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Nonalcoholic fatty liver: Optimizing pre-transplant selection and post-transplant care to maximize survival

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Abstract

Purpose of the review—Nonalcoholic steatohepatitis (NASH) is projected to become the most common indication for liver transplantation in the near future. NASH recipients have concurrent obesity, metabolic and cardiovascular risks, which directly impact patient selection, post-transplant morbidity and potentially long term outcomes. The purpose of this review is to highlight strategies to optimize pre-transplant selection, outcomes, and post-transplant risk modification to optimize patient and graft survival.

Recent findings—NASH recipients are at risk for pre-transplant cardiovascular disease, diabetes mellitus, and related reno-vascular complications. Stringent selection criteria identify those patients most likely to benefit from liver transplantation without adverse cardiovascular events, yet, the incidence of these events remains high in NASH recipients. High body mass index imparts post-operative morbidity due to infections, wound complications, and longer lengths of hospital stay. Aggressive management of modifiable risk factors such as obesity, hyperlipidemia, diabetes mellitus and hypertension is recommended.

Summary—Though patient and graft survival in NASH recipients is excellent, long term reduction in health care utilization and outcomes in these patients would benefit from risk factor modification. Periodic reassessment of coronary artery disease and early consideration of bariatric surgery is recommended in this population.

Keywords

Metabolic syndrome; liver transplantation; cardiovascular disease

INTRODUCTION

Non-alcoholic steatohepatitis (NASH) is an increasing indication for liver transplantation (LT) (1) and is projected to become the most frequent indication in the coming decades (2). NASH is associated with metabolic syndrome and other comorbidities that may impact liver transplant candidacy and post-transplant outcomes. At this time based on large database

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reviews, patients with NASH can anticipate similar graft and patient survival as other transplant recipients (1, 3). This review will address pre-LT issues specific to NASH as well as review the risk for recurrent disease and comorbidities on the long term outcome of the NASH recipient.

PRE-TRANSPLANT CONSIDERATIONS in NASH RECIPIENTS

The selection process for LT candidates is based on two important criteria: i) ability to withstand the complex surgery without major subsequent morbidity and ii) benefit from LT. Age, severity of liver disease, coronary artery disease (CAD), diabetes mellitus (DM), obesity and renal failure are individual risk predictors of poor postoperative and late outcomes. Among liver transplant candidates, patients with NASH represent a particularly challenging group because they are most likely to have these risk factors, which may contribute in both an independent and additive manner to patient selection and outcomes after LT.

Cardiovascular risk assessment

Multiple studies have demonstrated that nonalcoholic fatty liver disease (NAFLD) is associated with increased risk for cardiovascular events (4–8). NAFLD has been associated with increased intima-media thickness and plaque (4), arterial stiffness (5), coronary artery calcifications (6), CAD (9), subclinical myocardial remodeling, ventricular dysfunction (10), risk of atrial fibrillation (7) and heart valve calcifications (8). Whether the increased cardiovascular (CV) risk is directly attributed to NAFLD traits (such as simple steatosis, hepatic inflammation, or increased oxidative stress) independent of the coexisting dysmetabolic traits of obesity (such as insulin resistance, visceral adiposity and atherogenic dyslipidemia) remains to be elucidated. What remains clear is that, compared to other cirrhotics, NASH cirrhosis confers a higher risk of CV events after LT, more commonly in the early postoperative period (11). The most common CV events include acute pulmonary edema (18%), new onset atrial fibrillation (10%) and sudden cardiac arrest (8%) (11). The pro-atherogenic environment of NAFLD and obesity comes in addition to the predisposition to cirrhotic cardiomyopathy, which is present in up to 50% of cirrhotics, independent of liver disease etiology (12).

These findings highlight the need for careful selection of the NASH LT candidate; the general approach followed at our institution is depicted in Figure 1. However, there are currently no specific guidelines for preoperative assessment in this population. The sensitivity and specificity of non-invasive testing in diagnosing CAD in the general population is summarized in Table 1 (13). Dobutamine stress echocardiography (DSE) has been advocated as the assessment tool of choice in NASH LT candidates. The high negative predictive value of DSE, when target heart rates are achieved, allows it to be used to identify a low risk group. However, several studies have shown that DSE has a poor performance to predict the risk of myocardial injury during liver transplantation in patients with cirrhosis (14). Other emerging techniques for coronary artery and myocardial functional assessment, such as CT coronary artery calcification scoring and cardiac MRI may be useful to improve the identification of CAD in advanced cirrhotic patients on the waiting list, but further studies are needed to validate their use in this population. Invasive coronary angiography is

often necessary. However, percutaneous revascularization pre-transplantation has demonstrated little evidence of benefit and surgical revascularization carries an increased risk of postoperative morbidity (25–58%) and mortality (17–30%) in these patients (15). Furthermore, in the presence of traditional risk factors such as DM, HTN, or hyperlipidemia, medical optimization with statins and beta-blockers (16) in the perioperative period is protective and likely underutilized. There is a great need for prospective studies to identify a standardized comprehensive approach to the CV risk of liver transplant candidates with NASH cirrhosis, who may have a different risk profile than the general population.

Weight and body mass index (BMI) as selection criteria

The low number of studies with LT recipients with class III obesity (BMI >40 kg/m2) would suggest that BMI may play a role in patient selection. Most studies seem to agree that obesity alone does not negatively impact long term graft and patient survival, though it does impart an increase in early post-transplant morbidity (17, 18), while some have shown that BMI is an independent predictor of death if above 40 kg/m2 (19),(20). Additionally, intraabdominal adiposity poses challenges to the surgical technique, but there are no data on its impact on postoperative complications(21). Except at the extremes of BMI, obesity alone should not represent a significant contraindication to LT and should not be considered in isolation as a factor precluding successful transplantation. In fact, these patients may be considered for concurrent bariatric surgery, an approach taken by some transplant centers in recent years. Heimbach et al. reported that sleeve gastrectomy at the time of liver transplant in a carefully selected population of obese liver transplant candidates who failed an aggressive noninvasive weight loss program results in effective and sustained weight loss and no post-transplant metabolic complications (allograft steatosis, diabetes mellitus) (22).

Pre-transplant diabetes mellitus

Pre-transplant DM in combination with obesity is a strong predictor of early postoperative complications (infections, CV events) and length of hospital stay compared to obesity alone or with other CV risk factor (23). Additionally, pre-transplant DM is associated with lower long term patient and graft survival, with deaths mainly attributed to CV complications, infections, and renal failure (24). In a Unites Network for Organ Sharing (UNOS) database study, patients with preexisting CAD and DM documented at the time of registration on the waiting list were 60% more likely to die after LT when compared to patients with either disease alone (24). Therefore, in patients with DM, especially in the presence of DM-related organ damage consistent with micro- or macro-vascular complications, in particular, renal and CV function should be carefully assessed, and periodically re-assessed if faced with long wait times to organ transplantation.

Other issues

Additional risk factors associated with obesity and NASH, such as chronic kidney disease (25) and obstructive sleep apnea and its cardiopulmonary complications (pulmonary hypertension and right heart failure) should be sought early and optimized. The greatest challenge in the selection of LT candidates with NASH is how to integrate the multiple risk factors into one accurate risk stratification tool. Until then, sound clinical judgment of the

overall metabolic risk profile of a candidate, instead of independent predictors should be used (Table 2).

POST LIVER TRANSPLANTATION NAFLD—Recurrent and *de novo* NAFLD are common post LT; whereas, progressive NASH is less common. The incidence, outcomes, CV risks, and possible therapies are discussed in this section.

Incidence

Several studies have shown a high incidence of post-LT NAFLD in patients transplanted for NASH or cryptogenic cirrhosis (26-32) (Table 3). This is significantly greater than the prevalence of NAFLD in patients transplanted for indications other than NASH or cryptogenic cirrhosis, 25% in patients followed 5 years post LT (26, 30); Whereas, in another cohort, de novo steatosis was reported to occur in 40% of recipients and NASH in 13% of recipients transplanted for chronic hepatitis B or C (31). The use of corticosteroids for immunosuppression, pre-transplant BMI, post-LT BMI, tacrolimus-based immunosuppressive regimen, DM, hyperlipidemia, and arterial hypertension are risk factors for post-LT steatosis, (26, 32), whereas the use of angiotensin converting enzyme (ACE) inhibitors was associated with a lower steatosis risk (30). The incidence of NASH reported in various series, which includes both living donor and deceased donor LT, with patients followed for varying periods of time (28 - 64 months), ranges from 4% (median interval between transplant and first biopsy was 40 months, range 6–189 months, (32)) to 14% (median follow-up 64 months, (29)). However, the risk factors for progressive NASH are less well defined in those transplanted for NASH or cryptogenic cirrhosis, apart from the presence of co-existing metabolic syndrome with its inherent risk factors, at the time of transplant.

Patient and graft survival

The reported 1 year survival for NASH recipients ranges from 85–90% and 5 year survival ranges from 70-80% (Figure 2) (1, 3, 33). A recent meta-analysis of 9 studies concluded non-inferior patient survival for NASH recipients (34). When subgroup and sensitivity analyses were performed, patient age and presence of hepatocellular carcinoma impacted patient survival at 1 year and 5 years, respectively. Moreover, patients transplanted for NASH were at greater risk for post-transplant CV events and sepsis. Two large data base analyses also showed that NASH recipients were older than others, consistent with the metaanalysis (1, 3). The SRTR database study performed by Charlton et al included 35,781 adults that received a transplant between 2001 and 2009. Of these, 1959 received a primary liver transplant for NASH as a primary or secondary indication. This study showed noninferior 1 year (84%) and 3 year (78%) patient survival (1). The other large, UNOS database analysis by Afzali et al included 69,962 patients from 1997 to 2010. Of these NASH was a primary LT indication in 1810 recipients, and cryptogenic cirrhosis was a primary indication in 3843 recipients. This study showed decreased risk of death and graft failure when adjustments were made for both donor and recipient characteristics (3), with 87.6% 1 year, 82.2% 3 year, and 75.75% 5 year patient survival among NASH recipients. The study by Afzali et al excluded from the NASH category those recipients that also had a diagnosis of hepatocellular carcinoma. This might account for the better patient survival reported by

them. Though both studies overall showed excellent patient and graft survival in those transplanted for NASH as a primary indication, the study by Afzali et al showed Post liver transplant survival among those transplanted for NASH was higher than some patient groups (hepatocellular carcinoma, hepatitis C, alcoholic liver disease, acute hepatic necrosis, hemochromatosis, cryptogenic cirrhosis), though inferior to others (primary biliary cirrhosis, primary sclerosing cholangitis, autoimmune hepatitis, hepatitis B). Thus, in the current era of LT, NASH imparts equivalent if not superior post LT patient and graft survival.

Cardiovascular disease in NASH recipients

CVD imparts the most significant mortality risk in the NASH patient population, and this is likely true in the post-transplant NASH recipients as well (35, 36). Potential transplant recipients with cardiac risk factors are stringently screened for the presence of atherosclerotic coronary artery disease. Furthermore, many risk factors, such as hyperlipidemia, are masked secondary to impaired hepatic synthesis in cirrhosis. Therefore, the increased incidence of CV disease-related morbidity in NASH LT recipients (11, 37) compared with both those transplanted for other indications, and population matched controls is a significant problem. Moreover, this may reflect an accelerated disease course in these at-risk patients, who were screened for the absence of significant CVD pretransplantation (36, 37). The presence of pre-transplant CVD, post-operative sepsis, DM, and elevated pre-LT serum troponins were predictors of post-LT CV disease in liver transplant recipients (16, 38, 39), though these studies did not perform sub-group analyses for NASH recipients. Extrapolating from the pre-transplant and general population based studies, male gender and age also impart significant risk, though remain unmodifiable. Among the modifiable cardio-metabolic risk factors are smoking, diabetes mellitus, hypertension (preexisting and de novo), hyperlipidemia, post-LT renal insufficiency, and the use of mycophenolate mofetil (MMF) (36, 37). In the study by Albeldawi which looked at cardiovascular events among all LT recipients (NASH and others), MMF use imparted a hazard ratio of 2.3 for the occurrence of a cardiovascular event post-LT (37), but confirmatory data for its role in cardiovascular disease is needed. All of these risk factors should be aggressively modified and treated. In the study by Fussner et al., tacrolimus-based immunosuppression was associated with a lower risk of CVD. This finding will need to be verified in unique cohorts (36). Patients with pre-LT elevated random serum troponins, left ventricular hypertrophy on ECHO, lower ejection fraction, and prior CVD identifies patients who should receive close follow up and aggressive risk factor modification therapy.

Pharmacotherapy for NASH and metabolic syndrome

There are no specific randomized controlled trials for pharmacotherapy for NASH in the LT population. Though Vitamin E, pioglitazone and obeticholic acid have shown promise in the treatment of NASH in the non-transplant population (40, 41), none of these agents has received regulatory agency approval for NASH therapy. Similarly, though several agents are available for the pharmacotherapy of obesity, such as orlistat, lorcaserin, liraglutide, buprepione-naltrexone, these agents have not been studied in the organ transplantation population. Metformin, statins, and ursodeoxycholic acid have been examined for use in NASH in the non-transplant population with no clear benefit (42–44). Thus, at present effective pharmacotherapy for NASH amelioration, both in the non-transplant and post-

transplant population is lacking. However, recognizing the significant risk imparted by CVD in NASH patients, approved therapies for aggressive management of hyperlipidemia, DM, hypertension, and smoking cessation should be pursued in the NASH recipient.

Bariatric surgery in NASH recipients

Laparoscopic sleeve gastrectomy after solid organ transplantation including LT recipients or open sleeve gastrectomy at the time of LT are gaining traction as safe and effective bariatric procedures in liver transplant recipients (45, 46). Due to concerns regarding malabsorption of medications and the inability to access the biliary tree following roux-en-Y procedures, restrictive bariatric procedures have remained more popular in solid organ transplant recipients, though malabsorptive procedures have been reported. A recent review summarized two bariatric surgery case series each, before LT and during LT, and seven post-LT case series (47). Sleeve gastrectomy (SG) was the most frequent bariatric procedure, followed by roux-en-Y gastric bypass. Of the included 54 patients, 26 underwent laparoscopic SG (LSG) before transplant and 11 after transplant, open sleeve gastrectomy was performed during-LT in 7 patients. Percent excess weight loss ranged from 26% at 3 months in one study to 64.7% in another, though these numbers should be interpreted with caution due to the small numbers of patients included. Patients had comparable degrees of decrease in body mass index, or excess body weight loss regardless of the timing of bariatric surgery. Though increase in morbidity (1 leak from the gastric staple line and 1 excess weight loss) in patients with simultaneous transplantation and bariatric surgery has been reported, the post-transplant reduction in onset of diabetes, weight gain, and steatosis has endured to last follow up (mean follow up 33 months) in the study by Heimbach et al (22). Delayed sleeve gastrectomy, similarly, is associated with increased early post-operative morbidity, such as mesh dehiscence, bile leak and post-operative dysphagia, each occurring in one of 9 patients in this case series, however, acceptable long term outcomes (46).

Immunosuppression considerations in NASH recipients

Calcineurin inhibitor (CNI) therapy and mammalian target of rapamycin (mTOR) inhibitors impart CV risks; therefore every attempt should be made to maintain patients on the least effective immunosuppressive therapy. Dumortier et al. reported tacrolimus-based immunosuppression as a risk factor for the development of de novo steatosis in liver transplant recipients (32). Hypertension and hyperlipidemia are higher with cyclosporine based immunosuppression than tacrolimus, though the overall incidence of metabolic syndrome and post-transplant diabetes is unaffected by choice of calcineurin inhibitor(48). Use of the mTOR inhibitors (sirolimus and everolimus) leads to hyperlipidemia, which can be managed with pharmacotherapy. This hyperlipidemia does not increase the risk for CV or cerebrovascular events, as recently demonstrated in a large case series by Weick et al(49). A randomized controlled trial of CNI versus everolimus, though primarily done to look at renal function, also showed similar patient and graft outcomes, though the rate of discontinuation of therapy was higher for everolimus(50). Though ongoing trials are geared towards defining the lowest effective immunosuppressive agents for liver transplant recipients, in our practice, tacrolimus monotherapy is the most-widely used, and efforts should be made to reduce dosage to the minimal effective dose. Alternatively, mTOR inhibitors could be considered in patients with metabolic syndrome and multiple CV risk factors, to minimize

the many metabolic side effects associated with CNI use (32, 39), but careful followup of lipid and glucose is still warranted. Prospective data is needed to show true benefit for mTOR inhibitor use over the CNI.

CONCLUSIONS

With NASH on trajectory to become the most frequent primary indication for LT in the near future; one provocative question is how might we improve patient survival further? Though, historically, 5 year patient survival has been an accepted metric for post-transplant outcomes, given the slow onset and progression of NASH, we do we need longer-term follow up and outcomes data. Furthermore, aggressive risk factor modification with life style modification and pharmacotherapy for metabolic syndrome and cardiovascular disease or bariatric surgery for obesity is recommended in NASH recipients.

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Abbreviations

CAD	coronary artery disease
CV	cardiovascular
DM	diabetes mellitus
DSE	Dobutamine stress ECHO
LT	liver transplantation
NAFLD	nonalcoholic fatty liver disease
NASH	nonalcoholic steatohepatitis

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KEY POINTS

- Recurrent and *de novo* steatosis are common after liver transplantation, though progressive NASH is less frequent. Moreover, NASH recipients have excellent 5 year patient and graft survival following liver transplantation.
- 2. NAFLD traits such as hepatic steatosis, obesity, and the coexisting dysmetabolic traits, such as insulin resistance, visceral adiposity and atherogenic dyslipidemia impart increased cardiovascular risk to these patients.
- **3.** Pharmacotherapy for risk factor reduction is recommended in the NASH liver transplantation recipient, specifically targeting cardiovascular risk factors.
- **4.** Bariatric surgery should be considered early in the NASH recipient, and may even be considered at the time of liver transplantation.

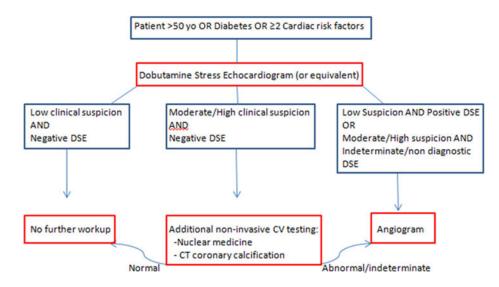


Figure 1.

Cardiac workup algorithm. DSE= dobutamine stress echocardiogram, clinical suspicion= compilation of cardiac risk factors (smoking, previous cardiac disease, family history of cardiac disease, diabetes, hyperlipidemia, hypertension, obesity)

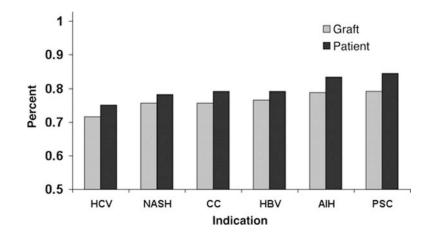


Figure 2.

Three year post-liver transplantation graft and patient survival among adult recipients in the United States, by etiology of liver disease. AIH, autoimmune hepatitis; CC, cryptogenic cirrhosis; HBV, hepatitis B virus; HCV, hepatitis C virus; NASH, nonalcoholic steatohepatitis; PSC, primary sclerosing cholangitis. Reproduced with permission from the publisher from Charlton et al, Gastroenterology 2011,141(4):1249–1253.

Table 1

Accuracy of noninvasive methods for assessment of coronary artery disease in the general population

Imaging Modality	Sensitivity (%)	Specificity (%)
Exercise electrocardiogram	45–50	85–90
Exercise stress echocardiogram	80-85	80-88
Exercise SPECT	73–92	63–87
Dobutamine stress echocardiogram	79–83	82-86
Dobutamine stress cardiac MRI	79–88	81–91
Adenosine SPECT	90–91	75–84
CT coronary angiography	95–99	64–83
Adenosine PET	81–97	74–91

Based on 2013 ESC guidelines on the management of stable coronary artery disease (12). SPECT, single-photon emission computed tomography; MRI, magnetic resonance imaging; CT, computed tomography; PET, positron emission tomography.

Table 2

Metabolic risks factors and methods of assessment in liver transplant candidates

Risk factor	Assessment methods	
Age	>50 years	
Cardiovascular disease	Medical history, family history, NT-pro brain natriuretic peptide, troponins, electrocardiogram, transthoracic echocardiogram, dobutamine stress test, CT coronary angiography, cardiac MRI, percutaneous coronary angiography, cardid ultrasound	
Diabetes mellitus	Medical history, fasting blood glucose, hemoglobin A1c, assessment of organ-related complications	
Hypertension	Medical history, blood pressure measurement	
Hyperlipidemia	Medical history, lipid profile	
Smoking	History - Active, previous history	
Obesity	Weight, body mass index	
Renal failure	Serum creatinine, measured glomerular filtration rate	
Sleep related disorders	Medical history, overnight oximetry or sleep study in individuals at risk	

CT: computed tomography; MRI: magnetic resonance imaging

Table 3

Incidence of recurrent or de novo allograft NAFLD and NASH

First Author (Reference [#])	Steatosis in NASH or Cryptogenic Recipients	Steatosis in Non- NASH Recipients	Progression to NASH in NASH or Cryptogenic Recipients	Median Duration of follow up (months)
Contos et al (25)	100%	25%	10%	42 ± 32
Maor-Kendler et al (26)	18%	11.6%@		12&
	38%	10.3%@	0%	45 ± 17
Tanaka et al (28) [#]			14%	64
Seo et al (29)	18%		9%	23
Lim et al (30)		40%	13%	44 ± 4
Dumortier et al $(31)^{\$}$		31.1%	10%	40 (6–189)

@ average for cholestatic, alcoholic, and HCV recipients

[#]7 NASH recipients that received living donor liver transplants

\$ only included non-NASH recipients without recurrent disease

& one year protocol biopsy