

Presence of *Wuchereria bancrofti* microfilaremia despite 7 years of annual ivermectin monotherapy mass drug administration for onchocerciasis control: a study in north-west Ethiopia

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Background: There is considerable interest in determining whether mass drug administration (MDA) with ivermectin for onchocerciasis control will eliminate coendemic lymphatic filariasis (LF). The objective of this study was to determine the prevalence of LF microfilaremia in onchocerciasis endemic districts that had received 7 years of MDA with ivermectin.

Method: Three villages with a 2010 LF circulating antigenaemia prevalence (determined in a mapping exercise using immunochromatography tests) ranging from 23 to 56% were surveyed for the presence of *Wuchereria bancrofti* microfilaria (mf) in 2012. These villages had been treated with ivermectin MDA for onchocerciasis with reported total population coverage of $\geq 65\%$. A total of 774 residents aged 2 years and above, of both genders, provided 60 μ l nocturnal blood samples between 10 pm and 2 am. Standard thick smears were prepared and examined microscopically after Giemsa staining for the presence of *W. bancrofti* mf.

Results: The mean mf prevalence was 4.7% (village range 1.1–11.0%). The mean mf density was 9.8 mf/60 μ l (village range 9–13.1) among the positive individuals. Children in the 2–4-year-old and 5–9-year-old age groups were infected suggesting transmission occurred during the MDA period. A village level review of MDA treatment coverage records showed an average total population coverage of 66.4% over a 7-year period, but with a considerable range of annual coverage (43.0–89.9%). In addition, village level treatment coverage data were missing from the village with the highest mf prevalence (11%) for 2 of the 7 years.

Conclusion: 7 years of annual mass treatment with ivermectin monotherapy for onchocerciasis did not interrupt LF transmission. In expanding the onchocerciasis ivermectin MDA programme to include LF, albendazole should be added and treatment coverage improved.

Keywords: Lymphatic filariasis, Onchocerciasis, Annual MDA, Ivermectin, Elimination

Introduction

Lymphatic filariasis (LF) and onchocerciasis are two of five preventive chemotherapy-neglected tropical diseases.¹ LF is caused by three species of nematode parasites (*Wuchereria bancrofti*, *Brugia malayi* and *Brugia timori*) and transmitted by several mosquito vectors, including certain species of *Anopheles*, *Culex* and *Aedes*. Globally, it is estimated that 40 million people are disfigured or incapacitated by LF-related hydrocele, lymphoedema and elephantiasis.^{2,3} About 1.2 billion people worldwide are at risk for LF, and 35 African countries are affected. The main cause of LF infection in Africa is *W. bancrofti*,

usually transmitted on the continent by *Anopheles*. The approach to LF elimination in most of Africa is based on mass drug administration (MDA) using a combination of ivermectin (Mectizan[®], donated by Merck & Co.), and albendazole (donated by GlaxoSmithKline).^{2,3} The threshold for launching MDA for LF $\geq 1\%$ of prevalence (either nocturnal microfilaremia or antigenaemia) in an implementation unit.^{1–3} Between 2000 and 2013, a cumulative total of 4.9 billion doses of medicines have been delivered to 1 billion people at risk for LF infection. During the year 2013, the LF programme in Africa targeted 167 million people for MDA and treated 127 million for a reported coverage of 76.1%.² It is estimated that with good annual treatment coverage ($\geq 65\%$ of total population) of

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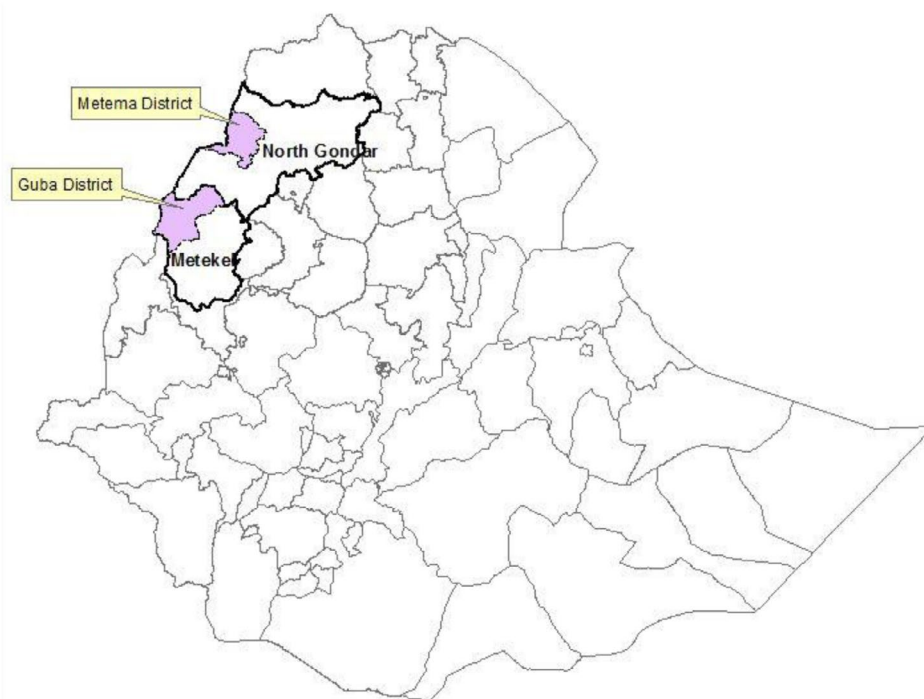


Figure 1 Map of Ethiopia showing the study areas of Guba and Metema districts.

combined ivermectin albendazole MDA, interruption of LF transmission in Africa can be attained in 4–6 years.³

It is estimated that 102 million people in Africa are at risk of onchocerciasis infections (river blindness).^{4,5} Ivermectin MDA, one or twice per year, is the strategy used to control disease, or eliminate transmission, of onchocerciasis. In sub-Saharan Africa, coendemicity of LF and onchocerciasis is geographically widespread,^{5,6} and most onchocerciasis-endemic areas that have been mapped for LF have been found to be coendemic for both conditions.⁶ The LF and onchocerciasis MDA programmes have been criticized for not working together more closely.^{5,6}

LF and onchocerciasis are both endemic in Ethiopia.^{2,4,7–9} Considering the importance of LF–onchocerciasis coendemicity, Shifereaw *et al.* undertook a large mapping exercise from 2008 to 2010 in order to determine LF endemicity in and around onchocerciasis-endemic zones where ivermectin MDA was being delivered.¹⁰ Activities generated by the results of the Shifereaw study form the basis for the current report, which seeks to answer the important question of whether ivermectin monotherapy MDA for onchocerciasis control has inadvertently eliminated LF transmission. If this were the case, the LF programme would be freed, in vast areas, of the need to treat LF in onchocerciasis coendemic zones in Ethiopia. Studies by Kyelem *et al.* in Burkina Faso showed that an annual MDA with ivermectin for onchocerciasis reduced the prevalence of coendemic LF after 6 years, but did not eliminate its transmission.¹¹ In another report by Kyelem suggested that biannual ivermectin MDA for onchocerciasis may have eliminated LF.¹² Epidemiological and entomological studies carried out by Richards *et al.* in Nigeria showed that

7 years of annual MDA with ivermectin did not interrupt transmission of LF.^{13,14}

The objective of the present study was to determine if an annual MDA with ivermectin over a period of 7 years might have reduced *W. bancrofti* microfilaremia to below the critical <1% mf prevalence threshold, signalling likely LF transmission interruption.

Methods

The original purpose of this field work was to collect baseline nocturnal microfilarial prevalence in potential LF sentinel villages in districts where LF antigen prevalence was $\geq 1\%$ in the Shifereaw *et al.* study. This was in accord with WHO guidelines for districts eligible for LF MDA, as sentinel village ‘baseline’ LF microfilaria prevalence is needed to monitor progress towards LF elimination.^{15,16} The sentinel village nocturnal blood follow-up surveys gave us an opportunity to observe the impact of onchocerciasis MDA on LF microfilaremia as compared to very high baseline mapping LF antigen prevalence.

Study site selection

The survey sites were in Metema district (*woreda*) in North Gondar zone of the Amhara Region, and in Guba district in the Metekel zone of Benishangul-Gumuz Region (Fig. 1). Both districts had received ivermectin MDA for onchocerciasis for a period of 7 years prior to the follow-up survey. Two villages in Guba district (Fanguso and Omedilla) and one in Metema district (Tumet) were selected as potential sentinel villages for LF due to their high circulating filarial antigen levels as determined on finger-stick blood using ICT (Binax NOW® Binax Inc.

Table 1 Villages selected for detection of *W. bancrofti* microfilariae based on 2010 ICT results

Zone	District	Village	ICT Tested 2008	ICT pos (%)
Metekel	Guba	Fanguso	50	28 (56%)
		Omedilla	50	27 (54%)
	Guba total		100	55 (55%)
North Gondar	Metema	Tumet	100	23 (23%)
	Overall total		200	78 (39%)

Table 2 Reported MDA treatment coverage with Ivermectin in three villages in Guba and Metema districts (2005–2011)

District	Village	Year	Treated Population	Total Population	% Total Population	Eligible Population	% Eligible Population
Guba	Fanguso	2005	623	1164	53.5%	978	63.7%
		2006	623	1161	53.7%	977	60.0%
		2007	621	962	64.6%	808	80.0%
		2008	895	1223	73.2%	1027	87.0%
		2009	852	1269	67.1%	1066	80.0%
		2010	387	648	59.7%	544	70.0%
		2011	796	1062	75.0%	892	90.0%
	Average Interval				63.8%		75.8%
	Omedilla	2005	NA	NA	NA	NA	NA
		2006	90	158	57.0%	133	67.8%
		2007	68	158	43.0%	133	50.0%
		2008	NA	NA	NA	NA	NA
		2009	149	166	89.8%	140	100.0%
2010		174	267	65.2%	224	70.0%	
Average Interval				68.0%		77.6%	
Metema	Tumet	2005	2284	3524	64.8%	2960	77.2%
		2006	3016	4346	69.4%	3651	82.6%
		2007	2536	3674	69.0%	3086	82.2%
		2008	2433	4867	50.0%	4088	59.5%
		2009	3875	5157	75.1%	4332	89.5%
		2010	4090	5867	69.7%	4928	83.0%
		2011	3411	4572	74.6%	3840	88.8%
Average Interval				67.5%		80.4%	
Overall Treatment Coverage				66.5%		77.9%	

Scarborough, Maine, USA) with methods described by Shifereaw *et al.*^{9,17} Two villages in Guba District were tested based on somewhat modified sampling procedures from the WHO Operational Guidelines for Mapping of Bancroftian Filariasis in Africa.¹⁸ In each district (*woreda*), the mapping study aimed to test 100 individuals for *W. bancrofti* antigenaemia. Approximately, 30 households per village with 160–200 people were randomly selected and their occupants were invited to participate. If the number of occupants in the households selected was too small to provide a sample of 100 (as was the case with Omedilla village), an additional village in the same district was selected (in this case Fanguso village in Guba district) and the exercise was repeated there to complete the 100 person sample.

The mean antigen rate for the three villages was 39% (range 23–56%, Table 1). The two villages in Guba district (Fanguso and Omedilla) had about twice the rates (mean antigen 55%) of Tumet village in Metema (23%) (χ^2 (Corrected) = 0.1976, $p < 0.01$). The Ethiopian programme made the decision that it would be preferable to visit both these villages to evaluate each as potential LF

sentinels due to these high baseline antigen levels, even though one of these (Omedilla) had a population smaller than the WHO recommended 500. This was because the programme sought a sentinel village for the district where the baseline nocturnal microfilaria (mf) prevalence would be well above the 1% needed to monitor impact of the programme, regardless of population size.

Onchocerciasis treatment

MDA had been carried out using the community-directed treatment with ivermectin (CDTI) approach¹⁹ in Guba and Metema districts from 2005 to 2011. Treatment data for the three surveyed villages were obtained from programme records kept at the village and district level. Coverage (shown in Table 2) was calculated for both the eligible population, which excludes children <5 years of age, and for the total population, living the village.²⁰ The records kept at village level were compiled yearly from community household treatment registers. The population to be treated, however, varied considerably between years due to agricultural migratory events. Therefore, we were unable to distinguish the ‘resident’ coverage from the ‘visitor’

coverage. Records kept at district level often only documented that MDA took place (e.g. did not always have individual village treatment coverage figures). Of particular concern was missing 2005 and 2008 treatment information for Omedilla at the village level, although district level records indicated that treatment had occurred, but without information on treatment coverage figures.

We considered acceptable treatment coverage to be $\geq 65\%$ of the total population per year. We summarized the MDA treatment coverage by calculating a 7-year average 'interval coverage' (the sum of the mean reported treatment coverage for each year divided by 7 multiplied by 100). Because we were unable to document at district level that treatments had taken place for all 7 years in Omedilla, we used the sum of the reported treatment coverage for each year divided by 5 multiplied by 100 as an estimate for the 7-year average interval coverage. The assumption was that the 5-year mean would be representative of the MDA coverage in 2005 and 2008.

Nocturnal microfilaremia survey

The blood survey was conducted in 2012 using the convenience sample methodology (e.g. not randomized) in residents ≥ 2 years of age. We revisited three villages discovered by Shifereaw *et al.* to have high LF antigenaemia in an onchocerciasis coendemic area of Ethiopia. Residents were defined as children under 5 who had spent their entire lives in the community, and older persons who had lived in the communities for at least 5 years. The survey was conducted 11 months after the last ivermectin MDA treatment round so as to allow for maximum likely mf prevalence (e.g. the nadir of the MDA effect). The survey did not include seasonal migratory workers or visitors since their infection status might not provide information about recent transmission in the surveyed population.

After explaining the purpose of the survey, between 10:00 pm and 2:00 am, participants of both genders were registered and oral consent obtained from adults and assent from parents of minors. Using sterile lancets, 60 μ l of blood by finger prick was obtained in non-heparinized capillary tubes. Seven hundred and seventy-four persons participated in the nocturnal blood surveys. The blood was placed on a microscope slide in a standard thick blood smear preparation and allowed to air dry. At The Carter Center's laboratory in Addis Ababa, the dried smears were dehaemoglobinized, dried, fixed in methanol and stained in 3% Giemsa for 45 min. The stained slides were examined by trained laboratory technicians using light microscopy for the presence of *W. bancrofti* mf and all mf on positive slides were counted. For quality control, all positive slides and 10% of negative slides were confirmed by a second microscopist.

Ethical approval

Ethical approval for the survey was provided by the Ethiopian National Ethical Review Committee of the

Ministry of Ethiopian Science and Technology. The Institutional Ethics Review Boards of the Faculty of Medicine and Addis Ababa University also reviewed the protocol, as well as the Emory Institutional Review Board, which classified the assessment activities as programme performance assessment ('non-research'). On several occasions prior to the surveys, community-wide meetings that included community leaders were held to explain the purpose of the survey. The meetings, which included health education messages, were conducted by the study team together with the zonal, district and community health staff. In these meetings, it was made clear that participation was voluntary and anyone was free to opt out of the study without fear of repercussions.

Data analysis

Data were analyzed in Microsoft Excel[®] and SPSS version 15.0 (SPSS, Chicago IL, USA). The average MDA interval coverage was calculated as described above. The mf prevalence was calculated as the number of *W. bancrofti* mf slide positives divided by the number of persons examined multiplied times 100, and results were expressed both by age group and gender. The overall prevalence was not age gender adjusted. Mean microfilaria density per 60 μ l among infected persons was expressed as the sum of all mf counted on all positive slides divided by the number of positive individuals. To compare prevalence data between age groups, the Pearson chi-square – χ^2 test was used with *P* values < 0.05 considered significant. The binomial exact confidence interval (CI) calculation was used to determine 95% CI.

Results

MDA Coverage

Over the 7-year treatment interval, the average interval coverage of the total population was 66.5%, and the average interval coverage of the eligible population was 70.5% (Table 2). The highest average coverage for total population was in Omedilla (68.0%), while the highest average eligible population coverage was in Tumet (80.4%). In Fanguso, the average treatment coverage over the 7-year period was 63.8% (range 53.5–75%) for the total population and 75.8% (ranged 60–90%) for the eligible. For Tumet, the total population average coverage was 67.5% (range 64.8–75.1%) and eligible 80.4% (range 59.5–89.5%). In Omedilla, the average for 5 years (excluding 2005 and 2008 when treatment was given but coverage was unknown) was 68.0% (range 43.0–85.3%) for the total population and 77.6% (range 67.8–100%) for the eligible population.

Microfilaremia (mf) prevalence and Mf density

The overall mean mf prevalence (Table 3) was 4.7% among the 774 persons (range 1.1–11.0%, 95% CI, 3.27–6.37%). Tumet (with a baseline 2010 LF antigen of 23%) had the lowest 2012 mf prevalence of 1.1% (95% CI, 0.29–2.74%). Fanguso (baseline LF antigen 56%) had an

Table 3 Blood microfilaria (mf) prevalence and microfilaria density in surveyed villages

District	Village	Number of persons tested for mf	No. (%) positive for microfilaria	95% Confidence Interval (CI)	microfilaria density/60 μ l among positives cases
Metema	Tumet	370	4 (1.1%)	0.29–2.74 %	9
Guba	Fanguso	295	20 (6.8%)	4.19–10.28 %	13.8
	Omedilla	109	12 (11.0%)	5.82–18.44 %	6.6
	Total	774	36 (4.7%)	3.27–6.37 %	9.8

mf prevalence of 6.8% (95% CI, 4.19–10.28%), significantly higher than Tumet ($p < 0.05$). Omedilla village, with a baseline LF antigen similar to Fanguso (54%), and the highest total population average treatment coverage (68.0%) had the highest mf prevalence 11.0% (95% CI, 5.82–18.44%). Omedilla's mf prevalence was higher than Tumet ($p < 0.05$), but not statistically significantly different from Fanguso.

The overall mean mf density among infected persons was 9.8 mf/60 μ l blood (Table 3). Fanguso (13.8 mf/60 μ l) had the highest mf density, followed by Tumet (9 mf/60 μ l) and Omedilla (6.6 mf/60 μ l).

Gender-based mf prevalence

Table 4 shows the mf prevalence by gender and by seven age groups. Males ($n = 365$) did not have a significantly higher mf prevalence compared with females ($n = 389$) (4.15% compared to 5.14%, χ^2 (corrected) = 0.2307, $p = 0.631$). When compared at village level (Table 4), the higher prevalence of female infections in Omedilla (16% vs. the 4% in males) almost reached statistical significance (Mantel-Haenzel χ^2 3.8, $p = 0.051$).

Age group mf prevalence

Prevalence was greatest in the >50-year-old age group (12.4%) and lowest (0%) in the 15–19-year age group (Table 4). Infected children were detected in the 2–4-year-old age group (one child, 1.7%) and among 5–9-year olds (5.6%). The infected child in the 2–4-year age group was a 3-year-old boy in Fanguso, the village which had the best average treatment coverage of the eligible population (80.4%, range 59–88%). All three villages had children with microfilaremia in the 5–9-year age group, a likely indicator of recent LF transmission.

Discussion

There has been considerable interest in the LF community in determining if years of ivermectin MDA to control onchocerciasis could interrupt transmission of LF where these two conditions are coendemic.^{5,6,11–14} The results from this study in Ethiopia show that, despite 7 years of annual MDA with ivermectin for onchocerciasis, all three LF onchocerciasis coendemic communities had *W. bancrofti* microfilaremia exceeding the critical $\geq 1\%$ prevalence threshold. This implies that LF transmission was not interrupted,²¹ and that these two districts should implement an LF MDA programme by adding albendazole

to ivermectin for more effective combined therapy,¹⁵ and forgoing any consideration of undertaking LF transmission assessment surveys.^{21,22} However, it is difficult to draw conclusions about the effectiveness of onchocerciasis MDA against LF from this study because no village achieved more than three consecutive 'effective' MDA rounds where coverage was $\geq 65\%$ (Table 2).

The finding of one resident child (a 3-year-old male) with mf in the 2–4-year-old age group in Fanguso village strongly suggests that transmission occurred within the 7-year ivermectin MDA period. While it could be argued that Fanguso only had four effective ($\geq 65\%$) MDA rounds,²² three of these occurred during the 4-year period 2008–2011, which encompassed the lifespan of this child. In the 5–9-year age group, there were 8 (5.6%) infections among 143 children, with infections in this age group occurring in all three communities, including Tumet, which had 5 effective rounds (not including an additional 'ineffective' round of 64.8%). The child with the highest infection density (9 mf/60 μ l) was in Tumet.

Some of the age- and sex-specific infection rates were surprising. First, there were no positive cases in the 15–19-year age group. This could have been due to a small number of persons in this age group participating in the survey compared to other adult age groups. The number tested (69) in the 15–19 age group was the second smallest, after the 2–4-year olds (59 tested), where one infection was found. Perhaps most important explanation for the low infection rate in the 15–19-year-old age group is that the village with the highest infection rate (Omedilla, with 11% overall prevalence) had only three persons (3% of that village's sample) in that age group tested. This could have reduced the likelihood of finding microfilaridemia in age category. Second was the higher prevalence of female infections in Omedilla (16% vs. 4% in males), a difference that almost reached statistical significance ($p = 0.051$). Reasons for this finding are unclear and warrant further investigation.

Omedilla, the village with the highest microfilaremia prevalence (11.0%), also had the highest average treatment coverage (68% of total population coverage), but only three MDA annual rounds were documented as being $\geq 65\%$, and there was no village-level documentation for MDA for 2005 and 2008. Because we found evidence at the district level that MDA had been delivered during those years, we decided to assume that the average coverage for 5 years was representative of the 7-year-treatment

Table 4 Population examined and *W. bancrofti* microfilaria positive cases, by gender and age group

Village	Gen-der	2-4yrs		5-9yrs		10-14yrs		15-19yrs		20-29yrs		30-49yrs		50+yrs		Total	
		Exami-nation	Positive	Exami-nation	Positive	Exami-nation	Positive	Exami-nation	Positive	Exami-nation	Positive	Exami-nation	Positive	Exami-nation	Positive	Exami-nation	Positive
Tumet (n=371)	Male	11	0	24	0	25	0	30	0	39	1	51	0	13	0	193	1 (0.5%)
	Female	10	0	36	1	26	0	8	0	57	1	29	1	11	0	177	3 (1.7%)
	Total	21	0 (0%)	60	1 (1.7%)	51	0 (0%)	38	0 (0%)	96	2 (2.0%)	80	1 (1.2%)	24	0 (0%)	370	4 (1.1%)
Fanguso (n=295)	Male	15	1	25	1	31	2	16	0	14	1	20	4	24	4	145	13 (9.0%)
	Female	12	0	31	2	23	0	12	0	24	0	35	2	13	3	150	7 (4.7%)
	Total	27	1 (3.7%)	56	3 (5.4%)	54	2 (3.7%)	28	0 (0%)	38	1 (2.6%)	55	6 (10.9%)	37	7 (18.9%)	295	20 (6.8%)
Omedilla (n=109)	Male	6	0	10	0	7	0	1	0	4	0	10	0	9	2	47	2 (4.3%)
	Female	5	0	17	4	8	3	2	0	13	1	6	1	11	1	62	10 (16.1%)
	Total	11	0 (0%)	27	4 (1.5%)	15	3 (20%)	3	0 (0%)	17	1 (5.9%)	16	1 (6.3%)	20	3 (15%)	109	12 (11.0%)
Overall	Male	32	1	59	1	63	2	47	0	57	2	81	4	46	6	385	16 (4.2%)
	Female	27	0	84	7	57	3	22	0	94	2	70	4	35	4	391	20 (5.1%)
	Overall	59	1 (1.7%)	143	8 (5.6%)	120	5 (4.2%)	69	0 (0.0%)	151	4 (2.7%)	151	8 (5.3%)	81	10 (12.4%)	774	36 (4.7%)

interval. It is important to note that if we had not made this assumption, and assumed instead that no MDA was delivered in 2005 and 2008, then Omedilla would have had the lowest interval treatment coverage (55.4% eligible and 48.6% total population). The ‘Omedilla uncertainty’ is a major limitation of this study. The need for better treatment records is a major recommendation from this experience. We also recommend periodic coverage surveys in order to validate reported coverage.²³ Our results would have been strengthened if at least one coverage survey in Guba and Metema districts had been carried out during the MDA period.

Another limitation of the study was that it was not randomized, the subjects for nocturnal survey were self-selected and as such these ‘convenience sample’ results are subject to selection bias. People who voluntarily presented for night testing could possibly be those more likely to accept ivermectin during MDAs, which would result in underestimating the actual mf prevalence and density in the communities. The last limitation to mention is that the study was restricted to only permanent residents and so avoided inclusion of the seasonal migratory individuals, many of whom may or may not have been infected depending upon whether their origin was an LF or non-LF endemic areas. Future studies should consider randomization procedures, as well as determination of the extent to which the migratory labour force contributes to local LF transmission dynamics. It would be important to continue to include non-residents and visitors in the onchocerciasis/LF MDA programmes, as appears to be happening now given the fluctuations in treatment populations observed from year to year.

Interestingly, the vector for LF in Ethiopia is *Anopheles gambiae*, which have cibarial armature (‘teeth’) that destroy many ingested mf during a blood meal.^{24,25} As more mf are ingested by the mosquito, the cibarial armature’s effect decreases as mf debris accumulates on the teeth, and by masking them to allow other mf to enter the vector unharmed. Once inside the vector, they may develop into infective larvae.²⁶ The presence of higher density mf carriers therefore favours LF transmission in *Anopheles* transmission zones. Therefore, our finding of individuals with relatively high mf counts is another piece of evidence that supports active local LF transmission in these villages. On the other hand, these vector infection dynamics also suggest that ivermectin treatment, which is primarily microfilaricidal,¹⁵ ought to have an impact on LF transmission simply by lowering mf counts. Of note is that in addition to ivermectin MDA from the onchocerciasis programme, the national scale up of long-lasting insecticidal bednets by the Ethiopian malaria programme may have worked in favour of reducing LF transmission in this area.^{27,28} Therefore, it was disappointing to find evidence of ongoing transmission in these three villages in Ethiopia.

Conclusion

Seven years of annual mass treatment with ivermectin alone, given for onchocerciasis control, did not interrupt LF transmission in three Ethiopian villages. This MDA failure may have been due to the inability of ivermectin monotherapy to break transmission, and/or to too few effective MDA rounds having been delivered. In co-endemic areas for LF and onchocerciasis, albendazole should be given in combination with ivermectin as recommended for LF elimination by WHO. It is also imperative that these medicines be provided to the targeted populations at optimal coverage in every round of treatment. Good records and treatment coverage surveys are needed to document that such effective treatment coverage was attained.

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