Vascular factors in suspected normal pressure hydrocephalus

A population-based study

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ABSTRACT

Objective: We examined clinical and imaging findings of suspected idiopathic normal pressure hydrocephalus (iNPH) in relation to vascular risk factors and white matter lesions (WMLs), using a nested case-control design in a representative, population-based sample.

Methods: From a population-based sample, 1,235 persons aged 70 years or older were examined with CT of the brain between 1986 and 2000. We identified 55 persons with hydrocephalic ventricular enlargement, i.e., radiologic findings consistent with iNPH. Among these, 26 had clinical signs that fulfilled international guideline criteria for probable iNPH. These cases were labeled suspected iNPH. Each case was matched to 5 controls from the same sample, based on age, sex, and study cohort. Data on risk factors were obtained from clinical examinations and the Swedish Hospital Discharge Register. History of hypertension, diabetes mellitus (DM), smoking, overweight, history of coronary artery disease, stroke/TIA, and WMLs on CT were examined. Risk factors associated with iNPH with a p value <0.1 in χ^2 tests were included in conditional logistic regression models.

Results: In the regression analyses, suspected iNPH was related to moderate to severe WMLs (odds ratio [OR] 5.2; 95% confidence interval [CI]: 1.5–17.6), while hydrocephalic ventricular enlargement was related to hypertension (OR 2.7; 95% CI: 1.1–6.8), moderate to severe WMLs (OR 6.5; 95% CI: 2.1–20.3), and DM (OR 4.3; 95% CI: 1.1–16.3).

Conclusions: Hypertension, WMLs, and DM were related to clinical and imaging features of iNPH, suggesting that vascular mechanisms are involved in the pathophysiology. These findings might have implications for understanding disease mechanisms in iNPH and possibly prevention. Neurology® 2016;86:592–⁵⁹⁹

GLOSSARY

 $CAD =$ coronary artery disease; $Cl =$ confidence interval; $DM =$ diabetes mellitus; $HVe =$ hydrocephalic ventricular enlargement; ICD = International Classification of Diseases; iNPH = idiopathic normal pressure hydrocephalus; OR = odds ratio; $WML =$ white matter lesion.

Idiopathic normal pressure hydrocephalus (iNPH) is probably more common than previously supposed. We have recently reported that 5.9% of persons older than 80 years have this disorder.¹ Treatment with shunt surgery improves symptoms in more than 80% of patients.² Despite this, iNPH is underdiagnosed and undertreated.1,3 Furthermore, little is known about the underlying disease mechanisms. It is important to learn more about risk factors for the disease in order to understand pathophysiologic mechanisms and suggest preventive measures. Vascular risk factors, such as hypertension, diabetes, and ischemic heart disease, have previously been associated with iNPH in hospital-based, case-control studies.⁴⁻⁹ Also, cerebral white matter lesions (WMLs), which are associated with small vessel disease and white matter ischemia,¹⁰ are common in $iNPH$.^{11,12} It has therefore been suggested that vascular mechanisms may be related to the development of iNPH. However, previous studies on risk factors have not been population-based. Furthermore, there are no previous epidemiologic investigations regarding WMLs in iNPH.

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We aimed to examine vascular risk factors and WMLs in relation to clinical and radiologic findings of suspected iNPH using a nested casecontrol analysis in a large, representative, population-based sample. The following exposure variables were examined: history of hypertension, diabetes mellitus (DM), smoking, overweight, history of stroke or TIA, coronary artery disease (CAD), and WMLs.

METHODS Study population. The study population has been described in detail previously.¹ Between 1986 and 2000, studies on representative elderly populations in Gothenburg, Sweden, were conducted using identical examinations (including neuropsychiatric examinations and key informant interviews) at each occasion.13 All participants were systematically obtained from the Swedish population register based on birth dates and included people living in private households and in residential care. Subsamples were examined with CT of the brain. The studies included the H85 studies,¹⁴⁻¹⁶ the Nordic Research on Ageing studies,¹⁷ the Prospective Population Study of Women,¹⁸ and the Gerontological and Geriatric Population Studies (H70) in Gothenburg, Sweden^{19,20} (figure 1). These samples have been described in detail previously and were examined with identical methods by the same research team.

In total, 3,246 individuals were invited and 2,179 accepted to take part in a clinical examination (response rate 67%), with no significant difference in response rate between men and women (65% vs 68%; $p = 0.107$). Of those who took part in the clinical examinations, 1,235 accepted a CT scan of the head (response rate 58.1%; 60% for men and 56% for women; $p = 0.067$). Participants in the CT study were on average slightly younger and performed better on the Mini-Mental State Examination. There were no significant differences in the prevalence of dementia or major depression between the CT group and non-CT group (table e-1 on the Neurology® Web site at [Neurology.org](http://neurology.org/lookup/doi/10.1212/WNL.0000000000002369)).

The examinations included comprehensive somatic and neuropsychiatric assessments. These comprised medical history, use of medications, ratings of signs and symptoms of psychiatric and somatic disorders, laboratory analyses, and key informant interviews. The neuropsychiatric examinations were performed by psychiatrists between 1986 and 2000 and by experienced psychiatric nurses in 2000.

Standard protocol approvals, registrations, and patient consents. The Ethics Committee for Medical Research at Gothenburg University approved all studies. Informed consent was obtained from all participants, close relatives, or both.

Diagnosis of clinical and radiologic signs of iNPH. Clinical and radiologic signs of iNPH were evaluated using CT images of the brain and data from clinical examinations. This has previously been described in detail.¹ We evaluated all scans for hydrocephalic ventricular enlargement (HVe), i.e., radiologic findings consistent with iNPH. HVe was diagnosed based on previous descriptions of radiologic signs in the literature²¹⁻²³ and defined as follows: a general enlargement of all 4 ventricles without atrophy or concomitant widening of cortical sulci. Persons with obstruction of CSF flow or other pathology influencing the ventricular system were excluded. Absence or presence of WMLs was not considered for the diagnosis. A consultant neuroradiologist, unaware of the clinical data, made the final diagnosis of HVe in all cases. In total, 55 persons had HVe (mean Evans index 0.36, SD 0.04). Of these, 26 persons had clinical signs and symptoms that fulfilled clinical criteria for probable iNPH in accordance with the American–European iNPH guidelines.²¹ These cases were labeled suspected iNPH and comprised persons who, in addition to having radiologic findings of iNPH, had gait disturbance according to examination or self-report, and either a Mini-Mental State Examination score of \leq 25 or urinary incontinence. Among cases with HVe that did not fulfill criteria for suspected iNPH, 17 had gait disturbance, cognitive impairment, or urinary incontinence, and 12 did not have any symptoms of iNPH. History of severe head trauma, meningitis, or subarachnoid bleeding was used as exclusion criteria for suspected iNPH. Demographic characteristics are shown in table 1.

The sample comprised data from 4 prospective cohort studies. Pooled sample included all persons invited. H70 = Longitudinal Gerontological and Geriatric Population Studies in Gothenburg, Sweden; NORA = Nordic Research on Ageing; PPSW = Prospective Population Study of Women.

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Abbreviations: HVe = hydrocephalic ventricular enlargement; iNPH = idiopathic normal pressure hydrocephalus. Cases were matched on age, sex, and cohort. Higher education was defined as more than 7 years of elementary school, which was previously the mandatory minimum in Sweden. Data on education was not available in 18.6% for suspected iNPH and in 20.6% for HVe. The p values show results of Cochran-Mantel-Haenszel tests.

Clinical assessments. We used data from the clinical examinations and the Swedish Hospital Discharge Register to determine the occurrence of vascular risk factors. The Swedish Hospital Discharge Register is a validated national health care register that currently records more than 99% of all somatic and psychiatric hospital discharges in Sweden.²⁴ We classified any of the risk factors as present if a previous hospital diagnosis had been made.

Risk factors and WMLs. We assessed history of hypertension, DM, stroke/TIA, and CAD using data from the Swedish Hospital Discharge Register and self-reported diagnosis as told by a physician. History of hypertension was defined as a previous diagnosis of hypertension or use of antihypertensive medication. DM was defined as previous diagnosis of DM type 1 or type 2, or pharmacologic treatment. Pharmacologic treatment of hypertension and DM was assessed by self-report. Stroke/TIA was defined as a previous diagnosis of ischemic or hemorrhagic stroke or TIA. CAD was defined as previous diagnosis of myocardial infarction or angina pectoris told by a physician. Table e-2 shows the ICD codes in the Swedish Hospital Discharge Register that were used. In addition, we used data from interviews and clinical examinations to assess smoking and overweight. Smoking was classified as past or present cigarette smoking vs never having smoked. Overweight was defined as body mass index $>$ 25 kg/m².

WMLs were examined by experienced radiologists unaware of clinical data. These were made independently of the evaluation for HVe, i.e., WMLs and HVe were not examined by the same persons. The rating of WMLs has previously been described in detail.19,20,25 WMLs were defined as low-density areas in the periventricular and subcortical white matter. Decreased density was rated in relation to the attenuation of normal white matter and was defined as no, mild, moderate, or severe.²⁵ We classified WMLs as moderate to severe vs none or only mild.

Statistical analysis. We used a nested case-control design. Two groups of cases were defined: those with HVe and those with suspected iNPH. For each case, 5 controls were randomly selected from the total sample matched for age, sex, and cohort (figure 2). The control groups comprised CT participants without radiologic signs of iNPH (275 controls for HVe, and 130 controls for suspected iNPH). We tested frequency and unadjusted odds for categorical data using Pearson χ^2 test. Because analyses were performed on a matched sample, the Cochran-Mantel-Haenszel test was also performed. As predetermined, variables with an initial p value <0.1 were further examined in multivariable regression models. Because the sample was matched, we used conditional logistic regression

analysis. Separate models were made for HVe and suspected iNPH. Statistical tests were 2-sided and results considered significant at $p < 0.05$. Analyses were performed using SPSS Statistics 22.0 (IBM Corp., Armonk, NY).

RESULTS Frequency of risk factors is shown in table 2. History of hypertension and stroke/TIA and moderate to severe WMLs were associated with suspected iNPH compared to matched controls. Similarly, history of hypertension and stroke/TIA and moderate to severe WMLs were associated with HVe compared to matched controls. In addition, the frequency of DM was 17% in those with HVe and 8.9% among controls ($p = 0.091$). The frequency of overweight was 70.4% in those with HVe compared to 50.8% among controls ($p = 0.056$).

In the conditional logistic regression models, suspected iNPH was related to moderate to severe WMLs, while HVe was related to history of hypertension, moderate to severe WMLs, and DM. These results are shown in table 3.

Among cases with HVe, all but 4 persons (51/55, 93%) had history of hypertension, DM, or WMLs on CT. Of those with suspected iNPH, all but 2 persons (24/26, 92%) had history of hypertension, DM, or WMLs on CT.

DISCUSSION In this population-based, nested casecontrol study, we examined clinical and imaging findings of suspected iNPH in relation to WMLs and vascular risk factors. We found that WMLs were strongly related to both HVe and suspected iNPH. In addition, history of hypertension and DM were associated with HVe independent of WMLs. Our results suggest that vascular factors are involved in the disease mechanism of this disorder. Our findings may also have implications for prevention, as these risk factors are modifiable. Clinicians should be aware of the high occurrence of vascular risk factors in iNPH.

Previous case-control studies on risk factors for i NPH were hospital-based, $4-8,11$ and cases and Figure 2 Overview of study sample

Of those with HVe (n = 55), 26 had suspected iNPH (i.e., had clinical signs that fulfilled guideline criteria for probable iNPH). Among those with HVe that did not fulfill guideline criteria for suspected iNPH ($n = 29$), 17 had gait disturbance, cognitive impairment, or urinary incontinence, and 12 persons did not have symptoms of iNPH. Both control groups were randomly selected from CT participants and comprised persons without radiologic findings consistent with iNPH. Among persons in the pooled sample, 2 were excluded because of complete lack of data. One person was found to be younger than 70 years and was therefore also excluded. HVe = hydrocephalic ventricular enlargement; iNPH = idiopathic normal pressure hydrocephalus.

controls were selected separately, thus having a potential risk of selection bias. Also, hospital-based samples probably include more severe cases. These studies reported associations between iNPH, WMLs, and various vascular risk factors, mainly hypertension and DM.^{4-8,11,12} Our study adds to existing knowledge by being population-based. We examined a large representative sample and found that almost all cases had history of hypertension, DM, or WMLs. Despite having used different methods and diagnostic criteria

Abbreviations: CAD = coronary artery disease; CI = confidence interval; HVe = hydrocephalic ventricular enlargement; iNPH = idiopathic normal pressure hydrocephalus; $OR = odds ratio$; WMLs = white matter lesions.

ORs show results of Cochran-Mantel-Haenszel test for cases vs controls. The p values are results of Pearson χ^2 . Data are % (n/N): n = number of persons with corresponding risk factor; N = number of persons examined for the corresponding risk factor. WMLs graded on the Gothenburg scale, 0-3 (not present or only mild $= 0-1$ vs moderate to severe $= 2-3$).

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Abbreviations: $CI = confidence$ interval; $HVe = hydrocephalic$ ventricular enlargement; iNPH idiopathic normal pressure hydrocephalus; $OR =$ odds ratio; WMLs = white matter lesions. WMLs graded on the Gothenburg scale, $0-3$ (not present or only mild = $0-1$ vs moderate to severe = 2-3). ORs and p values show results of conditional logistic regression models. The model for suspected iNPH included history of hypertension WMLs and stroke/TIA. For HVe, the model included history of hypertension, WMLs, stroke/TIA, diabetes mellitus, and overweight.

> than previous studies, our results are in line with earlier findings.

> The strong association between iNPH and WMLs might indicate that microvascular disturbances in the white matter are part of the disease process in iNPH. Although other explanations might also exist, WMLs have previously been found to give rise to similar subcortical symptoms as iNPH, such as gait disturbance, urinary incontinence, and cognitive impairment.²⁶ Of note, patients with iNPH have also been found to have reduced blood flow in the periventricular white matter compared to controls.²⁷ Previous studies have shown that cerebral blood flow increases after CSF removal, i.e., following lumbar puncture or shunt surgery.²⁸⁻³⁰ Furthermore, postoperative reduction of WMLs in iNPH correlates with clinical improvement.31,32 It is thus possible that clinical improvement after shunt surgery is attributable to reversal of white matter changes.

> Our findings, and those from previous studies, suggest that hypertension and DM are involved in the pathophysiology of iNPH. Both of these conditions are associated with small vessel disease and impaired blood flow with reduced metabolism.^{10,33} However, we found that hypertension and DM increased the risk of iNPH independently of WMLs. It is thus possible that hypertension and DM increase risk of iNPH through other mechanisms than the development of WMLs. One explanation may be that hypertension has a direct mechanical effect on ventricular size. A relation between hypertension and increased ventricular volume was reported in a prospective cohort study.³⁴ Also, progressive ventricular dilation has been found to occur in spontaneously hypertensive rats.³⁵ In another study, hydrocephalus developed rapidly in sheep after balloons were inserted into the ventricles and set to inflate during

systole and deflate during diastole, thus increasing the pulse pressure without concomitant increase in mean CSF pressure.36 It might be that hypertension and DM contribute to stiffening of the large vessels leading to a decrease in windkessel effect, i.e., the ability of elastic arteries to expand during systole.³⁷ As a consequence, pulse pressure is increased resulting in higher mechanical force on the brain parenchyma. This could ultimately lead to distension of the ventricles. Our findings should be seen in light of reports that patients with iNPH and concurrent hypertension or DM have more severe symptoms than those without.³⁸

Among the strengths of this study are the size and representativeness of the population-based sample. All participants were systematically selected from the general population, i.e., participants were not scanned because of symptoms or suspicions of iNPH, and we identified cases with HVe without considering clinical data. Nevertheless, similar to earlier studies, we found significant associations between vascular factors and clinical and imaging features of iNPH.

Another strength is that data were obtained from comprehensive clinical examinations complemented with data from the Swedish Hospital Discharge Register. We used a nested case-control design, which has several advantages compared to standard case-control studies that use hospital-based samples. For example, both cases and controls were obtained from the same population, thus reducing the risk of selection bias. In addition, exposure data were collected prospectively, and the risk of recall bias was minimized. The Hospital Discharge Register included information dating back several decades before the examinations.

There are several important limitations that should be discussed. One main limitation is the cross-sectional design, which precludes the possibility of determining the causal pathway between WMLs and symptoms and signs of iNPH. Several mechanisms for the association might exist and we cannot exclude that iNPH itself causes white matter changes due to edema or CSF stagnation. It might also be that the causal pathway between WMLs and iNPH is bidirectional. Nevertheless, the main finding in this study is the overlap in vascular risk factors, white matter changes, and radiologic and clinical signs of iNPH. Considering the population-based design, our findings might be important for understanding possible disease mechanisms.

Both WMLs and iNPH are associated with cognitive impairment and gait difficulty. Thus, the classic symptoms of iNPH might be due to concomitant white matter disease, although the reverse may also be true. This could possibly explain the overlap between vascular risk factors, white matter changes, and symptoms and signs of iNPH. It has been shown that shunt surgery improves symptoms in those with coexisting iNPH and dementia due to extensive white matter disease,³⁹ and white matter changes are reduced following CSF drainage.31,32,39

Another main limitation was the use of CT. WMLs are better visualized with MRI and were rated in a rather crude way. Several of the CT scans were made using imaging techniques that are inferior to modern standards and we were thus unable to quantify volume of WMLs or analyze blood flow. Also, we cannot exclude that some persons with normalappearing white matter on CT had a substantially disrupted anatomy that was not detected but might have been visualized by diffusion tensor imaging. This could be one reason why hypertension, DM, and WMLs were independently related to iNPH. However, it might be that CT is more specific for severe WMLs and thus detects changes that are more clinically relevant.⁴⁰ Suboptimal imaging of WMLs could have reduced our chances of finding significant results. From this standpoint, the associations between iNPH and WMLs were surprisingly strong.

An additional limitation was the lack of precision in diagnosing iNPH. Cases were diagnosed retrospectively based on CT and data from clinical examinations. MRI would have been better for the diagnosis of iNPH. Also, supportive diagnostic tests and response to shunt were not evaluated. However, shunt responsiveness and supplementary testing are not mandatory for the diagnosis of iNPH.²¹ Although the diagnosis was made in accordance with international guideline criteria, several symptoms of iNPH are common among elderly persons and often nonspecific. Thus, we cannot rule out the possibility of misdiagnosis or that some cases had concomitant disorders that confounded the results. However, it is important to note that the associations were similar or even stronger for HVe. The group with HVe was selected only on the basis of imaging criteria and should therefore be subject to less potential bias due to uncertainty regarding the classification of clinical symptoms.

Another limitation is that the number of cases was relatively small. We cannot exclude that some of the results might have been falsely negative because of lack of statistical power, e.g., risk factors for suspected iNPH. However, it can be difficult to obtain a large number of cases using longitudinally followed cohorts from the general population. Also, in this study, we assessed all persons identified in a large representative sample obtained by merging data from several cohorts. Although the examinations were similar or identical between studies and were conducted by the same research group, we cannot exclude the possibility that procedures might have changed slightly over the years. The matching by cohort should reduce this possible bias.

Several variables were assessed using self-report. A certain risk of reporting error might thus have been entailed, especially in those with suspected iNPH. However, the concomitant use of the Swedish Hospital Discharge Register as well as key informant interviews probably increased accuracy of exposure data. Regarding the assessment of hypertension, some of the participants were treated with antihypertensive medications. It is possible that treatment would influence the relation with iNPH. However, if antihypertensive medications affected the risk of iNPH, our chances of getting significant results would probably have been reduced.

Another limitation is that our sample only included persons aged 70 years or older. It might be that disease mechanisms differ in younger persons with iNPH.

We found that history of hypertension, DM, and WMLs was related to clinical and imaging features of iNPH. Vascular mechanisms are probably involved in the pathophysiology of iNPH. The results of this study might have importance for prevention. Clinicians treating patients with iNPH should be aware of the high occurrence of vascular risk factors.

AUTHOR CONTRIBUTIONS

Daniel Jaraj made the screening assessment of the CT images, participated in the design of the study, performed statistical analyses, helped coordinate the study as well as process and interpret the data, and drafted the manuscript. Simon Agerskov participated in the design of the study, performed statistical analyses, helped process and interpret the data, and drafted the manuscript. Katrin Rabiei made the screening assessment of the CT images and helped revise the manuscript. Thomas Marlow participated in the design of the study, performed statistical analyses, helped process and interpret the data, and helped revise the manuscript. Christer Jensen made the final radiologic assessment of the CT images and helped revise the manuscript. Xinxin Guo participated in collection of clinical data and helped revise the manuscript. Silke Kern participated in collection of clinical data and helped revise the manuscript. Carsten Wikkelsø conceived the study, participated in the design of the study, participated in the screening assessment of the CT images, helped coordinate the study, and helped revise the manuscript. Ingmar Skoog was principal investigator of the population studies, interpreted the data, participated in the design of the study, and helped revise the manuscript. All authors have read and approved the final manuscript.

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This Week'^s Neurology® Podcast

Vasculitic neuropathy following exposure to minocycline (see the February 2016 issue of Neurology® Neuroimmunology & Neuroinflammation)

This podcast begins and closes with Dr. Robert Gross, Editor-in-Chief, briefly discussing highlighted articles from the February 16, 2016, issue of Neurology. In the second segment, Dr. Ted Burns talks with Dr. Chafic Karam about his Neurology: Neuroimmunology & Neuroinflammation paper on vasculitic neuropathy following exposure to minocycline. Dr. Ilena George reads the e-Pearl of the week about primary progressive aphasia. In the next part of the podcast, Dr. Ted Burns focuses his interview

with Dr. Jacqueline French on a Neurology Today® story about a wearable EEG device.

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No CME will be offered this week: Interviews based on articles from Neurology® Clinical Practice, Neurology® Genetics, and Neurology: Neuroimmunology & Neuroinflammation are excluded from the CME program.