

The adaptive immune system restrains Alzheimer's disease pathogenesis by modulating microglial function

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The innate immune system is strongly implicated in the pathogenesis of Alzheimer's disease (AD). In contrast, the role of adaptive immunity in AD remains largely unknown. However, numerous clinical trials are testing vaccination strategies for AD, suggesting that T and B cells play a pivotal role in this disease. To test the hypothesis that adaptive immunity influences AD pathogenesis, we generated an immune-deficient AD mouse model that lacks T, B, and natural killer (NK) cells. The resulting "Rag-5xfAD" mice exhibit a greater than twofold increase in β -amyloid ($A\beta$) pathology. Gene expression analysis of the brain implicates altered innate and adaptive immune pathways, including changes in cytokine/chemokine signaling and decreased Ig-mediated processes. Neuroinflammation is also greatly exacerbated in Rag-5xfAD mice as indicated by a shift in microglial phenotype, increased cytokine production, and reduced phagocytic capacity. In contrast, immune-intact 5xfAD mice exhibit elevated levels of nonamyloid reactive IgGs in association with microglia, and treatment of Rag-5xfAD mice or microglial cells with preimmune IgG enhances $A\beta$ clearance. Last, we performed bone marrow transplantation studies in Rag-5xfAD mice, revealing that replacement of these missing adaptive immune populations can dramatically reduce AD pathology. Taken together, these data strongly suggest that adaptive immune cell populations play an important role in restraining AD pathology. In contrast, depletion of B cells and their appropriate activation by T cells leads to a loss of adaptive-innate immunity cross talk and accelerated disease progression.

Alzheimer's | amyloid | inflammation | microglia | IgG

Alzheimer's disease (AD) is the leading cause of age-related neurodegeneration, affecting over 5.2 million people in the United States alone (1). Pathologically, AD is characterized by two hallmark protein aggregates, amyloid- β ($A\beta$) plaques and neurofibrillary tangles, that are accompanied by neuroinflammation, including microgliosis, elevated cytokine production, and activation of complement pathways (2–5). Initially, microglia respond to and surround plaques, degrading $A\beta$ by phagocytosis (for review, see refs. 6–8). However, chronic activation of these cells shift microglia to a more proinflammatory and less phagocytic state (9, 10). Although much of the data implicating microglia in AD has come from neuropathological investigation, recent genome-wide association studies have provided the first genetic evidence (to our knowledge) linking microglia dysfunction to AD, with the discovery of risk polymorphisms in several immune system genes: CR1, TREM2, CD33, HLA-DRB5, MS4A6A, and ABCA7 (8, 11–15).

In contrast to the field's increasing understanding of the role of innate immunity in AD, comparatively little is known about whether the adaptive immune system might also influence AD. Those studies that have examined these peripheral populations have largely focused on questions about their potential as biomarkers or their role in active $A\beta$ immunization (3, 16). However, the adaptive and innate immune systems rarely function independently of each other, and thus cross talk between peripheral and central immunity such as

cytokine and chemokine signaling likely plays an important albeit understudied role in AD. In support of this notion, two recent studies demonstrated profound effects of peripherally derived neutrophils and T-regulatory cells (Tregs) on AD pathogenesis (17, 18). Despite this exciting recent progress, many of the mechanisms and actions of other peripheral immune cell populations in AD remain unknown, and thus a great deal of additional study is needed.

Here, we show that the adaptive immune system plays an important role in limiting amyloid pathology in AD, by generating and examining a novel immune-deficient transgenic model of AD. The resulting "Rag-5xfAD" mice, which lack an adaptive immune response, exhibit dramatically increased $A\beta$ plaque load, despite already being a very aggressive model of amyloidosis. Gene ontology (GO) analysis revealed significant alterations in cytokine/chemokine signaling and microglial associated pathways that were validated at the protein level. Furthermore, peripherally derived nonamyloid reactive immunoglobulin G (IgG) appears to enter the brain and enhance microglial phagocytosis of $A\beta$ in immune-intact mice,

Significance

Neuroinflammation and activation of innate immunity are pathological hallmarks of Alzheimer's disease (AD). In contrast, very few studies have examined the impact of the adaptive immune system in AD pathogenesis. Here, we find that genetic ablation of peripheral immune cell populations significantly accelerates amyloid pathogenesis, worsens neuroinflammation, and alters microglial activation state. Critically, it appears that loss of IgG-producing B cells impairs microglial phagocytosis, thereby exacerbating amyloid deposition. Conversely, replacement of IgGs via direct injection or bone marrow transplantation reverses these effects and reduces $A\beta$ pathology. Together, these results highlight the importance of the adaptive immune system and its interactions with microglia in the pathogenesis of AD.

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whereas the loss of this protective mechanism in immune-deficient Rag-5xfAD mice appears to accelerate AD progression. Conversely, replacement of IgGs by either direct injection or bone marrow transplantation reduces A β pathology in Rag-5xfAD mice. Taken together, these studies suggest that alterations in peripheral immune function such as those that occur with age, comorbid diseases, or genetic variation could dramatically affect the development and progression of AD.

Results

Generation of an Immune-Deficient AD Mouse Model. To examine the impact of the adaptive immune system on AD pathogenesis, we backcrossed a well-established AD transgenic line, 5xfAD mice (19), onto a Rag2^{-/-}/Il2r γ ^{-/-} double-knockout background, creating mice that lacked T cells, B cells, and natural killer (NK) cells. Although NK cells are typically considered part of the innate immune system, recent studies suggest they also play important roles in adaptive immunity (20). In the process of generating these immune-deficient Rag-5xfAD and “Rag-WT” littermates, we also produced strain-matched equivalent immune-competent AD transgenic and wild-type mice termed “WT-5xfAD” and “WT-WT,” respectively (Fig. S1). Although the parental 5xfAD mice are maintained on a purebred C57Bl6 strain, the Rag2^{-/-}/Il2r γ ^{-/-} line is maintained as a C57Bl6/Bl10 hybrid. To verify that the resulting lines exhibited equivalent genetic backgrounds, we therefore examined tail DNA using a 384 mouse SNP array (Charles River Laboratories), which revealed equivalent mixtures of Bl6 and Bl10 alleles in each group.

To confirm that the resulting Rag-5xfAD and Rag-WT mice lacked B, T, and NK cells, flow cytometry was performed on splenocytes extracted from 6-mo-old mice. Analysis confirmed that all immune-deficient animals, regardless of AD transgene expression, lacked B cells, CD4 and CD8 T cells, as well as NK cells and NK T cells (Fig. S1 B–E). Given the large role of inflammation in the pathogenesis of AD, we also examined whether immune-competent animals exhibited altered proportions of these immune cells. Analysis of splenocytes by flow cytometry found that percentages of B, CD8 T, NK, and NK-T cells were all unchanged with 5xfAD transgene expression. However, there was a small but significant difference in the percentages of CD4 T cells between the WT-WT and WT-5xfAD animals (Fig. S1 B–E).

A β Levels Are Dramatically Increased in Rag-5xfAD Mice. To determine whether deletion of these peripheral immune cell populations influences AD pathogenesis, we performed a highly sensitive multiplex ELISA to quantify soluble and insoluble levels of A β within the brain. Surprisingly, and despite the use of an aggressive model of amyloidosis, we found that all A β species examined (A β 38, 40, 42) were elevated nearly twofold in Rag-5xfAD vs. WT-5xfAD half-brains (Fig. 1 A and B). Additionally, immunohistochemistry was performed and plaque volume calculated from confocal z-stack images using IMARIS image analysis software (Fig. 1 C and D). Analysis of the dentate gyrus of the hippocampus, one of the most plaque-dense areas in the 5xfAD model, revealed that Rag-5xfAD mice exhibited a highly significant ($P < 0.001$), nearly fourfold increase in total plaque volume in this region (Fig. 1E). Quantification of small-, medium-, and large-sized plaques also revealed that Rag-5xfAD animals exhibit 2.5- to 3-fold increases in the number of plaques of each size compared with WT-5xfAD ($P < 0.05$; Fig. 1F).

Increased A β Load Is Not a Result of Increased APP Expression or A β Production. Given the substantial increase in A β observed in Rag-5xfAD mice, we next sought to determine whether these findings arose from increased A β production or decreased clearance. Although autosomal-dominant AD is characterized primarily by mutations that increase production of A β or A β 42/40 ratio (21, 22), recent studies demonstrate that sporadic AD patients primarily accumulate A β as a result of impaired clearance (23–25).

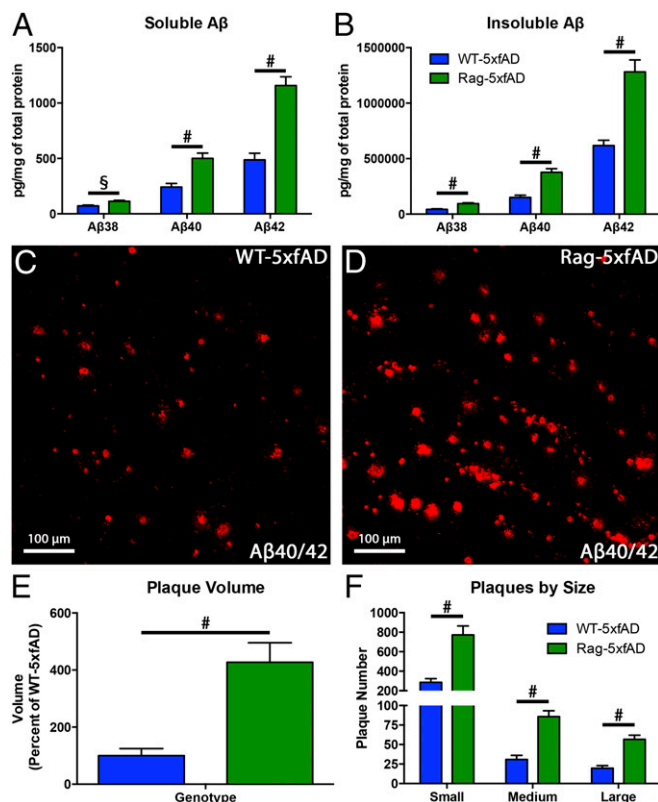


Fig. 1. A β is significantly elevated in immune-incompetent Rag-5xfAD mice. (A and B) A β multiplex ELISA reveals significant elevations in all three A β species in both soluble and insoluble brain lysates from Rag-5xfAD vs. WT-5xfAD. Representative confocal images of A β 40/42-immunoreactive plaques within the dentate gyrus demonstrates a similar robust change in plaque load between WT-5xfAD (C) and Rag-5xfAD mice (D). (E) IMARIS-based 3D quantification of A β confirms ELISA and immunohistochemical (IHC) findings, demonstrating a more than fourfold increase in dentate gyrus plaque volume in Rag-5xfAD mice (green) vs. WT-5xfAD mice (blue). (F) Stratification of dentate plaque numbers by size likewise reveals significant elevations in Rag-5xfAD mice. Data are represented as mean \pm SEM. ANOVA, $P < 0.05$, and Fisher's protected least-significant difference (PLSD) post hoc, $^{\#}P < 0.01$, $^{\#}P < 0.001$; $n \geq 8$ mice/group.

However, the 5xfAD model, as with most AD transgenic animals, includes familial AD mutations, and thus increased A β production could potentially underlie the observed changes in amyloid load. We therefore examined the protein levels of human amyloid precursor protein (APP) and Presenilin-1 (PS-1) by Western blot. Although Rag-5xfAD and WT-5xfAD mice exhibited the expected transgene-mediated increases in APP and PS-1 vs. wild-type controls, no differences in APP and PS-1 expression were detected between Rag-5xfAD and WT-5xfAD groups (Fig. S2 A–C). Furthermore, quantitative PCR (qPCR) analysis of APP processing genes found no significant changes in expression of *hAPP*, *hPSEN1*, *Adam10*, *Adam17*, *Bace1*, and *Bace2* between WT-5xfAD and Rag-5xfAD (Fig. S2D). Thus, it appears that the observed elevations in plaque load in Rag-5xfAD are not due to increased APP production and/or processing, but rather likely mediated via altered A β clearance.

GO Analysis Implicates Disrupted Cross Talk Between Adaptive and Innate Immunity. Next, we used microarrays and functional genomic analysis to provide an unbiased assessment of the pathways that are altered in immune-deficient vs. immune-intact AD and wild-type mice. Whole-brain mRNA from all four groups was compared using Affymetrix Mouse Transcriptome 1.0 array, revealing 2,552 significantly altered genes between Rag-5xfAD and WT-5xfAD groups

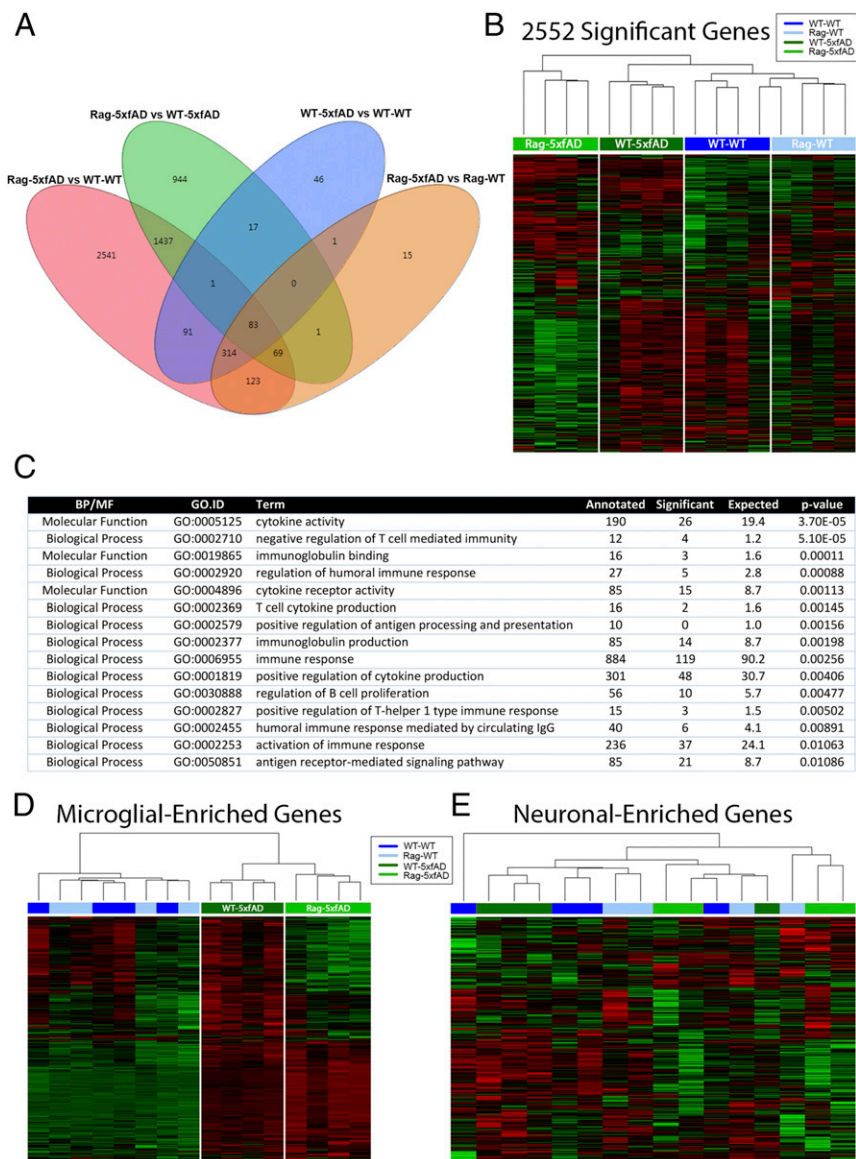


Fig. 2. Gene expression and ontology analysis reveal significant alterations in both adaptive and innate immunity and microglial-enriched genes. (A) Affymetrix gene expression analysis revealed 2,552 significantly altered genes between Rag-5xfAD (light green) and WT-5xfAD (dark green) groups. In contrast, only 553 genes were different between WT-5xfAD and WT-WT (dark blue) groups. Thus, the combination of AD transgenes and immune deficiency leads to a profound alteration in gene expression within the brain, well beyond that produced by AD pathology alone. (B) Hierarchical cluster analysis of these 2,552 differentially expressed genes confirmed that each genotype could be grouped together based on similar gene expression profiles (red, up-regulated; green, down-regulated). (C) Next, gene ontology (GO) enrichment was performed, identifying numerous examples of significantly altered pathways involving adaptive or innate immunity as well as antigen presentation and Ig binding. (D and E) Based on the GO analysis, we further examined subsets of microglial-enriched (D) or neuronal-enriched (E) genes (26) via unsupervised hierarchical clustering. This analysis again further implicated innate immune system disruption as the Rag-5xfAD and WT-5xfAD groups clustered very well via microglial-specific genes, but not via neuronal genes. In contrast, WT-WT (dark blue) and Rag-WT (light blue) groups did not cluster well together for either microglial or neuronal transcripts. $P < 0.05$ was defined as the cutoff to identify the statistical significance of enrichment analyses in C.

(Fig. 2 A and B). Interestingly, the great majority of these changes reflected decreased expression (green) of many T-cell- and B-cell-associated transcripts in Rag-5xfAD vs. WT-5xfAD mice (Fig. 2B), supporting the notion that these peripheral populations can be found within the brain. In contrast, many of the up-regulated genes (red) in Rag-5xfAD mice consisted of chemokine and cytokine signaling factors involved in recruitment of peripheral cells as well as altered microglial-enriched transcripts. Next, GO analysis was used to identify potential biological or signaling mechanisms that were significantly altered between Rag-5xfAD and WT-5xfAD brains. This analysis further implicated both adaptive and innate immunity as

changes in GO terms such as cytokine activity, Ig binding, and antigen-receptor-mediated signaling were highly significant (Fig. 2C). To determine whether changes affected microglia function and whether microglial-associated transcripts were specifically altered, we also performed hierarchical cluster analysis of microglial-enriched genes and compared these results to neuronally enriched mRNAs (Fig. 2 D and E), as identified from a recently published online RNA-seq database (26). As shown, Rag-5xfAD microglial gene expression clusters very well together but completely separate from WT-5xfAD mice. In addition, Rag-5xfAD mice exhibit profound increases (red) in the great majority of microglial transcripts

(Fig. 2D), far beyond that observed in WT-5xfAD mice compared with the WT-WT group. Interestingly, no significant changes are detected between WT-WT and Rag-WT microglial genes (Dataset S1) and these groups fail to separate by hierarchical clustering (Fig. 2D), suggesting that the impact of the adaptive immune system on microglial gene expression occurs primarily in response to AD pathology rather than an inherent developmental difference. In contrast to the interactions between AD pathology and immune deficiency on microglia gene expression, neuronal genes showed far greater variability as Rag5xfAD and WT-5xfAD groups failed to cluster together (Fig. 2E). Thus, changes in neuronal expression are far more variable and less systemic than the observed microglial alterations. Together, these analyses prompted us to further examine the role of microglia dysfunction in Rag-induced exacerbation of AD pathology.

Microglial Activation and Phagocytosis Is Altered in Rag-5xfAD

Animals. Given that our genomic analysis strongly implicated microglial dysfunction, we next sought to determine whether alterations in microglial number or morphology could explain the increased A β . To quantify microglial number and to model the branching of microglial processes, immunohistochemical and IMARIS-based 3D analysis of Iba1+ microglia was performed (Fig. 3 A–D). Upon activation by reactive stimuli, microglia typically become more amoeboid with shorter and less complex processes that can be accurately quantified via unbiased IMARIS 3D rendering (7). Confocal Z stacks were captured from the dentate gyrus by a blinded observer, and then microglial number,

process length, and branching were assessed. As expected, we found that WT-5xfAD mice exhibited substantial increases in microglial cell number vs. WT-WT mice and a corresponding reduction in microglial branching and process length, indicative of activated phenotype (Fig. 3 E–G). However, we also found that Rag-5xfAD mice exhibited an additional significant increase in microglial number and decreases in branching and process length vs. WT-5xfAD mice, suggesting that Rag-5xfAD microglia may be further or differentially activated relative to WT-5xfAD mice (Fig. 3 E–G). Interestingly, we also found that Rag-WT microglia had on average slightly longer processes and a small increase in branching vs. WT-WT microglia (Fig. 3 F and G). Thus, it appears that the loss of B, T, and NK cells can subtly modulate the phenotype of microglia within the brain in the absence of pathology.

Given the observed changes in microglial number and morphology in Rag-5xfAD mice, we reasoned that other alterations in microglial function likely occur. We therefore used a multiplex ELISA-based assay [Meso Scale Discovery (MSD) proinflammatory panel 1] to measure protein levels of several important cytokines within the brain. For each of these cytokines, we found no differences between WT-WT and Rag-WT mice (Fig. 3 H–L). In contrast, several key proinflammatory cytokines produced by microglia including interleukin 1 β (IL-1 β), interleukin 6 (IL-6), and tumor necrosis factor α (TNF α) were significantly elevated in WT-5xfAD animals compared with WT-WT mice. Furthermore, these same cytokines were even further elevated as much as twofold in Rag-5xfAD mice compared with WT-5xfAD (Fig. 3 H–J). Although levels of interferon-gamma (IFN γ) were significantly

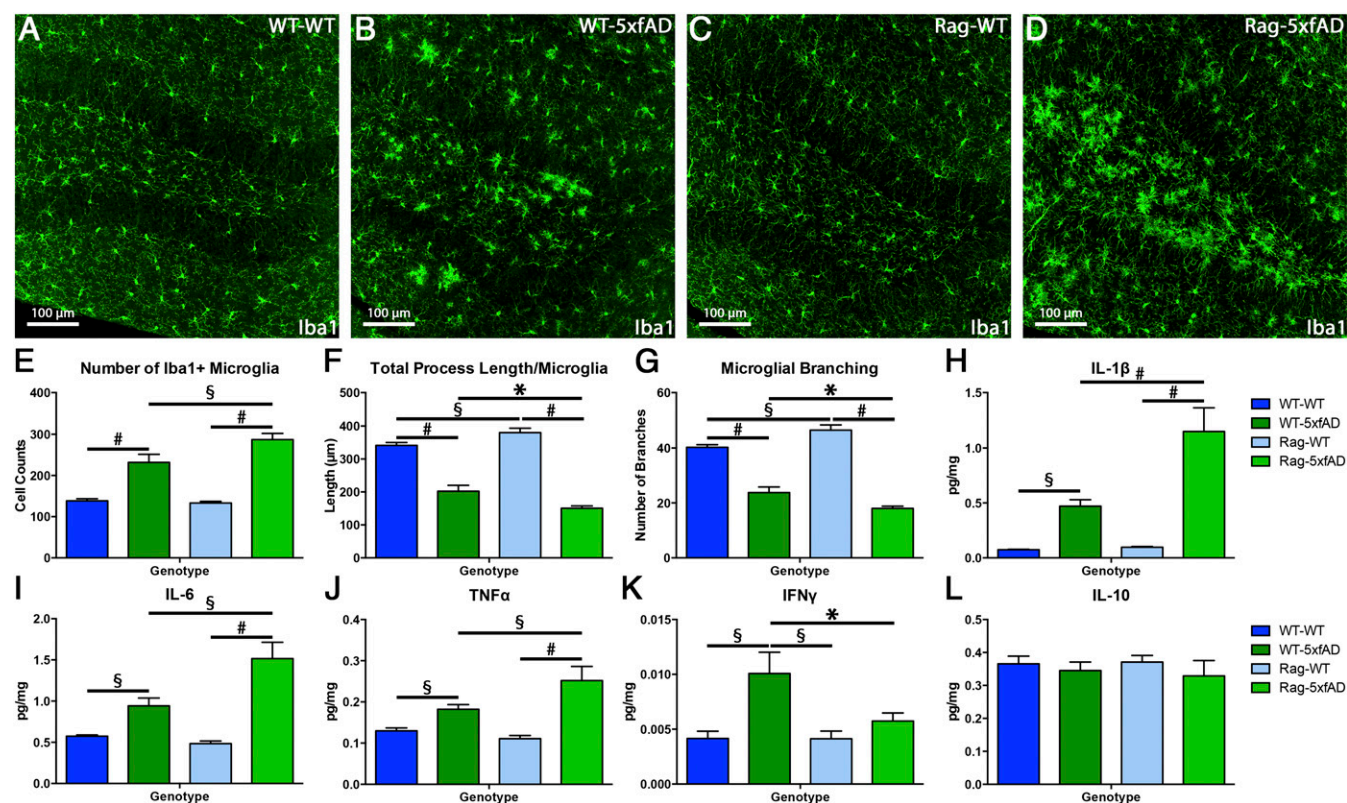


Fig. 3. Microglia morphology and brain cytokine profile are significantly altered in Rag-5xfAD mice. (A–D) Representative images of microglia (Iba1+) in the dentate gyrus of WT-WT, WT-5xfAD, Rag-WT, and Rag-5xfAD mice. (E–G) Automated analysis using IMARIS software illustrates that microglial number, total process length/microglia, and number of process branches/microglia are significantly altered by lack of adaptive immune system and AD pathology. (H–J) Results from MSD proinflammatory multiplex array reveal that Rag-5xfAD mice exhibit significant elevations in proinflammatory cytokines IL-1 β , IL-6, and TNF α within the brain vs. WT-5xfAD mice. (K) However, IFN γ levels are unchanged from basal WT levels in Rag-5xfAD mice due to lack of IFN γ -producing T cells. (L) Levels of the antiinflammatory cytokine IL-10 are unchanged regardless of immune or transgene status. Data are represented as mean \pm SEM. ANOVA, $P < 0.05$, and Fisher's PLSD post hoc, * $P < 0.05$, § $P < 0.01$, # $P < 0.001$; $n \geq 8$ animals/group.

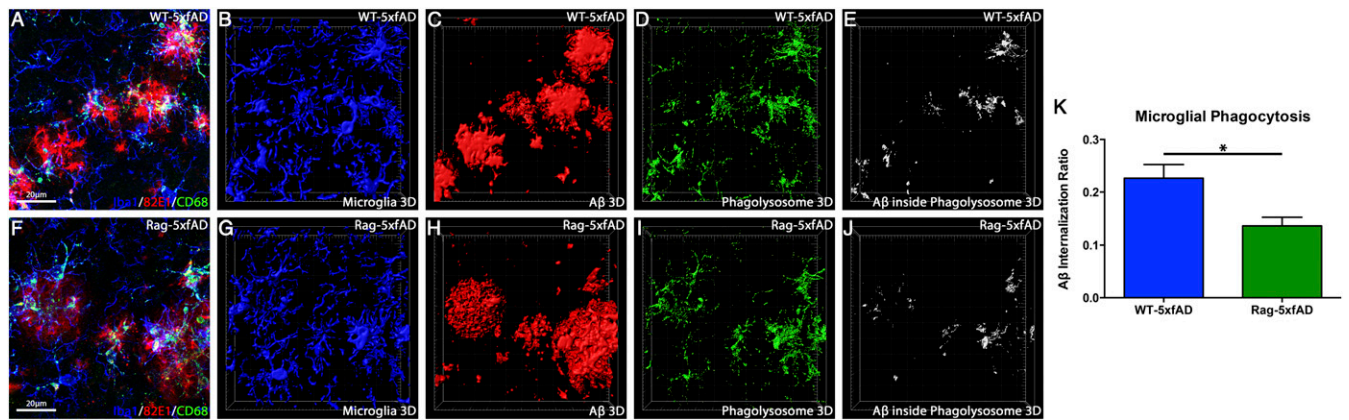


Fig. 4. Microglial phagocytosis is impaired in Rag-5xfAD mice. (A and F) Representative immunohistochemical images of microglia (Iba1), A β (82E1), and microglial phagolysosomes (CD68). (B–E and G–J) Three-dimensional reconstruction of microglia (blue; Iba1), A β (red; 82E1), phagolysosomes inside microglia (green; colocalization of CD68 and Iba1), and amyloid within phagolysosomes (white; colocalization of 82E1 and microglial phagolysosomes). (K) Calculation of the A β internalization ratio (A β within phagolysosomes, normalized to microglia number and total A β within the field) revealed a 39.6% decrease in A β internalization in Rag-5xfAD microglia compared with WT-5xfAD. Data are represented as mean \pm SEM. Student's *t* test, *P* < 0.05; *n* > 8 animals/genotype.

increased in WT-5xfAD animals compared with WT-WT, no change was observed in Rag-5xfAD animals from basal Rag-WT levels, consistent with a lack of T-cell-derived IFN γ that would be expected in Rag mice (Fig. 3K). In addition to traditional proinflammatory cytokines, two recent studies demonstrated that the antiinflammatory cytokine interleukin 10 (IL-10) negatively regulates amyloid pathology (27, 28). We therefore also examined levels of IL-10 in all four groups. However, our analysis revealed no differences in IL-10 between Rag-5xfAD and WT-5xfAD (Fig. 3L), and thus, IL-10 is likely not responsible for the observed differences in A β in this model.

As microglial gene expression, morphology, and cytokine production were different in Rag-5xfAD mice, we wondered whether microglial phagocytosis of A β might also be altered. We therefore used high-power confocal z-stack imaging with IMARIS software colocalization, surface reconstruction, and volumetric quantification to analyze A β phagocytosis (28–30). Using this approach, we quantified the proportion of A β localized to microglial phagolysosomes and detected a nearly 39.6% decrease in phagocytic efficiency (*P* = 0.016) in Rag-5xfAD microglia vs. WT-5xfAD microglia (Fig. 4).

Elevated Levels of IgG Are Found in Association with Microglia in WT-5xfAD Mice. While examining immunolabeling with various mouse monoclonal antibodies in WT-5xfAD mice, we observed an unexpected but consistent pattern of microglial labeling. We hypothesized the labeling could be endogenous mouse Ig within the brain. We therefore used an anti-mouse f(ab')₂ fluorescently labeled secondary antibody to determine whether endogenous mouse IgG might be present within the WT-5xfAD brain. Indeed, we found significant immunolabeling of mouse IgG in association with Iba1+ microglia in WT-5xfAD brains (Fig. 5 A–C). In contrast, IgG labeling was greatly diminished in WT-WT mice (Fig. 5 E–G) and absent in Rag-5xfAD and Rag-WT mice (Fig. 5 I–O). Further examination of endogenous IgG labeling also revealed a high degree of labeling in the choroid plexus of both WT-WT and WT-5xfAD mice (Fig. 5 D and H). Although the choroid plexus can often exhibit nonspecific immunoreactivity, the specificity of this IgG labeling was confirmed by the absence of staining in the Rag-WT and Rag-5xfAD mice (Fig. 5 L and P). To further examine the differences in IgG levels between WT-5xfAD and WT-WT brains, we measured total mouse IgG within the brain and plasma by ELISA. Confirming our histological findings, we detected a significant 50% increase in IgG levels in the brains of WT-5xfAD vs. WT-WT mice (Fig. 6A). However, this difference was not due to an increase in peripheral

IgG levels, as plasma IgG measurements revealed no differences between WT-WT and WT-5xfAD mice (Fig. 6B).

The observed increase in WT-5xfAD brain IgGs could be mediated by a breakdown in the blood–brain barrier (BBB), as recent studies have shown that the BBB can become disrupted in AD (31, 32). To determine whether this was the case, we used an Evans Blue permeability assay to assess BBB integrity (33) in all four genotypes. Interestingly, this experiment revealed no alterations in BBB permeability (Fig. S3A and B); thus, IgG accumulates in the brains of WT-5xfAD by an alternative mechanism that currently remains unclear.

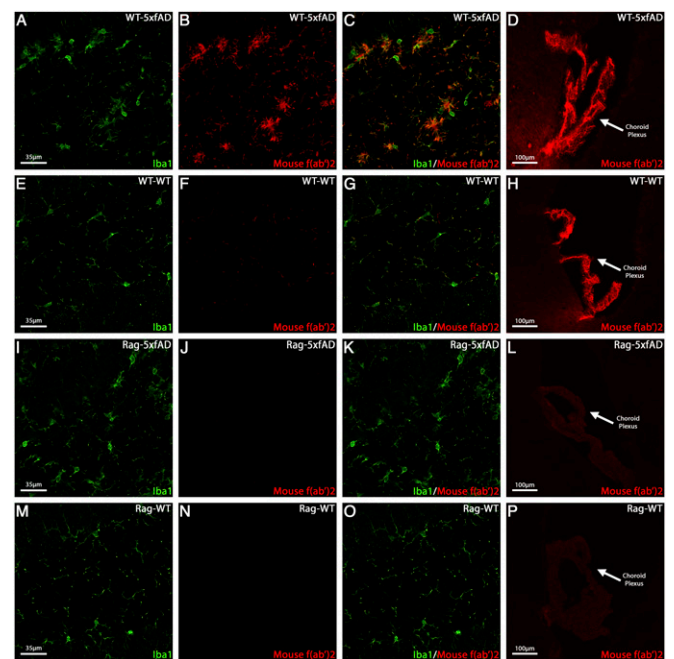
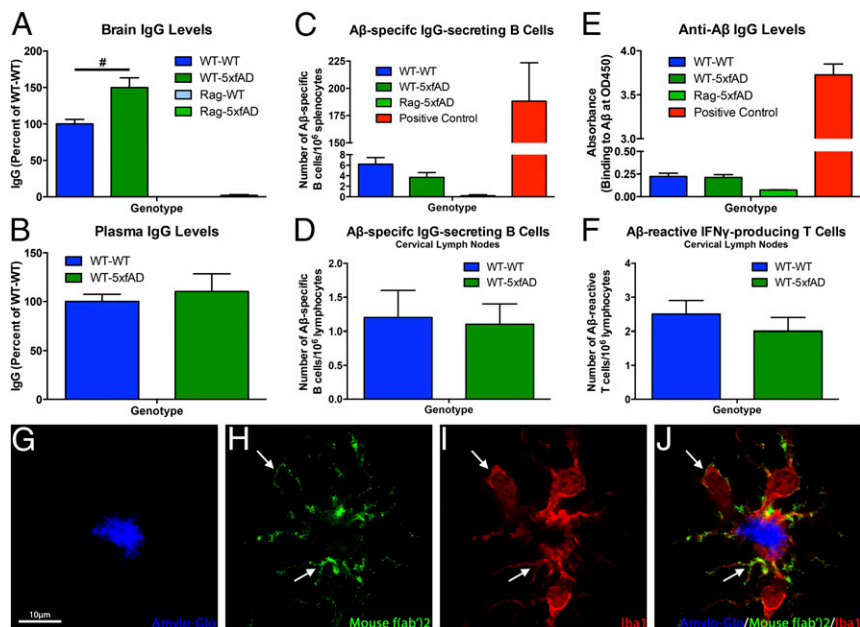


Fig. 5. Elevated levels of IgG are found in association with microglia in WT-5xfAD mice. (A–C) Representative immunohistochemical images showing that mouse IgG (red) is observed in close association with microglial membranes (green) in the brains of WT-5xfAD mice but not in WT-WT, Rag-WT, or Rag-5xfAD mice (E–G, I–K, and M–O). (D and H) The choroid plexus of WT-5xfAD and WT-WT both exhibit high levels of IgG. The specificity of the IgG labeling of choroid plexus is confirmed by the lack of labeling in Rag-5xfAD and Rag-WT mice (L and P).

Fig. 6. WT-5xfAD mice exhibit increased total brain IgG but no A β -specific antibodies or A β -reactive B/T cells. (A) ELISA of soluble brain lysates confirms that WT-5xfAD mice exhibit significantly more brain IgG (~150%) compared with WT-WT mice. Unsurprisingly, no levels of IgG are observed in Rag-WT or Rag-5xfAD mice. (B) Despite the increase in brain IgG, no differences are observed between levels of peripheral IgG in plasma detected using the same ELISA. (C–F) Several assays demonstrate a lack of specific A β -reactive cells or anti-A β antibodies in WT-5xfAD compared with WT-WT. (C) ELISpot assay of splenocytes demonstrates background levels of A β -binding B cells within the spleen of WT-WT and WT-5xfAD mice; $n = 5$ animals/group. However, positive control mice receiving an active A β immunogen exhibit a very strong anti-A β B-cell response. (D and F) Analysis of lymphocytes isolated from the cervical lymph nodes (pooled deep and superficial) again find no difference in number of reactive cells between WT-WT and WT-5xfAD mice and that overall numbers of reactive cells are less than observed in splenocytes samples; $n = 10$ animals/group. (E) ELISA of sera collected from WT-WT, WT-5xfAD, and Rag-5xfAD mice demonstrates that equivalent background levels of A β -binding antibodies are detected in both WT-WT and WT-5xfAD mice, well below levels detected in mice receiving active A β immunization. (G–J) High-magnification confocal images further demonstrate a lack of IgG labeling of A β plaques, whereas surrounding Iba1+ microglial processes colocalize with IgG. All data are represented as mean \pm SEM. ANOVA, $P < 0.05$, and Fisher's PLSD post hoc, $^{\#}P < 0.001$.



WT-5xfAD Mice Lack A β -Specific B Cells and Anti-A β IgGs. To determine whether these endogenous IgGs were directed against A β , we collected sera from WT-WT, WT-5xfAD, and Rag-5xfAD mice and measured anti-A β antibody titers using a well-validated ELISA (34, 35). Despite testing extremely undiluted samples of sera, we found no differences between WT-WT and WT-5xfAD in levels of anti-A β IgG antibodies (Fig. 6E). In contrast, highly diluted samples taken from positive control mice that had been actively immunized against A β (red bar) exhibited a robust signal in this assay (Fig. 6E). Thus, neither WT-WT nor WT-5xfAD mice exhibit induction of anti-A β antibodies. To further confirm these results, we used a sensitive ELISpot assay to quantify numbers of anti-A β IgG producing B cells within the spleen. Using Rag-5xfAD mice that lack B cells as a negative control and mice actively immunized with A β peptide as a positive control, we detected no differences in anti-A β IgG producing B cells between WT-WT and WT-5xfAD splenocytes (Fig. 6C). As the immune response to a brain-localized antigen would be expected to be increased within the cervical lymph nodes, we next repeated this assay using cells extracted from the deep and superficial cervical lymph nodes. Analysis again revealed no differences between WT-WT and WT-5xfAD mice (Fig. 6D). We also performed an assay to determine whether WT-5xfAD mice exhibited a specific T-cell response to A β as indicated by IFN γ production following A β exposure. Similar to the B-cell ELISpot, this assay again revealed no differences in the reactivity of T cells to A β between genotypes (Fig. 6F). Last, further examination of brain IgG localization by high-magnification confocal microscopy confirmed that WT-5xfAD IgGs do not directly associate with amyloid plaques (Fig. 6 G–J) and instead colocalize with Iba1+ microglia, again suggesting that these brain-localized antibodies are not A β specific.

Preimmune Mouse IgG Induces Microglial Phagocytosis of A β . To further confirm the importance of brain-localized IgG in restraining A β pathology, we used Image Streamer flow cytometry to accurately quantify the effects of preimmune IgGs, purified from the sera of wild-type unimmunized mice, on microglial A β phagocytosis. Unlike standard flow cytometry, the Amnis Image Streamer system captures 256 fluorescent and phase-contrast images of cells as they pass through the system. On-board computer algorithms then cal-

culate whether a given fluorescent signal is localized within the cell as opposed to being stuck to the outside membrane (Fig. 7A). Using this system, we examined whether the presence of preimmune mouse IgG could induce the phagocytosis of fibrillar fluorescently labeled A β by BV2 microglial cells. Cells were cultured in serum-free media and treated overnight with or without IL-1 β to further mimic the proinflammatory state of the AD brain. Ten hours later, cells were exposed to fluorescently labeled fibrillized A β with or without preimmune IgGs for 1 h and then examined using the Image Streamer system. Interestingly, whereas relatively few cells phagocytosed A β following treatment with PBS/PBS, treatment with preimmune IgG induced a sixfold increase in the number of cells with internalized A β (Fig. 7A–C). Furthermore, of those cells that did actively phagocytose A β , ones treated with IgG showed a twofold increase in the amount of A β phagocytosed as measured by mean fluorescence intensity within the cell (Fig. 7D). IL-1 β /PBS pretreatment did not significantly change the amount of phagocytosis compared with PBS/PBS treatment. However, combined treatment of IL-1 β /IgG significantly reduced the number of cells that phagocytosed A β vs. IgG alone, but slightly increased the amount of A β within those cells (Fig. 7C–E), consistent with the known effects of IL-1 β on microglial activation state and phagocytosis (36).

IgG Induces A β Phagocytosis via a Src/Syk/PI3K Signal Transduction Pathway. Next, we performed an additional in vitro experiment to determine the mechanism by which preimmune IgG activates phagocytic machinery to enhance A β clearance. IgG binding to Fc receptors can activate a signal transduction cascade that leads to membrane remodeling, actin reorganization, and the formation of a phagosome (reviewed in ref. 37). In macrophages and monocytes, this signaling pathway is initiated via phosphorylation of immunoreceptor tyrosine-based activation motifs (ITAMs) by enzymes of the Src tyrosine-kinase family and the subsequent docking and activation of spleen tyrosine kinase (Syk). This in turn activates Phosphatidylinositol 3-kinase (PI3K) and other downstream signaling pathways to induce phagosome formation. To determine whether IgG treatment of BV2 microglial cells activates this same canonical pathway, we pretreated BV2 cells with inhibitors of Src/Syk or PI3K and then exposed them to fluorescent A β and IgG for 30 min. Cells were then washed and fixed, and fluorescent A β in-

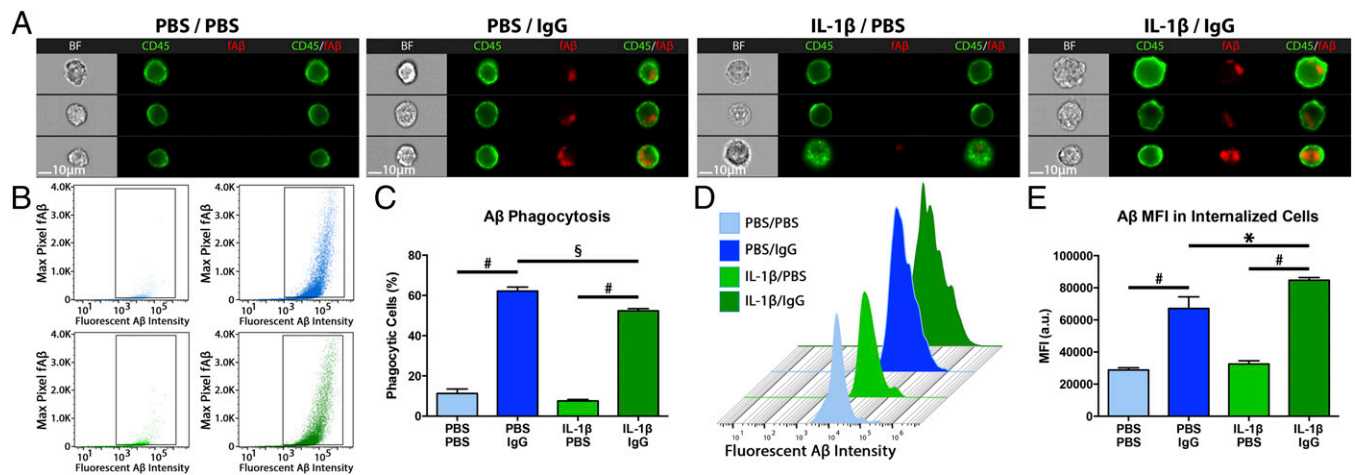


Fig. 7. Preimmune IgG is sufficient to induce robust A β phagocytosis. (A) Representative bright-field, and fluorescent images from Amnis Imagestreamer flow cytometry of fibrillar A β phagocytosis within BV2 microglial cells. (B) Raw data from phagocytosis flow cytometry demonstrating the gating of cells with internalized fluorescent fA β (boxed regions). (C) Analysis of live BV2 cells with internalized A β demonstrates that addition of preimmune IgG induces a nearly sixfold increase in the percentage of cells that have phagocytosed A β . (D) Histogram of intensity of fluorescent A β only within cells that have internalized A β . (E) Amount of A β internalized within phagocytic cells illustrates that pretreatment with IgG increases the amount of amyloid internalized by over twofold on average. Interestingly, pretreatment of cells with IL-1 β significantly reduces the number of phagocytic cells, but increases the amount of A β they engulf. Data are represented as mean \pm SEM. ANOVA, $P < 0.05$, and Fisher's PLSD post hoc, * $P < 0.05$, § $P < 0.01$, # $P < 0.001$; $n = 6$ wells/group.

ternalization was imaged and quantified by a blinded observer. As before, we again found that treatment with preimmune IgGs dramatically increases A β phagocytosis (Fig. S4). In contrast, pretreatment with a selective inhibitor of Src and Syk tyrosine kinases [3,4-methylenedioxy- β -nitrostyrene (MNS)] or the PI3K inhibitor wortmannin, completely abrogated A β phagocytosis (Fig. S4). Thus, it appears that IgG-induced uptake of A β by microglial cells involves activation of Src/Syk/PI3K phagocytosis signal transduction pathways.

Preimmune Mouse IgG Reduces A β Plaque Load in Vivo. Consistent with our in vitro analysis, previous studies have demonstrated that injection of preimmune IgG into the brain of a different transgenic AD model can promote A β clearance (38). To determine whether a similar approach can reduce A β in a mouse model that lacks B, T, and NK cells, we replicated this design by stereotactically injecting preimmune IgG (2 μ L of 1 mg/mL) into one hippocampus and PBS into the contralateral hippocampus of Rag-5xfAD mice. Seven days later, mice were killed, and amyloid burden was assessed, revealing a significant reduction in A β plaque volume by 50.9% ($P = 0.01$) on the IgG-injected side (Fig. S5 A–C). Further subanalysis demonstrated that IgG delivery also significantly reduced the number of large plaques ($P = 0.01$; Fig. S5D).

Bone Marrow Adoptive Transfer Reduces A β Pathology in Rag-5xfAD Mice. To determine whether replacing the missing adaptive immune cell populations in the periphery could also reverse the effects of immune deficiency on AD pathology, adoptive transfer of whole bone marrow was performed. At 2 mo of age, Rag-5xfAD mice received an injection of either 500,000 whole bone marrow cells from age- and sex-matched immune-intact WT-5xfAD mice or an equivalent injection of vehicle into the retroorbital venous sinus. Mice were allowed to age normally for 4 mo, and then brains were processed for analysis. Successful engraftment was confirmed by flow-cytometric analysis of splenocytes at the time of killing (Fig. S6 A and B). Next, using both biochemical and histological endpoints, we assessed the impact of bone marrow transplantation on A β pathology. Immunohistochemical analysis of hippocampal amyloid burden revealed a significant 47.4% reduction in plaque volume in mice that received bone marrow transplants ($P < 0.05$; Fig. 8 A–C). Subanalysis of plaque load demonstrated that numbers

of both medium and large plaques were significantly reduced with bone marrow transplantation ($P < 0.05$) and numbers of small plaques were almost significantly decreased ($P = 0.056$) (Fig. 8D). To confirm our immunohistochemical analysis, we followed up with ELISA measurement of A β and detected a significant reduction in A β 40 and nearly a significant reduction in A β 38 ($P = 0.058$) (Fig. 8E). To determine whether endogenous mouse IgG was present in the brain following bone marrow engraftment, we also examined mouse IgG levels by ELISA and immunohistochemistry. These analyses confirmed that replacement of peripheral immune cells could elevate levels of IgG within the brain (Fig. 8F) and likewise led to the characteristic colabeling of microglia with endogenous mouse IgG (Fig. 8 G–N). Taken together, these data strongly implicate adaptive immunity, the production of IgGs, and cross talk between peripheral and central immune systems as being critical for regulating and restraining the development of AD pathology.

Discussion

Although the role of the brain's innate immune cells, microglia, in AD has been extensively examined, the influence of peripheral immune cell populations in this disease has been underexplored. In the current study, we sought to address this by creating a novel immune-deficient model of AD. The resulting Rag-5xfAD mice lack B, T, and NK cells and develop aggressive AD-like neuropathology. Most interestingly, we find that deletion of these peripheral immune populations leads to a greater than twofold increase in amyloid burden. Similar to sporadic AD patients, our data reveal that the increase in pathology is not driven by altered A β production, but likely via impaired clearance (23–25). To better understand precisely how A β clearance is decreased in Rag-5xfAD mice, we used unbiased GO analysis, which implicated microglia function and changes in both adaptive and innate immunity.

The detection of IgGs within the brains of immune-intact AD transgenics suggested that antibody-mediated clearance mechanisms may be involved. Interestingly, we find that, although immune-intact 5xfAD mice exhibit IgGs within their brain, these antibodies and the B cells that produce them are not specific for A β . Rather, our data reveal that non-A β -specific antibodies can significantly enhance the phagocytosis of A β fibrils by activating Src/Syk/PI3K phagocytic signaling pathways. Furthermore, delivery of IgG to Rag-5xfAD

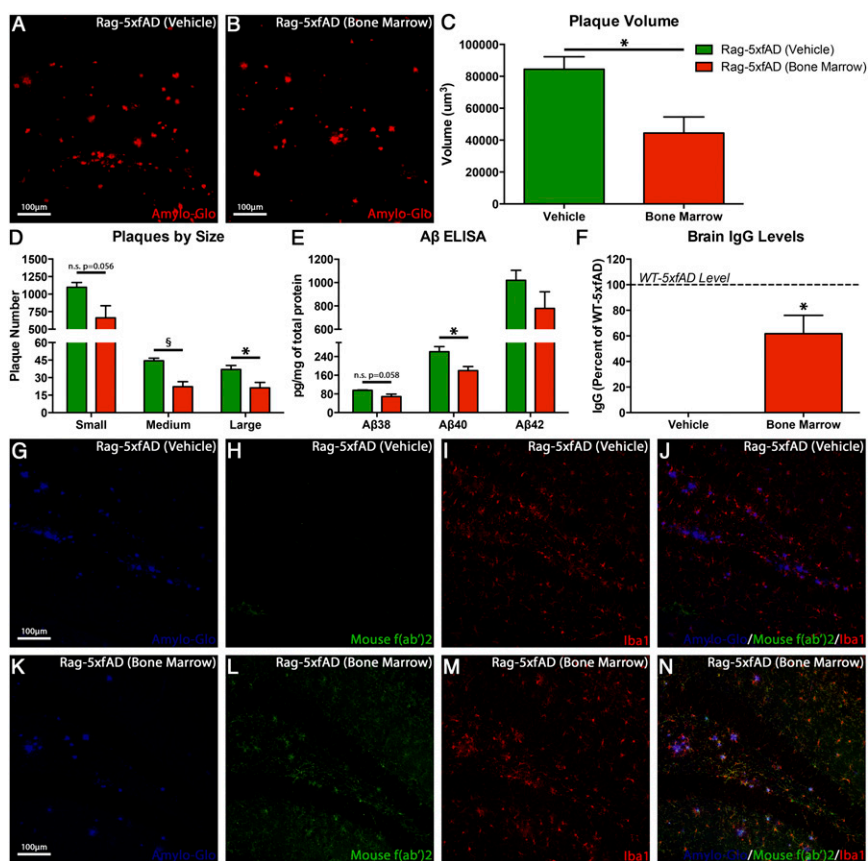


Fig. 8. Bone marrow transplantation reduces amyloid in Rag-5xfAD mice. (A and B) Representative immunohistochemical images demonstrate a significant reduction in amyloid burden in the dentate gyrus of animals receiving bone marrow (B) compared with those receiving vehicle injections (A). (C and D) IMARIS-based 3D quantification of A β confirms a reduction in both total A β volume and the numbers of medium and small plaques ($P < 0.05$). The number of small plaques trended lower in bone marrow-treated animals ($P = 0.056$). (E) Multiplex ELISA confirmed the IHC findings as A β 40 was significantly reduced, and A β 38 and A β 42 trended down as well. (F) ELISA analysis of brain IgG levels demonstrated elevated levels in mice receiving bone marrow, although not quite at the same levels as WT-5xfAD mice. (G–N) Importantly, bone marrow-treated Rag-5xfAD mice also exhibit similar and extensive immunohistochemical labeling of microglia with IgG, as described above in WT-5xfAD mice (Fig. 5). Unsurprisingly, this labeling was absent in mice receiving vehicle injections. Data are represented as mean \pm SEM. ANOVA, $P < 0.05$, and Fisher's PLSD post hoc, * $P < 0.05$, $^{\#}P < 0.01$; N , 4–5 per group.

mice by either direct stereotactic injection or peripherally via bone marrow transplantation leads to a corresponding decrease in A β .

Previous reports have implicated a role for non-A β -specific antibodies in A β clearance. For example, Gammagard, a formulation of human immunoglobulins, has been tested in both AD models and patients (39, 40). In mouse models, these studies have shown that IgGs can reduce A β levels especially when directly injected into the brain (38, 41), and our own data have confirmed these findings. However, despite promising phase II results, a phase III clinical trial of Gammagard in mild-to-moderate AD patients failed to improve cognition. However, Gammagard did significantly reduce A β levels and slow cognitive decline in a preplanned subgroup analysis of patients carrying the ApoE4 risk allele (39, 40). Further support for the potential influence of peripheral IgG in the clearance of A β pathology has recently been suggested by a group that used transcranial focused ultrasound to transiently open the BBB. Following ultrasound, this group observed a significant reduction in amyloid pathology, which they suggested might be due to increased filtration of endogenous IgG into the brain (42, 43).

Although the lack of cognitive improvement in the Gammagard trials is discouraging, there is a growing consensus that AD will likely have to be tackled during the prodromal phase with primary or secondary prevention trials, as even A β -targeting antibodies have failed to improve cognition in phase III trials (44, 45). It is therefore quite possible that Gammagard or other IgG formulations, perhaps combined with transient permeabilization of the BBB through focused ultrasound, might provide increased benefit if tested in prodromal AD.

One disease that dramatically affects the adaptive immune system is HIV/AIDS. Before the advent of antiretroviral therapies, dementia was a common cause of morbidity in HIV, affecting up to 50% of patients (46). Furthermore, A β accumulation within the brain and changes in cerebrospinal fluid A β levels that mimic AD

are observed in these patients and correlate well with cognitive dysfunction (47, 48). Although HIV primarily targets CD4 T-helper populations, these cells play a critical role in the induction of Ig class switching by B cells and the maturation of B cells into IgG-producing plasma cells (49). It is therefore interesting to speculate that, as in our immune-deficient AD mouse model, immunodeficiency in humans might likewise impair the clearance of A β from the brain.

An important question is how the current data fit with a recent study examining the effects of Tregs on AD pathogenesis (17). Tregs normally serve to suppress the systemic immune system and protect against autoimmune disease (50). However, in AD mouse models, it appears that this immunosuppressive activity may be detrimental, impairing the ability of the adaptive immune system to respond to and restrain A β pathology. When Tregs are depleted for example, A β pathology decreased dramatically, whereas compounds that promote Treg differentiation and function exacerbate pathology (17). In comparison, Rag-5xfAD mice lack not only Tregs but also many other components of the adaptive immune system including CD4 and CD8 T cells, B cells, and plasma cells. These other components are many of the target cells that Tregs serve to restrain; thus, deletion of all of these populations in Rag-5xfAD mice produces an effect that is very similar to the effect produced by promoting Treg function. It therefore appears that the current study and this recent report on Tregs support a similar conclusion about the importance of peripheral leukocyte populations in restraining AD pathology.

It is important to acknowledge some potential caveats regarding the interpretation of the current study. Perhaps the most important is that the Rag-5xfAD mice may exhibit some inherent developmental differences in microglial function. However, no changes in microglial number, cytokine levels, and gene expression profiles between WT-WT and Rag-WT mice were observed. Only a small but significant difference in microglial morphology between these

two groups exists (Figs. 2D and 3F and G, and Dataset S1). Together, these data suggest that, if any potential developmental effects of adaptive immune system ablation on microglial function are present, they are subtle and only manifest in an environment necessitating adaptive-innate immunity cross talk such as in response to a robust insult such as A β accumulation.

Another interesting question that remains is precisely how IgG levels become elevated in WT-5xfAD brains. Only 0.1% of circulating IgGs reach the brain in wild-type mice by passive diffusion (51, 52). Because no apparent increase in BBB permeability was detected in 5xfAD mice, other routes for IgG entry may be important. Recent evidence has implicated the choroid plexus as a gateway for immune signals reaching the brain (53, 54), and in support of this hypothesis, high levels of IgG were present within the choroid plexus of immune-intact mice. Emerging evidence implicates choroid plexus dysfunction in AD (55, 56). Thus, it is possible that the choroid plexus regulates the influx of IgG into the brain. Alternatively, increased association with microglial Fc receptors or decreased clearance of IgGs from the brain might also play a role in the observed IgG elevations (57, 58).

Taken together, our data reveal a significant and previously unidentified role for the adaptive immune system in AD pathogenesis. Not only does the loss of B, T, and NK cells substantially accelerate amyloid pathogenesis, but it also exacerbates the neuroinflammatory phenotype of microglia while decreasing phagocytic activity. One mechanism by which these peripheral cell populations exert their effect is through the production of IgGs. Our data confirm that IgG alone is sufficient to increase phagocytosis *in vitro* and that delivery of IgG directly to the brains of Rag-5xfAD mice or into circulation via adoptive transfer can induce plaque clearance. Our study therefore adds to a growing area of research that highlights the importance of the peripheral immune system in CNS function and AD (17, 18), and demonstrates the need to better understand how these peripheral cell populations act in concert with microglia to influence the CNS in both normal and diseased conditions.

Materials and Methods

Animals. All animal procedures were performed in strict accordance to the guidelines of the National Institutes of Health and University of California Institutional Animal Care and Use Committee. The Rag-5xfAD immune-deficient AD mouse model was created by backcrossing 5xfAD transgenic mice onto a Rag2/Il2 γ double-knockout background. Briefly, 5xfAD mice (MMRRC strain: 034848-JAX) express two cointegrated and coinherit transgenes (APP and PS-1). The APP transgene includes three familial AD mutations (Swedish, Florida, London), and the PS-1 transgene includes two mutations (M146L and L286V) (19). Purebred C57Bl6 5xfAD mice were crossed with Rag2/Il2 γ double-knockout mice (Taconic; 4111) (59), followed by repeated littermate crosses from each generation (Fig. S1A), to create mice that are heterozygous for the APP/PS-1 transgenes and lack both copies of the Rag2 and Il2 γ genes (Il2 γ $-/-$ in males). We also simultaneously generated strain-matched immune-deficient mice wild type for the AD transgenes hereafter referred to as Rag-WT, as well as strain-matched immune-competent AD and WT mice referred to as WT-5xfAD and WT-WT, respectively. Sex- and age-matched mice were used, and all animals were group housed on a 12-h/12-h light/dark cycle with access to food and water *ad libitum*.

Immunohistochemistry. Fluorescent immunohistochemical analysis followed previously described established protocols (60). Primary antibodies used for immunohistochemical analysis included the following: Iba1 (Wako), Iba1 (Abcam), CD68 (Abcam), A β 40 (Life Technologies), A β 42 (Life Technologies), 82E1 (IBL America), GFAP (Millipore), f(ab')₂ fragment of goat anti-mouse IgG directly conjugated to Alexa Fluor 488 or 555 (Life Technologies). Sections were incubated in primary antibodies overnight followed by detection with appropriate Alexa Fluor-conjugated secondary antibody (Life Technologies) and coverslipped using Fluoromount-G with or without DAPI (Southern Biotech). Fibrillar amyloid was visualized using Amylo-Glo (Biosensis) diluted 1:100 in 0.01 M PBS (61).

Confocal Microscopy and Quantitative Analysis. Immunofluorescent sections were visualized, and images were captured using an Olympus FX1200 confocal microscope. A β plaques were quantified using the Surfaces function followed by

volume analysis in IMARIS software (Bitplane). IMARIS-based quantification of microglial number, morphology, and A β phagocytosis followed previously described methods using the Colocalization and Surfaces functions (28–30, 33). To calculate A β internalization ratio, the volume of A β within CD68⁺ phagolysosomes was normalized to microglia number and total A β volume within the field. All images were captured and analyzed by a blind observer using coded slides.

Affymetrix Transcriptome Array. mRNA was extracted from frozen half-brains using RNA Plus Universal Mini Kit (Qiagen), and sample purity and concentration were verified by Bioanalyzer (Agilent). Affymetrix GeneChip Mouse Transcriptome 1.0 arrays were then used to collect global transcriptional profiles in 16 samples (4 per group) by the University of California, Irvine (UCI) Genomics High-Throughput Facility. Background correction and normalization of raw data were conducted via robust multiarray analysis algorithm implemented in Bioconductor package “oligo 1.32.” All samples passed quality control analysis. Additionally, array features were filtered with Bioconductor packages “genefilter 1.50” and “mta10sttranscriptcluster.db 8.3.1” for Entrez IDs resulting in ~24,600 genes for further analysis.

Unsupervised Hierarchical Clustering, Functional Enrichment, and GO. Unsupervised hierarchical clustering (R packages flashClust 1.01-2 and gplots 2.17) were performed on subsets of genes that have previously been shown to be enriched in microglia or neurons (26). Differentially expressed genes (DEGs) were determined between all contrasts of genotypes, and multiple testing was done with Benjamini–Hochberg method to obtain the corresponding $|\log_{10}FC|$ and P value implemented in Bioconductor package “limma 3.24.25.” Genes with adjusted P value of <0.01 were used for constructing Venn diagram (JMP Pro-11.2 software) and GO analyses. Bioconductor packages “topGO 20.2,” “GO.db 3.1.2,” and “gage 2.18” were used to perform GO enrichment for the DEGs found significant in Rag5xfAD vs. WT5xfAD contrast. $P < 0.05$ was defined as the cutoff to identify the statistical significance of enrichment analyses.

IgG and B-Cell/T-Cell Reactivity by ELISA and ELISPOT. Blood was collected from retroorbital sinus, and titers of anti-A β antibodies in mouse sera were determined by ELISA as previously described (34, 35). Spleens, deep cervical lymph nodes (DCLNs), and superficial cervical lymph nodes (SCLNs) were collected, and antibody-forming B cells specific to A β were detected in splenocytes and pooled DCLN/SCLN lymphocytes by ELISPOT (Mabtech). Splenocytes or lymphocytes were incubated for 24 h in 96-well plates coated with A β peptide, and the assay was performed following manufacturer's protocol (Mabtech). Sera and splenocytes were also collected from mice immunized with A β as a positive control (34, 35). Analysis of IFN γ production by T cells was performed in DCLN/SCLN lymphocytes by ELISPOT assay (BD Biosciences). Cultures of lymph node cells were restimulated *in vitro* with soluble A β (10 μ g/mL) for 20 h. The numbers of spot-forming cells per 10⁶ lymph node cells stimulated with A β were then counted.

In Vitro Phagocytosis Assay. Phagocytosis was assessed following previous methods with some modifications (36, 62). First, BV2 cells (63) (3.0 \times 10⁵ cells per well) were exposed to either proinflammatory cytokine IL-1 β (20 ng/mL; Thermo Fisher Scientific) or 0.1% BSA in PBS for 10–12 h. After IL-1 β or PBS preexposure, the cells were changed to serum-free DMEM and then exposed to fA β _{1–42} (10 μ g/mL) with murine IgG (0.5 mg/mL; Jackson ImmunoResearch Laboratories) or PBS for 1 h at 37 $^{\circ}$ C. Next, the cells were washed three times with prewarmed PBS to remove unassociated fA β _{1–42}. Cold PBS was added to wells, and the cells were collected, centrifuged (5 min, 600 \times g), washed in PBS, and suspended in flow cytometer buffer (PBS with 0.1% BSA and 0.5 mM EDTA) and placed on ice. BV2 cells were incubated with rat anti-CD16/32 Fc receptor block (2 mg/mL; BD Biosciences) for 5 min at 4 $^{\circ}$ C. Cells were then stained with anti-CD45-APC clone 30-F11 (Tonbo Biosciences) at 1:200 in flow cytometer buffer. Samples were then analyzed using Amnis Imagemaster[®] Mark II Imaging Flow Cytometer (Millipore). fA β _{1–42} phagocytosis was analyzed using the IDEAS software onboard Internalization Wizard algorithm. Figures represent single cells that internalized fA β _{1–42} from each group ($n = 6$) with mean and SE reported.

Bone Marrow Adoptive Transfer. Age- and sex-matched immune intact 5xfAD mice served as donors for bone marrow adoptive transfer. Donor mice were killed by CO₂ asphyxiation, femurs were removed, and whole bone marrow was harvested by flushing the marrow contents with PBS. Marrow was then treated with ammonium chloride–potassium buffer to lyse red blood cells, filtered through a 70- μ m nylon mesh, and cell numbers were counted by hemocytometer. Each recipient ($n = 4$ –5 females/group) was anesthetized with isoflurane before receiving 500,000 live cells in 100 μ L or

equivalent volume of PBS via retroorbital injection. To confirm engraftment, at the time of killing, splenocytes were collected and analyzed for the presence of B, T, and NK cells by flow cytometry as described in *SI Materials and Methods*.

SI Materials and Methods. Detailed descriptions of all other standard experimental procedures, including tissue processing, flow cytometry, Western blot, ELISA, Evans Blue assay, qPCR, cell culture, A β preparation, phagocytosis inhibition, intracranial IgG injection, and statistical analysis, can be found in *SI Materials and Methods*.

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