

HHS Public Access

Author manuscript

Biol Blood Marrow Transplant. Author manuscript; available in PMC 2016 December 01.

Published in final edited form as: Biol Blood Marrow Transplant. 2015 December ; 21(12): 2106–2114. doi:10.1016/j.bbmt.2015.07.010.

CD34-selected hematopoietic stem cell transplants conditioned with myeloablative regimens and anti-thymocyte globulin for advanced myelodysplastic syndrome: limited graft-versus-host disease without increased relapse

Roni Tamari^{1,5}, Steven Chung², Esperanza B. Papadopoulos^{1,5}, Ann A. Jakubowski^{1,5}, Patrick Hilden³, Sean M. Devlin³, Jenna D. Goldberg^{1,5}, Miguel-Angel Perales^{1,5}, Doris M. Ponce^{1,5}, Craig S. Sauter^{1,5}, Molly A. Maloy¹, Dara Y Herman¹, Virginia Klimek², James W. Young^{1,5}, Richard J. O'Reilly^{4,5}, Sergio A. Giralt^{1,5}, and Hugo Castro-Malaspina^{1,5} ¹Adult Bone Marrow Transplantation Service, Memorial Sloan Kettering Cancer Center

²Leukemia Service, Department of Medicine; Memorial Sloan Kettering Cancer Center

³Department of Biostatistics, Memorial Sloan Kettering Cancer Center

⁴Pediatric Bone Marrow Transplant Service, Department of Pediatrics; Memorial Sloan Kettering Cancer Center

⁵Weill Cornell Medical College, New York, N.Y, U.S.A.

Abstract

Allogeneic hematopoietic stem cell transplantation (allo-HSCT) is the only curative therapy for patients with myelodysplastic syndrome (MDS). Donor T cells are critical for graft-versus-tumor effect (GVT) but carry the risk of graft-versus-host disease (GVHD). CD34 selection with immunomagnetic beads has been an effective method of depleting alloreactive donor T cells from the peripheral blood graft and has been shown to result in significant reduction in acute and chronic GVHD. We analyzed the outcomes of 102 adults (median age 57.6 years) with advanced MDS who received a CD34 selected allo-HSCT between January 1997 and April 2012 at Memorial Sloan Kettering Cancer Center. The cumulative incidence (CI) of grade II-IV acute GVHD at day 100 was 9.8% (95% CI: 5.0-16.5%) and at day 180, 15.7% (95% CI: 9.4-23.4%). The CI of chronic GVHD at 1 year was 3.9% (95% CI: 1.3-9.0%). The CI of relapse at 1 year was 11.8% (95% CI: 6.4-18.9%) and at 2 years 15.7% (95% CI: 9.4-23.4%). Forty-eight patients were alive with a median follow-up of 71.7 months. The overall survival (OS) at 2 years was 56.9%

Corresponding Author: Roni Tamari, MD, Memorial Sloan Kettering Cancer Center, 1275 York Ave, NY, NY, 10065, Phone 212 639 5987; Fax 646 422 1094, tamarir@mskcc.org.

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Contributions: RT, SC, and HCM contributed to the acquisition and analyzed the data, interpret the data and wrote the manuscript. PH and SMD analyzed, interpret the data and contributed to the writing of the manuscript. MM and DYH contributed to the acquisition of the data. EBP, AAJ, JDG, MAP, DMP, CS, VK, JWY, ROR, and SG wrote the manuscript

Conflict-of-interest disclosure: The other authors have no competing financial interest to declare.

(95% CI: 48-67.3%) and at 5 years, 49.3% (95% CI: 40.4-60.2%). Relapse-free survival (RFS) at 2 years was 52.0% (95% CI: 41.9-61.1%) and at 5 years, 47.6% (95% CI: 37.5-56.9%). The CI of non-relapse mortality was 7.8% (95% CI: 3.7-14.1%) at day 100, 22.5% (95% CI 15.0-31.1%) at 1 year and 33.4% (95% CI:24.2-42.6%) at 5 years post-transplant. Chronic GVHD/relapse-free survival (CRFS) overlapped with RFS. These findings demonstrate that ex-vivo T- cell depleted (TCD) allo-HSCT by CD34 selection offers long term OS and RFS with low incidences of acute and chronic GVHD and without an increased risk of relapse.

Keywords

CD34 selection; Advanced myelodysplastic syndromes; Acute and chronic GVHD; Relapse; Relapse/chronic GvHD-free survival; myelodysplastic syndrome; allogeneic transplantation; T cell depletion

Introduction

Over the past few years, there have been major advances in understanding the biology of MDS, particularly regarding the role of molecular mutations in predicting outcomes¹⁻⁶. Despite these exciting findings there have been few new treatment options for patients with MDS. Hypomethylating agents, 5-azacytidine and 2'-deoxy-5-azacytidine, are FDA approved drugs for the treatment of MDS with an overall response rate of 30% to 60% ^{7,8}. However, these are not curative treatments whereas allo-HSCT remains the only curative treatment available for patients with MDS ^{9,10}.

Acute and chronic graft-versus-host diseases (GVHD) remain significant post-transplant complications with cumulative incidence ranging from 30 to 60% ^{11,12}. The most effective method to prevent GVHD is depletion of the alloreactive T lymphocytes from the allograft ¹³. The efficacy of ex-vivo CD34 selection strategies in reducing the risk of acute and chronic GVHD without higher relapse rates has been reported in previous publications ¹⁴⁻¹⁷. These findings were confirmed in a prospective multicenter trial sponsored by the Bone Marrow Transplant Clinical Trial Network in patients with acute myeloid leukemia in complete hematologic remission ¹³.

We previously reported the outcomes of 49 patients who underwent TCD allo-HSCT for advanced MDS (RAEB-1, RAEB-2 and AML evolved from MDS) from matched related donors¹⁵ and showed that TCD allo-HSCT results in favorable outcomes with low incidence of GVHD and relapse rates comparable to unmodified allo-HSCT provided that the blast count is reduced prior to transplant. We report herein the outcomes of 102 patients who received a TCD allo-HSCT from matched and mismatched related and unrelated donors.

Patients and methods

Patients

Between January 1997 and April 2012, 102 adults with advanced MDS underwent TCD allo-HSCT at Memorial Sloan Kettering Cancer Center. Eligibility criteria for transplantation included a diagnosis of advanced MDS (RAEB-1, RAEB-2) and AML post

MDS (evolved from a well established diagnosis of MDS or clearly documented cytopenia for at least 6 months prior to diagnosis of AML), age <75, absence of active infection, and lack of co-existing cardiac, pulmonary, hepatic, or renal dysfunction that preclude administration of the cytoreductive regimen. HLA typing was performed using high resolution DNA sequence-specific oligonucleotide typing for HLA-A,-B,-C, -DRB1, and -DQB1 loci. Patients typed using intermediate resolution methods were retyped later with high-resolution methods and this is the HLA typing that was used to define the level of mismatch. Written informed consent for treatment was obtained from all patients and donors. Approval for this retrospective review was obtained from the center's Institutional Review and Privacy Board.

Patients were followed according to standard clinical practice; engraftment, acute and chronic GvHD, relapse, causes of death and other relevant transplant outcomes were captured in real time and these data were stored in institutional data base. This study was carried out using these collected data and review of patient's medical records.

Patients and disease characteristic are summarized in table 1. The MDS subtypes and prognostic classification at diagnosis and before transplantation were determined according to the World Health Organization (WHO) ¹⁸ and the Revised International Prognostic Scoring (IPSS-R) criteria ¹⁹. Patients who responded to pre-cytoreduction treatment were classified into 2 groups: those who achieved hematologic remission and those who had a second refractory cytopenia phase. Complete hematologic remission was defined as cellular marrow with <5% blasts, no overt dysplasia and full recovery of blood counts with neutrophils >1000/uL, platelets of >100,000/uL, red cell transfusion independent and no circulating blasts. Second refractory cytopenia was defined as<5% blasts in the bone marrow and no circulating blasts but persistent cytopenias ^{20,21}.

Co-morbidities

Evaluation of co-morbidities and assignment of scores were done using consistent definitions for coding the 17 components of the HCT-CI ²².

Pre-transplant therapy

Ninety nine patients were treated prior to admission for transplant in order to induce remission or to decrease MDS tumor burden; 32 patients received low dose chemotherapy or hypomethylating agents, and 67 patients received high dose induction chemotherapy; three patients did not receive any induction therapy prior to starting preparative regimen.

Preparative regimen

All patients were prepared for transplant with a myeloablative regimen, 15 with a hyperfractionated total body irradiation (HFTBI)-based regimen and 87 with a chemotherapy only regimen (table 2) ^{15,16}. The use of chemotherapy-based preparative regimen started in 2000 and it included busulfan, melphalan 140 mg/m² and fludarabine 125 mg/m². Busulfan at the dose of 8.0 mg/kg intravenous was given until October 2008 when the dose was increased to 9.6 mg/kg. First dose pharmacokinetics was performed for busulfan to target a steady-state level of 600-900 ng/ml, with the desired level closer to 900

ng/ml. All but 6 patients (5.9%) received ATG to prevent graft rejection. Eighty -seven patients (90.6%) received rabbit ATG (2.5 to 5 mg/kg), and 9 patients (9.4%) received horse ATG (30 mg to 60 mg/kg).

Source of hematopoietic stem cells

All patients received peripheral blood stem cells (PBSC). Seventy-five donors were HLA matched; 37 related and 38 unrelated. Twenty-seven donors were mismatched, 25 unrelated and 2 related. With high resolution typing, the level of mismatch was: 5/10 (n=2), 7/10 (n=1), 8/10 (n=5) and 9/10 (n=19).

CD34 selection

CD34 selection of granulocyte colony-stimulated factor (G-CSF) -mobilized PBSCs was accomplished by positive selection of CD34+ stem cells using initially the ISOLEX 300i Magnetic Cell Separator (Baxter, Deerfield, IL) and subsequent sheep RBC rosette depletion (n=66) ¹⁶, and since October 2010 using the CliniMACS CD34 Reagent System²³ (Miltenyi Biotech, Gladbach, Germany) (n=36). The TCD-PBSC allograft was infused within 24-48 hours after completion of cytoreduction. No pharmacologic post-transplant GVHD prophylaxis was given.

Donor Leukocyte Infusions (DLI) and Cytotoxic T Lymphocytes (CTL)

Nine patients received DLI and 3 CTL. Four received DLI for relapse and 3 for increasing mixed chimerism with no evidence of hematologic relapse. Two patients received DLI as treatment for an opportunistic infection. CMV CTL were given to 1 patient, EBV CTL to 1 patient and dual specific CMV and EBV cells were given to another patient.

Supportive care

All patients received supportive care and prophylaxis against opportunistic infections according to standard guidelines. Patients conditioned with busulfan received seizure prophylaxis with phenytoin or Keppra (since 2008). Granulocyte colony-stimulating factor was given beginning on day + 7 post-transplant.

Outcome definitions

Engraftment—Neutrophil engraftment was defined as the first of 3 consecutive days with an absolute neutrophil count (ANC) 500/ul. Engraftment was confirmed by documentation of chimerism in the bone marrow cells using karyotype or fluorescent in situ hybridization (FISH) of the X and Y chromosomes in sex mismatched donor-recipient pairs and by measurement of DNA restriction fragments length polymorphism or short tandem repeats in sex matched pairs.

Graft failure—Primary graft failure was defined as the absence of neutrophil recovery (500/ul) by day 28 and bone marrow biopsy 5% cellularity. Secondary graft failure was defined as loss of ANC to <500/ul after primary engraftment, with bone marrow biopsy showing less than 5% cellularity.

Acute and chronic graft-versus-host disease—Acute and chronic GVHD were evaluated according to the IBMTR¹¹ and the NIH¹² criteria in patients who survived 21 and 100 days with engraftment, respectively. Since these criteria are relatively recent, particularly the NIH criteria, acute and chronic GvHD in patients transplanted prior to these criteria, were graded according to the Keystone²⁴ criteria for acute GvHD and Sullivan²⁵ criteria for chronic GvHD. The staging and characteristics on GvHD were collected in real time and the final grading was established by a GvHD Consensus Committee. Conversion of GvHD grading according to current criteria was made by the main investigators of this manuscript and validated by the GvHD Consensus Committee and was based on review of previously captured GvHD data and chart review.

Relapse—Hematologic relapse was defined as recurrence of cytopenias associated with marrow morphologic changes diagnostic of MDS. Cytogenetic relapse was defined as recurrence of pretransplantation chromosome abnormalities.

Relapse free survival—Was defined as being alive and without evidence of relapse (as above).

Chronic GVHD/relapse-free survival (CRFS)—This is a composite outcome and we have used the BMT CTN definition that is used for the GVHD prophylaxis trial (BMT CTN 1301). The time to event outcomes are defined as moderate to severe chronic GVHD by the NIH consensus criteria, disease relapse or death by any cause.

Causes of death—Causes of death were defined according to the NMDP's algorithm ²⁶.

Data collection—This was a restrospective analysis

Statistical methods

Estimates of overall survival, relapse-free survival, and chronic GVHD/relapse-free survival were calculated using the Kaplan-Meier method ²⁷ with survival distributions compared across patient or treatment characteristics using the log-rank test statistic. Relapse or death were considered events for relapse-free survival, while chronic GVHD, relapse, or death were considered events for chronic GVHD/relapse-free survival. Cumulative incidence estimates of acute and chronic GVHD, relapse and non-relapse related mortality were based on the cumulative incidence method for competing risks. Relapse, death in the absence of relapse, and relapse or death in the absence of GVHD were considered competing risks for non-relapse related mortality (NRM), relapse, and GVHD, respectively. Evaluation of differences in these outcomes based on day 100 CD4 counts was done via a landmark analysis. Gray's test was used to compare the incidence of relapse, NRM and GVHD across groups²⁸. The Cox proportional hazards model was employed to investigate the cause-specific hazard of relapse in adjusted multivariate models. All tests were two-sided and considered significant at the 0.05 level. Statistical analyses were completed using R version 3.1.2 (http://www.r-project.org/).

Results

Disease status before conditioning

Of the 102 patients, 99 were treated prior to start of cytoreduction to induce remission or to reduce the blast count. Disease status at the time of diagnosis and at transplant is summarized in table 1. Disease had progressed prior to transplant in 66 patients. In 27 patients with RA/RCMD, their disease progressed to RAEB-1 in 8, to RAEB-2 in 6, and to AML in 13. In 20 patients with RAEB-1, their disease progressed to RAEB-2 in 5 patients and to AML in 15. In 19 patients with RAEB-2, their disease progressed to AML. The majority of patients (83%) were in CR or a second cytopenic phase before conditioning. The median time from diagnosis to transplant in patients with RAEB-1 6.5 months (1.8-43.6), and in patients with RAEB-2 8.2months (range: 2.3- 28.6).

Engraftment

Median cell dose was 7.2×10^6 CD34/kg (range: 0.6 to 28.8×10^6 /kg). Three patients died before day 28 and were not evaluable for engraftment. The median time to neutrophil engraftment was 11 days (range 8-21 days). Graft rejection occurred in one patient who underwent a second unmodified transplant from the original donor and died on day 107 from first transplant.

Acute and chronic graft-versus-host disease

Ninety-nine patients were evaluable for GVHD. The cumulative incidence of grade II-IV aGVHD at day 100 was 9.8% (95% CI: 5.0-16.5%) and at 6 months was 15.7% (95% CI: 9.4-23.4%) (Figure 1). Six patients (6.8%) developed grade I skin only that resolved with topical steroids and 13 patients (13.1%) required systemic immunosuppressive treatment. The only factors that were found to be associated with aGVHD were: recipient male gender (p=0.021) and donor type (p=0.023). The CI of grade II-IV aGVHD at 6 months in recipients of a matched related donor grafts was 5.4% (95% CI: 0.9-16.1%), in recipients of a matched unrelated donor grafts was 21.1% (95% CI: 9.7-35.2%) and in recipients of a mismatched donor grafts was 22.2% (95% CI: 8.8-39.4%). Chronic GVHD developed only in 4 patients with CI at 1 year of 3.9% (95% CI: 1.3-9.0%). Three patients had mild and one patient had moderate chronic GVHD and required systemic immunosuppression .

Relapse

Disease relapsed in 19 patients with a cumulative incidence at 1 year of 11.8% (95% CI: 6.4-18.9%) and at 2 years of 15.7% (95% CI: 9.4-23.4%)(Figure 2). The majority of relapses (63%) occurred within the first year post-transplant, 2 patients relapsed before day 100 with the earliest relapse on day 46 post-transplant. Of 19 relapsed patients only 3 are alive.

High-risk cytogenetic abnormalities according to IPSS-R at time of diagnosis and pretransplant therapy with hypomethylating agents were associated with higher relapse incidence (Table 5). In patients with poor and very poor risk cytogenetic abnormalities at diagnosis the CI of relapse at 1 year post-transplant was 25.0% (95% CI: 11.5-41.1%) and at 2 years was 31.2% (95% CI: 16.0-47.8%) (Figure 2). The CI of relapse at 1 year was

significantly lower in patients with good (4.3%, 95% CI: 0.8-13.0%) and intermediate (5.3%, 95% CI: 0.3-22.0%) risk cytogenetic abnormalities (p=0.011). To determine the effect of cytogenetic remission on relapse, 28 patients in the poor and very poor risk cytogenetic categories were divided into two groups according to the level of cytogenetic remission before conditioning, 16 achieved hematologic and cytogenetic remission and 12 were in hematologic remission but had persistent cytogenetic abnormalities. The cumulative incidence of relapse at 1 year was 25.0% in both groups. The 1-year CI of relapse among patients treated with hypomethylating agents/low dose chemotherapy was 18.8% (95% CI: 7.5-34.0%) compared to 9.0% (95% CI: 3.6-17.3%) in patients treated with induction chemotherapy (p=0.031). In a multivariate model adjusted for both cytogenetic risk at diagnosis and pre-transplant therapy, cytogenetic risk at diagnosis remained a significant predictor of relapse (p=0.003). Intermediate (HR=1.45, 95% CI 0.32-6.49) or poor/very poor

Survival

At time of analysis, 48 patients were alive with a median of 6.0 years post-transplant (range: 2.0 months to 12.6 years). The OS and RFS at 2 years post-transplant were 56.9% (95% CI: 48.0-67.3%) and 52.0% (95% CI: 41.9-61.1%), respectively, and at 5 years 49.3% (95% CI: 40.4-60.2%) and 47.6% (95% CI: 37.5-56.9%), respectively (Figure 3). The only factor that was found to be associated with worse OS and RFS was high-risk cytogenetic abnormalities at time of diagnosis (Table 4). Older age (>65) was also associated with worse OS; 38.5% (95% CI: 14.1-62.8%) at 2 years and 15.4% (95% CI: 1.2-45.3%) at 5 years (p=0.074), though age didn't affect RFS (p=0.127). There was a trend for a lower OS and RFS in patients with a high co-morbidity score, but these differences did not reach statistical significance (p=0.140 and 0.253, respectively). The CRFS in this cohort of patients overlapped with that of RFS and it was 51.0% (95% CI: 40.9-60.2) at 2 years and 46.6% (95% CI: 36.6-56.0) at 5 years post-transplant, reflecting the very low incidence of chronic GVHD.

(HR=6.09, 95% CI 1.91-19.43) cytogenetic risk at diagnosis was associated with greater risk

of relapse, as compared to good cytogenetic risk at diagnosis.

Non-relapse mortality and causes of death

Fifty-four patients (52.9%) died by the time of this analysis; 17 (31.5%) due to relapse and 37(68.5%) due to non-relapse causes (Table 3). The main causes of non-relapse deaths in this series were infections (33.3%), followed by GVHD (9.2%) and organ toxicity (7.4%). Among the 5 patients in whom the cause of death was attributed to GVHD, 3 had active infection at time of death. Among the infectious causes, the most common were bacterial and viral infections.

The CI of NRM at day 100 post transplant was 7.8% (95% CI: 3.7-14.1%) and at 1 year was 22.5% (95% CI: 15.0-31.1%) (Figure 4). The NRM at 1 year for patients whose CD4 count was less than 100/ μ L at day 100 post-transplant was higher compared to patients whose CD4 count was higher (20.6% vs 11.4% p=0.070). Patients who received induction chemotherapy prior to transplant had higher NRM (9.0% by day 100 and 28.4% by 1 year) in comparison to patients who received hypomethylating agents or low dose chemotherapy pre-transplant (6.3% by day 100 and 9.4% by 1 year, p=0.071).

Discussion

We demonstrate in this large cohort of patients with advanced MDS that ex vivo TCD-HSCT by positive CD34 selection produce an OS and a RFS that are comparable to that reported after unmodified transplants ²⁹⁻³² with a much lower incidence of acute GVHD and negligible incidence of cGVHD and without a high relapse rate.

The method of TCD used in this series of advanced MDS patients has been extensively used by us and others and the efficacy of this method in preventing acute and chronic GVHD has been well documented in AML ^{14,33}, ALL ³⁴, CML ¹⁴ and NHL ¹⁶, as well as a small series of patients with advanced MDS¹⁵. More recently, a multicenter prospective phase 2 trial in patients with AML in remission sponsored by the BMT CTN confirmed our findings ¹³. Moreover, comparison of our TCD experience with unmodified transplants in MDS following either myeloablative conditioning (MAC) or reduced intensity conditioning (RIC) shows that CD34 selected allo-HSCT significantly lowers acute and chronic GVHD. The early experience with unmodified transplants for MDS has been mostly with MAC and this was associated with a high incidence of acute GVHD and a high incidence of NRM related to the toxicities of the preparative regimens³¹. The use of RIC in MDS patients has decreased the toxicities and the NRM as well as the incidence of acute GVHD without changing the incidence of chronic GVHD; however RIC is associated with a higher incidence of relapse. The overall effect of these different complications has resulted in similar OS and RFS in MAC and RIC transplants for MDS patients with a significant proportion of them suffering from GVHD³¹. Although the composite outcome CRFS - a parameter that measures freedom from ongoing morbidity and represents ideal HCT recovery - has not been reported in previous studies of allo-HSCT in MDS, a recent study ³⁵ including MDS patients and other hematological malignancies reported a 20% difference in RFS and CRFS at 1 year post-transplant. This difference was due to moderate to severe acute and chronic GVHD. We show in this series that the CRFS is almost identical to RFS given that the incidence of moderate to severe chronic GvHD is quite low (<1%).

The advantages and disadvantages of TCD transplant in comparison to unmodified transplants have not been properly assessed in a randomized trial including exclusively highrisk MDS patients. The NMDP trial comparing unmodified to TCD transplants in a variety of hematological diseases showed no differences in OS and RFS, although the incidence of GVHD was significantly lower in recipients of TCD transplants³⁶. The main limitation of this trial was that T cell depletion was performed by more than one method and resulted in different levels of T cells depletion. A recent CIBMTR study compared retrospectively the outcomes of 44 patients who participated in the CTN sponsored phase 2 trial of TCD by CD34 selection with the Miltenyi device ¹³ with those of 84 recipients of unmodified transplants requiring post-transplant immunosuppression transplanted during the same time period ³⁷. There were no differences in rates of graft rejection, leukemia relapse, treatmentrelated mortality, RFS and OS. However at 1 year, 54% and 12% of patients were still on immunosuppression in the immune suppression therapy (IST) and TCD cohorts, respectively. TCD was associated with a higher GVHD-free survival at 2 years compared with IST (41% v 19%, respectively; P=0 .006). A large randomized trial is now undergoing to determine the optimal GVHD prevention strategy in the context of MAC. This trial

Our method of ex-vivo TCD also produces equivalent or better outcomes when compared to invivo TCD with alemtuzumab. Potter et al ³⁸ recently published their experience with an alemtuzumab-based RIC regimen in patients with MDS and AML evolved from MDS. The OS, RFS, NRM, relapse and chronic GVHD at 5 years post-transplant were 44%, 33%, 26%, 51% and 19%, respectively. The incidence of chronic GVHD was higher in the alemtuzumab treated patients, 19% vs 4% in our series, likely due to the depth of TCD. The ex vivo method used at our institution produce a 3-4 log depletion compared to 2 log depletion caused by alemtuzumab. Also, despite the use of RIC, the NRM at 1-year post transplant in the alemtuzumab series was 20% similar to 24% in our cohort of patients who received MAC regimen. One significant difference comparing these two series is the higher relapse rate in the alemtuzumab group, 40% at 2 years post transplant despite the fact that majority of patients were transplanted without excess blasts, versus 19.8% in our cohort. The lower relapse rate in our cohort of patients is likely attributed to the higher intensity preparative regimen.

cyclophosphamide to a CNI/MTX and its primary endpoint is CRFS.

Another emerging method of in vivo TCD is with post-transplant high dose cyclophosphamide. The outcomes of this approach in patients with hematologic malignancies were assessed in a multi-institutional prospective study reported by Kanakry et al ³⁹, where all patients received MAC followed by high dose post transplant cyclophosphamide as the sole GVHD prophylaxis. Donors were matched related or unrelated and graft source was bone marrow. While grade II-IV acute GVHD was as high as 51% by day 100 post-transplant, grade III-IV was only 15% and chronic GVHD was as low as 14%. NRM was 9% at day 100 post-transplant and 16% at 1-year post transplant, with relapse rate of 22% at 2 years post -transplant. One of the potential advantages of this approach is that cyclophosphamide does not deplete memory T cells and patients are not severely immunodeficient and may have a lower rate of infectious complications. The CTN trial described before will hopefully determine whether this method can produce the same outcomes as CD34 selection and pharmacologic GVHD prophylaxis.

An intensive conditioning is required prior to TCD transplant to provide a more intense antileukemic effect as the graft-versus-MDS effect 32,40,41 might be reduced and also to induce a more profound immunosuppression and thereby to decrease the risk of graft rejection. High dose TBI based conditioning regimen was used more frequently in the early days, however the majority of patients (86%) received a chemotherapy only preparative regimen. We didn't observe difference in outcomes comparing full dose TBI based regimen and chemotherapy only based regimen, however the TBI group included only 2 patients older then 60 and no patients older than 65 compared to 35 patients older than 60 and 17 older than 65 in the chemotherapy based conditioning regimen. The chemotherapy only myeloablative condoning regimen combining busulfan, melphalan, fludarabine and rabbit ATG was well tolerated with an overall NRM at day 100 of 7.8% (CI: 3.7-14.1%). Higher NRM rates, 23.1% (95% CI: 5.1-48.5%) were seen in patients older than 65 who also had a higher HCT-CI (85% with HCT CI of >3). Although there was no statistically significant

differences in NRM between the different age groups (p=0.230), intuitively older patients are expected to tolerate less well the myeloablative preparative regimen necessary for T cell depleted transplant. With the growth of the elderly population, patients in their 7th and 8th decades of live are now candidates for allo-HSCT and that underscores the importance of developing less toxic preparative regimens to benefit particularly older patients.

The relapse rate in this series disproves the belief that recipients of TCD transplants have higher relapse rates as compared to unmodified transplants. The Fred Hutchinson Cancer Center reported a CI of relapse at 2 years ranging between 16% for patients with low risk cytogenetic risk group by IPSS to 35% for patients with high-risk disease^{29,42} which is similar to our outcomes. Similarly, a CIBMTR study showed relapse rates in the order of 25-28% and higher in patients with poor risk cytogenetic abnormalities or patient who underwent NMA conditioning regimen ⁴³. Relapse strongly correlated in our series with cytogenetic risk at diagnosis, similarly to what has been published for patients undergoing unmodified transplant in a large retrospective study reported by EBMT ⁴⁴. Patients with poor risk cytogenetic abnormalities had a relapse rate of 31% at 1 year compared to only 17% in patients with intermediate risk and 8% in patients with low risk. The poor outcomes of patients who relapse after allo- HSCT ⁴⁵⁻⁴⁷ irrespective of the type of transplant emphasizes the need for better strategies such as molecular mutations to identify patients who are at higher risk for relapse for whom post-transplant prophylaxis against relapse should be offered. One of these approaches is adoptive immune therapy with unmanipulated or leukemia antigen specific cytotoxic lymphocytes. A great advantage TCD transplants is that the probability of GVHD is low and therefore adoptive immune therapy is more feasible.

Administration of chemotherapy to patients with advanced MDS prior to conditioning has been a matter of debate over the years ^{10,48-50}. Based on our previous published experience ¹⁵, the majority of patients underwent treatment prior to initiation of cytoreduction therapy and had less than 10% blasts at time of admission for transplant. The results of this study confirm our earlier observations that achieving hematologic remission or a minimal residual disease state prior to TCD transplant significantly reduces the risk of post-transplant relapse. In contrast to the importance of reduction of blasts and achieving morphologic remission prior to transplant, we could not demonstrate in this series that achieving cytogenetic remission prior to transplant reduce relapse risk. Induction chemotherapy and hypomethylating agents are the two main options to reduce disease burden prior to transplant and there are pros and cons to each option ⁵¹. Damaj et al ⁵² studied the outcome of 163 patients with MDS who were treated pre-transplant by either azacitidine, induction chemotherapy or azacitidine preceded or followed by induction chemotherapy and reported no difference in OS, RFS, relapse and NRM when comparing azacitidine to induction chemotherapy. However, patients who received both azacitidine and induction chemotherapy had worse outcomes, particularly high risk of NRM, and lower OS and RFS. In our cohort there was no difference in OS and RFS among patients who were treated with either induction chemotherapy or azacitidine, however there was higher relapse incidence in the azacitidine group and a trend toward lower NRM. Prospective studies are needed to define the best treatment to achieve remission before transplant.

NRM was the main cause of failure in this series of patients and this abrogated the benefits of reduced GVHD. The main cause of NRM in our patients was infection, accounting for 33% of causes of death. The basis for this has been established; the TCD methods used in these patients causes profound depletion of immunocompetent T cells and as a result patients are severely immunodeficient ⁵³. Moreover, the process of immune reconstitution is extremely slow ⁵⁴ particularly in older patients who have poor thymic function ⁵⁵. This was confirmed in this cohort, the median CD4 count at 3 and 6 months post-transplant were 116 cells/µL (range 0-1233cell/µL) and 136 cells/µL (range 0-722 cells/µL) respectively.

In summary, the outcomes of patients with advanced MDS transplanted with TCD allografts are favorable and are similar to those reported for patients who underwent unmodified transplant with the advantage of much lower incidence of acute and chronic GVHD. Delayed immune recovery and infections remains the main obstacle to the success of TCD allo-HSCT. However, freedom from GVHD and no need for the use of immunosuppressive medications can be used as a platform for early post transplant intervention in the form of specific cytotoxic CTLS to treat mostly viral infections and to enhance GVL without paying the price of GVHD.

Acknowledgements

This study was supported in part by NIH P01 CA23766 grant, NIH (U10-HL069315), the MDS Research Fund, the Bone Marrow Transplant Research Fund, The Satlin BMT Research Fund, The Byrne Fund and the Bergstein Fund

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Highlights

- **1.** CD34 selected stem cell transplantation offers long term survival for patient with advanced MDS.
- **2.** CD34 selected stem cell transplantation has low incidence of acute and chronic GVHD.
- **3.** Relapse rates after CD34 selected stem cell transplantation are not higher compared to unmodified transplants.
- **4.** Infections are the main cause of mortality after CD34 selected stem cell transplantation.



Figure 1. Cumulative incidence of acute and chronic graft versus host disease.

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Figure 2.

The cumulative incidence of relapse for the whole group of patients is shown in the red line. Relapse was significantly higher for patients with poor and very poor cytogenetic abnormalities according to IPSS-R categories, blue line (p=0.01)







Figure 4. Cumulative incidence of non-relapse mortality.

Table 1

Patients characteristics

Variable	No. (%) of patients
No. of patients	102
Male sex- no.	53 (52.0%)
Patient age (years)-median (range)	57.6(21.9-73.0)
20-49	24 (23.5%)
50-65	61 (59.8%)
>65	17 (16.7%)
Etiology	
De-novo	82 (80.4%)
Therapy related	15 (14.7%)
Post aplastic anemia/MPD	3/2 (4.9%)
Disease status at diagnosis by WHO criteria	
RA/RCMD	27(26.5%)
RAEB I	31 (30.4%)
RAEB II	44 (43.1%)
IPSS-R at Diagnosis	
Very low	5 (4.9%)
Low	13 (12.7%)
Intermediate	21 (20.6%)
High	31(30.4%)
Very high	26 (25.5%)
Missing data	6 (5.9%)
Disease status at transplant by WHO criteria	
CR	36 (35.3%)
RA/RCMD	46 (45.1%)
RAEB I	16 (15.7%)
RAEB II	4 (3.9%)
IPSS-R at time of transplant	
Very low	9 (8.8%)
Low	37 (36.3%)
Intermediate	28 (27.5%)
High	18 (17.6%)
Very high	8(7.8%)
Missing data	2 (2.0%)
Time from diagnosis to transplant (months)	
<6 months	25 (24.5%)
6-12 months	34 (33.3%)
12-24 months	20 (19.6%)
>24 months	23 (22.5%)
HCT-CI	

Variable	No. (%) of patients
0	18 (17.6%)
1-2	26 (25.5%)
3	57 (55.0%)
Missing data	1 (1.0%)

Table 2

Transplant characteristics

Variable	No. (%) of patients
Conditioning regimen	
High dose hyperfractionated TBI (1375Cgy-1500cGy) containing regimens	15 (14.7%)
HFTBI, Thiotepa (10 mg/kg),Cytoxan (120mg/kg)	9
HFTBI, Thiotepa (10mg/kg),Fludarabine (125mg/m ²)	6
Chemotherapy based regimens	87 (85.3%)
Busulphan (8-9.6mg/kg), Melphalan (140mg/m ²), Fludarabine (125mg/m ²)	86
Busulphan (12.8 mg/kg), Fludarabine (150mg/m ²)	
Donor type	
Related	
HLA match	37 (36.3%)
HLA mismatch	2 (2.0%)
Unrelated	
HLA match	38 (37.3%)
HLA mismatch	25 (24.5%)
CD 34 selection method	
Clinimacs	36 (35%)
Isolex	66 (65%)

Table 3

Causes of death

Cause of death	No. of patients (%)
Relapse	17 (31.5%)
Infections	18 (33.3%)
Bacterial	8
Viral	8
Fungal	1
Other (PCP)	1
GVHD	5 (9.3%)
Other	7 (13.0%)
Recurrence of primary malignancy	2 (MCL, neuroblastoma)
Secondary malignancy	1 (Lung Ca)
Portal HTN and GI bleed	1
Acute hemolytic anemia	1
Post surgical death	1
Pericarditis	1
Toxicity	4 (7.4%)
VOD	1
Lung	3
Graft failure	2 (3.7%)
Graft rejection	1
Late graft failure	1
Organ failure	1 (1.9%)
Respiratory failure	1
Total	54

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	SO	% (95% CI)		RF	§ % (95% CI)	
	2 year	5 year	p-value	2 year	5 year	p-value
Overall	56.9 (48.0-67.3)	49.3 (40.4 -60.2)	,	52.0 (41.9-61.1)	47.6 (37.5-56.9)	
Age			0.07			0.127
<= 50	57.7 (36.8-73.9)	49.1 (28.8- 66.6)		50.0 (29.9-67.2)	45.0 (25.2-63.0)	
50-65	60.3 (47.2-71.2)	55.4 (42.3-66.7)		55.6 (42.5-66.8)	53.9 (40.9-65.3)	
> 65	38.5 (14.1-62.8)	15.4 (1.2-45.3)		38.5 (14.1-62.8)	20.5 (3.9-46.3)	
Etiology			0.109			0.140
Primary/secondary	59.8 (48.7-69.2)	50.8 (39.7-60.9)		54.0 (43.0-63.8)	48.8 (37.8-58.9)	
Therapy related	40.0 (16.5-62.8)	40.0 (16.5-62.8)		40.0 (16.5-62.8)	40.0 (16.5-62.8)	
Cytogenetic risk at diagnosis			0.002			<0.001
Very good/good	63.8 (51.5-79.2)	55.9 (43.0-72.8)		61.7 (49.3-77.3)	56.6 (43.8-73.1)	
Intermediate	73.7 (56.3- 96.4)	63.2 (44.8-89.0)		68.4 (50.4-92.9)	63.2 (44.8-89.0)	
Poor/very poor	40.6 (26.7-61.8)	34.4 (21.3-55.5)		31.2 (18.7-52.2)	27.8 (15.8-48.8)	
Cytogenetic risk at transplant			0.220			0.162
Very good/good	59.7 (49.7-71.8)	52.6 (42.4-65.2)		55.8 (45.8-68.1)	50.1 (40.0-62.8)	
Intermediate	41.7 (21.3-81.4)	41.7 (21.3-81.4)		41.7 (21.3-81.4)	41.7 (21.3-81.4)	
Poor/very poor	53.8 (32.6-89.1)	38.5 (19.3-76.5)		38.5 (19.3-76.5)	38.5 (19.3-76.5)	
Pre-Transplant Therapy			0.619			0.856
Chemotherapy	53.7 (43.0-67.1)	48.7 (38.0-62.5)		52.2 (41.5-65.7)	47.4 (36.8-61.1)	
Hypomethylating agents	62.5 (47.8-81.7)	49.2 (34.3-70.4)		50.5 (35.4-70.7)	45.0 (30.1-67.4)	
Conditioning regimen			0.847			0.516
Chemotherapy	58.6 (49.1-69.9)	49.8 (40.2-61.7)		54.0 (44.5-65.6)	48.9 (39.3-60.7)	
Total Body irradiation	46.7 (27.2-80.2)	46.7 (27.2-80.2)		40.0 (21.5-74.3)	40.0 (21.5-74.3)	
Match type			0.602			0.506
Match-related	59.5 (45.6-77.6)	53.9 (40.0-72.7)		54.1 (40.2-72.8)	51.2 (37.4-70.2)	
Match-unrelated	57.9 (44.1-75.9)	52.1 (38.3-70.9)		55.3 (41.5-73.6)	52.4 (386-71.0)	
Mismatch	51.9 (36.1-74.6)	39.5 (24.4-63.9)		44.4 (29.2-67.8)	35.9 (21.4-60.2)	
HCT-CI			0.140			0.253

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	SO	; % (95% CI)		RFS	§ % (95% CI)	
	2 year	5 year	p-value	2 year	5 year	p-value
0	66.7 (48.1-92.4)	66.7 (48.1-92.4)		66.7 (48.1-92.4)	60.0 (40.8-88.3)	
1-2	61.5 (45.4-83.4)	57.4 (41.2-80.1)		53.8 (37.7-76.9)	53.8 (37.7-76.9)	
> 3	52.6 (41.1-67.3)	41.7 (30.6-56.9)		47.4 (36.0-62.3)	42.0 (31.0-57.0)	
CD 34 selection method						
Clinimacs	58.3(44.3-76.9)	55.3(41.1-74.3)	0.538	55.6(41.5-74.4)	50.5 (35.7-71.4)	0.520
Isolex	56.1 (45.3-69.4)	47.0 (36.3-60.7)		50.0 (39.3-63.6)	45.5 (34.9-59.2)	

p-value

5 years

1 year

NRM % (95% CI)

33.4 (24.2-

22.5 (15.0-31.1)

0.230

26.9(11.6-31.8 (20.7-53.8 (22.8-

15.4 (4.7-31.9)

20.6 (11.6-31.4) 46.2 (17.7-70.8) 0.222

0.338

34.0 (20.9-21.1 (6.2-37.5 (20.8-

23.4 (12.5-36.3)

28.1 (13.8-44.4)

5.3 (0.3-22.1)

40.0 (15.4-63.9)

26.7 (7.7-50.6)

32.3 (22.7-

21.8 (13.8-31.1)

0.071

0.841

21.9 (9.4-37.6)

9.4 (2.3-22.5)

38.9 (27.2-

28.4 (18.1-39.5)

0.807

32.6 (18.0-31.6 (17.5-37.0 (19.2-

21.6 (10.0-36.1)

23.7 (11.6-38.2)

22.2 (8.8-39.4)

33.3(11.3-57.5)

26.7 (7.7-50.6)

33.3 (23.7-

21.8 (13.8-31.1)

0.382

22.2(6.6-30.8 (14.3-36.8 (24.4-

16.7 (3.9-37.2)

23.1 (9.1-40.7) 22.8 (12.9-344)

Table 5

Correlation of relapse and NRM with patient, disease, and transplant characteristics

	Rela	se % (95% CI)		
	1 year	2 year	p-value	day 100
Overall	11.8 (6.4-18.9)	15.7 (9.4-23.4)		7.8 (3.7-14.1)
Age			0.389	
50	11.5 (2.8-27.1)	23.1 (9.1-40.8)		0.00 (NA)
50-65	12.7 (5.9-22.2)	14.3 (7.0-24.1)		7.9 (2.9-16.3
> 65	7.7 (0.4-30.6)	7.7 (0.4-30.6)		23.1 (5.1-48.
Etiology			0.843	
	10.3 (5.1-17.8)	14.9 (8.4-23.3)		6.9 (2.8-13.5
Therapy-related	20.0 (4.4-43.5)	20.0 (4.4-43.5)		13.3 (2.0-35.4
Cytogenetic risk at diagnosis			0.011	
Very good/good	4.3 (0.8-13.0)	4.3 (0.8-13.0)		12.8 (5.1-24.
Intermediate	5.3 (0.3-22.0)	15.8 (3.7-35.6)		0.00 (NA)
Poor/very poor	25.0 (11.5-41.1)	31.2 (16.0-47.8)		6.3 (11-18.4
Pre-transplant therapy			0.031	
Chemotherapy	9.0 (3.6-17.3)	10.5 (4.5-19.2)		9.0 (3.6-17.3
Hypomethylating agents	18.8 (7.5-34.0)	28.1 (13.8-44.4)		6.3 (11-18.4
Conditioning regimen			0.352	
Chemotherapy	10.3 (5.1-17.8)	13.8 (7.5-22.0)		9.2 (4.3-16.4
Total Body Irradiation	20.0 (4.5-43.5)	26.7 (7.6-50.8)		0.00 (NA)
Match Type			0.607	
Match-related	10.8 (03.4-23.3)	16.2 (6.4-29.9)		5.4 (0.9-16.1
Match-unrelated	13.2 (4.7-26.0)	13.2 (4.7-26.0)		13.2 (4.7-26.
Mismatch	11.1 (2.7-26.3)	18.5 (6.5-35.3)		3.7 (0.03-16.2
HCT-CI			0.845	
0	5.6 (0.3-23.1)	11.1 (1.7-30.5)		0.00 (NA)
1-2	11.5 (2.8-27.2)	15.4 (4.6-31.9)		7.7 (13-22.1
3	14.0 (6.5-24.4)	17.5 (8.9-28.5)		10.5 (4.2-20.]

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0.664

0.797

CD 34 selection

	Relat	ose % (95% CI)			NRM % (95%	CI)	
	1 year	2 year	p-value	day 100	1 year	5 years	p-value
Clinimacs	8.3(2.1-20.3)	13.9 (5.0-27.3)		8.3(2.1-20.3)	22.2(10.3-37.0)	30.6(16.4-	
Isolex	13.6 (6.7-23.1)	16.7 (8.8-26.7)		7.6(2.8-15.6)	22.7(13.5-33.5)	34.8 (23.5-	