

# Visualizing the origins of selfish de novo mutations in individual seminiferous tubules of human testes

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**De novo point mutations arise predominantly in the male germline and increase in frequency with age, but it has not previously been possible to locate specific, identifiable mutations directly within the seminiferous tubules of human testes. Using microdissection of tubules exhibiting altered expression of the spermatogonial markers MAGEA4, FGFR3, and phospho-AKT, whole genome amplification, and DNA sequencing, we establish an in situ strategy for discovery and analysis of pathogenic de novo mutations. In 14 testes from men aged 39–90 y, we identified 11 distinct gain-of-function mutations in five genes (fibroblast growth factor receptors *FGFR2* and *FGFR3*, tyrosine phosphatase *PTPN11*, and RAS oncogene homologs *HRAS* and *KRAS*) from 16 of 22 tubules analyzed; all mutations have known associations with severe diseases, ranging from congenital or perinatal lethal disorders to somatically acquired cancers. These results support proposed selfish selection of spermatogonial mutations affecting growth factor receptor-RAS signaling, highlight its prevalence in older men, and enable direct visualization of the microscopic anatomy of elongated mutant clones.**

mutation | testis | germline | seminiferous tubule | selfish selection

Discerning the source of spontaneous germline mutations is fundamental to understanding the causes of many diseases, including monogenic developmental disorders (1) and complex conditions such as autism (2, 3) and schizophrenia (4). Recent whole genome sequencing studies of parent–child trios show that most mutations (such as nucleotide substitutions) originate from the paternal germline and increase in frequency with the father's age (5, 6), an issue of particular significance given the demographic shift to delayed reproduction in many populations (7). The deduction that the testes of older men harbor a greater burden of mutations, compared with younger men, is consistent with indirect measures of genetic decline, ranging from high indices of arrested germ cell divisions to complete involution of the seminiferous tubules (7–9). Surprisingly, however, it has not previously been possible to trace specific mutations back to their origins within individual germ cells (spermatogonia) of human testes.

One mechanism proposed to contribute to the age-related increase in male mutations is selfish spermatogonial selection, a process equivalent to neoplasia but occurring in the unique context of the germ cell (10). In this process, specific point mutations that confer gain-of-function to components of the growth factor receptor-RAS signaling pathway occur rarely in spermatogonial stem cells of the adult testis but show a steep increase in prevalence with age, attributed to clonal expansion of mutant spermatogonia over time (11–16). Fertilization of the egg by a mutant sperm leads to serious congenital disorders in the next generation, characterized by multiple malformations and, in some cases, a predisposition to malignancy. These disorders include Apert, Crouzon, and Pfeiffer syndromes [caused by FGF receptor 2 (*FGFR2*) mutations] (17–20), achondroplasia and thanatophoric dysplasia (TD) [FGF receptor 3 (*FGFR3*)] (21–23), multiple endocrine neoplasia (*RET*) (24), Noonan syndrome [protein tyrosine phosphatase, non-receptor type 11 (*PTPN11*)] (25), and Costello syndrome [Harvey rat sarcoma viral oncogene homolog (*HRAS*)] (25). Consistent

with the proposed clonal expansion mechanism, strong gain-of-function mutations of *HRAS* and *FGFR3* have been identified in spermatocytic tumor (seminoma), a testicular tumor characteristically occurring in older men (16, 26). Based on the unexpectedly high birth prevalence of several of the associated congenital disorders, the causative nucleotide substitutions are the most frequently observed spontaneous mutations in the male germline, occurring at levels up to 1,000-fold higher than the background rate (10). Evidence that such “paternal age effect” (PAE) mutations are enriched in either sperm (16, 26–29) or testes (11–15) has required intensive experimental studies targeting specific nucleotide positions; none of these studies preserved the cellular context in which the mutations occurred, meaning that it has not been possible to trace specific mutations back to their origins within individual spermatogonia.

Here, we aimed to isolate pathogenic mutations directly in normal human testes that had been removed for coincidental pathologies (most commonly inguinal hernias) unrelated to either infertility or parenchymal malignancy. We focused this work on the testes of older men, reasoning that PAE mutations would be more readily detected in this age group. In an earlier immunohistochemical survey, we reported that a small fraction of seminiferous tubules (which we termed “immunopositive tubules”) exhibit increased numbers of spermatogonia displaying strong immunoreactivity to antibodies against MAGEA4 (melanoma antigen A4, a spermatogonial marker of unknown function) (30), *FGFR3* (a known PAE protein expressed in spermatogonia) (26, 31), and pAKT (phospho- $\gamma$ akt

## Significance

A major goal in genetics is to understand the processes that shape the frequency of new mutations, particularly those causing human disease. Here, we focus on specific mutations in the male germline that, although initially rare, confer a growth or survival advantage to the stem cell, leading to clonal expansion over time: a process similar to early tumor growth and currently described only in humans. Previous studies supporting this “selfish” selection quantified mutations in sperm or testis pieces using methods that destroyed their cellular origins. Here, we pinpoint and identify pathogenic mutations directly within individual seminiferous tubules, the structures that generate spermatozoa. This methodology provides unprecedented precision in documenting the spectrum and prevalence of selfish mutations in men's testes.

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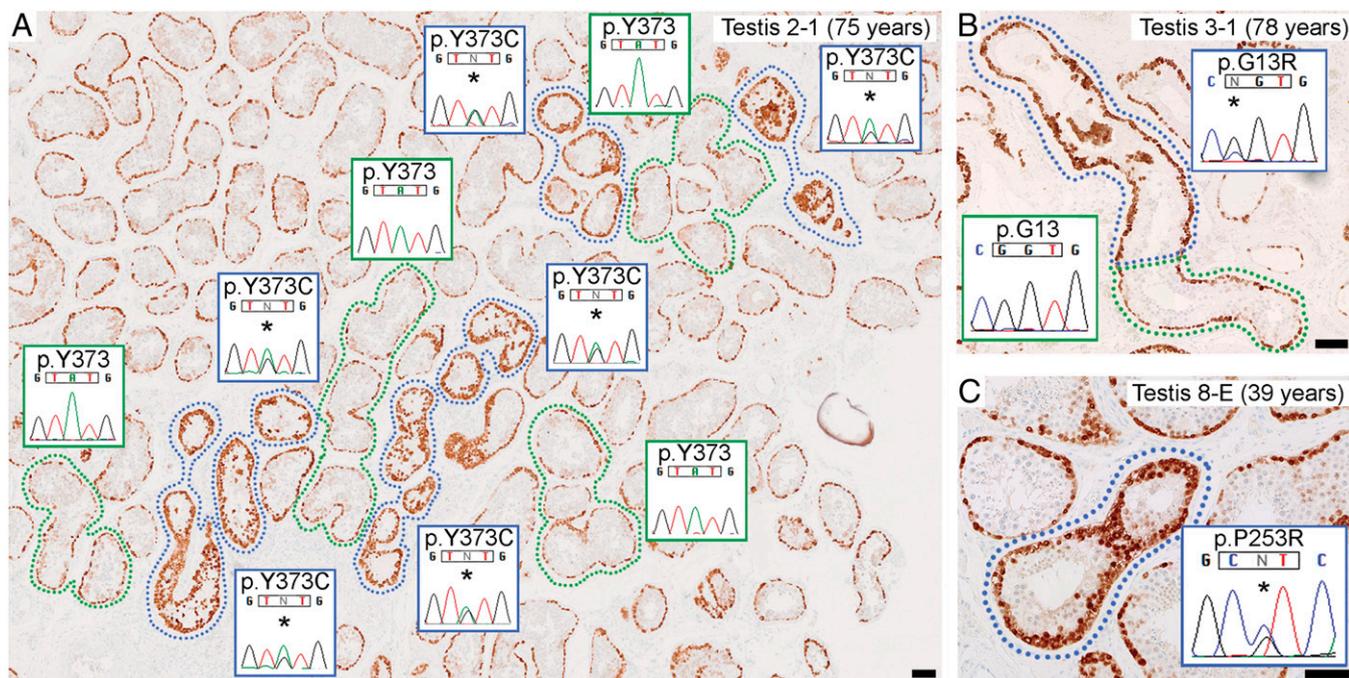
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**Fig. 1.** Seminiferous tubules strongly immunopositive for MAGEA4 contain pathogenic mutations. (A–C) Thin sections from three FFPE testes showing spermatogonia, marked by MAGEA4 positivity (brown staining), present in a single layer at the periphery of normal tubular cross-sections (green surround or unlabeled). A subset of tubules (immunopositive tubules) display enhanced MAGEA4 staining (blue surround) due to dense clustering of spermatogonia with strong immunoreactivity. Dideoxy-sequencing traces were obtained from non-WGA DNA extracted from microdissected tissue of an adjacent section. (A) Heterozygous *FGFR3* c.1118A>G (p.Y373C) mutations (\*) are present in immunopositive tubules, but not in neighboring normal tubules. Clusters of mutation-positive tubules likely represent cross-sections of a single convoluted tubule. (B) In a longitudinal section of a tubule showing the transition from normal to strongly immunopositive staining, the heterozygous *HRAS* c.37C>G (p.G13R) mutation (\*) was specific to the immunopositive portion, pinpointing the boundary between nonmutant and mutant cells. (C) Heterozygous *FGFR2* c.758C>G (p.P253R) mutation (\*) in immunopositive tubule. (Scale bars: 100  $\mu$ m.)

mutation in numerous tumors (36), including spermatocytic tumor (16). No candidate mutations were confirmed in testis 7-1 (*SI Appendix, Fig. S5*). Therefore in this initial study, we found that 6 of 11 immunopositive tubules, from three of the four testes, harbored an identifiable pathogenic mutation in a known PAE gene. Tubules shown to have the same mutation (and therefore likely to have a single mutational origin) were physically separated on the FFPE section by up to 4.8 mm, 15.5 mm, and 13.7 mm in testes 1-1, 2-1, and 3-1, respectively (*SI Appendix, Figs. S2–S4*), placing a lower bound on the length of the mutant clone.

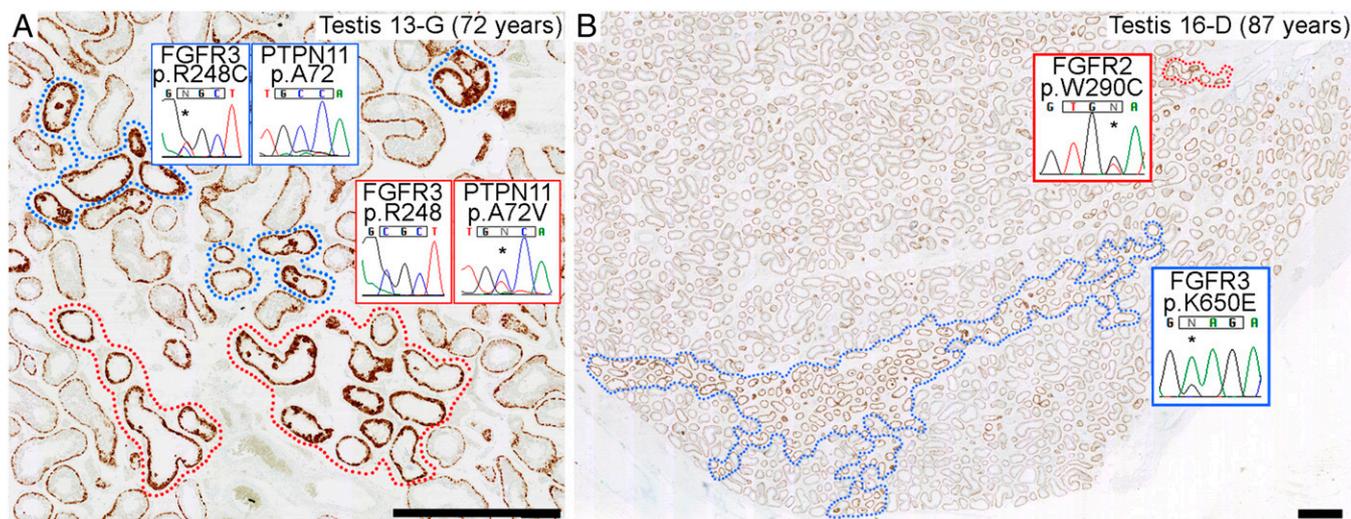
**Mutation Identification Using Triplicate Samples.** To improve success in mutation identification (despite the poor input FFPE DNA quality and quantity), we refined our protocol in a second round of experiments. Key improvements were to select only testes obtained within the previous 6 y, to increase the amount of microdissected tubules in each reaction, to perform independent triplicate analyses of each tubule and intersect the final sequencing data, and to sequence constitutional DNA to exclude inherited variation (*SI Appendix, Fig. S6*).

Based on size, appearance, and DNA quality, 10 clusters of immunopositive tubular cross-sections from nine further testes (donor age range 39–87 y) were selected. We sequenced 135 genes, including all oncogenes (37) and gain-of-function cancer predisposition genes (38), using an updated HaloPlex panel (*Dataset S1*). Candidate variants present in all three tubular replicates, but absent from the matched constitutional DNA, were validated by dideoxy-sequencing of non-WGA DNA microdissected from an adjacent section. In 5 of 10 tubule clusters, mutations that were previously shown to be pathogenic in constitutional disorders and/or cancer were called in each of the triplicates and validated (Table 1): *FGFR2* c.758C>G (p.P253R; Apert syndrome) (17) in testis 8-E (Fig. 1C) and c.1019A>G (p.Y340C; Pfeiffer syndrome) (20) in testis 10-F, *FGFR3* c.742C>T (p.R248C; TD I) (22) in testis 13-G (Fig. 2A), and *KRAS* (Kirsten rat sarcoma viral oncogene

homolog) c.182A>G (p.Q61R) [oncogenic (36), no germline cases reported] in testes 12-E and 15-B. We observed that four known PAE hotspots in *FGFR3* were poorly covered in all samples (median 0–4 $\times$ ) (*Dataset S1*); targeted Ion PGM resequencing of these regions identified *FGFR3* c.1948A>G (p.K650E; TD II) (22) in the triplicate samples from testis 16-D (Fig. 2B). For original data supporting each result, see *SI Appendix, Figs. S7–S12*.

Next, for the six testes in which a mutation was identified, we determined by dideoxy-sequencing whether other immunopositive tubules within the same FFPE section harbored the identical mutation. Although some mutations were found in extensive regions, indicating substantial clonal expansion (Fig. 2B), in the five testes for which additional immunopositive tubules were present in the same FFPE section, at least one of these tubules did not carry the original mutation. We selected three such tubular clusters for a further round of HaloPlex sequencing using the same triplicate strategy and identified a mutation different from that present in the original immunopositive tubule in every case; the mutations (Table 1) were *FGFR2* c.870G>T (p.W290C; Pfeiffer syndrome) (19) in testis 16-D (Fig. 2B), and *PTPN11* c.215C>T [p.A72V; oncogenic (36), no germline cases reported] in testes 8-E and 13-G (Fig. 2A). For original data supporting these results, see *SI Appendix, Figs. S12, S7, and S9*, respectively.

For the four samples in which the triplicate intersection approach had not directly identified a mutation, we hypothesized that allelic dropout may have occurred during WGA (as observed in the proof-of-principle study). Based on the previous results, which had identified mutations only in the known PAE genes and *KRAS*, we examined the HaloPlex sequencing data for variants at known hotspots within these six genes that were present in one or two of the triplicates, and subsequently dideoxy-sequenced these candidates using non-WGA DNA. Mutations were confirmed in three of the four samples (Table 1): *PTPN11* c.181G>T [p.D61Y; oncogenic (36), no germline cases reported] in testis 9-L2C,



**Fig. 2.** Independent mutations can populate adjacent MAGEA4 immunopositive tubules, and extensive regional spread can occur. (A) Mutually exclusive *FGFR3* c.742C>T (p.R248C) (blue surround) and *PTPN11* c.215C>T (p.A72V) (red surround) mutations (\*) in neighboring tubules with similar immunopositive appearance. (B) Low magnification view showing extensive region of tubules with *FGFR3* c.1948A>G (p.K650E) mutation (\*) (blue surround). Immunopositive tubules outside this region harbor a different nucleotide substitution, *FGFR2* c.870G>T (p.W290C) (\*) (red surround). (Scale bars: 1 mm.)

*FGFR2* p.Y340C in testis 11-H, and *KRAS* p.Q61R in testis 14-D. No candidates were confirmed in testis 12-H. For original data supporting these results, see *SI Appendix, Figs. S13–S16*.

Combining the two studies, we identified 15 distinct mutational events (involving 11 different pathogenic substitutions), all of which were specific to tubules with an immunopositive appearance. To assess whether the immunopositive tubules analyzed exhibited altered cellular dynamics of spermatogenesis, they were compared with adjacent normal-staining tubules using Johnsen’s scoring criteria (39). In most cases, immunopositive tubules showed significantly impaired spermatogenesis compared with the neighboring normal tubules, irrespective of mutation status (Fig. 3 and *SI Appendix, Fig. S17*).

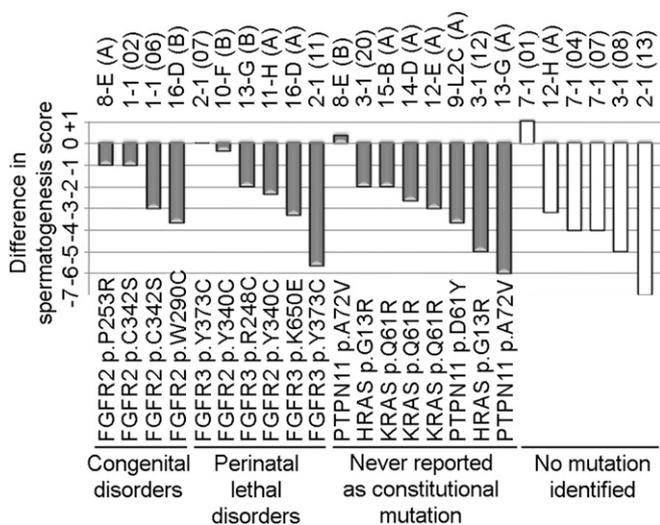
**Apparent Survival Advantage of Mutant Germ Cells in an Atrophic Testis.** In addition to the macroscopically normal testes, we analyzed a testis from a 90-y-old man (17-2E) that showed evidence of severe atrophy owing to strangulation in an inguinal hernia. Consistent with the clinical presentation, few identifiable tubules containing germ cells remained; surprisingly, however, the majority of the cross-sections had an immunopositive appearance (Fig. 4A and *SI Appendix, Fig. S18*). Ion PGM sequencing of WGA triplicates of one immunopositive cluster, targeted to 37 mutational hotspots across the five PAE genes (*SI Appendix, Table S2*), identified an *FGFR3* p.K650E mutation (22) that was validated by dideoxysequencing in all other immunopositive cross-sections (Fig. 4B).

**Discussion**

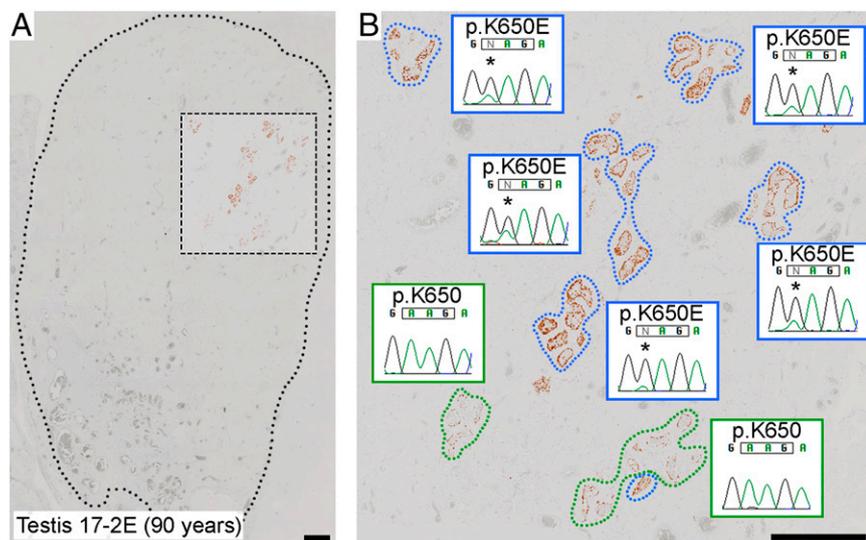
Using scalable methods, we have identified mutations at their source in testes from 14 men aged 39–90 y. Although there were variable ischemic changes in some of the testes, most were histologically normal (*SI Appendix, Table S1*). The spermatogonial origin of these mutations is indicated by the strong immunoreactivity of cells within putative clones of MAGEA4, *FGFR3*, and pAKT, antigens characteristic of premeiotic germ cells (30–33). Microdissection of putative clones, with the aim of maximizing the relative mutant DNA content of the sample (up to  $\pm 50\%$ ), enabled the simultaneous screening of  $\sim 300,000$  nucleotides in over 100 candidate genes, in comparison with only 19 nucleotides (across 6 genes) that were investigated in all previous sperm (16, 26–29) and testis piece (11–15) studies combined. This strategy, which successfully identified pathogenic mutations in 76% of the abnormal tubules sequenced, advances understanding of the pathophysiology of male-driven mutation in two key respects. First, we can document the range of mutations that are likely to be subject to

selfish selection over a broader spectrum than has hitherto been possible in a single experiment; second, we can visualize the geographical extent of mutant clones and make deductions about the functional consequences of the mutations.

In addition to detecting well-established PAE mutations in *FGFR2* (c.758C>G; p.P253R) and *FGFR3* (c.1948A>G; p.K650E) that were previously validated experimentally in sperm and/or testes (12, 26, 29), we widened the spectrum of mutations found in testes for four of the five recognized PAE genes (*FGFR2*, *FGFR3*, *HRAS*, and *PTPN11*; we found no mutations in *RET*). Although we surveyed >100 additional candidate genes, mutations were found in only one of these genes, *KRAS* [immunopositive tubules from three different testes contained the identical oncogenic c.182A>G (p.Q61R) mutation]. Like its paralog *HRAS*, *KRAS* encodes a canonical component of RAS signal transduction;



**Fig. 3.** Analysis of spermatogenesis in immunopositive tubules. The difference in Johnsen scores between paired immunopositive and adjacent normal tubules is plotted for each pair; Johnsen scores range between 1 (no seminiferous epithelium) and 10 (full spermatogenesis). In pairwise analysis, the Johnsen score is significantly lower in immunopositive than in normal-staining tubules ( $P = 5.2 \times 10^{-5}$ , Wilcoxon signed rank test).



**Fig. 4.** Retention of mutant seminiferous tubules in severely atrophic testis. (A) Low magnification view of MAGEA4-stained atrophic testis with the dotted line demarcating the testicular parenchyma. Tubules with spermatogonia (identified by brown stain) are rare and present only in the outlined box. (B) Higher magnification of boxed region, demonstrating that most of the tubular cross-sections containing spermatogonia have an immunopositive appearance (blue surround) and carry the apparently homozygous *FGFR3* c.1948A>G (p.K650E) mutation (\*). The normally stained tubules (green surround) do not carry the mutation at a detectable level. (Scale bars: 1 mm.)

although *KRAS* does not formally qualify as a PAE gene because the parental origin of *KRAS*-associated Noonan syndrome mutations (25) has not been investigated, the observation of *KRAS* mutations in immunopositive tubules implicates dysregulation of growth factor receptor-RAS signaling, the same mechanism invoked for classical PAE mutations (10).

Overall, we conclude that, although the majority of mutations identified have not previously been studied in either testes or sperm, there is close correspondence between the spectrum of mutant clones identified in situ in testes and the documented properties of PAE mutations. Here, we can visualize these expanded clones for the first time, to our knowledge. Immediate conclusions from this work are that mutant clones can readily be detected in testes from older men, that distinct clones sometimes occur in close proximity to each other (Fig. 2 and *SI Appendix*, Figs. S7, S9, and S12), and that their boundaries with normal regions of tubules can be identified (Fig. 1B). Importantly, clonal growth occurs one-dimensionally, along the highly convoluted tubules (40), reminiscent of normal spermatogonial clonal dynamics in the murine testis (41, 42) and consistent with a previous experimental study (43). The lower bounds of the length of mutant clones found in the proof-of-principle study (4.4–15.3 mm), correspond to  $1\text{--}4 \times 10^{-5}$  of the estimated total length (350–400 m) of seminiferous tubules in a human testis (44), similar to figures for mutation prevalence previously attributed to selfish spermatogonial selection (10), but much higher than the background germline mutation rate (5, 6). The majority of the mutations were present in the heterozygous state (taking into account dilution by nonmutant cells including Sertoli and extratubular cells); we propose that these mutations are necessary and sufficient to drive the clonal growth observed. In two cases, loss-of-heterozygosity of the mutation (in testes 1-1 and 17-2E) was present: these additional events may represent early stages of clonal evolution toward spermatocytic tumor (26, 35).

Activation of signaling through RAS may confer either proliferative or survival advantage to cells (33, 43); in many contexts, including selfish spermatogonial selection, it can be difficult to disentangle the contributions of these two processes. Our finding that the few remaining seminiferous tubules in the atrophic, presumed chronically ischemic, testis mostly harbored a pathogenic *FGFR3* mutation (Fig. 4) points to the contribution of a survival advantage in this case. Analogously, the presence of the *FGFR3* p.G380R mutation was proposed to account for the unusual preservation of active spermatogenesis and fertility in a patient with Klinefelter syndrome, who also had achondroplasia (45).

Although our protocol enables a wide survey of genes to be undertaken, it is striking that a majority of the 16 mutations separately identified are associated with severe cellular phenotypes, with only three of the *FGFR2* mutations expected to be compatible with long-

term survival of the offspring; the remaining mutations cause either perinatal lethal disorders (6/16) or have not previously been reported as constitutional mutations (7/16) (Table 1). The last group (including all mutations in *PTPN11*, *HRAS*, and *KRAS*) are allelic to neurocardiofaciocutaneous syndromes (25) but either encode distinct amino acid substitutions or involve mutations at different positions that are oncogenic when acquired in somatic tissue (36). Therefore these mutations may lead to early embryonic lethality; alternatively, they might be incompatible with formation of mature sperm through focal germ cell arrest (8), as supported by the significantly impaired spermatogenesis associated with immunopositive tubules (Fig. 3). The tendency to identify severe mutations likely reflects preferential isolation of tubules with strong immunoreactivity. Consistent with this interpretation, we did not detect any tubules containing the *FGFR3* c.1138G>A (p.G380R; achondroplasia) mutation, thought to represent the most frequent point mutation in the human germline (21), which is associated with mild pathway dysregulation (46) and probably a more subtle immunoreactive profile. These findings will stimulate efforts to increase the sensitivity for isolating mutations with milder functional consequences: for example, using different antibodies combined with analysis of frozen tissue to improve DNA quality. Further increases in sensitivity will be required to determine the extent to which selfish selection has a more pervasive effect on male-biased mutation in disease (10, 47).

In summary, for the first time, to our knowledge, this experimental approach traces the origin of de novo pathogenic mutations to specific germ cells of the human male, illustrating a fundamental principle in Mendelian genetics not previously described in any model organism. Recent studies have highlighted the widespread occurrence of somatically acquired mutant clones in a variety of tissues [for example blood (48, 49) and skin (50, 51)]; here, we have illustrated analogous phenomena, but in the unique context of the germline, with its implications for altering the genetic constitution of offspring.

## Materials and Methods

**Testis Samples.** Ethical approval was provided by the Oxfordshire Research Ethics Committee A (C03.076), and all patients had given informed written consent for research use of pathological samples. Archival blocks of formalin-fixed paraffin-embedded (FFPE) testes were sectioned and screened by immunohistochemistry using spermatogonial cell markers as described (34). Overall histology was recorded, and spermatogenesis in immunopositive and neighboring normal tubular cross-sections was scored, blinded to immunopositive and mutational status, using Johnsen's criteria (39).

**Immunohistochemical Screening and Microdissection.** Initially, one to two 5- $\mu$ m sections from each FFPE block were stained using a MAGEA4 antibody (which, empirically, we have found to be a robust screening marker for initial identification of candidate clones) and scanned for atypical expression (i.e., "immunopositive

status” (34). Further sections were obtained from FFPE blocks in which immunopositive tubules were detected (*SI Appendix, Table S3*), and alternate sections were immunostained with MAGEA4, FGFR3, and phospho-AKT (pAKT) antibodies or mounted on laser-capture microdissection (LCM) slides. In the proof-of-principle study (four testes), one to four neighboring cross-sections of tubules from three geographically distinct regions of the slide were isolated using a laser capture microscope (Zeiss). For the triplicate study (10 FFPE blocks obtained from nine testes), three biological replicates of tubular cross-sections from the same region were obtained from serial LCM slides. DNA extraction and WGA were performed for each sample independently. Corresponding tubules from adjacent slides were microdissected and processed without WGA for dideoxy-sequence validation. Constitutional DNA control was obtained by pooling material from three whole sections.

**Targeted Sequencing and Variant Calling.** Coding regions of 107 (proof-of-principle study) or 135 (main study) genes were captured using a HaloPlex custom design panel (Agilent Technologies) and sequenced on the HiSeq 2000 platform (Illumina). After processing reads, somatic variants were called using VarScan (52) and annotated with ANNOVAR (53). Two poorly covered regions of *FGFR3* were amplified by PCR from WGA material and sequenced with Ion PGM (Life Technologies); a similar method was used to sequence

nine mutation hotspots in DNA from an atrophic testis (17-2E). In the proof-of-principle study, common SNPs [frequency of  $\geq 0.001$  in ESP6500 (54) or 1000 Genomes Project (55)] were removed, and candidate variants were prioritized based on known disease or cancer association (36). For the triplicate study, variants called in all three replicates, but not present in matched constitutional DNA, were validated by dideoxy-sequencing. In samples without triplicate consensus calls, variants in PAE genes and *KRAS* were screened by dideoxy-sequencing. For a detailed description of the methods, see *SI Appendix, SI Text*.

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