

A Delicate Balance Between Repair and Replication Factors Regulates Recombination Between Divergent DNA Sequences in *Saccharomyces cerevisiae*

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ABSTRACT Single-strand annealing (SSA) is an important homologous recombination mechanism that repairs DNA double strand breaks (DSBs) occurring between closely spaced repeat sequences. During SSA, the DSB is acted upon by exonucleases to reveal complementary sequences that anneal and are then repaired through tail clipping, DNA synthesis, and ligation steps. In baker's yeast, the Msh DNA mismatch recognition complex and the Sgs1 helicase act to suppress SSA between divergent sequences by binding to mismatches present in heteroduplex DNA intermediates and triggering a DNA unwinding mechanism known as heteroduplex rejection. Using baker's yeast as a model, we have identified new factors and regulatory steps in heteroduplex rejection during SSA. First we showed that Top3-Rmi1, a topoisomerase complex that interacts with Sgs1, is required for heteroduplex rejection. Second, we found that the replication processivity clamp proliferating cell nuclear antigen (PCNA) is dispensable for heteroduplex rejection, but is important for repairing mismatches formed during SSA. Third, we showed that modest overexpression of Msh6 results in a significant increase in heteroduplex rejection; this increase is due to a compromise in Msh2-Msh3 function required for the clipping of 3' tails. Thus 3' tail clipping during SSA is a critical regulatory step in the repair vs. rejection decision; rejection is favored before the 3' tails are clipped. Unexpectedly, Msh6 overexpression, through interactions with PCNA, disrupted heteroduplex rejection between divergent sequences in another recombination substrate. These observations illustrate the delicate balance that exists between repair and replication factors to optimize genome stability.

KEYWORDS heteroduplex rejection; DNA mismatch repair; Sgs1; Rmi1; Top3; PCNA; Msh6 overexpression

HOMOLOGOUS recombination between nonallelic, divergent sequences in the genome can lead to chromosomal rearrangements. Multiple cellular mechanisms contribute to suppressing such deleterious events. For example, the organization of the interphase nucleus helps to limit physical interactions between distant regions of the genome, and cell cycle regulation of homologous recombination factors suppresses recombination events at times when distant genomic regions and nonallelic sequences tend to be unprotected or closer to

each other (reviewed in George and Alani 2012). Despite these lines of defense, physical interactions between nonallelic sequences can still frequently occur, and when damage or replication stalling occurs in the vicinity of these interactions, nonallelic homologous recombination (NAHR) can be initiated (reviewed in Liu *et al.* 2012). Several mechanisms have been proposed to understand how recombination events between divergent DNA sequences, known as homeologous recombination, are prevented. One such mechanism, heteroduplex rejection, requires helicase-mediated unwinding of recombination intermediates (Sugawara *et al.* 2004; Surtees *et al.* 2004).

The DNA mismatch repair (MMR) system acts to repair polymerase errors incurred during DNA replication. DNA mismatch repair factors also play critical roles in maintaining the fidelity of homologous recombination in both prokaryotes and eukaryotes by inhibiting recombination between divergent sequences, and this function is directly related to levels

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of sequence divergence (Rayssiguier *et al.* 1989; Shen and Huang 1989; Petit *et al.* 1991; de Wind *et al.* 1995; Selva *et al.* 1995; Chambers *et al.* 1996; Datta *et al.* 1996; Hunter *et al.* 1996; Porter *et al.* 1996; Elliott and Jasin 2001; Nicholson *et al.* 2000). In the yeast *Saccharomyces cerevisiae*, MMR is initiated by either the Msh2-Msh6 (MutS α) or Msh2-Msh3 (MutS β) heterodimer binding to DNA containing mismatches; Msh2-Msh6 shows high specificity for single base-base mismatches and single nucleotide insertions/deletions, whereas Msh2-Msh3 shows high specificity for insertion/deletion loops up to 16 nucleotides in size (reviewed in Kunkel and Erie 2005). Msh2-Msh6 has been shown in baker's yeast to colocalize with the DNA replication machinery (Hombauer *et al.* 2011a). Mlh heterodimers (primarily Mlh1-Pms1) then interact with Msh-mismatch complexes to recruit downstream factors that complete MMR through excision, resynthesis, and ligation steps. These downstream factors include the Exo1 exonuclease, the PCNA processivity clamp, replication factor C (RFC), DNA polymerases δ and ϵ , and RPA single-strand binding protein (reviewed in Kunkel and Erie 2005).

Antirecombination has been hypothesized to occur by regulation of branch migration of recombination intermediates to limit heteroduplex extension, rejection of recombination intermediates through nucleolytic degradation, or by unwinding heteroduplex DNA intermediates (Sugawara *et al.* 2004; Surtees *et al.* 2004; Goldfarb and Alani 2005; Waldman 2008). The single-strand annealing (SSA) pathway provides a relatively simple system to study antirecombination mechanisms (Figure 1A). This Rad52-dependent pathway is a specialized type of homologous recombination that is initiated by a double-strand break (DSB) between closely spaced repeat sequences. Resection of single-strand DNA (ssDNA) at the break, followed by annealing of homologous sequences, tail clipping, DNA synthesis, and ligation, results in repair of the DSB involving a deletion between the repeat sequences (Lin and Sternberg 1984; Fishman-Lobell *et al.* 1992; Sugawara and Haber 1992). A critical step required to complete SSA is the removal of 3' nonhomologous tails, which occurs in steps requiring Msh2-Msh3 and the Rad1-Rad10 endonuclease (Fishman-Lobell *et al.* 1992; Sugawara *et al.* 1997).

SSA is thought to be the predominant form of DSB repair within highly repetitive regions of the genome, such as the ribosomal DNA (Kobayashi 2006; Li *et al.* 2008; George and Alani 2012), and limits unavoidable loss of genetic information to local deletions rather than large-scale rearrangements. It could also offset repeat expansions in these regions. Studies using an SSA cassette in which two 205-bp repeats show 3% divergence have identified an antirecombination mechanism that is dependent on the Msh2-Msh6 complex and the RecQ family helicase Sgs1 (Figure 2) (Sugawara *et al.* 2004; Goldfarb and Alani 2005). In this system mismatch binding and ATP binding and hydrolysis activities of Msh proteins were found to be critical for antirecombination, suggesting roles for Msh proteins in both mismatch recognition and signaling (Goldfarb and Alani 2005). In contrast Mlh

proteins play a less critical role in antirecombination during SSA, and other MMR/DNA repair factors such as Exo1 and Srs2, appear to be dispensable (Selva *et al.* 1995; Chambers *et al.* 1996; Datta *et al.* 1996; Hunter *et al.* 1996; Chen and Jinks-Robertson 1999; Nicholson *et al.* 2000; Sugawara *et al.* 2004). PCNA, the processivity clamp for DNA replication, has been shown to interact with Msh6 and enhance mismatch recognition by Msh2-Msh6 *in vitro* (Flores-Rozas *et al.* 2000). It has also been shown to be required at an early step as well as during the later DNA resynthesis step in MMR (Umar *et al.* 1996; Gu *et al.* 1998). However it is unclear if PCNA plays a stimulatory role during heteroduplex rejection in SSA.

Studies in several labs have implicated the helicase activity of Sgs1 in antirecombination (Myung *et al.* 2001; Spell and Jinks-Robertson 2004; Sugawara *et al.* 2004; Goldfarb and Alani 2005). Consistent with these findings, a study by Sugawara *et al.* (2004), using a three-repeat SSA competition assay, showed that heteroduplex rejection is likely to occur through an unwinding mechanism rather than by nucleolytic degradation, suggesting that heteroduplex rejection during SSA involves mismatch recognition by Msh proteins followed by recruitment of Sgs1 to stimulate heteroduplex DNA unwinding. Sgs1 is known to interact with the topoisomerase complex Top3-Rmi1 in a variety of processes including 5' to 3' strand resection during homologous recombination and dissolution of double Holliday junctions (Bennett *et al.* 2000; Fricke *et al.* 2001; Chang *et al.* 2005; Mullen *et al.* 2005; Ui *et al.* 2005; Chen and Brill 2007; Cejka *et al.* 2010; Cejka and Kowalczykowski 2010; Niu *et al.* 2010). Recently Sgs1 and Top3-Rmi1 were shown to have interdependent and independent functions in meiosis (Kaur *et al.* 2015; Tang *et al.* 2015). However an antirecombination role for the Top3-Rmi1 complex has not been clearly established, though previous studies showed that *top3 Δ* mutants increased recombination between divergent DNA sequences (Wallis *et al.* 1989; Bailis *et al.* 1992).

Very little is known about how cells decide between heteroduplex rejection and MMR, which are both initiated by Msh proteins recognizing mismatches. This decision is critical because implementing MMR instead of rejection during homeologous recombination could lead to gene conversion, loss of heterozygosity, and genomic rearrangements, whereas triggering rejection instead of MMR when polymerase errors are encountered by Msh proteins during DNA replication, would likely disrupt the replication fork. Thus there must be mechanisms in place that ensure appropriate recruitment of downstream rejection or MMR proteins. At present, very little is known about what factors might regulate this decision.

In this study, we tested several interacting partners of Msh6 and Sgs1 for their role in heteroduplex rejection. We found that Top3 and Rmi1 are important for rejection during SSA. Additionally, the replicative clamp proliferating cell nuclear antigen (PCNA) appeared to be dispensable for rejection, but is important for repairing mismatches generated during SSA. Importantly, we provide evidence that 3' tail clipping during SSA acts as a temporal commitment step, after which the heteroduplex substrate can no longer be rejected and is

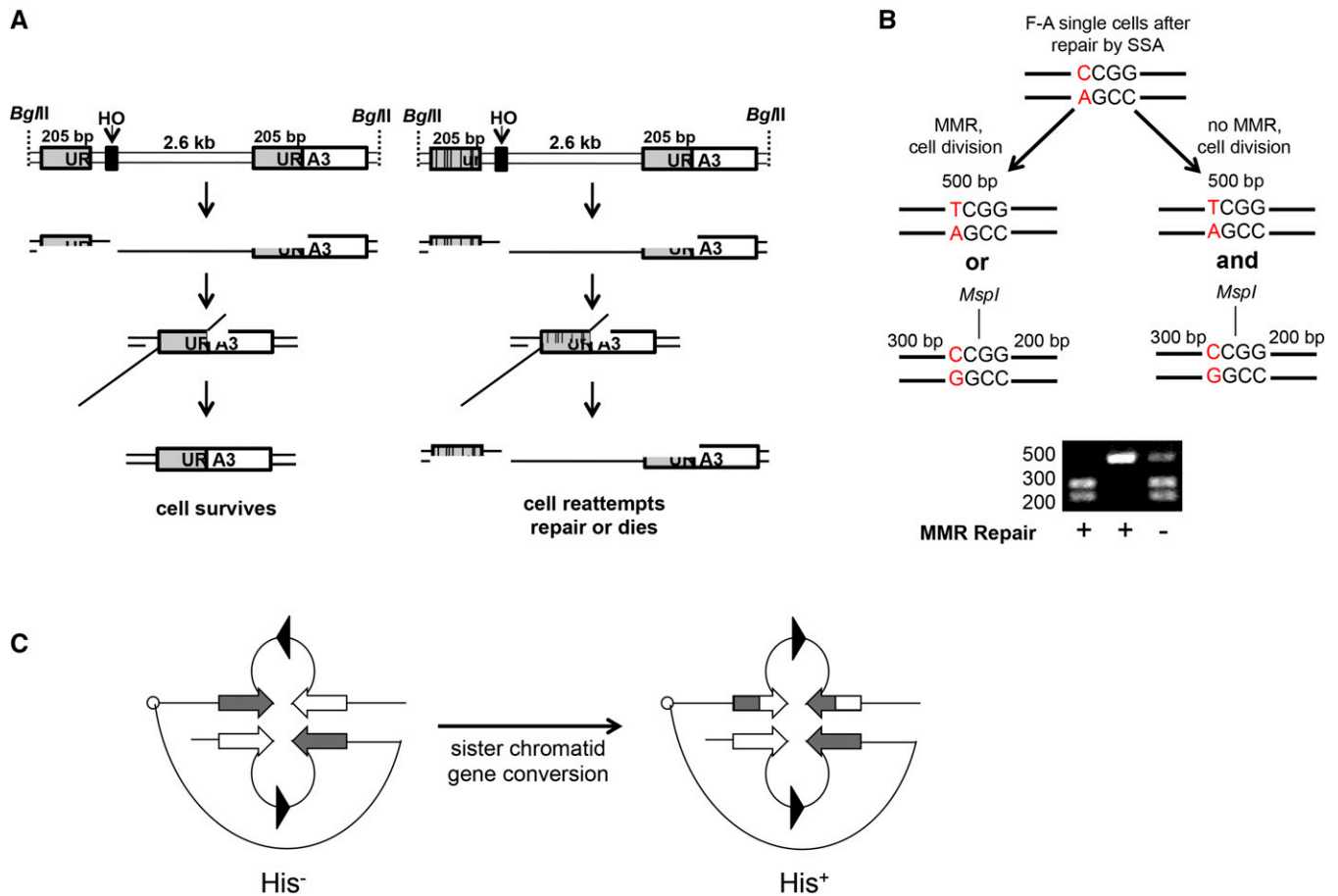


Figure 1 Schematics of SSA and inverted repeat recombination assays. (A) Cell survival assay to measure heteroduplex rejection efficiency. Two strains, A-A (identical) and F-A (3% divergent), possess a partial duplication of *URA3*, an HO cut site, and 2.6 kb of λ DNA upstream of the endogenous *URA3* locus. Galactose induction of HO endonuclease results in a unique DSB between *URA3* sequence repeats that is repaired efficiently by SSA in the A-A strain. Repair in F-A strains is inefficient due to rejection of the heteroduplex intermediate created by annealing of the 3% divergent *URA3* repeats. Successful SSA will lead to high cell survival, but cells that try to repair by SSA (perhaps multiple times) but ultimately fail due to heteroduplex rejection will suffer the lethality caused by a persistent DSB. (B) *MspI* digestion assay to assess MMR. Colonies obtained from single unbudded F-A cells induced for HO expression were analyzed for the presence of an *MspI* site present in the *URA3* "F" allele but not the *URA3* "A" allele (Materials and Methods). MMR has occurred if PCR products amplifying this restriction site contain *MspI* digested or undigested bands, but not both. MMR has failed to occur if the PCR products contain a mixture of digested and undigested bands. (C) Intron-based intramolecular recombination assay involving a *HIS3* reporter (adapted from Nicholson *et al.* 2000). In this assay, *His⁺* recombinants are thought to result from gene conversion events involving inverted repeat sequences (open and gray boxes) present on sister chromatids. Intron substrates predicted to form base–base (c β 2/c β 2-ns) and 4-nt loop (c β 2/c β 2-4L) mismatches in heteroduplex DNA were analyzed in this study.

subject to MMR. Finally, we demonstrate that the levels of Msh proteins are tightly regulated and, although altering their relative levels can be beneficial in certain scenarios, they can be deleterious in others. These observations illustrate the delicate balance between repair and replication factors required to optimize genome stability.

Materials and Methods

Yeast strains

Yeast strains used in this study are shown in Table 1 and were constructed and grown using standard techniques (Rose *et al.* 1990; Geitz and Schiestl 1991). Strains bearing the SSA cassette are derived from EAY1141 and EAY1143 (Sugawara *et al.* 2004). The A or F abbreviations represent the upstream

URA3 repeat sequence derived from S288c in EAY1141 (A-A), or from strain FL100 in EAY1143 (F-A).

The *top3 Δ ::KANMX* was obtained by PCR amplification of chromosomal sequences derived from the yeast knockout collection (Brachmann *et al.* 1998). These DNA fragments and the single integrating vectors described below (details provided upon request) were introduced into yeast strains using standard transformation procedures (Gietz and Schiestl 1991), and the presence of all mutant alleles in the genome was confirmed by PCR analysis followed by DNA sequencing.

The *top3^{ts}* (E447K S583L) allele was a gift from Rodney Rothstein and was amplified by PCR from strain J1022 and then linked to an *HPHMX* cassette in the single step integration vector pEAI299 (*top3^{ts}* allele was confirmed by Sanger

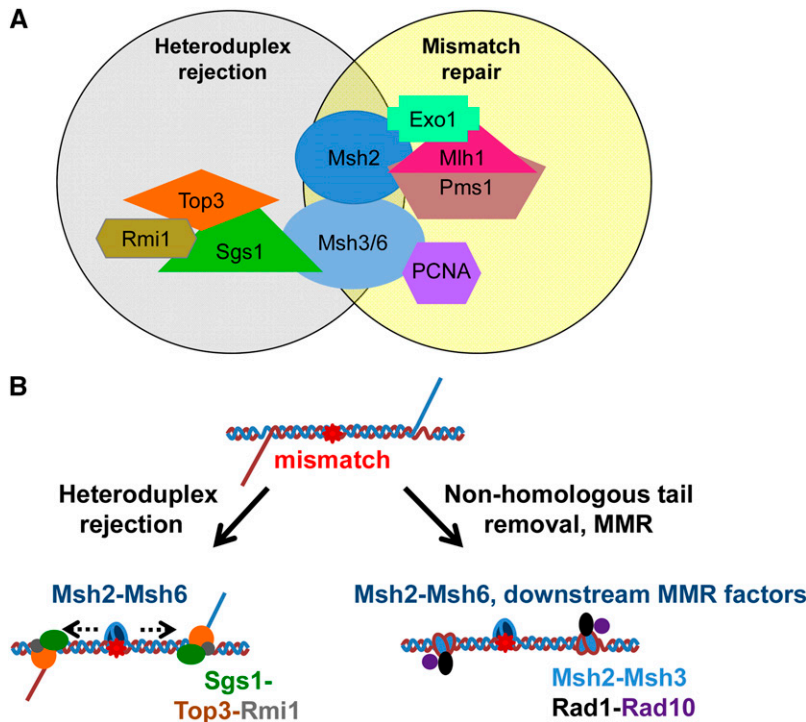


Figure 2 Factors that act in heteroduplex rejection and MMR. (A) Heteroduplex rejection and MMR are both initiated by Msh heterodimers binding to DNA mismatches, but subsequent steps involve interactions with different sets of factors. (B) Model for heteroduplex rejection during SSA involving Msh proteins and the Sgs1-Top3-Rmi1 complex. Following strand annealing, Msh proteins bind to mismatches (red star) in heteroduplex DNA and undergo a conformational change that, in the left panel, licenses recruitment of Sgs1-Top3-Rmi1, loaded at the junction between the heteroduplex and the 3' nonhomologous tail, to promote heteroduplex rejection. In the right panel, recruitment of nonhomologous tail removal factors instead of Sgs1-Top3-Rmi1, results in the removal of the 3' nonhomologous tail, followed by MMR. Adapted from George and Alani (2012).

sequencing). pEAI299 was restriction digested to integrate the *top3^{ts}::HPHMX* allele into the SSA strains.

The *rmi1* temperature-sensitive alleles were identified by transforming an *ARS-CEN-LEU2* library containing mutagenized *RM11* into the *rmi1Δ* strain EAY3623. Transformants were screened for their failure at 37°, but not 22°, to complement the slow growth and methylmethane sulfonate (MMS) sensitivity phenotypes exhibited by *rmi1Δ* strains. The library was created by mutagenic PCR amplification of *RM11* using pJM7161 as a template (*RM11*, *ARS CEN LEU2*, generously provided by Steven Brill). PCR was performed for 35 cycles, with each cycle consisting of a 30-sec denaturation step at 95°, a 30-sec annealing step at 56°, and a 3-min extension step at 72°. The *Taq* polymerase PCR reactions were performed in polymerase buffer recommended by the manufacturer (Promega), but containing 5 mM MgCl₂, 20 μM dATP, 200 μM dGTP, 200 μM dCTP, 200 μM dTTP). Mutagenized *rmi1* fragments were then subcloned into a pJM7161 backbone to create libraries that were transformed into EAY3623. Two *rmi1* clones were identified from ~1500 transformants that showed clear temperature-sensitive phenotypes, *rmi1^{ts}-2* (N103K W168R, L192S, F215Y), and *rmi1^{ts}-3* (L50I E60G N103K S137G, R211G, K236R). Both alleles were linked to a *KANMX* cassette to create the single-step integration vectors pEAI382 for *rmi1^{ts}-2*, and pEAI381 for *rmi1^{ts}-3*.

The *pol30* alleles and *msh6-KQFF > AAAAA* were kindly provided by Richard Kolodner (Lau *et al.* 2002) and Tom Kunkel (Clark *et al.* 2000). The *pol30* alleles were amplified by PCR from RDKY3857 (*pol30-201*), RDKY3860 (*pol30-204*), and RDKY3872 (*pol30-216*) and then inserted into single-step integrating vectors that resulted in linkage to the *KANMX* marker (pEAA580 for *pol30-201*, pEAA581 for

pol30-204, and pEAA583 for *pol30-216*). The *msh6-KQFF > AAAAA* allele was obtained by PCR from YIplac211 (Clark *et al.* 2000) and then inserted into a single-step integrating vector that resulted in the *msh6-KQFF > AAAAA* allele marked with *KANMX* (pEAI387).

Construction of 2μ plasmids

Genes listed in Table 1 were inserted into pRS 2μ vectors (Christianson *et al.* 1992). Briefly, these genes were derived from existing plasmids or were PCR amplified using chromosomal DNA derived from EAY1141 as a template. In all cases, at least 300 bp of DNA sequence upstream of the start codon was included. The sequence of PCR amplified DNA was confirmed by Sanger sequencing.

Purification and coimmunoprecipitation of Msh2-Msh6 and Sgs1₄₀₀₋₁₂₆₈

Msh2-Msh6 was purified using previously described methods from BJ5464 containing the 2μ plasmids pEAE9 (*GAL10-MSH2*) and pEAE218 (*GAL10-MSH6*) (Kijas *et al.* 2003).

HA-Sgs1₄₀₀₋₁₂₆₈ was purified from yeast using previously described methods with some minor modifications. Briefly, the yeast strain BJ5464 was transformed with pRB222 (Bennett *et al.* 1998; kindly provided by Robert Lahue), and induced with galactose to overproduce 6-His-Sgs1₄₀₀₋₁₂₆₈. Cells from a 6-liter induced culture were lysed by the coffee grinder method, resuspended into 10 ml lysis buffer [20 mM Tris pH 7.5, 200 mM KCl, 2% (v/v) glycerol, 1 mM PMSF] and after centrifugation, imidazole was added to the supernatant to a final concentration of 20 mM and the resulting lysate was incubated for 1 hr at 4° on an orbital rocker with 1 ml of Ni-NTA beads (Qiagen). The lysate/bead suspension

Table 1 Strains and plasmids used in this study

Strains	Genotype
BJ5464	<i>MATα ura3-52 trp1 leu2Δ1 his3Δ200 pep4::HIS3 prb1Δ1.6R can1 GAL</i>
FY23 (S288c background)	<i>MATα ura3-52 leu2Δ1 trp1Δ63</i>
EAY2402	FY23, <i>top3Δ::KANMX</i>
EAY3623	FY23, <i>rmi1Δ::KANMX</i>
EAY1269	FY23, <i>lys2::insE-A₁₄</i>
EAY1375	FY23, <i>msh6Δ::hisG, lys2::insE-A₁₄</i>
EAY1373	FY23, <i>msh3Δ::hisG, lys2::insE-A₁₄</i>
J1022 (W303 background)	<i>MATα top3^{ts} (E447K,S583L), ade2-1, can1-100, his3-11,15, leu2-3,112, trp1-1, ura3-1</i>
EAY1141	<i>ho HMLα matΔ::leu2::hisG hmr-3Δ, mal2, leu2, trp1, thr4::[THR4 ura3-A(205bp) HOcs URA3-A], ade3::GAL-HO::NAT</i>
EAY2881	EAY1141, <i>top3^{ts}::HPHMX</i>
EAY3206	EAY1141, <i>rmi1^{ts}-2 (N103K W168R, L192S, F215Y)::KANMX</i>
EAY3203	EAY1141, <i>rmi1^{ts}-3 (L50I E60G N103K S137G, R211G, K236R)::KANMX</i>
EAY3280	EAY1141, <i>msh6-KQFF > AAAA::KANMX</i>
EAY3652	EAY1141, <i>POL30::KANMX</i>
EAY3657	EAY1141, <i>pol30-201::KANMX</i>
EAY3662	EAY1141, <i>pol30-204::KANMX</i>
EAY3669	EAY1141, <i>pol30-216::KANMX</i>
EAY 1387	EAY1141, <i>msh6Δ::KANMX</i>
EAY1143	<i>ho HMLα, matΔ::leu2::hisG, hmr-3Δ, mal2, leu2 trp1 thr4::[THR4 ura3-F(205bp) HOcs URA3-A], ade3::GAL-HO::NAT</i>
EAY2916	EAY1143, <i>top3^{ts}::HPHMX</i>
EAY3210	EAY1143, <i>rmi1^{ts}-2::KANMX</i>
EAY3214	EAY1143, <i>rmi1^{ts}-3::KANMX</i>
EAY3281	EAY1143, <i>msh6-KQFF > AAAA::KANMX</i>
EAY3655	EAY1143, <i>POL30::KANMX</i>
EAY3660	EAY1143, <i>pol30-201::KANMX</i>
EAY3666	EAY1143, <i>pol30-204::KANMX</i>
EAY3671	EAY1143, <i>pol30-216::KANMX</i>
SJR328	<i>MATα, ade2-101, his3Δ200, ura3-Nhe, lys2ΔRV::hisG, leu2-R</i>
SJR769	SJR328, <i>cβ2/cβ2:LEU2</i> , homologous 350 bp substrates
GCY615	SJR328, <i>cβ2/cβ2-ns:LEU2</i> , 1.3% sequence divergent, predicted to form four, base-base mismatches in the 350 bp c β 2 substrates
GCY559	SJR328, <i>cβ2/cβ2-4L:LEU2</i> , predicted to form four 4-nt loops in the 350 bp c β 2 substrates
2 μ Plasmids	
pRS424	2 μ , <i>TRP1</i>
pRS425	2 μ , <i>LEU2</i>
pRS426	2 μ , <i>URA3</i>
pEAM249	<i>MSH2</i> , 2 μ , <i>TRP1</i>
pEAM42	<i>MSH2</i> , 2 μ , <i>URA3</i>
pEAM251	<i>MSH3</i> , 2 μ , <i>TRP1</i>
pEAM56	<i>MSH3</i> , 2 μ , <i>LEU2</i>
pEAM252	<i>MSH3</i> , 2 μ , <i>URA3</i>
pEAM99	<i>MSH6</i> , 2 μ , <i>TRP1</i>
pEAM101	<i>MSH6</i> , 2 μ , <i>LEU2</i>
pEAM250	<i>MSH6</i> , 2 μ , <i>URA3</i>
pEAM261	<i>msh6-F337A</i> , 2 μ , <i>TRP1</i>
pEAM257	<i>msh6-KQFF > AAAA</i> , 2 μ , <i>TRP1</i>
pEAM258	<i>msh6-KQFF > AAAA</i> , 2 μ , <i>URA3</i>
pEAM58	<i>MLH1, PMS1</i> , 2 μ , <i>TRP1</i>
pEAM254	<i>POL30</i> , 2 μ , <i>TRP1</i>
pEAM253	<i>SGS1</i> , 2 μ , <i>TRP1</i>
pEAM259	<i>TOP3, RMI1</i> , 2 μ , <i>TRP1</i>
pEAM63	<i>EXO1</i> , 2 μ , <i>TRP1</i>
pEAM256	<i>RAD1, RAD10</i> , 2 μ , <i>TRP1</i>
pEAM255	<i>SAW1</i> , 2 μ , <i>TRP1</i>

FY23 was obtained from Winston et al. (1995); J1022 from Wagner et al. (2006); EAY1141 and EAY1143 from Sugawara et al. (2004); and SJR328, SJR769, GCY615, GCY559 from Nicholson et al. (2000). The indicated genes were all cloned into pRS424-426 2 micrometer plasmids (Christianson et al. 1992).

was added to a disposable 0.8 × 4 cm Poly-Prep column and then washed with 10 ml of 20 mM Tris pH 7.5, 200 mM NaCl, 40 mM imidazole. *Sgs1* was eluted with 20 mM Tris pH 7.5, 200 mM NaCl, 80 mM imidazole. Fractions containing high amounts of *Sgs1* were pooled, concentrated using Centricon

50 kDa-cutoff spin concentrators (Amicon), and dialyzed into 20 mM Tris pH 8.0, 50 mM NaCl, 25% (v/v) glycerol, 1 mM β -mercaptoethanol. Protein aliquots were snap frozen in liquid nitrogen and stored at -80° until use. Protein concentrations were determined using the BioRad Protein Assay reagent.

Equimolar amounts of purified *Msh2-Msh6* and *Sgs1*_{400–1268} proteins were incubated with 20 units of DNase I in 20 mM Tris pH 7.5, 100 mM NaCl, 3 mM MgCl₂ for 30 min at room temperature. DNase I activity was confirmed by digestion of 1 μg of control DNA and agarose gel analysis. A total of 1 μl of 12CA5 mouse monoclonal anti-HA antibody (Roche) or 0.5 μl of anti-*Msh2* polyclonal antibody (Studamire *et al.* 1998) were added per reaction and incubated for 1 hr at 4°. Protein A agarose beads were suspended 1:1 (v/v) in 50 mM Tris pH 7.5, 100 mM NaCl, 1 mM EDTA, and 20 μl of the suspension was incubated with each sample for 1 hr. Beads were washed three times with 200 μl of 50 mM Tris pH 7.5, 100 mM NaCl, 1 mM EDTA, 0.1% NP-40 and twice with 200 μl of 50 mM Tris pH 7.5, 50 mM NaCl, 1 mM EDTA, 0.1% NP-40. Beads were boiled in SDS/PAGE loading dye for 10 min, and samples were run on 8% SDS/PAGE gels followed by staining with Coomassie blue.

Phenotypic analysis of *rmi1* and *top3* mutants in hydroxyurea and MMS sensitivity assays

Single *rmi1*^{ts} and *top3*^{ts} colonies were inoculated into 5 ml YPD and incubated for ~36–48 hr at room temperature (22°) to bring the cultures to saturation. Saturated cultures were diluted in sterile dH₂O to OD₆₀₀ of 0.5 and 100 μl of each was transferred to a 96-well plate. They were subsequently serially diluted five times in 1:10 increments, and 5 μl of each were spotted onto YPD plates containing 7.5 mM erythrosine B and 0.02% methylmethane sulfonate, 20 mM hydroxyurea (HU), or no drug. Plates were incubated at either room temperature for 4 days or 37° for 3 days.

Single-strand annealing cell survival assays

SSA assays were performed as described (Sugawara *et al.* 2004; Goldfarb and Alani 2005; George *et al.* 2011). Briefly, cultures derived from single colonies were grown to mid-log phase in yeast peptone (YP), 2% lactate, diluted 1:2500, and plated (100 μl/plate) on both YP, 2% glucose and YP, 2% galactose. For the strains containing 2μ plasmids, single colonies grown on minimal dropout plates (Rose *et al.* 1990) were inoculated into minimal drop-out liquid media containing 2% glucose and grown overnight. Cultures were diluted into YP, 2% lactate media and then grown to mid-log phase. Appropriate dilutions of this culture were plated onto minimal dropout media containing 2% glucose and minimal dropout media containing 2% galactose. SSA efficiency was determined by taking the average of the number of colony forming units on galactose vs. glucose for each strain, and heteroduplex rejection efficiency was calculated as the ratio of SSA efficiency of the A-A strain to F-A strain. It is important to note that this ratio increased by approximately two-fold when wild-type strains (EAY1141, EAY1143; Table 1) were grown on minimal compared to YP media. Because of this difference, all experiments presented in a specific table were performed under identical growth and plating conditions. A *t*-test (differences were considered significant when *P* < 0.05) was performed to compare the A-A

and F-A SSA efficiencies of all strains with the corresponding wild-type SSA efficiency. The *URA3* product formed by SSA was analyzed by PCR (500-bp product) using primers AO3194 (5' AACCTCTGACACATGCAGCTC) and AO3195 (5' TGGTGGTACGAACATCCAATG), and the organization of the SSA substrate prior to double-strand break induction was confirmed by using the same primers (3400-bp product). PCR was performed for 35 cycles, with each cycle consisting of a 30-sec denaturation step at 95°, a 30-sec annealing step at 52°, and a 4-min extension step at 72°.

Efficiency of MMR during SSA

To assay the repair of DNA mismatches in heteroduplex DNA formed during SSA (Sugawara *et al.* 2004), EAY1143 and derivative cultures were grown to mid-log phase in YP, 2% lactate. Single unbudded cells obtained from these cultures were placed onto YP, 2% galactose plates. The plates were then incubated at 30° for 3 days. Chromosomal DNA was isolated from the resulting colonies and PCR was performed with the chromosomal DNA and primers AO3194 and AO3195 using conditions described above. PCR products were digested with *MspI* and then analyzed on a 1% agarose gel. Fisher's exact test (*P* < 0.05 considered significant) was performed to assess whether MMR efficiency of mutants was significantly different from wild type.

Homeologous recombination using an inverted repeat reporter assay

Strains used to measure homeologous recombination are listed in Table 1. Strains containing 2μ plasmids were struck onto minimal dropout media plates. A total of 13–25 single colonies per strain were then inoculated into 5 ml of minimal dropout medium containing 4% galactose and 2% glycerol and grown for 2 days at 30°. Appropriate dilutions of cells were plated onto minimal media (2% galactose, 2% glycerol) plates lacking histidine and the amino acid required to maintain the 2μ plasmid (selective) and onto minimal media (2% glucose) plates lacking the amino acid required to maintain the 2μ plasmid (permissive). Plates were incubated for 4 days at 30° and then scored for frequency of His⁺ colonies. The rate of homeologous recombination was calculated as described (Nicholson *et al.* 2000).

Pairwise Mann–Whitney tests were performed between mutant and corresponding wild type of each strain, since the recombination rates are calculated from the median of all the colonies tested. Differences were considered significant when *P* < 0.05.

Measuring mutation rates using the *lys2A*₁₄ reversion assay

The *lys2A*₁₄ reversion assay was performed as described (Heck *et al.* 2006).

Western blot analysis

Cell pellets from mid-log phase cell cultures (OD₆₀₀ of 0.5–0.6) were resuspended in 0.5 ml lysis buffer (150 mM NaCl, 25 mM Tris pH 8.0, 1 mM EDTA, 10 mM β-mercaptoethanol,

1 mM PMSF) and lysed by vortexing with glass beads. Lysates containing 20 μ g protein, measured using the Bradford (1976) assay, were run on an 8% SDS/PAGE gel. Contents of the gel were transferred onto a BioRad nitrocellulose membrane using a Mini Trans-Blot cell (BioRad). The membrane was then blocked overnight at 4° and probed with 1:4000 diluted rabbit anti-Msh6 (Studamire *et al.* 1998; Kumar *et al.* 2011) for 1 hr and 1:15,000 diluted horseradish peroxidase-conjugated goat antirabbit antibody for 1 hr. HRP signal was detected using the BioRad Clarity Western ECL substrate kit and exposed to CL-XPosure film (Thermo Scientific).

Results

Rationale for the experiments presented in this study

Studies in yeast and human cells indicate that Msh6 and RecQ family helicases work together to disrupt recombination intermediates (Figure 2B) (Wang *et al.* 2000; Myung *et al.* 2001; Pedrazzi *et al.* 2003; Spell and Jinks-Robertson 2004; Sugawara *et al.* 2004; Yang *et al.* 2004; Saydam *et al.* 2007). To provide further evidence for this idea in yeast, we purified Msh2-Msh6 protein and a fragment of Sgs1, Sgs1_{400–1268}, which contains the helicase domain of Sgs1, but lacks other known or predicted domains (Bennett *et al.* 1998, 1999; Mullen *et al.* 2000), and showed by coimmunoprecipitation that Msh2-Msh6 and Sgs1 form a complex (Figure 3). These results also suggest that an Msh6 interaction site on Sgs1 lies within amino acids 400 and 1268. Though this coimmunoprecipitation does not distinguish whether Sgs1 interacts with Msh2 or Msh6, work analyzing mammalian homologs (Pedrazzi *et al.* 2003) suggests that Sgs1 most likely interacts directly with Msh6. Since Sgs1 does not appear to function in postreplicative MMR (Sugawara *et al.* 2004), and Msh6 is not known to participate in any other pathways that require Sgs1, we hypothesize that this interaction is likely to be important for heteroduplex rejection.

The detection of physical interactions between Sgs1 and Msh2-Msh6 encouraged us to think more critically about how the decision is made to undergo heteroduplex rejection *vs.* MMR, a decision step that has not been carefully explored. We find this of particular interest because mismatch recognition by Msh proteins initiates both processes. We focused on answering the following questions: (1) Does Sgs1 helicase act alone in heteroduplex rejection or does it function with Top3 and Rmi1? (2) Can overexpression of MMR or heteroduplex rejection factors alter the choice to undergo MMR *vs.* rejection? (3) Does the Msh6-PCNA interaction affect pathway choice?

Top3 and Rmi1 act in heteroduplex rejection

To measure heteroduplex rejection, we utilized an SSA cell survival assay (Figure 1A; Sugawara *et al.* 2004) in which a double-strand break is induced between two 205-bp direct repeats that are either identical (A-A strain) or 3% divergent (F-A strain, forms six base-base and a single nucleotide insertion/deletion mismatch in heteroduplex DNA). Repair of

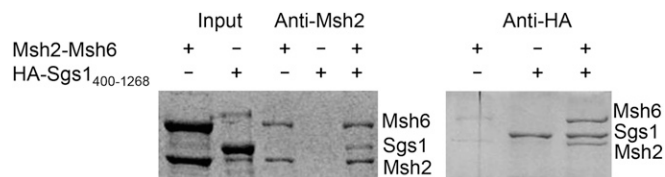


Figure 3 Msh6 and Sgs1 interact by coimmunoprecipitation (Co-IP). Co-IP of Sgs1_{400–1268}-3HA with Msh2-Msh6 *in vitro* using Msh2 antibody (Left), and co-IP of Msh2-Msh6 with Sgs1_{400–1268}-3HA *in vitro* using HA antibody (Right). See *Materials and Methods* for details.

this substrate requires removal of the 3' tail in a process dependent on Msh2-Msh3 and the Rad1-Rad10 endonuclease, in addition to other factors (Fishman-Lobell and Haber 1992; Sugawara *et al.* 1997; Li *et al.* 2008). The efficiency of SSA repair, as measured by cell viability in the two strains, was then compared. In wild-type strains, SSA efficiently repairs the break (77–93%) only when the repeats are identical (A-A; Table 2). Survival of wild-type strains bearing the F-A repeats is significantly lower (22–28%). Survival of F-A strains that lack Msh6 or Sgs1 approaches that seen in A-A strains, indicating that Msh6 and Sgs1 prevent recombination between divergent DNA sequences [Sugawara *et al.* 2004; data from Goldfarb and Alani (2005) reprinted in Table 2].

Top3 is a type I topoisomerase that forms a stable complex with Sgs1 and depends on association with the small protein Rmi1 for its activity (Gangloff *et al.* 1994; Bennett *et al.* 2000; Fricke *et al.* 2001; Chang *et al.* 2005; Mullen *et al.* 2005; Chen and Brill 2007). The Sgs1-Top3-Rmi1 (STR) complex serves in several roles in the maintenance of genome stability, including DSB end resection, rescue of stalled replication forks, D-loop disruption, and dissolution of double Holliday junctions (Cejka *et al.* 2010; Niu *et al.* 2010; Hickson and Mankouri 2011; Mimitou and Symington 2011; Fasching *et al.* 2015). However, Sgs1 also displays functions that do not appear to require Top3 and Rmi1. For example, Top3 and Rmi1 are not required for DNA end resection, although they serve a stimulatory role (Cejka *et al.* 2010), and Sgs1 functions independently of Top3 in stimulating the Rad53 checkpoint kinase in response to HU-dependent replication fork stalling, though this checkpoint function does not require the helicase activity of Sgs1 (Bjergbaek *et al.* 2005).

To test whether Top3 and Rmi1 play a role in heteroduplex rejection during SSA, we performed an analysis of temperature-sensitive alleles of TOP3 and RMI1 that display top3-null- and rmi1-null-like phenotypes at 37°, but not 22°. As shown in Figure 4, the top3^{ts} allele confers slow growth and sensitivity to the DNA damaging agents MMS and HU at the restrictive temperature, similar to top3Δ (Shor *et al.* 2002; Wagner *et al.* 2006). rmi1^{ts-2} and rmi1^{ts-3} were obtained from a screen (*Materials and Methods*) for rmi1 mutants that failed to complement slow growth and MMS sensitivity of an rmi1Δ strain and are similar in phenotype to the rmi1-1 mutant described by Ashton *et al.* (2011). Both alleles confer phenotypes similar to the top3^{ts} allele, though with less severity (Figure 4).

Table 2 Heteroduplex rejection efficiency of *top3^{ts}*, *rmi1^{ts}*, *pol30*, and *msh6* mutants as determined in SSA survival assays

Relevant genotype	Temp.	A-A	F-A	A-A/F-A
Wild type	22°	0.93 ± 0.09	0.26 ± 0.05	3.6
	25°	0.77 ± 0.13	0.28 ± 0.06	2.8
	30°	0.79 ± 0.11	0.24 ± 0.06	3.3
	32°	0.84 ± 0.07	0.22 ± 0.05	3.9
	37°	0.79 ± 0.10	0.25 ± 0.07	3.2
<i>top3^{ts}</i>	22°	0.70 ± 0.09*	0.38 ± 0.01**	1.9
	25°	0.74 ± 0.18	0.38 ± 0.08*	2.0
	30°	0.75 ± 0.05	0.48 ± 0.05**	1.6
	32°	0.78 ± 0.04	0.42 ± 0.04**	1.9
	37°	0.67 ± 0.16	0.50 ± 0.14**	1.4
<i>rmi1^{ts-2}</i>	22°	0.98 ± 0.16	0.58 ± 0.16*	1.7
	25°	0.95 ± 0.13*	0.60 ± 0.18**	1.6
	37°	0.91 ± 0.15	0.64 ± 0.10**	1.4
<i>rmi1^{ts-3}</i>	22°	0.77 ± 0.05*	0.41 ± 0.15	1.9
	25°	0.83 ± 0.11	0.41 ± 0.10*	2.0
	37°	0.96 ± 0.08*	0.55 ± 0.08**	1.8
<i>msh6-KQFF</i> > AAAAA	30°	0.77 ± 0.08	0.21 ± 0.03	3.6
<i>pol30-204</i>	30°	0.70 ± 0.08*	0.21 ± 0.03	3.4
<i>pol30-201</i>	30°	0.56 ± 0.10**	0.17 ± 0.03*	3.2
<i>pol30-216</i>	30°	0.48 ± 0.08**	0.13 ± 0.02**	3.7
Goldfarb and Alani 2005				
Wild type	30°	0.61 ± 0.12	0.19 ± 0.03	3.4
<i>msh6Δ</i>	30°	0.87 ± 0.02	0.61 ± 0.06	1.4
<i>sgs1Δ</i>	30°	0.79 ± 0.16	0.75 ± 0.18	1.1

Survival of indicated strains expressed as mean of colony forming units on galactose/glucose ± SD for 3–21 experiments. Heteroduplex rejection efficiency is shown as the ratio of A-A to F-A survival. Significant differences were calculated for data obtained in this study and are indicated as follows: *Significantly different from wild type using *t* test ($P < 0.05$). **Significantly different from wild type using *t* test ($P < 0.01$). Published data of *msh6Δ* and *sgs1Δ* along with wild-type strains from Goldfarb and Alani (2005) are shown for comparison.

Heteroduplex rejection efficiency was not affected by temperature in wild-type strains (22° to 37°; Table 2). However, in strains bearing the *top3^{ts}* allele or either one of the *rmi1^{ts}* alleles, heteroduplex rejection was reduced at all temperatures. These data suggest that *Top3* and *Rmi1* rejection functions are more sensitive to mutation than the DNA repair functions. Although heteroduplex rejection was reduced in all *top3* and *rmi1* mutants, the A-A/F-A ratio (1.4–2.0) in these strains never reached the levels seen in *sgs1Δ* (1.1). The A-A/F-A ratio in these strains was similar to that seen for *msh6Δ*, indicating a critical, but perhaps not exclusive role for *Top3-Rmi1* in heteroduplex rejection. It is important to note that heteroduplex rejection efficiency of *top3* and *rmi1* alleles cannot be compared to *msh2Δ* in this assay because the *Msh2-Msh3* complex is required for SSA, where it interacts with *Rad1-Rad10* to remove nonhomologous 3' single-stranded DNA tails that form during repair (reviewed in Lyndaker and Alani 2009).

PCNA-Msh6 interactions appear dispensable for rejection during SSA

PCNA, encoded by the *POL30* gene in baker's yeast, is a homotrimeric clamp required for processivity of DNA replication and

is associated with replication forks (reviewed in Kelman 1997). *PCNA* forms a stable interaction with the N terminus of *Msh6*, and this interaction involves a KQFF motif in *Msh6* (Clark *et al.* 2000; Shell *et al.* 2007). Umar *et al.* (1996) presented data suggesting roles for *PCNA* in mismatch repair prior to DNA synthesis steps, and Flores-Rozas *et al.* (2000) and Lau and Kolodner (2003) demonstrated that interaction with *PCNA* enhances mismatch recognition by *Msh2-Msh6*. Also, Stone *et al.* (2008) showed that *Msh-PCNA* interactions play a minor role in preventing recombination between divergent sequences using inverted repeat substrates. Finally Hombauer *et al.* (2011b) presented data suggesting that heteroduplex rejection involving inverted repeat substrates does not require coupling to DNA replication.

The above observations encouraged us to test whether a *PCNA-Msh6* interaction is important for heteroduplex rejection during SSA. We used both an *msh6* allele, which disrupts *Msh2-Msh6* binding to *PCNA* (*msh6-KQFF* > AAAAA), as well as three *pol30* alleles: a mild mutator allele *pol30-204*, which disrupts *PCNA-Msh6* interactions; *pol30-201*, which maintains *PCNA-Msh6* interactions but otherwise shares a similar phenotypic profile to *pol30-204*; and *pol30-216*, which displays a range of moderate-to-severe phenotypes in the presence of DNA damaging agents (Lau *et al.* 2002). In each of the three *pol30* mutants and the *msh6-KQFF* > AAAAA mutant, the rejection efficiency was similar to that of wild type, indicating that heteroduplex rejection was intact and that *Msh6* performed its role in rejection, independent of *PCNA* (Table 2). Interestingly, all *pol30* strains, especially *pol30-216* and *pol30-201*, showed significantly reduced SSA efficiency between completely homologous DNA sequences (A-A). At present, we favor the idea that the SSA defects seen in *pol30* mutants are due to the mutant *PCNA* being defective in DNA replication processivity steps during SSA (e.g., gap filling, see *Discussion*).

We then tested the *msh6* and *pol30* mutant alleles for their effect on repairing mismatches formed when F-A substrates escape rejection and undergo repair (Figure 1B). Consistent with a previous study that examined meiotic MMR (Stone *et al.* 2008), the *pol30-201* allele caused a significant MMR defect (Table 3). We also tested *msh6Δ* as a control and found that it displays a significant MMR defect as published previously (Table 3) (Lau *et al.* 2002; Sugawara *et al.* 2004). These data suggest that *PCNA* does not influence rejection through mismatch recognition or other mismatch-specific mechanisms, but plays an important role in the repair of mismatches generated during homeologous recombination. It is important to note that the *pol30-204* and *msh6KQFF* > AAAAA mutations did not confer MMR defects, consistent with previous work showing that these mutations confer subtle defects in MMR (Clark *et al.* 2000; Lau *et al.* 2002; Shell *et al.* 2007). In addition, *sgs1*, *top3*, and *rmi1* mutations did not confer MMR defects (Table 3), supporting the idea that heteroduplex rejection and mismatch repair are distinct pathways involving common initiation steps (mismatch binding by *Msh* heterodimers) that are then carried out by distinct factors (Figure 2A; Sugawara *et al.* 2004).

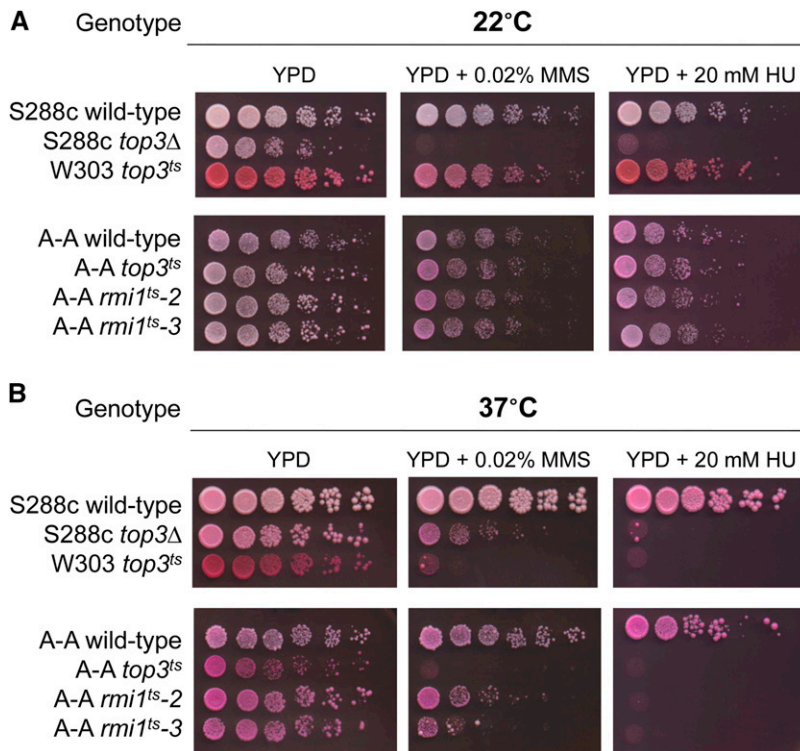


Figure 4 Temperature-sensitive alleles of *RMI1* display *rmi1-null*-like phenotypes at 37° but not 22°, similar to a previously characterized *top3^{ts}* mutant. Ten-fold serial dilutions of the indicated strains spotted onto YPD plates containing 7.5 μm erythrosine B to stain dead cells pink and with or without the indicated DNA damaging agents. Both the *top3^{ts}* mutant [as described by Wagner *et al.* (2006)] and two *rmi1^{ts}* alleles isolated in this study display slow growth, increased cell death, and sensitivity to DNA damaging agents at 37° (B) but not 22° (A). Note that the W303 *top3^{ts}* strain appears pink due to an *ade2* mutation; thus an assessment of cell death by erythrosine B staining cannot be determined in this strain.

Overexpression of *Msh6* and *Sgs1* improves heteroduplex rejection during SSA

The finding that *Msh6* and *Sgs1* physically interact and that *Top3* and *Rmi1* participate in heteroduplex rejection encouraged us to test whether overexpression of these factors and a set of MMR proteins could alter the heteroduplex rejection *vs.* MMR decision. As shown in Table 4, overexpression of *Msh6*, *Msh3*, and *Sgs1*, but none of the other components tested conferred significant changes in heteroduplex rejection efficiency. Overexpression of *Msh6*, which resulted in a roughly eightfold increase in cellular *Msh6* levels (Figure 5), led to a decrease in F-A survival ($P < 0.01$, *t*-test) and consequently a sevenfold higher efficiency in heteroduplex rejection (Table 4).

Overexpression of the *msh6*-KQFF > AAAA protein, which was stable and expressed at a level similar to the overexpression of wild-type *Msh6* (Figure 5), resulted in a phenotype similar to *Msh6* overexpression, again suggesting that a PCNA-*Msh6* interaction is not required for rejection during SSA (Table 4). When *Msh2* and *Msh6* were cooverexpressed, heteroduplex rejection returned close to wild-type levels, suggesting that the increased rejection seen in *Msh6* overexpression strains was due to a reduction in *Msh2*-*Msh3* levels as a result of *Msh6* sequestering *Msh2*. In this model, *Msh6* overexpression would lead to less efficient *Msh2*-*Msh3*-dependent 3' tail clipping, and thus provide a longer time window for *Msh2*-*Msh6* to promote heteroduplex rejection. In support of this, we found that *Msh6* overexpression resulted in reduced repair of the A-A substrate (68 to 39%, $P < 0.01$, *t*-test; Table 4), presumably due to lack of a sufficient amount of *Msh2*-*Msh3* that is required to clip the 3' tails to

complete SSA. Also, when *Msh6* and *Msh3* were cooverexpressed, the rejection efficiency was similar to wild type, presumably because the relative levels of *Msh2*-*Msh6* and *Msh2*-*Msh3* would be almost unchanged. It is important to note that we did not see a similar decrease in SSA efficiency in the A-A substrate when *Msh6* was overexpressed along with a 2μ empty vector (Table 4). We believe that this is due to a reduction in copy number of the 2μ-*MSH6* plasmid when an additional 2μ plasmid is also selected for. In this scenario, we hypothesize that SSA repair efficiency is less sensitive to *Msh6* overexpression levels relative to heteroduplex rejection. We also overexpressed other proteins involved in 3' tail clipping such as *Rad1*-*Rad10* and *Saw1*, with the goal of learning whether they would affect the tail clipping efficiency and thereby heteroduplex rejection (Table 4). However, strains overexpressing these proteins displayed rejection efficiencies similar to wild type; one explanation for this is that *Msh2*-*Msh3* binding to 3' nonhomologous tails is a rate-limiting step.

Msh3 overexpression resulted in an increase in F-A survival ($P < 0.01$, *t*-test) and a decrease in heteroduplex rejection efficiency, which was restored to wild-type levels when *Msh2* and *Msh3* were cooverexpressed (Table 4). This observation is consistent with *Msh3* overexpression sequestering *Msh2*, resulting in lower levels of *Msh2*-*Msh6* that can participate in heteroduplex rejection. As indicated above, overexpression of *Sgs1* also led to a subtle decrease in F-A survival compared to wild type ($P < 0.05$) and thus an increase in heteroduplex rejection. It is important to note that overexpression of all three components, *Sgs1*, *Top3*, and

Table 3 Mismatch correction of heteroduplexes arising during SSA between F and A flanking sequences

Genotype	Number of colonies ^a			Percent mixed
	<i>MspI</i> ⁺ (F)	<i>MspI</i> ⁻ (A)	Mixed (F-A)	
Wild-type	28	8	2	5
<i>msh6Δ</i>	1	4	18	78**
<i>pol30-201</i>	17	3	15	43**
<i>pol30-204</i>	18	10	5	15
<i>msh6-KQFF > AAAA</i>	30	8	3	7
<i>top3^{ts-25°}</i>	10	6	3	16
<i>top3^{ts-37°}</i>	14	5	4	17
<i>rmi1^{ts-25°}</i>	20	0	3	13
<i>rmi1^{ts-37°}</i>	39	9	12	20

** Significantly different from wild type using Fisher's exact test ($P < 0.01$).

^a Colonies derived from single unbudded cells grown on media containing galactose were analyzed for the presence of the *MspI* site (*Materials and Methods*) present in the *ura3-FL100* (F) allele. Mixed colonies contain cells with and without the *MspI* site.

Rmi1, could not be done because the resulting transformants grew poorly. Our data support the idea that during recombination, yeast cells are in a rejection mode prior to 3' tail removal, where the 3' tails serve as a platform for STR to act on heteroduplex intermediates. Such a model also predicts that heteroduplex rejection would be further activated by increasing the expression of STR components, as was seen when *Sgs1* was overexpressed. Together these data suggest that altering the levels of specific Msh complexes has a significant effect on heteroduplex rejection during SSA and that the tail clipping functions exhibited by *Msh2-Msh3* play a critical regulatory role in the heteroduplex rejection vs. MMR decision.

***Msh6* overexpression disrupts heteroduplex rejection in an assay likely coupled to replication**

We examined the effect of Msh overexpression on homeologous recombination using an inverted repeat assay in which recombination is thought to be initiated via DNA lesions arising during or shortly after replication of the recombination substrate (Chen and Jinks-Robertson 1998; Nicholson *et al.* 2000). In this assay recombination between identical or divergent DNA sequences reorients intron and *HIS3* sequences to generate a functional *HIS3* gene (Figure 1C). Such His⁺ recombinants have been hypothesized to result from gene conversion events involving repeat sequences present on sister chromatids. While both *Msh2-Msh6* and *Msh2-Msh3* were required for suppressing recombination between substrates predicted to form base–base mismatches in heteroduplex DNA (cβ2/cβ2-ns substrate), only *Msh2-Msh3* was shown to play such a role for a substrate predicted to form 4-nt insertion/deletion loops (cβ2/cβ2-4L; Chen and Jinks-Robertson 1998; Nicholson *et al.* 2000; Lee *et al.* 2007).

We introduced an *MSH6*-2μ plasmid into strains bearing homologous, base–base, and 4-nt loop inverted repeat substrates. *Msh6* overexpression conferred a modest increase in recombination between identical repeat sequences. Surprisingly,

Table 4 Heteroduplex rejection efficiency of overexpression strains as determined in survival assays

2μ vector	A-A	F-A	A-A/F-A
pRS424	0.66 ± 0.04	0.09 ± 0.01	7.6
<i>MSH6</i>	0.39 ± 0.04**	0.008 ± 0.002**	49
<i>msh6-KQFF > AAAA</i>	0.37 ± 0.05**	0.012 ± 0.003**	30
<i>MSH3</i>	0.55 ± 0.02*	0.24 ± 0.02**	2.3
<i>MSH2</i>	0.60 ± 0.07	0.14 ± 0.02*	4.2
<i>MLH1, PMS1</i>	0.64 ± 0.05	0.08 ± 0.02	8.3
<i>EXO1</i>	0.48 ± 0.02*	0.08 ± 0.01	5.7
<i>POL30</i>	0.35 ± 0.01**	0.05 ± 0.01	6.6
<i>SGS1</i>	0.57 ± 0.03	0.050 ± 0.004*	11
<i>TOP3, RMI1</i>	0.62 ± 0.06	0.099 ± 0.004	6.2
<i>RAD1, RAD10</i>	0.52 ± 0.03*	0.10 ± 0.01	5.3
<i>SAW1</i>	0.58 ± 0.04	0.07 ± 0.01	7.7
pRS424, pRS425	0.50 ± 0.01	0.10 ± 0.01	5.0
<i>MSH2</i> , pRS425	0.52 ± 0.08	0.12 ± 0.04	4.2
<i>MSH6</i> , pRS424	0.51 ± 0.03	0.009 ± 0.005**	54
<i>MSH3</i> , pRS424	0.52 ± 0.10	0.20 ± 0.04*	2.6
<i>MSH2, MSH6</i>	0.56 ± 0.06	0.06 ± 0.01	9.0
<i>MSH2, MSH3</i>	0.56 ± 0.05	0.12 ± 0.03	4.5
<i>MSH3, MSH6</i>	0.40 ± 0.05	0.04 ± 0.01**	10

Survival of indicated strains expressed as colony forming units on galactose/glucose ± SE of mean for 4 to 18 experiments. Heteroduplex rejection efficiencies are shown as the ratio of A-A to F-A survival. *Significantly different from wild type using *t* test ($P < 0.05$). **Significantly different from wild type using *t* test ($P < 0.01$).

such overexpression promoted homeologous recombination involving substrates predicted to form both base–base and 4-nt loop mismatches. In wild-type strains, the ratio of homologous to homeologous recombination rates was 16 and 6.4 for base–base and 4-nt loop mismatches, respectively. When *Msh6* was overexpressed, this ratio decreased to 1.9 and 0.96 for base–base and 4-nt loop mismatches, respectively, approaching that seen in *msh2Δ* strains where antirecombination is severely compromised (Table 5; Lee *et al.* 2007). In contrast, *Msh3* overexpression improved antirecombination in the 4-nt loop strains by three-fold, consistent with *Msh2-Msh3* being important for its antirecombination functions. *Msh2* overexpression had no effect, consistent with it acting as a common partner with both *Msh6* and *Msh3*, and not specifying mismatch recognition.

We also tested whether overexpression of *msh6-KQFF > AAAA* protein, which is disrupted for its interactions with PCNA, would, like *Msh6* overexpression, increase homeologous recombination. This was of interest because this mutant allele conferred phenotypes similar to wild-type *MSH6* in the SSA assay (Table 2 and Table 4). However, as shown in Table 5, *msh6-KQFF > AAAA* overexpression did not increase homeologous recombination; overexpression improved antirecombination by twofold in the base–base mismatch strains but did not affect antirecombination in the 4-nt loop strains. These results indicate that *Msh6* overexpression disrupts antirecombination in a system where recombination occurs during replication, and this reduction depends on its interaction with PCNA. Curiously, both *Msh6* and *msh6-KQFF > AAAA* overexpression conferred a mutator phenotype in a wild-type strain (Table 6). These rates were higher than seen

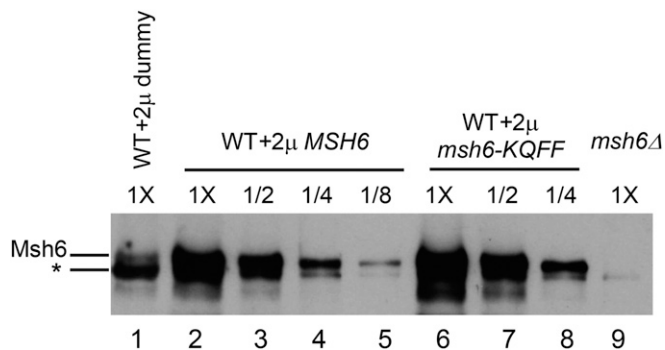


Figure 5 Msh6 and *msh6-KQFF > AAAAA* are overexpressed to similar levels when present on 2 μ vectors. Western blot analysis (*Materials and Methods*) using Msh6 antibody was performed on cell extracts derived from wild-type and *msh6* Δ strains. (Lane 1) Wild type containing a 2 μ dummy vector. (Lanes 2–8) Wild type containing *MSH6*-2 μ (2–5) and *msh6-KQFF > AAAAA*-2 μ (6–8) vectors. (Lane 9) *msh6* Δ strain lacking a 2 μ vector. A twofold dilution series of extracts derived from wild-type strains containing *MSH6*-2 μ and *msh6-KQFF > AAAAA*-2 μ are shown as indicated. With the exception of the dilution series, 20 μ g of each protein extract was loaded. * indicates a nonspecific band that is seen in strains containing 2 μ vectors.

in *msh3* Δ strains, but lower than in *msh6* Δ strains, indicating that Msh6 overexpression confers a mutator phenotype independent of Msh6-PCNA interactions. Additionally, overexpression of *msh6-F337A*, an allele that disrupts Msh2-Msh6 mismatch recognition, conferred a severe mutator phenotype, indicating that it is a dominant negative allele (Table 6). For this reason, we did not include it in our overexpression experiments to test rejection efficiency.

Discussion

Roles for Top3, Rmi1, and PCNA in SSA

In this study, we showed that both Top3 and Rmi1 are required for heteroduplex rejection during SSA. Based on previous studies showing that Sgs1, Top3, and Rmi1 form a complex, and that Sgs1 mediated unwinding of 3' tailed substrates is strongly stimulated by Top3-Rmi1 (Bennett *et al.* 1998, 1999; Cejka *et al.* 2010; Cejka and Kowalczykowski 2010), we hypothesize two possible roles for Top3-Rmi1 in heteroduplex rejection: (1) Top3-Rmi1 plays a catalytic role in rejection during SSA by relieving torsional strain caused by Sgs1 unwinding from both ends of a heteroduplex substrate. (2) Top3-Rmi1 physically stabilizes Sgs1 so that it can unwind heteroduplex DNA. The latter idea is supported by previous data showing that Sgs1 levels decrease in the absence of Top3 (Chang *et al.* 2005).

We also tested whether PCNA plays a role in heteroduplex rejection by promoting mismatch recognition by Msh proteins. *pol30* and *msh6* mutants defective in PCNA-Msh6 interactions (*pol30-204*, *msh6-KQFF > AAAAA*) did not show any effect on rejection efficiency, indicating that such interactions are dispensable for heteroduplex rejection during SSA. Our results are consistent with those of Stone *et al.*

(2008), who showed in an inverted-repeat recombination system that PCNA-Msh6 interactions played a minor role in regulating the fidelity of recombination. Additionally, we observed a decrease in the viability of A-A cells in the *pol30* mutant backgrounds. This indicates a role for PCNA in the SSA pathway, most likely in the DNA synthesis step following 3' tail removal. Consistent with this idea, PCNA was shown to stabilize binding of various polymerases to the 3'-OH of a DNA template during replication and to stimulate DNA synthesis (Maga and Hübscher 1995; Einolf and Guengerich 2000; Maga *et al.* 2002), and human PCNA has been shown to stimulate DNA synthesis during microhomology-mediated end joining, a process similar to SSA that is initiated on terminal microsatellite sequences on ssDNA (Crespan *et al.* 2012).

Finally, we tested whether PCNA plays a role in repairing mismatches generated during SSA between divergent sequences that escape rejection. We found that the *pol30-201* mutant, which has a moderate mutator phenotype but is proficient in PCNA-Msh6 interactions, showed a defect in the repair of mismatches generated between divergent substrates during SSA. A similar observation was made by Stone *et al.* (2008), who showed that the *pol30-201* mutation decreased the efficiency of MMR in heteroduplex DNA generated during meiotic recombination. It is important to note that the *pol30-201* mutation alters an amino acid located on the inner surface of the PCNA ring that slides on DNA; thus the observed MMR defect could result from a faulty interaction between a pcna-201-Msh2-Msh6 complex and mismatch DNA, as suggested by Lau *et al.* (2002). The *pol30-204* mutation, however, alters an amino acid located in the monomer-monomer interface region of PCNA and disrupts the Msh6-PCNA interaction (Lau *et al.* 2002) as does *msh6-KQFF > AAAAA*. Since these alleles did not confer MMR defects, the PCNA-Msh6 interaction is not likely required for the repair of mismatches generated during SSA. These data also suggest that the MMR defect conferred by the *pol30-201* mutation is at an early stage in MMR. If the defect occurred during resynthesis steps, the DSB would not be fully repaired and the unbudded *pol30-201* F-A cells would fail to form colonies on galactose plates. Together our data indicate that during recombination, PCNA does not act in antirecombination but promotes MMR in heteroduplex DNA.

How is the commitment to reject heteroduplex intermediates made?

In the SSA system used here, we observed an ~80% heteroduplex rejection efficiency in wild-type strains, indicating a clear preference toward rejecting heteroduplex substrates. The rejection vs. repair decision is critical because repair involving divergent sequences would propagate specific alleles as gene conversion events, and if the substrates are on different chromosomes, SSA between them will generate chromosomal rearrangements.

These issues encouraged us to obtain a better understanding of the factors that play a role in the rejection/repair decision, especially since the same set of Msh proteins act in

Table 5 Recombination rates in strains overexpressing MMR proteins as measured in the inverted repeat reporter assay

Strain	2 μ vector	Rate of His ⁺ recombination ($\times 10^{-6}$)	Homologous rate/homeologous rate ^a
C β 2-C β 2	pRS426	0.64 (0.47–0.90) ^b	
	<i>MSH6</i>	1.5 (0.68–2.44)*	
	<i>msh6 KQFF > AAAAA</i>	2.1 (1.38–2.96)**	
	<i>MSH3</i>	1.1 (0.66–1.65)**	
	<i>MSH2</i>	0.54 (0.26–0.72)	
C β 2/C β 2-ns	pRS426	0.04 (0.02–0.08)	16
	<i>MSH6</i>	0.80 (0.60–1.31)**	1.9
	<i>msh6 KQFF > AAAAA</i>	0.07 (0.03–0.12)	30
	<i>MSH3</i>	0.08 (0.03–0.16)	14
	<i>MSH2</i>	0.04 (0.01–0.06)	14
C β 2/C β 2-4L	pRS426	0.10 (0.05–0.56)	6.4
	<i>MSH6</i>	1.57 (1.12–1.81)**	0.96
	<i>msh6 KQFF > AAAAA</i>	0.29 (0.11–0.36)	7.2
	<i>MSH3</i>	0.06 (0.05–0.09)	18
	<i>MSH2</i>	0.09 (0.04–0.13)	6.0
Lee <i>et al.</i> 2007 C β 2-C β 2	Wild type	2.7 (2.5–3.8)	
	<i>msh2Δ</i>	5.5 (4.7–9.2)	
	<i>msh6Δ</i>	2.0 (1.3–2.4)	
	<i>msh3Δ</i>	6.6 (6.2–9.6)	
C β 2/C β 2-ns	Wild type	0.16 (0.15–0.22)	16.9
	<i>msh2Δ</i>	8.7 (5.2–10)	0.6
	<i>msh6Δ</i>	2.2 (1.9–2.4)	0.9
	<i>msh3Δ</i>	1.8 (1.2–2.4)	3.7
C β 2/C β 2-4L	Wild type	0.25 (0.15–0.30)	10.8
	<i>msh2Δ</i>	6.7 (6.5–8.4)	0.8
	<i>msh6Δ</i>	0.27 (0.21–0.34)	7.4
	<i>msh3Δ</i>	7.6 (6.6–9.1)	0.9

Homeologous recombination rates and 95% confidence intervals were calculated as described in *Materials and Methods* from 13–25 individual cultures. The genotypes of the strains are shown in Table 1. C β 2/C β 2, homologous substrate; C β 2/C β 2-ns, base–base mismatch substrate; C β 2/C β 2-4L, 4-nt loop mismatch substrate.

^a Homologous rate (C β 2-C β 2)/homeologous rate for strains with the same overexpression plasmid. ^b Numbers in parentheses indicate 95% confidence intervals. *Significantly different from wild type of the same strain ($P < 0.05$, Mann–Whitney test). **Significantly different from wild type of the same strain ($P < 0.01$, Mann–Whitney test). Published data of *msh2 Δ* , *msh6 Δ* , and *msh3 Δ* along with wild-type strains from Lee *et al.* 2007 are provided for C β 2-C β 2, C β 2/C β 2-ns, and C β 2/C β 2-4L strain backgrounds for reference purposes.

both processes. We used a protein overexpression approach and learned the following: First, the repair/rejection decision does not appear to involve a simple competition between MMR and rejection factors for recombination intermediates containing DNA mismatches. We found that overexpressing MMR factors such as *Mlh1-Pms1*, *Exo1*, and *PCNA* did not affect the efficiency of heteroduplex rejection, whereas overexpressing *Sgs1* only slightly improved the rejection efficiency and *Top3-Rmi1* overexpression had no effect. Second, our data are consistent with tailed DNA intermediates playing an important role in the commitment to reject heteroduplex intermediates. Our data in the SSA assay argue for *Msh6* overexpression sequestering *Msh2*, resulting in less efficient 3' tail clipping due to reduced levels of *Msh2-Msh3*. We hypothesize that lowering levels of *Msh2-Msh3* creates an increased opportunity for rejection factors to be loaded onto 3' tails and unwind heteroduplex substrates. In support of this idea, we observed a decrease in A-A survival when *Msh6* was overexpressed, and cooverexpression of *Msh2* and *Msh6* or *Msh3* and *Msh6*, eliminated the increased rejection phenotype seen when *Msh6* was overexpressed by itself, presumably because *Msh2-Msh3* levels would not be specifically compromised.

The above data indicate a temporal commitment step for heteroduplex rejection, where prior to the clipping of the

3' tail, rejection is favored until the 3' tail is clipped (Figure 2B). This model is also supported by biochemical studies showing that *Sgs1* and its homologs in other species preferentially bind to, and unwind, “Y” shaped forked DNA substrates (Brosh *et al.* 2002; Saydam *et al.* 2007; Cejka *et al.* 2010). Thus the tail may be important for the loading of the STR complex onto the heteroduplex substrate. Our findings using the SSA substrates could extend to other homologous recombination pathways. For example, during the strand invasion step, partial homology could give rise to 3' nonhomologous tails that could then act as loading sites for the STR complex. These tails would have to be removed before proceeding to DNA synthesis steps and could potentially act as a commitment step for rejection vs. repair. However, it is likely that in addition to the structure of the DNA substrate, there are additional mechanisms that play a role in driving the rejection vs. repair decision. For example, the STR complex participates in 5' to 3' strand resection following DSB formation (Gravel *et al.* 2008; Mimitou and Symington 2008; Zhu *et al.* 2008; Cejka *et al.* 2010; Niu *et al.* 2010). An early recruitment and localization to sites of homologous recombination may give the STR complex an advantage (*cis*-effect) over downstream MMR proteins in terms of a more rapid recruitment to heteroduplex recombination intermediates. Additionally,

Table 6 Yeast overexpressing Msh6 display a mutator phenotype in the *lys2-A₁₄* reversion assay

Strain	Reversion rate ($\times 10^{-7}$), (95% C.I.)	Normalized
Wild type	9.9 (5.8–67)	1
Wild type + <i>MSH6</i> -2 μ	110 (31–647)**	11.1
Wild type + <i>msh6KQFF</i> > AAAA-2 μ	92.5 (67.6–149)**	9.3
Wild type + <i>msh6F337A</i> -2 μ	4610 (3270–5650)**	464
<i>msh6</i> Δ	585 (207–2030)**	59.1
<i>msh3</i> Δ	37.7 (22.3–49.4)*	3.8

FY23-derived strains were analyzed for *lys2-A₁₄* reversion as described in *Materials and Methods* and Table 1. Rates are presented per cell division. Numbers in parentheses indicate 95% confidence intervals. Rates and 95% confidence intervals were calculated from 10 independent cultures. *Significantly different from wild type using Mann–Whitney test ($P < 0.05$). **Significantly different from wild type using Mann–Whitney test ($P < 0.01$).

recombination factors such as *Rad52*, which function in SSA (Fishman-Lobell and Haber 1992; Sugawara and Haber 1992), are likely to aid, perhaps through protein–protein interactions, in the recruitment of *Sgs1-Top3-Rmi1* to 3' tails during strand annealing steps. Interestingly, Honda *et al.* (2014) showed that hMsh2-hMsh6 is capable of recognizing mismatch DNA in D-loop recombination intermediates, and suggest that the release of the ATP-bound hMsh2-hMsh6 sliding clamp at the D-loop branch point “is the result of branch point-induced ATP hydrolysis.” Furthermore, they hypothesize that upon encountering the branch point, hMsh2-hMsh6 undergoes conformational changes that are important for recruiting/loading downstream rejection factors such as antirecombinogenic helicases. Consistent with their work, our data emphasize the importance of the structure of the DNA substrate; the 3' tail creates a duplex-single strand DNA junction, which is likely to play an important role, following mismatch recognition by Msh proteins, in regulating the decision to recruit rejection vs. MMR factors.

Msh3/Msh6 mismatch repair protein ratios are critical to maintain genome integrity

Several studies have demonstrated the consequences of changing the cellular ratio of *Msh6* to *Msh3*: (1) We showed here that *Msh6* overexpression prevented recombination between divergent DNA sequences at the expense of *Msh2-Msh3* function during SSA. (2) Previously, the Modrich and Jiricny groups showed that amplification of the *MSH3* gene in methotrexate-resistant leukemia cells caused a deficiency in MMR of base–base mismatches due to sequestration of *Msh2* and consequently a reduction in *Msh2-Msh6* levels (Drummond *et al.* 1997; Marra *et al.* 1998). Together these data demonstrate that a balance in *Msh3/Msh6* protein levels is required to maintain various aspects of genome integrity. In support of this, *Msh2-Msh6* and *Msh2-Msh3* levels in the mouse vary in a tissue (and cell proliferation)-specific manner (Tomé *et al.* 2013), suggesting that this balance is regulated on a tissue-specific basis.

Why does Msh6 overexpression impact the two antirecombination systems differently?

In this study, we also overexpressed Msh proteins in strains bearing an inverted repeat substrate (Nicholson *et al.* 2000). This was done to determine if the effect of Msh overexpression on homeologous recombination during SSA could be generalized to other recombination pathways. When *Msh6* was overexpressed during inverted repeat recombination, an increase was seen in recombination between divergent sequences forming base–base or 4-nt loop mismatches. This effect was not seen when a mutant *msh6* allele defective in PCNA interactions, *msh6-KQFF* > AAAA, was overexpressed. This result caught our attention because although *Msh2-Msh6* did not act in antirecombination for substrates predicted to form 4-nt loops (Nicholson *et al.* 2000), overexpression of *Msh6* increased homeologous recombination in both base mismatch and 4-nt loop mismatch substrates. On the other hand, overexpression of *Msh3*, which interacts with *Msh2* and plays a role in antirecombination of 4-nt loop substrates, did not disrupt antirecombination in the inverted repeat assay, but in fact improved it for the 4-nt loop substrate (Table 5).

The above observations can be explained by recombination in the inverted repeat system occurring within the context of DNA replication, when sister chromatids are in close proximity (Nicholson *et al.* 2000). In this scenario, a high concentration of *Msh6* subunit tethered to the replication fork via its interaction with PCNA, could prevent localized deployment to heteroduplex intermediates of *Msh2-Msh6* and subsequently other antirecombination factors such as *Sgs1-Top3-Rmi1* or *Mlh1-Pms1*. Excess *Msh6* at the replication fork could also sequester *Sgs1* or *Mlh1-Pms1*, thus reducing its availability for heteroduplex rejection or more efficiently recruit downstream MMR proteins that may trigger the MMR pathway. Consistent with this, overexpressing *msh6-KQFF* > AAAA, which does not interact with PCNA, was functional for antirecombination. If this model is correct, why would *Msh3* overexpression not confer a similar phenotype? One possibility is that *Msh2-Msh6* and *Msh2-Msh3* interact with PCNA differently and that *Msh2-Msh3* does not have the same type of access to the replication fork. Supporting this idea is the finding that *Msh2-Msh6* has distinct binding sites for PCNA and Mlh factors, whereas *Msh2-Msh3* has a common binding site for both (Lau *et al.* 2002; Iyer *et al.* 2010). It is important to note that such a model is not likely to be relevant for the SSA system because in this set up, DSBs are artificially induced and not likely to be associated with the replication fork (Fishman-Lobell *et al.* 1992; Sugawara and Haber 1992; Sugawara *et al.* 1997, 2004).

Analyzing antirecombination in SSA and inverted repeat assays

Most antirecombination components identified in yeast, such as Msh proteins and *Sgs1*, are required in both the SSA and inverted repeat assays (Nicholson *et al.* 2000; Spell

and Jinks-Robertson 2004; Sugawara *et al.* 2004). However, there appear to be differences in the way the two systems repair DNA and reject divergent DNA substrates. For example, mutations in PCNA that disrupt MMR and/or disrupt interactions with Msh6 have either no (SSA system) or minor (inverted repeats system; Stone *et al.* 2008) effects on heteroduplex rejection. Additionally, Mlh1-Pms1 and Exo1 appear to be partially required for rejection in the inverted repeat system (Nicholson *et al.* 2000), but play minor if any roles in regulating SSA between divergent sequences (Sugawara *et al.* 2004). Furthermore, the mechanism(s) of repairing damaged DNA in the two systems is different. The SSA assay involves repair of an induced DSB that does not require Rad51 but requires Rad59 (Sugawara *et al.* 2000). In contrast, the inverted repeat assay likely measures repair of spontaneous lesions that form during DNA replication through a Rad51- or Rad59-dependent sister chromatid gene conversion mechanism (Spell and Jinks-Robertson 2003). Due to differences in the type of lesions initiating recombination, it is possible that unlike in SSA, 3' tailed substrates are not formed during recombination between inverted repeats. While we cannot completely rule out the possibility that different strain backgrounds used in the two assays caused the different phenotypes seen when Msh6 was overexpressed, a more likely explanation is that the two assays involve different repair mechanisms regulated by both common and distinct antirecombination factors.

Relevance to human disease

Repetitive and nonallelic sequences of common ancestral origin pose risks to eukaryotic cells because they have the potential to recombine and cause genome rearrangements that can lead to diseases including CMT1A, HNPP, Potocki-Lupski syndrome, segmental neurofibromatosis, and many cancers (Gu and Lupski 2008). For example, segmental duplications >1 kb in size (88 to 99% identity, making up 5–10% of primate genomes) can serve as substrates for chromosomal rearrangements via NAHR (George and Alani 2012; Liu *et al.* 2012). Heteroduplex rejection is likely to be a critical mechanism by which these NAHR events can be prevented. Identifying new factors and steps that regulate this process is likely to be critical to understand and predict how and when the above human disorders arise.

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