

Sound source localization by hearing preservation patients with and without symmetric,  
low-frequency acoustic hearing

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1           The aim of this paper was to study sound source localization by cochlear implant  
2 (CI) listeners with low-frequency (LF) acoustic hearing in both the operated ear and in  
3 the contralateral ear. Eight CI listeners had symmetrical LF acoustic hearing (symm)  
4 and four had asymmetric LF acoustic hearing (asymm). The effects of two variables  
5 were assessed: (i) the symmetry of the LF thresholds in the two ears and (ii) the  
6 presence/absence of bilateral acoustic amplification. Stimuli consisted of low-pass, high  
7 pass, and wide-band noise bursts presented in the frontal horizontal plane. Localization  
8 accuracy was 23 degrees of error for the symm listeners and 76 degrees of error for the  
9 asymm listeners. The presence of a unilateral CI used in conjunction with bilateral LF  
10 acoustic hearing does not impair sound source localization accuracy, but amplification  
11 for acoustic hearing can be detrimental to sound source localization accuracy.

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14           One benefit of listening with two ears vs. one ear for individuals with normal  
15 hearing (NH) is the ability to localize sound sources on the horizontal plane with high  
16 accuracy – i.e., 6 - 7 degrees of error (e.g., Grantham et al., 2007; Yost et al., 2013).  
17 Localization ability is contingent on access to interaural level difference (ILD) cues in  
18 high frequencies (above 1.5kHz) and/or interaural time difference (ITD) cues in low  
19 frequencies (under 1.0kHz) (Blauert, 1997). Patients with unilateral hearing – such as  
20 that offered by a single cochlear implant (CI) lack access to interaural cues and thus,  
21 most commonly, demonstrate very poor performance on tests of sound source  
22 localization. Grantham et al. (2007) reported near chance levels of sound source  
23 localization by CI patients using a single CI. In contrast, patients with bilateral CIs do  
24 have access to interaural cues. Multiple studies have shown that bilateral patients have  
25 access to ILD cues but not ITD cues (e.g., Grantham et al., 2008) and show modest  
26 levels of sound source localization ability, e.g., 20 - 30 degrees of error (Grantham et  
27 al., 2007; Nopp et al., 2004, Litovsky et al., 2012). In this report we describe the sound  
28 source localization abilities of patients who have undergone hearing preservation CI  
29 surgery and who have two ears with LF acoustic hearing. At issue with these patients is  
30 the level of sound source localization performance that is allowed by access to the ITDs  
31 available in the bilateral areas of low-frequency acoustic hearing.

32           Individuals with relatively good LF hearing and precipitously sloping high-  
33 frequency (HF) hearing loss can benefit from a surgical technique for cochlear  
34 implantation that preserves the LF hearing in the implanted ear. Because these patients  
35 have LF acoustic hearing in the ear contralateral to the CI, a successful surgery  
36 provides these listeners with bilateral LF acoustic hearing, commonly in the range of

37 250-750 Hz, in addition to a CI in the implanted ear. Gifford et al. (2013) reported that  
38 hearing preservation patients are able to resolve ITDs although not as well as NH  
39 listeners. Six listeners with preserved hearing had ITD thresholds that ranged from 131 -  
40 1271  $\mu$ sec compared to NH listeners with a range of 30-60  $\mu$ sec for signals at 250Hz.  
41 Given these data, it is reasonable to suppose that some hearing preservation patients  
42 would be able to localize sound sources on the horizontal plane -- but with less  
43 accuracy than NH listeners.

44 A study by Dunn et al. (2010) suggests this is the case. Patients using a short  
45 electrode array of 10mm and bilateral hearing aids were tested on localization. Using  
46 'everyday' sounds that varied in both temporal and spectral information, and testing with  
47 an 8 loudspeaker array over a 108 degree arc, Dunn et al. (2010) reported that hearing  
48 preservation listeners could localize with a root mean square (rms) error of about 25  
49 degrees. Although no report was made of sound source localization by NH listeners in  
50 the same test environment, this level of performance for WB signals is poorer than the  
51 NH listeners in, for example, Yost et al. (2013) who showed a mean error of 6 degrees.  
52 Dunn et al. (2010) also reported that allowing the patients to use a unilateral CI, in  
53 addition to bilateral low-frequency acoustic hearing, did not degrade sound source  
54 localization accuracy.

55 The first aim of this project was to attempt to replicate the results of the Dunn et  
56 al. (2010) study. The second aim was to filter stimuli to better constrain the availability of  
57 ILD and ITD cues. The third aim was to extend our knowledge of sound source  
58 localization by hearing preservation patients by (i) testing patients with deeper electrode  
59 insertions than those used by the patients in Dunn et al. (2010), (ii) testing patients with

60 and without symmetrical low-frequency acoustic hearing and (ii) determining whether  
61 hearing aids have a significant effect on sound source localization accuracy.

## 62 **Methods**

### 63 **Subjects**

64 Twelve adult CI users with hearing preservation and a minimum of one year of CI  
65 use were tested following approval by the IRB at Arizona State University. All but two of  
66 the participants had been, or were enrolled in, the clinical trials for either the MED-EL  
67 EAS or the Cochlear Nucleus Hybrid device. Two of the MED-EL participants did not  
68 participate in the EAS clinical trial but had preserved hearing in the implanted ear. Eight  
69 subjects had symmetrical, low-frequency acoustic hearing, i.e., differences no greater  
70 than 15dB between ears at 250Hz (Figure 1, left). Of the MED-EL users with  
71 symmetrical acoustic hearing, four subjects were implanted with the MED-EL FLEX<sup>eas</sup>  
72 and one subject was implanted with the MED-EL Medium array (nominal insertion depth  
73 of 24 mm). One subject had bilateral CIs with bilaterally preserved hearing. For this  
74 study the second CI was not used; in other words, the implant part of the Duet  
75 processor was not worn. Three subjects were implanted with the Nucleus Hybrid-L24  
76 (nominal insertion depth of 16 mm).

77 Four subjects lost a significant level of hearing resulting in asymmetrical low-  
78 frequency hearing with differences of 45 - 60dB at 250Hz between ears (Figure 1, right).  
79 Three of the subjects lost hearing following surgery and prior to activation. One subject  
80 lost hearing in the implanted ear seven years post-operatively due to an autoimmune  
81 disorder. (Previously, this subject had symmetrical LF hearing. Hearing in the  
82 contralateral ear was unaffected. This subject was tested approximately three months

83 following the loss of hearing in the implanted ear.) Of the listeners with asymmetric LF  
84 hearing, one was implanted with the MED-EL FLEX<sup>ear</sup> array, one was implanted with the  
85 MED-EL Medium array, and two were implanted with the Nucleus Hybrid-L24.  
86 Audiometric thresholds are listed in Table 1. Typically, the poorest ear is chosen for this  
87 type of surgery, and as such, pre-implant audiometric thresholds would not be better  
88 than the unimplanted ear. Listeners used their preferred program on their own  
89 processors. Both the MED-EL Duet and Cochlear Nucleus Hybrid processors  
90 incorporate signal processing which directs signals to the hearing aid allowing combined  
91 acoustic and electric hearing in the same ear. Demographics for hearing preservation  
92 listeners are provided in Table 2.

93         **Hearing aids.** All hearing preservation subjects used their own behind-the-ear  
94 (BTE) hearing aid on the contralateral ear. Settings on the hearing aids (HA) and  
95 processors were not changed -- participants used their everyday settings. However,  
96 hearing aids were evaluated using real ear measurements to assess whether their  
97 settings met NAL-NL1 prescriptive targets (Dillon et al., 1998) in the low frequency  
98 region. For the symmetrical listeners, the prescriptive target was met for both hearing  
99 aids. These listeners all showed benefit from adding the acoustic hearing in the  
100 preserved ear to the CI when listening to speech in complex noise. For three of the  
101 participants with asymmetric hearing, the processor integrated HA was unable to meet  
102 target due to the degree of hearing loss, even with gain settings set to maximum.  
103 Although the hearing aid portion of the processor may not have met the prescriptive  
104 target, all subjects with asymmetric hearing reported that the addition of the hearing aid  
105 reduced listening effort. Critically, every subject with asymmetrical hearing showed

106 improved performance on at least one measure of speech understanding in the  
107 ipsilateral hearing aid plus CI condition compared to the CI alone condition. This  
108 outcome indicates that the patients derived benefit from amplification in the implanted  
109 ear.

### 110 **Test stimuli**

111 Three, 200-msec, filtered (48 dB/octave) Gaussian noise stimuli of different  
112 spectral content were presented in random order. The stimuli were (i) low-pass (LP)  
113 noise filtered from 125-500Hz, (ii) high-pass (HP) noise filtered from 1500-6000 Hz and  
114 (iii) wideband (WB) noise filtered from 125-6000 Hz

### 115 **Test environment**

116 Testing was conducted in an 11' X 15' sound deadened room. The stimuli were  
117 presented from a 13 loudspeaker array with an arc of 180° in the frontal horizontal  
118 plane. There was 15° of separation between loud speakers. To reduce edge effects,  
119 stimuli were not presented from loud speakers 1 (far left) and 13 (far right). Listeners  
120 were not notified that these two loud speakers were 'dummy' loud speakers. Loud  
121 speakers were placed 1.67 meters from the listener's head and were at the level of the  
122 listeners' pinnae.

### 123 **Test Conditions**

124 Presentation of the stimuli was controlled by Matlab. Four blocks of 33 trials each  
125 were presented at 65dBA. Each stimulus (LP, HP, WB) was presented four times per  
126 loud speaker resulting in 132 presentations (11 speakers X 4 blocks X 3 stimuli). Overall  
127 level was roved  $\pm 2$  dB to ensure that small differences between the output of the loud  
128 speakers was not a cue.



129 Prior to testing, a screening was carried out to ensure audibility for each set of  
130 stimuli in the unaided conditions. Adjustments were made to ensure comfortable  
131 audibility for each noise source. Listeners were evaluated in the following four  
132 conditions which were counter-balanced among subjects: (i) unaided, no CI, (ii) unaided  
133 plus CI, (iii) bilaterally aided, no CI, and (iv) bilaterally aided plus CI. None of the  
134 hearing preservation listeners were able to hear the HP stimuli without the CI due to the  
135 severity of their high frequency hearing loss. Therefore, the HP condition was eliminated  
136 for the unaided and aided conditions without the CI but was administered in the unaided  
137 and aided conditions using the CI.

138 A practice trial was provided to ensure (i) understanding of the test protocol and  
139 (ii) that the stimuli were audible. Subjects were instructed to look at a red dot on the  
140 center speaker (speaker #7) at midline until a stimulus was presented. Subjects were  
141 monitored via a webcam to ensure that they looked at the mid line prior to presentation  
142 of the stimuli. Each subject identified the speaker of the sound source by pushing a  
143 button on a numbered keypad corresponding to the number of the loud speaker. They  
144 were instructed to look at the red dot as soon as they pressed the enter button so that  
145 they would be looking at midline when the next stimulus was presented. During the  
146 practice trial stimuli were presented in consecutive order beginning with speaker #2 and  
147 stopping at speaker #12. Subjects were able to repeat the practice condition as many  
148 times as needed to feel comfortable with the test and using the keypad. Prior to the  
149 actual sound source localization test each subject was reinstructed that the sounds  
150 would be presented randomly from any speaker and not in order as in the practice test.

151

## Results

152 RMS error in degrees was calculated after Rakerd and Hartman (1986) using the  
153 D statistic. Chance performance was calculated using a Monte Carlo method of 100  
154 runs of 1000 Monte Carlo trials. Mean chance performance was 73.5° with a standard  
155 deviation of 3.2° for the three noise stimuli.

156 To provide a reference level of sound source localization accuracy, i.e., for  
157 normal hearing listeners, we have used data from Yost et al. (2013). The listeners in  
158 that study were tested in the same room and with the same stimuli as the patients in the  
159 present study.

160 Because hearing asymmetry is known to affect sound source localization (Moore  
161 1996; Simon 2005), the hearing preservation group was divided into two groups for all  
162 statistical analyses -- patients with symmetrical, LF hearing at 250Hz and those with  
163 large asymmetries at 250Hz.

164 The results for the normal hearing listeners and the two groups of hearing  
165 preservation patients are shown in Figure 2 and in Table 3.

166 **Patients with symmetrical hearing loss.** For the eight patients in this group,  
167 the mean sound source localization accuracy in the combined condition (CI + bilateral  
168 hearing aids) for the LP, HP and WB stimuli were 23, 58 and 33 degrees of error,  
169 respectively. A repeated measures ANOVA revealed a main effect for conditions  
170 ( $F_{2,23}=19.6$ ,  $p=.0006$ ). Post tests (Holm-Sidak) indicated that (i) the scores in the LP  
171 condition differed from those in the HP condition, (ii) the scores in the HP condition  
172 differed from those in the WB condition and (iii) that the scores in the LP condition did  
173 not differ from those in the WB condition – although the mean scores suggest poorer

174 performance in the WB condition. We return to this issue in the section on the effect of  
175 hearing aids on performance.

176 **Patients with asymmetrical hearing loss.** For the four patients in this group,  
177 the mean sound source localization accuracy for the LP, HP and WB stimuli in the  
178 combined condition was 76, 60 and 50 degrees of error, respectively. Both aided and  
179 unaided results for the low passed condition were at chance levels of performance for  
180 all four listeners. The small number of listeners precluded a useful statistical evaluation  
181 of the differences in mean scores. However, inspection of Figure 2 reveals that none of  
182 the patients in the asymmetrical hearing loss group performed as well as the patients in  
183 the symmetrical hearing loss group when the stimulus was a low-pass noise signal, i.e.,  
184 the signal that maximized the availability of ITD cues.

185 **Effect of hearing aids and CIs on sound source localization.** This analysis  
186 compares the performance of patients who showed symmetrical low-frequency hearing  
187 loss (i) with and without amplification for their acoustic hearing and (ii) with and without  
188 the CI. The signals were the LP and WB noise signals. The results are shown in Table  
189 4. Inspection of Table 4 indicates that, for the LP signal, neither amplification nor the  
190 use of a unilateral CI significantly altered sound source localization performance, i.e., all  
191 mean error scores were between 19 and 23 degrees.

192 A similar inspection of Table 4 for the WB stimulus suggests a different outcome.  
193 For this stimulus, the presence of a unilateral CI did not alter the mean error scores, but  
194 amplification did. In Figure 3, the scores from the WB unaided condition, (without and  
195 with CI) and for the WB aided condition, (without and with CI) are plotted. Performance

196 in the aided and unaided conditions differed significantly: Aided = 33 degrees of error,  
197 Unaided = 22 degrees of error ( $t_{15} = 3.562$ ,  $p. = 0.0038$ ).

## 198 **Discussion**

199 The present study has replicated and extended the work of Dunn et al. (2010).  
200 These authors reported for patients with shallow (10 mm) electrode arrays that (i) the  
201 mean sound source localization error, to spectrally and temporally complex signals, was  
202 about 25 degrees and (ii) that the presence of a unilateral CI used in conjunction with  
203 bilateral LF acoustic hearing did not detrimentally alter sound source localization  
204 accuracy. We have obtained similar outcomes for patients with deeper electrode  
205 insertions (nominally 16-24 mm). We find a mean sound source localization error of 33  
206 degrees for a wideband stimulus and no deleterious effect of a unilateral CI combined  
207 with bilateral LF acoustic hearing.

208 **ITDs and sound source localization to the LP stimulus.** In the work reported  
209 here, the LP stimulus served to reduce the possibility that ILD cues were used for sound  
210 source localization. That is, over the range 200- 500 Hz, maximum ILDs are small --  
211 from 3-6dB (e.g., Shaw, 1974). It is reasonable to suppose that the performance of the  
212 patients with symmetrical low-frequency hearing loss in the combined condition  
213 (bilateral HAs + one CI), e.g., 23 degrees of error, reflects use of ITD cues. The poorer-  
214 than-normal performance is consistent with the Gifford et al. (2013) report of ITD  
215 thresholds for this group that were significantly higher (i.e., poorer) than normal.

216 **LF symmetry and sound source localization ability.** Our research extends  
217 the work of Dunn et al. (2010) by documenting that large asymmetries in LF hearing  
218 between ears has a detrimental effect on sound source localization accuracy. Listeners  
219 with asymmetrical LF hearing showed sound source localization to the LP stimulus in

220 the combined condition that was near the level of chance performance and the mean  
221 level in response to the WB stimulus was 50 degrees of error. One practical  
222 consequence of these outcomes is that, before surgery, patients should be told that  
223 they will localize reasonably well, following surgery, only if there is minimal additional  
224 hearing loss in the operated ear. More work is necessary to quantify the degree of  
225 symmetry that is necessary for the level of sound source localization accuracy shown in  
226 this study.

227 **Hearing aids impair sound source localization performance for WB stimuli**  
228 **but not LP stimuli.** We have found that sound source localization errors in response to  
229 the WB stimulus were larger by about 10 degrees in conditions where amplification was  
230 provided for acoustic hearing than in conditions in which amplification was not provided.  
231 In contrast, errors in response to LP stimuli were not affected by the presence of  
232 amplification.

233 Our data do not speak to the mechanisms underlying the poorer performance  
234 using the WB stimulus in the amplified test conditions (see and contrast localization  
235 results by Boymans et al. 2008; Kobler and Rosenhall, 2002 and Van den Bogaert et  
236 al., 2006 for patients with and without conventional hearing aids). However, given the  
237 steeply sloping hearing losses and poor thresholds above 500 Hz, it is likely that dead  
238 regions were present (Zhang et al., 2014) and amplification into dead regions could  
239 distort relevant information for localization (e.g., Moore, 2004). Finally, we note that  
240 outside of the laboratory, the patients were accustomed to listening to WB stimuli with  
241 amplification and with a single CI. This, however, was not the condition that allowed the  
242 best sound source localization performance. Because sound source localization was

243 best in test conditions that were relatively ‘unpracticed’, i.e., those without amplification,  
244 we suspect that amplification was indeed detrimental to sound source localization  
245 ability.

246 All of our subjects used different hearing aids on each ear – that is, they used a  
247 hearing aid coupled to the processor on their CI ear and used a conventional BTE on  
248 their contralateral ear. More research needs to be conducted to determine whether  
249 other schemes for amplification would produce different results.

## 250 **Summary**

251 Hearing preservation patients with symmetrical LF acoustic hearing coupled with  
252 a single CI are able to locate sound sources on the horizontal plane, in the most  
253 favorable test conditions, with approximately 20 degrees of error. Test performance, in  
254 response to LP stimuli, suggests that the patients were using ITD cues for sound source  
255 localization. The presence of a unilateral CI combined with bilateral, LF acoustic  
256 hearing does not impair sound source localization accuracy, but amplification for  
257 acoustic hearing can be detrimental to sound source localization accuracy. Finally,  
258 patients with asymmetrical LF hearing loss show much poorer results than patients with  
259 symmetrical LF hearing.

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261

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316 Table 1. Thresholds in dB by frequency (Hz) for each hearing preservation subject.  
 317 Thresholds are listed for the implanted/unimplanted ears. NR = no response at  
 318 equipment limits >120dB. An asterisk denotes listeners with asymmetric hearing.  
 319

<b>Subject</b>	<b>125Hz</b>	<b>.25kHz</b>	<b>.5kHz</b>	<b>.75Hz</b>	<b>1kHz</b>	<b>2kHz</b>	<b>4kHz</b>
2	40/45	50/40	65/50	70/60	80/70	100/75	NR/85
3	40/35	50/35	65/45	80/55	90/60	NR/NR	NR/NR
4	10/30	30/40	80/70	85/85	90/80	105/90	NR/NR
5	30/35	20/20	50/30	65/50	70/55	110/90	115/100
6	30/30	30/25	50/30	65/50	85/65	120/115	120/120
11	15/15	15/15	60/65	85/90	95/100	NR/NR	NR/NR
12	5/0	10/5	40/30	90/70	100/100	NR/110	NR/NR
13	35/20	40/25	55/40	70/45	80/60	100/100	105/90
7*	50/10	55/10	75/10	90/30	115/50	115/105	115/110
8*	65/20	80/20	80/35	110/35	NR/55	NR/95	NR/NR
9*	50/25	70/20	80/45	95/70	95/80	115/105	NR/115
10*	70/10	60/10	85/15	110/35	NR/60	NR/85	NR/85

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323 Table 2  
 324 Demographic information for hearing preservation users. ME = MED-EL. CC = Cochlear  
 325 Corp.

Subj	Age	Gender	Age HL onset (in years)	Processor/ HA	Years of CI Use	CI Ear/ Device	Strategy	# Active Channels/ # Available Channels	Frequency Allocation in Hz	Etiology of Deafness
2	68	M	27	Tempo+Duet/ Widex	5	L/MED-EL Pulsar EAS	CIS	10/12	500-8500	Unknown
3	67	M	21	Tempo+Duet/ Phonak	1	R/ ME Sonata EAS Flex	CIS	10/12	500-8500	Noise Exposure
4	39	F	14	Tempo+Duet/ Tempo+Duet	1	R/ ME Pulsar EAS Flex	CIS	12/12	300-8500	Unknown
5	79	M	40	Freedom/ Phonak	2	R/CA Hybrid L24	ACE	18/24	1188-7938	Hereditary
6	55	F	40	Freedom/ Phonak	2	R/CA Hybrid L24	ACE	18/24	1188-7938	Unknown
7	70	M	42	Freedom/ Widex	1.6	L/CA Hybrid L24	ACE	18/24	1188-7938	Hereditary
8	64	M	20	Opus 2 Duet/ Danalogics	6	L/ME Pulsar Medium	FSP	10/12	690-8500	Hereditary
9	69	F	47	Opus 2/ Phonak	1	R/ ME Sonata Flex	FSP	10/12	100-8000	Hereditary

10	47	F	32	Freedom/ Phonak	3	R/CA Hybrid L24	ACE	18/24	1188- 7938	Unknown
11	35	M	5	Opus 2/ Unaided	2	L/ ME Sonata Medium	FSP	11/12	332-7500	Unknown
12	50	F	32	Freedom/ Phonak	3	R/CA Hybrid L24	MP12	20/24	1188- 7938	Hereditary
13	62	F	52	Tempo+Duet/ Phonak	2	L/ ME Sonata EAS Flex	CIS	12/12	500-8500	Viral Infection

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344 Table 3. Mean rms error and standard deviations for localization performance in  
345 response to WB, LP and HP stimuli for three groups of listeners in the combined  
346 condition (CI + bilateral hearing aids).

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	Wideband	Low Pass	High Pass
Normal hearing	5.98 (2.72)	6.95 (1.95)	6.70 (2.61)
Hrg Pres: Symmetrical	33.03 (8.38)	23.32 (9.84)	57.77 (20.52)
Hrg Pres: Asymmetrical	49.83 (14.32)	76.48 (20.64)	60.31 (12.27)

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351 Table 4. RMS errors for LP and WB stimuli\_for hearing preservation listeners with  
352 symmetrical LF hearing in the unaided and aided conditions with and without the CI.  
353 Standard deviations are in parentheses.

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	LP	WB
Unaided without CI	18.88 (6.0)	21.36 (12.19)
Unaided with CI	22.73 (12.08)	23.01 (11.5)
Aided without CI	20.33 (7.35)	32.52 (8.2)
Aided with CI	23.32 (9.8)	33.03 (8.38)

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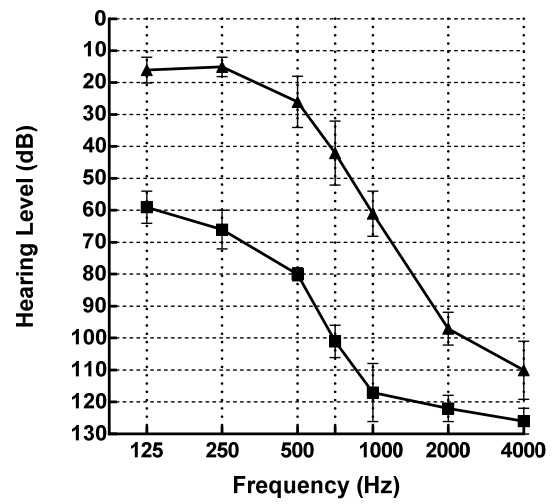
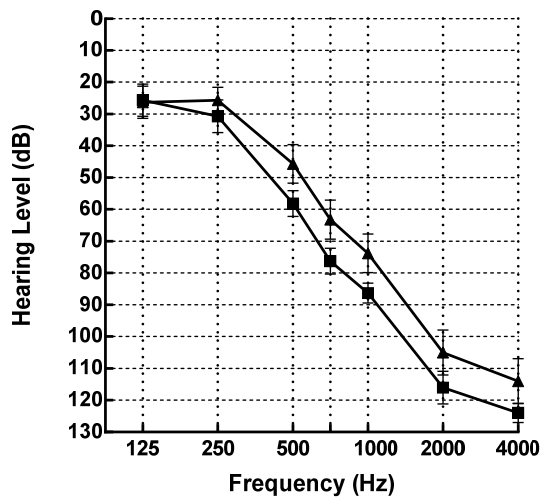
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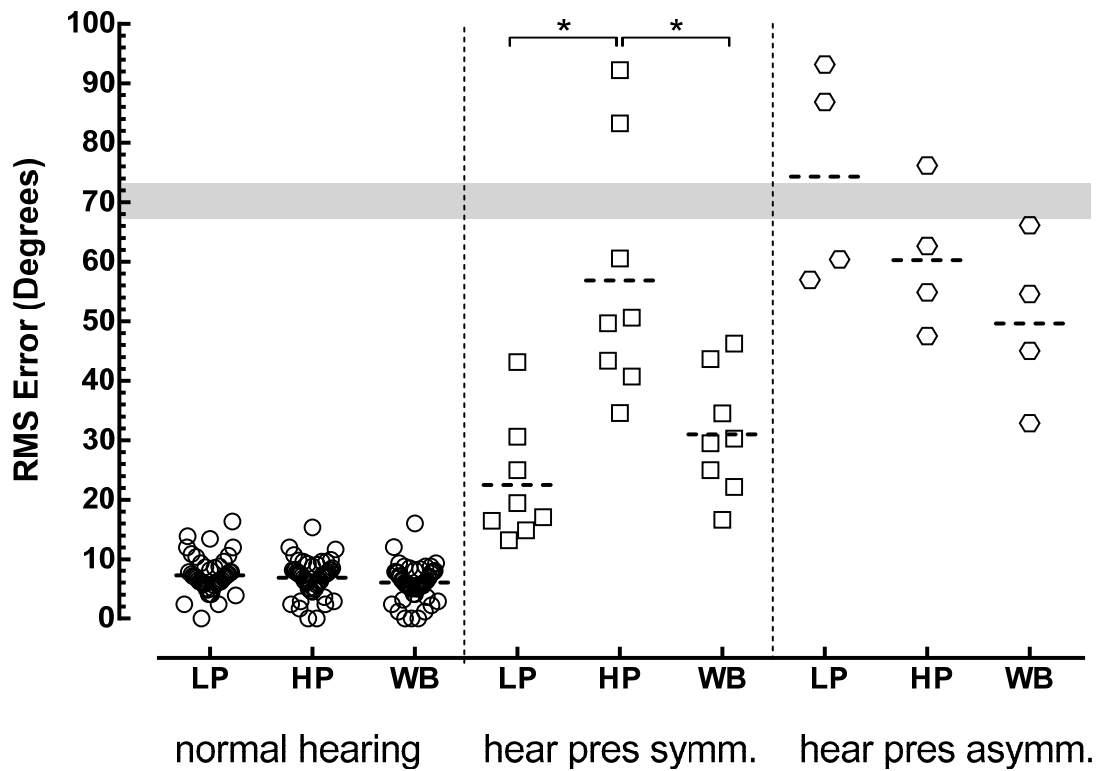
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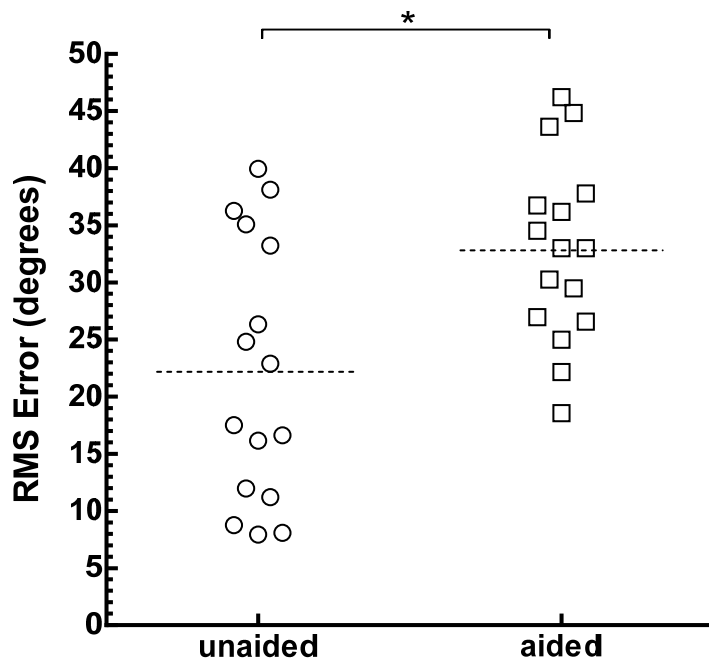
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Figure 1, left. Mean audiometric thresholds for the hearing preservation patients with symmetric, low frequency hearing, n = 8. Squares indicate thresholds for the implanted ear. Error bars indicate +/- 1 SEM. Figure 1, right. Mean audiometric thresholds for the patients with asymmetric, low frequency hearing, n = 4.



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 371 Figure 2. Localization error as a function of spectral content for normal hearing listeners  
 372 and for hearing preservation listeners with symmetric (symm) and asymmetric (asymm)  
 373 low-frequency hearing in the combined condition (bilateral HA + CI). The gray bar  
 374 represents +/- one standard deviation for chance performance. The dotted horizontal  
 375 lines indicate mean scores. The vertical dotted lines are included to facilitate visual  
 376 segregation of the data from the three listener groups. Key: \*  $p < .01$   
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Figure 3. Localization error for hearing preservation patients with symmetrical LF hearing loss in the unaided and aided test conditions. Each patient in each group contributed two scores. The unaided condition consists of responses when tested with a unilateral CI and one without a unilateral CI. The bilaterally aided condition consists of listening with and without the CI for each listener. Key:  $p < .01$