

HHS Public Access

Author manuscript *Neuroimage*. Author manuscript; available in PMC 2017 March 01.

Published in final edited form as: *Neuroimage*. 2016 March ; 128: 167–179. doi:10.1016/j.neuroimage.2015.12.026.

Probabilistic maps of the white matter tracts with known associated functions on the neonatal brain atlas: application to evaluate longitudinal developmental trajectories in term-born and preterm-born infants

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Abstract

Diffusion tensor imaging (DTI) has been widely used to investigate the development of the neonatal and infant brain, and deviations related to various diseases or medical conditions like preterm birth. In this study, we created a probabilistic map of fiber pathways with known associated functions, on a published neonatal multimodal atlas. The pathways-of-interest include the superficial white matter (SWM) fibers just beneath the specific cytoarchitectonically defined cortical areas, which were difficult to evaluate with existing DTI analysis methods. The Jülich cytoarchitectonic atlas was applied to define cortical areas related to specific brain functions, and the Dynamic Programming (DP) method was applied to delineate the white matter pathways traversing through the SWM. Probabilistic maps were created for pathways related to motor, somatosensory, auditory, visual, and limbic functions, as well as major white matter tracts, such as the corpus callosum, the inferior fronto-occipital fasciculus, and the middle cerebellar peduncle, by delineating these structures in eleven healthy term-born neonates. In order to characterize maturation-related changes in diffusivity measures of these pathways, the probabilistic maps were then applied to DTIs of 49 healthy infants who were longitudinally scanned at three time-points, approximately five weeks apart. First, we investigated the normal developmental pattern based on 19 term-born infants. Next, we analyzed 30 preterm-born infants to identify developmental patterns related to preterm birth. Last, we investigated the difference in diffusion measures

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between these groups to evaluate the effects of preterm birth on the development of these functional pathways. Term-born and preterm-born infants both demonstrated a time-dependent decrease in diffusivity, indicating postnatal maturation in these pathways, with laterality seen in the corticospinal tract and the optic radiation. The comparison between term- and preterm-born infants indicated higher diffusivity in the preterm-born infants than in the term-born infants in three of these pathways: the body of the corpus callosum; the left inferior longitudinal fasciculus; and the pathway connecting the left primary/ secondary visual cortices and the motion-sensitive area in the occipitotemporal visual cortex (V5/MT+). Probabilistic maps provided an opportunity to investigate developmental changes of each white matter pathway. Whether alterations in white matter pathways can predict functional outcomes will be further investigated in a follow-up study.

Graphical Abstract

Keywords

Diffusion tensor imaging; Functional pathway; Tractography; Probabilistic map; Neonate; Preterm birth

1. Introduction

Diffusion tensor imaging (DTI) has been widely used to investigate the development of neonatal and infant brains and alterations related to various diseases or medical conditions (Huppi et al., 1998). Whole-brain DTI analysis based on tract-based spatial statistics (TBSS) (Smith et al., 2006), which is suitable for detecting alterations of white matter tracts with high fractional anisotropy (FA), has been applied to evaluate various conditions associated with prematurity at birth: chronic lung disease (Ball et al., 2010); hypoxic-ischemic injury (Gao et al., 2012); hypercapnic ventilation (Ball et al., 2010); small-for-gestational-age infants (Lepomaki et al., 2013); and the neuro-protective effect of erythropoietin therapy for preterm infants (O'Gorman et al., 2015). The major strength of TBSS is the ability to identify highly localized "hot spots" for group differences, without an *a priori* hypothesis. Atlas-based analysis (ABA) is an alternative whole-brain analysis method, in which brain structures are parcellated into more than 100 anatomical structures that cover the entire

brain, and parcel-by-parcel statistical analysis is performed (Oishi et al., 2012). ABA can evaluate the developmental status of individual anatomical units, including gray and white matter structures (Kersbergen et al., 2014; Loh et al., 2012; Oishi et al., 2013; Oishi et al., 2011c; Rose et al., 2014a), and allows the extraction of anatomical features from images that are combined with non-imaging clinical information (Rose et al., 2014b). The tracts-ofinterest (TOI) method, in which white matter bundles are defined by tractography and tractby-tract statistical analysis is performed, is well suited for the evaluation of major white matter bundles that are consistently observed in the human brain (Shi et al., 2014; Taylor et al., 2015). The fiber tracts mapped on images for the TOI are similar to a "roadmap" that visualizes connections between different areas, while parcellation maps from ABA are similar to an "area map" that defines the boundaries of each local region. The TBSS, ABA, and TOI methods are complementary to each other, and altogether, have contributed to the study of the early development of major white matter bundles. Namely, there is a general tendency toward a sharp increase in FA and a decrease in diffusivity measures during the first two years of life, but with variations depending on structures (Mukherjee et al., 2001; Saksena et al., 2008; Trivedi et al., 2009a; Yap et al., 2013; Zhang et al., 2007; Zhang et al., 2005). The limbic fibers are among the most developed structures at birth with the projection (e.g., corticospinal tract or spinothalamic tract) and the commissural (e.g., corpus callosum (CC)) fibers that develop from bottom-to-top and central-to-peripheral directions (Dubois et al., 2006; Gilmore et al., 2007; Huang et al., 2006; Huppi et al., 1998; Yap et al., 2013). The association fibers are the last to mature (Dubois et al., 2008; Huang et al., 2006; Zhang et al., 2007). This observation in DTI measures is congruent with patterns of myelination and axonal growth during early development (Kinney et al., 1988). The FA and diffusivity measures indicated a posterior-to-anterior direction of maturation within white matter regions (Oishi et al., 2011c; Rose et al., 2014a), although there is some controversy about the CC (Braga et al., 2015). Posterior portions of the CC and the projection fibers become detectable in deterministic tractography after their anterior counterparts (Huang et al., 2006). Among DTI measures, the FA increase is affected by tract organization (alignment, direction, and fiber-crossing), and the reduction in diffusion is sensitive to myelination, water content, and macromolecular concentration during early development (Gilmore et al., 2007; Mukherjee et al., 2002; Oishi et al., 2011c).

However, little is known about the development of the superficially located white matter (SWM) fibers (Oishi et al., 2011b; Oishi et al., 2008; Reveley et al., 2015). Investigation of the SWM of the neonatal brain is challenging because there is minimal myelination in neonatal and infant brains, which may lead to very low FA values. Since FA is too low, TBSS, or the conventional TOI methods that target high-FA white matter tracts, are unable to investigate these fibers. Moreover, delineating fibers passing through fiber-crossing areas such as the SWM is difficult with existing deterministic tractography, which relies on the first eigenvector of the tensor. ABA can be used to investigate the SWM (Oishi et al., 2008) although existing neonatal atlases (Brown et al., 2014; Kuklisova-Murgasova et al., 2011; Oishi et al., 2011c; Serag et al., 2012; Shi et al., 2014) do not include specialized parcellation maps for the investigation of the SWM. Since these SWM fibers connect between specific functional cortical regions, measuring the developmental trajectories of the

SWM may provide early indicators that reflect developmental milestones, or predict functional outcomes.

In this study, we investigated white matter pathways known to be associated with various functions including the motor, somatosensory, visual, auditory, and limbic systems, which travel through the SWM areas, and other major white matter fiber tracts, based on our hypothesis that the developmental status of these pathways is related to corresponding functional developments. As an initial step, we created probabilistic maps of neonatal functional pathways on the published neonatal multimodal atlas (Oishi et al., 2011c) to quantify DTI–derived measures of these pathways. These functions include motor, somatosensory, auditory, visual, and limbic systems, the status of which is important as these are the developmental milestones of early life ([http://www.nlm.nih.gov/medlineplus/](http://www.nlm.nih.gov/medlineplus/infantandnewborndevelopment.html) [infantandnewborndevelopment.html](http://www.nlm.nih.gov/medlineplus/infantandnewborndevelopment.html)). The pathways inevitably include SWM fibers just beneath the specific cytoarchitectonically defined cortical areas, which have been difficult to evaluate with existing analysis methods. The two major challenges were: to define cytoarchitectonically defined cortical areas that are usually invisible on conventional MRI or DTI; and to delineate fibers that course through the SWM. We applied the Jülich cytoarchitectonic atlas (Eickhoff, et al., 2005) to define cortical areas related to specific brain functions, and the Dynamic Programming (DP) method (Li et al., 2014; Ratnanather et al., 2013) to delineate the white matter pathways that course through the SWM. DP enables delineation of fibers within fiber-crossing areas using a probabilistic framework. Twenty-six white matter tracts that were categorized into one of the functional pathways (motor, somatosensory, auditory, visual, and limbic pathways), or major white matter bundles, such as the fronto-occipital fasciculus, the CC, and the middle cerebellar peduncle, were delineated on DTIs of 11 normal-term neonates, and normalized to the neonatal atlas. These tracts were binarized and then averaged to create probabilistic maps in the atlas space. To investigate maturation-related diffusion characteristics, the probabilistic maps were applied to DTIs of term- and preterm-born infants, who were longitudinally scanned at three timepoints, at approximately five-week intervals, when the brain has the most rapid growth (Holland et al., 2014). We investigated the developmental patterns of term-born and preterm-born infants, and the effects of preterm birth on the developmental pattern.

2. Methods

2.1 Creation of probabilistic maps

2.1.1 Neonates—Eleven de-identified DTIs of term-born neonates (four boys and seven girls born at 38 to 41 postmenstrual weeks, and scanned within three days of life) were used to create the probabilistic atlases. The neonates were born at the Johns Hopkins Hospital. For the database creation, approval was obtained from the Johns Hopkins Medicine Institutional Review Board and the neonates' parents provided written, informed consent. These eleven DTIs were used only for the creation of the probabilistic maps, and not used for the application study described in 2.2.

2.1.2 MRI scans—Scans were performed without sedation on sleeping neonates. Images were acquired using a 3.0 T Philips scanner equipped with gradients of up to 8.0 G/cm per

direction. The radio frequency (RF) was transmitted through a body coil and the receive coil was an eight-element sensitivity encoding (SENSE) coil, in which two of the coils were combined to be connected to a six-channel receiver. Single-shot echo planar imaging (EPI) with SENSE acquisition was used for DTI (Bammer et al., 2001; Jaermann et al., 2004; Pruessmann et al., 1999). The imaging matrix was 80×80 with a field-of-view of 150×150 mm, which gave a nominal 1.88 mm isotropic in-plane resolution. These were zero-filled to 256×256 mm. The slice orientation was axial with a 1.9 mm thickness parallel to the anterior–posterior commissure line. Forty to fifty slices covered the entire brain. Echo time was 71 ms and repetition time was approximately 4670 ms (4670.49 – 4671.14) depending on the number of slices. A SENSE reduction factor of three was used. Diffusion-weighting was applied along 30 separate axes (Jones et al., 1999) with $b = 700 \text{ s/mm}^2$, in addition to five minimally diffusion-weighted images. Scanning time for one complete DTI dataset was approximately five minutes.

2.1.3 Image processing—The raw diffusion-weighted images were first co-registered to one of the minimally diffusion-weighted images using a linear transformation of Automated Image Registration (AIR) (Woods et al., 1998). The six elements of the diffusion tensor were calculated for each pixel with multivariate linear fitting using DtiStudio (H. Jiang and S. Mori, Johns Hopkins University, Kennedy Krieger Institute, lbam.med.jhmi.edu or www.MriStudio.org) (Jiang et al., 2006; Mori et al., 2008; Pierpaoli et al., 2001). After diagonalization, three eigenvalues and eigenvectors were obtained.

2.1.4 Cytoarchitectonic mapping on the JHU-neonate atlas—To date, the only publicly available cytoarchitectonic atlas based on histological examination is the Jülich cytoarchitectonic map [\(http://www.fz-juelich.de/inm/inm-1/EN/Forschung/_docs/](http://www.fz-juelich.de/inm/inm-1/EN/Forschung/_docs/SPMAnatomyToolbox/SPMAnatomyToolbox_node.html) [SPMAnatomyToolbox/SPMAnatomyToolbox_node.html](http://www.fz-juelich.de/inm/inm-1/EN/Forschung/_docs/SPMAnatomyToolbox/SPMAnatomyToolbox_node.html)) (Eickhoff, et al., 2005), which is overlaid on the adult T1-weighted Colin-27 brain (Holmes et al., 1998). We transformed this cytoarchitectonic map onto the JHU-neonate atlas (Oishi et al., 2011c) to identify cortical areas related to various brain functions. The transformation matrix was obtained by transforming the cortical parcellation map on the Colin-27 brain, "automated anatomical labeling (AAL)" (Tzourio-Mazoyer, et al., 2002), to the parcellation map of the JHUneonate atlas, using large deformation diffeomorphic metric mapping (LDDMM). Parcellation maps, rather than the T1-weighted images, were chosen to drive the transformation because the image contrast of T1-weighted images of the neonatal brain is substantially different from that of the adult T1-weighted images, and does not provide adequate contrast for anatomical delineations. Specifically, white matter has a higher intensity than the cortex on adult T1-weighted images, while the cortex is more intense than unmyelinated white matter on neonatal T1-weighted images. Cortical gyri defined on the AAL and the JHU-neonate atlases were co-registered through the LDDMM transformation.

2.1.5 Cytoarchitectonic mapping on each neonatal DTI—The JHU-neonate DTI atlas was transformed to each of the 11 brain DTIs using dual-channel LDDMM (Ceritoglu et al., 2009; Oishi et al., 2009). The reported transformation accuracy of this method, measured by the Dice coefficient, were > 0.8 in the deep gray matter structures (Oishi et al., 2011c) and > 0.6 in the cortical area (Zhang et al., 2014). Resultant transformation matrices

were applied to the cytoarchitectonic maps on the JHU-neonate atlas to create cytoarchitectonic maps for each of the 11 neonatal DTIs. Cytoarchitectonic labels used for this study were: the supplementary and premotor cortices (Area 6) (Geyer, 2004); the primary motor cortex (Areas 4a and 4p) (Geyer et al., 1996); the sensory thalamus; the primary somatosensory cortex (Areas 1, 2, 3a, and 3b) (Geyer et al., 1999; Geyer et al., 2000; Grefkes et al., 2001); the primary auditory cortex (Areas TE1.0, 1.1, and 1.2) (Morosan et al., 2001); and the visual cortices (V1, V2, V4, and V5/MT+) (Amunts et al., 2000; Malikovic et al., 2007; Wilms et al., 2005). The medial geniculate body (MGB) was adopted from the JHU-neonate atlas [\(http://lbam.med.jhmi.edu/](http://lbam.med.jhmi.edu/)), which was manually delineated based on the T1-weighted contrast. The inter-rater reproducibilities of two MGB areas, drawn by two raters, and measured by the Dice coefficient, were 0.837 (left) and 0.877 (right).

2.1.6 Tractography—The functional pathways were depicted using DTI tractography. The names of the pathways studied are listed with the corresponding regions of interest (ROIs) in Table 1. Deterministic tractography (Mori et al., 1999) was applied to construct pathways, using an established tract-tracing protocol (Kaur, et al., 2014; Yamamoto, et al., 2007) that included: the genu, body, and the splenium of the CC; the cingulate fasciculus; the corticospinal tract; the inferior fronto-occipital fasciculus; the inferior longitudinal fasciculus (ILF); the optic radiation; the middle cerebellar peduncle; and the uncinate fasciculus. Briefly, ROIs were drawn manually as described here. The corticospinal tract: the first ROI was placed on the cerebral peduncle in the axial view and the second ROI was placed on the precentral gyrus in the axial view. The optic radiation: the first ROI was placed on the occipital lobe in a coronal view and the second ROI was placed on the lateral geniculate body in a sagittal view. The uncinate fasciculus: the first ROI was placed on the temporal lobe in the coronal view and the second ROI was placed on the inferior part of the frontal lobe in the coronal view. The cingulum bundle: the first ROI was placed on the cingulate gyrus region at the level of the splenium of the CC in the coronal view and the second ROI was placed on the cingulum at the level of the genu of the CC in the coronal view. The ILF: the first ROI covered the entire hemisphere at the level of the posterior edge of the cingulum in the coronal view and the second ROI was placed on the temporal lobe in the coronal view. The inferior fronto-occipital fasciculus: the first ROI was placed on the occipital lobe in the coronal view and the second ROI was placed on the frontal lobe in the coronal view. The middle cerebellar peduncle: the two ROIs were placed on both sides of the middle cerebellar peduncle in the same axial slice. The CC: the ROI was placed on the entire CC at the midsagittal plane.

To depict pathways that pass through SWM areas and connect adjacent cortical areas, or through regions in which multiple white matter bundles cross, we applied the path-finding approach based on the DP (Khaneja et al., 1998; Ratnanather et al., 2003), as detailed previously (Li et al., 2014). This method computes the optimal path (in this study, the functional pathways) linking two regions-of-interest, based on probabilities of the transitions between each voxel on the path and its 26 neighbor voxels. Optimization was achieved by DP, which calculates the path with the lowest cumulative cost of all points on the path. We applied this technique to create known neuronal connections between two anatomical

structures, such as the pathway between the premotor and primary motor cortices, the pathway between the sensory thalamus and the primary somatosensory cortex, the acoustic radiation that connects the MGB and the primary auditory cortex, the pathway that connects the V1/V2 and the V4, and the pathway that connects the V1/V2 and the V5/MT+ (V1/V2 - V5/MT+).

2.1.7 Probabilistic maps of the functional pathways—The pathways constructed by tractography were binarized (inside =1; outside =0) and transformed to the JHU-neonate atlas space, by applying LDDMM matrices derived from transforming individual DTIs to the JHU-neonate atlas. The probabilistic maps were calculated as average images of normalized individual neuronal pathways.

2.2 Application of the probabilistic maps to DTI of term- and preterm-born infants

We applied the probabilistic maps to a set of longitudinally scanned brain DTIs of infants to measure the diffusivity of each neural pathway. The two purposes of the application studies were to characterize the developmental pattern of the white matter pathways in term- and preterm-born infants, and to investigate the effect of preterm birth on developmental patterns.

2.2.1 Participants—Eighty-four term- and preterm-born infants were enrolled. The infants' parents or legal guardians first provided written and verbal informed consent for the study, which was approved by the Co-operative Institutional Review Board of the Queen's Medical Center, the University of Hawaii, and the Johns Hopkins University. Nineteen termborn and 30 preterm-born infants completed the longitudinal study and were clinically evaluated by a physician to ensure they fulfilled the study criteria. Maternal exclusion criteria were: 1) Maternal age<18 years; 2) Inability to fully understand English, which would have precluded informed consent. Exclusion criteria for the term-born infants included: 1) Prolonged intensive care (>7 days); 2) Intracranial hemorrhage; 3) Neonatal encephalopathy; 4) Known Toxoplasmosis, Other (syphilis, varicellazoster, parvovirus B19), Rubella, Cytomegalovirus (CMV), and Herpes (TORCH) infections; 5) Congenital anomaly. Preterm-born infants were excluded if they 1) required supplementary oxygen or mechanical ventilation during the time of scanning; 2) had a circulation, respiratory or airway abnormality; 3) were diagnosed with fever, epilepsy, or active infection. These infants also were evaluated with a modified Amiel-Tison Neurological Assessment for newborns, and all had usable MR scans at three time points, approximately five weeks apart within the first term-equivalent four months of age (Table 2). The motor and somatosensory functions measured by the Amiel-Tison Neurological Assessment, the existence of retinopathy of prematurity (ROP), and the results of the hearing test are included in Table 2 as basic information.

2.2.2 Image acquisition and processing—The infants were scanned without sedation. A vacuum immobilization mat (Noras MRI Products, Hoechberg, Germany) was used to minimize infant motion and earmuffs were used to protect the infants' ears from scanner noise. Images were acquired using a 3.0 T Siemens TIM Trio scanner (Siemens Medical Solutions, Erlangen, Germany) equipped with a 12-channel phased-array RF coil for parallel

imaging. A single-shot EPI acquisition with SENSE was used for DTI. The imaging matrix was 80×80 with a field-of-view of 160×160 mm, which resulted in a 2 mm isotropic inplane resolution. The slice orientation was axial, with a 2.5 mm thickness. Forty to fifty slices covered the entire brain. Echo time was 106 ms and repetition time was 7 to 9 sec, depending on specific absorption rate limitations. Diffusion-weighting was applied along 12 independent axes with $b = 1000 \text{ s/mm}^2$, in addition to a minimally diffusion-weighted image. After tensor calculation using DtiStudio, each DTI was transformed to the JHUneonate DTI atlas using dual-channel LDDMM (Oishi et al., 2011c). The trace map (sum of three eigenvalues of the tensor) was calculated from each tensor field that was transformed to the atlas space.

To evaluate brain abnormalities visible on conventional T1- and T2-weighted images, threedimensional magnetization-prepared rapid gradient-echo (MPRAGE) and three-dimensional Sampling Perfection with Application optimized Contrast using different flip angle Evolutions (SPACE) sequences were acquired with the following parameters. MPRAGE: echo time 4.15 ms, repetition time 3.2 s, flip angle 7°, imaging matrix of $176 \times 256 \times 160$, and a 1 mm isotropic resolution. SPACE: echo time 386 ms, repetition time 3.2 s, imaging matrix of $120 \times 204 \times 256$, and a 1 mm isotropic resolution. These anatomical images were read by a neuroradiologist. No incidental findings were reported.

2.2.3 Data analysis—The trace value was chosen as a parameter to assess the neurodevelopmental status. Reduction in the trace value typically represents a decrease in water content and an increase in membrane density during the first stage of myelination, which leads to a decrease in all eigenvalues of the tensor (Baumann and Pham-Dinh, 2001; Dubois et al., 2008; Neil et al., 2002; Prayer et al., 2001; Wimberger et al., 1995), which correlates well with the developmental status of the white matter (Oishi et al., 2011c). Interpretation of other DTI-derived measures, such as axial and radial diffusivity or anisotropy, is not straightforward in SWM areas since fibers with different orientations in a voxel cause interactions between radial and axial diffusivities and may lead to fictitious changes (Wheeler-Kingshott and Cercignani, 2009). The average trace values of brain regions with a probability of more than 75% was measured for each infant at each time point using the probabilistic maps overlaid on the trace maps and transformed to the atlas space. As the FA value and other diffusivity measures are useful for cross-study comparison, these results were also reported (see Table $1 - 5$ in (Chang et al., submitted)).

2.2.3.1 Development of term-born and preterm-born infants: The term- and pretermborn infants were analyzed separately. For each pathway, a mixed model analysis for repeated measures was performed to characterize chronological changes in the trace value related to brain development over three time points, and to investigate the differences in trace values between boys and girls, and the left and right sides of the pathways. The subjects' age, based on postmenstrual weeks at the time of the scans, was used as a covariate to adjust for variation at each time point. A p-value of 0.05, corrected for multiple comparisons (Bonferroni), was used as the threshold.

2.2.3.2 Effect of preterm birth: The term- and preterm-born infants were analyzed together. For each pathway, a mixed model analysis for repeated measures was performed to

characterize chronological changes in the trace values related to brain development over three time points, and to investigate the differences between term- and preterm-born groups. A p-value of 0.05, corrected for multiple comparisons (Bonferroni), was used as the threshold. For the white matter pathways with significant differences between term- and preterm-born groups, a pairwise comparison between groups was performed at each time point to see the difference in the trace values corrected for postmenstrual weeks at scan (corrected trace). The corrected trace values were correlated with the gestational ages at birth to investigate the influence of prematurity on the trace value. A p-value of 0.05, corrected for multiple comparisons (Bonferroni), was used as the threshold. SPSS Statistics 22 (IBM, Armonk, NY) was used for the statistical analyses.

3. Results

3.1 The probabilistic maps of functional pathways

The probabilistic maps of five functional pathways and three major white matter tracts were overlaid on the JHU-neonate atlas for visualization (Fig. 1).

3.2 Application of the probabilistic maps to evaluate developmental changes in diffusion measures

3.2.1 Developmental trajectory of white matter pathways in term-born infants

—None of the infants had a brain abnormality visible on conventional T1- and T2-weighted images. The trace value decreased longitudinally in a time-dependent manner, indicating postnatal maturation (Fig. 2). Laterality of the trace value was identified only on the corticospinal tract (left<right, $p=7.41\times10^{-14}$), the optic radiation (left<right, $p=0.004$), and the pathway connecting the sensory thalamus and the primary somatosensory area (left>right, p=0.008) (Table 3A). The diffusion measures between boys and girls were not different.

3.2.2 Developmental trajectory of white matter pathways in preterm-born

infants—None of the infants had brain abnormality visible in conventional T1- and T2 weighted images. The trace value decreased longitudinally in a time-dependent manner as seen in term-born infants (Fig. 2). Laterality of the trace value was identified only on the corticospinal tract (left<right, $p=6.75\times10^{-18}$), the inferior fronto-occipital fasciculus (left<right, $p=0.002$), and the optic radiation (left<right, $p=0.011$) (Table 3B). The diffusion measures between boys and girls were not different.

3.2.3 Effect of preterm-birth on developmental trajectories of white matter

pathways—Interaction between group status (term versus preterm) and time point was not significant in any of the white matter pathways. The effect of time point on corrected trace values (corrected for the postmenstrual weeks at scan) was significant in most of the white matter pathways (Bonferroni corrected-p<0.05), except for the body of the CC ($p = 0.73$), the bilateral corticospinal tract (left, $p = 0.29$; right, $p = 0.27$), and the right acoustic radiation ($p = 0.09$). A significant group effect on corrected trace values was identified in the body of the CC ($p = 0.009$), the left ILF ($p = 0.010$), and the left V1/V2 – V5/MT+ ($p =$ 0.031) (Table 4). Pairwise comparisons showed significant group effects at all three time

points, with trace values consistently higher in preterm than term-born infants. The trace values for these three pathways, corrected for postmenstrual ages at scan, were negatively correlated with gestational age at birth. This was true for each time-point, with the exception of the left V1/V2 – V5/MT+, which was no longer significant at the third time-point, indicating normalization of the initially elevated trace (Fig. 3). However, relative to the term-born infants, several preterm-born infants with ROP showed persistently elevated trace values in the body of the CC $(2/5 \text{ with ROP})$; the left ILF $(5/10 \text{ with ROP})$; and in the left V1/V2 – V5/MT+ (2/4 with ROP). The results of other parameters, FA, and the first, second, and third eigenvalues, and the radial diffusivity, are shown in the Tables $1 - 5$ of (Chang et al., submitted). Briefly, an age-dependent increase in FA and a decrease in diffusivity measures (eigenvalues and radial diffusivity) were seen in all pathways, and group differences between the term- and preterm-born infants were seen in the body of the CC ($1st$ and $3rd$ eigenvalues and radial diffusivity), the genu of the CC ($3rd$ eigenvalue), left V1/2 – V4 (1st eigenvalue), the left V1/V2 – V5/MT+ (2nd eigenvalue and radial diffusivity), the right thalamus – primary somatosensory cortex ($1st$ eigenvalue), and the left ILF $(2nd$ and $3rd$ eigenvalues and radial diffusivity).

4. Discussion

We developed probabilistic maps of white matter tracts with known neuronal functions and evaluated the longitudinal microstructural development in both term- and preterm-born infants within the first four months of term-equivalent age. Despite the relatively normal neurological assessments without visible abnormalities on conventional T1- and T2 weighted images, the preterm-born infants showed less maturity, with higher diffusivity, than the term-born infants in three of these pathways: the CC; the left ILF; and the left primary visual cortex – V5/MT+ area. These findings demonstrate that evaluation of functional pathways using this approach may be more sensitive for assessing regional brain development in early life and for detecting delayed brain development.

4.1 Probabilistic maps of the functional pathways

The fibers passing through the SWM areas connecting cytoarchitectonically defined areas with known functions and other brain structures have been identified. These fibers connect the premotor and primary motor cortices, the thalamus and the primary somatosensory cortex, the medial geniculate body and the primary auditory cortex, and the primary visual and higher visual association cortices. These fiber trajectories were investigated in nonhuman primates using histology and neuroimaging approaches (Ratnanather et al., 2013; Schmahmann and Pandya, 2006) and compared to brain diffusion MRI results in humans (Berman et al., 2013; Oishi et al., 2011b). The fiber trajectories delineated in this study matched these findings, and we additionally proposed a three-dimensional trajectory between the primary and higher visual association cortices, which was not established previously.

DTI is a promising and sensitive method with which to detect subtle anatomical abnormalities in the neonatal brain (Miller et al., 2007; Mourmans et al., 2006; Neil et al., 2002; Nijman et al., 2013; Oishi et al., 2013; Okumura et al., 2008; Padilla et al., 2014;

Panigrahy and Bluml, 2007; Paquette et al., 2013; Parmar et al., 2004; Pogribna et al., 2013; Porter et al., 2010). To further explore the full potential of DTI, a systematic and quantitative assessment of brain abnormalities is essential to enable an evaluation of the anatomical-functional correlation for better diagnosis and prognosis of various types of anatomical abnormalities. We expect that the proposed probabilistic maps will be suitable to quantify the developmental status of the white matter pathways related to neuronal functions.

A potential future application of the probabilistic maps is the quantification of non-DTI modalities. Since the probabilistic maps are in the multimodal neonatal atlas space, one can transform T1- or T2-weighted images to the corresponding atlas. If quantitative images coregistered to T1- or T2-weighted images are available, the resultant transformation matrix can be applied to transform the quantitative images to the atlas space. For example, from a multiple echo spin echo sequence, a quantitative T2-map as well as a T2-weighted image could be extracted. One can assume the myelination status of each functional pathway by transforming the T2-map to atlas space (Pannek et al., 2013), and by overlaying the probabilistic maps for quantification of T2 values (Oishi et al., 2011a). This approach is especially useful when DTI is not available.

4.2 Development of functional pathways in term- and preterm-born infants

Consistent with previous DTI studies (Dubois et al., 2008; Gao et al., 2009; Provenzale et al., 2007), the longitudinal analyses of the functional pathways and the major white matter tracts in our term- and preterm-born infants indicate a basic pattern in the maturation process, such as a decrease in diffusivity and an increase in anisotropy with age (see Tables 1 – 5 of (Chang et al., submitted)). The decrease in trace values has been interpreted as a result of reduced water content, myelination, and development of cell membranes associated with axons, dendrites, and glial processes (Miller et al., 2002; Mukherjee et al., 2002; Neil et al., 2002). Among the functional pathways, laterality of the trace value was most asymmetric in the corticospinal tract (10% difference in term-born and 11% difference in preterm-born infants, right > left), followed by the optic radiation (4% difference in both term- and preterm-born infants, right > left). The asymmetry in the pathway connecting the sensory thalamus and the primary somatosensory cortex (2% difference, left > right) was seen only in term-born infants, and that in the inferior fronto-occipital fasciculus was seen only in preterm-born infants (3% difference, right > left). Since the strong laterality in the corticospinal tract has been reported in various age ranges, including healthy preterm-born neonates (Liu et al., 2010), infants (Dubois et al., 2009), and adults (Guye et al., 2003), this anatomical laterality might represent a physiological or functional laterality that exists from early development, although the relationship with handedness is still controversial (Seizeur et al., 2014; Westerhausen et al., 2007). Our results further validate the existence of such laterality by the neonatal period.

Laterality in the optic radiation was also reported in children, youth, and adults; however, the direction varied in different parts of the optic radiation (Kang et al., 2011; Park et al., 2004; Takao et al., 2011; Thiebaut de Schotten et al., 2011; Xie et al., 2007). Our findings are consistent with a prior study of children and youth that found left lateralization of FA

values due to a higher first eigenvalue, suggesting a lower axon density in the left hemisphere (Dayan et al., 2015). As myelination of the optic radiation is mature by three years of age (Brown et al., 2014), a two- to three-year longitudinal follow-up of our cohort is needed to investigate changes in diffusion measures over the latter phase of maturation.

Laterality in somatosensory-related pathways and the inferior fronto-occipital fasciculus has not been clearly established. A study of 6 −17-year-old adolescents found no laterality in FA or mean diffusivity $(= trace/3)$ of the tract that connects the medial lemniscus and postcentral gyrus (Eluvathingal et al., 2007). Consistent with our findings, adults also showed a trend toward lower FA and higher mean diffusivity in the left side of the medial lemniscus at the brainstem (Kamali et al., 2009). No sex differences in diffusivity measures were observed in any fiber pathways in either term-born or preterm-born infants, consistent with a prior cross-sectional study of neonates (Oishi et al., 2011c). However, since sexual dimorphism is prominent in many white matter tracts, including the left cingulum bundle and the right inferior fronto-orbital fasciculus of young children (Johnson et al., 2013), a longitudinal follow-up of our neonatal cohort is needed to investigate early and late maturation of fiber tract laterality and sexual dimorphism.

4.3 Effect of preterm birth

The trace values of the preterm-born group were significantly higher than those of the termborn group in several structures, including the body of the CC, the left ILF, and the left V1/V2 – V5/MT+, and across all three time-points. Moreover, trace values (corrected for age in postmenstrual weeks at the time of the scan) were negatively correlated with gestational age at birth for the first and second time-points. These findings indicate that more severe prematurity is associated with more pronounced alterations in brain measures for these regions. Less or lack of such correlation at the final time-point suggested that the fiber tract maturation tends to normalize despite prematurity at birth, although the interaction between group (term or preterm) and time points was not significant. Several preterm-born infants showed persistently higher trace values than those of term-born babies, and $40 - 50\%$ of these infants suffered from ROP, which was a higher percentage than the incidence of ROP in our entire preterm cohort (26.7%). This finding suggests an underlying pathological process that might have caused both ROP and white matter injury. Additional follow-up evaluations are needed to determine whether the persistently elevated trace values in specific functional pathways can predict future neurological deficits.

Preterm birth adversely affects neurological development in neonates (Atkinson and Braddick, 2007; Cheon et al., 2011; Fryer et al., 2008; Ortibus et al., 2012; Van Braeckel et al., 2008; Volpe, 2009). In long-term follow-up studies of preterm-born subjects with verylow-birth-weight, several white matter tracts, including the CC, the external capsule, the posterior part of the internal capsule, and the ILF, showed reduced anisotropy and elevated mean diffusivity at adolescence and early adulthood (Eikenes et al., 2011; Reveley et al., 2015; Skranes et al., 2007). Reduced FA was mostly found in those with a lower intelligent quotient, but not in those with a normal intelligent quotient, which indicated a functional relationship between the brain microstructure and cognition (Eikenes et al., 2011). Our findings, together with previous studies, suggest that abnormalities in white matter pathways

tend to normalize during early development, but insufficient recovery or additional underlying brain injury might be related to poorer functional outcomes.

4.4 Vulnerable white matter pathways in preterm birth and functional prediction

Abnormalities in the white matter pathways identified in this study corresponded to functional impairments in previous studies.

Higher diffusivity and lower FA in the CC have been reproducibly observed in preterm-born infants compared to term-born infants (Alexandrou et al., 2014; Anjari et al., 2007; Rose et al., 2008; Shim et al., 2012; Thompson et al., 2011), and severe abnormality was related to impaired motion and tonicity at a term-equivalent age (Mathew et al., 2013). Higher diffusivity in the CC at a term-equivalent age was associated with future impaired motor development, psychomotor delay, and tonicity (De Bruine et al., 2013; Thompson et al., 2012; Weinstein et al., 2014). These findings, based on retrospective DTI functioncorrelation studies, together with our findings, suggested that the abnormality of the CC in early development potentially predicts altered motor functions in later life. A prospective developmental evaluation of motor functions in our cohort is needed to confirm this predictive role.

The tracts ILF and $V1/V2 - V5/MT$ are both related to visual function. Visual information from the retina is transferred to the primary visual cortex and split into two major streams (Goodale and Milner, 1992), the ventral visual stream, which projects from the primary visual area to V4 toward the temporal lobe via the ILF (Catani et al., 2003), and the dorsal visual stream, which projects from the primary visual area to V3A and to V5 through V1/V2 – V5/MT+, toward the parietal lobe. The ILF is known to be impaired in preterm-born individuals (Alexandrou et al., 2014; Salvan et al., 2014; Skranes et al., 2007) and the severity correlates with earlier birth (Salvan et al., 2014). A case series showed that the degree of injury in the ILF of five children (three born preterm) correlated with the severity of visual perception impairment (Ortibus et al., 2012). Since the ILF is involved in various functions related to visual processing (Chanraud et al., 2010) and the abnormalities in ILF have been reported in various diseases, such as schizophrenia with visual hallucinations (Ashtari et al., 2007) and Asperger's syndrome (Pugliese et al., 2009), the impairment seen in preterm-born infants could result in various functional disturbances. Visuomotor skills were often impaired in preterm-born children (Atkinson and Braddick, 2007; Van Braeckel et al., 2008), while motion response, measured by visual event-related potentials, was delayed in preterm-born infants (Atkinson and Braddick, 2007); both suggest an impaired dorsal visual stream, which is congruent with the altered $V1/V2 - V5/MT+$ demonstrated in our study. Dysfunction of the dorsal visual stream is known in diseases, such as Williams syndrome (Atkinson et al., 2001) and autistic spectrum disorder (Pellicano et al., 2005), and a relationship between the structural impairment and dysfunction in visual perception and visual-guided movement has been postulated (Philip and Dutton, 2014). The probabilistic map developed through this study has the potential to accelerate research in the field of visual processing by introducing a way to quantify anatomical abnormalities in the dorsal visual stream. Since the time-dependent decrease in trace values could be interpreted as an effect of the rapid reduction of water content and microstructural development during the

studied period, the higher trace values observed in preterm-born infants than in term-born infants could be interpreted as developmental delay or damage in the outgrowth of the axons, dendrites, or pre-oligodendrocytes of these structures (Volpe, 2009). The histopathological underpinnings of the selective vulnerability of the CC and visual pathway remain unknown (Huang et al., 2006; Trivedi et al., 2009b). Longitudinal analysis that begins at earlier postmenstrual weeks is anticipated to test a hypothesis that the area with rapid growth is vulnerable to the effect of prematurity (Estep et al., 2014).

4.5 Limitations

Our study has limitations. The misregistration of the cortical areas is a common problem when a single brain template is applied to parcellate infant brains with low tissue contrast, and this might cause artificial findings, although the Jülich cytoarchitectonic atlas, which is based on probability, could potentially ameliorate the issue in this study. We adopted the single template approach to use the Jülich cytoarchitectonic map on the Colin-27 brain. Since multi-atlas-based approaches with high parcellation accuracy have been recently developed, we expect that the creation of multiple cytoarchitectonic maps, combined with MRI and DTI, will be an important future direction. Such a multi-atlas resource could be combined with sophisticated image segmentation approaches, such as the learning-based multi-source integration framework (LINKS) (Wang et al., 2015), to improve the accuracy of cortical parcellation in the future. Since DP automatically connects two areas with the lowest cumulative cost of trajectory, false negative and positive fibers could potentially be generated, especially when highly aligned fibers are adjacent to the target fiber. Future applications may require further validation of these probabilistic maps with a larger sample of healthy infants and a wider age range. Although we chose a relatively high probabilistic threshold and applied visual quality control to quantify the diffusion measures of each pathway, the effects of partial volume might remain, which is a common problem in neuroimaging analyses. The differences in ethnicity and socioeconomic status between termborn and preterm-born infants could potentially affect the results, since ethnicity is known to affect the FA values of several structures, including the anterior limb of the internal capsule and the genu of the CC in neonates (Bai et al., 2012). In addition, lower income status during early development could reduce gray matter volume (Hanson et al., 2013). The effects on the functional pathways have yet to be elucidated. The clinical significance of higher trace values in several pathways of the preterm infants remains unknown since we have not correlated the trace values with later neurobehavioral and cognitive outcomes, which will be performed in future follow-up studies.

5. Conclusion

Probabilistic maps of white matter pathways with known associated functions were introduced to provide an opportunity to investigate developmental changes and the pathology of these pathways. Maturation patterns of these pathways were investigated through a longitudinal study of term-born and preterm-born neonates. We identified delayed development in the CC and the visual-related pathways in preterm-born infants. These probabilistic maps may be useful for early identification of delayed milestones in pretermborn infants.

Acknowledgments

This publication was made possible by grants R01HD065955, 2K24DA16170, U54NS056883, G12MD007601-26, P41EB015909 from the National Institutes of Health and grant 46039500 from the Central Norway Regional Health Authority, and research fellowship (to K. A.) from the Uehara Memorial Foundation. The contents of this paper are solely the responsibility of the authors and do not necessarily represent the official view of NIH, the Central Norway Regional Health Authority, or the Uehara Memorial Foundation. The authors are grateful to the families of our research participants, pediatricians/neonatologists (Dr. Lillian Fujimoto, Dr. Lois Chiu, and Dr. Joseph Hudak), and our research staff (Heather Johansen, Antonette Hernandez, and Caroline Jiang), who assisted with the data collection and data transfer. We also thank Ms. Mary McAllister for help with manuscript editing, Mr. Rajiv Deshpande for the technical support, Dr. Susumu Mori for advice about DTI scans, and Dr. Doris Lin for image reading and reporting as a neuroradiologist certified by the American Board of Neuroradiology. We would like to acknowledge partial support for the statistical analysis from the National Center for Research Resources and the National Center for Advancing Translational Sciences (NCATS) of the National Institutes of Health through Grant Number 1UL1TR001079, and the statistician of the Johns Hopkins Biostatistics Center, Ms. Carol B. Thompson.

Abbreviations

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Highlights

- **•** White matter pathways with known associated functions were mapped on the neonatal atlas.
- **•** A cytoarchitectonic map and dynamic programing were used for the mapping.
- **•** Normal developmental trajectories of these pathways were characterized longitudinally.
- **•** The corpus callosum and the visual-related pathways were affected in pretermborn babies.
- **•** Effect of preterm-birth in these pathways tends to recover during development.

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Figure 1.

Probabilistic maps of the white matter tracts with known associated functions, as well as other major white matter tracts, overlaid on the fractional anisotropy map of the JHUneonate atlas. The three-dimensional (3D) surface of the fibers, determined by the 75% probability, was also visualized with the brain surface of the JHU-neonate-SS template (top row). $AR =$ the acoustic radiation; $BCC =$ the body of the corpus callosum, $CG =$ the cingulum; $CST =$ the corticospinal tract; $GCC =$ the genu of the CC ; IFO = the inferior fronto-occipital fasciculus; $ILF =$ the inferior longitudinal fasciculus; $MCP =$ the middle cerebellar peduncle; OR = the optic radiation; $PMC =$ the primary motor cortex, $PSC =$ the primary somatosensory cortex, $SCC =$ the splenium of the CC, Thal = the thalamus, $UNC =$ the uncinate fasciculus. V1-V4 = the pathway that connects the V1/V2 and the V4, V1-mt = the pathway that connects the V1/V2 and the V5/MT+.

Figure 2.

Trace values of the white matter pathways. The three time points in each subject are connected with a solid line. Significant differences between term (cyan) and preterm (red) babies were identified in the body of the corpus callosum (BCC), the left inferior longitudinal fascicule (ILF), and the pathway that connects the V1/V2 and the V5/MT+ (V1- MT) and that are marked with an $*$ and a black rectangle. AR = the acoustic radiation, CG = the cingulum, $CST =$ the corticospinal tract, $GCC =$ genu of the CC , $IFO =$ the inferior fronto-occipital fasciculus, $MCP =$ the middle cerebellar peduncle, $OR =$ the optic radiation,

 $PMC =$ the primary motor cortex, $PSC =$ the primary somatosensory cortex, $SCC =$ the splenium of the CC, Thal = the thalamus, $UNC =$ the uncinate fasciculus. $V1-V4 =$ the pathway that connects the V1/V2 and the V4.

Figure 3.

Corrected trace value of each time point, plotted against gestational age at birth. $BCC =$ the body of the corpus callosum, $ILF =$ the inferior longitudinal fasciculus, $T1 =$ time point 1, $T2 =$ time point 2, T3 = time point 3. V1-MT = the pathway that connects the V1/V2 and the V5/MT+.

Table 1

Names of the neuronal pathways constructed in this study.

 $AR =$ the acoustic radiation, $CC =$ the corpus callosum, $CG =$ the cingulum, $CST =$ the corticospinal tract, IFO $=$ the inferior fronto-occipital fasciculus, ILF = the inferior longitudinal fasciculus, MCP = the middle cerebellar peduncle, OR = the optic radiation, PMC = the primary motor cortex, PSC = the primary somatosensory cortex, thal = the thalamus, UNC; = the uncinate fasciculus, V1 = the primary visual area, V2 = the secondary visual area, V1-V4 = the pathway that connects the V1/V2 and the V4, V1-MT = the pathway that connects the V1/V2 and the V5/MT+

Table 2

Clinical characteristics of the infants.

a NHOPI (Native Hawaii and Other Pacific Islanders)

b AI or AN (American Indian or Alaskan Natives)

Table 3

Differences in the trace values between the left and the right side of the pathways. The results are sorted by the hemisphere effect from lower to higher p value. A: term-born babies, B: preterm-born babies; CST = the corticospinal tract; IFO = the inferior fronto-occipital fasciculus; $OR =$ the optic radiation; $PSC =$ the primary somatosensory cortex; Thal = the thalamus.

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Table 4

Corrected trace values of each time point and the difference between term- and preterm-born groups. Results are sorted by the group effect from lower to Corrected trace values of each time point and the difference between term- and preterm-born groups. Results are sorted by the group effect from lower to higher p value. higher p value.

Author

Corrected trace; mean (range)

Corrected trace; mean (range)

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