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Cardiorespiratory fitness and white matter integrity in Alzheimer's disease

RD. Perea^{1,2}, ED. Vidoni¹, JK. Morris¹, RS. Graves¹, JM. Burns¹, and RA. Honea^{1,2}

RD. Perea: rperea@kumc.edu; ED. Vidoni: evidoni@kumc.edu; JK. Morris: jmorris2@kumc.edu; RS. Graves: rgraves@kumc.edu; JM. Burns: jburns2@kumc.edu; RA. Honea: rhonea@kumc.edu

¹Dept. of Neurology and KU Alzheimer's Disease Center, University of Kansas Medical Center, Kansas City, KS

²Bioengineering Program, The University of Kansas, Lawrence, KS

Abstract

The objective of this study was to investigate the relationship between cardiorespiratory (CR) fitness and the brain's white matter tract integrity using diffusion tensor imaging (DTI) in the Alzheimer's disease (AD) population. We recruited older adults in the early stages of AD ($n=37$; CDR=0.5 and 1) and collected cross-sectional fitness and diffusion imaging data. We examined the association between CR fitness (peak oxygen consumption [VO_{2peak}]) and fractional anisotropy (FA) in AD-related white matter tracts using two processing methodologies: a tract-of-interest approach and tract-based spatial statistic (TBSS). Subsequent diffusivity metrics (radial diffusivity [RD], mean diffusivity [MD], and axial diffusivity [$A \times D$]) were also correlated with VO_{2peak} . The tract-of-interest approach showed that higher VO_{2peak} was associated with preserved white matter integrity as measured by increased FA in the right inferior fronto-occipital fasciculus ($p=0.035$, $r=0.36$). We did not find a significant correlation using TBSS, though there was a trend for a positive association between white matter integrity and higher VO_{2peak} measures ($p<0.01$ uncorrected). Our findings indicate that higher CR fitness levels in early AD participants may be related to preserved white matter integrity. However to draw stronger conclusions, further study on the relationship between fitness and white matter deterioration in AD is necessary.

INTRODUCTION

Alzheimer's disease (AD) is a neurodegenerative disease and the most common cause of dementia. AD affects one in nine people over the age of 65 and one in three over the age of 85 (Thies et al., 2013). Post-mortem (Brun and Englund, 1986, Braak and Braak, 1991) and neuroimaging reports detail a specific pattern of brain degeneration in AD throughout disease progression (Thompson et al., 2003, Sexton et al., 2011, Burggren and Brown, 2014). Current contemporary pharmacological treatments have proven largely ineffective in

Corresponding Author: Robyn A. Honea., University of Kansas School of Medicine, Department of Neurology, 4350 Shawnee Mission Parkway, Fairway, KS 66205, P: 913-945-5038, F: 913-945-5035.

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attenuating AD-related brain degeneration. Thus, increasing research is focusing on lifestyle changes that could potentially mitigate the progressive degeneration associated with AD. For instance, aerobic exercise that improves one's overall cardiorespiratory (CR) fitness level, may support brain health and cognition (Colcombe et al., 2006, Boots et al., 2014, Erickson et al., 2014).

In healthy older adults, higher levels of CR fitness are associated with a variety of benefits in the brain, namely preserved overall brain volume, hippocampal volume, white matter microstructure, and cognition (Colcombe et al., 2003, Marks et al., 2007, Erickson et al., 2009, Johansen-Berg and Rushworth, 2009, Marks et al., 2011, Johnson et al., 2012, Petersen and Posner, 2012). In the AD population, higher levels of CR fitness have been associated with preserved brain volume (Burns et al., 2008a, Petersen and Posner, 2012). More specifically, higher CR fitness levels have been associated with increased gray and white matter volumes in the parietal and medial temporal cortices (Honea et al., 2009, Tian et al., 2014). However to our knowledge there has been little research associating CR fitness levels with diffusion tensor imaging (DTI) metrics of white matter integrity in those with AD.

DTI is a structural magnetic resonance imaging technique that characterizes the diffusivity of water molecules. In white matter, the movement of these molecules is anisotropic mainly along axonal bundles and supportive glial cells (Walhovd et al., 2014). Disruption in these white matter tracts occurs during aging as well as neurodegenerative diseases (e.g. AD) (Salat, 2011). Previous DTI investigations of CR fitness in healthy non-demented cohorts showed that increased CR fitness is associated with preserved white matter integrity in frontal and temporal regions (Voss et al., 2013b, Tian et al., 2014). Moreover, higher levels of aerobic fitness have been related to increased white matter integrity in the cingulum (Marks et al., 2007, Marks et al., 2011), uncinate fasciculus (Marks et al., 2007), and corpus callosum (Johnson et al., 2012). Hence in a cohort of people with early AD and based on previous publications, we hypothesized that higher levels of CR fitness would be associated with increased integrity (i.e. higher anisotropy) in white matter tracts that are more susceptible to AD pathological changes. To characterize this association, we used FA as our primary measure of interest in two DTI methods, an *a-priori* tract-of-interest approach and a tract-based spatial statistics approach (TBSS) (Smith et al., 2006). The tract-of-interest method allows us to quantify the integrity of specific AD-related white matter tracts while TBSS allows for a whole brain voxel-wise analysis.

METHODS

Sample

This study took place at the University of Kansas Alzheimer's Disease Center (KU ADC) as part of the Alzheimer's Disease Exercise Program Trial (ADEPT). For this investigation, we collected baseline data from individuals (n=40) enrolled in an ongoing aerobic exercise trial (Vidoni et al., 2012b). The final sample included 37 sedentary older adults in the earliest stages of AD (CDR 0.5; n= 23, CDR 1; n= 14) after removing individuals due to substantial imaging distortion (n=1) or bad quality acquisition (n=2). Institutionally approved informed consent was obtained before enrollment. Additionally, this trial excluded individuals with

significant neurological diseases other than AD, major psychiatric disorders, major depression (Geriatric Depression Scale > 5), clinically-evident stroke or systemic infection, myocardial infarction or significant cardiovascular or respiratory disease, history of cancer in the last 5 years, current or past history of drug or alcohol abuse, insulin-dependent diabetes, or significant pain or musculoskeletal disorder that would limit exercise.

Clinical assessment

A clinician performed physical and neurological examinations using a semi-structured interview of the participant and a collateral source (e.g. participant's spouse or adult child). Medications, past medical history, family history, education, and demographic information were collected from the collateral source. Diagnostic classification was made at a consensus conference attended by neurologists, neuropsychologists, and nurse practitioners of the University of Kansas Alzheimer's Disease Center. Diagnostic criteria for AD included the gradual onset and progression of an impairment in memory and at least one other cognitive or functional domain (NINCDS-ADRDA criteria) (McKhann et al., 1984). The Clinical Dementia Rating (CDR) determined the severity of dementia (Morris, 1993). Only participants with a Global CDR of 0.5 (very mild) or 1.0 (mild dementia) and a diagnosis of probable AD or who were classified as having mild cognitive impairment due to probable AD were included in the study. A battery of neuropsychological tests, the uniform data set (UDS), was also administered to each participant by trained psychometricians. Then, these scores were normalized using a method previously described (Shirk et al., 2011) and divided into 5 cognitive domains (memory, attention, speed, executive function, and language) for further analysis.

CR fitness assessment

CR fitness was measured as peak oxygen consumption (VO_{2peak} [ml/kg/min]) during a cardiopulmonary exercise test using a Cornell modified Bruce protocol (Hollenberg et al., 1998, Burns et al., 2008a). Each participant was asked to start walking on a treadmill while the speed and incline increased progressively. Only individuals who achieved a respiratory exchange ratio (RER) ≥ 1.0 were included in the study. Oxygen consumption was averaged over 15-second intervals, and the highest measurement was considered VO_{2peak} (Anderson et al., 2011, Vidoni et al., 2012b).

Neuroimaging

MRI was collected at baseline within three weeks of the CR fitness assessment. The session included a high-resolution T1 anatomic image (MPRAGE; $1 \times 1 \times 1$ mm voxels; TR = 2500, TE = 4.38, TI = 1100, FOV 256×256 with 18% oversample, 1mm slice thickness, flip angle 8 degrees). In addition, a diffusion-weighted sequence was designed to provide optimal results for this analysis while minimizing scanner duration for the participant. The diffusion weighted acquisition used a Siemens 3.0 Tesla Skyra MRI with a repetition time (TR) = 1000ms and echo time (TE) = 90ms. Diffusion gradients were applied in 65 directions ($b_0 = 0$ s/mm² and $b_{1-64} = 1000$ s/mm²). Seventy-five 2-mm sections were acquired in an in-plane resolution of 128×128 with a 300mm field of view (FOV).

Imaging Analysis

We processed the diffusion-weighted images using the FMRIB Software Library (FSL 5.0.4) (Smith et al., 2004). The remaining 37 images were eddy-current corrected for small distortions and simple head motion by alignment of the diffusion weighted images to the b_0 image. Next, a brain extraction tool (BET2) was applied to strip the brain from the skull. Diffusivity measures were calculated using DTIFIT and FSLMATHS, part of the FSL toolbox. Fractional anisotropy (FA) provides a measure of degree of anisotropic diffusion ranging from 0 (perfectly isotropic) to 1 (perfectly anisotropic) and is related to an overall measurement of white matter microstructural integrity (Alexander et al., 2011). Radial diffusivity (RD) is a measure of perpendicular diffusivity and reflects changes in axonal diameter and myelination (Song et al., 2005). Axial diffusivity ($A \times D$) measures the magnitude of diffusion in the principal diffusion direction (Alexander et al., 2007). Mean diffusivity (MD) measures overall diffusion by averaging the three orthogonal components.

For the *a-priori* tract-of-interest method, each diffusivity measure was non-linearly registered, aligned, and transformed into a common $1 \times 1 \times 1$ mm standard MNI space template (FMRIB58), following the initial steps of the TBSS processing pipeline, while omitting the skeletonizing step (Smith et al., 2006). Instead, we smoothed the image with a 2mm kernel using FSLMATHS. To protect against Type I error we identified tracts previously reported to be associated with AD (Figure 1) and divided them by hemisphere: the cingulum (CCG) (Xie et al., 2005, Zhang et al., 2007, Burzynska et al., 2010, Liu et al., 2011, Zhang et al., 2014), the inferior fronto-occipital fasciculus (IFOF) (Gold et al., 2010, Teipel et al., 2010, Alves et al., 2012), the superior longitudinal fasciculus (SLF) (Liu et al., 2011, Sexton et al., 2011, Alves et al., 2012, Bosch et al., 2012), and the uncinate fasciculus (UF) (Liu et al., 2011, Sexton et al., 2011, Bosch et al., 2012). We created our white matter binary tract masks from the Johns Hopkins University (JHU) probabilistic atlas registered to the common MNI space (Mori et al., 2005, Hua et al., 2008). For every subject's FA, our primary diffusivity metric, we then "masked in" every tract, included only voxels thresholded at FA values higher than 0.2, and calculated an averaged FA value on every tract. Similarly averaged values for our subsequent diffusivity metrics (e.g. RD, MD, or $A \times D$) were calculated. Hence, every participant had an overall diffusivity value for every tract, which was fed into a statistical program for further statistical analysis. To determine the relationship between cognition and white matter integrity, we also performed partial correlations (correcting for age and gender) of the primary diffusivity measure (FA) in the *a-priori* white matter tracts from our tract-of-interest analysis with mean z-scores of the 5 cognitive domains (attention, language, verbal memory, processing speed, and executive function).

In addition to the tract-of-interest method, we also performed TBSS analyses (Smith et al., 2006). First, we created FA images by fitting the tensor model to the raw diffusion data using FDT, part of FSL. After brain extraction, all subject's FA data were aligned into a common MNI space using nonlinear transformations (Andersson et al., 2007). Next, the FA data were thinned out to create a mean FA skeleton representing the center of all the tracts common to the group. Then, each subject's diffusivity metrics were projected into this skeleton and thresholded to include only voxels with FA values higher than 0.2. The

resulting data was statistically analyzed using RANDOMISE, a tool for non-parametric permutation inference on neuroimaging data (Winkler et al., 2014). Similar to the tract-of-interest approach, FA was the primary diffusivity metric with subsequent measures of RD, MD, and A×D.

Statistical analysis

For the tract-of-interest approach, statistical analyses were conducted using SPSS 22.0 (IBM Corp., Armonk, NY). As our primary diffusivity measure, we tested partial correlations of FA values with VO₂peak in every tract-of-interest, controlling for age and gender. A total of 8 correlation comparisons were performed, splitting our tracts by hemisphere (left and right). Because these planned comparisons were carefully selected based on prior reports and FA was designated our primary outcome measure, set our alpha to 0.05 uncorrected and treated each non-overlapping tract-of-interest as an independent analysis, as previously suggested (Keppel and Wickens, 2004).

For whole brain TBSS analyses, we performed non-parametric analyses using permutation based statistical inference. We assessed linear correlations between VO₂peak and FA across the white matter skeleton controlling for age and gender, and set the number of permutations to 5000 using threshold-free-cluster-enhancement. We set our alpha to be 0.05 corrected. Subsequent analyses were performed in the other diffusivity metrics (RD, MD, and A×D).

RESULTS

Demographics

Table 1 summarizes the demographics, physical, and CR fitness characteristics of the 37 participants included in the final analysis.

CR fitness and WM integrity

In our tract-of-interest analysis, we found a significant correlation between increased FA and higher VO₂peak lateralized to the right inferior fronto-occipital fasciculus ($r=0.358$, $p=0.035$), after controlling for age and gender (Table 2, Figure 2). We did not find significant correlations with VO₂peak and MD, RD, or A×D. However there was a trend for decreased RD with higher VO₂peak in the right cingulum ($r=-0.315$, $p=0.065$) and the right inferior fronto-occipital fasciculus ($r=-0.313$, $p=0.067$) (Supplementary Table 1).

In our TBSS analysis, we did not find any significant correlations of the diffusivity metrics (FA, RD, MD, or A×D) with VO₂peak at a statistical threshold of $p<0.05$ FWE corrected after correcting for age and gender.

However, as an exploratory measure at an uncorrected threshold of $p<0.01$, there was a positive association of FA with VO₂peak in several tracts in the right hemisphere (primarily the inferior fronto-occipital fasciculus and cingulum), with sparse regions on the left side (Figure 3).

Cognitive Measures

None of our partial correlations associating FA with mean scores from 5 cognitive domains yielded significant results. However there was a trend for a positive relationship of increased processing speed with higher FA in the IFOF on the left hemisphere ($p=.092$, $r=.293$).

DISCUSSION

We found that higher levels of CR fitness were associated with increased white matter integrity in the right inferior fronto-occipital fasciculus (IFOF), a tract that travels from the occipital region to the temporal lobe. Our findings support and extend previous associations of white matter volume and fitness to more specifically address white matter tracts in older adults in the early stages of AD.

Cardiorespiratory fitness impacts brain health in a variety of ways. In animal models, previous studies showed that aerobic exercise attenuated age-related decreases in hippocampal neurogenesis, potentially delayed the onset of AD, reduced amyloid beta deposition and pro-inflammatory cytokines, and enhanced levels of brain-derived neurotrophic factors (for a review see (Voss et al., 2013a)). In humans, higher CR fitness level is associated with better cognition, decreased risk for early AD and preserved brain volumes (Hayes et al., 2013). We have previously identified a relationship between CR fitness and larger brain volumes in individuals with early AD (Burns et al., 2008a), specifically in the parietal and medial temporal lobes (Honea et al., 2009). Furthermore, in a longitudinal analysis we found that increased CR fitness over two years was related to lower rates of medial temporal atrophy (Vidoni et al., 2012a). In the present study, our sample of previously sedentary individuals with AD reflects the larger population of older adults, in which less than half are meeting aerobic exercise recommendations (<http://www.health.gov/paguidelines/report/pdf/committeereport.pdf>). Individuals with AD may even have lower levels of CR fitness than typically aging adults (Burns et al., 2008b). Within this sedentary population, genetics, age, leisure activity and light to moderate physical activity contribute to measured aerobic capacity VO_{2peak} (Talbot et al., Fleg et al., 2005, Bouchard, 2012). A broader age range of fitness may have provided greater insight into the association of white matter integrity and fitness but would likely be less generalizable to the population.

Recent diffusion imaging studies in non-demented individuals found positive associations with higher VO_{2peak} levels and preserved white matter integrity in the cingulum (Marks et al., 2007, Marks et al., 2011), the uncinate fasciculus (Marks et al., 2007), and across the temporal and frontal regions of the brain (Voss et al., 2013b). Moreover a recent study in overweight children found amount of exercise was associated with increased FA in the superior longitudinal fasciculus, a key tract connecting the parietal to the frontal cortices (Krafft et al., 2014). However, to our knowledge none of these reports identified a relationship of higher CR fitness levels and preserved white matter integrity specifically in the IFOF, although this tract could have been included in more global temporal or frontal regions of interest. This tract is known to be one of the longest white matter bundles in the brain and connects parts of the occipital, temporal, and frontal lobes, thus making it difficult to isolate when conducting whole brain white matter analyses. The exact role of the IFOF is still under debate, but previous studies have shown that it is susceptible to AD-related

deterioration (Alves et al., 2012, Bosch et al., 2012, Yu et al., 2014), perhaps later in the disease process. In contrast, tracts such as the superior longitudinal fasciculus, the cingulum, and the uncinate fasciculus exhibit earlier decline in AD (Sexton et al., 2011). Thus, a possible interpretation for our findings is that higher levels of CR fitness might be associated with preserved integrity in white matter tracts that have not been already compromised by the disease process. However, in healthy non-demented subjects, higher CR fitness levels may be also associated with preserved white matter integrity in early deteriorating tracts (e.g. the superior longitudinal fasciculus, the cingulum, and the uncinate fasciculus) (Marks et al., 2007, Marks et al., 2011, Tseng et al., 2013, Voss et al., 2013b).

We found a right-lateralized association between fitness and the inferior fronto-occipital fasciculus. One other report has specified a left lateralized relationship with CR fitness, in the cingulum bundle (Marks et al., 2011). Another study also suggested that cortical degeneration in AD occurs faster in the left hemisphere, but mainly in gray matter (Thompson et al., 2003). However, a meta-analysis of DTI studies in across AD individuals indicated that there may not be hemispheric differences in white matter integrity between nondemented, MCI, AD groups (Sexton et al., 2011). Another study in healthy older adults found a relationship between increased FA in the right splenium and genu and cognition (Madden et al., 2009). We did not find any significant relationships between cognition and white matter integrity in our tracts-of-interest. Thus more studies are needed to explore hemispheric dominance further in regards to the relationship between CR fitness, white matter, and the possible correlations with cognition.

In this study we performed TBSS analyses to compare our results with previous DTI studies in non-demented participants (Johnson et al., 2012, Gons et al., 2013, Voss et al., 2013b, Hayes et al., 2015). Johnson et al. found a relationship between CR Fitness and FA in the corpus callosum (Johnson et al., 2012), while Gons et al. found relationships between fitness and other diffusion metrics across multiple white matter tracts (Gons et al., 2013). Hayes et al. identified white matter regions where VO_2 peak was associated with increased FA in older adults, namely the right temporal pole, right fornix, left sagittal striatum, right posterior corona radiate, and the corpus callosum (Hayes et al., 2015). While TBSS is the most commonly used analysis technique, its method is limited to a skeletonized white matter evaluation, which represents only the highest and most perpendicular FA voxel intensities projected along each voxel within the skeleton (Bach et al., 2014). These and other considerations with the TBSS methodology have been noted elsewhere (Zalesky, 2011, Keihaninejad et al., 2013, Bach et al., 2014). Hence, we also performed *a-priori* tract-of-interest analyses, which allowed us to quantify diffusivity metrics on specific tracts previously implicated in AD (Sexton et al., 2011, Alves et al., 2012, Bosch et al., 2012, Zhang et al., 2014). The tract-of-interest analysis may also be susceptible to partial volume effects, given enlarged ventricles and atrophy, which are common in older adults with early AD. To overcome these limitations, we only included voxels with higher anisotropy values ($FA > 0.2$) and reduced our tract masks to include only voxels with a higher probability of existence based of the white matter probabilistic atlas (Hua et al., 2008).

Other limitations included the lack of a non-demented control group because this investigation was conducted with preliminary baseline data from AD individuals enrolled in

an ongoing aerobic exercise trial. Non-demented controls could have helped identify the effects of CR fitness on brain's white matter integrity independent of the AD pathology. We also did not have individuals with a broader range of dementia severity, thus we could not test for a relationship of disease progression or severity with fitness-related diffusion change. We are also aware that it might be prematurely calling our participants early AD without an in vivo biomarker measure. However, participants were well characterized clinically and were diagnosed with a suspected underlying etiology of AD as the cause of their cognitive impairment. A marker of AD pathology would improve the specificity of our findings (Berg et al., 1998) (Morris et al., 2001). Additionally, we only recruited participants who were sedentary as determined by the Telephone Assessment of Physical Activity (Mayer et al., 2008, Vidoni et al., 2012b). A wider range of participants (sedentary and active) would have ideally added a better statistical estimation of the AD population. Another limitation is that we did not control for white matter lesions (WMLs) because we did not collect high contrast FLAIR images. We inspected every image for noticeable WMLs and performed a threshold criterion to only include voxels with FA values higher than 0.2. This criterion would ideally exclude highly isotropic voxels, which may be contaminated due to unperceived WMLs. Finally, we acknowledge that during the *a-priori* tract-of-interest approach we did not correct for multiple comparisons. We feel the increased risk of Type I error was offset by careful execution of a hypothesis driven experiment rooted in existing literature.

CONCLUSION

We assessed the relationship of CR fitness with white matter integrity in individuals with early-stage AD. We found a positive association between CR fitness levels and white matter integrity in the right IFOF even after controlling for age and gender. These results suggest that increased CR fitness might be positively associated with white matter integrity, even in individual with Alzheimer's disease. This initial cross-sectional study should be followed-up with longitudinal studies on exercise in AD specifically powered to test for changes in white matter tract integrity.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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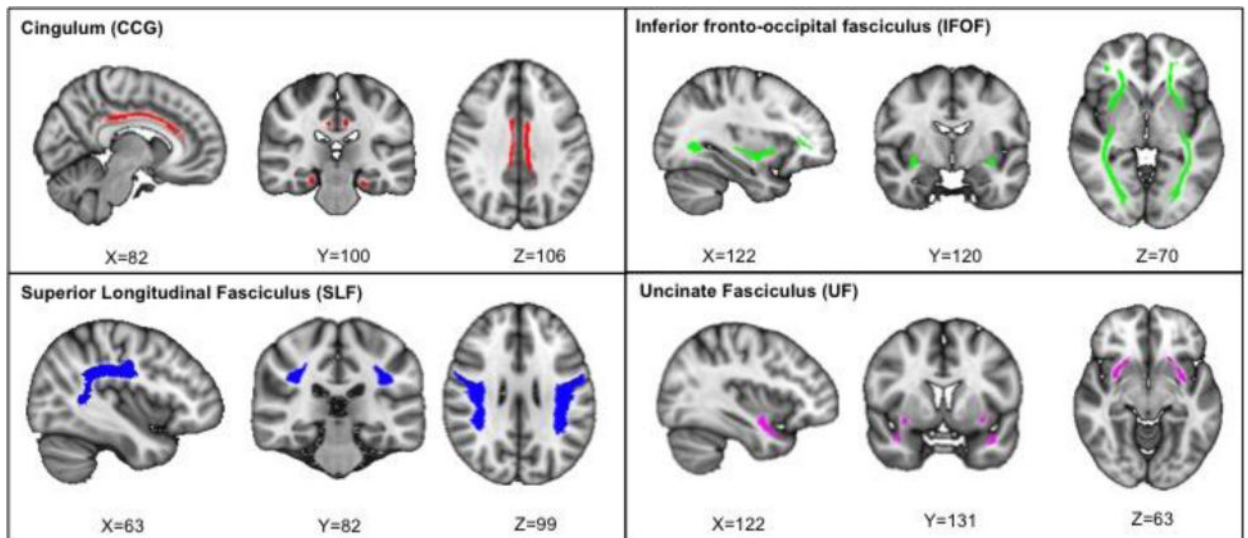


Figure 1.

AD-related white matter tracts: the cingulum (red, top left), the inferior fronto-occipital fasciculus (green, top right), the superior longitudinal fasciculus (blue, bottom blue), and the uncinate fasciculus (pink, bottom right). Tract representation is shown using the standard MNI brain in radiological orientation.

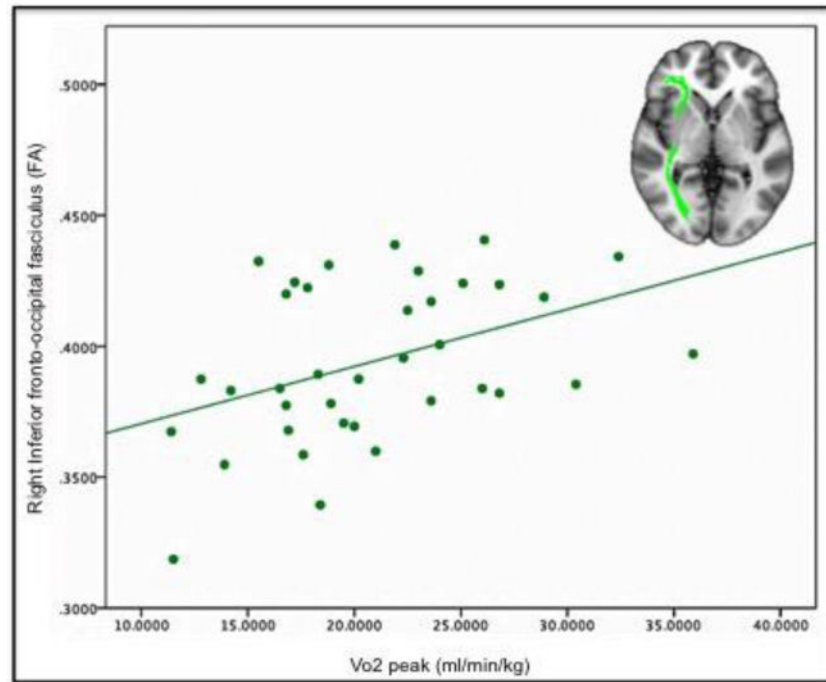


Figure 2. Linear fit plot for VO_2 peak and fractional anisotropy (FA) in the right inferior fronto-occipital fasciculus (green). The tract-of-interest is overlaid on a T1 MNI template, and orientation is radiological (left is right).

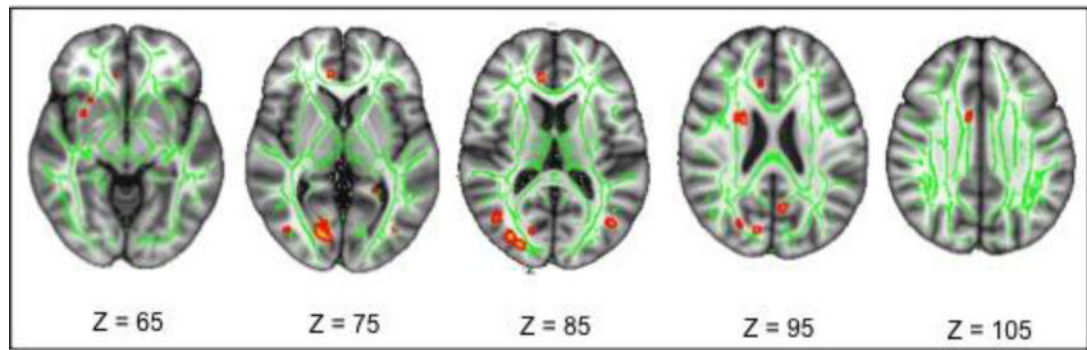


Figure 3.

TBSS results from a partial correlation analysis associating FA with VO_{2peak} . Red regions depict positive associations between FA and VO_{2peak} $p < 0.01$ uncorrected overlapped in the green skeleton and the MNI template, and orientation is radiological (left is right).

Table 1

Participant demographics and fitness characteristics

Demographics	Mean (SD)
Age (n=37)	72.35 (7.9)
Female (#,%)	14 (37.8)
MMSE	25.6 (3.3)
CDR Global = 0.5 (#,%)	25 (67.6)
CDR Sum of Boxes	3.4 (1.5)
Education (years)	15.4 (3.6)
Fitness and Body measures	
BMI	26.9 (4.1)
Lean Mass (kg)	47.6 (10.2)
VO ₂ peak (ml/kg/min)	21.6 (5.1)

SD, Standard deviation; BMI, body mass index; CDR, Clinical Dementia Rating; MMSE, Mini Mental Status Exam

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Table 2

Tract of Interest FA Results

White matter tract:	Fractional Anisotropy
Cingulum	
Left	0.48 (0.04)
Right	0.42 (0.04)
Inferior Fronto-Occipital Fasciculus	
Left	0.41 (0.03)
Right	0.41 (0.03)*
Superior Longitudinal Fasciculus	
Left	0.41 (0.03)
Right	0.41 (0.03)
Uncinate Fasciculus	
Left	0.39 (0.03)
Right	0.40 (0.03)

Mean fractional anisotropy (standard deviation) on every tract-of-interest.

* denotes significance at $p < 0.05$ in the partial correlation of FA with VO₂peak analysis.