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Clinical and emergent biomarkers and their relationship to prognosis of ovarian cancer

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Abstract

Objective—Ovarian cancer is the most lethal gynecological malignancy, but information relevant to prognosis and outcomes remain unknown. Here we used statistical methods to focus specifically on interactions between candidate, prognostic variables.

Methods and Results—Univariate, multivariate, and elastic net modeling of 42 variables were applied to a cohort of 542 ovarian cancer patients with 393 episodes of cancer recurrence/death. In univariate analyses, overexpression of TFF3, MDM2, and p53 were associated with improved recurrence-free survival. In multivariate analyses adjusted for age, histology, stage, grade, ascites, and residual disease, over-expression of PR appeared to provide a protective effect (HR for >50% of cells positive 0.64 [95% CI 0.44–0.94] compared to <1%), and TFF3 showed a nonlinear association. Importantly, we observed no interactions among variables. However, patients with tumors with moderate TFF3 expression were at marginally increased risk of recurrence, and patients with tumors with high expression were at similar to slightly lower risk, compared to those with tumors with no TFF3 expression.

Conclusions—Although no interactions among variables were observed, this study provides important precedent for seeking out interactions between clinical and tumor variables in future studies.

Keywords

ovarian cancer; modeling; prognosis; interactions

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INTRODUCTION

Ovarian cancer is the most lethal gynecological malignancy [1]. Although previous studies have identified tumor characteristics associated with the development of ovarian cancer, fewer studies have explored the prognostic significance of such factors within the context of multivariate models that include clinical characteristics and potential interactions among all such variables.

To our knowledge, an appraisal of interactions among previously-reported clinical and tumor-related prognostic factors has not yet been undertaken in ovarian cancer. The inability of standard analysis tools to model large sets of predictors has precluded such efforts. Yet such interactions may exist and could conceivably enhance the accuracy of extant prognostic information. As an example, paclitaxel, an agent commonly used to treat ovarian cancer, appears to induce tumor expression of the myeloid differentiation primary response gene (MyD88), which, in turn, appears to lead to chemotherapy resistance [2,3]. Estrogen and progesterone appear to inhibit MyD88, thereby illustrating how clinical and tumor-related factors – chemotherapy, MyD88, and estrogen/progesterone – might together plausibly give rise to interactions that modify clinical outcomes. Determining the existence and extent of such putative interactions could enhance our ability to predict cancer recurrence with greater accuracy.

This study was undertaken with a twofold purpose. First, we examined prognostic associations among tumor markers. These markers included TFF3, WT1, p16, MDM2, and p53 and were chosen because of no well-established interactions. The rationale is that this lack of established interactions would enhance the novelty of findings that may emerge from our second purpose. Second, we examined associations of ovarian cancer survival with pairwise and higher order interactions of clinical factors and tumor expression variables in a series of ovarian cancer cases using contemporary elastic net and classification tree analysis tools in an effort to capture interactions among variables.

METHODS

Overview of Patients and Data Sources

This study was conducted at the Mayo Clinic after Institutional Review Board (IRB) approval. It focused on women 18 years of age or older diagnosed with pathologicallyconfirmed primary invasive epithelial ovarian cancer, fallopian tube cancer, or primary peritoneal cancer. Because these three malignancies are treated in a uniform manner, herein, we refer to all three as "epithelial ovarian cancer."

Data were acquired from multiple sources: 1) patient-completed risk factor questionnaires; 2) formalin-fixed paraffin-embedded tumor tissue; and 3) patients' medical records and additional pathological review with abstracted details such as tumor histology, type of surgery, and type of chemotherapy. Information on cancer recurrence was updated from the Mayo Clinic electronic medical record and defined based on date of starting cancer treatment for recurrent cancer. A follow-up, mailed questionnaire also elicited information

from patients about whether their cancer had recurred with subsequent medical record confirmation when available.

Clinical Factors

Clinical factors of interest consisted of patient age at cancer diagnosis, tumor histology, tumor stage, tumor grade, whether or not ascites was present at surgery, and extent of postoperative residual disease. In addition, patient age at menarche, use of oral contraceptives, parity, education level, and smoking status were also explored. All these clinical variables were chosen because previous studies had suggested prognostic relevance [4–6]. Of note, the majority of patients received cancer therapy in keeping with established guidelines with debulking surgery followed by 18 weeks of platinum-based chemotherapy.

Potential Tumor Prognostic Factors

Tumor-related factors were chosen either because a specific variable had previously been demonstrated to have a prognostic association or because of a purported mechanism-based role in ovarian cancer development or tumor growth. These factors include the following: ARID1A, beta 2 defensin, CD8, CD68, DKK1, ER, fibrinogen, FOLR1, gp96, heparan sulfate, heparanase, high-mobility group box 1, HNF1B, hsp60, hsp70, IκBα, IκBβ, MDM2, MMP9, MyD88, p16, p50, p53, p65, phospho-IκBα, phospho-p65, PR, TFF3, TLR4, vimentin, and WT1 [7–10]. It should be noted that the main emphasis of this paper was on the statistical methodology that centered on identifying and understanding variable interactions; for this reason, variables were not derived from well-established pathways with already well-demonstrated interactions between variables.

Tissue microarrays (TMAs) were created from formalin-fixed, paraffin-embedded tumors. Slides were immunostained with primary antibodies that recognized the proteins described above after optimization of staining conditions on positive control tissues. Slides were then scored by two reviewers. Details are available upon request. The strongest protein expression over multiple cores was used for scoring, and discrepancies were resolved by a gynecologic pathologist.

Statistical Methods: Imputation of Missing Values

Prior to analysis, imputation of missing clinical, lifestyle and tumor expression values was performed using the MICE package in R 2.15.0. All variables with at least one missing value were imputed using a regression model that included all other independent variables as predictors. Dichotomous variables (high versus low tumor grade, presence of ascites, ever used oral contraceptives, ever smoked) were imputed using a binary logistic regression model; multilevel nominal characteristics (parity/age at first birth, education, and age at menarche) using unordered, multinomial logistic regression; and ordinal characteristics (extent of residual disease and each of the tumor expression variables) using proportional odds logistic regression. Imputation was performed five times, resulting in five complete datasets.

Statistical Methods: Univariate and Multivariate Analyses

Cox proportional hazards regression was used to calculate survival estimates, with left truncation to account for delayed study enrollment and right censoring at 10 years to minimize competing causes of death. The main effect associations for each of the 42 potential prognostic variables were first examined in univariate analyses. Separate models were fit for each of the five complete imputed data sets, and unified parameter estimates and 95% confidence intervals were calculated with standard multiple imputation methods [11].

Next, a multivariate Cox regression model was fit to examine independent associations of clinical, lifestyle and tumor expression variables with recurrence free survival. The large number of predictors (42 variables with 51 corresponding degrees-of-freedom) relative to the sample size of 542 subjects prohibited the simultaneous inclusion of all variables in one model, and the introduction of multiple imputed data sets dramatically increased the complexity of common variable selection approaches such as stepwise regression. To overcome this, the Group LASSO (GL) regularization models were used to select variables to enter the final multivariate model [12]. Briefly, LASSO is a statistical regularization method that imposes penalty terms to regression-based parameter estimates to prevent overfitting of a model [13]. These penalty terms serve the function of shrinking the parameter estimates toward zero (the null hypothesis). LASSO differs from ridge regression, another statistical regularization method, in that parameter estimates based on the former can be shrunk completely back to zero whereas estimates from the latter will always be non-zero. This adds a variable selection component to LASSO: estimates that are shrunk all the way to zero are effectively removed from the model. Cross-validation is used to tune and optimize the LASSO penalty terms. GL is an extension of LASSO that allows a single penalty term to be simultaneously imposed the n-1 parameter estimates that compose a given n-level nominal categorical variable, thus preserving the internal structure of that variable. Cox regression-based GL was carried out on each of the five imputed complete data sets using the analysis tool SGL. Variables that were retained in at least four of the five imputed data sets were considered predictive of recurrence free or overall survival and were included in one final traditional (that is, non-LASSO-based) Cox regression model to aid with interpretation of the parameter estimates. The following six variables were also included in this final model due to their commonly recognized effect on prognosis: age, stage, grade, histology, presence of ascites and extent of residual disease. Unified parameter estimates and corresponding 95% confidence intervals were again calculated using standard multiple imputation analysis methods.

Statistical Methods: Interaction Testing

Pairwise interactions were examined for potential prognostic variables with respect to recurrence free survival to assess the existence of effect modification. Interactions for each of the 861 possible variable pairs (42 choose 2) were examined separately by fitting main effects and interactions and testing the statistical significance of the interactions. Due to sparsity of data for some histologic categories, mucinous, clear cell, and other histologies were combined into one group.

Elastic net survival regression methods were used to simultaneously examine the 861 paired interaction effects [14]. Briefly, elastic net is a family of regularization models that applies penalty functions that are a linear combination of LASSO and ridge regression. These functions can range from 0 to 1, with those closer to 1 behaving more like LASSO in that fewer variables are retained in the final model, and those closer to 0 behaving more like ridge regression in that more variables are retained. A series of elastic net models were run on each of the five imputed data sets, varying the penalty function from 0.1 to 1.0. All 42 main effect terms were initially forced into the model and shrunk only the interaction terms, in an effort to retain the structural hierarchy of interaction models. A secondary two-step approach was applied because of concerns that simultaneous inclusion of all prognostic variables would result in an over-parameterized model. A model that allowed all main

effects and interactions to be shrunk or eliminated was fit. All retained as either a main effect or interaction term were included as main effects in a subsequent model. This initial approach was then applied to a smaller model with a forced reduction in the reduced number of main effect terms and with allowance for their corresponding pairwise interactions to be shrunk. Analyses were carried out using the glmnet package [15,16].

Finally, survival-based classification trees were used to examine the possibility of higher order interaction terms [17]. This approach first examines all possible dichotomous partitions of the data based on the 42 prognostic variables and chooses the one which best discriminates survival. These two corresponding partitions are then examined and split into sub-partitions. The procedure continues recursively until a full tree is built. Cross-validation was used to prune the tree by determining the number of partitions that minimizes reclassification error.

RESULTS

Distribution of Clinical, Lifestyle, and Tumor Expression Factors

A total of 542 patients were included in the analysis, with 393 events, which included 260 episodes of cancer recurrence and an additional 133 deaths. Median recurrence-free survival was 2.1 years. Median follow up time of those patients still alive at the time of this report was 7.4 years. Median follow up time of those patients who had recurrent disease or who were dead was 1.4 years. Patient baseline characteristics and the 42 candidate prognostic variables are listed in Table 1.

Univariate and Multivariate Analyses

In univariate analyses, after Bonferroni correction, patient age at cancer diagnosis, histology, cancer stage, cancer grade, presence of ascites, and presence of postoperative residual disease showed a statistically significant association with worse recurrence-free survival (Table 1). No lifestyle factors, including oral contraceptive use, were associated with prognosis at p<0.05. Overexpression of WT1 and p16 in tumors were also associated with worse recurrence-free survival. In contrast, overexpression of TFF3, MDM2, and p53 were associated with improved recurrence-free survival. Results using imputed data were similar (Supplemental Table 2).

In Cox regression multivariate analyses, patient age at cancer diagnosis, tumor grade, and presence of ascites were marginally associated with prognosis, while tumor histology was not significantly associated with cancer recurrence (Table 2). Over-expression of PR appeared to be a protective prognostic variable in multivariate Cox regression models (HR for $>50\%$ of cells positive 0.64 [95% CI 0.44–0.94] compared to <1% positive). Associations with TFF3 were more complex: women with moderate expression were at marginally increased risk of recurrence, and women with high expression were at similar to slightly lower risk, compared to women with no expression.

Potential Interactions of Variables

In traditional analyses of all possible pairwise interactions, pairs $(p<0.001)$ that were associated with recurrence-free survival included histology with extent of postoperative residual disease, parity/age at first birth with extent of postoperative residual disease, and histology with MDM2 (Table 3). These findings suggest that non-serous histology is most protective among women with no residual disease or with positive MDM2 expression, and that increased parity may be detrimental among women with no residual disease. However, none of these prognostic interactions remained statistically significant after accounting for multiple testing.

Using elastic net methodology, we observed null results with no single interaction remaining in the final model for more than one of the five imputed datasets for any of the penalty levels tested. Both approaches (shrinking only interaction terms or the two-step approach) resulted in the same conclusions. Cross-validation analyses with a survival-based classification tree suggested an optimal tree size of one node; no single data split, let alone combination of splits, dramatically improved discrimination of recurrence-free survival.

DISCUSSION

This study examined the prognostic significance of numerous clinical and tumor protein expression variables and their potential interactions in ovarian cancer patients. As expected, we observed that cancer stage, ascites, postoperative residual disease, and overexpression of PR were associated with worse recurrence-free survival. In addition, this study revealed two unexpected findings. First, using both traditional and contemporary analysis methods, we found limited pair-wise or higher order interactions with respect to ovarian cancer recurrence-free survival among the 42 clinical, lifestyle, and tumor expression factors examined. After adjusting for multiple testing, using the elastic net method, and confirming findings by means of a survival-based classification tree, we found no evidence of interactions between any of these variables. Some interactions may merit consideration in larger studies. Nonetheless, this study provides important precedent for assessing interactions among multiple, diverse groups of variables in an effort to better understand ovarian cancer prognosis.

Interestingly, the elastic net analysis methodology used in this study is timely in view of numerous ongoing studies that seek to examine interactions among an expansive number of variables – particularly genomic factors – and their associations with respect to cancer risk. Although the current study did not include genomic data, other investigations may use

similar methodology when analyzing large data sets to demonstrate the complexity of genetic factors or epistasis. Future research might use similar methodology to examine putative interactions among a variety of factors associated with recurrence-free survival, including genomic ones. Thus, we view our findings as an invitation to further explore potential interactions.

The second important finding of our paper centers on TFF3 expression – a marker that appears to have protective effects on epithelial cells and that has been relatively understudied in ovarian cancer – was associated with a variable but statistically significant risk of cancer recurrence based on extent of expression. This protein has begun to receive increasing attention in gastrointestinal cancers, as a potential marker for gastric cancer screening and therefore may merit further study in ovarian cancer [18,19]. Further validation of this incidental finding in conjunction with confirmation of effect sizes might lead to further understanding of the clinical relevance of this preliminary observation.

This study has both strengths and limitations. One limitation is that although our sample size appears robust by some standards, the number of variables introduced into our models ultimately led to diminished power as a result of the multiple testing burden. Despite this limitation, our efforts to champion the elastic net methodology with the inclusion of diverse groups of variables may prompt others to undertake a similar approach within larger multiinstitutional data sets. Another limitation is that for certain relevant variables, such as BRCA1 and 2 mutation status, we had too little data to incorporate into our models. In terms of strengths, we believe again that the application of the elastic net methodology to such a diverse group variables with the goal of better understanding the prognosis of ovarian cancer patients is unique and merits more widespread use.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

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Table 2

Multivariate Associations of Clinical and Tumor Expression Variables with Ovarian Cancer Recurrence-Free Survival Multivariate Associations of Clinical and Tumor Expression Variables with Ovarian Cancer Recurrence-Free Survival

cancer diagnosis, histology, stage, grade, ascites, and extent of postoperative residual disease) were included in final multivariate model regardless of LASSO results. Hazard ratios and confidence intervals
estimated usin cancer diagnosis, histology, stage, grade, ascites, and extent of postoperative residual disease) were included in final multivariate model regardless of LASSO results. Hazard ratios and confidence intervals Abbreviations: HR, hazard ratio; CI, confidence interval. Tumor expression variables were chosen using Group LASSO Cox proportional hazards regression analysis. Clinical variables (patient age at Abbreviations: HR, hazard ratio; CI, confidence interval. Tumor expression variables were chosen using Group LASSO Cox proportional hazards regression analysis. Clinical variables (patient age at estimated using Cox regression of multiple imputed data, adjusted for all variables shown.

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Pairwise Interactions (P<0.001) and Association with Ovarian Cancer Recurrence-Free Survival Pairwise Interactions (P<0.001) and Association with Ovarian Cancer Recurrence-Free Survival

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Abbreviations: HR, hazard ratio; CI, confidence interval. Abbreviations: HR, hazard ratio; CI, confidence interval.

 3+, ≤20 years 18 66.67 56 0.34 (0.14–0.78) $3+$, >20 years 13 100.00 100.00 13 1.03 (0.44–2.39)

100.00

 $3+$, >20 years

 $1.03(0.44 - 2.39)$