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Pathogenesis, Emerging therapeutic targets and Treatment in Sialidosis

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Abstract

Introduction—Sialidosis is a neurosomatic, lysosomal storage disease (LSD) caused by mutations in the *NEU1* gene, encoding the lysosomal sialidase NEU1. Deficient enzyme activity results in impaired processing/degradation of sialo-glycoproteins, and accumulation of oversialylated metabolites. Sialidosis is considered an orphan disorder for which no therapy is currently available.

Areas covered—The review describes the clinical forms of sialidosis and the *NEU1* mutations so far identified; NEU1 requirement to complex with the protective protein/cathepsin A for stability and activation; and the pathogenic effects of NEU1 deficiency. Studies of the molecular mechanisms of pathogenesis in animal models uncovered basic cellular pathways downstream of NEU1 and its substrates, which may be implicated in more common adult (neurodegenerative) diseases. The development of a Phase I/II clinical trial for patients with galactosialidosis may prove suitable for sialidosis patients with the attenuated form of the disease.

Expert opinion—Recently, there has been a renewed interest in the development of therapies for orphan LSDs, like sialidosis. Given the small number of potentially eligible patients, the way to treat sialidosis would be through the coordinated effort of clinical centers, which provide diagnosis and care for these patients, and the basic research labs that work towards understanding the disease pathogenesis.

Keywords

NEU1; sialidosis; mechanisms of pathogenesis; lysosomal storage disease; lysosomal exocytosis; chaperone-mediated therapy

1. Sialidosis: Clinical Phenotypes

Sialidosis is an autosomal recessive lysosomal storage disease, belonging to the glycoproteinosis subgroup. The underlying cause is the deficiency of the sialic acid-cleaving enzyme, sialidase 1 or neuraminidase 1 (NEU1)^{1,2}. Historically, the name sialidosis was

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first used by Durand et al. (1977)³ to designate the syndrome of two siblings of 22 and 13 years of age who developed visual impairment and mild neurological manifestations in their adolescence. Enzymatic assays in culture fibroblasts and leukocytes from these patients revealed an isolated deficiency of NEU1. Until then, deficiency of this enzyme was linked to classical mucopolipidosis I⁴, a severe and rapidly progressive lysosomal storage disease with onset at birth or shortly after birth. It became apparent at that point that patients with isolated deficiency of NEU1 developed a broad range of clinical manifestations associated with seemingly distinct diseases. The proper nosology of neuraminidase deficiency was given by Lowden and O'Brien (1979)⁵, who classified patients with sialidosis into two main types: Type I (normomorphic) and Type II (dysmorphic).

1.1 Type I sialidosis

Type I sialidosis is the attenuated, non-neuropathic form of the disease, also known as cherry-red spot myoclonus syndrome. Generally, type I patients have no obvious physical defects, and their intelligence is normal or only slightly impaired. Symptoms appear in the second or third decade of life with gait abnormalities, decreased visual acuity, or both⁶. The visual loss is progressive and is associated with bilateral macular cherry-red spots that may fade later in the course of the disease⁶⁻⁸. The most prominent clinical aspect is myoclonus that may be precipitated by voluntary movements, the thought of movement, passive joint movements, or light touch or sound stimuli. Initially there are intention tremors and difficulty with fine motor movements, but eventually the myoclonus can become very debilitating, despite maintaining normal muscle strength. Leg tremors and generalized seizures may also occur. In the severe cases, as the disease progresses, the patients may be confined to a wheelchair. Despite becoming disabled, type I patients remain intellectually active. A few vacuolated lymphocytes and histiocytes may be present in peripheral blood and bone marrow smears, respectively. At the ultrastructural level swollen lysosome are visible in bone marrow cells and in Kupffer cells of the liver. Increased high molecular weight sialylated oligosaccharides are found in patients' urine⁹. Recently, several patients with full-blown manifestations of myoclonus without visual symptoms and no measurable NEU1 activity have been reported^{10, 11}. This interesting finding suggests that mutations affecting NEU1 activity can exist in the absence of other clinical signs that are characteristic of sialidosis.

Their overall normal appearance and intelligence, the absence of overt skeletal and visceral abnormalities and long survival explain why patients with type I sialidosis are often overlooked. The diagnosis of type I cases may be guided by either their ophthalmologic presentation combined with sialyl-oligosacchariduria, or by whole genome sequencing^{8, 10, 12}. The penetrance and degree of severity of the symptoms in these patients correlate closely with the type of NEU1 mutations involved and, in turn, the levels of residual enzyme activity (see below).

1.2 Type II sialidosis

Type II sialidosis is the severe, neuropathic form of the disease which is further classified in three subtypes: congenital or hydroptic with onset *in utero*, infantile with onset between birth and 12 months, and juvenile with onset past 2 years of age. Type II patients with the most

acute, congenital form of the disease develop hydrops fetalis, neonatal ascites, or both; they are stillborn or die shortly after birth with a systemic and fulminant condition. Their clinical presentation at birth includes facial edema, inguinal hernias and hepatosplenomegaly^{6, 7}. All patients with type II disease have, among other symptoms, coarse face, enlargement of spleen and liver, dysostosis multiplex, vertebral deformities, and severe mental retardation. Both the infantile patients with longer survival and the juvenile cases develop macular cherry-red spots and myoclonus, and may also have hearing loss and angiokeratoma^{6, 13–15}. Their life expectancy can vary greatly depending on the associated mutations and the severity of the symptoms.

2. Galactosialidosis

Severely reduced or deficient NEU1 activity secondary to a primary defect of the lysosomal serine carboxypeptidase, protective protein cathepsin A (PPCA) is characteristic of galactosialidosis (GS)^{7, 16, 17}. Patients with sialidosis and those with GS share clinical and biochemical features that are attributed at least in part to the loss of NEU1 function in both diseases (see below). Similarly to sialidosis, patients with GS are clinically heterogeneous and differ widely in severity and age of onset of the symptoms. The severe, early onset forms of the disease develop a systemic condition associated with fetal hydrops, skeletal dysplasia, visceromegaly, renal and cardiac failure, variable neurological involvement and early death. These phenotypic aberrations are shared with patients with the early infantile, neurodegenerative form of sialidosis, which is fatal in early childhood.

3. Lysosomal NEU1 and Its Auxiliary Protein PPCA

NEU1 is a lysosomal exo-glycosidase that catalyzes the cleavage of terminal N-acetylated neuraminic acids (sialic acid) linked to the saccharide chains of glycoproteins, glycolipids as well as oligo- and polysaccharides⁷. The enzyme belongs to the superfamily of sialidases that have a conserved active site and similar sequence motifs. Their wide distribution in nature largely coincides with that of their target sugar residue, sialic acids. Much like sialic acids¹⁸, sialidases play a central role in many biological processes, including cell proliferation/differentiation, clearance of plasma proteins, cell adhesion, catabolism of gangliosides and glycoproteins, immunocyte function, modification of receptors, and the developmental modeling of myelin. The pivotal and diverse functions of these enzymes, many of which remain undiscovered, likely account for the existence of three additional mammalian sialidases, besides NEU1, which are encoded by different genes^{19–22}. Based on their subcellular localization, these enzymes are defined as cytosolic (NEU2), plasma-membrane (NEU3), and mitochondrial/lysosomal/intracellular membranes (NEU4). The 4 mammalian sialidases have a distinctive tissue distribution, pH optimum, kinetic properties, responses to ions and detergents, and preference for sialic acid linkages¹⁹. There appears to be little overlap in the function of the individual sialidases, despite their shared mechanism of action. This lack of redundancy is reflected in that none of the other three enzymes can apparently compensate for the loss of lysosomal NEU1 in patients with sialidosis.

NEU1 is the most abundant and ubiquitous of the 4 mammalian sialidases. The enzyme has a wide tissue distribution, albeit that its expression levels vary greatly^{7, 19}. Additionally,

NEU1 differs from the other mammalian sialidases in that it is active exclusively in a high molecular weight, multienzyme complex containing at least two other hydrolases: the glycosidase β -galactosidase (β -GAL) and the PPCA. By virtue of their association with PPCA, NEU1 and β -GAL acquire their active and stable conformation in lysosomes. Only a fraction of PPCA and β -GAL activities is found in the NEU1-PPCA- β -GAL complex, which instead contains all of the NEU1 catalytic activity²³. Within the complex, PPCA functions as an indispensable chaperone/transport protein, especially for NEU1. It was demonstrated that NEU1, being poorly mannose 6-phosphorylated, depends on its association with PPCA for lysosomal compartmentalization, catalytic activation and stability in lysosomes. An equally valid mode of targeting NEU1 to the lysosomes has been shown, which entails the interaction of NEU1 with the membrane via a short C-terminal internalization signal²⁴. This model explains the occurrence of NEU1 at the PM, the inner lysosomal membrane and the lysosomal lumen. Whether this targeting mechanism of NEU1 requires or not the association with PPCA is still not fully understood²⁴. The two proteins interact already in an early biosynthetic compartment. The C-terminal portion of PPCA, which includes proline 451 important for this interaction with NEU1, also embeds an essential NEU1 binding domain. The presence of binding sites in NEU1 that have affinity for both PPCA and other NEU1 molecules suggests that heterodimerization of NEU1 with PPCA is critical not only for the routing of the enzyme to the lysosome, but also for preventing premature self-association and aggregation early during biosynthesis^{23, 25}. It is therefore predictable that NEU1 mutations that affect its interaction with PPCA lead to disease, even though the residues forming the active site of the enzyme are intact.

4. Disease-causing *NEU1* Mutations

To date, more than 40 *NEU1* disease-causing mutations have been identified in sialidosis patients (Table 1). Most of these are missense mutations that do not affect NEU1 mRNA synthesis or stability, since all patients so far described are mRNA positive. On the basis of their biochemical properties, NEU1 protein variants can be divided into three groups: in the first group, the mutant enzyme is catalytically inactive and does not localize to the lysosomes; in the second group, the mutant enzyme localizes to the lysosomes but is enzymatically inactive; in the third group, the mutant enzyme has residual activity and localizes to the lysosomes.

The 3D structure of NEU1 has not been solved yet, but investigators have used the crystal structures of bacterial sialidases as templates to model several of the NEU1 amino acid substitutions associated with different clinical phenotypes. Interestingly, the majority of those substitutions appear to be located at the core surface of the molecule, suggesting that they could affect the interaction of NEU1 with its chaperone PPCA (see above). In fact, three pathogenic mutations, F260Y, L270F and A298V, clustered at the surface of the bacterial sialidases, were shown to be correctly synthesized but rapidly degraded because the resulting proteins were unable to associate with PPCA²⁵. By analyzing the hydrodynamic properties of NEU1 and PPCA, a putative region of interaction between the two proteins was identified^{16, 26}. This region spanning residues 23–250 was shown to be important for NEU1 binding to the precursor form of PPCA. Thus, *NEU1* mutations affecting amino acids within this domain will likely affect the stability of the enzyme and its PPCA-mediated

trafficking to the lysosomes. Importantly, a few missense *NEU1* mutations have been found in both type I and type II patients (Table 1). In these cases, if the patient is compound heterozygote for a “mild” and a “severe” mutation the level of residual enzyme activity and, in turn, the degree of severity of the symptoms will depend on how the individual amino acid substitutions impact on the overall biochemical properties of NEU1^{8, 13, 14, 25–30}. In addition, environmental factors, including diet and life style, as well as other genetic factors could influence the penetrance of specific phenotypic alterations and the manifestations of the clinical symptoms. In this respect, a recent publication described the identification of 9 individuals carrying *NEU1* mutations found in type II severe cases of sialidosis, but with an atypical presentation of type I disease, limited to mild or severe myoclonus without macular cherry-red spot and oligosacchariduria^{10, 11}. This finding suggests that NEU1 deficiency does not necessarily result in a life threatening condition^{10, 1110, 1110, 1110, 1110, 119, 109, 109, 10}.

5. Mouse Models with NEU1 Deficiency

5.1 Neu1 knockout model

Complete loss of Neu1 in mice is compatible with life, but *Neu1*^{-/-} mice develop a systemic and neurodegenerative condition that closely resembles the early onset, type II form of sialidosis and die prematurely³¹. Mutant mice present signs of the disease at birth, with progressive oligosacchariduria and expansion of the lysosomal compartment in cells of virtually all systemic organs and the nervous system, as well as bone, muscle and cartilage. The primary affected cells are epithelia, reticulo-endothelia and histiocytes. Symptoms include growth retardation, hepatosplenomegaly, noticeable edema of the limbs and eyelids, kyphosis of the lumbar spine, lordosis of the cervical and thoracic spine, neurological impairment and degeneration. At the end of their life span (6 to 7 months of age) mutant mice suffer from dyspnea, severe loss of weight, diffuse edema, gait abnormalities and tremor³¹.

The pathological manifestations in *Neu1*^{-/-} mice that more closely recapitulate those in patients are discussed in detail below. They include time-dependent enlargement of the spleen, which is associated with extramedullary hematopoiesis (EMH). In the kidney, the site with the highest NEU1 mRNA and protein expression in normal mice, pronounced lysosomal vacuolization of the renal tubular epithelium is noticeable already in the neonatal period and worsen with age. Impaired kidney function is likely one of the main causes of the progressive and diffuse edema characteristic of *Neu1*^{-/-} mice and patients. Altered homeostasis of muscle connective tissue is responsible for the muscle atrophy and hypotonia^{31, 32}. In the brain, these mice show extensive vacuolization of the epithelial cells of the choroid plexus, and the endothelial cells of the ependymal layer^{31, 33}. Microglia and perivascular macrophages are among the most affected cells, generally located juxtaposed to the degenerating neurons. This is particularly evident in the dentate gyrus and the hippocampus, but these cells are also scattered throughout the cortex and in the cerebellum, triggering a widespread microgliosis.

A common molecular pathway has been identified that is at the basis of all these phenotypic alterations in the *Neu1*^{-/-} mice (see below), albeit that the pathogenic effects downstream of

this pathway depend on the physiological characteristics and functions of the individual organs (Figure 1).

5.2 Type I sialidosis model: *Neu1*^{-/-};*NEU1*^{V54M}

Recently, a transgenic mouse model of the attenuated, type I form of sialidosis has been genetically engineered to express a NEU1 variant carrying the amino acid substitution V54M, previously identified in a non-neuropathic adult patient²⁶. A *NEU1*^{V54M} transgenic founder was crossed into the *Neu1*^{-/-} background to generate mice with residual NEU1 enzyme activity³⁴. These mice are fertile and viable with no apparent early signs of disease. They have no neurological involvement and a normal lifespan. Between 1 and 2 years of age, these mice develop edema, enlargement of the kidneys with vacuolization of the tubular epithelium, and oligosacchariduria³⁴. NEU1 activity is reduced in all the *Neu1*^{-/-};*NEU1*^{V54M} organs, although activity levels may vary among different tissues. The lowest residual enzyme activity is measured in the bone marrow, liver, salivary glands and kidney, while in the heart, spleen, lung and brain the residual activity ranges between 30% and 80% of control values. No overt morphological changes are visible in the brain or in most of the visceral organs until late in life (1 year), making these mice a truthful model of type I sialidosis.

5.3 *Ppca*^{-/-} with secondary deficiency of Neu1

Mice homozygous for a null mutation at the *Ppca* (*Ctsa*) locus have no cathepsin A activity and severe secondary deficiency of Neu1³⁵. Again, these mice have a clinical and pathological presentation that closely recapitulates the early onset forms of both GS and sialidosis³⁵. They develop progressive nephropathy, time-dependent splenomegaly, heart involvement, and have shortened lifespan. They are also infertile as homozygous knockouts because of structural changes to the blood-epididymal barrier, resulting in altered sperm motility³⁶. The secondary loss of Neu1 activity explains why many phenotypic abnormalities in *Ppca*^{-/-} mice are similar to those seen in the *Neu1*^{-/-} model, although on close examination features that are unique for one or the other disease model have been identified³¹. The most overt difference is seen in the cerebellum; early in life *Ppca*^{-/-} mice acquire acute and progressive ataxia that is associated with regional loss of cerebellar Purkinje cells and impaired motor coordination³¹. This phenotype is not observed in the *Neu1*^{-/-} mice, at least not until the end of their lifespan. Because the expression levels of PPCA in Purkinje cells are greater than those of Neu1, it is possible that these neurons are more sensitive to the loss of cathepsin A than of Neu1 activity, but more rigorous testing is needed to corroborate this hypothesis.

5.4 *CathA*^{S190A-Neo}

CathA^{S190A-Neo} mice were generated by homologous recombination in murine ES cells, using a targeting construct carrying a point mutation that resulted in serine 190 to alanine amino acid substitution at the catalytic site of the Ppca protein, followed by a PGK-Neo cassette inserted in intron 7 of the *Ppca* gene³⁷. Although the mutation targeted the *Ppca* locus, the *CathA*^{S190A-Neo} mice displayed a drastically reduced activity of Neu1 in most tissues, due to destabilization of *Ppca* mRNA by the Neo cassette. Contrary to the *Ppca*^{-/-}

mice, the *CathA*^{S190A-Neo} mice are apparently vital and fertile, develop normally and have a normal lifespan, suggesting that 10% NEU1 activity is sufficient to promote normal development and growth^{37,38}. Upon removal of the Neo cassette from intron 7, the same authors successfully generated mice (*CathA*^{S190A}) with an isolated deficiency of cathepsin A, but with intact protective properties toward NEU1; *CathA*^{S190A} have normal Neu1 activity³⁷.

6. Mechanisms of Pathogenesis in Mouse Models of Sialidosis

6.1 Neu1 as negative regulator of lysosomal exocytosis

Studies of the molecular mechanism(s) underlying some of the canonical phenotypes of sialidosis in the *Neu1*^{-/-} mice revealed a new role of NEU1 as negative regulator of lysosomal exocytosis³⁹. This calcium-dependent process was initially characterized in specialized secretory cells containing the so called “secretory lysosomes”, such as platelets, mast cells, neutrophils, cytotoxic T cells, melanocytes and macrophages³⁹⁻⁴². It was later established that lysosomal exocytosis occurs in virtually all cell types, including fibroblasts, epithelial cells and neurons^{33,42}, and is activated under physiological or pathological conditions for the repair and renewal of damaged plasma membrane (PM), the removal of pathogenic bacteria, the release of human immunodeficiency virus from infected cells, and the remodeling of the extracellular matrix (ECM).

Lysosomal exocytosis begins with the recruitment of a selected pool of lysosomes to the actin cytoskeleton followed by docking of the organelles at the PM. Upon changes of intracellular calcium concentration, docked lysosomes fuse with the PM and release their luminal contents into the ECM^{39,43,44}. Considering its many physiological roles, it is predictable that the extent of lysosomal exocytosis in any cell type would greatly affect the characteristic and composition of their PM and the surrounding ECM with overall loss of tissue homeostasis. Several gene defects have been identified that result in impaired lysosomal exocytosis and lead to immune deficiency, bleeding disorders and albinism^{40,44-46}. Characterization of these genetic defects has enabled the identification of key proteins required for the movement of lysosomes along the cytoskeletal network and for their fusion with the PM^{45,46}.

It is now established that NEU1 negatively regulates lysosomal exocytosis by influencing the levels of one of its substrates, the Lysosomal Associated Membrane Protein 1 (LAMP1)³⁹. LAMP1 is an integral membrane protein with a single transmembrane domain, a small cytoplasmic tail of 12-amino-acids and a highly glycosylated and sialylated *N*-terminal portion facing the lumen of the lysosomes^{39,47}. LAMP1 plays an active role in the docking of lysosomes at the PM, although the molecular mechanism is still not known^{39,48}. Defective or deficient NEU1 activity results in the impaired processing of the sialic acids on LAMP1, which consequently accumulates in an oversialylated state, and has a prolonged half-life. For as yet unknown reasons, accumulation of oversialylated LAMP1 increases the number of LAMP1-marked lysosomes that dock at the PM, poised to engage in lysosomal exocytosis upon calcium influx (Figure 2a)³⁹. LAMP1's key function in the docking of lysosomes to the PM has been further validated by the demonstration that silencing *LAMP1* in NEU1-deficient cells normalizes the number of lysosomes docked at the PM and

decreases the extent of lysosomal exocytosis³⁹. Thus, the ultimate consequence of NEU1 loss of function is the excessive extracellular release of lysosomal luminal contents from deficient cells of different tissues and organs. Excessive lysosomal exocytosis has now been linked to several pathological manifestations characteristic of sialidosis, examples of which are discussed below^{32, 33, 39, 49}.

7. Aspects of Pathogenesis Attributed to Excessive Lysosomal exocytosis

7.1 Extramedullary hematopoiesis and splenomegaly

A fully penetrant phenotype in the *Neu1*^{-/-} mice that recapitulates one of the typical clinical manifestations of type II sialidosis in children is splenomegaly⁶. Histological and cellular characterization of the spleen in mutant mice of increasing age identified a time-dependent expansion of total splenic cell counts, and increased number of erythroid precursors and megakaryocytes. These alterations in the spleen were paralleled by increased number of hematopoietic progenitors in the peripheral blood and by an overall lower number of these cells in the bone marrow (BM). Together these features were indicative of EMH³¹. In addition, transplantation of *Neu1*^{-/-} mice with genetically modified BM cells expressing NEU1, as potential therapy for sialidosis, was unsuccessful because sub-lethally irradiated mice failed long-term engraftment³⁹. The search for a potentially common molecular cause that would explain both time-dependent EMH and lack of BM engraftment in *Neu1*^{-/-} mice uncovered for the first time the role of NEU1 as a negative regulator of lysosomal exocytosis.

Excessive exocytosis of lysosomal contents in the *Neu1*^{-/-} BM impacts the composition of both the BM cells and the BM microenvironment, which ultimately redirects hematopoiesis to the spleen. Increased levels of active proteases, such as neutrophil elastase and cathepsin G, exocytosed by *Neu1*^{-/-} BM neutrophils and macrophages into the extracellular fluid, results in the inactivation of serpin-1 and serpin-3, and premature degradation of vascular cell adhesion molecule 1 (VCAM-1) at the surface of the BM stromal cells; consequently, these cells lose their ability to retain progenitors in the BM niche and hematopoiesis resumes in the spleen (Figure 2b)³⁹. These original findings identify sialidosis as the only genetic disease known to date, in which lysosomal exocytosis is exacerbated rather than impaired, and suggest that other phenotypic alterations in the sialidosis model are linked to this process.

7.2 Muscle atrophy

One such phenotype in *Neu1* mutant mice that also occurs in patients with type II sialidosis is muscle atrophy, associated with hypotonia, and osteoskeletal deformities^{6, 32, 50}. Progressive degeneration of muscle fibers in *Neu1*^{-/-} mice is not cell autonomous, but rather it is contingent upon unique alterations in the supporting connective tissue. Histopathological examination of the skeletal muscle revealed an abnormal expansion of the epimysium and perimysium with increased number of proliferating cells (Figure 3). The latter cells stained positive for transcription factor 4, an early marker of the developing muscle connective tissue, identifying them as myofibroblasts. Their continuous proliferative activity explains the concomitant upregulation and massive deposition of collagens (I, III,

IV and VI) and other ECM components (vimentin, fibronectin), coupled to the activation of matrix metalloproteinases (MMP2 and MMP9) and their inhibitors (TIMP1 and TIMP2)³². In addition, *Neu1*^{-/-} primary myofibroblasts cultured in vitro have increased levels of oversialylated Lamp1 and, in turn, excessive exocytosis of lysosomal enzymes, including cathepsin B and cathepsin L³². These combined features enable the ever-expanding connective tissue to gradually infiltrate and invade the adjacent muscle fibers, which become increasingly fragmented and eventually degenerate (Figure 3)³². Thus, as it is the case for the *Neu1*^{-/-} BM, the progressive muscle atrophy in the *Neu1*^{-/-} mice may also be explained by the occurrence of excessive lysosomal exocytosis from cells of the supportive connective tissue, which likely facilitates the aberrant infiltration of muscle fibers and their degeneration. Notably, muscle damage is apparently not associated with necrosis or inflammation, particularly in the early phases of fiber degeneration. Infiltration and activation of tissue macrophages ensues with the progression of the degenerative process. Overall, the muscle phenotype in *Neu1* mutant mice is unique compared with other known myopathies, and may reenact in mice the neuromuscular manifestations seen in the type II sialidosis.

7.3 Hearing loss

Morphological abnormalities consistent with progressive hearing loss are evident in the external, middle, and inner ear of *Neu1*^{-/-} mice⁴⁹. This phenotype is again consistent with what has been reported in type II patients⁶. Histopathological and ultrastructural analyses revealed thickened cerumen occlusion in the external auditory canal and severe otitis media; infiltration of connective tissue in the middle ear ossicles that also showed signs of chronic inflammation; extensive vacuolization in many cells of the cochlea, most prominent in the marginal cells of the stria vascularis. Some of the ultrastructural features, such as increased invaginations and cavities, in the apical surface of the marginal cells of the stria vascularis, could be the result of excessive lysosomal exocytosis into the endolymph. In fact, numerous lysosomes docking at the apical surface of the marginal cells marked positive for Lamp1 and Lamp2⁴⁹. Exacerbated lysosomal exocytosis into the endolymph is probably responsible for the changes in endolymphatic potential, defective sound transduction in the sensorial hair cells and ultimately hearing loss⁴⁹.

7.4 Neurodegeneration and links with Alzheimer's Disease

Through studies of mouse models of LSDs, it is becoming increasingly apparent that these pediatric conditions develop pathological signs, especially in the CNS, which resemble adult neurodegenerative diseases, like Alzheimer's disease (AD) and Parkinson disease^{51 52-56}. Also the *Neu1*^{-/-} mice exhibit early in life a brain phenotype with signs of early aging. However, what makes the *Neu1*^{-/-} mouse model unique compared to other LSD models is the presence of amyloid deposits resembling the plaques characteristic of AD³³. Histopathological analyses of the *Neu1*^{-/-} brain identified numerous multifocal, eosinophilic deposits, heterogeneous in size and shape, especially in the CA3 region of the hippocampus and the fimbria. These deposits contain proteinaceous material that stained positive with the Congo red/Chrysamine-G derivative Methoxy-X04, a compound with high affinity for amyloid. At the ultrastructural level, the same region of the *Neu1*^{-/-} brain showed many dystrophic neurites, filled with electron dense vacuoles, likely representing autophagosomes

or autophagolysosomes. Several lines of evidence linked these phenotypic alterations directly to Neu1 loss of function: 1) in absence of Neu1 APP is oversialylated, hence it is a natural substrate of the enzyme; 2) in *Neu1*^{-/-} hippocampal neurons the amyloid precursor protein (APP) accumulates in lysosomal puncta as early as 1 month of age; 3) in older mutant mice increased levels of APP and proteolytically processed A β peptides are detected in purified lysosomes from hippocampal extracts and in the amyloid deposits (Figure 4); 4) combined accumulation of APP and A β peptides promotes the formation of the toxic amyloid peptide A β 42; 5) the levels of secreted A β 42 are higher in *Neu1*^{-/-} cerebrospinal fluid and in the medium of *Neu1*^{-/-} hippocampal neurosphere cultures than in the corresponding control samples³³. Finally, the demonstration that in absence of Neu1 A β is released via lysosomal exocytosis identifies this process as a novel mechanism for the extracellular deposition of this toxic peptide. Indeed, deletion of Neu1 in a transgenic mouse model of AD accelerates APP accumulation and plaque formation. Conversely, exogenous expression of Neu1 in the brain of these AD mice largely prevents plaque formation (Figure 4). Combined these observations unequivocally link Neu1 loss of function to the occurrence of an AD-like phenotype in the sialidosis mice, and identify NEU1 enzyme activity as a risk factor for the development of this disease³³.

8. Additional Pathogenic Pathways Downstream of Neu1 Loss of Function

8.1 NEU1- dependent regulation of phagocytosis in macrophages

Pshezhetsky and coworkers have described other cellular pathways that depend on Neu1 activity^{38, 57}. Macrophages isolated from mice carrying homozygous *CathA*^{S190A-Neo} show reduced phagocytosis⁵⁷. This feature is characteristic of these mice but not of the *CathA*^{S190A} mice (see above), suggesting that secondary deficiency of Neu1 underpins this specific phenotype. Increased sialylation of multiple cellular proteins is observed in macrophages isolated from *CathA*^{S190A-Neo} mice. In addition, it was demonstrated that the Fc γ receptor is a substrate of Neu1. Treatment of cells with exogenous Neu1 reduces overall sialylation of cellular membrane proteins and restores the phagocytic capacity of macrophages.

8.2 NEU1 regulation of insulin signaling

The same group also showed that Neu1 potentiates the proliferative response to insulin in cultured myoblasts. *CathA*^{S190A-Neo} mice with secondary Neu1 deficiency develop glucose intolerance and insulin resistance when fed with high fat diet, due to decreased insulin resistance³⁸. *CathA*^{S190A-Neo} mice produce normal insulin levels but have reduced insulin sensitivity. When insulin signaling was studied in the muscle and liver, the major insulin target tissues, it was demonstrated that intraperitoneal injections of insulin induces the phosphorylation of the insulin receptor kinase (IRK) in control animals but to a much lower extent in *CathA*^{S190A-Neo} mice. Insulin signaling was analyzed in fibroblasts from sialidosis patients and showed reduced insulin-dependent AKT phosphorylation compared with control fibroblasts, thereby confirming impaired insulin signaling when Neu1 is reduced or absent³⁸. IRK was also shown to be a target of Neu1 and to remain oversialylated when Neu1 activity is reduced. It has been postulated that insulin binding to IRK induces its desialylation by NEU1, which in turn stimulates its activation³⁸. It still remains to be

determined if primary deficiency of PPCA in this mouse model is in part responsible for the reduced insulin signaling.

9. Therapy for Sialidosis

Enzyme replacement therapy (ERT) is the canonical therapeutic approach for the treatment of non-neuropathic patients with lysosomal storage disorders⁵⁸. A short-term ERT was also attempted in *Neu1*^{-/-} mice using a recombinant Neu1 enzyme purified from overexpressing insect cells⁵⁹. Increased levels of Neu1 enzyme activity and extensive correction of the pathology in most of the systemic organs were achieved by this treatment. Unfortunately, the recombinant enzyme was highly immunogenic in the mutant mice and elicited a severe immune response, limiting the therapeutic use of this approach⁶⁰. NEU1 immunogenicity, its tendency to aggregate, and its strict dependence on PPCA for lysosomal localization and catalytic activation, complicate the development of an effective therapy for sialidosis. These caveats are problematic especially for non-neuropathic type I patients, who may be more numerous than expected, and would greatly benefit from therapeutic means aimed at increasing their endogenous residual NEU1 activity.

With this idea in mind, a pharmacologic chaperone-mediated therapy has been recently tested successfully in the mouse model of type I sialidosis, *Neu1*^{-/-};*NEU1*^{V54M} (see above). This therapeutic approach is based on the observation that both wild-type and mutant NEU1 activities can be increased by titrating the levels of available PPCA in vitro^{16, 61}. It was therefore hypothesized that this PPCA-mediated approach would be feasible in vivo to increase the activity of mutant NEU1 by improving its stability, folding and lysosomal localization.

A self-complementary adeno-associated viral vector expressing PPCA under the control of a liver specific promoter (scAAV2/8LP1-PPCA) was injected systemically in *Neu1*^{-/-};*NEU1*^{V54M} mice³⁴. The same vector was used successfully for a comprehensive, preclinical gene therapy trial in the *Ppca*^{-/-} mice, the mouse model of GS⁶². One-year-old *Neu1*^{-/-};*NEU1*^{V54M} mice, a time when clear signs of disease pathology appear, were treated with a single dose injection of the recombinant AAV vector and sacrificed 1 month later. High expression of the PPCA enzyme in the liver of the injected mice resulted in about 3 fold increase of the NEU1^{V54M} basal activity in all tissues tested (Figure 5), improved tissue pathology and decreased levels of high molecular weight sialyl-oligosaccharides in the urine compared with not injected mice³⁴. Considering that the majority of NEU1 mutations so far identified do not involve the catalytic site of the enzyme, this pharmacologic, chaperone-mediated therapy may be effective for other *NEU1* mutations found in patients with type I sialidosis.

10. Expert Opinion

The fundamental role of the lysosomal sialidase NEU1 in maintaining cell and tissue homeostasis is documented by the systemic, clinical manifestations associated with defective or deficient enzyme activity. Genetic mutations in NEU1 give rise to two rather different diseases: patients with sialidosis type II have an early onset of the symptoms, a catastrophic course and a dismal prognosis, while patients with type I sialidosis present later

in life with a mild disease that is mostly confined to ophthalmologic problems, myoclonus and minor or absent neurologic manifestations. Because of their mild clinical course type I patients are sometime difficult to diagnose. In addition, several individuals have been recently recognized as having the type I form of sialidosis only after whole genome sequencing identified mutations in *NEU1*. These atypical patients developed myoclonus but not the other signs characteristic of the disease, namely macular cherry-red spot and sialyloligosacchariduria. These findings suggest that the number of patients with type I sialidosis may be underestimated and that this form of the disease may be more common than originally predicted.

Taking into consideration the potentially higher number of patients, the late presentation of the disease and the mild symptoms, therapeutic approaches to be sought for sialidosis should be tailored for type I patients. This group of patients will be the most likely to benefit from the treatment, and have a significant improvement of their quality of life and possibly longer survival. In contrast, type II patients who present with an early onset, systemic condition and severe neurological involvement will be a difficult group to treat, unless an in utero approach will become feasible in the future.

The development of suitable therapies for sialidosis has been limited by the nature and biochemical characteristics of *NEU1*. The enzyme is prone to aggregate, it is highly immunogenic and it is catalytically active only when bound to its auxiliary chaperone PPCA. Moreover, *NEU1* by itself is very unstable and difficult to purify because it tends to come out of solution unless it is in complex with PPCA. However, the strict dependence of the enzyme on PPCA for catalytic activation may turn advantageous for therapeutic purposes. This is because *NEU1* residual activity in fibroblasts from several type I patients could be increased indirectly by increasing the levels of PPCA; hence, this approach may be conceivable for patients carrying *NEU1* missense mutations that permits its interaction with PPCA. In this regard, the AAV-PPCA-mediated gene therapy that will be applied for the treatment of patients with galactosialidosis could become suitable also for type I sialidosis. If this will be the case, the successful identification and enrollment of eligible patients will require the coordinated effort of expert clinicians, basic scientists, pharmaceutical companies, and patient advocacy groups.

In conclusion, despite the rarity and complexity of this lysosomal storage disease, over the last decade remarkable progress has been made towards the understanding of the molecular pathway(s) underlying pathogenesis in sialidosis. However, only a handful of *NEU1* substrates have been identified until now. It is predictable that future studies will uncover other *NEU1* targets that may implicate *NEU1* in additional biological processes and in turn offer novel therapeutic options. Lastly, if specific therapies, for rare diseases like sialidosis, will eventually prove useful for more common adult conditions, pharmaceutical companies will become more interested in investing into large-scale therapeutics for orphan diseases.

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Abbreviations

NEU1	Neuraminidase 1
PPCA	Protective protein cathesin A
β-GAL	β-galactosidase
GS	galactosialidosis
EMH	extramedullary hematopoiesis
PM	plasma membrane
ECM	extracellular matrix
BM	bone marrow
AD	Alzheimer's disease

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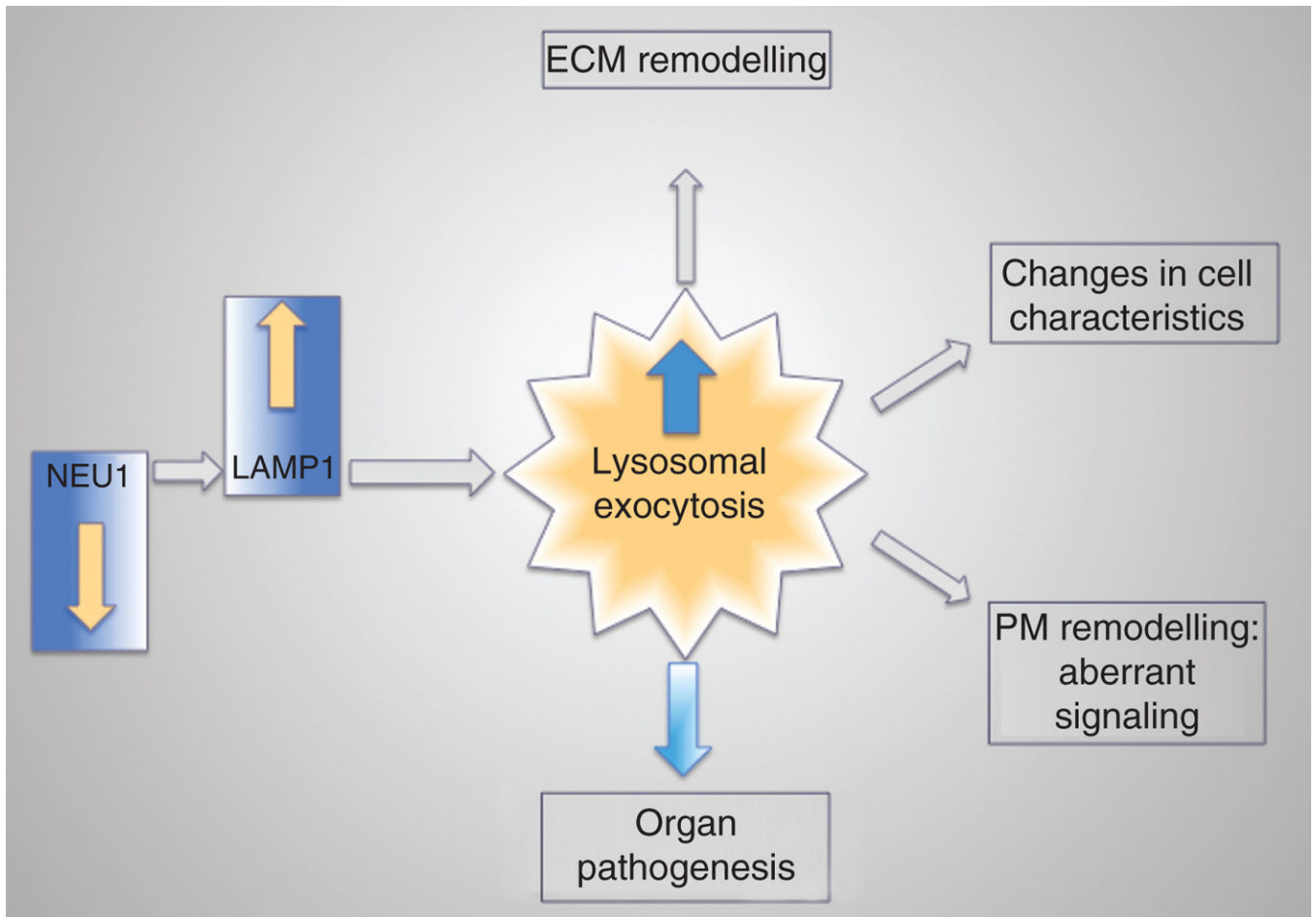


Figure 1. Schematic representation of the events that lead to organ pathogenesis downstream of NEU1 deficiency. In absence of NEU1, unprocessed LAMP1 accumulation leads to increased number of lysosomes at the PM resulting in exacerbated lysosomal exocytosis. The abnormal release of lysosomal content extracellularly causes ECM and PM remodeling, changes cell characteristics and ultimately causes organ pathogenesis.

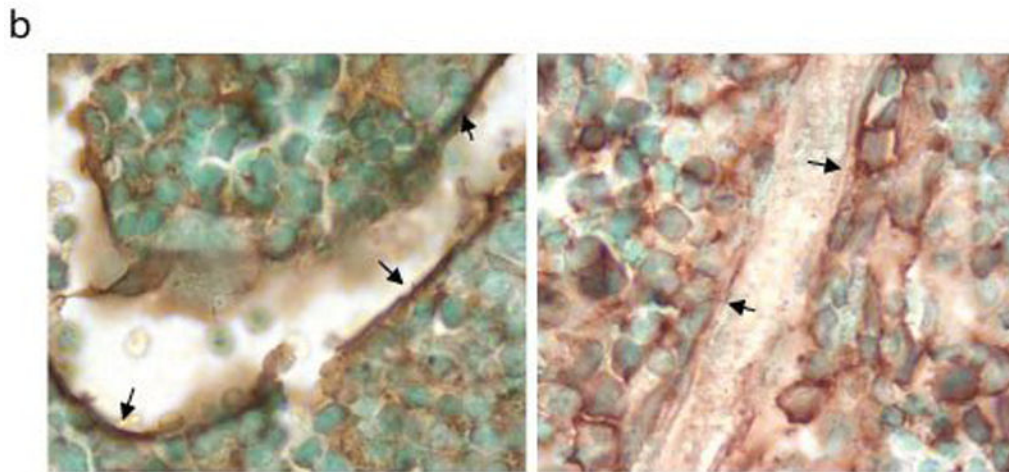
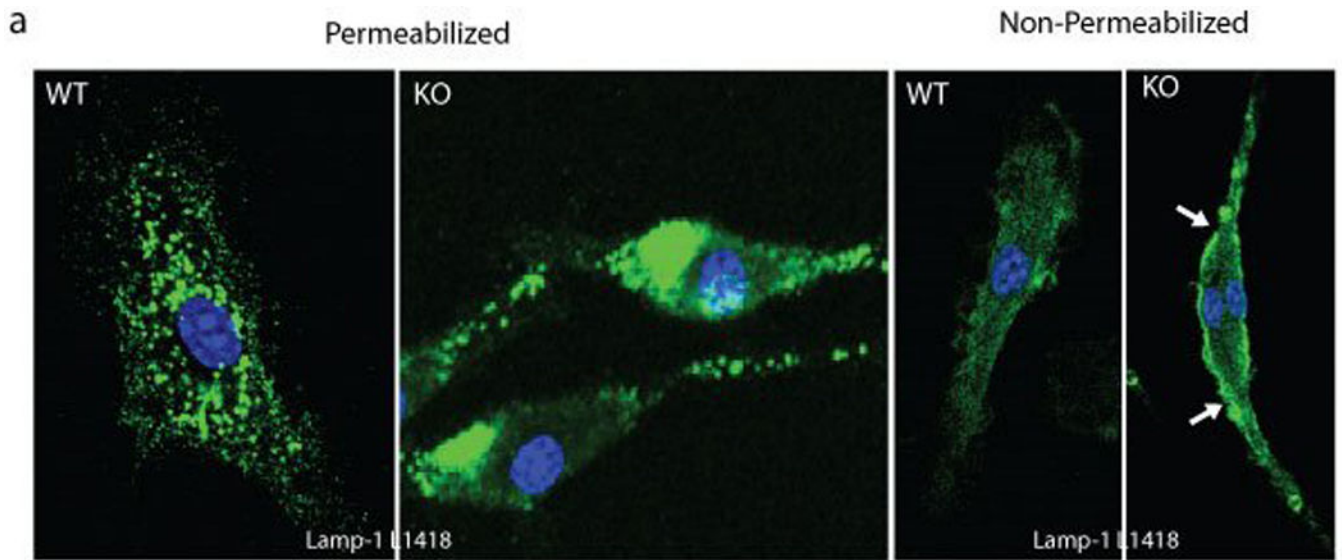


Figure 2.

a) Comparison of immunofluorescence staining of permeabilized and non-permeabilized WT and *Neu1*^{-/-} macrophages with LAMP1 antibodies against either the C-terminal cytosolic domain (L1418) or the glycosylated luminal domain (1D4B) of LAMP1; b) Bone sections from 2 months old *Neu1*^{-/-} and control mice were stained with the anti-VCAM-1; Strong immunoreactivity was observed only in the sections from the control in the region lining the bone (arrows). Adapted from Yogalingam et al Dev. Cell 2008, with permission of Elsevier.

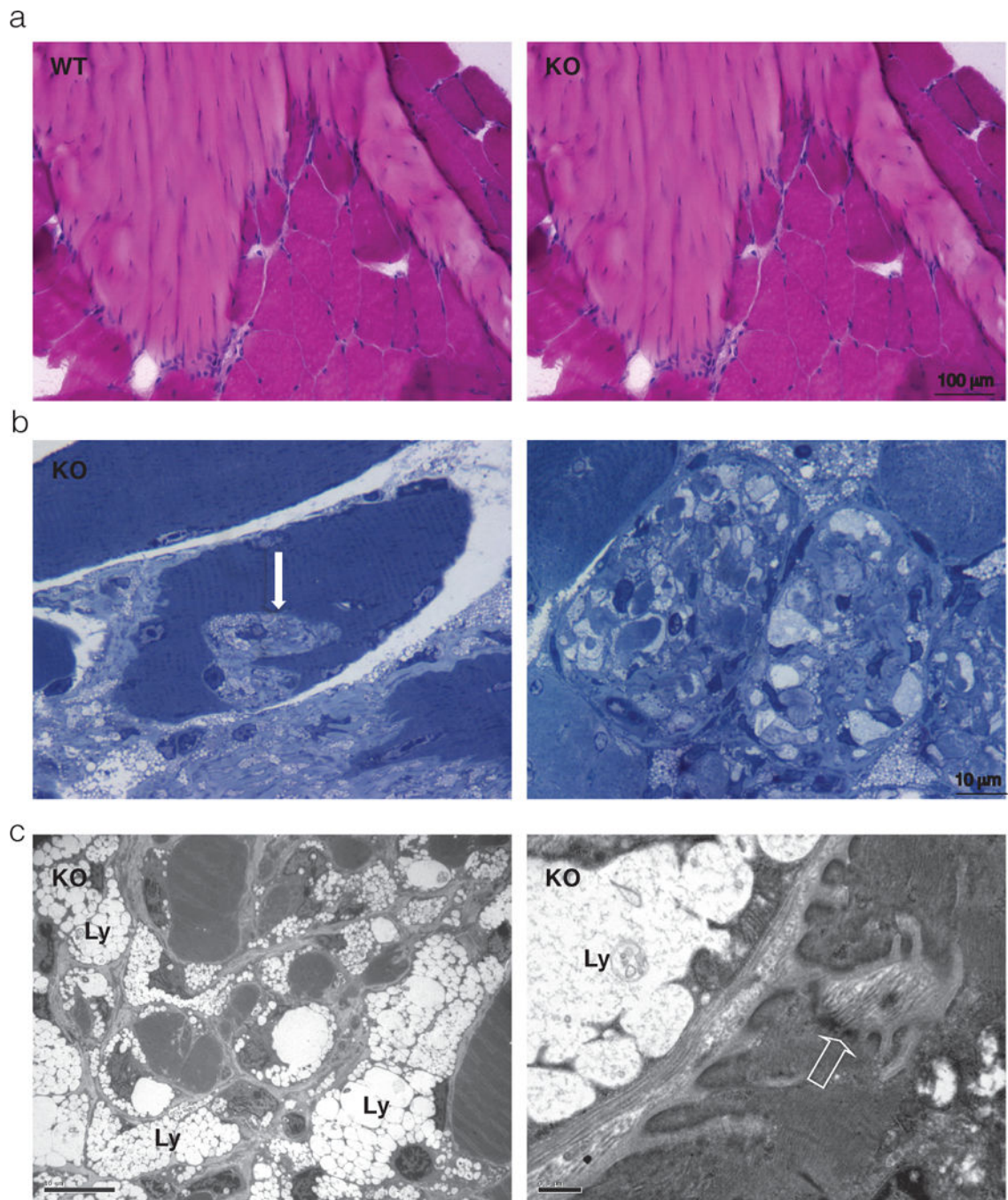


Figure 3.

a) Hematoxylin & Eosin staining of transverse sections of WT and *Neu1*^{-/-} gastrocnemius muscle; large areas of connective tissue infiltrates and increased number of cells in the *Neu1*^{-/-} myotendinous junction are observed when compared to the WT tissue; b) Toluidine blue-stained semithin *Neu1*^{-/-} gastrocnemius muscle sections; invagination of the sarcolemma with infiltration of the muscle fibers by ECM components is observed (arrow on the left panel); in an advanced stage, ECM invagination results in severe fragmentation of the cytosol and complete disruption of the muscle cytoarchitecture as observed in a

transverse section (right panel); c) Electron microscopy ultrastructural analysis of *Neu1*^{-/-} gastrocnemius muscle, showing cytosolic fragmentation and infiltration of muscle fibers by fibroblast-like cells whose cytosol is filled with storage lysosomes (Ly) and thickening of the sarcolemma (open arrow). Adapted from Zanoteli et al. *Biochim, Biophys Acta* 2010, with permission of Elsevier.

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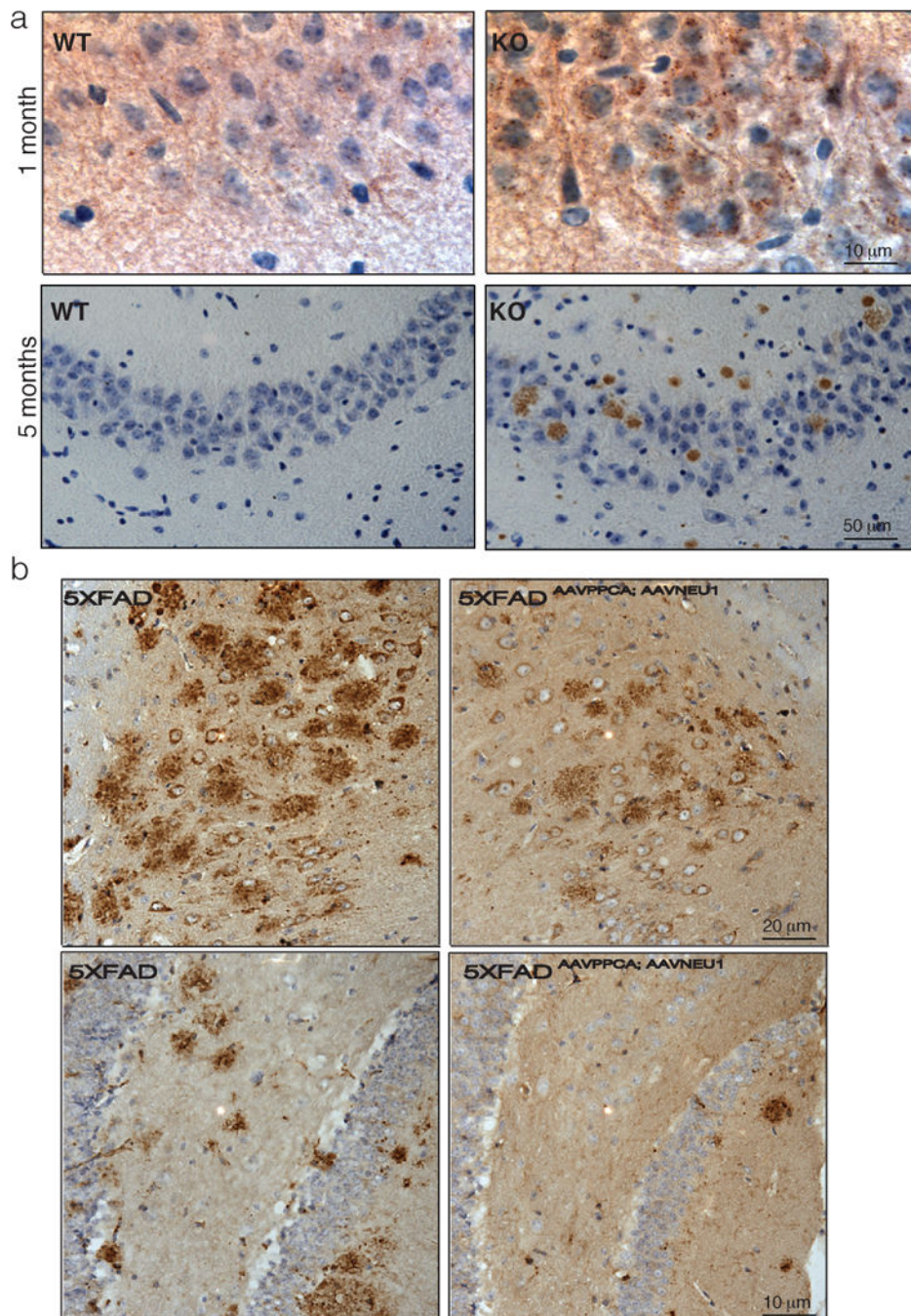


Figure 4.

a) Pathological abnormalities in the *Neu1*^{-/-} hippocampus compared to control; in the *Neu1*^{-/-} hippocampus APP starts to accumulate intracellularly as early as 1 month of age, as shown by immunohistochemistry analysis with anti-APP N-terminal antibody (brown; upper panel); amyloid deposits are observed in 5-month-old *Neu1*^{-/-} brain stained positive for APP (N-terminal antibody; lower panel); b) Injection of an AAV containing human *NEU1* and *PPCA* in the hippocampus of 4- (upper panel) and 6-month-old (lower panel) 5XFAD transgenic model (familial AD mouse model), showing reduction of the number of amyloid

plaques compared with that seen in the 5XFAD animals injected only with carrier solution (plaques were identified by 4G8 immunostaining in brown). Adapted from Annunziata et al 2013 Nat Commun, with permission of Nature Publishing group.

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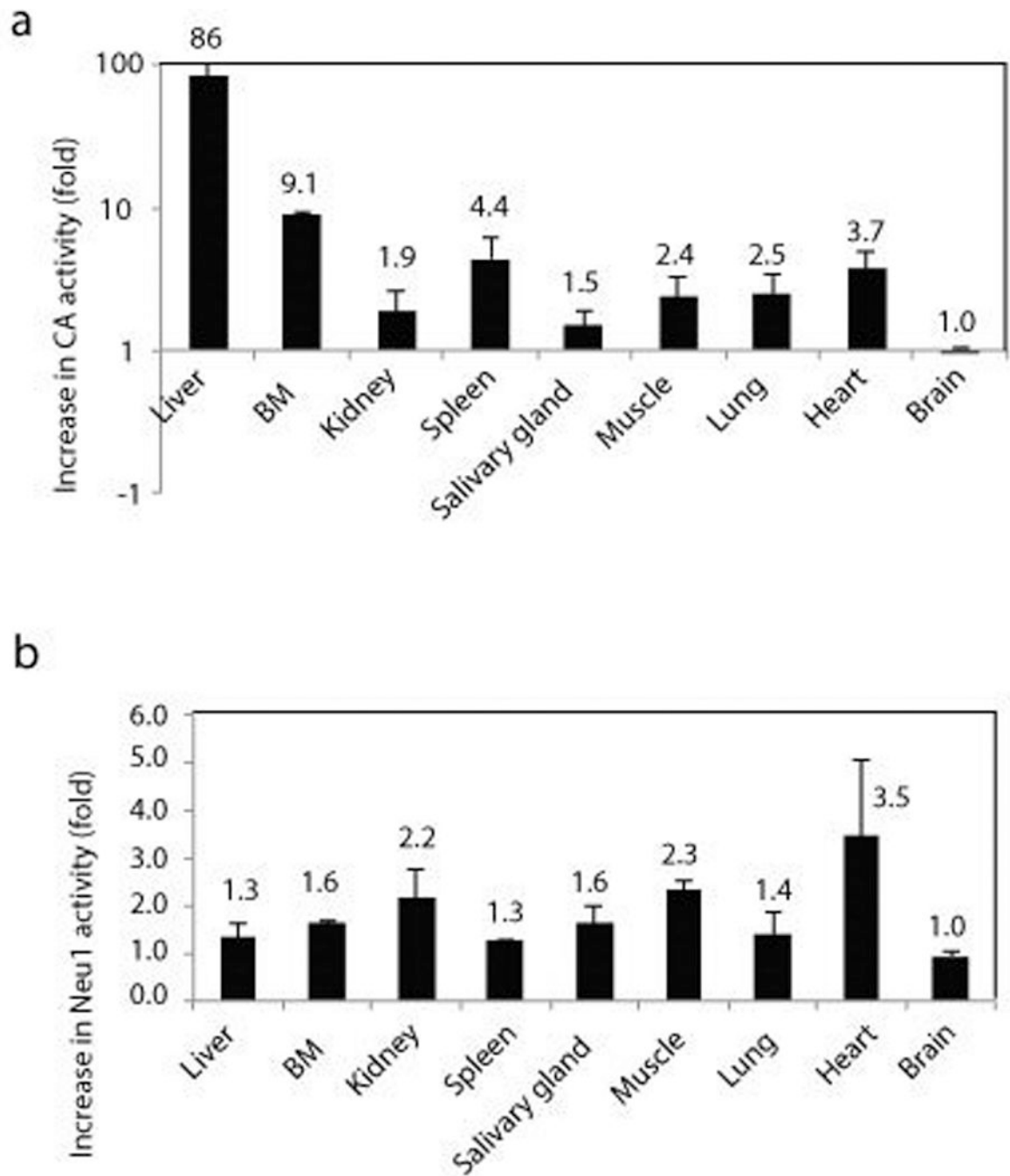


Figure 5.

Cathepsin A and NEU1 activities were measured in the tissue homogenates of scAAV2/8LP1-PPCA-treated *Neu1*^{-/-};*NEU1*^{V54M} mice (n=4) and untreated mice (n=4); a) Cathepsin A and, b) NEU1 activities were higher in tissues from rAAV-treated *Neu1*^{-/-};*NEU1*^{V54M} mice than in the corresponding untreated mice; data are represented as mean ± s.d. (error bars). Adapted from Bonten et al. *Biochim, Biophys Acta* 2013, with permission of Elsevier.

Table 1

Codon number	Type of mutation	Aminoacid change	Patient	References
1	Missense	MET-ILE	Type II	63
8	Duplication	Duplication THR-ASP	Type II	2
15	Missense	TRP-TERM Code	Type II	13
23	Missense	TRP-TERM Code	Type II	63
29	Missense	TRP-TERM Code	Type II	64
54	Missense	VAL-MET	Type I	26
55	Missense	GLN-TERM Code	Type I	65
68	Missense	GLY-VAL	Type II	25
80	Missense	PRO-LEU	Type II	27
91	Missense	LEU-ARG	Type II	1
111	Missense	LEU-PRO	Type I	66
136	Missense	GLY-GLU	Type I	66
135	Missense	ASP-ASN	Type I	67
136	Missense	GLY-GLU	Type I	66
182	Missense	SER-GLY	Type I	25
208	Deletion	Frameshift/TERM code	Type II	25
217	Missense	VAL-MET	Type I	68
219	Missense	GLY-ALA	Type I	26
225	Missense	ARG-PRO	Type II	63
227	Missense	GLY-ARG	Type II	25
231	Missense	LEU-HIS	Type I	26
240	Missense	TRP-ARG	Type II	27
243	Missense	GLY-ARG	Type I	68
260	Missense	PHE-TYR	Type II	2
270	Missense	LEU-PHE	Type II	25
275	Missense	VAL-ALA	Type I	66
280	Missense	ARG-TERM code	Type II	26
294	Missense	ARG-CYS	Type I	26
298	Missense	ALA-VAL	Type II	25
316	Missense	PRO-SER	Type I	27
319	Missense	ALA-VAL	Type I	65
328	Missense	GLY-SER	Type I	25
335	Missense	PRO-GLN	Type II	26
340	Splicing		Type II	13, 29
341	Missense	ARG-GLY	Type II	63
345	Missense	THR-ILE	Type I	66

Codon number	Type of mutation	Aminoacid change	Patient	References
347	Missense	ARG-TERM code	Type II	⁶⁶
363	Missense	LEU-PRO	Type II	²
370	Missense	TYR-CYS	Type II	²⁶
377	Missense	GLU-TERM code	Type I	¹
397	Deletion	Frameshift/longer ORF	Type I	³⁰
399	Duplication	Duplication HIS-TYR	Type I	²⁶
445	Deletion	Frameshift/longer ORF	Type II	¹

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