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## Impact of estrogen receptor deficiency on disease expression in the NZM2410 lupus prone mouse

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### Abstract

Systemic lupus erythematosus (SLE) occurs nine times more often in females than males. The purpose of this study was to determine the impact of estrogen receptor (ER) null genotypes on disease in lupus prone NZM2410 (NZM) and MRL/lpr mice, as a method to define the role of estrogen receptor signaling in lupus. ER $\alpha$  deficient NZM females, but not males, had significantly prolonged survival, reduced proteinuria, renal pathology scores and serum urea nitrogen levels compared to wildtype mice, despite higher serum anti-dsDNA levels. ER $\alpha$  deficient MRL/lpr female, but not male, mice also had significantly less proteinuria and renal pathology scores with no effect on autoantibody levels. Deficiency of ER $\beta$  had no effect on disease in either strain or sex. Taken together, these data demonstrate a key role for ER $\alpha$ , but not ER $\beta$ , in the development of lupus like disease, but not autoimmunity, in female NZM and MRL/lpr mice.

### Keywords

Lupus; Estrogen receptors; Renal disease; Autoimmunity

### Introduction

Systemic lupus erythematosus is a prototypic autoimmune disease characterized by the production of autoantibodies, formation of immune complexes (IC) and subsequent IC deposition in target tissues with resultant local inflammation and organ damage [1]. A key unexplained epidemiologic observation in lupus is the profound 9/1 female/male prevalence in the reproductive years [1]. Female predominance is much less prevalent in prepubertal or postmenopausal ages (2–3/1 female/male prevalence) suggesting a role for sex hormones in modulating disease. Significant research effort, however, has not clearly identified a mechanism by which estrogen could modulate such a profound effect on disease. Despite the higher prevalence of lupus in females, disease severity, renal disease progression and

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mortality are higher in males affected by the disease. This dichotomy of gender effects on the prevalence vs. severity of lupus remains an unexplained, yet highly investigated aspect of lupus.

In experiments by Talal et al. and later by Tarkowski et al., prepubertal castration, with hormonal manipulation, led to significant effects on disease expression in both NZB/NZW and MRL/lpr mice [2–6]. The mechanisms underlying these effects are unclear as castration alone had no effect on disease in either NZB/NZW or MRL/lpr mice indicating that the lack of estrogen alone is insufficient to protect against disease. Administering high dose estrogen after castration worsened disease while giving testosterone to castrated female mice improved disease. Manipulation of sex hormones/castration post-onset of sexual maturity, however, had minimal effect on disease expression except in MRL/lpr mice where high dose testosterone given to female mice led to mild improvement in disease manifestations. Thus, although clearly castration and sex hormone manipulation impacts disease, the mechanisms underlying these effects are unclear. These results further suggest that a significant effect of female sex/hormones on disease susceptibility occurs via imprinting the immune system early in life as hormonal manipulation later in life has minimal impact on disease. We thus undertook these experiments using ER deficient mice to further define gender effects on disease expression in lupus prone mice.

Estrogen classically functions by activating one of its two nuclear receptors, ER $\alpha$  or ER $\beta$  [7]. ER $\alpha$  and ER $\beta$  are encoded by separate genes and differ in promoter sequences, indicating likely differences in function. In most tissues, ER $\alpha$  is the predominant receptor expressed. Both receptors are expressed in most immune cells, where again, ER $\alpha$  is the predominant receptor expressed. To define the differential biologic functions of the two estrogen receptors, knockout mice for both ER $\alpha$  and ER $\beta$  were developed [8]. The ER $\beta$  knockout is complete, while the ER $\alpha$  knockout expresses a small truncated ER $\alpha$  that lacks the ligand dependent activation domain (AF1), but retains the ligand independent activation domain (AF2). Only small amounts of this aberrant receptor are made by the ER $\alpha$  knockout mice. The phenotype of ER $\alpha$  deficient mice in numerous published studies indicates a lack of estrogen mediated effects despite the presence of the truncated receptor [9]. Surprisingly, despite the expression of ERs in almost all immune cells, immune parameters measured in ER deficient mice were similar to wildtype littermates [10]. Recently, however, ER $\alpha$  deficiency, on the B6/129 background, resulted in development of autoantibodies and lupus like immune complex glomerulonephritis in both male and female mice, while ER $\beta$  deficiency had no effect, suggesting that the lack of ER $\alpha$  signaling is proautoimmune [11].

The purpose of this study was to determine the impact of ER null genotypes on lupus prone NZM2410 and MRL/lpr mice compared to controls. Our hypothesis was that ER $\alpha$  deficiency would accelerate disease in lupus prone mice based on development of lupus like disease in B6/129 ER $\alpha$  deficient mice and the overall immunosuppressive effects of estrogen in most in vitro systems. We hypothesized that ER $\beta$  deficiency would either have no effect or protect against disease given its more limited expression.

ER $\alpha$  and ER $\beta$  knockout mice on the C57BL/6 background were backcrossed to lupus prone NZM2410 and MRL/lpr mice for 9 generations. Female NZM2410 mice, deficient in ER $\alpha$ ,

had prolonged survival and significant protection from renal disease compared to controls as measured by proteinuria, serum urea nitrogen, renal pathology and survival. This improvement in disease parameters occurred despite increased serum levels of anti-dsDNA antibodies, estrogen and testosterone. MRL/lpr female mice that were ER $\alpha$  deficient had similar protection against renal disease with no effect on autoantibody production. ER $\alpha$  deficiency in males had minimal to no effect on disease expression in either strain. ER $\beta$  deficiency had no significant impact on disease in either sex. Taken together, these data demonstrate a key role for the ER $\alpha$  AF1 domain in mediating renal disease in female NZM2410 and MRL/lpr mice while not impacting development of autoimmunity.

## Materials and methods

### Mice

All mice were maintained at the Ralph H. Johnson VAMC Animal Care Facility (Charleston, SC) and experiments were approved by the Institutional Animal Care and Use Committee. ER $\alpha$  and ER $\beta$  knockout mice on the C57BL/6 background were backcrossed to NZM2410 mice (Jackson Laboratory, Bar Harbor, ME) for 9 generations. Verification of NZM2410 background was done by identification of selected micro-satellite markers within susceptibility loci for NZM2410 mice including MHC and SLE 1, 2 and 3 loci [12]. We studied 20 mice in each group of wildtype, heterozygote and homozygote knockout mice with 10 mice of each gender. The groups of mice of each genotype were sacrificed at 32 weeks of age. The final sacrifice time was determined based on proteinuria and appearance of the mice to insure presence of active disease without reaching end stage disease. All ER knockout mice were compared to wildtype and heterozygote littermate mice as controls. Groups of MRL/lpr mice (Jackson Laboratory) were derived in a similar fashion sacrificing them at 24 weeks using similar proteinuria criteria.

### Urine protein excretion

NZM 2410 mice were placed in metabolic cages for 24-hour urine collections at weeks 18, 22, 26, 28, 30, and 32 vs. 12, 16, 20 and 24 weeks for the MRL/lpr mice. To prevent bacterial growth, antibiotics (ampicillin, gentamicin and chloramphenicol) were added to the collection tubes. Urinary protein excretion was determined by mouse albumin ELISA using known standards.

### Renal pathology

At the time of sacrifice (32 vs. 24 weeks depending on strain), the kidneys were removed. One kidney was fixed with buffered formalin, embedded in paraffin, and then sectioned before staining with hematoxylin and eosin. The slides were read and interpreted in a masked fashion grading the kidneys for glomerular hypercellularity, segmental mesangial expansion, neutrophils/cell debris, crescent formation and necrosis. These parameters were combined for a total glomerular pathology score with a maximum score of 16. Interstitial changes and vasculitis were also noted and graded separately. Scores from 0–4 were assigned to each of the features and added together to yield a final renal score.

### Immunofluorescence staining

Kidney tissue was snap frozen in liquid nitrogen and stored at  $-80^{\circ}\text{C}$  until analysis. The deposition of IgG and complement component C3 was analyzed by immunofluorescence by incubating the slides with rabbit anti-mouse IgG FITC (Sigma) and sheep anti-mouse C3 FITC (Sigma). IgG and C3 deposition was graded 0–3 for intensity of staining in a masked fashion.

### Measure of autoantibodies

Serum levels of anti-dsDNA, anti-glomerular antigens (GA), total IgG, and serum IgG isotypes were measured as previously described [13]. Isotype specific anti-dsDNA antibody ELISAs were performed using isotype specific conjugates (i.e. anti-mouse IgG1, IgG2a, IgG2b and IgG3). Standard curves using IgG isotypes were used to determine the dilution of the anti-IgG antibody to use to ensure equal detection of isotypes. To measure relative affinity of anti-dsDNA antibodies, we performed competitive inhibition ELISA assays. Sera from 28-week old mice were incubated with increasing concentrations of soluble dsDNA for 24 h at  $4^{\circ}\text{C}$  prior to performing anti-dsDNA ELISAs. Soluble dsDNA concentrations ranged from  $1\ \mu\text{g/ml}$ – $30\ \mu\text{g/ml}$ . Anti-dsDNA ELISAs were then carried out as described above. Anti-GA antibodies, serum IgG iso-types and total IgG were determined as previously described [14].

### Anti-glomerular Ab injections

Methods for this experiment were previously described [14]. Briefly, five wildtype and ER $\alpha$  knockout female NZM 2410 mice at 8–10 weeks of age (predisease) were used in this study. Nephritis was induced using a combination of  $50\ \mu\text{g}$  LPS (administered i.p.) and  $250\ \mu\text{g}$  of anti-glomerular sera (i.v.) given simultaneously to each mouse. Following this challenge, the mice were monitored for proteinuria over a 2-week period.

### Measurement of serum hormones

Serum was collected from wildtype and ER $\alpha$  knockout female NZM 2410 mice at 32 weeks of age. Estrogen and testosterone were both measured via ELISA (Bio-Quant Inc.) according to the manufacturer's instructions.

### Statistical analysis

Two-way ANOVA was utilized to test for significance when comparing groups in proteinuria and autoantibody experiments. Mann–Whitney nonparametric *t*-tests were utilized to test for significance between groups in single group comparisons as in pathology and immunofluorescence scoring. Standard error of the means was reported where applicable. *p* values  $\leq 0.05$  were considered significant. Logrank analysis was used to compare trends in animal survival.

## Results

### Effect of ER deficiency on albuminuria in NZM2410 mice

NZM2410 mice of various ER genotypes were placed in metabolic cages and 24-hour urine samples were collected. Urine was analyzed by ELISA for albumin as a measure of renal disease. Wildtype female NZM2410 mice, as expected (Fig. 1A), developed severe albuminuria (>1 mg/mouse/day) by 26 weeks of age, while ER $\alpha$  heterozygote littermate females developed severe albuminuria at 30 weeks of age. ER $\alpha$  homozygote knockout NZM2410 females displayed significant protection from development of albuminuria with 24-hour albumin excretion levels never exceeding 100  $\mu$ g/mouse/day out to 32 weeks of age. There was a statistically significant decrease in albuminuria in ER $\alpha$  knockout female NZM2410 mice compared to both heterozygote and wildtype ER $\alpha$  NZM2410 knockout mice. A significant decrease in albuminuria was also observed comparing female heterozygote to wildtype mice indicating an ER $\alpha$  gene dosing effect on albuminuria in NZM2410 female mice. ER $\alpha$  deficiency resulted in a trend towards decreased albuminuria in male NZM2410 mice that did not reach statistical significance (Fig. 1B).

Similarly, NZM2410 mice of various ER $\beta$  genotypes were analyzed for development of albuminuria. Wildtype females began to develop severe albuminuria at 28 weeks (Fig. 1C) while ER $\beta$  heterozygote females developed severe albuminuria at 30–32 weeks. ER $\beta$  homozygote KO females developed severe albuminuria at 32 weeks of age, demonstrating a trend towards delayed development of albuminuria in the KO females that did not reach statistical significance. The male mice of each ER $\beta$  genotype developed mild proteinuria by 32 weeks of age (Fig. 1D). There was no significant difference between ER $\beta$  genotypes in albuminuria despite the trends noted. The decreased proteinuria in the male ER $\beta$  wildtype vs. male ER $\alpha$  wildtype groups emphasizes the need for using littermates for controls.

### Effect of ER deficiency on renal pathology in NZM2410 mice

Kidneys were collected at 32 weeks of age and analyzed for glomerular pathology. Female NZM2410 mice, deficient in ER $\alpha$ , had significantly reduced pathology scores compared to wildtype NZM2410 female mice ( $p = 0.041$ ) and heterozygote ER $\alpha$  female mice ( $p = 0.032$ ) as shown in Figure 2A. There was no significant difference in renal pathology scores between heterozygote and wildtype mice. This lack of a difference may reflect that the most severely affected wildtype mice had died prior to 32 weeks, thus falsely lowering the pathology scores in this group. ER $\alpha$  knockout mice showed a decrease in all renal pathology parameters scored indicating a global impact on renal disease (Table 1). In male NZM2410 mice, ER $\alpha$  homozygote and heterozygote knockout mice had trends toward lower renal pathology scores than wildtypes, but did not reach a significant difference ( $p = 0.08$  and  $0.10$  respectively) when compared to wildtype (Fig. 2B).

ER $\beta$  deficient and heterozygote females displayed a non-significant trend towards decreased glomerular pathology scores compared to wildtype NZM 2410 mice (Fig. 2C). There were no differences observed in renal scores of NZM 2410 male mice, regardless of ER $\beta$  status (Fig. 2D).

### **IgG and C3 kidney deposition in ER deficient NZM2410 mice**

We next assessed renal deposition of complement component C3 and IgG by immunofluorescence. Surprisingly, despite the significant differences in pathology and albuminuria, there was no difference in total IgG deposition between the three study groups (Fig. 3A). When C3 deposition was assessed (Fig. 3B), there was a minimal decrease in the ER $\alpha$  deficient female mice compared to wildtype littermates that did not reach statistical significance. Male ER $\alpha$  KO mice displayed no difference in either IgG or C3 glomerular deposition compared to wildtype litter-mates (Figs. 3C and D). Figures 3E and F show the diffuse glomerular staining observed in wildtype and ER $\alpha$  KO females respectively. There were no differences in IgG or C3 deposition in ER $\beta$  deficient females or males compared to wildtype littermates.

### **Serum urea nitrogen levels in ER $\alpha$ deficient NZM2410 mice**

We assessed SUN levels from the NZM2410 female wildtype and ER $\alpha$  KO littermates. At the age of 18 weeks, there was a trend towards lower SUN levels in ER $\alpha$  knockout females compared to wildtypes. At 32 weeks, SUN levels were significantly higher ( $24.2 \pm 2.26$  mg/dl) in the wildtype female mice compared to  $17.5 \pm 3.4$  mg/dl in the knockout females ( $p < 0.01$ ). The male NZM2410 ER $\alpha$  KO mice showed no significant difference in SUN levels compared to their wildtype littermates (data not shown).

### **Effect of ER deficiency on survival of NZM2410 mice**

Wildtype NZM2410 females began to die at 26 weeks of age with 40% dying by 32 weeks of age. Survival in the heterozygote and wildtype groups was 100% at 32 weeks of age (Fig. 4). Logrank analysis demonstrated a statistical difference in survival between wildtype and ER $\alpha$  knockout and heterozygote mice. There were no deaths of male mice of any ER $\alpha$  status by 32 weeks of age. There was also no difference in survival of NZM2410 mice regardless of ER $\beta$  genotype in either sex (data not shown).

### **Effect of ER deficiency on autoantibody levels in NZM2410 mice**

The different groups of NZM2410 mice were assessed by ELISA for total serum IgG levels as they aged. We found no significant difference in serum IgG levels between groups or any difference in serum IgG isotypes (data not shown). Serum levels of anti-double stranded DNA (dsDNA) antibodies were measured by ELISA in the ER $\alpha$  study groups. In both sexes of NZM2410 mice, we observed an expected increase in serum anti-dsDNA antibodies as they aged (Figs. 5A and B). Surprisingly, the ER $\alpha$  null females had significantly higher serum levels of anti-dsDNA antibodies than wildtype mice from weeks 28 to 32 ( $p < 0.05$ ). The IgG isotypes of autoantibodies were measured as isotype differences could impact renal disease through differential complement fixation and FcR activation. Serum IgG1 and IgG3 anti-dsDNA antibodies were similar in the female NZM2410 mice regardless of genotype (data not shown). Serum IgG2a anti-dsDNA antibodies in the female NZM2410 mice, however, were significantly higher in ER $\alpha$  KOs compared to wildtype mice from 28 to 32 weeks of age ( $p < 0.05$ ). The difference in IgG2a anti-DNA antibodies between ER $\alpha$  KOs and wildtype female mice accounted for the majority of the total anti-dsDNA difference observed in Figure 5A. Heterozygote ER $\alpha$  KO mice were intermediate in their anti-dsDNA

and IgG2a anti-dsDNA antibody production. ER $\alpha$  status did not impact serum anti-dsDNA antibody levels in male mice. ER $\beta$  deficient mice showed no difference in serum anti-dsDNA production compared to wildtype regardless of gender (data not shown). When we assessed serum autoantibodies against glomerular antigens (GA) in these mice, there was a trend towards increased anti-GA antibodies in the ER $\alpha$  knockout females that did not reach statistical significance (data not shown). There was no effect of ER $\alpha$  genotype on anti-GA levels in male mice or of ER $\beta$  genotype of either gender.

A possible mechanism by which the ER $\alpha$  KO mice would have less renal disease, despite higher serum levels of anti-dsDNA antibodies, is that the affinity of the anti-dsDNA antibodies was less in the ER $\alpha$  KO mice. To test this possibility, we performed competitive inhibition ELISA assays on serum from 28-week old NZM2410 mice of ER $\alpha$  deficient and wildtype genotypes. There was no significant difference in soluble dsDNA inhibition (from 1–30  $\mu$ g/ml) of plate bound dsDNA between serum from ER $\alpha$  KO vs. ER $\alpha$  wildtype mice with 50% inhibition achieved at 3  $\mu$ g/ml of soluble dsDNA in both groups.

### Anti-glomerular antibody injection

We next assessed if the renal protective effect seen in the female NZM2410 mice was due primarily to the response of the kidney to inflammatory insult. We determined the effect of ER $\alpha$  status on the renal response to injection of rabbit anti-glomerular antigen antibodies. Using the protocol developed by Mohan et al. [14], groups of five NZM2410 wildtype and ER $\alpha$  KO female mice at 8 weeks of age (predisease) were injected with LPS 50  $\mu$ g IP followed by rabbit anti-mouse glomerular antigen antibodies intravenously. The mice were then followed weekly for the development of albuminuria. ER $\alpha$  genotype did not impact the development of albuminuria following anti-glomerular antibody injection (not shown). These data suggest that the effect of ER $\alpha$  deficiency extends beyond the acute renal response to an inflammatory insult in this complement dependent model.

### Serum hormone levels in ER $\alpha$ deficient female NZM2410 mice

Prior reports indicate that C57Bl6 mice deficient in ER $\alpha$ , but not ER $\beta$ , developed hypergonadism and partial endocrine sex reversal [15]. Plasma was collected from the ER $\alpha$  and wildtype mice at 32 weeks of age. Wildtype female NZM2410 mice produced an average of  $74.8 \pm 12.5$  pg/ml of estradiol compared to  $149.8 \pm 27.8$  pg/ml in the ER $\alpha$  deficient mice ( $p < 0.01$ ). Similarly, we analyzed serum from 32-week old female mice for testosterone. Wildtype female NZM2410 mice produced undetectable levels of testosterone as expected. ER $\alpha$  deficient females, however, produced significantly elevated levels of testosterone reaching  $6.6 \pm 2.9$  ng/ml ( $p < 0.01$ ). Depending on the strain, male mice have plasma testosterone levels ranging from 5–30 ng/ml.

### Disease expression in MRL/lpr mice

MRL/lpr female ER $\alpha$  deficient mice also exhibited significant protection against renal disease as measured by proteinuria and renal pathologic scores (Figs. 6A and B). Serum anti-dsDNA antibody levels were similar (data not shown), though complement deposition was significantly decreased in the female ER $\alpha$  knockout mice (Fig. 6C). As in the NZM2410 mice, there was no statistically significant impact of ER $\alpha$  status on male

MRL/lpr mice and ER $\beta$  status had no effect on MRL/lpr disease in males or females (data not shown). These results indicate very similar responses to ER $\alpha$  deficiency in two different strains of lupus mice.

## Discussion

Due to the profound gender difference in SLE prevalence, defining the phenotypic effects of the genetic lack of the estrogen receptors on disease provides new insight into the mechanistic role of estrogen/ERs in the pathogenesis of lupus. The purpose of this study was to determine whether the deficiency of either of the known ERs affected the development of disease in the NZM 2410 and MRL/lpr lupus prone strains. Conflicting prior studies of ovariectomy, estrogen replacement and ER deficiency on immune function and autoimmunity in normal and lupus mice suggested that ER deficiency could either improve disease, worsen disease or have no impact on disease. Of particular importance to this study was the prior finding that the lack of ER $\alpha$  in B6/129 mice led to the development of autoantibodies and immune complex glomerulonephritis in both male and female mice. We found, in contrast, the lack of ER $\alpha$  resulted in a significant decrease in disease expression occurred in both lupus prone strains in female mice. In our current study, phenotypic differences in ER $\alpha$  deficient NZM female mice, compared to wildtype, included decreased albuminuria, renal pathology, SUN levels and mortality. Similar decreases in albuminuria and renal pathology were seen in female ER $\alpha$  deficient MRL/lpr mice. All aspects of renal pathology (i.e. proliferation, inflammatory infiltrate and sclerosis) were decreased in the KO mice. This profound impact on disease of ER $\alpha$  deficiency occurred despite an increase in anti-dsDNA antibodies (NZM) or no difference in anti-dsDNA antibodies (MRL/lpr) indicating that the impact of ER $\alpha$  deficiency was at the later stage of autoimmune disease development, but not at the earlier stage of autoantibody production. Higher serum estrogen levels were present in the ER $\alpha$  deficient mice, which would be predicted to worsen disease based on prior studies of estrogen administration to lupus mice and castrated lupus mice, yet the ER $\alpha$  deficient female mice had less disease. ER $\alpha$  deficiency had no significant effect on total serum immunoglobulin levels, or spleen T cell/B cell subsets (data not shown) or on the response of the kidney to acute inflammatory injury. C3 deposition was significantly decreased in the ER $\alpha$  deficient MRL/lpr mice, while C3 deposition was not significantly decreased in the NZM2410 mice. ER $\alpha$  deficiency had minimal to no protective effect on disease in male NZM2410 or MRL/lpr mice, indicating a gender specific effect of ER $\alpha$  deficiency on lupus like disease. ER $\beta$  deficiency resulted in a trend towards less severe disease, but none of the differences in disease measures was significant in either males or females. Thus, ER $\alpha$  deficiency had a unique protective effect on clinical disease in female NZM 2410 and MRL/lpr mice.

This is the first report of the effect of ER deficiency in lupus mice. Prior studies of estrogen effects in murine models of lupus found that prepubertal ovariectomy and hormonal manipulation affected development of autoimmune disease [6,16]. NZB/NZW mice, specific gene knockout mice that develop lupus (i.e. DNase KOs) and some of the derived NZM strains have significant female predominance of disease and disease severity [17]. Ovariectomy alone had no effect on disease in NZB/NZW mice. Estrogen replacement in the ovariectomized mice resulted in disease expression similar or worse than unmanipulated



mice. Administration of testosterone post-ovariectomy led to improvement in disease severity. When male NZB/NZW mice were castrated, prior to sexual maturity, and given estrogen, disease developed similar in severity to unmanipulated female mice. Later studies in MRL/lpr mice found similar impacts of ovariectomy and sex hormone manipulation on disease as in NZB/NZW mice [21]. Thus, these previous studies indicated that ovariectomy, and/or estrogen deficiency alone, had no impact on disease, but that ovariectomy plus sex hormone manipulation significantly impacted disease expression in lupus mice.

Our studies provide the next step towards understanding gender differences in disease. The simple lack of estrogen, as in castrated NZB/NZW female mice, did not impact disease, yet giving extraneous estrogen to these mice enhanced disease. Thus, estrogen effects alone are not sufficient to explain the gender differences in disease. The lack of ER $\alpha$  signaling, however, does have significant impacts on disease expression, but only in female mice. Why, then are the ER $\alpha$  KO mice protected, while castration alone has no effect? The castrations occurred at 2 weeks, while ER $\alpha$  deficiency is present in utero and in the first two weeks of life. Thus, the ER $\alpha$  effect may occur prior to 2 weeks of age. Compensatory changes due to ER $\alpha$  deficiency are also a potential mechanism including the hormonal changes we noted. Perhaps high levels of estrogen signaling through ER $\beta$ , in the absence of ER $\alpha$ , are protective. The lack of a significant effect of ER $\beta$  deficiency on disease would appear to mitigate against this possibility.

In the only prior study of lupus susceptibility in ER deficient mice, ER $\alpha$  deficient C57BL/6J/129 mice spontaneously developed autoimmune glomerulonephritis at 1 year of age [11]. Nephritis occurred in ER $\alpha$ -/-, but not ER $\beta$ -/- mice, and unexpectedly occurred in both male and female mice. High levels of serum IgG3 autoantibodies, increased numbers of memory B cells and renal disease were noted in the ER $\alpha$  deficient mice. The contrasting results between this study and our findings of disease protection in two different lupus prone strains, are most likely due to the differences strains to ER deficiency. There are a number of reports of phenotypic differences in gene knockout mice depending on which genetic background the knockout is expressed. B6/129 mice develop spontaneous autoimmune disease when C1q is deleted [18]. When C1q deficiency is then expressed on a pure B6 background, the autoimmune phenotype is absent. In studies of serologic and pathologic manifestations in B6 ER $\alpha$  and ER $\beta$  KO, no evidence of autoimmunity was noted at any age in either gender (Korach, unpublished data). In the B6/129 study, there were effects of ER $\alpha$  deficiency on B cell subsets and serum IgG3 levels. Unlike this study, we did not see an impact of ER $\alpha$  on IgG3 or B cell subsets in either NZM2410 or MRL/lpr mice. The same knockout construct was used in both studies. Thus, the enhancement of autoimmune disease in B6/129 mice, the lack of effect in B6 mice and the protection against disease in MRL/lpr and NZM2410 mice can best be hypothesized to reflect strain differences in response to the lack of ER $\alpha$  signaling. It is of interest that in neither study was there any evidence of a significant effect of ER $\beta$  deficiency, though trends towards improved disease were seen in both the lupus prone strains in female mice. Thus, it appears that disease modulating effects of estrogen receptors on autoimmune disease are via ER $\alpha$  and more specifically the AP1 domain of ER $\alpha$ .

To define mechanistic impacts of genetic manipulations on lupus, it is helpful to consider SLE as a two-step process. The first manifestation of disease is “autoimmunity”, characterized by autoantibody production. Grimaldi et al. demonstrated that ER $\alpha$  expression increases during differentiation of B cells [19]. Earlier the same group observed that estrogen enhanced survival of autoreactive B cells, although the receptor through which this effect was mediated is unclear [20]. Thus, one impact of estrogen receptor deficiency could be on autoanti-body production. In our study, however, autoantibody production (anti-dsDNA) was either not impacted or significantly increased in ER $\alpha$ -/- female mice. The increased anti-dsDNA production in the NZM2410 female mice may reflect B cell effects via increased levels of estrogen signaling through ER $\beta$  or through non-genomic effects of estrogen. This explanation is consistent with the B cell and autoantibody effects of estrogen reported by Grimaldi et al. [20,21]. We also did not see any impact of ER deficiency on anti-glomerular antigen antibodies, which we and others have linked with development of pathologic renal disease.

It is important to understand that production of auto-antibodies, the first phase of “disease” does not necessarily result in development of clinical disease. Some individuals (first-degree relatives) and murine models of disease (C3H/lpr mice) do not progress beyond the production of autoantibodies, never developing significant organ involvement [21]. This is, perhaps, best illustrated in first-degree relatives of patients with lupus, who often have similar serum autoantibody profiles as the person with lupus [21]. Of importance to this line of investigation, recent studies in human lupus, first-degree relatives and unrelated controls indicate no significant difference in autoantibody production in male vs. female first-degree relatives of lupus patients or unrelated controls [22]. Thus the development of autoimmunity, as measured by a +ANA, does not appear to differ between men and women, yet a 10/1 predominance of clinically apparent lupus is reproducibly noted in women compared to men. Our data suggest that ER $\alpha$  exhibits contrasting effects on the two stages of lupus development. Deficiency of ER $\alpha$  appears to either enhance or have no effect on autoimmunity (anti-dsDNA antibodies), while inhibiting autoimmune disease (glomerulonephritis).

The lack of an effect of ER $\alpha$  deficiency on lupus prone male mice suggests different mechanisms of disease development in the male vs. female mice of these two strains. Clearly, ER $\alpha$  expression is required for full disease expression in female mice, but is not necessary for full disease expression in male mice. One potential explanation for this difference could be in the effects of ER $\alpha$  deficiency on hormone levels in the two sexes. In the female mice, testosterone and estrogen levels are increased, while in male mice, ER $\alpha$  deficiency has no effect on hormone levels. Since testosterone levels are similar in the male and female ER $\alpha$  KO mice, yet females are protected, while males are not, it is difficult to attribute the protective effect of ER $\alpha$  deficiency in the female mice to high testosterone levels alone. As noted above, the impact of ER $\alpha$  deficiency appears to be on renal disease development rather than development of autoimmunity. In most murine models of renal disease and human renal disease, testosterone worsens renal disease severity, thus protection against renal disease by high testosterone in lupus mice, would be contradictory to almost all other models of renal disease. As opposed to the Talal castration experiments, when testosterone was given from 2 weeks of age, in the ER $\alpha$  KO mice, testosterone levels do not

become elevated until after puberty, when testosterone had no impact in the castration models. Thus, the improvement of renal disease in both strains is unlikely due to high testosterone alone. Similarly, estrogen administration to lupus mice either has no effect or makes disease worse and thus the higher estrogen levels in the ER $\alpha$  KO are also unlikely to explain the disease differences noted. No prior manipulations of lupus mice have given both testosterone and estrogen, the scenario that is present in the ER $\alpha$  KO mice. Thus, it is difficult to attribute the protection seen in the ER $\alpha$  deficient mice to these hormonal changes alone.

Another potential mechanism of disease impact of estrogen receptor deficiency is via effects on complement factor production. C3 production in the rat, human and mouse uterus is estrogen dependent, although the receptor through which this effect is mediated is unknown [23]. Decreased local production of C3 in the kidney during inflammation could impact disease expression and local C3 production is a known contributor to renal disease in MRL/lpr mice [24]. There was a significant decrease in C3 deposition in the kidneys of the MRL/lpr mice and a trend towards decreased deposition in the NZM2410 mice. Assessment of C3 deposition by IF intensity is semiquantitative at best and thus impact on local C3 production in the ER $\alpha$  deficient mice remains a possible mechanism for disease protection that warrants further study.

There are limitations to the study as presented. The hormonal changes in female ER $\alpha$  deficient mice are obvious confounders, yet prior studies suggest that these hormonal changes alone are insufficient to have the disease impact noted in the ER $\alpha$  deficient mice. Studies of ovariectomized, estrogen supplemented ER $\alpha$  deficient mice are currently in progress in our laboratory. We believe, therefore, that the effect of ER $\alpha$  deficiency is multifactorial impacting a number of inflammatory pathways based on the multiple genes influenced by estrogen that have ER response elements in their promoters [23]. We did not find a detectable difference in spleen cell lymphocyte subsets or in urine or serum MCP1 or IL6 levels (data not shown) suggesting that systemic inflammatory responses are not impacted by ER $\alpha$  deficiency effects. The lack of an effect in the induced anti-GA model suggests that the effect is not limited to a differential renal response to inflammation, though the inflammatory insult in the GA model is primarily complement mediated and may overwhelm the modulatory impacts of ER $\alpha$  on renal responses.

In summary, our results are the first report of the effect of ER deficiency on disease expression in a murine model of lupus. The profound impact of ER $\alpha$  deficiency indicates a key role for ER $\alpha$  in the development of renal disease in NZM2410 and MRL/lpr lupus prone mice. ER $\alpha$ -/- females demonstrated significant protection from renal disease while showing enhanced or no change in autoimmunity towards dsDNA and glomerular antigens. Additional studies of this intriguing finding should provide further understanding of the complex impact of female gender on lupus. Furthermore specific targeted modulation of ER $\alpha$  function may provide a novel approach to therapy in human lupus.

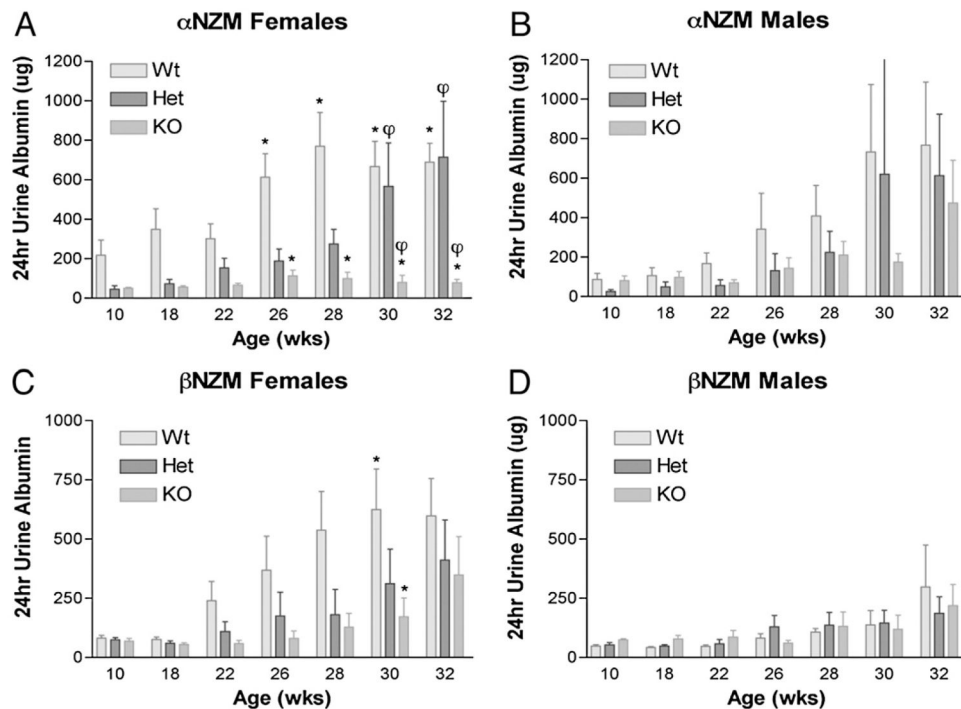
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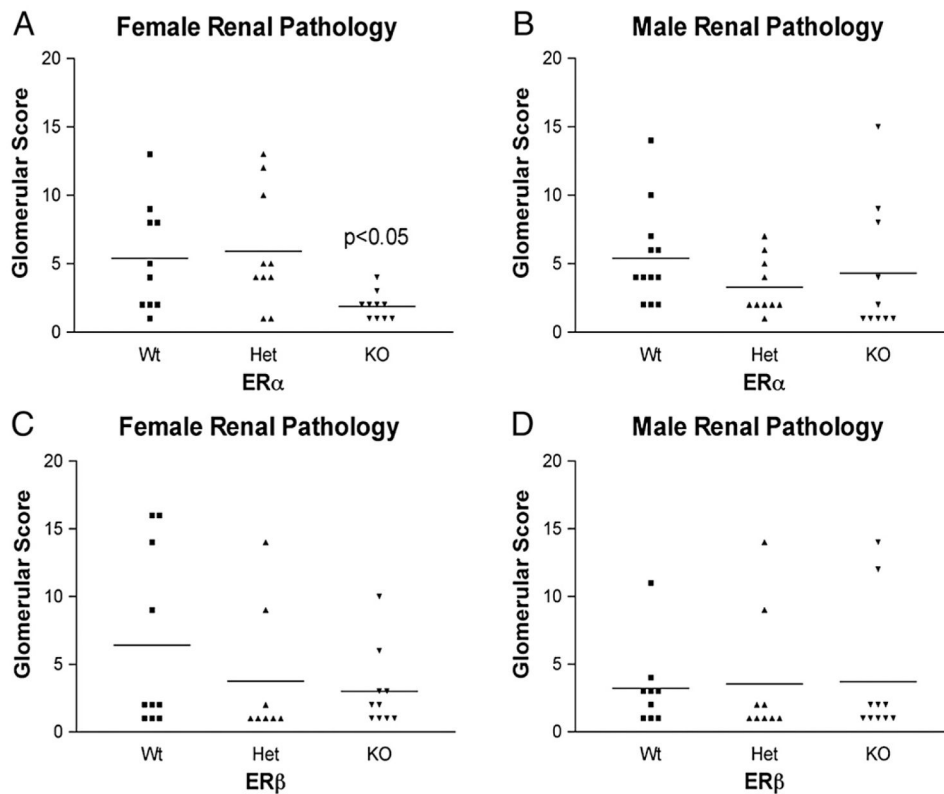
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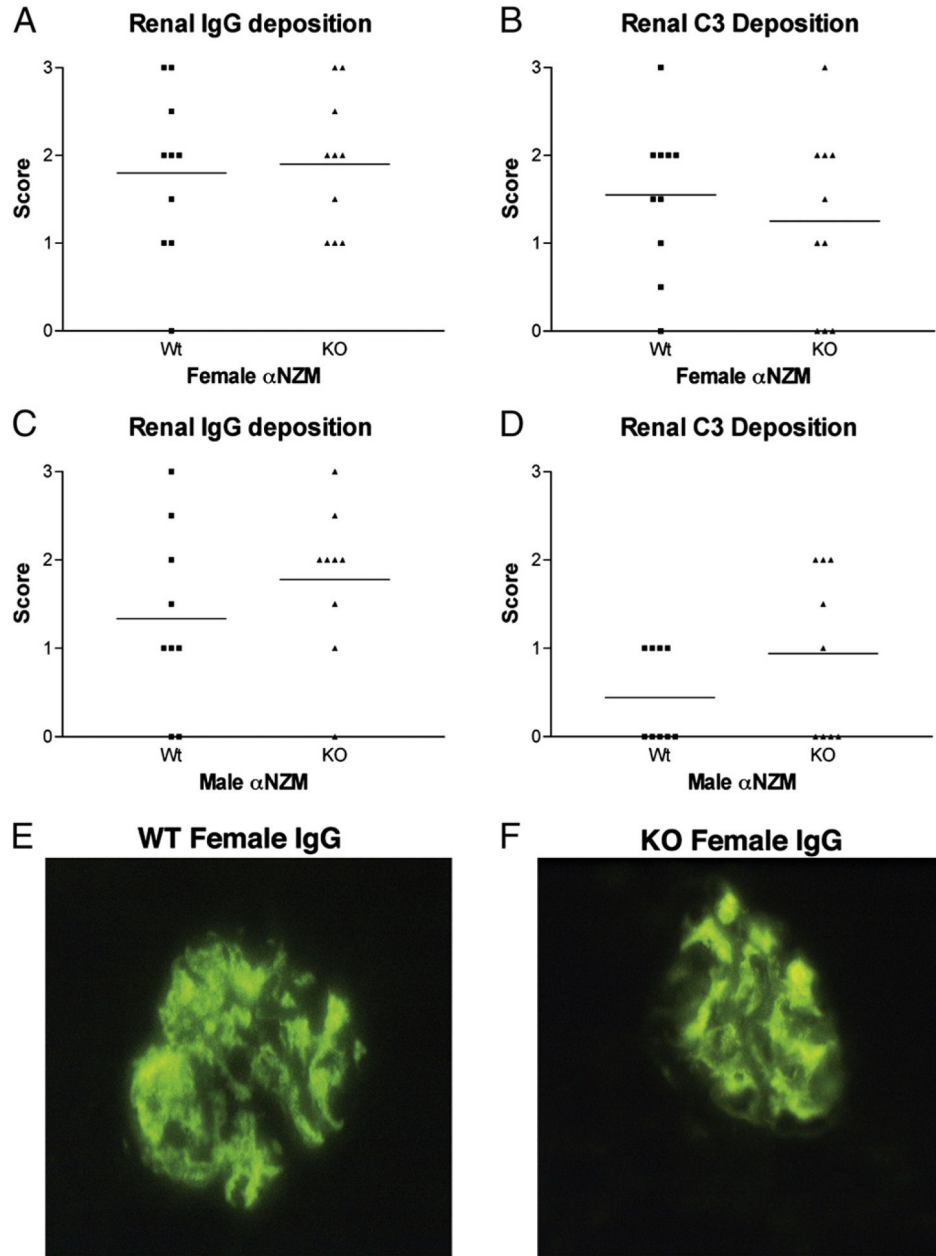


**Figure 1.** Proteinuria in ER deficient NZM2410 lupus mice. NZM females with differing ER $\alpha$  genotype were compared for excretion of urine albumin at the various ages indicated. (A) ANOVA analysis demonstrated a significant decrease in proteinuria in ER $\alpha$  deficient females compared to wildtype and heterozygote mice  $p < 0.05$  as indicated by the \* and  $\phi$  respectively. (B) The decreased proteinuria in ER $\alpha$  deficient males did not reach statistical significance overall. (C) There was a significant decrease in ER $\beta$  deficient females compared to normal mice only at 30 weeks of age.  $p < 0.05$  as indicated by the \*. (D) No significant differences were noted in males regardless of ER $\beta$  genotype. ( $n = 10$  in all groups).



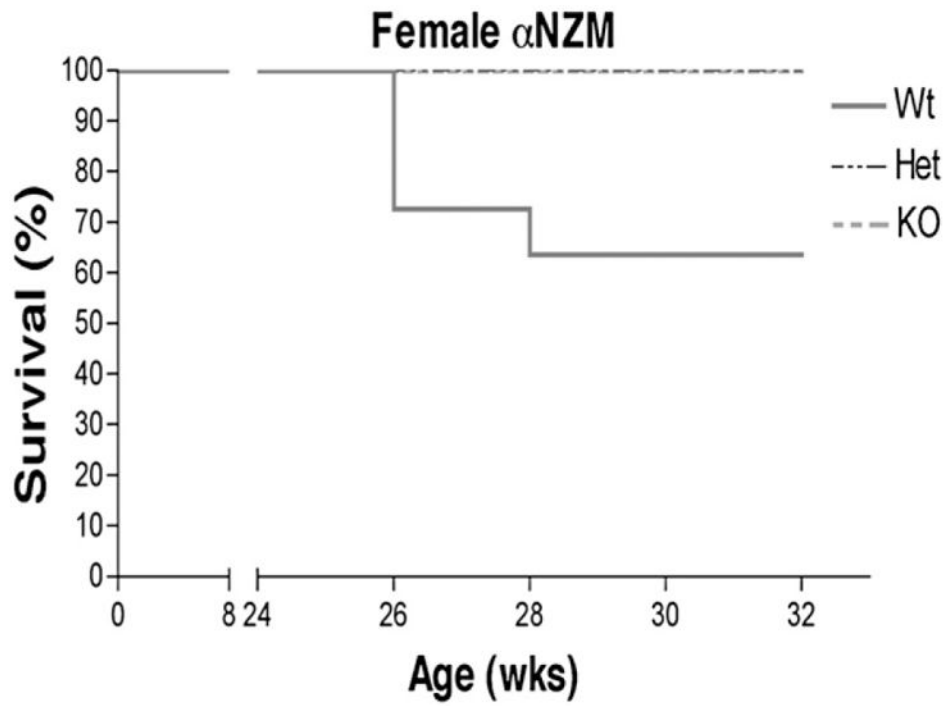
**Figure 2.**

Renal pathology in ER deficient NZM2410 lupus mice. Total glomerular pathology scores were determined for female NZM mice of various ER $\alpha$  genotypes. (A) ER $\alpha$  null females had significantly lower pathology score compared to both wildtype and ER $\alpha$  heterozygotes.  $p < 0.05$  by Mann–Whitney analysis. (B) Male littermates of various ER $\alpha$  status were also compared for glomerular scores with no significant difference. (C) ER $\beta$  null females had a trend towards lower glomerular scores but did not reach statistical significance. Littermate male NZM mice had no difference in pathology regardless of ER $\beta$  genotype (D).

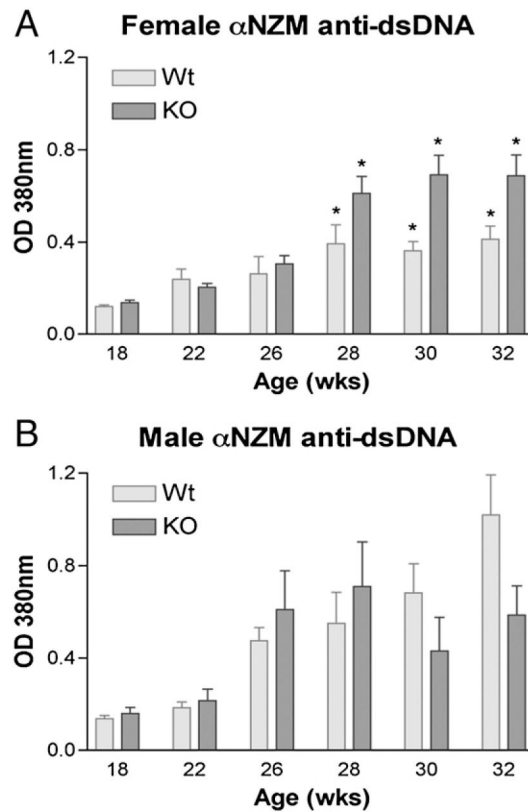


**Figure 3.** Renal immune complex deposition in  $ER\alpha$  deficient NZM2410 mice. Female NZM2410 kidneys were analyzed for IgG deposition (A) and C3 deposition (B) in wildtype and  $ER\alpha$  deficient mice by immunofluorescence. There was no difference regardless of  $ER\alpha$  genotype. Male littermates were similarly examined and compared between wildtype (C) and  $ER\alpha$  knockout (D). No statistical difference was observed regardless of  $ER\alpha$ . IgG stainings of glomeruli from wildtype and  $ER\alpha$  knockout females are shown respectively in (E) and (F).



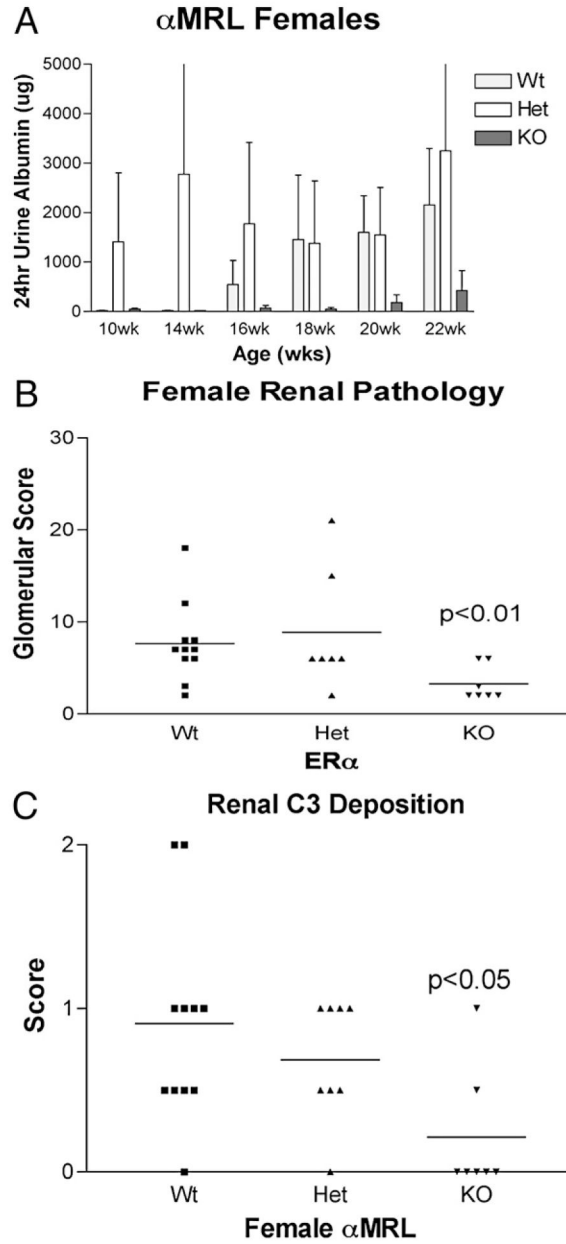


**Figure 4.** Survival of ER deficient NZM2410 lupus mice. ER $\alpha$  knockouts and heterozygotes had significantly prolonged survival by Logrank analysis compared to wildtypes ( $n=10$ ).



**Figure 5.**

Serum anti-dsDNA antibodies in ER $\alpha$  deficient NZM2410 mice. (A) Both wildtype and knockout mice demonstrated an increase in anti-double stranded DNA production that paralleled disease development ( $n=10$ ). There was a significant increase in anti-dsDNA levels in ER $\alpha$  null females compared to wildtypes (\* indicates  $p<0.05$ ). Similarly, littermate male NZM mice demonstrated an increase in anti-dsDNA production that increased with disease progression (B), but, with no difference between groups ( $n=10$ ).



**Figure 6.** Effects of ER deficiency on disease expression in MRL/lpr mice. MRL females with differing ER $\alpha$  genotype were compared for excretion of urine albumin at the various ages indicated (A). Total glomerular pathology scores were determined for female MRL/lpr mice of various ER $\alpha$  genotypes (B). ER $\alpha$  null females had significantly lower pathology score compared to wildtypes.  $p < 0.01$  by Mann–Whitney analysis. Female MRL kidneys were analyzed for C3 deposition (C) in wildtype and ER $\alpha$  deficient mice by immunofluorescence. ER $\alpha$  null females had significantly lower deposition compared to wildtype mice.  $p < 0.05$  by Mann–Whitney analysis.

**Table 1**

Percentage of female NZM mice with renal pathologic parameters

| ERg   | HC (3+) | PMNS | EPI REAC | MEM | MES | SCLER |
|-------|---------|------|----------|-----|-----|-------|
| (+/+) | 30%     | 30%  | 60%      | 60% | 40% | 10%   |
| (+/-) | 0       | 30%  | 30%      | 30% | 60% | 0     |
| (-/-) | 0       | 0    | 20%      | 0   | 0   | 0     |

Kidneys from female NZM 2410 mice of various ERg genotypes were scored 0–3+ for hypercellularity (HC), neutrophils/cell debris (PMNS), epithelial reactivity (EPI REAC), membranous changes (MEM), segmental mesangial expansion (MES), and sclerosis (SCLER). Values represent a percentage of 10 mice scored for each group.