The Use of Spinal Manipulative Therapy in the Management of Chronic Obstructive Pulmonary Disease: A Systematic Review

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Abstract

Objectives: To evaluate the methodologic quality of the evidence for the use of spinal manipulative therapy (SMT) with and without other therapies in the management of chronic obstructive pulmonary disease (COPD). *Design:* A systematic review of the literature.

Participants: Any participant of a primary research study that investigated the effect of SMT on COPD. Only studies with participants older than age 18 years with an existing diagnosis of COPD were included.

Interventions: Interventions included any form of high-velocity, low-amplitude spinal manipulation with or without other forms of manual therapy, exercise, and/or pharmacologic intervention.

Outcome measures: Six-minute walking test, forced expiratory volume in 1 second, forced vital capacity, residual volume, total lung capacity, Chronic Respiratory Questionnaire, St George's Respiratory Questionnaire, and the Hospital Anxiety and Depression Scale.

Results: Six articles met all of the inclusion criteria and were included in the review: three randomized controlled trials (RCTs), one pre–post observational study, one case series, and one single case study. Sample sizes varied from 1 to 33 participants ranging in age from 55 to 85 years. Risk of bias was low for the three RCTs and high for the other studies. All three RCTs used SMT in conjunction with exercise from a pulmonary rehabilitation program. Five of the six studies reported improvements in lung function and exercise performance following SMT intervention.

Conclusions: This review provides a methodologic evaluation of the evidence for using SMT with and without other therapies in the management of COPD. While the quality of the evidence provided by three RCTs was high, they were all conducted on small sample sizes. These results highlight the need for further research into the use of SMT in conjunction with exercise on people with COPD.

Introduction

CHRONIC OBSTRUCTIVE PULMONARY DISEASE (COPD) is a preventable and treatable disease characterized by progressive airflow limitation.¹ It is ranked sixth among the common causes of death globally for both men and women and currently affects approximately 14% of adults older than age 40 years.^{1.2} Extrapulmonary effects, such as skeletal muscle dysfunction, affect the severity of the disease and provide a potential target for therapeutic intervention.¹ An estimated 18%–36% of people with COPD experience skeletal muscle dysfunction at a level that affects exercise ca-

pacity and dyspnea levels, both predictors of mortality in COPD.³ Because exercise capacity is a measure of the amount of exercise that can be performed before the onset of leg fatigue or exercise-limiting dyspnea, a decrease in capacity has been associated with poorer quality of life and higher hospitalization rates.^{4,5}

Nonpharmacologic interventions benefit people with COPD.⁶⁻¹⁰ For example, pulmonary rehabilitation (PR) is considered to be a well-developed, multidisciplinary approach to managing many extrapulmonary effects associated with COPD.⁶⁻⁸ However, PR has little clinical effect on lung function. Similarly, research into the effect of acupuncture

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has shown that this modality has little effect on long-term lung function despite helping improve dyspnea levels and exercise tolerance.^{9,10}

One intervention that has the potential to address some of the changes in respiratory mechanics associated with declining lung function in COPD is manual therapy (MT). This intervention covers a range of techniques, including soft tissue therapy and joint mobilization/manipulation. Some evidence suggests that MT has the potential to alter respiratory mechanics in certain chronic respiratory diseases, such as chronic asthma and COPD. These changes include an increase in flexibility of the chest wall and thoracic excursion, which can indirectly lead to an improvement in exercise capacity and lung function.^{11,12}

A recent systematic review on the use of MT for COPD reported a higher incidence of musculoskeletal pain in patients with COPD compared with the general population (45% and 34%, respectively).¹³ While the authors of this review considered the use of MT to increase thoracic mobility, reduce the work of breathing, and manage musculoskeletal pain a reasonable approach to consider for people with COPD, they concluded little evidence supported or refuted its use in the management of COPD.¹³ While the review incorporated a range of manual therapies, it specifically excluded studies that used MT intervention with other interventions, such as exercise.

One form of MT is spinal manipulative therapy (SMT), which uses a high-velocity, low-amplitude force to move a joint complex. The technique is commonly used by osteo-paths, chiropractors, physiotherapists, and physical therapists to decrease pain and increase joint range of motion.^{14–17} However, SMT is often used in conjunction with other interventions. Therefore, excluding studies that combined SMT with another intervention may have altered the outcome of the previous systematic review.

The aim of the current review is to evaluate the methodologic quality of the evidence for the use of SMT in the management of COPD as well as updating the previous systematic review. This review focuses on the effect of SMT when used alone or in combination with other therapies.

Materials and Methods

Design

This article reports on a systematic review of the literature.

Study eligibility criteria

To be included in this review, a study must have been conducted on participants older than age 18 years who had a diagnosis of COPD. MT intervention had to include some form of SMT administered as a stand-alone intervention or in conjunction with another intervention.

Trials had to include at least one lung function measure, such as forced vital capacity (FVC), forced expiratory volume in 1 second (FEV₁), residual volume (RV), or total lung capacity (TLC). Trials could also include other outcome measures, such as chest wall movement, dyspnea level, exercise capacity, or a patient-reported quality-of-life measure.

All quantitative study designs were accepted for review, including randomized controlled trials (RCTs), observational studies, case series, and case studies.

TABLE 1. SEARCH TERMS AND MEDICAL SUBJECT HEADINGS USED FOR LITERATURE SEARCH

therapy, manipulative therapy, phy therapy, chiropractic, osteopathy,	Medical Subject Headings	chronic respiratory disease, respiratory disease, dyspnoea, chronic asthma, chronic bronchitis, emphysema, manual
physiotherapy, spinal manipulative	Headings	

Search

The search was conducted in December 2014 and updated in July 2015. The Medical Subject Heading terms listed in Table 1 were entered into the following databases: MEDLINE/Ovid, ScienceDirect, PubMed, Web of Science, and Scopus. There was no limit on date of publication, and full texts were required. Citations and reference lists were also used to search for articles.

Study selection

Studies were excluded according to the eligibility criteria listed in Table 2. All articles that met the eligibility criteria were included in this review. Two researchers (C.W. and B.B.) conducted the initial eligibility review.

Data collection

Using an adapted Cochrane Review Group standardized data collection form,¹⁸ three reviewers (C.W., S.B., and D.F.) independently extrapolated data from the included trials, such as study design, interventions, randomization and concealment, participant characteristics, methods, statistical analyses used, types of outcome measures, and results. The data were tabulated, and any discrepancies were resolved by discussion at a meeting of these reviewers.

Risk of bias in individual studies

The Cochrane risk of bias tool¹⁹ was applied to the trials included in the review. Each element was assessed as being present, not present, or unclear for each trial. Because of the inclusion of case series, case studies, and pre–post studies, the revised risk of bias table by Viswanathan et al.²⁰ was also used to evaluate the risk of bias in studies other than RCTs.

Statistical analysis

The homogeneity of the studies was assessed to determine whether pooling of data and meta-analysis would be appropriate.

Results

Study selection

A search of the literature returned 5297 articles, and an additional four articles were recovered from citation tracking of the reference lists. After application of the eligibility criteria, 5291 articles were rejected for the following reasons: They were duplicates, SMT was not an intervention,

TABLE 2. ELIGIBILITY CRITERIA FOR SYSTEMATIC REVIEW

Inclusion criteria	Exclusion criteria
 Peer-reviewed journal articles RCTs, case-control studies, crossover studies, case series, case studies, clinical trials, pilot trials, preliminary trials (primary research) Articles reported in English Respiratory disease measured with spirometry Participants age ≥18 y Spinal manipulation or manipulative manual therapy (high-velocity, low-amplitude) only, or in conjunction with other therapies (e.g., medications, exercise) 	 Books, reference views, systematic reviews, literature reviews (secondary research) Participants age <18 y Manual therapy not including spinal manipulation (e.g., massage only, exercise only, TENS) Lung cancer, other cancers affecting the lung/airways

RCT, randomized controlled trial; TENS, transcutaneous electrical nerve stimulation.

COPD was not diagnosed, or only the abstract was available. Six articles were retained for review: three RCTs, ^{21–23} one pre–post observational study,²⁴ one case series,²⁵ and one single case study.²⁶ All three RCTs were preliminary or pilot trials.^{21–23} Figure 1 shows the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRIS-MA) flow diagram for article selection.²⁷

Participant characteristics

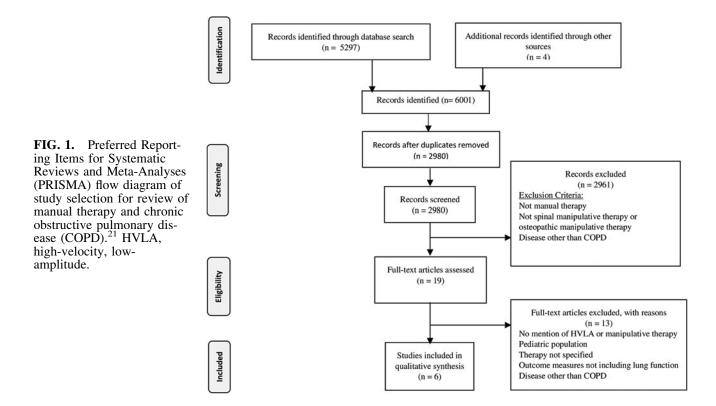
Intervention on respiratory disease

Results of the data extraction form are reported in Table 3. The sample sizes from the included trials ranged from 1 to 33. Inclusion and exclusion criteria were adequately reported in the three RCTs and case series but not in the observational and single case studies. Only one RCT matched participants at baseline for age and lung function.²³ Three of the studies^{21,22,25} reported adverse events. The age of the participants ranged from 40 to 90 years, and one study

did not report age.²⁴ There were more men in each of the six studies. All three RCTs excluded participants if they could not complete a 6-minute walking test (6MWT).

Intervention and control groups

Interventions varied across the six included studies. Two studies^{23,24} did not report details about intervention other than to refer to it in general terms as "spinal manipulation" or "osteopathic manipulative therapy." Two of the RCTs^{21,22} described the use of a standardized MT protocol that included soft tissue therapy and thoracic SMT. One study²⁵ included instrument-assisted spinal manipulation. The single case study²⁶ reported therapy that varied in type and frequency over time. All three RCTs included a control group of sham manipulation or exercise only. The pre–post observational study, case series, and single case study did not include a comparator.



Author/year/ country	Title	Study design	Inclusion/exclusion criteria	Participant characteristics	Intervention	Comparator
Howell et al., 1975, USA USA	The influence of osteopathic manipulative therapy in the management of patients with chronic obstructive lung disease	Pre-post	Exclusion: Bronchial asthma, pulmonary fibrosis, restrictive lung disease Inclusion: COPD	<i>n</i> = 17 Sex: NR Age: NR 11/17 included in study 9-mo duration	Osteopathic manipulative therapy, following manual palpation of spinal segments for presence of hypomobility and edematous reported segments T2 and T3	No comparator
Engel et al., 2014, Australia	Medium term effects of including manual therapy in a pulmonary rehabilitation program for chronic obstructive pulmonary disease (COPD): a randomized controlled pilot trial	RCT, pilot	Exclusion: osteoporosis, current smokers, contraindications to SMT Inclusion: age 55–70 y, diagnosis of COPD, nonsmoker for preceding 12 mo, ability to complete a 6MWT	n = 33; 31/33 assessed Mean age: 65.5 ± 4 y Groups were similar at baseline except for sex and anxiety	Pulmonary rehabilitation consisted of a 24-wk program. The intervention phase consisted of two stages: an 8-wk ''introductory'' stage, followed by an 8-wk ''maintenance'' stage. Manual therapy protocol, including soft tissue therapy and manipulation of thoracic segments.	3 groups: group 1 (PR) group 2 (ST + PR) group 3 (ST + SM + PR)
Dougherty et al., 2011, USA	Spinal manipulative therapy for elderly patients with chronic obstructive pulmonary disease: a case series	Case series	Exclusion: severe dementia, severe osteoporosis, history of fracture, cauda equina syndrome, spinal neoplasia, destructive joint pathology, spinal surgery Inclusion: age ≥65 y, COPD	n = 6 Sex: 1 man, 5 women Age: Range, 68–89 y; mean, 79.1 y 3 stages of COPD	12 SMT sessions over 4 weeks: 3 sessions per week. 2 manual spinal manipulations at T6/7 and 9/10, supine, and one instrument- assisted spinal manipulation at T4/5	No comparator
Zanetti et al., 2012, Italy	Osteopathic manipulative treatment effectiveness in severe chronic obstructive pulmonary disease: a pilot study	RCT, pilot	Exclusion: acute exacerbation, neurologic disease or joint disease Inclusion: stable COPD, GOLD stage III (severe)	n=20 Sex: 5 women Age: 60 y GOLD stage III (severe)	PR: 1 session on cyclette and 1 on cycle ergometer for 5 d/wk for 4 wk OMT 1 time/wk for 4 wk No description of exact OMT techniques	Group 1: PR + sham OMT Group 2: OMT + PR
Masarsky and Weber, 1988, USA	Chiropractic management of chronic obstructive pulmonary disease	Case study	No inclusion/exclusion criteria	<i>n</i> = 1 Sex: male Age: 53 y	Two baseline phases, 2-wk duration: no treatment Two treatment phases, 3- to 4-mo duration: mixed treatment with SMT	No comparator
Engel et al., 2013, Australia	Short term effects of a course of manual therapy and exercise in people with moderate chronic obstructive pulmonary disease: a preliminary clinical trial	RCT, preliminary	Exclusion: inability to walk unassisted, contraindications for SMT Inclusion: age 40–65 y, moderate stage COPD	<i>n</i> = 15, 14/15 completed Sex: 60% men and 40% women Age: 56.1 y Moderate COPD Groups matched for age	PR twice/wk for 4 wk Manual therapy protocol Exercise: walking on a flat surface for 6 min	3 groups: ST + MT ST + MT + exercise

Outcome measures

Outcome measures used and results reported from each of the six studies included in this review are shown in Table 4. All of the trials included FEV_1 and FVC as primary outcome measures; two studies^{23,24} also included RV and TLC. All three RCTs included a 6MWT to evaluate exercise capacity. Standardized quality-of-life questionnaires, such as the Chronic Respiratory Questionnaire, St George's Respiratory Questionnaire, or Hospital Anxiety and Depression scale were used in two of the RCTs,^{21,22} while the single case study²⁶ reported patient subjective comments on fatigue and breathlessness over time.

Risk of bias

Results from the risk of bias analysis are reported in Table 5. The three $RCTs^{21-23}$ had a low risk of bias. The

TABLE 4. OUTCOME MEASURES REPORTED IN THE SIX STUDIES INCLUDED IN THE SYSTEMATIC REVIE	TABLE 4. OUTCOM	E MEASURES REPORTED IN	THE SIX STUDIES	INCLUDED IN THE	Systematic Review
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Author/ year/country	Study design and intervention	Outcome measures	Results	Comments
Howell et al., 1975, USA	Pre-post OMT	RV, FVC, FEV ₁ , TLC, arterial gases, disease severity score	Progressive decline in severity score: 10.7 Average decrease: Pco_2 ($p=0.005$), O_2 saturation ($p=0.05$), TLC ($p=0.001$), RV ($p=0.05$)	Disease severity score
Engel et al., 2014, Australia	RCT MTP and/ or exercise	FEV ₁ , FVC, blood pressure, SGRQ, HAD, 6MWT6MWT	FVC at 24 wk ($p=0.04$) (ST + SM + PR group vs. PR only) Significant increase in FVC at 24 wk ($p=0.03$) Difference between groups for distance walked (6MWT) at 16 wk ($p=0.01$) and 24 wk ($p=0.03$) No differences between groups for SGRQ or HAD	Adverse events reported: small number of minor adverse events
Dougherty et al., 2011, USA	Case series SM, IASM	FVC, FEV ₁	No clinically significant change in FEV_1 at 2 or 4 wk	Adverse events reported: small number of minor adverse events
Zanotti et al., 2012, Italy	RCT OMT	VC, FVC, FEV ₁ , RV, 6MWD, modified Borg scale	PR and OMT gain in 6MWD ($p=0.01$) PR and OMT ($p=0.05$) reduction in RV, 11%	
Masarsky and Weber, 1988, USA	Case study Chiropractic techniques (including MT)	FEV ₁ , FVC, dyspnea, fatigue	FVC greater in phase 1 vs. baseline (6 mo); $p < 0.005$ FVC greater in phase 2 vs. baseline (3 mo); $p < 0.005$ Mean subjective coughing score during phase 2 less than baseline in phase 2 (3 mo); $p < 0.005$ No other changes in outcome measures were statistically significant	Most outcome measures vs. baseline and phases 1–3
Engel et al., 2013, Australia	RCT MTPs and/ or exercise	FEV ₁ , FVC, 6MWT	FVC increased in ST + SM + exercise group at 4 wk; $p = 0001$ 6MWT increased in ST + MT + exercise and ST + MT groups vs. ST only; $p = 0.0001$ Dyspnea improved in ST + MT + exercise and ST + MT groups vs. ST only; $p = 0.0001$	Adverse events reported: small number of minor adverse events

RV, residual volume; FVC, forced vital capacity; FEV_1 , forced expiratory volume in 1 second; TLC, total lung capacity; Pco_2 , partial pressure of CO_2 ; O_2 , oxygen level; MTP, manual therapy protocol; SGRQ, St George's Respiratory Questionnaire; HAD, Hospital Anxiety and Depression Scale; IASM, instrument-assisted spinal manipulation; VC, vital capacity; MT, manual therapy.

Author/year/country	Selection	Performance	Detection	Attrition	Reporting	Level
	bias	bias	bias	bias	bias	of bias
Howell et al., 1975, USA	Present	Present	Unclear	Present	Unclear	High
Engel et al., 2014, Australia	Not present	Present	Not present	Not present	Not present	Low
Dougherty et al., 2011, USA	Unclear	Present	Present	Present	Not present	High
Zanotti et al., 2012, Italy	Not present	Present	Not present	Not present	Unclear	Low
Masarsky and Weber, 1988, USA	Present	Present	Present	Present	Present	High
Engel et al., 2013, Australia	Not present	Present	Not present	Not present	Not present	Low

TABLE 5. RISK OF BIAS OF INCLUDED STUDIES

other three studies^{24–26} had a high risk of bias primarily associated with the study design. There was performance bias in all trials, including the RCTs.

Synthesis of results

Because of the heterogeneous nature of the included trials, pooling of data and meta-analysis of the results were not possible.

Reported results of studies

One RCT plus the observational study^{23,24} reported a decrease in RV and an increase in TLC following MT. Two of the RCTs^{21,22} reported an increase in FVC in the short and medium term, respectively, in the group receiving MT. The single case study²⁶ reported similar increases but only in the short term. The three RCTs reported an increase in distance walked (6MWT) in the groups receiving MT, with one²² also reporting an improvement in dyspnea scores. Quality-of-life scores changed little across all trials. Three studies^{21,22,25} reported on adverse events following SMT, describing a small number of minor adverse events and no moderate or severe adverse events. Minor adverse events are described as muscle soreness up to 24 hours after treatment that resolves with no further intervention.

Discussion

This systematic review updates the results from a previous review and is the first to focus on evidence of the effect of administering SMT in conjunction with other interventions in the management of COPD. Improvements in lung function (increases in FEV₁ and FVC; decrease in RV) and exercise capacity (increase in 6MWT) were reported in three RCTs following a combination of SMT and exercise. While these findings were recorded in pilot and preliminary trials, they represent preliminary evidence that the combination of SMT with exercise may be more beneficial to people with COPD than exercise or SMT alone. Furthermore, the results provide additional information to the review by Heneghan and colleagues; however, the findings of this review contrast with the earlier conclusion that no evidence supported or refuted the use of MT on patients with COPD.13

While performance bias was present in all of the studies included in this review, it was the result of the difficulty in blinding the therapists who provided SMT intervention. Thus, it should be interpreted in light of the general difficulty in finding a suitable sham intervention for SMT. Setting this aside, the level of bias in the three RCTs was low, confirming that their designs were satisfactory. The reduction in RV and increase in FVC resulting from SMT intervention may be the mechanism underlying the delay in the onset of exercise-limiting dyspnea. The suggestion that this leads to the reported improvements in exercise capacity is plausible because the onset of dyspnea is the primary cause for exercise cessation in COPD.^{21–23} This is supported in principle by Heneghan et al., who stated that using MT to increase thoracic mobility in an effort to reduce the work of breathing was a reasonable approach to consider.¹³

The three studies that recorded adverse events following MT^{21,22,25} reported similar rates of mild adverse events. A mild adverse event consisted of muscle soreness that resolved within 48 hours without the need for further medical treatment. This included the two studies that used a predetermined MT protocol consisting of soft tissue therapy and thoracic SMT.^{21,22} No moderate or severe adverse events following any type of SMT intervention were reported in these studies

This review had several methodologic limitations. These include the small number of studies, the sample size in each of the studies, variation in participant characteristics and SMT intervention across studies, and that the cohorts may not be representative of the general population of people with COPD. These limitations notwithstanding, the review highlights the potential of SMT to benefit people with COPD.

In conclusion, this appears to be the first systematic review to investigate the evidence for administering SMT in conjunction with other modalities, such as exercise, on people with COPD. The exclusion of such combinations may explain the disparity in findings between this review and the review by Heneghan et al., who found no evidence to support or refute the use of MT in the management of COPD. The importance of increasing exercise capacity even by indirect methods such as increasing thoracic mobility should not be underestimated because exercise capacity is a predictor of mortality in COPD. As PR does not improve lung function, the current findings may have wider implications if repeated in a larger cohort. The results of this systematic review support the recommendation for further research in the field, in particular a larger RCT designed to investigate the effect of combining SMT with exercise.

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Author Disclosure Statement

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