# Structural Changes by Spectral Domain Optical Coherence Tomography in Patients With Type I Diabetes Mellitus

Journal of Diabetes Science and Technology 2016, Vol. 10(2) 271–276 © 2015 Diabetes Technology Society Reprints and permissions: sagepub.com/journalsPermissions.nav DOI: 10.1177/1932296815603371 dst.sagepub.com



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### Abstract

**Background:** With a possible increase in the prevalence of type I diabetes mellitus (DMI), the pediatric patient population is expected to be at risk for other health care complications. Current imaging modalities, such as the spectral domain optical coherence tomography (SD-OCT), that allow micron resolution imaging of the retina have become a standard of care for showing morphological changes seen in the retina in adults with diabetes. Such pathologies can be associated with known risk factors such as poor glycemic control (HbA1C) and body mass index (BMI).

**Methods:** A comprehensive screening was performed in subjects with DMI including nonmydriatic fundus imaging (Canon, CR2 Plus-AF with EOS-60D, Tokyo, Japan) and SD-OCT imaging (Optovue, iVue, Fremont, CA). SD-OCT scans were acquired showing macular thickness (MT) and thickness of the parafoveal regions and the perifoveal regions. Associations of macular, paramacular, and perimacular thickness were analyzed as a function of HbAIC and BMI by simple linear regressions.

**Results:** SD-OCT changes were analyzed in eyes of subjects with DM1 and normal fundus appearance by color imaging. Linear regression analysis of thickness of macula right eye (RT), paramacula RT, paramacula left eye (LT), perimacula LT with BMI were statistically significant (P < .05). HbA1C >7.5% was also found statistically significant in the macula RT, paramacula RT, paramacula RT, paramacula LT, perimacula RT, and perimacula LT. A general trend of thicknesing of the macula, paramacula, and perimacula was observed with increasing HbA1C (>7.5%) and increasing BMI; however, no statistical significance was found.

**Conclusions:** SD-OCT with retinal imaging was feasible in young individuals with DMI and revealed ultrastructural macular and perimacular changes prior to manifest clinical disease.

#### **Keywords**

diabetes, imaging methods, optical coherence tomography, macula/fovea

Diabetes mellitus type 1 (DM1) is a metabolic disorder affecting 2 per 1000 school-aged children in the United States.<sup>1</sup> With a possible increase in the prevalence of DM1, the pediatric patient population is expected to be at risk for other health care complications. Diabetic retinopathy (DR) is the leading complication and cause of blindness in the pediatric population with DM1. The presence of DR has been gradually increasing with a prevalence of 10% to 30% contingent on the study.<sup>2</sup> In patients with DM1 younger than 30 years of age, The American Academy of Ophthalmology recommends annual screenings between 3 to 5 years post-diagnosis of disease.<sup>3</sup> The greatest impact on this disease comes from early detection.

According to numerous published studies, the use of telemedicine has proved its role as a valid method in diagnosing ocular pathologies.<sup>4</sup> Current imaging modalities, such as spectral domain optical coherence tomography (SD-OCT), have shown morphological changes seen in the retina prior to the loss of visual acuity in the diabetic eye.<sup>5,6</sup> Such pathologies can be associated with known risk factors such as poor glycemic control (HbA1C) and body mass index (BMI).<sup>7</sup>

SD-OCT with a resolution of about 5 microns has become a state-of-art technique in the evaluation of diabetic pathology

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and changes in retinal thickness in a way not possible prior to OCT. It is a noninvasive, noncontact imaging system capable of generating high-resolution optical cross sections of the retina, such as the retinal nerve fiber layer, optic nerve head, macular area, and structural changes seen in retinopathy.<sup>8</sup> Through a single axial scan, the SD-OCT generates its information by assessing the frequency spectrum of the interference between the stationary reference mirror and the reflected light. With the ability to acquire images in seconds, the SD-OCT provides not only quicker acquisition times, but an increase in the amount of data generated during a single scan.

The benefits of such modalities have been assessed in the eyes of adults and few studies have been conducted in the pediatric population. The purpose of this study was to analyze SD-OCT findings in individuals with DM1 with a normal fundus examination as documented on color imaging at the International Conference for Children with DM1. (http://www.childrenwithdiabetes.com).

## **Methods**

## Study Participants

This was a prospective pilot-imaging study. Recruitment of DM1 patients was conducted at the annual Friends for Life, Children with Diabetes, Inc conference located in Orlando, Florida, between July 1 and July 6, 2014. The study was approved by the Rutgers New Jersey Medical School Institutional Review Board (IRB) and was HIPAA compliant.

The purpose of the Friends for Life conference is to provide individuals with DM1 and their families with the most advanced knowledge in diabetes care.<sup>9</sup> In addition to educational and support sessions, children and their families are offered tele-retinal screenings by the telemedicine team of the Institute of Ophthalmology and Visual Science of Rutgers New Jersey Medical School.

The screening program was divided into a morning and afternoon session, in which children and their family members were given appointments based on 15-minute time intervals. Inclusion criteria for imaging was a self-reported diagnosis of DM1 and an age of 30 years or younger. Exclusion criteria consisted of the inability to image participant, poor image quality (Scan Quality Index < 65) and/or an adult onset diabetic. The participants were divided into the following 3 age groups: 6 to 8 years, 9 to 14 years, and 15 to 30 years. The division into age groups provided for improved cooperation among the participants. Once the screening protocol was explained in full detail, informed consent was obtained from all participants.

A comprehensive description of the adult protocol used in our screenings has been previously published.<sup>10</sup> In summary, the screening consisted of (1) 2 medical students who measured blood pressure, intraocular pressures, and assessed visual acuity and calculated BMI based on a provided data intake form, which included age, sex, onset of diabetes, duration of DM1, ethnicity, date since last eye examination, insulin pump/sensor use, personal and family history of ocular and medical problems, and so on; (2) an imaging professional who conducted nonmydriatic fundus imaging (Canon, CR2 Plus-AF with EOS-60D, Tokyo, Japan) and SD-OCT (Optovue, iVue, Freemont, California); and (3) an on-site medical director who performed analysis of the screening data and images, informed participants and families of results, and referred for follow-ups when appropriate. Visual acuity was analyzed using the Snellen visual acuity scale. Participants who presented with a normal fundus examination were included in this analysis. All imaging was performed with no need for pupil dilation. Collected data were inputted on a computer.

# SD-OCT Procedure: Specifics of Procedure

The iVue SD-OCT scans provided cross-sectional imaging of the retina in the horizontal and vertical axis without the use of pupil dilation with mydriatic agents. Participants were told to maintain a fixated gaze on a central target as the iVue software automatically located the fovea. Scans with a resolution of 5 microns were acquired measuring ultra structural thickness of retinal tissue in the macula, paramacula (inner concentric ring just outside the macula), and perimacula (a second concentric ring outside the paramacular) regions (Figure 1).

The iWellness is used as an initial scan that includes a  $7 \times 7$  mm map scan centered on macular region (1 mm temporal to fovea) and allowing for acquisition of a single scan by including macula values, optic nerve with nerve fiber layer, as well as ganglion cell complex thickness, in lieu of the 3 scans necessary prior to development of this setting.

Each scan generated a horizontal followed by 17 vertical B scans (a total of 18 scans) at 0.5 mm intervals with an acquisition time of approximately 2 seconds. However, the horizontal scan was not used in the measurement scheme. These cross-sectional images of the retina were used to create a  $7 \times 7$  mm retinal thickness map with a measurement circle overlay consisting of 9 zones that included a 1 mm central zone (centered on the fovea) surrounded by 3 mm (paramacular) and 5 mm (perimacular) diameter circles that are further divided into quadrants (superior, inferior, nasal, and temporal) (Figure 2). A 5 mm diameter Early Treatment of Diabetic Retinopathy Study (ETDRS)-like zone was the basis for the measure of retinal thickness; which is characterized as the difference between the outer-retina interface of the retinal pigment epithelium and the vitreoretinal interface.<sup>7</sup>

### Statistical Analysis

Statistical analyses were performed utilizing StatPlus for Microsoft Excel 14.4.1. Descriptive analyses are included as means  $\pm$  SE. Simple linear regressions measured macula, paramacula, and perimacula thickness of both eyes (right,



Figure 1. Spectral domain optical coherence tomography of right eye of participant with type 1 diabetes mellitus. No evidence of diabetic retinopathy.



**Figure 2.** Base-line DMI right eye without retinopathy demonstrating a thickness map with a measurement circle overlay consisting of 9 zones.

left), and the thickness values were analyzed as a function of clinical covariates, HbA1C, and BMI. A probability (P) value < .05 was considered statistically significant.

## Results

Of the 132 participants who presented to the screening, 109 (83%) met the inclusion criteria and were included in the

**Table 1.** Screening Participants' Demographics and ClinicalCharacteristics.

Characteristic	Number
Age (years)	
Mean	14
Range	5-30
Gender	
Male/female	44%/56%
Race	
White	79%
Other	21%
Duration of DMI (years)	
Mean	7.8
Range	0.4-25
HbAIC	
Mean	7.9%
Visual acuity	
Right 20/20	57%
Left 20/20	61%

analysis. With a range of 5-30 years, the mean age was 14 years, 56% were females, and 79% were Caucasians. Duration and HbA1C were self-reported, with a mean of 7.8 years (range 0.4 to 25 years) and 7.9%, respectively. Visual acuity was 20/20 for 57% of the right eye and 61% for the left eye. Table 1 provides clinical characteristics and demographics of the screened population. For each participant, each eye was imaged. None of the participants were found to have proliferative DR, incidental findings, or other retinal diseases.

		Veriable			
	n	variable	z-talled P value	ĸ	
6-8 years					
Macthick RT	33	BMI	.03165	.41775	
Paramacula RT		BM	.02895	.42808	
Paramacula LT		BMI	.03953	.47663	
9-14 years					
Macthick LT	42	AIC > 7.5	.03067	.18017	
Paramacula LT		AIC > 7.5	.02472	.19305	
Perimacula LT		AIC > 7.5	.04557	.15635	
15-30 years					
Macthick RT	34	BMI	.04004	.09443	
Paramacula RT		AIC > 7.5	.03129	.18613	
Paramacula RT		BMI	.0055	.16579	
Perimacula RT		AIC > 7.5	.01625	.22625	
Perimacula RT		BMI	.03309	.10132	

Table 2. Significance of HbAIC > 7.5% and BMI in the 3 Age Groups.

 Table 3. General Trend of Thickening of the Macula, Paramacula, and Perimacula Observed With Increasing HbAIC (>7.5%) and Increasing BMI.

	Mean AIC/BMI	Macthick RT	Macthick LT	Para RT	Para LT	Peri RT	Peri LT
6-8 years							
AIC > 7.5	8.096	243.708	251.753	303.419	301.958	282.424	282.024
BMI	15.755	239.231	241.859	306.724	300.813	286.328	285.025
9-14 years							
, AIC > 7.5	8.439	243.708	253.986	320.043	313.538	292.689	289.073
BMI	20.204	259.018	256.954	316.797	316.242	289.898	290.604
15-30 years							
AIC > 7.5	8.7100	256.723	258.850	316.157	313.286	292.218	289.691
BMI	26.209	256.609	258.745	315.148	313.983	289.818	289.098

SD-OCT measured the thickness in the macula, paramacula, and perimacula regions. Linear regression analyses of macula, paramacula, and perimacula thickness in both eyes were assessed in relation to the clinical covariates (HbA1C and BMI). Thicknesses for macula RT, paramacula RT, paramacula LT, perimacula RT with BMI were statistically significant (P < .05). HbA1C > 7.5% was also found statistically significant in the macula LT, paramacula RT, paramacula LT, perimacula RT, and perimacula LT (Table 2). A general trend of thickening of the macula, paramacula, and perimacula was observed with increasing HbA1C (>7.5%) and increasing BMI; however, no statistical significance was found (Table 3).

### Discussion

The implementation of newer imaging modalities has supplemented traditional forms of examination and diagnostic testing in patients with DM1 and preclinical diseases. The American Academy of Ophthalmology recommends annual screenings between 3 and 5 years post–onset of disease for patients less than 30 years of age.<sup>3</sup> These recommendations date back to the "pre-OCT" era. Based on the abilities of OCT, it has become a standard-of-care test in adults with diabetes and retinal disease. In view of the possible blinding complications of DM1, the growing incidence of ocular manifestations of DM1, and the advancement in noninvasive imaging technologies; we believe the younger population may benefit from an earlier and more frequent recommendation of in-depth retinal imaging that includes an OCT.

The Early Treatment of Diabetic Retinopathy Study supports the need for early evaluation and treatment for a common and often asymptomatic manifestation of DM1: proliferative DR.<sup>11</sup> This ocular complication of DM1 is a micro vascular disease that is capable of producing irreversible blindness.<sup>12,13</sup> The Wisconsin Epidemiologic Study of Diabetic Retinopathy reported 3.6% of DM1 patients suffering from legal blindness.<sup>14</sup>

Treatment for DR begins only after a patient presents with decreased visual acuity or with the appearance of abnormalities in the vasculature. It is only after diagnosis, that laser therapy, or antivascular endothelial growth factors are used to prevent further loss of existing vision.<sup>7</sup>



**Figure 3.** Retinal image of DMI left eye demonstrating proliferative diabetic retinopathy.

In comparison to the above-mentioned studies which focused on treatments after diagnosis, our study (1) focused primarily on SD-OCT findings in the pediatric DM1 population with a normal fundus exam (not done before), (2) used an SD-OCT with the ability to generate 17 vertical B scans with an acquisition time of less than 2 seconds, and (3) included a more comprehensive assessment of patient overall health status (blood pressure, A1C, BMI) in addition to visual acuity. SD-OCT is a noninvasive imaging study that does not require pupil dilation and has the potential benefit to detect early or preclinical stages of DR prior to their appearance on fundus examination or color imaging.<sup>15-17</sup>

Poor metabolic control of diabetes mellitus poses the highest risk for DR that can manifest as changes in the vasculature and in the retinal thickness of these patients (Figure 3).<sup>18</sup> In our study, we completed linear regression analyses of macula, paramacula and perimacula thicknesses in both eyes in relation to the participants' HbA1C and BMI values. The Metaanalysis for Eye Disease study supports our analysis of childhood obesity (higher BMI) and poor glycemic control (measured by HbA1C levels) as contributing risk factors in the development of DR.<sup>19</sup>, Dirani et al found a 3-fold increase in DR prevalence in obese patients.<sup>20</sup>

In our study, thicknesses for macula RT, paramacula RT, paramacula LT, perimacula RT with BMI were statistically significant (P < .05). HbA1C > 7.5% was also found statistically significant in the macula LT, paramacula RT, paramacula LT, perimacula RT, and perimacula LT. A general trend of thickening of the macula, paramacula and perimacula was observed with increasing HbA1C (>7.5%) and increasing BMI; however, no statistical significance was found. However, of these 2 risk factors, the most important in relation to the

onset and progression of DR is an increase in HbA1C levels.

The Diabetes Control and Complications Trial (DCCT) supports the benefits of lowering HbA1C levels and managing glycemic control in reducing the rate of such complications.<sup>21</sup> By implementing the use of a SD-OCT in measuring central fovea thickness and providing a measure of macular thickness, patients with diabetes may uncover early changes and receive timely intervention that can save vision.<sup>22-25</sup> The DCCT discovered a decrease in the risk of retinopathy progression by 53% in children ages 13 to 17.<sup>25</sup> The UK Prospective Diabetes Study found a 35% decrease in the risk of developing micro vascular complications for every percentage decrease in HbA1C levels (eg, from 7 to 6%).<sup>26-28</sup> As supported by our study, there remains a strong correlation between DR and HbA1C.<sup>25,29</sup>

Some of the limitations of our study include: a selfreported duration of diabetes and self-reported A1C levels. BMI was an objective measurement that was calculated and included in the analysis to further corroborate the reported data on diabetes control. The study population was relatively homogenous (79% Caucasian) and a self-selected participant population of likely higher socioeconomic status, which may have affected the rate of pathology.

# Conclusions

The success of SD-OCT is warranted in its role of detecting vision-threatening abnormalities prior to vision loss, providing patients with immediate preventative measures and a way to monitor the response to a selected therapy.<sup>7</sup> In our study, SD-OCT with retinal imaging was feasible in young individuals and revealed ultra-structural macular and perimacular changes in subjects with DM1 prior to manifested clinical disease. The ultra-structural changes observed on OCT within our given age group were not detectable on prior higher resolution digital fundus imaging. Thus, our results of initial neurodegenerative changes seen on SD-OCT warrant further investigation with a larger study and a comparative normal, reference database.

### Abbreviations

BMI, body mass index; DCCT, Diabetes Control and Complications Trial; DM1, type 1 diabetes mellitus; DR, diabetic retinopathy; LT, left eye; RT, right eye; SD-OCT, spectral domain optical coherence tomography.

#### **Declaration of Conflicting Interests**

The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

#### Funding

The author(s) received no financial support for the research, authorship, and/or publication of this article.

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