-13-acetate-induced protein 1 (NOXA), P53 upregulated modulator of apoptosis (PUMA)) and the BH3-binding hydrophobic grooves on the surfaces of anti-apoptotic proteins (for example, BCL-2, B-cell lymphoma-extra large (BCL-x<sub>L</sub>), Myeloid cell leukemia-1 (MCL-1)) neutralize the cell killing function of the pro-apoptotic BCL-2 proteins [15]. Both BCL-x<sub>L</sub> and MCL-1 have been associated with chemotherapeutic resistance in cancer, including APL [10–12]. Notably, over-expression of MCL-1 impairs the ability of atRA to induce growth arrest and differentiation in APL [11]. High levels of BCL-x<sub>L</sub> protect cancer cell lines from cytotoxic agents and inactivation of BCL-x<sub>L</sub> potentiates apoptosis [13]. For these reasons, mimicry of the  $\alpha$ -helical BH3 “death” domain of the pro-apoptotic BCL-2 proteins is presently an intense area of research towards expanding the armory of antineoplastics in a highly targeted manner [16,17]. Recently, BH3 domain mimetics that function as pan-BCL-2 antagonists, inhibiting BCL-2, BCL-x<sub>L</sub>, and MCL-1, have been developed based on an  $\alpha$ -helix mimetic strategy that centers on a terphenyl scaffold [14]. Efforts to simplify the synthetic chemistry associated with the synthesis of terphenyl-based  $\alpha$ -helix mimetics led to a related oligoamide-foldamer strategy [18]. The trisarylamide JY-1-106 is one such oligoamide-foldamer-based  $\alpha$ -helix mimetic [19]. JY-1-106 disrupts interactions between both BCL-x<sub>L</sub> and MCL-1 with BAK1, leading to apoptosis through the mitochondrial pathway in human cancer cells, sensitizes tumor cells to conventional chemotherapeutic agents and inhibits tumor growth in a xenograft model of lung cancer [15].

BCL-2 was previously shown to cooperate with PML-RAR $\alpha$  to block neutrophil differentiation and to initiate APL [20]. Mice co-expressing BCL-2 and PML-RAR $\alpha$  developed leukemia more rapidly indicating that genetic alterations that inhibit apoptosis can exacerbate APL development [20]. As combination therapy with BH3 domain mimetics has been shown to be beneficial toward cell death and because atRA and other retinoids have been shown to impact APL, we investigated the atRA as well as RAR isoform-specific retinoids in combination with JY-1-106 in HL-60 human leukemia cells.

## Materials and methods

### Chemicals

The following chemicals were used: JY-1-106, synthesized by Mr. Jeremy L. Yap in the laboratory of Dr. S. Fletcher (University of Maryland, MD) as a BCL-x<sub>L</sub>/MCL-1 inhibitor; all-*trans*-retinoic acid (RA) (Sigma) as RAR pan-agonist; Am580, 4-[(5,6,7,8-Tetrahydro-5,5,8,8-tetramethyl-2-naphthalenyl)carboxamido]benzoic acid (Tocris Bioscience) as RAR $\alpha$  agonist; SR11253 (or MM11253), 6-[2-(5,6,7,8-Tetrahydro-5,5,8,8-tetramethyl-2-naphthalenyl)-1,3-dithiolan-2-yl] 2-naphthalenecarboxylic acid (Tocris Bioscience) as RAR $\gamma$  antagonist. Dimethyl sulfoxide (DMSO) (Sigma) was used as a delivery vehicle.

### Cell culture

HL-60 cells were purchased from the American Type Culture Collection (ATCC). This cell line has been derived from peripheral blood cells of a 36-year old Caucasian female with acute promyelocytic leukemia (APL). The HL-60 cells grow as a suspension culture in Iscove's minimal essential medium (Quality Biological Inc.) supplemented with 10–20% fetal bovine serum (FBS) (Invitrogen) and maintained in a humidified 5% CO<sub>2</sub> incubator at 37°C as recommended by the ATCC. For all experiments, cells were used at a concentration of 1×10<sup>6</sup> cells/ml.

### MTT proliferation assay

Cell viability was determined by the MTT assay, measuring the reduction of 3-(4, 5-dimethylthiasol-2-yl)-2, 4,-diphenyltetrazolium bromide (MTT) by mitochondrial succinate dehydrogenase. The MTT enters the cells and passes into the mitochondria where is reduced to an insoluble, colored, formazan product. The amount of color produced is directly proportional to the number of viable cells. Leukemic cells were incubated with various concentrations of JY-1-106 (2–20  $\mu$ M) alone or with DMSO (as a vehicle) at different time point in 96-well plates. Cells treated with SR11253 200 nM, Am580 200 nM and RA 1  $\mu$ M individually or together with JY-1-106 (12  $\mu$ M) for 48 h. At time of assay, 10  $\mu$ l of MTT (5 mg/ml in PBS) was added to each well and incubated for 3 h at 37°C. The medium was then carefully aspirated, and 100  $\mu$ l of dimethyl sulfoxide (DMSO) was added to solubilize the colored formazan product, agitating the plates for 5 min on a shaker. The absorbance of each well was measured with a microtiter plate reader at a test wavelength of 570 nm with a reference wavelength of 690 nm. The optical density (OD) was calculated as the difference between the absorbance at the reference wavelength and the absorbance at the test

wavelength. Percent viability was calculated as (OD of drug treated sample/OD of control)  $\times 100$ .

### DAPI staining

The change in nuclear morphology was assessed by 4,6-diamidino-2-phenylindole (DAPI) staining. Cells with fragmented or condensed nuclei were defined as apoptotic cells. HL-60 were grown in 24-well plates, treated with 12 $\mu$ M JY-1-106 and 200 nM SR11253 individually or in combination at different time points and then placed on poly-L-lysine coated coverslip. Cells were fixed with 4% paraformaldehyde (ThermoScientific), stained with DAPI (Prolong Gold antifade reagent with DAPI, Invitrogen) and imaged using a fluorescent microscope. At least five visual fields were analyzed under fluorescence microscope for each sample and cells were quantified in each of the visual fields.

### Quantitative Real-Time RT-PCR

Expression levels of target genes in HL-60 cells for all experimental conditions were determined quantitatively by real-time RT-PCR. Cells were grown in 10 cm dishes to 70–80% confluence, and exposed to 12 $\mu$ M JY-1-106 and 200 nM SR11253 individually or together for 48 h. Total RNA was isolated and purified by spin protocol using the RNeasy Mini kit (Qiagen, Inc.) and QIAshredder (Qiagen, Inc.) according to the manufacturer's instructions. Two micrograms of total RNA were reverse-transcribed using components of a High Capacity cDNA Reverse Transcription Kit (Applied Biosystems). Following reverse transcription, quantitative PCR amplification was performed on an StepOnePlus™ System (Applied Biosystems) using TaqMan Universal PCR Master Mix (Applied Biosystems), and gene-specific TaqMan PCR primers for RAR $\alpha$ , RAR $\gamma$ , VEGF-A and b-actin (Applied Biosystems). Relative gene expression levels were normalized to the basal, untreated sample chosen as calibrator. Final results are expressed as folds of difference in gene expression relative to  $\beta$ -actin mRNA and calibrator, calculated following the Ct (cycle threshold) method, as follows: Relative expression (folds) =  $2^{-(Ct_{\text{sample}} - Ct_{\text{calibrator}})}$  where Ct values of the sample and calibrator were determined by subtracting the average Ct value of the  $\beta$ -actin mRNA reference gene from the average Ct value of the analyzed gene.

### Ki-67 staining

Leukemic cell proliferation was assessed by Ki-67 staining. HL-60 were grown in 6-well plates and treated with 12 $\mu$ M JY-1-106 and 200 nM SR11253 individually or in combination for 48h. After treatments, 10 $\mu$ L of each cell suspension were loaded onto 1 well of 8-well slide coated with poly-L-Lysine. Cells were fixed with 4% paraformaldehyde and permeabilized by 0.1% Triton X-100 for 5 minutes. Slides were blocked with 10% goat serum, incubated with Rabbit anti Ki67 Antibody (Thermo Scientific) overnight in humidified chamber in cold room and then stained with Alexa Fluor® 555 Goat Anti-Rabbit antibody (Invitrogen) for 1 hour at room temperature in dark. One drop of Prolong Gold Antifade Reagent with DAPI (Invitrogen) was applied to each well. Images were taken by EVOS FL image system (Life technologies).

## Western blot analysis

RAR $\alpha$  and PML-RAR $\alpha$  protein levels were detected by western blot analysis. HL-60 cells were grown in 6-well plates and treated with the following conditions for 48 hours: 1) DMSO as vehicle control, 2) 12 $\mu$ M JY-1-106, 3) 200nM SR11253, 4) 12 $\mu$ M JY-1-106 plus 200nM SR11253. After treatments, cells were collected by spinning down at 500 $\times$ g for 10 minutes. Cell pellets were resuspended in 200 $\mu$ L RIPA buffer with protein inhibitor (cOmplete ULTRA Tablets, Mini, EDTA-free, EASYpack, Roche) and the lysates were centrifuged (17000 $\times$ g 30 minutes at 4°C). Samples were separated by 4–20% SDS-PAGE and proteins were transferred to Immobilon-FL PVDF membrane (Millipore). Membrane was incubated with Odyssey Blocking Buffer (LI-COR) for 1 hour and then with Rabbit anti RAR $\alpha$  antibodies (Biolegend) and  $\beta$ -Actin (8H10D10) Mouse mAb (Cell signaling) for 2 hours at room temperature. The membrane was washed 3 times with TBS-T and then incubated with IRDye 800CW Goat anti-Rabbit IgG (H + L) (LI-COR) and IRDye 680LT Goat anti-Mouse IgG (H + L) (LI-COR) for 1 hour. Images were taken and data were quantified by Odyssey® Fc system from LI-COR.

## Statistical analysis

Statistical differences were determined by one-way analysis of variance (ANOVA) followed by Dunnet's Multiple Comparison Test, and the results were expressed as mean  $\pm$  SD from *n* independent experiments. Differences were considered statistically significant for  $P < 0.05$ .

## Results

### The BCL-x<sub>L</sub>/MCL-1 inhibitor JY-1-106 affects cell viability in HL60 leukemia cells

In order to examine the cytotoxic effect of JY-1-106, HL60 cells were cultured with 2 to 20  $\mu$ M JY-1-106 for 24, 48, and 72 h and then cell viability was analyzed by MTT assay. Fig. 1 shows a significant reduction of cell viability in human leukemia cells. A strong cytotoxicity effect was demonstrated in HL60 cells after 24 h incubation of JY-1-106 at different concentrations (Fig. 1A). Cell survival declined drastically following an increase in the treatment time from 24 to 72 h in HL60 cells (Fig. 1A–C). Fig. 1D shows the typical dose-response for HL60 cells treated with JY-1-106 after 48 h with an IC<sub>50</sub> of 2.4 $\mu$ M.

### Effect of combined BCL-x<sub>L</sub>/MCL-1 inhibitor JY-1-106 and RARs agonist/antagonist on cell viability in HL60 leukemia cells

We tested the effects of RA (1 $\mu$ M, RAR pan-agonist), Am580 (200 nM, RAR $\alpha$  agonist) and SR11253 (200 nM, RAR $\gamma$  antagonist) on human leukemia cell proliferation. As shown in Fig. 2, the effectiveness of all the compounds individually or in combination in reducing tumor cell viability is evident after 48 h incubation. A more pronounced effect as compared to the individual agent was observed with co-treatment of JY-1-106 12 $\mu$ M with SR11253 ( $\approx$ 95% cell growth inhibition). The combined treatment of the BCL-x<sub>L</sub>/MCL-1 inhibitor with the RAR $\gamma$  antagonist most strongly reduced the cell viability in HL60 leukemia cells. As such, we chose to further investigate the mechanism of action of combined JY-1-106 and SR11253 treatment.

### The BCL-x<sub>L</sub>/MCL-1 inhibitor JY-1-106 in combination with SR11253 induces apoptosis in HL60 cells

To determine whether the reduced cell viability involved apoptosis, we monitored the chromatin condensation of HL60 cells by DAPI staining. Cells treated with the BCL-x<sub>L</sub>/MCL-1 inhibitor JY-1-106 at 12μM and the RAR $\gamma$  antagonist SR11253 at 200nM strongly induces apoptosis between 24 and 48 h (Fig. 3). Statistical analysis indicates the number of apoptotic cells is significantly increased with either JY-1-106 or SR11253 with the greatest effect observed with the combination of JY-1-106 and SR11253 (Supplementary Fig. 1). The observed chromatin condensation indicates that the inhibition of cell growth in HL60 cells was associated with induction of apoptosis.

### RAR $\alpha$ , RAR $\gamma$ and VEGF expression upon BCL-x<sub>L</sub>/MCL-1 inhibitor JY-1-106 and RAR $\gamma$ antagonist SR11253 in HL60 leukemia cells

To further investigate the mechanism by which JY-1-106 and SR11253 impact apoptotic cell death, we examined the ability of the BCL-x<sub>L</sub>/MCL-1 inhibitor and the RAR $\gamma$  antagonist to modulate RAR $\alpha$ , RAR $\gamma$  and VEGF expression in human leukemia cells. HL60 cells were treated with JY-1-106 (12μM) alone or in combination with SR11253 (200nM) for 48 h. RAR $\alpha$  expression was not impacted by any of the treatment conditions, whereas RAR $\gamma$  was only significantly reduced with JY-1-106 + SR11253 treatment (Fig. 4A–B). As a result of the RAR $\gamma$  reduction, the RAR $\alpha$ /RAR $\gamma$  ratio (Fig. 4C) was increased indicating that combination treatment with JY-1-106 and SR11253 makes the HL60 cells more responsive to apoptosis. We also observed that VEGF mRNA expression was up-regulated by JY-1-106 (12μM) whereas combination treatment with the BCL-x<sub>L</sub>/MCL-1 inhibitor and SR11253 (200nM) returned VEGF levels to that of control (Fig. 4D).

Because SR11253 antagonizes RAR $\gamma$ , which is pro-proliferative, cells were stained for Ki-67, a marker for proliferation. At 48h, SR11253 treatment resulted in a non-significant decrease in proliferation as compared to DMSO control. JY-1-106 inhibited proliferation significantly as compared to control with the combination of JY-1-106 and SR11253 yielding a similar inhibition to SR11253 alone (Fig. 4E, Supplementary Fig. 2). Additionally, we quantified the impact of SR11253 and JY-1-106 on PML-RAR $\alpha$  protein levels. Each agent alone and in combination decreased protein expression of the PML-RAR $\alpha$  fusion protein (Fig. 4F).

## Discussion

The goal of these studies was to investigate the combination of BCL-x<sub>L</sub>/MCL-1 inhibition with atRA or retinoid receptor specific compounds in HL-60 APL cells. Overexpression of BCL-2 family members (i.e., BCL-x<sub>L</sub> and MCL-1) has been observed in many cancer types and has been shown to promote cancer cell survival, drug resistance, and defective apoptosis [21, 22]. MCL-1 and BCL-x<sub>L</sub> are anti-apoptotic members of the BCL-2 family. MCL-1 and BCL-x<sub>L</sub> have cellular expression that overlaps but is not identical [23, 24]. MCL-1 is also essential for survival of multipotent hematopoietic stem and progenitor cells and for the self-renewal capacity of pluripotent hematopoietic stem cells [25, 26]. Overexpression of MCL-1 has been associated with poor prognosis [27]. BCL-x<sub>L</sub> blocks the release of cytochrome *c*



and the activation of caspases that induce apoptosis [12]. Inactivation of BCL-x<sub>L</sub> potentiates apoptosis [13].

JY-1-106 is a mimetic of the BH3  $\alpha$ -helical “death domain” of the pro-apoptotic BCL-2 proteins, such as BAK1 [28, 29]. In many cancers, including APL, excess BCL-x<sub>L</sub> and MCL-1 bind to the BH3 domain inactivating the function of pro-apoptotic BCL-2 proteins which promotes cell survival [10–13]. JY-1-106 inhibits BCL-x<sub>L</sub> and MCL-1 by binding the hydrophobic groove on the surfaces of those proteins which, in turn, sequesters the anti-apoptotic proteins through binding their hydrophobic groove that would normally bind BH3 domains. In this manner, JY-1-106 promotes apoptosis by disrupting the interaction of BCL-x<sub>L</sub> and MCL-1 with BAK1 in multiple cancer cell lines [15]. In this work, JY-1-106 effectively promoted apoptosis in HL60 cells, a hitherto unexplored cancer cell line (Fig. 1 – Fig. 3, Supplementary Fig. 1).

Because atRA and other vitamin A derived compounds are able to induce differentiation and apoptosis and because BCL-x<sub>L</sub>/MCL-1 inhibition can induce apoptosis in APL patients and in HL-60 cells, we sought to investigate the potential that these two therapeutic classes could work cooperatively in HL-60 cells to obtain a greater benefit [3, 4, 14, 15, 30, 31]. We tested atRA at a pharmacological dose (1  $\mu$ M) as well as Am580, an RAR $\alpha$  agonist, and SR11253, an RAR $\gamma$  antagonist, each at 200 nM due to their high affinity for their respective RAR isotypes [32, 33].

One limitation of atRA therapy is that atRA is a promiscuous ligand for binding to RAR receptors which can cause side effects [34]. In addition to inducing its beneficial effects, atRA treatment has also been shown to increase expression of MCL-1 and BCL-x<sub>L</sub> in APL [11, 35]. Studies have shown that down-regulation of MCL-1 sensitized cells to atRA mediated differentiation and apoptosis and blocking BCL-x<sub>L</sub> potentiated the action of atRA [11, 35]. Inhibition of MCL-1 has also been shown to inhibit c-Jun N-terminal kinase (JNK) which has been shown to be beneficial in potentiating the effects of atRA and As<sub>2</sub>O<sub>3</sub> [11, 36]. As such, the combination of JY-1-106 (or other BH3 mimetics) and atRA may be beneficial towards sensitizing atRA resistant APL cells.

Another strategy for patients that experience atRA side effects or atRA syndrome is the use of retinoid receptor specific compounds [34, 37]. RAR $\alpha$  specific compounds have been suggested to circumvent atRA toxicity [3]. Ligand activation of RAR $\alpha$  is important to myeloid cell differentiation and treatment of HL-60 cells with a RAR $\alpha$  agonist was sufficient to induce differentiation towards neutrophils [38, 39]. Activation of RAR $\gamma$  favors cell proliferation and survival as opposed to differentiation [40]. As such, RAR $\gamma$  antagonists interfere with cell growth [41]. Here, RAR $\alpha$  agonist AM580 or RAR $\gamma$  antagonist SR11253 had similar reductions in cell viability (Fig. 2). The combination of JY-1-106 and RAR $\gamma$  antagonist SR11253 was most effective at inhibiting cell viability (Fig. 2). As such, we focused our efforts to characterize the combination of BH3 mimetics and retinoid compounds on the combination of JY-1-106 with SR11253 in evaluating the induction of apoptosis in HL-60 cells (Fig. 3) The fluorescence microscopy images of DAPI staining show a higher percentage of apoptotic cells with the combination of JY-1-106 and SR11253 as compared to either agent alone (Fig. 3, Supplementary Fig. 1). Altering the ratio of

RAR $\alpha$ /RAR $\gamma$  by pharmacologically activating RAR $\alpha$  and/or inhibiting RAR $\gamma$  was shown to be favorable to cell death and reduced cell growth *in vitro* [42]. The combination of JY-1-106 and SR11253 had a significantly reduced RAR $\gamma$  level which shifted the RAR $\alpha$ /RAR $\gamma$  ratio to favor cell death (Fig. 4).

An increase in VEGF production and angiogenesis has been observed in APL where atRA treatment reduces VEGF production and suppresses angiogenesis [43]. In this work, JY-1-106 increased VEGF levels while co-treatment with SR11253 returned VEGF levels to that of control (Fig. 4). Whereas combination treatment with JY-1-106 and SR11253 alleviated any increase in angiogenesis by reducing VEGF over expression, additional anti-angiogenic agents could be considered.

Based upon the quantification of the number of apoptotic cells, the combination of SR11253 with JY-1-106 seems to accelerate apoptosis with SR11253 with JY-1-106 at 24 h showing a similar degree of apoptosis as JY-1-106 alone at 48 h (Fig. 3). SR11253 combined with JY-1-106 does not yield an additional significant increase in apoptosis at 48 h as compared to 24 h. Yet cell viability (Fig. 2) indicates that the combination of SR11253 and JY-1-106 at 48 h shows a significant decrease in cell viability as compared to either JY-1-106 or SR11253 alone. Ki-67 staining data in Fig 4E and Supplementary Fig. 2 shows that inhibition of cell proliferation is an additional mechanism that contributes to the reduction in cell viability observed with the SR11253 and JY-1-106 combination. Furthermore, the impact of JY-1-106 and SR11253 on p27, a marker of cell cycle arrest, may contribute to the decrease in cell viability. JY-1-106 disrupts the BCL-x<sub>L</sub> protein interaction with BAK1 yielding more free BCL-x<sub>L</sub> which facilitates cell quiescence in G0 upregulating p27 [44]. RAR $\gamma$  antagonism by SR11253 or RAR $\gamma$  silencing also increases level of p27 [42]. As such, the combination of SR11253 and JY-1-106 may have an additive effect on p27.

Activation of apoptosis and differentiation are essential elements in APL treatment and degradation of PML-RAR $\alpha$  is required to fully clear the disease [44]. Recent work by Ablain et al. (2013) identified uncoupled retinoids (etretinate, etretinate's active metabolite acitretin, or NRX195183) that activated RAR $\alpha$ - or PML-RAR $\alpha$ -dependent transcription but failed to degrade RAR $\alpha$  or PML-RAR $\alpha$  proteins. These retinoids elicited terminal differentiation and transcriptional activity, but the differentiated APL blasts retained PML-RAR $\alpha$  expression which could re-initiate APL in secondary transplants [45]. As such, these compounds failed to abolish the leukemia-initiating activity of PML-RAR $\alpha$  and demonstrated that differentiation alone is inadequate for APL eradication. Whereas our combination of JY-1-106 and SR11253 showed the greatest reduction in cell viability (Fig. 2), and also reduced the PML-RAR $\alpha$  protein level (Fig. 4F), HL60 cells represent one patient-derived cell line. Other patient-derived cell lines will need to be tested to expand the utility of these findings. Due to the common use of atRA in patient care and the ability of atRA to also reduce PML-RAR $\alpha$ , need for PML-RAR $\alpha$ , the combination of JY-1-106 with atRA, which even though it displayed less of a reduction in cell viability than JY-1-106 with SR11253, might prove more practically effective. The combination of JY1-106 and atRA still had a greater impact on reducing cell viability than atRA alone, thus JY-1-106 combination therapy may prove useful in potentiating the effects of atRA.



## Conclusions

Inhibition of the anti-apoptotic function of BCL-x<sub>L</sub>/MCL-1 represents a novel and promising strategy to potentiate the ability of atRA to induce apoptosis and differentiation as well as to overcome the resistance of cancers to chemotherapy. Our results indicate that dual Bcl-x<sub>L</sub>/Mcl-1 inhibitors and retinoids could work cooperatively in leukemia treatment.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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## Abbreviations

<b>AML</b>	acute myeloid leukemia
<b>APL</b>	acute promyelocytic leukemia
<b>atRA</b>	all- <i>trans</i> retinoic acid
<b>BAD</b>	BCL-2 associated death promoter
<b>BAK</b>	BCL-2 antagonist/killer 1
<b>BAX</b>	BCL-2 associated X protein
<b>BCL-2</b>	B-cell lymphoma-2
<b>BCL-x<sub>L</sub></b>	B-cell lymphoma-extra large
<b>BID</b>	BH3 interacting domain death agonist
<b>BIM</b>	BCL211 or BCL2-like 11
<b>JNK</b>	c-Jun N-terminal kinase
<b>MCL-1</b>	Myeloid cell leukemia-1
<b>NOXA</b>	phorbol-12-myristate-13-acetate-induced protein 1
<b>PML</b>	promyelocytic leukemia
<b>PML-RAR<math>\alpha</math></b>	promyelocytic leukemia-retinoic acid receptor, alpha fusion protein
<b>PUMA</b>	P53 upregulated modulator of apoptosis
<b>RAR</b>	Retinoic Acid Receptor
<b>Am580</b>	4-[(5,6,7,8-Tetrahydro-5,5,8,8-tetramethyl-2-naphthalenyl)carboxamido]benzoic acid

SR11253

6-[2-(5,6,7,8-Tetrahydro-5,5,8,8-tetramethyl-2-naphthalenyl)-1,3-dithiolan-2-yl]2-naphthalene carboxylic acid

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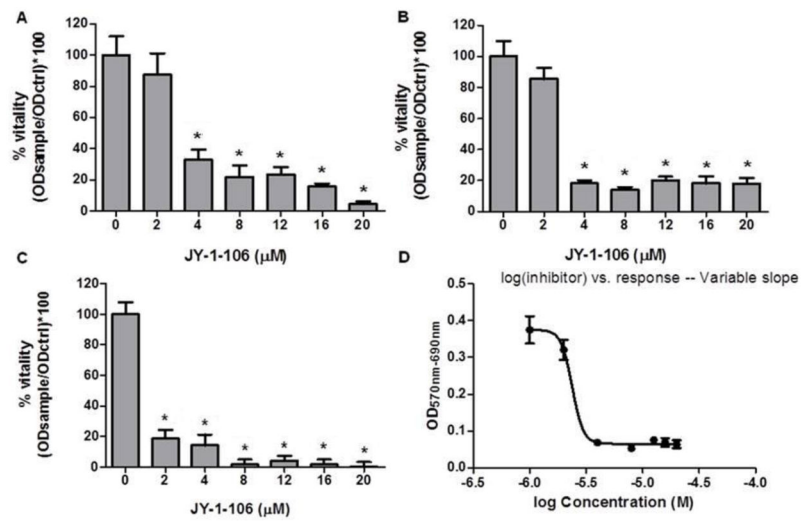
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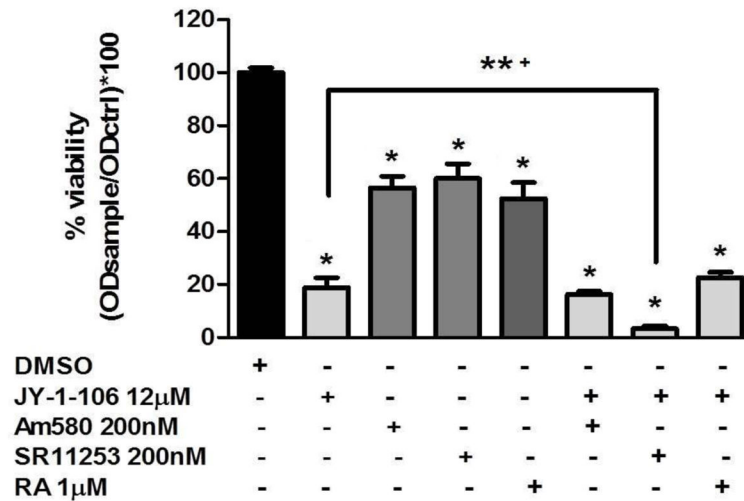
### Highlights

- Novel Bcl-x<sub>L</sub> / Mcl-1 inhibitor JY-1-106 reduces HL60 cell viability
- JY-1-106 was investigated in combination with retinoic acid, AM580, and SR11253
- AM580 is an RAR $\alpha$  agonist; SR11253 is an RAR $\gamma$  antagonist
- Combined use of JY-1-106 / SR11253 exhibited the greatest cell viability reduction
- JY-1-106 alone or in combination with retinoids induces apoptosis



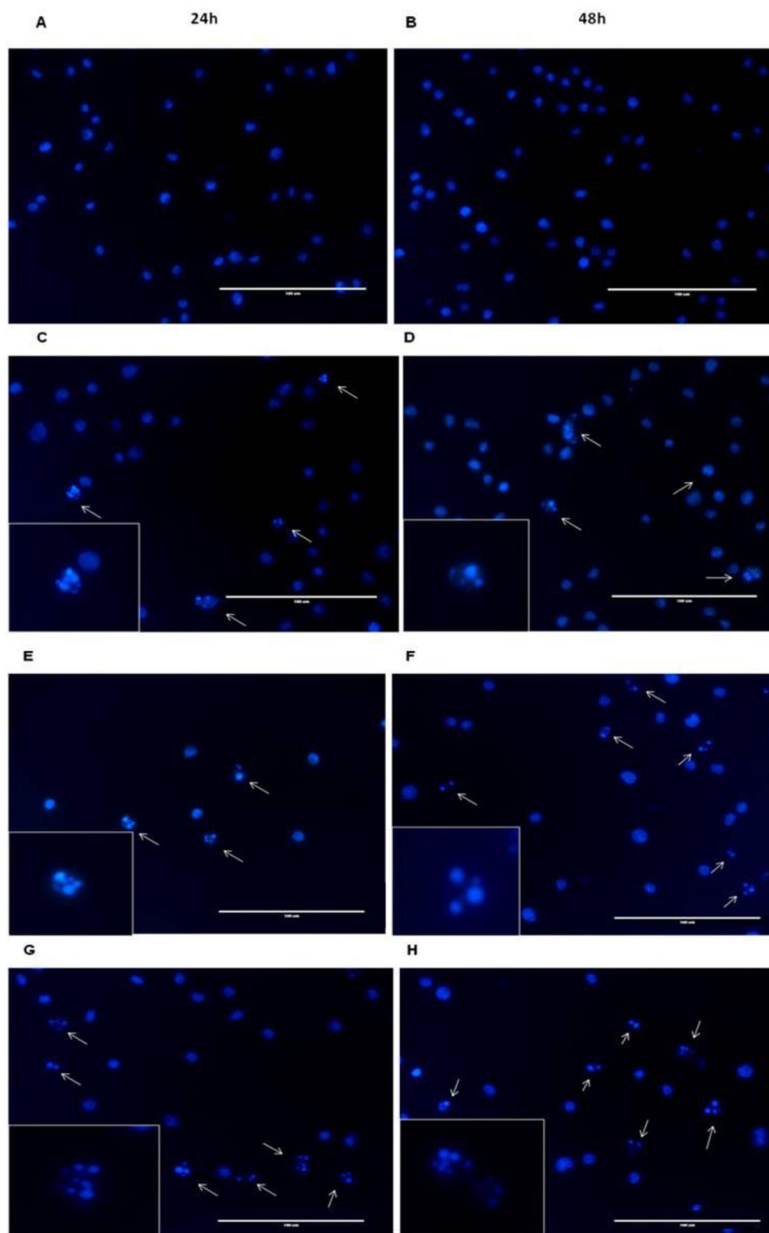
**Fig. 1. The BCL- $x_L$ /MCL-1 inhibitor JY-1-106 affects human leukemia HL60 cell viability**  
 HL60 cells were treated with JY-1-106 at different concentrations and analyzed by MTT assay as described in Materials and methods. Statistical differences were determined by one-way ANOVA followed by Dunnett's Multiple Comparison Test ( $n=4$ ). **A:** 24 h **B:** 48 h **C:** 72 h \* $p<0.0001$  **D:** dose response curve after 48 h  $IC_{50}=2.4$   $\mu$ M.



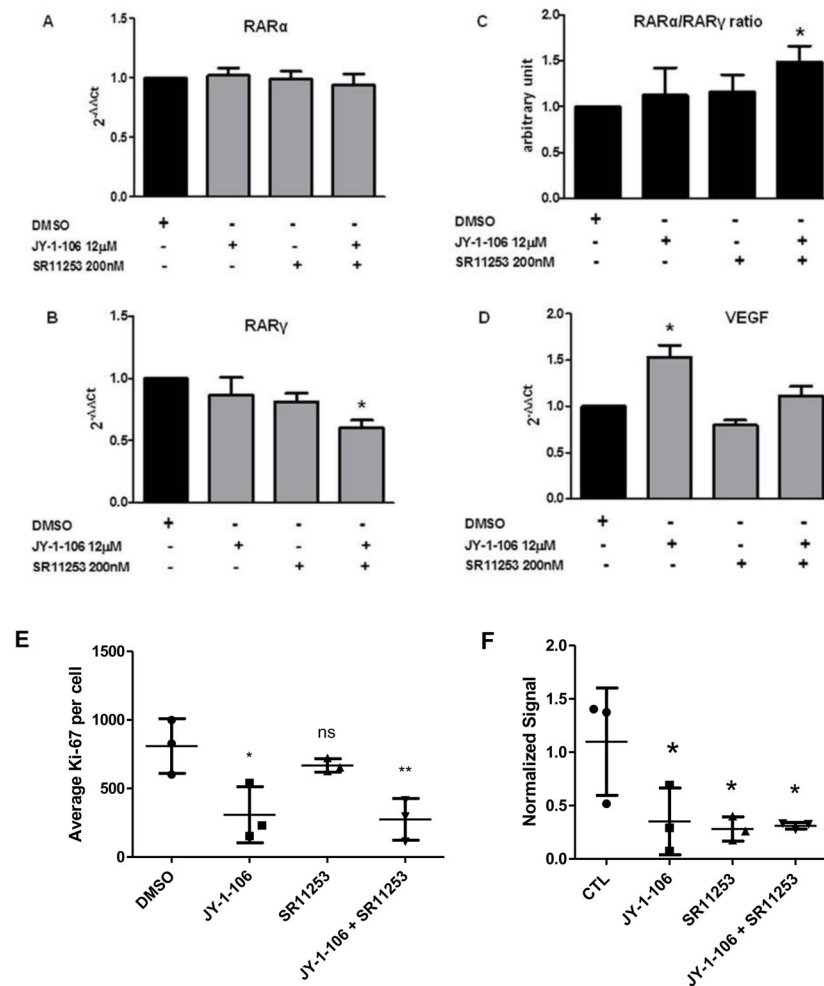


**Fig. 2. Effect of combined BCL-x<sub>L</sub>/MCL-1inhibitor JY-1-106 and RARs agonist/antagonist on cell viability in human leukemia cells**

HL60 cells were treated with 12 $\mu$ M JY-1-106 alone or in combination with 200nM Am580, 200nM SR11253 or 1 $\mu$ M RA after 48 h incubation and analyzed by MTT assay as described in Materials and methods. Statistical differences were determined by one-way ANOVA followed by Dunnett's Multiple Comparison Test (n=4) \* p<0.0001 \*\*\* p<0.05.

**Fig. 3. DAPI staining in HL60 cells**

Morphology of apoptotic cell nuclei was observed by DAPI staining. HL60 cells were treated with (A–B) DMSO (vehicle), (C–D) 200nM SR11253, (E–F) 12µM JY-1-106, (G–H) 12µM JY-1-106 + 200nM SR11253 after 24/48 h and analyzed by a fluorescent microscope (40X magnification). The white arrows show the fragmented or condensed nuclei as apoptotic marker. The scale bar represents 100 µm. Figure is representative of three independent experiments.



**Fig. 4. Gene expression in HL60 cells**

HL60 cells were treated with 12 $\mu$ M JY-1-106 alone or in combination with 200nM SR11253 after 48 h incubation. The gene expression was performed as described in Materials and methods. Statistical differences were determined by one-way ANOVA followed by Dunnett's Multiple Comparison Test (n=4): A: RAR $\alpha$  not statistically significant; B: RAR $\gamma$  \*p<0.05; C: RAR $\alpha$ /RAR $\gamma$  ratio \*p<0.05; D: VEGF \*p<0.0001; E: Ki-67 quantification \*p<0.05; F: PML-RAR $\alpha$  quantification from Western Blot \*p<0.05.