

FUNCTIONAL DISABILITY IN OLDER ADULTS MAINTAINED ON PERITONEAL DIALYSIS THERAPY

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◆ **Background:** Older in-center hemodialysis patients have a high burden of functional disability. However, little is known about patients on home chronic peritoneal dialysis (PD). As patients opting for home dialysis are expected to play a greater role in their own dialysis care, we hypothesized that a relatively low number of PD patients would require help with basic self-care tasks (ADL) and instrumental activities of daily living (IADL).

◆ **Methods:** We used a cross-sectional study design to measure the proportion of patients aged 65 years and older undergoing outpatient PD who needed help with day-to-day activities. Patients living in nursing homes were excluded from the study. Functional dependence in ADL and IADL tasks were measured by the Barthel and Lawton Scales. Physical performance measures used included the timed up-and-go (TUG) test, chair stands and Folstein mini-mental score (MMSE).

◆ **Results:** A total of 74 of 76 (97%) eligible PD patients participated. Patients had a mean age of 76.2 ± 7.5 years. Thirty-six percent had impaired MMSE scores, 69% were unable to stand from a chair without the use of their arms and 51% had abnormal TUG scores. Only 8 patients (11%) were fully independent for both ADL and IADL activities. Dependence in one or more ADL activity was reported by 64% of participants, while 89% reported dependence in one or more IADL.

◆ **Conclusions:** Impaired physical and functional performance is common in older patients maintained on PD. Collaborative geriatric-renal programs may be beneficial within the dialysis community.

KEY WORDS: Cross-sectional; disability; elderly; functional independence; observational; peritoneal dialysis.

Peritoneal dialysis (PD) is currently used as a chronic life-sustaining treatment by approximately 11% of the global dialysis population, an equivalent of 197,000 end-stage renal disease (ESRD) patients (1). Many are older and have high levels of comorbidity, physical frailty, or sensory impairment. These factors contribute to modality selection and may be perceived as barriers to providing PD care (2–6). Amongst the broader population of all older individuals (and not just those with renal disease), the presence of functional dependency is predictive of a variety of significant clinical outcomes, including hospitalization, the need for increased levels of care, and mortality (7–16). In studies of hemodialysis (HD) patients, disability in basic self-care tasks has been shown to be highly prevalent (17–22). In a single-center cross-sectional study, 95% of patients aged over 65 years of age reported requiring assistance to manage higher-level tasks (shopping, transportation, medication management, housekeeping, meal preparation, laundry, and finances) and over 50% needed help with basic tasks (stair use, bathing, walking, transferring, dressing, grooming, eating, toileting, bowel control, bladder control) (17). Results from the Dialysis Outcomes and Practices Patterns study suggest that functional dependency is seen in HD patients of all ages, but that those of older ages have a higher need for personal care (23). However, the functional status of older individuals on PD has not yet been well-studied. As PD is primarily a self-care dialysis modality that requires basic functional skills to be undertaken independently, we hypothesized that older patients on PD had higher levels of self-care independence compared to patients on HD. The objective of this study was to assess the functional status of older patients on PD.

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MATERIALS AND METHODS

STUDY POPULATION

This study reports data that were collected as part of a longitudinal cohort study examining the burden of falls in older patients undergoing chronic dialysis in 2003-2004. All patients who were aged 65 years or older and undergoing home PD therapy as an outpatient at the University Health Network, Toronto, Canada, were eligible. Patients were excluded if they lived in an institutional care setting (e.g. in long-term care facilities, nursing homes, or equivalent) or were unable or unwilling to provide informed consent. Both patients performing dialysis exchanges independently and those getting assistance with dialysis exchanges were considered eligible. Patients with amputations or with limited mobility were not excluded as they were at risk of falls while transferring from one position to another. Ethics approval was granted by the University Health Network Research Ethics Board (REB # 02-0237-E).

BASELINE DATA COLLECTION

As part of a fall risk assessment, patients underwent a full baseline comprehensive geriatric assessment using previously published standardized protocols (17). Electronic chart records were used to obtain the medical history, cause of ESRD, comorbid conditions and laboratory values at the time of recruitment. Complete medication history of each subject was recorded. All patients were asked to participate in structured interviews to determine living status, functional independence, years of education, and history of falls in the previous 12 months.

Physical performance measures were performed within 2 weeks of recruitment at a time convenient to the patient. Functional mobility was tested using the timed up-and-go (TUG) test (24). In the TUG test, subjects are asked to stand from a chair, walk 10 feet (3 m), and return to the seated position. Completion of the task is timed, in seconds, and scores < 10 s are considered normal. Subjects with scores between 10–15 s were considered as having 'slowed mobility' and those > 15 seconds as 'impaired' mobility (25–28). Lower extremity power was evaluated by asking the subject to rise independently from a chair of standard height and seat depth without the use of their arms or an aid within 3 s (chair stands) (16). For each task, patients were given a total of 3 attempts and the best performance was recorded. Patients unable to attempt or complete the task were said to have impaired physical performance on that test.

The Barthel Index (29) for activities of daily living (ADL) and the Lawton-Brody Instrumental Activities of Daily Living Scale (30) (IADL) questionnaires were administered by structured interview by the study nurse. These are well validated questionnaires that evaluate the individual's ability to perform key activities of daily living (stair use, bathing, ambulation (50 yards), toileting, chair/bed transfer, dressing, bladder continence, bowel continence, feeding, grooming; minimum and maximum scores 0 and 100, respectively, with 100 being fully independent) and instrumental activities of daily living (shopping, housework, laundry, meal preparation, transportation, financial management, medication management, telephone use; minimum and maximum scores 0 and 24, respectively, with 24 being fully independent). In all activities, subjects were considered to be 'dependent' for a given function if they needed supervision or assistance with or were unable to complete the task. These have been previously used in the dialysis population (17). The Folstein mini-mental score (MMSE) test was used for cognitive assessment (31). Scores could range from 0 to 30 with a maximum possible score of 30/30. Patients with scores < 24 were defined as having cognitive impairment. Depression was assessed using the validated single item depression scale "How much of the time over the past month have you felt downhearted and sad?" (32).

ANALYSIS

Descriptive data were reported using mean and standard deviation or median and quartiles as appropriate (continuous variables) or as a frequency for ordinal or nominal data. Modeling was not performed due to the small sample of patients with full independence. Differences between those on continuous ambulatory PD (CAPD) and continuous cycler PD (CCPD), between genders and those with diabetes were sought using Fishers exact test, chi-square and the Kolmogorov-Smirnov test as appropriate. Differences in functional burden in those with normal, impaired or not attempted TUG tests were evaluated using the Fisher's exact test due to the small sample size. Analyses were performed using SPSS Version 17.0 (SPSS Inc. 2008, Chicago, IL, USA).

RESULTS

STUDY POPULATION

A total of 74 of 76 (97%) eligible PD patients who were older than 65 at the time of study agreed to participate. Participant characteristics are presented in Table 1.

TABLE 1
Baseline Characteristics of Study Participants

	Study population (N=74)	Neither IADL nor ADL disability (N=8)	IADL disability, no ADL disability (N=19)	IADL and ADL disability (N=47)
Age (years)	76.2±7.5	70.7±2.9	75.3±6.1	77.5±8.2
Gender (% Male)	55%	5 (62%)	13 (68%)	23 (49%)
Cause of ESRD				
DM	6 (8%)	0	3 (16%)	3 (6%)
GN	7 (9%)	0	3 (16%)	4 (9%)
HT	33 (45%)	2 (25%)	9 (47%)	22 (47%)
Others	15 (20%)	4 (50%)	4 (21%)	7 (15%)
Unknown	13 (18%)	2 (25%)	0	11 (23%)
Median duration of RRT in months (range)	22 (1–194)	41 (16–68)	30 (4–194)	16 (1–151)
Years of education (median, range)	10.5 (0–20)	11 (7–18)	12 (0–20)	10 (0–20)
Number of medications	10.9±3.7	11.7±4	10.3±4.3	11±3.5
Comorbid conditions				
DM	24 (32%)	0	3 (16%)	21 (45%)
HT	66 (89%)	7 (88%)	16 (84%)	7 (15%)
Stroke	12 (16%)	2 (25%)	3 (16%)	7 (15%)
Periph. vascular disease	16 (22%)	1 (12%)	6 (32%)	9 (19%)
Coronary artery disease	33 (45%)	3 (37%)	8 (42%)	22 (47%)
Congestive heart failure	12 (16%)	1 (12%)	3 (16%)	8 (17%)
Arthritis	31 (42%)	3 (37%)	8 (42%)	20 (43%)
Peripheral neuropathy	9 (12%)	1 (12%)	2 (11%)	6 (13%)
Cataract	43 (58%)	3 (37%)	7 (37%)	33 (70%)
Osteoporosis	12 (16%)	0	3 (16%)	9 (19%)
Domicile (73 patients)				
Apartment	46 (62%)	7 (88%)	15 (79%)	24 (52%)
House	19 (26%)	1 (12%)	4 (21%)	14 (30%)
Seniors housing	8 (11%)	0	0	8 (17%)
Mean creatinine level (µmol/L)	663±228	827±179	735±247	606±208
Hemoglobin (g per 100 mL)	11.8±1.4	12.4±0.9	12.3±1.2	11.5±1.5
Albumin (g/L)	35.1±4.1	35.3±2.6	38.2±1.9	33.9±4.3
MMSE	24.3±5.1	26.6 ±3	26.9±2.7	22.9±5.5
Unable to stand from a chair without use of arms or aids	51 (69%)	2 (25%)	8 (42%)	41 (87%)

IADL = instrumental activities of daily living; ADL = activities of daily living; ESRD = end-stage renal disease; DM = diabetes mellitus; GN = glomerulonephritis; HT = hypertension; RRT = renal replacement therapy; MMSE = Folstein Mini-Mental Status Examination score (maximum 30/30).

Fifty-five percent of patients were male, with a mean age of 76.2 ± 7.5 years. Hypertension was the most common cause of ESRD, and although diabetes as a cause of ESRD was uncommon, almost one-third of patients had concomitant diabetes. Nineteen percent of patients lived alone; of those living with others 75% lived with a spouse, while 25% lived with children, relatives and/or non-related caregivers. Most patients ($n = 59$, 78.7%) were managed with CAPD, while the remainder were using

cycler dialysis. Three patients (4%) were using icodextrin on a regular basis.

Patients had a high burden of age-related morbidity such as depression, cognitive impairment, functional loss and reduced mobility. Sixteen percent of patients had depressive symptoms, while 36% were found to have cognitive impairment on the MMSE. Most patients demonstrated multiple deficits in the physical performance measures. A total of 69% were unable to stand from a

chair without the use of their arms or an aid, while 51% were unable to perform the TUG mobility test within the normal range of 0–10 s.

DISABILITY IN DAILY FUNCTIONAL ACTIVITIES

Only 8 patients (11%) were fully independent for both ADL and IADL activities. Dependence in one or more ADL activity was reported by 64% of participants (Figure 1), while 89% reported dependence in one or more IADL (Figure 2). All patients who reported ADL dependence also experienced IADL dependence. The most common tasks where patients reported requiring help or supervision were shopping (85%), housework (80%), laundry (78%), stair use (66%), meal preparation (65%), bathing (61%), transportation (53%), and outdoor ambulation (49%). Patients required regular assistance from family members or caregivers for a median of 1 ADL and 4 IADL (Table 2). Dependence appeared to associate with decreased gait speed as measured by the TUG score ($p < 0.001$ for both ADL and IADL disability), and the presence of diabetes ($p = 0.03$ for ADL burden, Table 3). No relationship with gender and dialysis prescription (CAPD vs CCPD) was found.

DISCUSSION

The data presented here suggest that geriatric syndromes such as functional dependence, polypharmacy, muscular dysfunction (as evidenced by impaired TUG scores and impaired chair stands), and cognitive impairment were common amongst older PD patients living in the community at the time of the study. Both impaired cognitive function (33–35) and reduced physical performance measures (2,20,36) have been previously reported in patients established on PD. However, previous studies have not included data regarding functional independence.

Prior to the analysis, we had anticipated that we would observe a low level of functional dependency based on the clinical impression that patients choosing home dialysis therapies are highly motivated, and often independent. We also expected that caregivers of individuals with functional decline, either at the time of modality selection or at the time of dialysis initiation, would be more likely to encourage patients to choose HD over PD because they may perceive that the increase in the care that patients would require would place a larger burden on them as caregivers. We were therefore surprised at the high level of functional dependency. Although higher than levels seen in the transplant population receiving care at our center, the results of this study suggest disability levels are similar

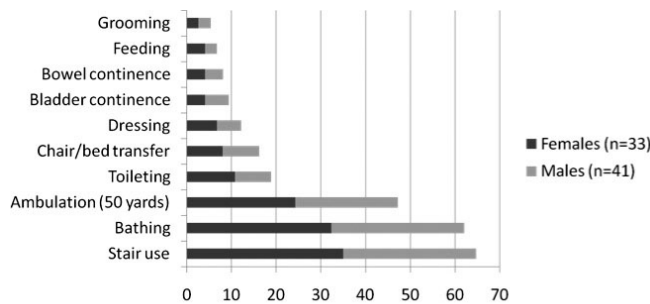


Figure 1 — Percentage of study population showing ADL dependence across 10 aspects of personal care measured using the Barthel Index. ADL = activities of daily living.

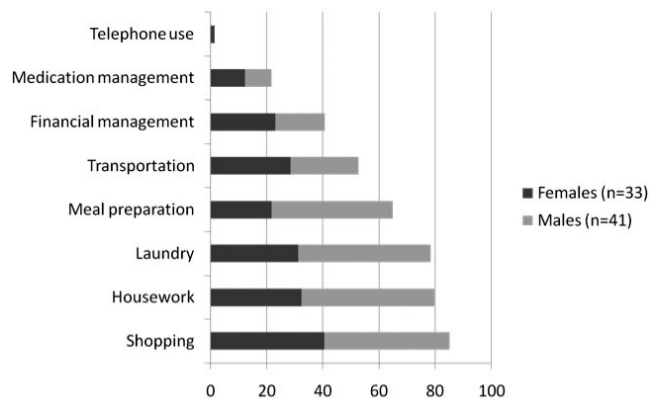


Figure 2 — Percentage of study population dependent in 8 activities of daily living measured using the Lawton Brody IADL scale. IADL = instrumental activities of daily living.

in a PD population to that reported for the population of patients of the same age undergoing HD at our center (17). The data presented in this study are consistent with recent results published in younger patient groups (20). In a recent large systematic review that compared physical well-being (mostly measured using the SF-36 subscales) in mostly younger renal populations across all renal replacement modalities (20), Purnell *et al.* found that, of those studies that properly adjusted for confounders, 83% showed no difference in physical function activities between PD and HD populations. Purnell *et al.* also reported that 90% of studies showed that renal transplant patients had a lower burden of physical ill-health, and experienced more freedom from illness (20).

This study suffers from common limitations that are associated with studies using single-center observational, cross-sectional designs. These include a potential for survival bias that may have resulted in an underestimation of the burden of disability, inability to generalize across all populations, and a lack of longitudinal outcome data. Several studies have noted that patients on dialysis (both HD and PD) have a high burden of cognitive impairment (34,37,38). Through our choice

TABLE 2
Results of Barthel (ADL) and Lawton Brody IADL Scores^a

	Study population (N=74)	IADL and ADL disability (N=47)
ADL: median Barthel score	95 (0–100)	90 (0–95)
IADL: median Lawton-Brody score	19 (9–24)	18 (9–23)
Median number of dependent ADLs	1 (0–10)	2 (1–10)
Median number of dependent IADLs	4 (0–8)	6 (1–8)

ADL = activities of daily living (such as toileting, dressing and walking within the home); IADL = instrumental activities of daily living (such as grocery shopping, meal preparation, transportation outside of the home and medication management).

^a All data are summarized as median scores with the range of observed values in brackets.

TABLE 3
Functional Status of the Patients According to TUG Test Performance and Diabetes Status

	Basic ADL activities		Instrumental ADL activities	
	Number of patients with dependence	No. of dependent activities (max 10) (median)	Number of patients with dependence	No. of dependent activities (max 8) (median)
TUG test				
Not attempted (n=14)	14/14 (100%)	6 ^b	14/14 (100%)	7 ^b
Slowed/Impaired (n=25)	21/25 (84%)	2	24/25 (96%)	5
Normal (n=35)	12/35 (34%)	0	28/35 (80%)	3
Diabetic (n=24)	21/24 (88%)	2 ^a	24/24 (100%)	5
Non-diabetic (n=50)	26/50 (52%)	1	42/50 (84%)	4

ADL = activities of daily living; TUG = timed 'up-and-go' test.

^a $p=0.02$ for a difference between diabetic and non-diabetic patients with basic ADL (BADL) disability.

^b $p<0.001$ for a difference between the number of IADL activities for which the patient required help in each of the 3 TUG score groups.

of MMSE as a cognitive screening test, we have likely not identified several patients who also had changes in executive brain functioning that may have impacted their ability to perform home-based PD therapy or to function within their own home. This again would have led to the potential underreporting of the burden of cognitive impairment in our study population. In addition, the statistical associations with clinical characteristics, such as gait speed and diabetes, must be interpreted with caution due to the small sample size and high chance of statistical error. The study does, however, have several strengths. The data are directly comparable to other studies across both the elderly HD population and the

prevalent transplant population that have used identical protocols for recruitment and assessment (17). The high recruitment rate seen in our study limits any bias arising from the observation that often the sickest patients do not participate in studies, and the inclusion of objective measures of physical performance allows cross-validation of the self-report questionnaires.

This study is likely to be an underestimate of the burden of functional disability now seen in many units. Since these data were collected, our unit, like many others across Canada, has developed community care programs that offer in-home assistance with PD (39). Nurses visit up to 3 times daily and help with set up, PD connections

and fluid assessments. As a result, many more patients with disabilities that would have precluded undergoing dialysis in their personal home, are now maintained in the community. The data presented here are of importance, particularly in those units offering assisted care, as they show there is a greater need for assistance with personal care than what was previously appreciated. When designing the study, we did not include measures of the type nor how much caregiving support was available to patients, as we did not anticipate PD patients would experience such a high number of geriatric syndromes. This study cannot address several issues. It is unclear if upper arm dysfunction plays any significant role in disability. Nor can these data answer whether there is any relationship between functional disability and PD technique survival. There is a strong literature showing that caregivers of patients undergoing chronic dialysis treatment have a high burden of physical and mental stress from the caregiving role. One can only speculate as to the effect on the overall success of PD therapy. Several studies have captured the negative emotional, social, physical and financial impact of living with and supporting individuals on dialysis, while a few have described positive effects such as an increased self-esteem and satisfaction, and an improved sense of meaning in life (40). While purely speculative, we question whether caregivers, under certain circumstances where they have experienced a gradual increase in the amount of care-giving required or feel overly burdened by the care needs, may advocate against patients returning to PD after, for example, a peritonitis requiring catheter removal or an acute illness requiring temporary cessation of PD. Data from ongoing studies, such as PD outcomes and practice patterns study may help answer some of these questions, in particular whether the observed gradual increase in the number of patients with functional disability who now are managed using chronic PD, correlate with a gradual increase in PD technique failure rates, such as that seen over the last decade (21,41–45).

In conclusion, we have demonstrated a high level of geriatric syndromes in a prevalent PD population that is of concern. We speculate that the burden of geriatric symptoms may, in the long term, affect technique failure and caregiver satisfaction, and suggest that further work is required to evaluate if support programs such as respite care, geriatric dialysis rehabilitation programs and caregiver appreciation nights can improve outcomes.

DISCLOSURES

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