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Simultaneous delivery of cytotoxic and biologic therapeutics using nanophotoactivatable liposomes enhances treatment efficacy in a mouse model of pancreatic cancer

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Abstract

A lack of intracellular delivery systems has limited the use of biologics such as monoclonal antibodies (mAb) that abrogate molecular signaling pathways activated to promote escape from cancer treatment. We hypothesized that intracellular co-delivery of the photocytotoxic chromophore benzoporphyrin derivative monoacid A (BPD) and the anti-VEGF mAb bevacizumab in a nanophotoactivatable liposome (nanoPAL) might enhance the efficacy of photodynamic therapy (PDT) combined with suppression of VEGF-mediated signaling pathways. As a proof-of-concept we found that nanoPAL-PDT induced enhanced extra- and intracellular bevacizumab delivery and enhanced acute cytotoxicity *in vitro*. In an *in vivo* subcutaneous mouse model of pancreatic ductal adenocarcinoma, nanoPAL-PDT achieved significantly enhanced tumor reduction. We attribute this to the optimal incorporation of insoluble BPD into the lipid bilayer, enhancing photocytotoxicity, and the simultaneous spatiotemporal delivery of bevacizumab, ensuring efficient neutralization of the rapid but transient burst of VEGF following PDT.

Graphical Abstract

NanoPALs depicted as ‘smart’ nanovectors optimized to achieve mechanism based therapeutic intervention in subcutaneous models of pancreatic ductal adenocarcinomas. It has been established that there is a transient increase in VEGF in the tumor microenvironment as well as intracellular VEGF levels after ~ 6 hrs after PDT. Hence, nanoPALs have been designed to co-deliver the

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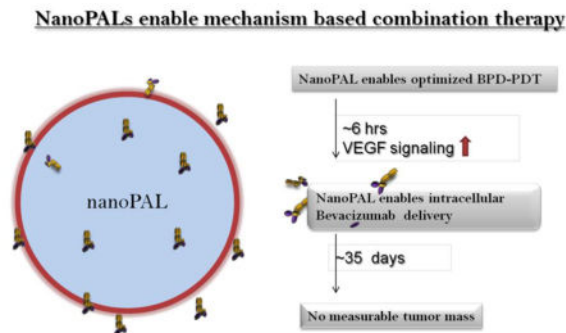
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benzoporphyrin derivative (BPD) - the photosensitizer for photodynamic therapy (PDT) - and intracellular delivery of bevacizumab - a therapeutic antibody to abrogate the increased VEGF signaling after PDT.



Keywords

Pancreatic cancer; Liposomes; Combinatorial chemotherapy; Bevacizumab; Benzoporphyrin derivative; Photodynamic therapy; Nanomedicine; Avastin; Nanoparticle

Introduction

The fourth leading cause of cancer death in the US^{1, 2}, pancreatic ductal adenocarcinoma (PDAC) accounts for greater than 85% of pancreatic cancer cases³. PDAC is characterized by its tenacious resistance to gemcitabine-based regimens (the standard chemotherapy for this condition) and radiotherapy, making surgical resection the best option when the disease is localized, but most patients harbor advanced-stage disease at the time of diagnosis³. Only 15–20% of patients present localized, operable tumors and are candidates for surgery. The 5-year survival rate for those patients who do qualify for surgery is still less than 20%, up from a dismal overall 5-year survival rate of 6.7%¹. A key component of this poor outcome is the co-opting of molecular signaling pathways by the tumor to escape chemotherapy, radiotherapy and molecular-targeted agents⁴. The existing standard of care to perform sequential drug delivery has a poor efficiency and ultimately induces dose-limiting toxicities, acquired drug-resistance and poor response rates. Therefore, nanoscale drug delivery systems capable of multidrug delivery are being engineered to reduce systemic exposure to toxic drugs while safely enabling deposition of multiple agents simultaneously within the tumor compartment.

Photodynamic therapy (PDT) is an effective modality against chemo- and radioresistant cancers due to its unique mechanisms of action, causing direct lipid and protein damage leading to mitochondrial-induced apoptosis that bypasses many mechanisms of classic multidrug-resistance^{5–9}. This light-activated strategy makes use of a photosensitizing compound that is nontoxic in the dark but generates cytotoxic species when exposed to light of the appropriate wavelength. PDT has shown promising results in both preclinical^{10–12} and clinical PDAC studies^{13–15}. PDT is particularly promising due to its efficacy against PDAC cells refractory to extreme gemcitabine dosages¹⁶. In a pilot clinical study, the median survival of a small patient cohort with inoperable, locally advanced PDAC was 12.5 months

for patients that received PDT whereas 6–10 months is the median survival for current therapies of non-metastatic disease¹³. Recently, a phase I/II clinical dose escalation trial of BPD-PDT demonstrated feasibility, safety and efficacy in patients with locally advanced PDAC¹⁷. BPD-PDT had a 100% tumor necrosis response rate with no adverse events. In fact, PDT led to shrinkage of an initially inoperable tumor involving a major artery such that one of the patients then qualified for and underwent surgical tumor resection¹⁷.

Despite these promising results, the incidence of tumor recurrence is high for many advanced stage cancers even after a complete clinical response to frontline therapy. An important recurrence mechanism is the high adaptability of cancer cells to any therapeutic modality, specifically by utilizing inter- and intracellular signaling pathways to promote tumor cell survival, proliferation and metastasis. Up-regulation of VEGF signaling, a key mediator of tumor angiogenesis, has been observed in response to a number of treatment modalities, including: radiotherapy^{18, 19}; chemotherapy^{20–22}; and PDT^{23, 24}. PDT is known to induce tumor hypoxia via oxygen consumption and microvascular shutdown resulting from PDT^{25, 26}. PDT-induced tumor hypoxia is known to induce VEGF expression via induction of hypoxia inducible factor-1 α (HIF-1 α) stabilization and promotion of *vegf* transcription^{27, 28}. This stress response to PDT corroborates prior reports of up-regulated VEGF signaling in response to a variety of therapies, including chemotherapy and radiotherapy^{18, 20, 22}. In regards to PDT, Gomer and colleagues previously demonstrated that Photofin-PDT induces increased tumor VEGF expression via HIF-1 α -induced *vegf* gene transcription^{27, 28}. Our group has shown that increased tumor secreted VEGF levels in response to subcurative BPD-PDT occur via p38 mitogen-activated protein kinases (MAPK) and stress-activated protein kinase (c-Jun NH₂-terminal kinase, JNK)²⁹.

Thus, targeting the VEGF pathway in combination with cytotoxic modalities is a rational approach to help circumvent escape from the primary therapy. Multiple pathways ultimately need to be addressed simultaneously, possibly using cocktails of biologics and small molecular inhibitors. Selective tumor delivery and drug release will be key to limit additive systemic toxicities for such approaches. This study addresses the challenge of PDT and biologic agent co-delivery using nanoliposomes based on the hypothesis that an anti-VEGF mAb therapy combined with a photosensitizer-loaded nanoliposome can impede tumor recurrence and regrowth—using a single administration rather than chronic dosing such that the biologic therapy is spatiotemporally synchronized with the molecular response to the photocytotoxic arm.

Here, we report the development of nanoPALs that successfully enable the co-packaging of PDT (BPD) and anti-VEGF monoclonal antibody (bevacizumab) agents, and that the optimized nanoPAL formulation is significantly more effective than the administration of the individual, unpackaged drugs both *in vitro* and in a subcutaneous mouse model of PDAC. The nanoPAL builds on advances in chemical synthesis that offer exquisite control over the physicochemical properties of liposomes-enabling novel strategies for co-delivery and providing an ideal route for enhancing photosensitizer delivery while also neutralizing the tumor-localized burst in secreted VEGF immediately following PDT^{24, 28, 30–34}. We hypothesized that a rationally-designed unilamellar liposome optimized for BPD packaging—can create a robust BPD microenvironment ideal for PDT. In this work, the following

properties were considered and optimized: size; surface charge; drug-to-lipid ratio; lipid membrane packing; and, steric stabilization. While liposomal vectors are well characterized for tuning the loading of lipophilic therapeutic agents, they are relatively unexplored for formulating biologic agents, such as proteins, which require additional considerations to preserve biomolecular functionality both during synthesis as well as in the nanoliposomal environment³⁵. In fact, for these reasons there are few reports of successful intracellular protein delivery using nanomaterials. Liposomes are an attractive technology but concerns remain about their compatibility with biomolecules due to the standard use of freeze-thaw cycling³⁵.

Methods

Visudyne® (liposomal Verteporfin, BPD-MA) was a kind gift from QLT Inc. (Vancouver, BC, Canada). BPD-MA (Verteporfin) was purchased from VWR. Bevacizumab (Avastin®) was purchased from Genentech (San Francisco, CA). AlexaFlour488 or AlexaFlour680 were used to label bevacizumab and Slow Fade® Gold Antifade Reagent with DAPI was purchased from Molecular Probes (Invitrogen Life Technologies, Carlsbad, California). 1,2-dipalmitoyl-*sn*-glycero-3-phosphocholine (DPPC), Cholesterol and 1,2-distearoyl-*sn*-glycero-3-phosphoethanolamine-N-[methoxy(polyethyleneglycol)-2000(DSPE-mPEG-2000), 1,2-dioleoyl-3-trimethylammonium-propane (chloride salt) (DOTAP) were purchased from Avanti Polar Lipids (Alabaster, AL). Dialysis was carried out in phosphate-buffered saline (PBS) without calcium or magnesium at pH 7.4 using Float-A-Lyzer® G2, MWCO=300 kD (Spectrum Laboratories, Inc., Rancho Dominguez, CA). Human pancreatic ductal adenocarcinoma cell line AsPC-1 was purchased from ATCC (American Type Culture Collection, Manassas, VA) and maintenance media, RPMI 1640 (Roswell Park Memorial Institute), fetal bovine serum (FBS), trypsin with 0.5% EDTA and PBS were purchased from Mediatech, Inc (Manassas, VA). For *in vivo* studies, nude mice, 8 wks old weighing ~20g, were purchased from Charles River Laboratories Inc (Wilmington, MA).

Determination of release profile of NanoPAL

The release studies were carried out based on dialysis³⁶, modified for nanoPALs (Supplementary Information).

Mouse model of subcutaneous pancreatic tumor

All animal studies were approved by the Subcommittee on Research Animal Care at the Massachusetts General Hospital, and conformed to the guidelines established by the NIH. All animal studies were conducted with appropriate humane care.

Results

Stability and efficacy of bevacizumab

To ensure the stability of the bevacizumab payload, we first carefully optimized the temperature at which the full affinity of bevacizumab is retained, in order to identify the lipids that can be used, which usually have differing acyl chain lengths and hence different transition membrane temperatures (T_m). In order to preserve the specificity and the

therapeutic efficacy of bevacizumab during the formulation process, we first investigated the stability of the antibody at three different temperatures that were relevant for the eventual formulation of nanoPALs. We observed that bevacizumab incubated at 65°C for 1 h formed precipitants in a dose-dependent manner (Figures 1A, 1B). The mAb incubated at 65°C was also not recognized by a secondary antibody during western blotting whereas the secondary antibody recognized the mAbs incubated at both of the lower temperatures (Figure 1C).

Next we directly investigated the potential loss of mAb affinity during the synthesis process at various temperatures. We observed that bevacizumab was still able to bind hVEGF after incubation at 45°C on the blots when the hVEGF was on the gel (1:80,000) (Figure 1D). We observed a significant decrease in the sensitivity of bevacizumab treated at 65°C (Figure 1E). Therefore, the optimal lipid mixture for nanoPAL should have a T_m 45°C. To meet this requirement, DPPC ($T_m = 45^\circ\text{C}$) was used as the neutral lipid in the nanoPAL formulation.

Optimization of synthesis steps for nanoPAL

Using the 45°C temperature window defined above, we next investigated two potential synthesis procedures for the formulation of the nanoPAL. We generated two populations of nanoparticles—freeze-thawed vesicles and dehydrated-rehydrated vesicles (Figure 2A, 2B, 2C). Using transmission electron microscopy (TEM), we observed that freeze-thawed vesicles (Figure 2B) were more regular in shape and robust compared to the dehydrated-rehydrated vesicles (Figure 2C; Figure S1). Thus, the freeze thaw vesicles are more likely to retain and elicit nanoparticulate properties and we utilized freeze-thawing nanoPAL synthesis for this study.

NanoPALs maintain monomeric BPD in the lipid bilayer

Aggregation of BPD, which is hydrophobic, decreases the concentration of monomeric BPD and compromises photophysical activity due to quenched fluorescence, intersystem crossing and population of the triplet state, which impedes the generation of photo-generated cytotoxic species. At a given concentration of BPD, the nanoPAL formulation displayed significantly less quenching than Visudyne, suggesting the nanoPAL retains BPD in a monomeric form with a concomitant enhancement of PDT efficacy (Figure 2D). In order to explore potential physical effects of laser administration on the nanoPAL, we compared TEM images of nanoPAL without PDT and the nanoPAL subjected to a low-level light dose of $1\text{J}/\text{cm}^2$. We observed that nanoPALs exposed to PDT showed significant loss of nanoparticle structure compared to the control TEM, indicating potential for photo-triggered release of the nanoPAL payloads (Figure 2E).

PDAC cells uptake cationic nanoPALs

We tailored the nanoPAL charge to operate near the edge of neutrality but with sufficient cationic charge to target the vasculature and to enhance cellular-uptake^{32, 37, 38}. Stronger cationic charges enhance tumor and cellular uptake but decrease selectivity and elicit unacceptable toxicities. An elegant study demonstrated that a minimal cationic lipid component is sufficient to achieve a favorable benefit-to-risk ratio³². With this in mind, DOTAP, a second generation synthetic cationic lipid, was incorporated to balance toxicity

versus tumor uptake³⁸. We performed a pilot screening study of a small set of preliminary nanoPALs with varying zeta potential (−9 mV, +1mV and +15 mV) and found that the minimal cationic charge (+15 mV) had a favorable uptake profile (Figure S2). We further tested the slightly cationic nanoPALs by imaging their *in vivo* tumor uptake in an orthotopic pancreatic cancer model (Figure S3). These pilot *in vivo* imaging experiments showed promising bulk tumor uptake of both BPD and bevacizumab labeled with a fluorescent dye. The BPD uptake and PDT efficacy of the nanoPAL precursors was determined at both 1 and 24 hours post injection. Although the imaging experiments show a higher uptake of BPD at 1 hour (Figure S3–E), we did not find any significant difference in efficacy between PDT at 1 hour versus 24 hours (Figure S3–F). The significant decrease in the BPD fluorescence at 24 hours may be due to intracellular aggregation of free BPD or uptake by serum proteins, which decreases the bioavailability after nanoPAL degradation in the tumor. Hence, we chose 1 h as the time point for PDT in further studies. After these screening and optimization steps, the final cationic nanoPAL (+15 mV) formulation was used for the remaining studies (henceforth termed nanoPAL and extensively characterized in the following sections).

Physicochemical properties of nanoPAL

The reproducibility of the nanoPAL was determined in terms of particle size (Table 1), 120 nm (standard deviation = 8.67%), and mean zeta potential +15mV (standard deviation = 0.33%) of several batches of formulation. The incorporation efficiency of BPD into the nanoPAL was around 80% of the initial concentration and the loading efficiency of bevacizumab ranged from 60–80% over individual batches of nanoPAL (Table 1).

Shelf life stability of nanoPAL

The shelf life stability of the nanoPAL was determined at days 1, 30 and 60 following synthesis. NanoPALs were stored at 4°C after nitrogen purging and the following properties were determined at each time point: particle size (Figure 3A); zeta potential (Figure 3B); polydispersity index (PDI) (Figure 3C); and, the percentage of BPD and bevacizumab retained (Figure 3D). The particle size, PDI and zeta potential were all stable and did not undergo any statistically significant change even after 60 days of storage. Although the nanoPALs retained a significant amount of BPD over time, loss of the initial BPD payload was significant within 30 days of storage. After 60 days, a nearly complete leakage of bevacizumab is observed. This indicates that a potential pharmaceutical strategy for clinical use would be freeze-drying nanoPAL and reconstituting it at the time of injection. For all the treatment studies reported here, the nanoPAL formulations were administered within 2 days of synthesis with storage at 4°C as described above.

NanoPAL therapeutic cargo release profiles

Cargo release rates have important implications on the bioavailability of the therapeutic payloads and the encapsulated agents are often not deemed fully bioavailable until release at the tumor site. We evaluated the release profiles of BPD and bevacizumab from nanoPAL in human serum at 37°C under dark conditions to simulate the *in vivo* microenvironment encountered by nanoPALs injected into the blood circulation. By controlling the lipid

membrane packing, the nanoPAL was tuned to retain BPD for a residence time in blood stream for up to 72 hours to facilitate maximal payload delivery to the tumor. This was accomplished by designing nanoPAL to have a T_m slightly above 37°C via the inclusion of DPPC³⁹ and by the relatively conservative use of PEG for steric stabilization. The release profile was interrogated using a modified dialysis bag method³⁶, where the release media was periodically sampled. The release profiles show a 15% leakage of BPD and 85% of bevacizumab from nanoPAL when normalized to the leakage of Visudyne and free bevacizumab from the dialysis sample chamber into the reservoir over 72 hours, suggesting that the nanoPAL is a favorable controlled delivery system (Figure S4). We note that the nanoPAL payload stability enables spatiotemporal synchronized tumor delivery of BPD and bevacizumab prior to photoirradiation, and PDT, 1 hour after administration.

NanoPALs enhance the internalization of biologics into PDAC cells

NanoPALs were incubated with human pancreatic ductal adenocarcinoma (PDAC) AsPC1 cells at 37°C. Confocal microscopy visualized the intracellular localization of BPD and fluorescently labeled bevacizumab. Fluorescence-activated cell sorting (FACS) was used to observe a significant increase in bevacizumab uptake using nanoPALs (78% of cell population) as compared to the free drug cocktail group (0.5% of cell population), characterized as a shift in the FACS histogram heat map into the double positive quadrant, indicating that both BPD and bevacizumab were taken up by the cells. Similarly, at 24 h the dual agent uptake increased to 92% of the cell population in the nanoPAL group versus 5% of the cell population in the conventional agent formulation control group (Figure 4A). We observed an increased association of bevacizumab with the cells in the nanoPAL-treated group compared to free drug control at both 1 h and 24 h, which was subsequently internalized into the cytosol within 24 h (Figure 4B)

NanoPALs enhance treatment efficacy *in vitro*

The above results suggest that PDT of cells incubated with nanoPAL for 1 hour can lead to significant payload delivery to cells. To evaluate cytotoxicity after light application, the MTT assay was employed. We observed that the cell killing efficacy (Figure 4C) with nanoPAL was significantly higher (82% cell death) than with the conventional control (54% cell death).

NanoPAL tumor uptake *in vivo*

To ensure that BPD reaches the tumor and that bevacizumab delivery was within the therapeutically relevant time window of 6 hours post-PDT, we also performed drug delivery imaging studies (Table 2). Whole tumors were excised and imaged using a small animal hyperspectral fluorescence imaging system. Spectral unmixing analysis was applied to quantify bulk BPD and fluorescently labeled bevacizumab (Alexa Fluor 488) delivery. The bulk tumor accumulation was found to be similar for both nanoPAL and the Visudyne + bevacizumab controls (Figure 5). The whole tumors from the same experiment were then sectioned and stained for microvasculature (PECAM antibody), nuclei (DAPI) and human cancer cells (cytokeratin 8 antibody) to further analyze the bevacizumab localization amongst the various cell populations via confocal microscopy (Figure 5A–5E). Due to the potential for mechanical perturbation of the tissue sections and the tight packing of cells

within the tumor, unambiguous resolution and quantification of enhanced intracellular mAb delivery was not possible. However, we observed that irrespective of the mode of delivery (i.e., nanoPAL or conventional formulations), there was a significant uptake and intratumoral accumulation of bevacizumab-AF488 within 2 h of injection (~70% tumor permeation), and no loss of signal was seen at 24 h in both groups (Figure 5C–5F), possibly due to weak lymphatic tumor flow and continued delivery via the circulation. Applying PDT 1 hour post nanoPAL injection was found to have no statistically significant effect on the bevacizumab AF488 distribution 2 hours after injection.

NanoPALs significantly enhance PDT *in vivo*

We next tested nanoPAL tumor destruction in a xenograft, subcutaneous model of pancreatic cancer using AsPC1 cells. Non-invasive tumor measurement with calipers permitted longitudinal studies of treatment efficacy. Therapeutic agents were injected via the tail vein and light for PDT was administered transcutaneously 1 h after injection. To compare the efficacy of the nanoPAL packaging on PDT with Visudyne, studies were performed using nanoPAL containing only BPD and no bevacizumab—nanoPAL(BPD). The tumor volume curves show a significant enhancement of PDT-related tumor destruction with the nanoPAL(BPD) versus Visudyne (Figure 6A). Similarly, to determine the efficacy of bevacizumab monotherapy versus nanoPAL-delivered bevacizumab monotherapy, studies were performed using nanoPAL containing only bevacizumab and no BPD—nanoPAL(Bev) (Figure 6B). We did not observe any significant differences between these treatment groups, potentially attributing to the similar overall amount of mAb accumulation as seen in the tumor distribution studies (Figure 5), and the lack of increased intracellular production of VEGF in the absence of PDT. In addition, bevacizumab monotherapy normally requires chronic treatment cycles over a period of several weeks to achieve significant tumor reduction. It is also possible that nanoPAL-associated bevacizumab was not fully liberated to interact with VEGF until light irradiation disrupted the liposome structure (e.g., via lipid peroxidation) to release the antibody.

NanoPALs enhance the combinatorial regimen efficacy *in vivo*

We next explored the therapeutic efficacy of the combinatorial regimen of PDT with bevacizumab. In the nanoPAL treatment group, 24 h post PDT, we observed a gradual incidence of temporary edema at the tumor site followed by erythema, which both gradually resolved after the treatment. On days 3 to 4 (Figure 6C), all mice treated with nanoPAL showed extensive tumor necrosis (n=8), and there was almost no tumor regrowth for 35 days after the treatment (Figure 6C) in all mice. At the end of the experiment, 33% of the nanoPAL-treated mice appeared to have a complete response and residual tumors exhibited minimal regrowth by day 35. In contrast, at nanoPAL-matched PDT and mAb doses, the Visudyne and bevacizumab combination therapy was able to stabilize the tumor but did not lead to measurable tumor destruction (Figure 6C). These observations were confirmed at the end of the study when tumors from each group were harvested and weighed (Figure 6E). Treatment toxicity was also monitored via body weight loss. All treatment groups were within standard limits of toxicity (Figure 6D).

NanoPAL-treated tumors show extensive necrosis

To further analyze the extent of tumor necrosis, haematoxylin and eosin staining was used to study tumors that received nanoPAL-PDT. Tumor sections from mice without light exposure revealed that the cells were aggressively dividing (Figure 7A). Abundant and irregular nuclei were also seen with a clear and continuous peripheral layer surrounding the tumor boundary, indicating an actively growing tumor. In contrast, tumors exposed to nanoPAL-PDT (72 h post PDT) exhibited significantly fewer cancer cells and mitotic nuclei than untreated controls. Morphological inspection revealed distorted plasma membranes indicating extensive necrosis (Figure 7B).

Discussion

NanoPALs enable concomitant, spatiotemporally synchronized photodamage with release of biologic agents to hinder molecular signaling pathways associated with PDAC treatment escape. Here we demonstrate the co-delivery of bevacizumab with BPD to pancreatic tumor cells. The nanoPALs developed here ensure that the biologic released at the tumor site retains its specificity to abrogate its molecular target and the PDT agent remains monomeric to elicit its photophysical reactions. The surface charge of nanoPAL was also optimized as negatively charged molecules like GAG and heparin sulfate are frequently over-expressed by cancer cells and tumor-associated vasculature, which render charge-dependent specificity to cationic carrier systems⁴⁰. Cationic charge also affects toxicity via enhanced uptake by a variety of normal cell types, especially within the reticuloendothelial system (including the liver, kidneys and spleen)^{41, 42}. Thus, cationic lipids were conservatively incorporated into the design to balance the tradeoff in tumor delivery versus systemic toxicity.

PDAC remains a lethal cancer with a dismal prognosis. Existing clinical strategies, although valuable for palliation, fail to significantly impact patient survival. There is a critical need for the development of rationally designed combinatorial therapies where multiple, mechanistically distinct and complimentary anticancer agents are delivered simultaneously to leverage existing FDA approved treatments such as Folfirinox^{43, 44}, gemcitabine-based combinations^{45–47} and chemo-biologic drug based combinations^{48, 49}. In order to achieve a higher therapeutic index, cytotoxic agents may be combined with complimentary agents that target key pathways promoting survival and regrowth keeping in mind that chronic administration of toxic agents should be avoided. A more efficient approach delivers molecular-targeted agents at time points critical for suppressing transient signaling bursts elicited by finite cytotoxic therapies such as PDT, radiotherapy and potentially burst-release chemotherapy with the advent of optically activated nanodelivery systems. In this way, cancer cells are forced to face a far more formidable barrier for re-establishing a thriving cancer microenvironment.

The utility and feasibility of PDT continues to improve^{16, 50–52} and despite a proven clinical record as an adjuvant therapy after the surgical resection of tumors it is often used after all other options have failed⁵⁰. Its unique mechanisms induce cell death pathways that bypass classical drug resistance and BPD-PDT is under development as a novel therapy for PDAC based on promising phase I/II trials¹⁷. Visudyne, as used in the PDAC clinical trials, is a FDA-approved photosensitizer for treating age-related macular degeneration and is a

liposomal formulation of mainly aggregated BPD, although, upon intravenous injection the BPD partitions from the lipid to blood plasma proteins where it regains some monomeric photochemical activity^{53, 54}. Our strategy to enhance PDT uses a rationally-designed nanoparticle that protects BPD from the blood plasma while simultaneously delivering active, monomeric BPD to the tumor, which enhances PDT potency. The nanoPAL was designed to be stable in plasma for a few hours, long enough to deliver a therapeutic payload to the tumor. Differing mechanisms of cellular uptake between nanoPAL and BPD-bound serum proteins is a potential explanation for the enhanced potency of the nanoPAL versus Visudyne. Diminished reactive oxygen species generation from plasma bound BPD is a possible but unlikely explanation as efficient triplet state formation of BPD-serum albumin complexes has been observed⁵⁴. Both the endocytosis (lysosomal) pathway and membrane localization pathways (e.g. through liposome-plasma membrane fusion) can be effective forms of BPD delivery. Once released, BPD tends to preferentially accumulate within the mitochondria and facilitates mitochondrial PDT. In contrast, lysosomal BPD-PDT using activatable immunoconjugates, for example, requires 24 h post-delivery to reach maximum efficacy but the molecular-targeted, activation feature increases photodamage selectivity for wide-field irradiation of disseminated microscopic tumors⁵⁵. Overall, this suggests that the nanoPAL enables efficient delivery of monomeric BPD to the microvasculature and parenchyma of macroscopic tumors.

Based on its clinical promise, PDT is also being developed as a combinatorial treatment modality⁵⁶. One of the salient features of PDT is its ability to counteract resistance mechanisms exhibited by cancer cells and the tumor microenvironment against traditional modalities. For instance, PDT is observed to reverse chemoresistance, re-sensitizing drug-resistant cancer cells to chemotherapy¹⁹, but as for all cytotoxic treatments, the surviving cancer cells activate a multitude of pro-survival signaling pathways to promote recurrence. VEGF is a key cytokine that promotes tumor regrowth, and it is up-regulated and secreted by cancer cells following therapy^{18, 20–22, 29}. PDT, and potentially other finite treatments, stimulate transient increases in VEGF production²⁴. Therefore, there exists a window of opportunity to mop up this transient signaling cascade.

We reasoned that inhibiting VEGF within the tumor cells—where it is produced—may be optimal, as opposed to the conventional use of bevacizumab that primarily targets circulating VEGF. Neutralizing tumor-derived VEGF prior to extracellular release would obviate the signal cascade prior to activation of the tumor microenvironment and recruitment of bone marrow-derived progenitors and other systemic effects. The *in vitro* studies indicate nanoPAL-mediated intracellular delivery of bevacizumab. *In vivo* combinatorial studies indicate that nanoPAL dramatically enhanced efficacy compared to the combination of conventional treatments, strongly suggesting that advances in liposomal co-packaging can add potency to combinations of leading therapeutic agents.

As breakthroughs in the molecular etiology of pancreatic cancer and molecular mechanisms of treatment escape continue, investigating multimodal treatment approaches that leverage these new discoveries seems germane. With this mindset, the nanoPAL is a robust liposome-based nanodelivery system capable of incorporating cytotoxic and biologic agents. Here, nanoPAL co-packaging enhanced the combination of BPD-PDT with anti-VEGF therapy.

NanoPAL derivatives can be formulated to co-deliver a variety of combinatorial payloads targeted to additional mechanistic pathways by incorporating other biologics or small molecule inhibitors (e.g., co-inhibition of VEGF and c-MET⁵⁷), which is likely key for addressing the multiple, crosstalking mechanisms of treatment escape.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

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Abbreviations

mAb	Monoclonal Antibody
BPD	Benzoporphyrin Derivative
PDT	Photodynamic Therapy
nanoPAL	nanophotoactivatable liposomes
PDAC	Pancreatic Ductal Adenocarcinoma
VEGF	Vascular Endothelial Growth Factor
MAPK	Mitogen Activated Protein Kinases
HIF	Hypoxia Inducible Factor
JNK	c-Jun NH ₂ -terminal kinase
MET	hepatocyte growth factor receptor
PDI	Poly Dispersity Index
DAPI	4',6-diamidino-2-phenylindole
DPPC	2-dipalmitoyl- <i>sn</i> -glycero-3-phosphocholine
DOTAP	1,2-dioleoyl-3-trimethylammonium-propane
DSPE-PEG₂₀₀₀	1,2-distearoyl- <i>sn</i> -glycero-3-phosphoethanolamine-N-[methoxy(polyethyleneglycol)-2000]

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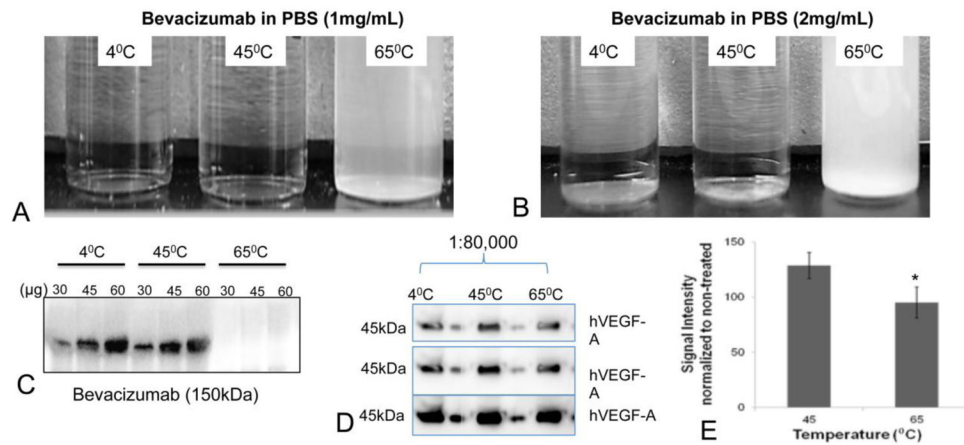


Figure 1.

Bevacizumab incubated at 4, 45 and 65°C for 1 h at 1 mg/mL (A) and 2 mg/mL (B); (C) Western blots of bevacizumab treated at varying temperatures. An anti-rabbit IgG antibody was used to detect bevacizumab on the membrane; (D) Western blots showing the bevacizumab binding capacity to hVEGF-A (45kDa) at 1:80,000 at different exposure times from top to bottom. The samples in A were used as primary antibody to detect hVEGF-A protein on the gel. Each lane with sample is followed by pre-stained protein ladder to help identify the molecular weight of VEGF on the gel; (E) The bar graphs show the average signal intensities of the bevacizumab bands in figure D, normalized to the non-treated bevacizumab. The results are mean \pm s.d.'s ($n = 5$). Asterisks denote statistically significant differences, $*p < 0.05$ (t-test).

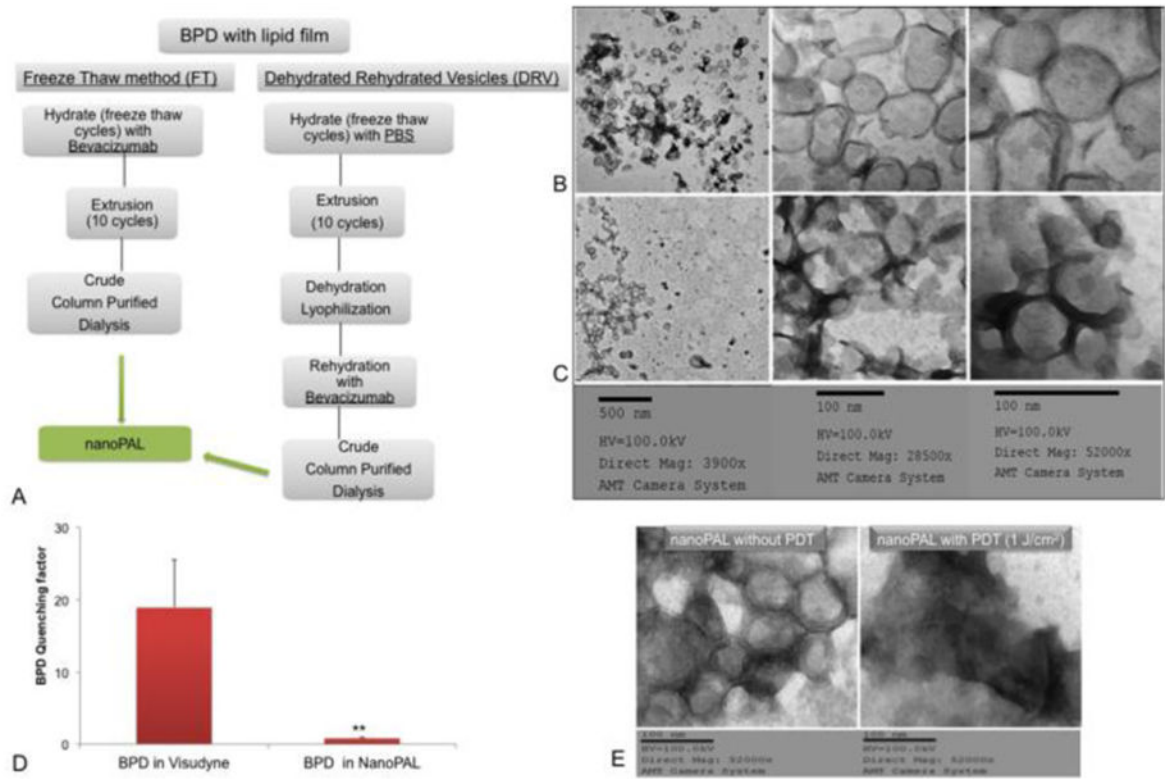


Figure 2.

(A) Flow chart summarizing the synthesis steps to prepare freeze thawed or dehydrated and rehydrated vesicles; TEM micrographs of (B) freeze-thawed vesicles, (C) dehydrated-rehydrated vesicles; (D) The quenching factor (RFU/Initial RFU) of BPD for Visudyne or nanoPALs. Results are mean \pm s.d.'s ($n=4$). $**p=0.0016$ (t-test); (E) TEM micrograph is a representative image of intact nanoPALs without any PDT exposure and nanoPALs exposed to a $1 \text{ J}\cdot\text{cm}^{-2}$ light dose, over 20 s, typically employed in the cytotoxicity studies.

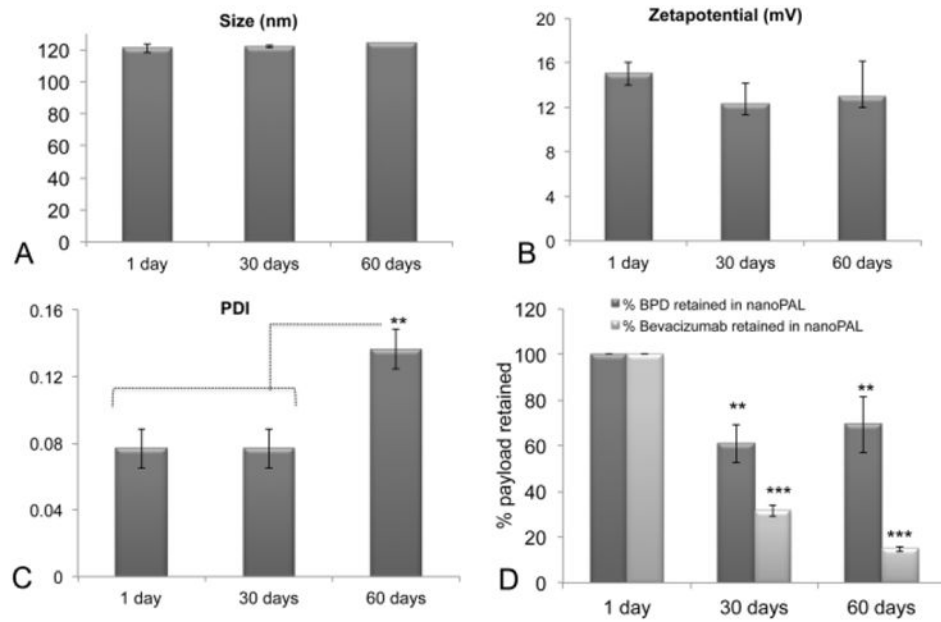


Figure 3.

Shelf life stability and release profile of nanoPAL

NanoPAL stability over a period of 60 days in terms of particle size (A), zetapotential (B) and PDI (C) is shown; (D) Stability of nanoPALs in terms of association efficiency is plotted as the percent of payload leaked out during the storage period at 4 °C under nitrogen atmosphere. The results are mean \pm s.d.'s, ($n=3$). Asterisks denote ** $P<0.01$, *** $P<0.0001$ (Bonferroni post hoc- one-way ANOVA test).

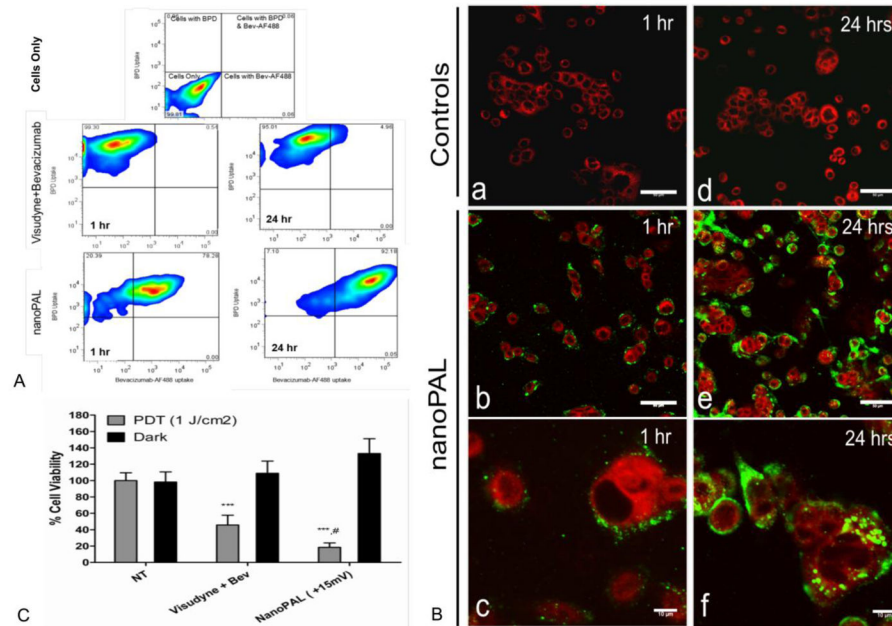


Figure 4.

(A) The heat maps show the quantitative uptake pattern of BPD and bevacizumab-AF488 by PDAC cells. The top panel shows gated quadrants generated by using an unstained PDAC population. (B) Confocal images represent the qualitative PDAC cellular uptake pattern of BPD (red) and bevacizumab-AF488 (green). Each image is a mosaic stitch of $(3 \times 3) \times 3 = 27$ random fields on each coverslip ($n=3$). Uptake of Visudyne + bevacizumab control (a, d) and nanoPAL-treated cells (b, e, c, f) 1 and 24 h post-administration. Scale bars are 50 μm (b,e), or 10 μm (c, f). (C) Percent cell viability of PDAC cells after the combination drug treatment with nanoPALs. Results are mean \pm s.d.'s, $n = 7$ replicates per group. Asterisks denote statistically significant differences between nanoPAL treated groups, $\#p < 0.05$ compared to Visudyne+Bev, $***p < 0.001$ compared to NT (Bonferroni post hoc, one-way ANOVA test).

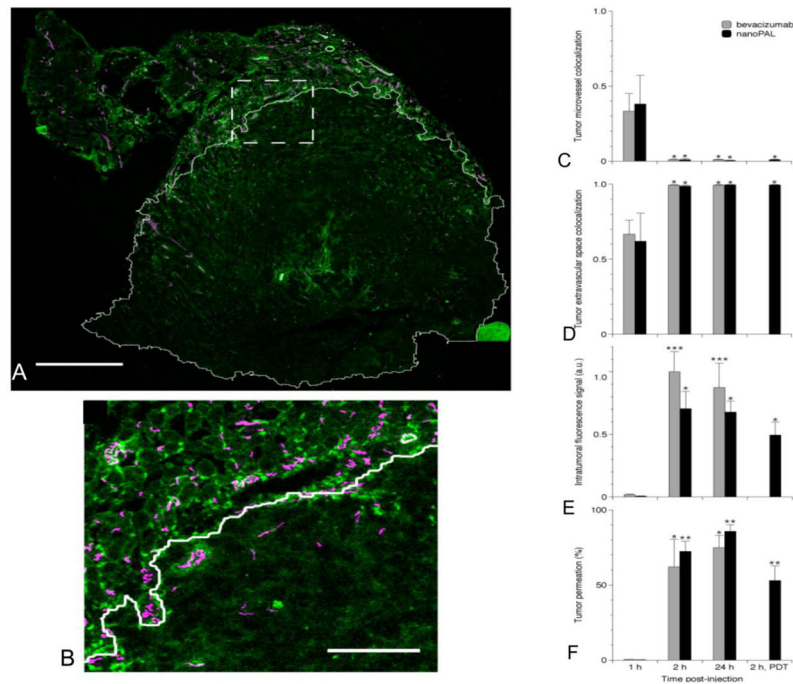
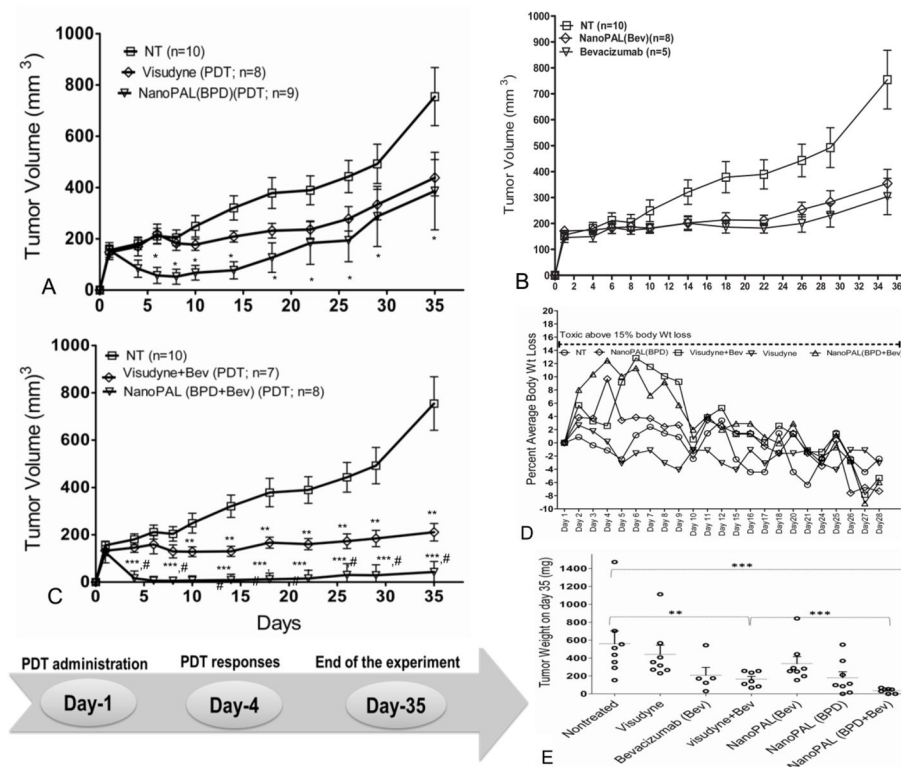


Figure 5. Confocal imaging of fluorescently labeled bevacizumab delivery in subcutaneous PDAC tumors. (A) A single tumor cross-section collected 2 h post-nanoPAL administration, imaged with 1.6 μm x-y sampling, illustrates bevacizumab (green) permeation of the tumor space (outlined in white based on a selective immunostain of human epithelial cancer cells). Scale bar, 1 mm. (B) Enlarged view of the region marked in A, demonstrating nanoPAL(bev) extravasation from microvessels labeled with a selective immunostain for mouse endothelial cells (magenta). Scale bar, 250 μm . (C) Fluorescence colocalization of bevacizumab or nanoPAL (bev) with microvessels, and (D) with the extravascular tumor space at various time-points. Regardless of the formulation, bevacizumab enters the tumor interstitium within 2 h. (E) Tumoral bevacizumab payload, and percent tumor permeation. The results are mean \pm s.e.m, $n = 3$ mice per group. Asterisks denote statistically significant differences compared to the corresponding treatment at 1 h, * $p < 0.05$ ** $p < 0.01$ *** $p < 0.001$ (Kruskal-Wallis one-way ANOVA test).

**Figure 6.**

Absolute tumor volumes recorded after single *i.v.* bolus administration of (A) Visudyne or nanoPAL(BPD) on day 1 as indicated on the timeline(bottom). * $p = 0.0176$ indicates statistical significance between untreated (NT) and nanoPAL(BPD)-treated mice. (B) Bevacizumab versus nanoPAL(bev) monotherapy; (C) Visudyne+bevacizumab versus nanoPAL(BPD+bevacizumab) combination therapy. ** $p=0.0051$ *** $p=0.000016$, indicate statistical significance compared with NT; # $p=0.00016$ denotes significant difference between Visudyne+bevacizumab and nanoPAL(BPD+bevacizumab), number of mice allocated to each group is indicated in the parenthesis. Results are mean \pm s.e.m. (D) Percent body weight changes normalized to initial weight; (E) Vertical scatterplot depicts the distribution of tumor weights of mice from 3 different experiments. Each data point represents a single mouse. Statistics were performed using Mann Whitney U analysis.

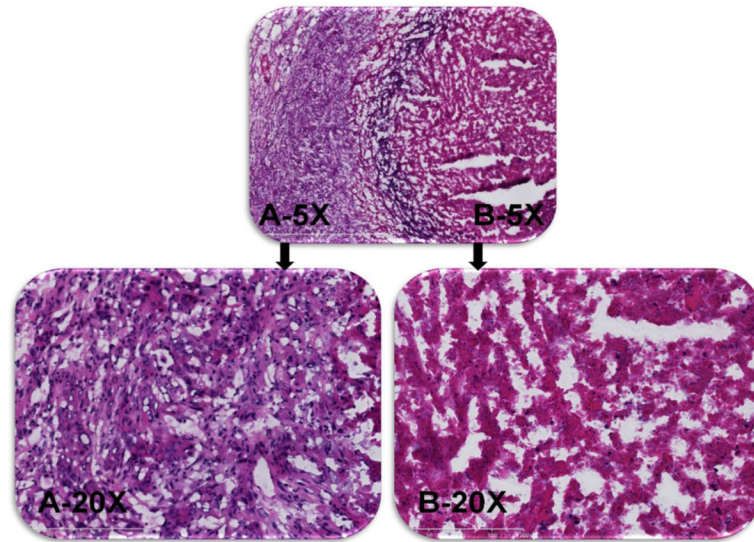


Figure 7. The figure shows a representative H&E-stained, 5- μ m-thick tumor section at 5 \times and 20 \times magnification pre-PDT (A) and 72 hrs post-PDT (B).

Table 1

Physicochemical Characteristics of nanoPALs

NanoPAL	Particle size (nm)	PDI	Zetapotential (mV)
Batch-1	122	0.1	14.2
Batch-2	100.9	0.1	14.9
Batch-3	111.8	0.04	14.2
Batch-4	110.7	0.05	14.4

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Table 2

Tumor Distribution study design

Group	Injection	N	Bev-AF488 Dose (mg/kg)
1	NanoPAL (BPD + BevAF488)	4	15
2	NanoPAL (BPD) + BevAF488	4	~15
3	Visudyne + BevAF488	4	~15

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Table 3

Therapeutic response study design

Group #	Treatment	N	Bev Dose (mg/kg)	BPD Dose (mg/kg)
1	Nontreated	10	N/A	N/A
2	Visudyne (PDT)	8	N/A	0.5
3	Bevacizumab Only	5	15	N/A
4	Visudyne + Bevacizumab (PDT)	7	15	0.5
5	NanoPAL (Bevacizumab)	8	15	N/A
6	NanoPAL (BPD)	9	N/A	0.5
7	NanoPAL (BPD + Bevacizumab)	7	15	0.5