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Long-chain Omega-3 Fatty Acids and Optimization of Cognitive Performance

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Abstract

Low consumption of the omega-3 fatty acids, eicosapentaenoic (EPA) and docosahexaenoic acids (DHA), is linked to delayed brain development and, in late life, increased risk for Alzheimers Disease. The current review focuses on cognitive functioning during mid-life and summarizes available scientific evidence relevant to the hypothesis that adequate dietary consumption of the long-chain, omega-3 fatty acids is necessary for optimal cognitive performance. Taken together, the findings suggest that raising the currently low consumption among healthy adults may improve some aspects of cognitive performance. Nonetheless, evidence from randomized clinical trials is comparatively sparse and leaves unclear: a) whether such effects are clinically significant, b) whether effects of EPA and DHA differ, c) which dimensions of cognitive function are affected, d) the dose-response relationships, or e) the time course of the response. Clarification of these issues through both laboratory and clinical investigations is a priority given the broad implications for public health, as well as for military personnel and other positions of high performance demand and responsibility.

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Keywords

omega-3 fatty acids; eicosapentaenoic (EPA) and docosahexaenonic acids (DHA); cognitive function; memory; executive function; psychomotor performance

INTRODUCTION

New discoveries are constantly expanding the known actions of the long-chain, omega-3 fatty acids, eicosapentaenoic and docosahexaenonic acids (EPA, DHA), from basic biological chemistry through human physiology and pathophysiology¹⁻³. Particularly since 2000, many reports have shed light on the potential roles of these compounds in preventing and ameliorating diseases of the central nervous system⁴. EPA and DHA are essential nutrients, which can be synthesized in the human body from α -linolenic acid (ALA). However, ALA cannot be synthesized by humans; most persons in the US have low dietary intakes of these fatty acids with the main source being direct consumption of EPA and DHA from marine oils in seafood. As shown in lower mammals, eliminating EPA and DHA from the diet dramatically lowers brain concentration and produces a range of behavioral abnormalities⁵⁻⁷.

From the last trimester through the second year of life, the human brain undergoes very rapid growth and during this period is particularly susceptible to nutritional deficiencies. DHA is the most prevalent fatty acid in brain membrane phospholipids; its accretion is very rapid early in life and depends upon maternal delivery across the placenta and via breast milk^{5, 8}. Several large cohort studies have shown that infants of mothers reporting low perinatal maternal fish consumption have low early childhood intelligence and increased risk of suboptimal outcomes for prosocial behavior, fine motor, communication, and social development scores^{9, 10}. Randomized clinical trials (RCTs) suggest that supplementing the diets of either pregnant mothers or infants with DHA improves cognitive development¹¹⁻¹⁵.

At the other end of our lifespan, Alzheimer's Disease (AD) is an increasingly common and costly condition, and nutritional intervention is being proposed as a preventative or therapeutic modality. A series of cross-sectional, prospective and case-control studies implicates low consumption of fish or marine fats as a risk factor for AD¹⁶. Cell culture studies consistently show that DHA decreases beta amyloid and apoptosis while rodent models of AD are favorably affected by DHA supplementation¹⁶. Not surprisingly, this research has spurred funding of RCTs to test the efficacy of EPA and DHA as preventative or therapeutic agents for AD. While early, preliminary results have not demonstrated clear benefit¹⁶, several large trials are ongoing.

The apparent importance of the long-chain omega-3 fatty acids in early brain development and their potential utility in AD late in life begs the question of their role in adult brain health and in the "natural history" of cognitive performance across the lifespan (Figure 1). Most Americans and much of the developed world consume less than 200 mg per day of EPA and DHA¹⁷. Are such low quantities sufficient for optimal brain function and, in particular, cognitive performance? Cognitive abilities are the primary distinguishing feature of humans relative to non-human primates and lower mammals, and modern society both

capitalizes upon and taxes those cognitive abilities. Does low dietary consumption of marine oils limit or constrain aspects of cognitive performance, under what circumstances, and if so, are these decrements ameliorated by relatively short periods of increased EPA and DHA intake?

The aim of this review is to summarize: a) laboratory and other preclinical evidence for the roles of EPA and DHA, particularly within the brain, b) observational data gathered from largely non-patient samples linking these fatty acids with normative cognitive functioning, and c) randomized clinical trials that attempt to experimentally document a causal relationship between EPA and DHA consumption and cognitive performance in generally healthy adults. Finally, this evidence is discussed in terms of its applicability to the general public and to military personnel.

PRECLINICAL RESEARCH AND POTENTIAL MECHANISMS

The polyunsaturated fatty acids (PUFA) are relatively unique among bioactive compounds in the breadth of their roles within cells and across bodily systems. Reviewed in greater detail elsewhere^{5, 16, 18-21}, the multiple actions of the omega-3 fatty acids, EPA and DHA, in biological chemistry establishes their importance generally to health and disease and, therefore, the biological plausibility of various sequelae of nutritional deficiency. However, this same breadth of actions challenges any attempt to explicate the particular mechanism for any specific health or behavioral outcome.

ROLES IN BIOLOGICAL AND NEUROCHEMISTRY

Phospholipids are the basic building block of cell and organelle membranes, and a PUFA occupies the middle or sn-2 position of the glycerol backbone of most phospholipids. DHA and the n-6 fatty acid, arachidonic acid (AA), are highly concentrated in brain phospholipids, and DHA edges out AA as the most prevalent PUFA in human brain grey matter and synaptic membranes^{19, 22}. As a highly-unsaturated fatty acid, DHA increases the fluidity of cell membranes relative to other PUFA. Fluidity is a physicochemical property of membranes that modulates the location and activity of membrane-bound proteins, including enzymes, ion transporters, and neurotransmitter receptors²³. In a psychiatric patient sample, 4 weeks of moderate-to-large doses [range] of EPA and DHA increased neural membrane fluidity, as measured by water proton transverse relaxation (T₂)²⁴.

From their repository site in membrane phospholipids, AA, EPA and DHA are released by phospholipases in response to various stimuli. The PUFA then participate in signal transduction via the phosphoinositol-3 and cyclic adenosine monophosphate pathways¹⁸. In addition, AA and EPA are precursors to eicosanoids -- families of prostaglandins, thromboxanes and leukotrienes regulating inflammation, vasomotion and hemostasis. Here, AA-derivatives are pro-inflammatory while EPA-derived eicosanoids are relatively anti-inflammatory. Although EPA exists in substantially lower concentrations than AA, increased EPA availability competes with, and reduces the production of, AA-derived eicosanoids. Additionally, EPA and DHA serve as precursors of resolvins which also serve to temper inflammation, vasoconstriction and thrombogenesis²⁰. Most recently, DHA has been shown to form nitro-fatty acids that are reactive electrophilic species which modulate

cellular redox status, protein activity and electrophile-sensitive gene expression^{20, 25}. This hypothesized role in oxidative stress receives corroboration from a study of schizophrenic patients randomized to 12 weeks of EPA supplementation or placebo. Using magnetic resonance spectroscopy to estimate metabolite levels, Berger and colleagues found that EPA increased glutathione in the temporal lobes bilaterally²⁶. Cellular aging indexed by telomere length is predicted by omega-3 fatty acids. Namely, among 600 adults, Whooley and colleagues found an inverse relationship between baseline blood levels of marine omega-3 fatty acids and the rate of telomere shortening over 5 years²⁷.

EFFECTS ON NEURAL TISSUE STRUCTURE AND FUNCTION

Acting through the preceding mechanisms and likely others yet undiscovered, the long-chain omega-3 PUFA exert neurotrophic effects. These include promotion of neurogenesis, dendritic arborization, selective pruning and myelination⁵. Elaboration of these effects have revealed changes in production of brain-derived neurotrophic factor and myelin proteins, activation of syntaxin-3, or the role of DHA in membrane growth^{5, 28-31}. Our preliminary examination of brain morphology in relation to self-reported fish intake among healthy mid-life adults found that those with high consumption had greater gray matter volume in several corticolimic structures (Figure 2)³².

In a complementary fashion, neural tissue degeneration may be slowed by neuroprotective or anti-apoptotic effects of DHA. This may occur via promotion of cell survival by neuroprotectin D1 (a product of DHA), or inhibition of caspase-3¹⁶. Indirect evidence suggests that dietary EPA and DHA preserves neural tissue in humans. Among 2,300 older adults who completed a food frequency questionnaire as well as baseline and 5-year brain imaging, consumption of tuna and other baked or broiled fish was associated in covariate adjusted models with fewer sub-clinical infarcts and better white matter grade, but not with sulcal or ventricular grade³³. A similar prospective study quantified circulating omega-3 fatty acid in plasma and found that higher omega-3 content was associated with lower prevalence of subclinical infarcts and better white matter grade, but not with incident subclinical infarcts or markers of brain atrophy³⁴. Finally, Pottala and colleagues reported that among 1,111 post menopausal women higher red blood cell EPA+DHA, though unrelated to subclinical infarcts, corresponded to larger total brain and hippocampal volumes³⁵.

Additionally, omega-3 fatty acids supply may moderate regional brain metabolism. Rats deprived of omega-3 PUFA manifest regional decreases in glucose utilization, possibly due to reduced production of the glucose transporter^{36, 37}. Here again, preliminary data from humans find that cerebral glucose metabolism in limbic system structures correlates with plasma DHA in medication free depressed patients³⁸.

The long-chain omega-3 fatty acids affect endothelial function³⁹ and supplementation lowers resting blood pressure⁴⁰. Thus, it may be postulated that these compounds may affect brain function via effects on regional cerebral blood flow. Here, a clinical study has found that 12 week supplementation with DHA, but not EPA, increased concentrations of

oxygenated and total hemoglobin (measured with functional near IR spectroscopy) during cognitive tasks⁴¹.

Logically, the foregoing cellular and physiologic roles of PUFA may be expected to affect neurotransmission, either generally or selectively. Studies in rodents find that withholding omega-3 PUFA results in decreased serotonin turnover and dopaminergic neurotransmission^{30, 42}. Some evidence from clinical studies also supports connections between the omega-3 PUFA and serotonergic and dopaminergic functioning^{4, 43-45}, whereas a small fish oil supplementation experiment found no effect on striatal vesicular monoamine transporter type 2⁴⁶.

PSYCOPHYSIOLOGIC MECHANISMS

The autonomic nervous system has long been known to be involved in the orienting response (i.e., slowing of heart rate with exaggerated sinus arrhythmia, slowed respiration, and pupillary dilation), which in turn facilitates attention and learning⁴⁷. Such physiologic responses characterize parasympathetic activation and can be contrasted with responses to intense or threatening stimuli which activate the sympathetic nervous system causing cardiac acceleration, tachypnea and pupillary constriction. The balance between these modes of autonomic and neuroendocrine activation affects cognitive performance. Indeed, an inverted U-shaped relationship appears to exist between degree of psychological stress and memory performance, with some role possibly played by glucocorticoid-mediated effects on the hippocampus and prefrontal cortex⁴⁸.

Accordingly, it is noteworthy that epidemiologic studies and randomized clinical trials indicate that raising dietary intake of the long chain, omega-3 fatty acids increases parasympathetic cardiac control, as reflected in slower heart rate and greater heart rate variability in both infants and adults^{47, 49-53}. Fish oil supplementation may also moderate heart rate and blood pressure responses to psychological stress and exercise⁵³⁻⁵⁷. Preliminary evidence suggests that the omega-3 fatty acids may affect the adrenocortical axis^{56, 58}. Thus, tempering autonomic and neuroendocrine stress responses may have salutary effects on cognitive functioning⁴⁷.

Finally, mood or affect can influence cognitive abilities. For example, depressed mood and anxiety can reduce attention, working memory, episodic memory and executive function⁵⁹. Therefore, any effects of omega-3 fatty acids on mood could, secondarily, change aspects of cognitive performance. A series of observational studies and randomized clinical trials of clinical depression generally suggest that, compared to low intake of EPA and DHA, high or raised consumption ameliorates depression^{60, 61}. The clinical trial research finds that these mood effects specifically follow supplementation with EPA alone or in combination with DHA⁶⁰. Additionally, individual differences in depressive symptoms among generally healthy samples of adolescents, mid-life adults and the elderly are associated with tissue or circulating levels of omega-3 fatty acids, particularly low EPA^{32, 62-64}. This association may extend to neuroticism, the personality trait characterizing a person's propensity to experience negative affect^{32, 63, 65}. However, presently there is limited evidence from

randomized trials that fish oil supplementation either reduces negative affect or increases positive mood states in non-patient samples⁶⁶.

EFFECTS ON COMPONENT COGNITIVE PROCESSES

To the extent that the omega-3 PUFA affect cognitive performance, they may do so generally or, more likely perhaps, via relatively discrete aspects of cognitive functioning. Most cognitive tests (and daily life duties) require an individual to attend to or focus upon the task at hand. Therefore, general attentional skills, if lacking, can manifest as diffuse decrements in cognitive performance. Research with non-human primates points to effects of dietary omega-3 fatty acids on attention⁶⁷. Clinically, the syndrome of attention deficit disorder is common in childhood and can persist throughout life. A number of randomized clinical trials have found that fish oil supplementation is efficacious in patients with attention deficit disorders^{68, 69} and we found that circulating levels of omega-3 PUFA are related to self-reported impulsivity in non-patient samples^{65, 70}.

The acquisition, retention and retrieval of new, discrete information, sometimes referred to as “learning and memory” or “episodic memory” is another basic facet of cognitive functioning which has been examined in laboratory studies of omega-3 fatty acids. Generally, dietary deprivation results in poor learning ability and these decrements resolve with repletion of omega-3 fatty acids^{71, 72}.

Other fundamental components of cognition performance include processing speed (including psychomotor speed and general mental efficiency) and executive functioning (consisting of working memory, response inhibition, problem-solving and planning). Here, the above discussed effects of EPA and DHA on brain chemistry, structure and function could well manifest in altered performance in these domains, but awaits closer examination with animal models^{7, 73, 74}.

COGNITIVE PERFORMANCE AS A FUNCTION OF FISH AND OMEGA-3 INTAKE – OBSERVATIONAL STUDIES

Observational studies of moderate to large numbers of individuals provide reasonable data in which to test that hypothesized association between dietary consumption of long-chain, omega-3 fatty acids and cognitive functioning. Cognitive abilities increase with brain maturation until at age 20-30, plateau and then begin a gradual decline at age 40-50 (Figure 1). Thus, childhood/adolescence and late-life aging are periods of changing cognitive performance that lend themselves to prospective studies of performance change. During middle adulthood cognitive abilities are stable and prospective studies have little advantage over cross-sectional analyses. In all observational studies, there exists substantial risk of confounding by other health and sociodemographic factors.

Some observational studies use dietary questionnaires to quantify fish or EPA and DHA consumption, whereas others directly measure fatty acids in blood samples. The former approach has the widely recognized limitations of general imprecision along with tendencies toward biased recall. Measuring blood levels both provides a more directly quantified

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biomarker of dietary habits and also an index of supply of individual fatty acids to the brain. Even so, blood levels of EPA and DHA do not correlate highly with dietary measures, have not been well-validated against tissue levels in humans^{75, 76}, are affected by non-dietary factors related to fatty acid metabolism and pharmacokinetics, and have uncertain stability over time.

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As noted earlier, pre- and early post-natal brain and behavioral development appears to be improved by provision of long-chain fatty acids. In a study school children living in the US, polyunsaturated fatty acid intake was associated with better attention and working memory performance⁷⁷, but findings germane to omega-3 fatty acids were not reported specifically. Observational studies of the role of EPA and DHA on cognitive development during childhood remain too limited to allow any conclusions⁷⁸.

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Brain maturation is being completed during the late teenage years, and a single, large study has examined during this stage of brain development the relationship between dietary omega-3 fatty acid intake and cognitive performance⁷⁹. Approximately 4,000 Swedish males aged 15 years completed a dietary questionnaire in conjunction with their parents and then underwent military conscription IQ testing at age 18. Using as a referent fish intake less than once a week, a statistically strong and graded association was found between higher fish consumption at age 15 and global IQ, verbal and visuospatial scores at age 18. Attempts to control for parental education and SES did not substantially attenuate the findings.

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Several reports provide some data on polyunsaturated fatty acids in blood samples in relation to cognitive functioning in young and middle-aged adults. The first enrolled 54 women with a mean age of 30 years and administered four neuropsychological tests assessing verbal memory, response inhibition and general psychomotor speed on four occasions over five months⁸⁰. EPA and DHA were unrelated to baseline performance indices, whereas performance improvement over 5 months on one test was *inversely* related to DHA levels. In contrast, in 280 healthy volunteers between 30 and 54 years of age phospholipid DHA was directly proportional to non-verbal reasoning, working memory, executive function and vocabulary⁸¹ [Figure 3]. Cognitive performance was not reliably related to EPA or to alpha-linolenic acid, the 18-carbon precursor of EPA and DHA. This latter study covaried age, gender, race and blood pressure, and findings relating DHA to non-verbal reasoning and working memory persisted with additional adjustment for education and vocabulary (markers of crystallized intelligence). Finally, among U.S. military servicemembers, red blood cell omega-3 fatty acid levels correlated positively with neurocognitive performance in the domains of cognitive flexibility and executive function but not processing speed, complex attention or reaction time⁸².

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A larger set of studies has reported findings in the elderly, defined here as samples with a mean age exceeding 55 years. Three cross-sectional studies are available in which investigators tested associations between self-reported fish intake and cognitive performance assessed with multi-component neuropsychological batteries⁸³⁻⁸⁵. Each included adjustments for potentially confounding health and socioeconomic factors. Kalmijn and colleagues found evidence that fish intake is primarily related to psychomotor speed rather than episodic memory and mental flexibility⁸³. Analyses of baseline data from the OPAL

trial revealed broad associations between fish consumption and multiple dimensions of cognitive performance which were attenuated by additional adjustment for psychological symptoms⁸⁴. The third such study also found associations with multiple aspects of cognitive function, and these relationships appeared to plateau as self-reported fish intake increased⁸⁵.

Prospective studies based upon self-reported diet data have followed from 210 to nearly 4,000 elderly participants for three to six years⁸⁶⁻⁸⁸. After adjustment for age, gender, alcohol, education and baseline cognitive scores, each study found evidence for slower cognitive decline as a function of higher fish intake.

Among investigations utilizing blood sample analyses, Whalley and colleagues⁸⁹ reported that even with adjustment for childhood IQ, total omega-3 fatty acids -- DHA in particular -- was associated with overall cognitive function and four year cognitive decline⁹⁰. Other prospective studies find that the greater the sum of circulating long-chain omega-3 fatty acids the smaller the observed decline in cognitive performance⁹¹, with psychomotor speed and executive function most, and memory least, related to omega-3 levels in older persons^{92, 93}. However, a recent large study of elderly women found no such associations⁹⁴.

COGNITIVE PERFORMANCE AS A FUNCTION OF FISH AND OMEGA-3 INTAKE – RANDOMIZED CLINICAL TRIALS

RCTs can provide the final and most compelling evidence for cognitive benefits from the long-chain, omega-3 fatty acids. Here, participants meeting set enrollment criteria are randomly assigned to supplementation with a fixed dose of omega-3 fatty acids or a matching placebo. Objective tests of cognitive functioning are administered at baseline and again at the completion of the supplementation period. Changes in performance scores among supplemented subjects are then compared to those observed in the placebo-treated groups. Placebo-treated groups are critical since repeated test administration can result in improved scores simply due to practice effects and, alternatively, among older subjects performance scores can worsen over time due to age-related cognitive decline.

Two reports of randomized clinical trials in 6-10 year-old school children (one in well-nourished and one in “marginally nourished” participants) found no cognitive benefits from low dose supplementation – roughly 100 mg of EPA and DHA per day^{95, 96}. A third trial conducted in children of low socioeconomic status found that giving 280 mg/day of EPA and DHA improved verbal memory scores⁹⁷.

The principle features and findings of RCT enrolling mid-life adults are provided in Table 1⁹⁸⁻¹⁰², whereas trials in elderly but non-demented adults are discussed separately below¹⁰³⁻¹¹³. The goal here is to tabulate evidence from RCT of generally-healthy adults rather than persons with neuropsychiatric disorders, as the results of this findings are most informative regarding the effects of omega-3 fatty acids in the population at large. In Table 1, we have included a trial of individuals reporting depressive symptoms because such symptoms are common in the general population and, in this RCT, the enrolled subjects did not appear to have a major depressive disorder and none were on medication or behavioral therapy.

These five RCTs tested the potential cognitive effects of increased consumption of omega-3 fatty acids in generally healthy persons during middle-adulthood. Each was conducted in Western Europe or New Zealand, was small-to-moderate in size, and enrolled predominantly women. The supplementation dose was between 500 and 2400 mg per day of EPA and DHA, and treatment duration ranged from four to 26 weeks.

Each trial employed a neuropsychological test battery which assessed several dimensions of cognitive function. These studies variously found that EPA and DHA supplementation improved memory, psychomotor speed and prepotent response inhibition. However, significant intervention effects for specific performance domains were not consistently observed and the majority of statistical comparisons were null. The reports note sporadic auxiliary treatment benefits based on self-reported measures of cognitive processes and affect.

The trial by Antypa and colleagues¹⁰⁰ uniquely examined gambling by asking subjects to chose between set, modest gains (or losses) versus a gamble that could provide larger gains (or losses) or result in no gain or loss. Fish oil supplementation increased the selection of the gambling option to maximize gains without affecting loss-related gambling, suggesting the induction of an optimistic view of potential gains without increasing gambling generally. In Roger et al⁹⁹, subjects were all reporting depressive symptoms at the time of enrollment, and the omega-3 fatty acid supplementation did not affect mood ratings. The latter finding is at odds with apparent efficacy of fish oil as a supplementary treatment for major depression^{60, 61}. EPA, in particular, may have mood elevating effects, suggesting that the comparatively low EPA dose of 630 mg in the Rogers trial may have been insufficient.

The two most recent trials^{101, 102} included the most comprehensive cognitive testing. Stonehouse et al¹⁰¹ found evidence of performance improvement on tests of episodic and working memory with a DHA supplement that varied as a function of gender, whereas Jackson and colleagues¹⁰² found no notable beneficial effects from either DHA-rich or EPA-rich supplementation.

A somewhat larger body of clinical trial evidence addresses the cognitive effects of EPA and DHA supplementation in non-demented, elderly individuals. These data are less relevant to optimizing cognitive functioning in mid-life and, therefore, are summarized here only briefly. Across the reviewed trials, the supplementation dose ranged from 80 to 2300 mg per day, and treatment durations were 13 to 104 weeks. Seven small trials (i.e., 10-70 participants per treatment group) enrolled either healthy elderly volunteers or those with mild cognitive impairment^{103-105, 109-112}. All but one¹¹¹ reported some evidence of cognitive benefit relative to placebo. Among four larger trials, one found improved performance on memory tests¹⁰⁸, one found improved attention performance but only in men and apo E4 carriers¹⁰⁶, and two found no treatment effects relative to placebo^{107, 113}. In one of these latter two trials, one enrolled persons with high fish consumption at baseline¹⁰⁷ and the other used the rather low dose of 400 mg/day of EPA+DHA¹¹³.

Collectively, these clinical trials can be said to provide preliminary evidence of salutary cognitive effects of raising dietary intake of EPA and DHA in adults. Nonetheless, most of

the trials were small, and any benefit was only observed on a subset of administered cognitive tests. Memory, attention, psychomotor speed and response inhibition or impulsivity were variously reported to improve. Meta-analyses restricted to trials conducted with elderly participants have concluded that available evidence does not support the existence of robust benefits from omega-3 fatty acid supplementation^{114, 115}.

Several design issues warrant serious consideration. If one accepts the model that omega-3 fatty acids supplementation may facilitate cognitive performance because these are essential nutrients for normal brain functioning, then it follows that trials should recruit individuals whose customary diet includes only low quantities of EPA and DHA. Many trials make not mention of such restrictions^{98, 103, 105, 109}, or allowed EPA+ DHA consumption up to 800 mg per day, a very high intake by US standards¹⁰⁶.

Trials typically used placebo capsules of a seed or plant oil to assist with blinding. However, depending on the placebo oil chosen, some physiologic or behavioral effects are possible. All five reviewed trials of non-elderly adults (Table 1) used olive oil or oleic acid for a placebo intervention whereas such has been criticized as possibly favorably affecting some neurobehavioral outcomes¹¹⁶. Fish oil capsules cause “fishy belching” in some individuals, particularly with higher doses, very possibly disrupting participant blinding. Two trials attempted to mask this aftertaste with citrus flavoring^{99, 100}; of these, one⁹⁹ reported confirmation of treatment concealment.

Dose size is also an open question. In the U.S., dietary intake of the long-chain omega-3 PUFAs averages 110 mg per day¹⁷, and supplementing 400 mg daily increases circulating levels by 50%¹⁰⁶. Nonetheless, no investigation of cognitive effects has defined either the dose threshold for effect detection or the shape of the dose-response curve. Two dose-ranging studies of affective disorders found benefit from 1,000 mg per day of EPA per day with no incremental benefit from high doses^{117, 118}. If the long-chain omega-3 fatty acids are viewed as essential micronutrients, one might expect a graded physiologic response to low-range doses, with response flattening as the nutritional requirement is met. Across the RCTs of cognitive outcomes reviewed here, doses ranged from 80 to 2400 mg per day. Studies employing doses under 800mg per day generally found no treatment effects^{102, 105, 107, 109, 113}. The single study which compared doses found no substantial difference between 400 mg and 1400 mg per day of EPA and DHA¹⁰⁶.

Most trials gave a combination of EPA and DHA, and whether any effects are attributable one or another is unknown. Based on laboratory investigations and observational studies discussed above, one might postulate that that DHA is the active ingredient, and some of the strongest trial evidence of cognitive benefit from omega-3 fatty acid supplementation was observed with relatively large doses of DHA¹⁰⁸. Interestingly, trials in depression have found mood effects from EPA, but not DHA⁶⁰.

Finally, the time course of any cognitive effects has not been directly studied. Accretion of supplemented fatty acids into tissue membranes extends over several months^{119, 120}. Nonetheless, effects on cognitive and psychiatric symptoms have been reported in just 4-6

weeks^{66, 121} and, in the RCTs summarized here, detection of cognitive effects bears no obvious relationship to treatment duration.

SUMMARY

A well-developed literature of pre-clinical experiments has discovered a myriad of roles and actions of the long-chain omega-3 fatty acids which, when considered in conjunction with epidemiological and observational study evidence, make highly plausible the essentiality of dietary intake of EPA and DHA for normal brain growth and function. Using newer imaging techniques, advances in our understanding of the basic biological chemistry of EPA and DHA appear to translate to measurable phenomena within, and detectable changes to, the adult human brain.

In particular, the findings of these varied investigations indicate collectively that low to nil dietary intake is not conducive to optimal cognitive functioning in mid-life adults, nor perhaps during any stage in the human lifespan. At the same time, the fundamental roles and pleiotropic effects of the long-chain omega-3 fatty acids make difficult – if not preclude – the assignment of particular “pharmacodynamic” actions to specific behavioral or health outcomes. Again in particular, we know little about which aspect of their biological chemistry might be responsible for apparent effects on cognitive functioning, or even whether teasing apart their actions in this context is feasible or necessarily illuminating.

Ultimately, RCTs are needed to provide direct evidence of changes in cognitive performance following “correction” of low dietary intake of long-chain omega-3 fatty acids. To date, RCT findings reveal neither robust benefits nor a clear lack of efficacy. While it is tempting to believe that consuming more long chain omega-3 fatty acids will ameliorate widespread yet mild decrements in aspects of cognitive performance, RCT evidence is still weak and preliminary. As enumerated above, multiple methodological issues have been incompletely addressed. These uncertainties could well result in mixed results from future trials, and methodological differences between trials may help discern in what circumstances increased omega-3 consumption improves cognitive functioning.

With respect to military applications, the role of dietary intake of omega-3 fatty acids on job performance among war fighters has not been directly studied. Nonetheless, available research does have potential implications for the military. Soldiers are required to execute their duties under circumstances that can involve unpredictable environmental stressors, significant physical demands, and threats of personal injury or death. Such varied and sometimes severe stressors challenge one’s ability to make rapid and appropriate decisions and effectively execute orders. To the extent that fish oil supplementation can temper autonomic and neuroendocrine activation to physical and/or psychological stress, attention and cognitive performance may be enhanced. Similarly, raised intake may mitigate either depressive symptoms or impulsive decision-making, and similarly enhance performance among military personnel. Therefore, given the typically low consumption of omega-3 fatty acids among U.S. civilians and suggestive randomized trial evidence to date, it is quite plausible that increasing dietary consumption of omega-3 PUFAs will improve soldier

performance. Military studies of diet manipulation with measurement of technical proficiency or simulated task execution could be conducted to provide direct evidence.

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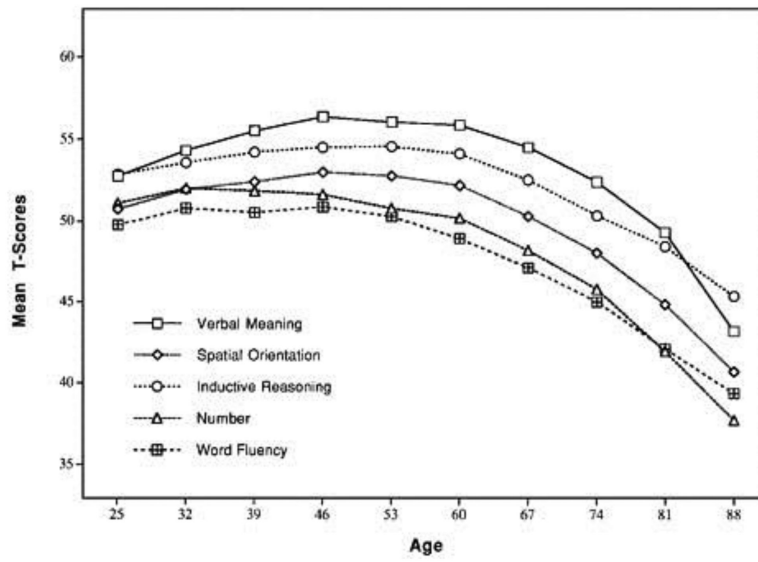


FIGURE 1. Cognitive functioning over the lifecourse. Graph displays mean T scores for markers of primary cognitive abilities, based on longitudinal estimates from 7-year within-subject data. Reproduced from the Seattle Longitudinal Study [1] with permission from the publisher.

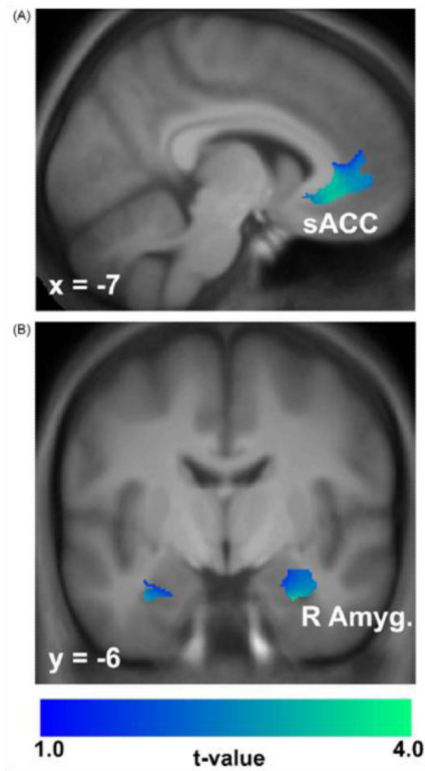


FIGURE 2.

Composite brain magnetic resonance images of 55 healthy adults. Profiled in color are areas of the subgenual anterior cingulate cortex (A) and right amygdala (B) where covariate-adjusted gray matter volume increased as a function of higher omega-3 dietary intake. Color-scaled t-values were derived from a linear regression model of voxel-wise gray matter volume that included age, sex and total gray matter volume as covariates. Reproduced from [2] with permission from the publisher.

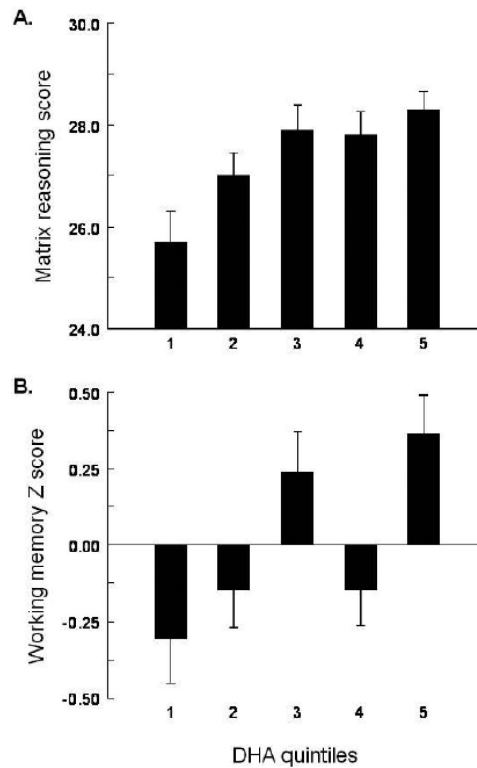


FIGURE 3.

Dose-response relationship between serum phospholipid DHA (mole %) and cognitive performance scores among healthy, mid-life adults. Panels A and B present Wechsler Abbreviated Intelligence Matrix Reasoning and Wechsler Memory Scale Working Memory results, respectively. Values are means, \pm standard error, adjusted for age, sex and race, by DHA quintile ($n=56$ for each). Analysis of variance revealed a significant effect of DHA quintile on matrix reasoning ($P=0.002$) and working memory ($P=0.001$). Reproduced from [3] with permission from the publisher.

Table 1

Randomized trials of cognitive effects of long-chain omega-3 fatty acid supplementation in non-elderly adults

Study	Subject Criteria	Subjects	Duration, supplements	Cognitive measures	Results	Comments
Antypa et al., 2009 [4]	Dutch volunteers who reported eating fish 1x/wk No smoking, no MDD, no meds, 3 drinks/day	N=54 18-27y/o 81% female	4 weeks 1740mg EPA, 250mg DHA vs. olive oil	5 neuropsychological tests measuring psychomotor speed, sustained attention response inhibition, episodic memory, and gambling	Supplementation increased gambling to maximize gain and did not affect other scores.	Found reduction in self-reported control/perfectionism, and cognitive reactivity.
Fontani et al., 2005 [5]	Italian volunteers from non-competitive athletic associations	N=49 22-51 y/o 65% female	5 weeks 1600mg EPA, 800mg DHA vs. olive oil	4 neuropsychological tests measuring psychomotor speed, sustained attention, response inhibition, and working memory	Supplementation improved psychomotor speed and did not affect other scores.	Reported findings may not have taken into account effects of placebo. Supplementation also improved positive and negative mood states.
Rogers et al., 2008 [6]	British general practice patients and community volunteers reporting: a) mild-to-moderate depressive symptoms, b) not taking psychotropic medication, and c) not consuming high quantities of EPA and DHA.	N=218 18-70 y/o 77% female	12 weeks 630mg EPA, 850mg DHA vs. olive oil	5 neuropsychological tests measuring psychomotor speed, sustained attention, response inhibition, and working memory	Supplementation marginally improved response inhibition and did not affect other scores.	No effect on mood ratings. Blood EPA +DHA more than doubled in fish oil group.
Stonehouse et al., 2013 [7]	New Zealand healthy adult volunteers reporting very low EPA+DHA consumption	N=228 18-45 y/o 73% female	26 weeks 1160mg DHA, 160mg EPA vs. high-oleic acid sunflower oil	9 neuropsychological tests measuring episodic memory, working memory, attention and processing speed	Supplementation improved episodic memory reaction time, improved episodic memory performance in women only, improved working memory reaction time in men only. No effects on other scores.	23% drop-out rate No moderation of treatment effects by APOE genotype.
Jackson et al., 2012 [8]	British university students or graduates reporting 1 portion oily fish/week	N=159 18-35 y/o 67% female	12 weeks 450mg DHA+90mg EPA vs. 300mg EPA+200mg DHA vs. olive oil	15 neuropsychological tests (plus a "cognitive demand" battery) measuring episodic and working memory, psychomotor speed, attention, and executive function	DHA-rich supplementation improved one test of reaction time. Both active supplementations worsened scores on one test of episodic memory. Other performance measures were unaffected.	Analyses were based on 140 subjects. No effect on mood ratings.