Systems/Circuits

## Role of Ventral Subiculum in Context-Induced Relapse to Alcohol Seeking after Punishment-Imposed Abstinence

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In many human alcoholics, abstinence is self-imposed because of the negative consequences of excessive alcohol use, and relapse is often triggered by exposure to environmental contexts associated with prior alcohol drinking. We recently developed a rat model of this human condition in which we train alcohol-preferring P rats to self-administer alcohol in one context (A), punish the alcohol-reinforced responding in a different context (B), and then test for relapse to alcohol seeking in Contexts A and B without alcohol or shock. Here, we studied the role of projections to nucleus accumbens (NAc) shell from ventral subiculum (vSub), basolateral amygdala, paraventricular thalamus, and ventral medial prefrontal cortex in context-induced relapse after punishment-imposed abstinence. First, we measured double-labeling of the neuronal activity marker Fos with the retrograde tracer cholera toxin subunit B (injected in NAc shell) and demonstrated that context-induced relapse is associated with selective activation of the vSub—NAc shell projection. Next, we reversibly inactivated the vSub with GABA receptor agonists (muscimol + baclofen) before the context-induced relapse tests and provided evidence for a causal role of vSub in this relapse. Finally, we used a dual-virus approach to restrict expression of the inhibitory  $\kappa$  opioid-receptor based DREADD (KORD) in vSub—NAc shell projection neurons. We found that systemic injections of the KORD agonist salvinorin B, which selectively inhibits KORD-expressing neurons, decreased context-induced relapse to alcohol seeking. Our results demonstrate a critical role of vSub in context-induced relapse after punishment-imposed abstinence and further suggest a role of the vSub—NAc projection in this relapse.

Key words: alcohol; chemogenetics; nucleus accumbens; punishment; relapse; ventral subiculum

### Significance Statement

In many human alcoholics, abstinence is self-imposed because of the negative consequences of excessive use, and relapse is often triggered by exposure to environmental contexts associated with prior alcohol use. Until recently, an animal model of this human condition did not exist. We developed a rat model of this human condition in which we train alcohol-preferring P rats to self-administer alcohol in one context (A), punish the alcohol-reinforced responding in a different context (B), and test for relapse to alcohol seeking in Contexts A and B. Here, we used neuroanatomical, neuropharmacological, and chemogenetic methods to demonstrate a role of ventral subiculum and potentially its projections to nucleus accumbens in context-induced relapse after punishment-imposed abstinence.

### Introduction

In abstinent alcoholics, exposure to environments previously associated with alcohol use often causes relapse (Wikler, 1973; Col-

lins and Brandon, 2002). We and others have modeled this phenomenon in rats using the ABA renewal model (Bouton and Bolles, 1979). In this model, rats are first trained to self-administer a drug in one context (A). Next, they are given oper-

Received Nov. 21, 2015; revised Feb. 8, 2016; accepted Feb. 10, 2016.

Author contributions: N.J.M., L.R.W., K.K., A.B., J.M.B., and Y.S. designed research; N.J.M., E.J.C., L.R.W., K.K., S.A., R.C.H., J.M.B., and Y.S. performed research; B.K.H., B.T.H., T.E.P., and E.V. contributed unpublished reagents/ analytic tools; N.J.M., E.J.C., L.R.W., K.K., J.M.B., and Y.S. analyzed data; N.J.M., E.J.C., L.R.W., S.A., A.B., J.M.B., and Y.S. wrote the paper.

This work was supported by the National Institute on Drug Abuse, Intramural Research Program. N.J.M. was supported by National Health and Medical Research Council Early Career Fellowship 1053308. We thank Dr. Bryan Roth for providing the KORD virus.

ant extinction training in a different context (B), leading to cessation of drug seeking. During testing, renewal (or context-induced reinstatement) of drug seeking is observed when the rat returns to the original training Context A (Crombag and Shaham, 2002; Hamlin et al., 2007). Over the last decade, studies using this model have led to the identification of mechanisms of context-induced reinstatement of drug seeking after extinction (Fuchs et al., 2008a; McNally, 2014; Marchant et al., 2015).

However, a limitation of extinction-based models is the use of experimenter-imposed operant extinction to achieve abstinence (Epstein and Preston, 2003). This manipulation is different from human abstinence, which is typically self-imposed because of the adverse consequences of drug use (Klingemann, 1991; Blume et al., 2006). We recently developed a variation of the ABA renewal model (Marchant et al., 2013; see also Bouton and Schepers, 2015), where alcohol intake is suppressed by adverse consequences in Context B (punishment). We observed context-induced relapse to alcohol seeking when rats were tested in Context A after punishment-imposed abstinence in Context B (Marchant et al., 2013).

The nucleus accumbens (NAc) shell plays a critical role in context-induced reinstatement of drug seeking after extinction (Bossert et al., 2013). We recently found that blockade of NAc shell dopamine D1 receptors (D1R), which decreases striatal neuronal activity (Girault et al., 2007), blocks context-induced relapse to alcohol seeking after punishment (Marchant and Kaganovsky, 2015). In NAc shell, neuronal activity is dependent on synergistic activation of D1R and glutamate receptors (O'Donnell, 2003). Inhibition of glutamate transmission in NAc shell decreases context-induced reinstatement of heroin and cocaine seeking after extinction (Bossert et al., 2006; Xie et al., 2012); however, local injections of glutamate receptor antagonists also cause reinstatement of alcoholic beer seeking after extinction (Millan and McNally, 2011). Additionally, neuroanatomical disconnection of the ventral subiculum (vSub)→NAc shell or vSub inactivation decreases context-induced reinstatement of heroin seeking (Bossert and Stern, 2014; Bossert et al., 2015). There is also evidence that the two other glutamatergic projections from the basolateral amygdala (BLA) and paraventricular thalamus (PVT) to NAc shell (Voorn et al., 2004) contribute to contextinduced reinstatement after extinction (Fuchs et al., 2005; Hamlin et al., 2009; Marinelli et al., 2010).

Here we studied the role of the projections to NAc shell in context-induced relapse to alcohol seeking after punishment-imposed abstinence. We first combined retrograde tracing, via cholera toxin subunit B (CTb) injections into NAc shell, with detection of the neuronal activity marker Fos (Morgan and Curran, 1991) to determine relapse-associated activity in vSub, PVT, BLA, and ventral medial prefrontal cortex (vmPFC) neurons projecting to NAc shell. We found that context-induced relapse was associated with selective activation of the vSub—NAc shell projection. Next, we determined the causal role of vSub in context-induced relapse by showing that reversible inactivation of vSub by GABAa+GABAb receptor agonists (muscimol+baclofen) (McFarland and Kalivas, 2001) decreased this form of relapse. Finally, we used DREADD technology (Armbruster et al., 2007) to selectively inhibit the vSub—NAc shell projection during the relapse tests. We used a dual-virus approach

The authors declare no competing financial interests.

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DOI:10.1523/JNEUROSCI.4299-15.2016

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(Nair et al., 2013; Boender et al., 2014; Marchant et al., 2016), by injecting AAV5-Cre into NAc shell; and in the same rat, we injected cre-inducible AAV encoding  $\kappa$ -opioid receptor-based DREADD (KORD) (Vardy et al., 2015) into vSub to selectively express KORD in vSub $\rightarrow$ NAc shell projection neurons. We found that systemic injection of the designer drug salvinorin B (SalB), which inhibits neuronal activity of KORD-expressing cells (Vardy et al., 2015; Marchant et al., 2016), decreased context-induced relapse after punishment-imposed abstinence in rats expressing KORD in vSub.

### **Materials and Methods**

Subjects and apparatus

We received male alcohol-preferring P rats ( $\sim$ 30-d-old, total n=115) from Indiana University Medical Centre and housed them singly under a reverse 12 h light/dark cycle (lights off 0800) with food and water available ad libitum. We performed all experiments in accordance to the Guide for the Care and Use of Laboratory Animals (Ed 8), and all protocols were approved by the Animal Care and Use Committee. We excluded a total of 24 rats due to lost head cap (n = 8), sickness or weight loss in excess of 30 g after intracranial injections (n = 1), misplaced cannula (n = 2), complications during surgery (n = 7), or low KORD (n = 3) or hM3D (n = 3 expression). We used standard operant chambers (Med Associates) enclosed in a ventilated sound-attenuating cubicle illuminated by a house light for alcohol self-administration. Each chamber was equipped with one retractable lever (designated as "active") and one nonretractable lever (designated as "inactive"). The grid floors were connected to shockers. Active lever presses resulted in the delivery of 20% ethanol (0.1 ml/delivery) into the receptacle via a 12-gauge blunt needle connected to a 60 ml syringe controlled by a Razel pump. We manipulated Contexts A and B as previously described (Marchant et al., 2013): grid width (narrow/wide), illumination level (white/red house light), background noise (fan on/off), and background cues (food container present/absent within the operant chamber, cabinet doors closed/open).

### Behavioral procedure (four phases)

Phase 1: Home-cage alcohol intake. We used an intermittent access (3–4 times/week) alcohol procedure (Wise, 1973; Simms et al., 2008) in which rats received 12 × 24 h sessions of access to one bottle of 20% alcohol and one water bottle. We prepared alcohol solutions in tap water from 100% (v/v) ethanol in standard rat water bottles. Daily sessions began at 0900. After 24 h, we replaced the alcohol bottle with a second water bottle for the subsequent 24–48 h alcohol-free days. The following day, the second water bottle was replaced with the 20% alcohol bottle, and the location of the alcohol bottle was alternated from the previous session. Total alcohol consumption in grams was calculated for each session, using the weight difference between the beginning and end of the session, minus 2 g for spillage, multiplied by 0.97 (density of 20% ethanol).

Phase 2: Operant self-administration: Context A. We gave all rats two 2 h magazine-training sessions where 0.1 ml of alcohol was delivered noncontingently every 5 min and paired with a 2 s tone-light cue. Next, we trained rats for six 2 h self-administration sessions under a fixed-ratio 1 (FR-1) schedule of reinforcement where an active lever press resulted in the delivery of 0.1 ml of 20% alcohol paired with a 2 s tone-light cue. This was followed by a 20 s timeout period where lever presses were recorded but not reinforced. The initiation of each session was signaled by the illumination of the house light and the insertion of the active lever into the chamber. Inactive lever presses had no programmed consequences. Following FR-1 20 s timeout training, we trained rats on a variable-interval 30 s (VI-30) schedule of reinforcement for six 2 h sessions. During the VI-30 sessions, alcohol delivery was available after an active lever press at pseudo-random intervals (1–59 s) after the preceding alcohol delivery.

*Phase 3: Punishment: Context B.* We trained rats, during 2 h sessions, to self-administer alcohol in an alternate context (Context B) under the same VI-30 schedule of reinforcement mentioned above. Active lever presses resulted in the delivery of  $0.1 \, \text{ml}$  of 20% alcohol paired with the  $2 \, \text{s}$  tone-light cue. Only 50% of the reinforced active lever presses resulted in a  $0.5 \, \text{s}$  footshock ( $0.1-0.7 \, \text{mA}$ ). Punished active lever presses resulted in

footshock, 2 s tone-light, and alcohol delivery. Inactive lever presses had no reinforced consequences. All rats were punished in Context B for up to 7 d, and footshock intensity was increased by 0.1 mA per session, starting at 0.1 mA. For the CTb+Fos experiment, we increased the shock intensity to 0.7 mA for all rats. For the vSub inactivation and retro-DREADD experiments, we increased the shock intensity to 0.5 mA for all rats and then gave the rats additional punishment sessions at 0.5 mA or 0.6 mA. We then tested the rats after punishment in Context B.

Phase 4: Context-induced relapse (renewal) test. We tested rats for alcohol seeking (active lever presses under extinction conditions) in 90 min (Experiments 1, 2) or 30 min (Experiments 3, 4) sessions in either Contexts A or B (Experiment 1) or in both Contexts A and B (Experiments 2–4). The session duration was only 30 min in Experiments 3 and 4 because of the short half-life of SalB (Vardy et al., 2015). The order of testing in the two contexts was counterbalanced. During the tests, an active lever press, under a VI-30 schedule of reinforcement, resulted in the delivery of the 2 s tone-light cue and the activation of the infusion pump; no alcohol or footshock was delivered.

### Surgery

We performed all surgeries after the home-cage alcohol phase of the behavioral procedures. We anesthetized rats with 100 mg/kg ketamine + 10 mg/kg xylazine (i.p.) or isoflurane (5% induction; 2%–3% maintenance) before placing them in the stereotactic frame (Kopf Instruments). We injected the rats with buprenorphine (0.1 mg/kg, s.c.) or ketoprofen (2.5 mg/kg, s.c., Butler Schein) after surgery and the following day (ketoprofen) to relieve pain and decrease inflammation, and gave them 3–5 d to recover after surgery before starting the self-administration sessions.

CTb into NAc shell. We unilaterally injected 40 nl of 1% CTb (List Biological Laboratories) into the NAc shell over 4 min with the needle left in place for an additional 2 min. We gave the injections into either the left or right hemisphere (counterbalanced) using a 1.0  $\mu$ l, 32 gauge "Neuros" syringe (Hamilton) attached to UltraMicroPump (UMP3) with SYS-Micro4 Controller (World Precision Instruments). The coordinates for NAc shell were as follows: anteroposterior 1.6, mediolateral  $\pm 2.3$  (10° angle), dorsoventral -7.5 mm from bregma and were based on previous studies (Bossert et al., 2007).

Intracranial cannula surgery (vSub). We implanted bilateral guide cannulas (23-gauge, Plastics One) 1 mm above the vSub. The coordinates for the vSub were as follows: anteroposterior -6.0, mediolateral 5.3 (4° angle), dorsoventral  $-7.8\,$  mm from bregma (Bossert and Stern, 2014; Bossert et al., 2015). We anchored the cannulas to the skull with jeweler's screws and dental cement.

Viral injections. We bilaterally injected AAV5.CMV.III.GFP-Cre. WPRE.SV40 (UPenn Vector Core lot #V4503MI-R, titer: 9.9  $\times$  10  $^{12}$ gc/ml or V4807MI-3CS, titer:  $4.9 \times 10^{12}$  gc/ml) into NAc shell: anteroposterior 1.6, mediolateral 2.3 (10° angle), dorsoventral -7.5 mm from bregma. We injected 0.75  $\mu$ l over 3 min and left the needle in place for 2 min. During the same surgery, we bilaterally injected either AAV1.Syn1.dF.HA.KORD.IRES.mCitrine (NIDA Optogenetics and Transgenic Core lot #AAV-2015-03-31-A, titer:  $3.0 \times 10^{12}$  gc/ml) or AAV5.hSyn.DIO.rM3D(GS)-mCherry (UNC Vector Core lot #AV4659c, titer:  $6.4 \times 10^{12}$  gc/ml or AV4659b, titer  $4.9 \times 10^{12}$  gc/ml) into vSub: anteroposterior -6.0, mediolateral 5.3 (4° angle), dorsoventral -8.8 mm from bregma. We injected 1.0  $\mu$ l over 4 min and left the needle in place for 1 min. Injections were made using 10 µl Nanofil syringes (World Precision Instruments), with 33 gauge needles, attached to a UltraMicroPump (UMP3) with SYS-Micro4 Controller (World Precision Instruments).

### *Immunohistochemistry*

CTb+Fos experiment. Immediately following the behavioral test, we deeply anesthetized rats with isoflurane and transcardially perfused them with  $\sim$ 100 ml of 0.1 M PBS followed by  $\sim$ 400 ml of 4% PFA in 0.1 M sodium phosphate, pH 7.4. We removed brains and postfixed them in 4% PFA for 2 h, then transferred them to 30% sucrose in 0.1 M sodium phosphate, pH 7.4, for 48 h at 4°C. We froze brains on dry ice and stored them at  $-80^{\circ}$ C until sectioning. We cut serial (40  $\mu$ m) coronal sections using a Leica Microsystems cryostat and stored sections in 0.1 M sodium phosphate, pH 7.4, containing 1% sodium azide at 4°C.

CTb injection site verification. Immunohistochemical procedures were based on our previously published work (Marchant et al., 2009, 2014). We selected a 1-in-4 series of sections from the NAc shell of each rat, and immunofluorescence was used to determine CTb injection sites. We repeatedly rinsed free-floating sections in PBS (3  $\times$  10 min washes) and incubated them for 2 h in PBS containing 0.5% Triton X-100 (PBS-tx) with 10% normal horse serum (NHS). We then incubated all sections for at least 48 h at 4°C in goat anti-CTb primary antibody (1:5000, List Biological Laboratories, 703), and mouse-anti neuronal nuclei primary antibody (1:2000, Millipore, MAB377) diluted in PBS-tx with 2% NHS. We washed off unbound primary antibodies with PBS and incubated the sections for 2-4 h in PBS-tx with 2% NHS and donkey anti-goat AlexaFluor-488 (1:2000; Jackson ImmunoResearch Laboratories, 705-545-147) and donkey anti-mouse AlexaFluor-594 (1:2000; Jackson ImmunoResearch Laboratories, 715-585-151). We then rinsed sections in PBS, mounted onto gelatin-coated glass slides, air-dried, and coverslipped with Mowiol (Millipore).

Fos protein and CTb double labeling. We processed a 1-in-4 series of vmPFC, PVT, BLA, and vSub for immunohistochemical detection of Fos protein and CTb. We rinsed free-floating sections for 30 min in PBS, followed by two 30 min washes in 50% ethanol with the second wash containing 3% hydrogen peroxide and then incubated the sections for 30 min in a blocking solution containing 5% NHS in PBS. We then incubated sections for at least 48 h at 4°C in PBS-tx with 2% NHS, rabbit anti-c-Fos primary antibody (1:8000, Cell Signaling Technology, Phospho-c-Fos, 5348S) and goat anti-CTb primary antibody (1:5000, List Biological Laboratories, 703). Following primary incubation, we rinsed sections in PBS and incubated for 2 h in biotinylated donkey anti-rabbit IgG (1:2000, Jackson ImmunoResearch Laboratories, 711-065-152) diluted in 2% NHS PBS-tx. We rinsed off the secondary antibody with PBS and incubated the sections for 1 h in ABC reagent (Vector Laboratories). Following this step, we incubated sections in  $0.1\,\mathrm{M}$  sodium acetate with 0.025% DAB in 2% nickel sulfate containing 2 mg/ml D-glucose and 0.4 mg/ml ammonium chloride for 10 min before adding glucose oxidase (0.2  $\mu$ l per milliliter of solution) to visualize the Fos protein. We stopped the reaction after 10 min with sodium acetate washes. We then rinsed sections in PBS and processed them again in a similar manner, using biotinylated donkey anti-goat IgG (1:2000, Jackson ImmunoResearch Laboratories, 705-065-003) as the secondary antibody for CTb. The DAB step of this reaction occurred without nickel sulfate to visualize CTb as a brown reaction product (6.5 min reaction time). We next mounted the slides onto gelatin-coated glass slides. Finally, we dehydrated the slides through a graded series of alcohol concentrations (30%, 60%, 90%, 95%, 100%, 100% ethanol), cleared with Citrasolv (Fisher Scientific), and coverslipped them with Permount (Fisher Scientific).

DREADD experiments. Following the behavioral testing, we perfused the rats as above and processed the tissue for HA immunoreactivity. We selected a 1-in-4 series of sections from NAc shell and vSub of each rat and used immunofluorescence to determine the total number of KORD (HA-positive) neurons in vSub. We repeatedly rinsed free-floating sections in PBS (3  $\times$  10 min washes) and incubated for 2 h in PBS containing PBS-tx with 10% NHS. We then incubated all sections for at least 48 h at 4°C in rabbit anti-HA primary antibody (1:1000, Cell Signaling Technology, C29F4) diluted in PBS-tx with 2% NHS. We washed off the unbound primary antibodies with PBS and then incubated the sections for 2–4 h in PBS-tx with 2% NHS and donkey anti-rabbit AlexaFluor-594 (1:2000; Jackson ImmunoResearch Laboratories, 711-585-152). We then rinsed sections in PBS, and stained with DAPI (1:1000) for 5 min before mounting onto gelatin-coated glass slides, air-dried, and coverslipped with Mowiol (Millipore).

### Image acquisition and neuronal quantification

For CTb+Fos, we digitally captured bright-field images of immunoreactive (IR) cells in the different brain areas using an EXi Aqua camera (QImaging) attached to a Zeiss Axio Imager M2, with the 10× objective. We captured and analyzed the images using iVision (Biovision). Each analyzed image was derived from 5 images captured at different focal planes and then digitally merged using iVision, giving a single image of

in-focus cells. For each rat, we quantified cells in the same hemisphere as the CTb injection. We quantified images of vmPFC (3 sections: bregma 3.72 mm to 2.76 mm), PVT (6 sections: bregma -1.32 mm to -3.48 mm), BLA (4 sections: bregma -2.16 to -3.48 mm), and vSub (2 sections: bregma -5.76 mm to -6.0 mm), by first calculating the area size by outlining the nucleus borders and then manually counting CTb-IR, Fos-IR, and CTb+Fos-IR cells. We present our data as total counts per square millimeter to permit meaningful comparisons between brain regions of different sizes. The counting was performed by an observer that was blind to the experimental conditions.

For HA (KORD), we digitally captured dark-field images of IR cells in vSub using the same microscope with the  $5\times$  objective. For each rat, we manually quantified IR cells over 5 sections of vSub (bregma -5.4 mm to -6.2 mm) in both hemispheres. Counting was performed by an observer that was blind to the experimental conditions. For the DREADD experiments, we excluded rats in the SalB condition that had low KORD (n=3) or hM3D (n=3) expression (<20 HA-positive neurons per square millimeter in one or both hemispheres).

### Ex vivo *electrophysiology*

We used P rats (n = 8) that had undergone 5 d of home-cage access to 20% alcohol and injected bilateral AAV5-Cre in NAc shell and AAV1-DIO-KORD in vSub (as described above). We performed ex vivo electrophysiology 6-7 weeks after the surgery. On the recording days, we deeply anesthetized the rats with isoflurane (90-120 s) and then transcardially perfused them with ice-cold solution containing the following (in mm): 220 sucrose, 28 NaHCO<sub>3</sub>, 2.5 KCl, 1.25 NaH<sub>2</sub>PO<sub>4</sub>, 0.5 CaCl<sub>2</sub>, 7 MgCl<sub>2</sub>, 7 glucose, 3 sodium pyruvate, and 1 ascorbic acid, saturated with 95% O<sub>2</sub> and 5% CO<sub>2</sub> (Harnett et al., 2012). We cut transverse slices containing vSub in the ice-cold solution and incubated the slices 30 min at 35°C in the same solution (Dougherty et al., 2012). Slices were allowed to recover for a minimum of 1 h at room temperature in ACSF containing the following (in mm): 126 NaCl, 2.5 KCl, 1.2 MgCl<sub>2</sub>, 2.4 CaCl<sub>2</sub>, 1.2 NaH<sub>2</sub>PO<sub>4</sub>, 21.4 NaHCO<sub>3</sub>, 11.1 glucose, 3 Na-pyruvate, 1 Na-ascorbate. We recorded from the slices while they were bath perfused (2.5 ml/min) at 32°C-35°C in the same ACSF solution. The intracellular solution contained the following (in mm): 115 K-gluconate, 20 KCl, 1.5 MgCl<sub>2</sub>, 10 HEPES, 0.025 EGTA, 2 Mg-ATP, 0.2 Na<sub>2</sub>-GTP, 10 Na<sub>2</sub>-phosphocreatine, pH 7.2–7.3,  $\sim$ 285 mOsm/kg.

We identified KORD-expressing (mCitrine+) cells using scanning disk confocal microscopy (Olympus FV1000) and used differential interference contrast optics to patch the neurons. We performed whole-cell current-clamp recordings in visually identified pyramidal neurons in vSub. We injected current steps during the recording session (500 ms at 0.1 Hz, 50–330 pA). For each cell that we recorded, we generated input–output curves under baseline conditions and following superfusion of vehicle (5% DMSO) for 5–10 min, and finally SalB (100 nm) for 5–10 min (Vardy et al., 2015; Marchant et al., 2016). We excluded recordings if series resistance or input resistance changed >10% during recording session. We used an Axopatch 200B amplifier (Molecular Devices) and Axograph X software (Axograph Scientific) to record and collect the data, which were filtered at 10 kHz and digitized at 4–20 kHz.

### Specific experiments

Experiment 1: Effect of context-induced relapse of alcohol seeking after punishment-imposed abstinence on Fos expression in projections to NAc shell (n = 24). We trained rats to self-administer alcohol in Context A and subsequently punished alcohol-reinforced responding in Context B. We gave all rats 7 punishment sessions before the context-induced relapse test, with the shock intensity increasing from 0.1 to 0.7 mA in 0.1 mA increments. Following punishment-imposed suppression of alcohol taking, we tested the rats in Context A (alcohol training context; n = 8), Context B (punishment context; n = 8), or did not test them (No-test group; n = 8). Immediately after the 90 min test, we deeply anesthetized the rats with isoflurane and extracted their brains after perfusion. The No-test rats were taken directly from their home-cage and perfused on the same day as the other rats. We then processed brains for immunohistochemical detection of Fos protein and CTb. The number of rats we used for the Fos+CTb double-labeling measurement was lower than for

the Fos measurement because we excluded rats with low CTb expression. Additionally, the number of rats for the Fos assay was not identical for the different brain regions because of loss of tissue during cryostat sectioning or during the immunohistochemistry assay.

Experiment 2: Effect of vSub inactivation on context-induced relapse of alcohol seeking after punishment imposed abstinence (n=14). We trained rats to self-administer alcohol in Context A and subsequently punished the alcohol-reinforced responding in Context B. We gave all rats 7 punishment sessions before the context-induced relapse test, with the shock intensity increasing from 0.1 mA to 0.5 mA in 0.1 mA increments. On punishment days 6 and 7, we kept the shock intensity at 0.5 mA. We tested all rats in 90 min sessions in both Context A and Context B under extinction conditions over consecutive days (order counterbalanced). The groups were vSub muscimol+baclofen (n=7) and vSub vehicle (n=7).

Intracranial injections. We dissolved muscimol+baclofen (Tocris Bioscience; concentration:  $0.06+0.6~\rm mM$  or  $3.6+64.1~\rm ng$  in  $0.5~\mu l$  per side) in sterile saline. The injectors extended 1 mm below the tips of the guide cannulas. We injected vehicle (0.9% saline) or muscimol+baclofen over 1 min and left the injectors in place for 1 min; we tested the rats 5–10 min after injections. We used a Harvard Apparatus syringe pump connected to 10  $\mu$ l Hamilton syringes attached via polyethylene-50 tubing to 30 gauge injectors for all intracranial injections. Following the final test, we deeply anesthetized rats with isoflurane and removed their brains and stored them in 10% formalin. We sectioned brains at 40  $\mu$ m using a Leica Microsystems cryostat and stained sections with cresyl violet to verify the placement of the cannulas.

Experiment 3: Effect of SalB injections on context-induced relapse in rats expressing KORD in vSub $\rightarrow$ NAc shell neurons (n = 29). We injected the rats with AAV5-Cre into NAc shell and AAV1-DIO-KORD into vSub after the home-cage phase. We then trained the rats to self-administer alcohol in Context A and subsequently punished the alcohol-reinforced responding in Context B. We habituated the rats to the DMSO injections by giving them 0.2 ml (s.c.) injections 10 min before 3 sessions in training Context A and 1 session in punishment Context B. We gave all rats 6 punishment sessions, with the shock intensity increasing from 0.1 mA to  $0.5 \,\mathrm{mA}$  in  $0.1 \,\mathrm{mA}$  increments. On punishment day 6, all rats that had > 15active lever presses on punishment day 5 received 0.6 mA; the rest of the rats received 0.5 mA on punishment day 6. For the relapse tests, we used an experimental design that included the within-subjects factors of test context (Context A, Context B) and the between-subjects factor of SalB dose (vehicle [DMSO], 15 mg/kg, s.c; injection volume 0.5 ml/kg; 10 min pretreatment time). We tested the rats for context-induced relapse under extinction conditions in Contexts A and B (30 min duration) over 2 d. We counterbalanced the order of testing in Contexts A and B. After these tests, we gave the rats an additional Context B punishment session (0.5 mA). We then retrained them to self-administer alcohol in Context A (3 daily sessions), repunished them in Context B (3 sessions; 0.3, 0.4, 0.5 mA), and retested them under extinction conditions in Contexts A and B with the drug condition reversed. We added a retest component to Experiment 3 because in unpublished studies we observed a success rate of  $\sim$ 60% for high HA expression in both hemispheres. Thus, we were hoping that, with repeated testing, we will have a sufficient number of subjects for a complete within-subjects assessment of the effect of SalB versus vehicle on context-induced relapse after punishment. We ended up not using this experimental approach because initial inspection of the data showed both an order effect (higher active lever presses during the second test than during the first test) and an unexpected dissociation of the effect of SalB on the first versus the second test (see Results and Discussion).

Experiment 4: Effect of SalB injections on context-induced relapse in rats expressing hM3D in vSub $\rightarrow$ NAc shell neurons (n = 24). The goal of this control experiment was to determine whether SalB injections will have an effect on context-induced relapse in rats injected with AAV5-Cre in NAc shell and a different DREADD (AAV5-DIO-hM3D) in vSub that is not activated by SalB. The procedures and experimental design were identical to those described for Experiment 3, except that we injected the rats with AAV5-DIO-hM3D into the vSub after the home-cage phase and only tested them once. We chose to use AAV5-DIO-hM3D as a control virus

instead of typical fluorescent protein controls (e.g., AAV5-DIO-YFP, AAV5-DIO-GFP) because this viral construct, like AAV1-DIO-KORD, expresses a membrane-targeted, ligand-activated designer receptor that has no effect on basal neuronal activity in the absence of the ligand.

### Statistical analysis

We analyzed the data separately for the four phases: (1) home-cage alcohol intake; (2) Context A training; (3) Context B punishment; and (4) context-induced relapse tests. For the relapse tests, the dependent variable was the total number of active lever presses or number of minutes to first active lever press (latency); the number of inactive lever presses during testing was used as a covariate in the analyses. We analyzed the immunohistochemical data with cell counts per square millimeter of a given brain region as the dependent variable. In Experiment 1, we analyzed the number of Fos-IR, CTb-IR, and CTb+Fos cells using a mixed ANOVA with the within-subjects factor of brain region and the betweensubjects factor of test context (Context A, Context B, No-test). We subsequently analyzed main effects using one-way ANOVAs. In Experiment 2 (vSub inactivation), we analyzed the relapse test data using the withinsubjects factor of test context (Context A, Context B) and the betweensubjects factor of drug (vehicle, muscimol+baclofen). In Experiments 3 and 4, we analyzed the relapse test data using the within-subjects factors of test context (Context A, Context B) and the between-subjects factor of SalB (vehicle, 15 mg/kg). In the ex vivo electrophysiology experiments, we analyzed the number of action potentials using the within-subjects factors of current (50-330 pA in 20 pA steps) and SalB concentration (0, 100 nm) and the between-subjects factor of KORD expression (KORDpositive or KORD-negative).

We performed all statistical analyses using IBM SPSS version 21 and followed up on significant main or interaction effects (p < 0.05) with Fisher PLSD post hoc tests. We present the data in the figures as mean  $\pm$  SEM.

### Results

### Training and punishment phases

The alcohol-preferring P rats consumed high amounts of alcohol in the home-cage intermittent-access choice phase and reliably self-administered alcohol in Context A under the FR-1, 20 s timeout and VI-30 schedules of reinforcement (Fig. 1). During punishment in Context B, the rats decreased alcohol self-administration when we increased the shock intensity (Fig. 1).

## Effect of context-induced relapse after punishment-imposed abstinence on neuronal activity in neurons that project to NAc shell

In Experiment 1, we combined retrograde tracing via CTb (injected into NAc shell), with measurement of Fos in the projection areas, to determine whether context-induced relapse to alcohol seeking after punishment-imposed abstinence is associated with activation of vSub, vmPFC, PVT, and BLA neurons projecting to NAc shell. Below, we first present the behavioral data and then describe the neuroanatomical data.

### Context-induced relapse test (behavioral data)

We observed context-induced relapse to alcohol seeking in Context A after punishment-imposed abstinence in Context B (Fig. 2C). An ANCOVA of active lever presses (inactive lever presses as covariate) showed a significant effect of test context ( $F_{(1,13)} = 40.7, p < 0.01$ ). An analysis of the latency (time to first active lever press) data showed a significant effect of test context ( $F_{(1,14)} = 14.0, p < 0.01$ ); Figure 2D).

### Fos data

Our initial analysis using the within-subjects factor brain region (vSub, BLA, PVT, and vmPFC) and the between-subjects factor test context showed a significant interaction between the two factors ( $F_{(6,54)} = 3.8$ , p < 0.01). Subsequent one-way ANOVAs

within each brain region showed a significant effect of test context in each brain region (vSub:  $F_{(2,18)}=9.6$ ; BLA:  $F_{(2,19)}=6.2$ ; PVT:  $F_{(2,19)}=10.5$ ; and vmPFC:  $F_{(2,19)}=6.9$ ; p values <0.01). Post hoc analyses showed significant differences between Context A group compared with Context B and No-test groups for vSub, BLA, and PVT (p values <0.05; Fig. 2F). In the vmPFC, the number of Fos-IR in Context A group was significantly different from the No-test group (p < 0.01) but not Context B group (p = 0.09; Fig. 2F).

### CTb+Fos data

There were no differences observed in the total number of CTb-IR cells across groups (p values >0.1; Fig. 2G). Analysis of the CTb+Fos-IR counts showed a significant interaction between brain region (within-subjects factor) and test context (between-subjects factor) ( $F_{(4,30)} = 11.4$ , p < 0.01). Subsequent one-way ANOVAs showed a significant effect of test context on the number of CTb+Fos-IR cells in vSub ( $F_{(2,16)} = 23.7$ , p < 0.01) but not BLA, PVT, or vmPFC (p values >0.1). Post hoc analyses of the vSub counts showed higher CTb+Fos-IR cells in rats tested in Context A compared with rats tested in Context B or the No-test group (p values <0.01; Fig. 2H).

In summary, the data in Experiment 1 confirm our previous results that exposure to the original alcohol-associated training context, after punishment-imposed abstinence in a different context, provokes relapse to alcohol seeking (Marchant et al., 2013). More importantly, the CTb+Fos double-labeling data show that context-induced relapse after punishment-imposed abstinence is associated with selective activation of vSub neurons that project to NAc shell.

## Effect of vSub inactivation on context-induced relapse to alcohol seeking after punishment-imposed abstinence

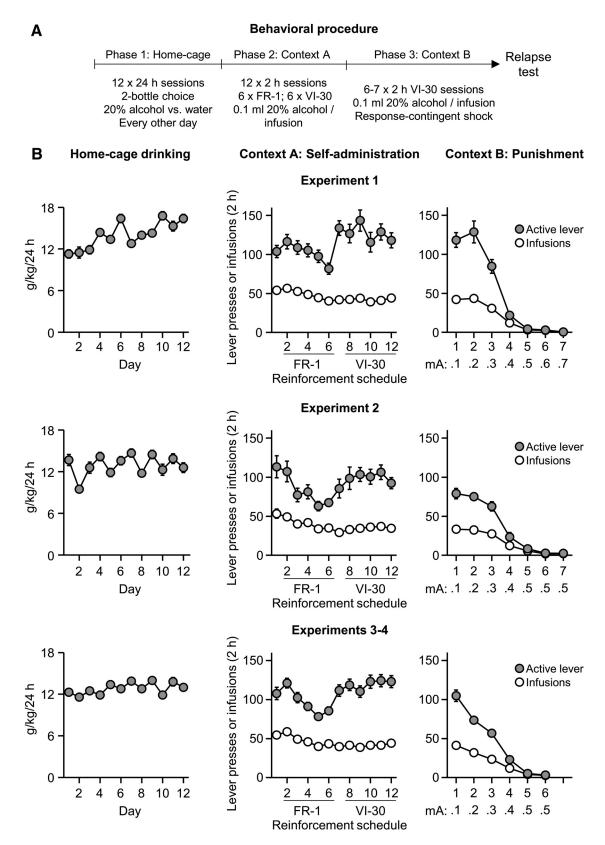
In Experiment 2, we determined the causal role of vSub in context-induced relapse by reversible inactivation of vSub, using intracranial injections of GABAa+GABAb receptor agonists (muscimol+baclofen) (McFarland and Kalivas, 2001), before the context-induced relapse test.

We found that vSub inactivation decreased context-induced relapse to alcohol seeking after punishment-imposed abstinence (Fig. 3B). Analysis of active lever presses (inactive lever presses as a covariate) showed a significant interaction between test context (Context A, Context B; within-subjects) and drug (muscimol+baclofen, vehicle; between-subjects) ( $F_{(1,10)}=7.8$ , p<0.05). Analysis of latency to first active lever press revealed a significant main effect of test context ( $F_{(1,12)}=9.0$ , p<0.05) but no significant interaction between drug and test context (p>0.05; Fig. 3B). These data indicate that vSub inactivation decreases alcohol seeking in Context A without affecting the latency to initiate alcohol seeking during the relapse tests.

The results of Experiment 2, combined with the results of Experiment 1, indicate that neuronal activity in vSub plays a critical role in context-induced relapse after punishment-imposed abstinence.

## Ex vivo electrophysiology validation of the inhibitory action of SalB in KORD expressing vSub→NAc shell neurons

We used *ex vivo* electrophysiology to verify that our double-virus "retro-DREADD" procedure (Marchant et al., 2016) permits DREADD-mediated selective inhibition of vSub→NAc shell neurons. We found that bath application of SalB decreased spike rate induced by direct current injection in KORD-positive neurons (identified by expression of mCitrine) but not KORD-negative neurons. The statistical analysis consisted of the



**Figure 1.** Home-cage intake, alcohol self-administration in Context A, and punishment of alcohol self-administration in Context B. **A**, Outline of the experimental procedure before the context-induced relapse tests. FR, Fixed-ratio. **B**, Alcohol intake (grams per kilogram) during home-cage access to 20% alcohol. Context A active lever presses and infusions under the FR-1 20 s timeout and VI-30 schedules of reinforcement. Context B active lever presses and infusions. Data are mean  $\pm$  SEM; n=91. Experiment 1 shock punishment range, 0.1-0.5 mA, n=14. Experiment 2 shock punishment range, 0.1-0.5 mA, n=53.

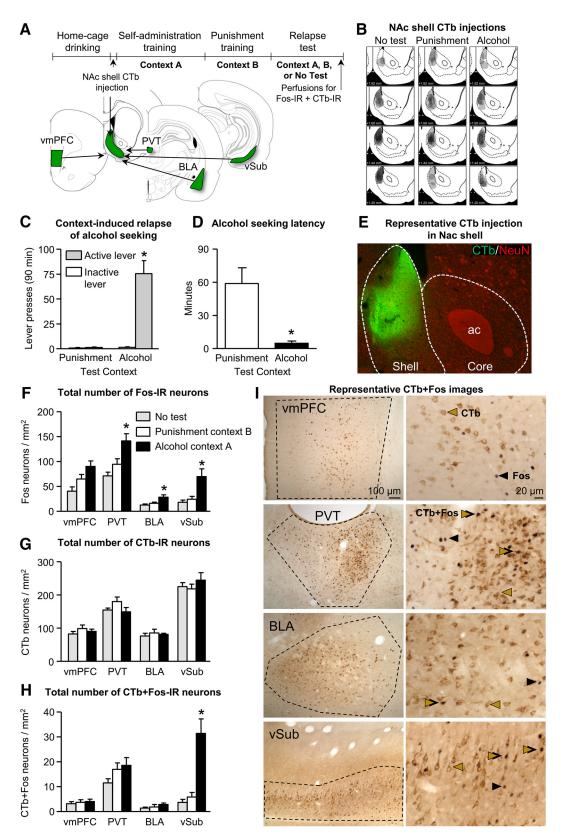
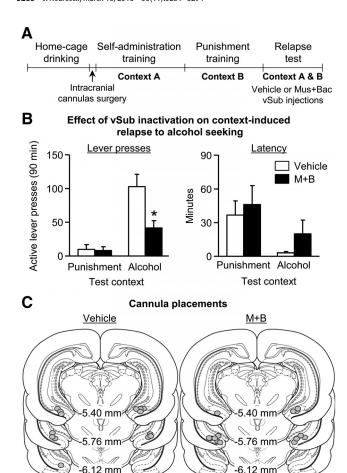


Figure 2. Context-induced relapse after punishment-imposed abstinence is associated with selective activation of the vSub—NAc projection. A, Outline of experimental procedure and schematic of the inputs to NAc shell assessed in Experiment 1. B, Representative plots of the spread of CTb injections for the three groups. C, Data are mean  $\pm$  SEM; number of active lever press during the relapse test. E, Photomicrograph of CTb injection contained to the NAc shell (green) with background staining of neuronal nuclei (NeuN). F, Data are mean  $\pm$  SEM; number of Fos-IR neurons per square millimeter in vmPFC, PVT, BLA, and vSub in rats tested in either Context A, Context B, or not tested. G, Data are mean  $\pm$  SEM; number of CTb-IR neurons per square millimeter in vmPFC, PVT, BLA, and vSub. H, Data are mean  $\pm$  SEM; number of CTb+Fos-IR neurons per square millimeter in vmPFC, PVT, BLA, and vSub. S, Data are mean  $\pm$  SEM; number of CTb+Fos-IR neurons per square millimeter in vmPFC, PVT, BLA, and vSub. S and vSub. S is nearly perfectly provided in the Punishment condition (C, D) or from the Punishment and No-test conditions (F, H), p < 0.05.



**Figure 3.** vSub inactivation decreases context-induced relapse to of alcohol seeking after punishment-imposed abstinence. **A**, Outline of experimental procedure. **B**, Data are mean  $\pm$  SEM; number of active lever presses (left) and active lever latency (right) during the relapse test. n=7 per group. **C**, Approximate cannula placements (mm from bregma) of the injector tips for vSub. M, muscimol; B, baclofen. \*Different from the Vehicle condition, p<0.05.

between-subjects factor of KORD expression (KORD-positive, n=8 cells; KORD-negative, n=7 cells; from 8 rats in total), and the within-subjects factors current (50–330 pA in 20 pA steps) and SalB concentration (0, 100 nm). The statistical analysis showed a significant current X SalB concentration × expression interaction ( $F_{(28,364)}=4.7, p<0.01$ ; Fig. 4D, E). The results of our electrophysiology study show selective inhibition of neuronal firing by SalB in KORD-positive vSub $\rightarrow$ NAc shell neurons, but not KORD-negative neurons, setting the stage for Experiment 3 (described below) on the effect of inhibition of the vSub $\rightarrow$ NAc shell projection on context-induced relapse after punishment-imposed abstinence.

# Effect of chemogenetic inhibition of vSub→NAc shell projection neurons on context-induced relapse of alcohol seeking after punishment-imposed abstinence

In Experiment 3, we used the "retro-DREADD" dual-virus approach (Marchant et al., 2016) to restrict expression of KORD in vSub to neurons projecting to NAc shell (Fig. 5*B*) to determine the causal role of the vSub—NAc shell projection in context-induced relapse after punishment-imposed abstinence. Based on the results of Experiments 1 and 2, we predicted that systemic injections of the designer drug SalB before the relapse test, which will selectively inhibit neuronal activity in the vSub—NAc shell

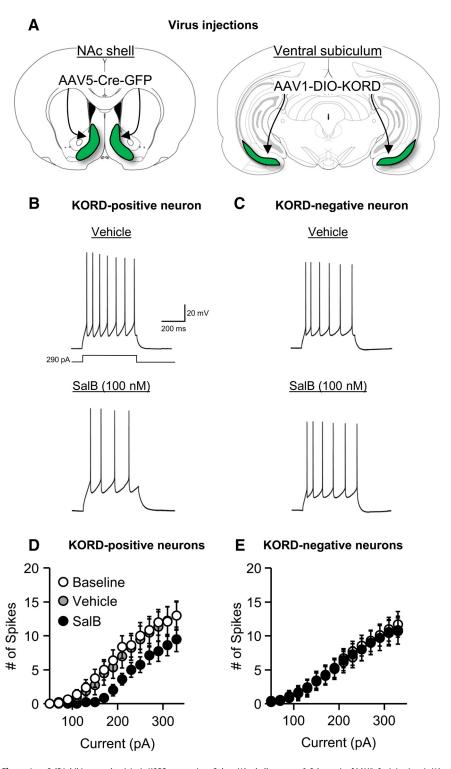
projection during the test, would decrease context-induced relapse.

The mean  $\pm$  SEM number of HA-positive neurons per hemisphere in the vehicle (n=16) and SalB (n=13) group was 27.5  $\pm$  4.3 and 49.8  $\pm$  4.4, respectively. We eliminated 3 rats from the SalB group because of low KORD expression (the mean  $\pm$  SEM number of HA-positive neurons in the lowest hemisphere of these rats was 7.0  $\pm$  3.2). We did not exclude any rats from the vehicle group to maintain sufficient statistical power and because there was no difference in alcohol self-administration in Context A or punishment responding in Context B between rats that were injected with vehicle during the first context-induced relapse test versus the high KORD-expressing rats that were injected with SalB during this test (data not shown).

We found that SalB decreased context-induced relapse on the first relapse test (Fig. 5*C*). The analysis of active lever presses of this test included the between-subjects factor of SalB dose (vehicle, 15 mg/kg) and the within-subjects factors of test context (Context A, Context B) with inactive lever presses as the covariate. This analysis showed significant main effects of test context ( $F_{(1,25)} = 47.5$ , p < 0.01) and SalB dose ( $F_{(1,25)} = 5.6$ , p < 0.05), and a significant interaction between the two factors ( $F_{(1,25)} = 4.9$ , p < 0.05). The statistical analysis of the latency for the first response showed a significant main effect of test context ( $F_{(1,27)} = 34.6$ , p < 0.01) but no significant main effect of SalB dose or interaction between the two factors (Fig. 5*C*). These data show that, during the first relapse test, inhibition of the vSub $\rightarrow$ NAc shell projection decreased context-induced relapse to alcohol seeking but had no effect on the latency to the first response.

As described in Materials and Methods, at the end of Experiment 3, we retrained, repunished, and retested the rats for contextinduced relapse. The retest conditions were the same as in the initial test, except that the rats injected with vehicle during the first test, were injected with SalB during the second test and vice versa. The retest condition included all rats injected with SalB on Test 1 and then injected with vehicle on Test 2 (n = 13) and a subgroup of rats (n = 6) injected with vehicle on Test 1 and SalB on Test 2 that were equated for vSub KORD expression to the first group ( $45.4 \pm 4.6$  vs  $49.8 \pm 4.4$  HA-positive neurons per square millimeter). Unexpectedly, after additional exposure to alcohol and punishment, inhibition of the vSub-NAc shell projection with SalB had no effect on context-induced relapse. The mean ± SEM number of active lever presses per 30 min in Contexts A and B after vehicle (n = 13) or SalB (n = 6) injections was  $62.2 \pm 5.2$  and  $65.8 \pm 14.8$ , and the number of inactive lever presses was 1.0  $\pm$  0.5 and 6.2  $\pm$  4.1. The statistical analysis showed a significant effect of test context ( $F_{(1,15)} = 62.0, p <$ 0.01) but no significant main effect of SalB dose or interaction between the two factors. Importantly, reanalysis of the Test 1 data in the same subsample of rats analyzed in Test 2 (i.e., equated for vSub KORD expression), SalB injections decreased context-induced relapse during the first test: mean  $\pm$  SEM of 58.5  $\pm$  9.6 (vehicle) and  $30.9 \pm 6.5$  (SalB) lever presses per 30 min ( $F_{(1,15)} = 5.7$ , p < 0.05).

Finally, in Experiment 4, we determined the effect of SalB injections on context-induced relapse in rats injected with AAV5-Cre into NAc shell and with AAV5-DIO-hM3D into vSub, a different DREADD that is not activated by SalB (Armbruster et al., 2007). The procedures and experimental design of this control experiment were identical to those of the first test in Experiment 3. Three rats were eliminated from the SalB condition because of missing vSub sections or low expression. The mean  $\pm$  SEM number of lower and higher hemisphere hM3D-expressing vSub neurons per square millimeter in the SalB condition was 75.8  $\pm$  10.7 and 98.2  $\pm$  10.5, respectively.



**Figure 4.** SalB inhibits neural activity in KORD-expressing vSub→NAc shell neurons. **A**, Schematic of AAV5-Cre injections in NAc shell and AAV1-DIO-KORD injections in vSub. **B**, Example trace of action potentials evoked by current injection in KORD-positive neuron in the vehicle condition (top) and SalB condition (bottom). **C**, Example trace of action potentials evoked by current injection in a KORD-negative neuron in the vehicle condition (top) and SalB condition (bottom). **D**, Group data for firing frequency plotted as a function of current injection in KORD-positive vSub→NAc shell neurons; n = 8. **E**, Group data for firing frequency plotted as a function of current injection in KORD-negative neurons; n = 7.

In rats with vSub expression of hM3D, we found that SalB injections had no effect on context-induced relapse after punishment-imposed abstinence. The mean  $\pm$  SEM number of active lever presses in Context A were as follows: vehicle (n=11), 29.5  $\pm$  5.6; and SalB (n=13), 34.1  $\pm$  7.0. The mean  $\pm$  SEM

number of active lever presses in Context B was as follows: vehicle  $0.45 \pm 0.25$ ; SalB  $0.46 \pm 0.18$ . The statistical analysis showed a significant effect of test context  $(F_{(1,20)} = 25.2, p < 0.01)$  but no other significant main or interaction effects. The statistical analysis on the latency for the first response showed a significant main effect of test context  $(F_{(1,22)} = 60.2, p < 0.01)$  but no significant main effect of SalB dose or interaction between the two factors. These data show that SalB injections had no effect on context-induced relapse in rats expressing hM3D in vSub $\rightarrow$ NAc shell neurons.

Together, the results of Experiments 3 and 4 suggest a time-limited role of the vSub→NAc shell projection in context-induced relapse after punishment-imposed abstinence (see Discussion).

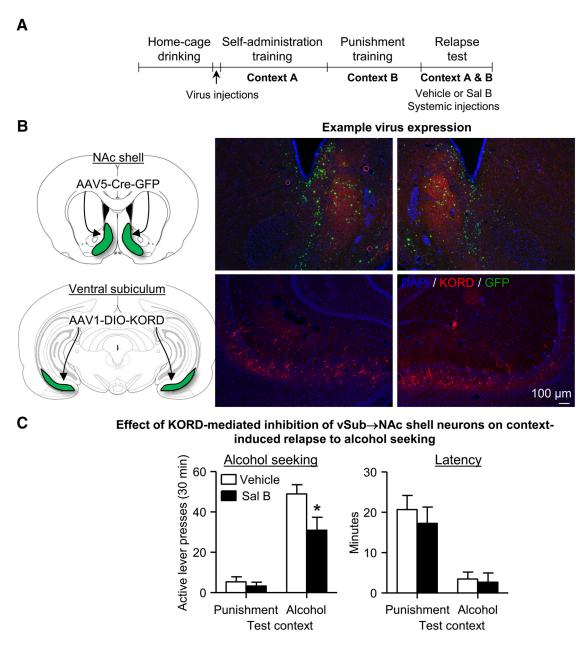
### Discussion

We report four main findings. First, context-induced relapse after punishment-imposed abstinence was associated with selective activation of the vSub-NAc shell projection. Second, reversible inactivation of vSub decreased context-induced relapse. Third, chemogenetic inhibition of the vSub→NAc shell projection decreased context-induced relapse, albeit to a lesser degree than vSub reversible inactivation. Fourth, the effect of chemogenetic inhibition of the vSub-NAc shell projection was designer-receptor specific and dependent on prior history of alcohol and punishment exposure. SalB had no effect on contextinduced relapse in rats expressing hM3D in the vSub-NAc shell projection or following reacquisition and repunishment in rats expressing KORD in this projection. Our results demonstrate a critical role of vSub in context-induced relapse after punishment-imposed abstinence and suggest that this effect involves the vSub-NAc shell projection during the first relapse episode.

### Role of ventral subiculum in context-induced relapse

Our results demonstrate a critical role of vSub in context-induced relapse to alcohol seeking after punishment-imposed abstinence. These findings extend previous results on the role of vSub (Bossert and Stern, 2014) and the vSub—NAc shell projection (Bossert et al., 2015) in context-induced reinstatement of heroin seeking after extinc-

tion in which we used a traditional anatomical disconnection procedure (Gold, 1966). There is also evidence for a role of ventral hippocampal areas, located dorsal to vSub, in context-induced reinstatement of cocaine seeking after extinction (Lasseter et al., 2010).

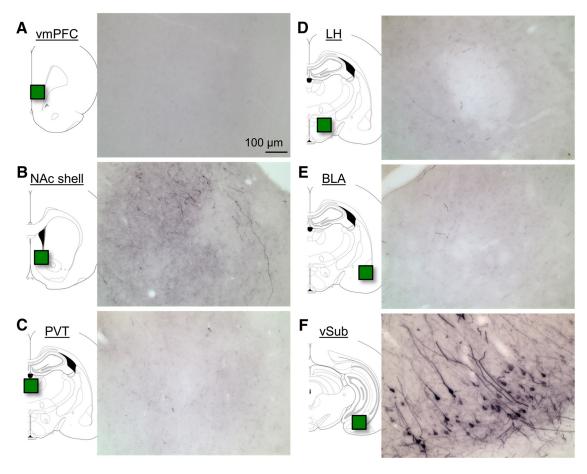


**Figure 5.** Chemogenetic inhibition of vSub $\rightarrow$ NAc shell projection decreases context-induced relapse of alcohol seeking after punishment-imposed abstinence. **A**, Outline of experimental procedure. **B**, Schematic of AAV5-Cre injections in NAc shell and AAV1-DIO-KORD injections in vSub. **C**, Data are mean  $\pm$  SEM; number of active lever presses (left) and latency to first active lever press (right) during the first relapse test. n=13-16 per group. \*Different from the Vehicle condition, p<0.05.

Thus, although a role of vSub in context-induced reinstatement of alcohol seeking after extinction has not been established, a tentative conclusion is that vSub and vSub—NAc shell projections are critical for context-induced relapse independent of the self-administered drug and the mechanism used to impose abstinence. Support for this notion comes from the findings that NAc shell is critical for context-induced reinstatement after extinction across drug classes (Bossert et al., 2007; Fuchs et al., 2008b; Chaudhri et al., 2009) and context-induced relapse to alcohol seeking after punishment (Marchant and Kaganovsky, 2015).

The vSub and other subregions of the ventral hippocampus have been implicated in other forms of context-dependent learning (Bannerman et al., 2004). For example, excitotoxic lesion or reversible inactivation of ventral hippocampus decreases renewal of conditioned fear (Maren, 1999; Hobin et al., 2006). Thus, one potential account of the present and previous results is that the

primary function of ventral hippocampus is to encode the motivational significance of emotionally relevant contexts. However, there are several studies implicating vSub in drug seeking in experiments where the context was not manipulated. For example, electrical stimulation of vSub reinstates cocaine or amphetamine seeking after extinction (Vorel et al., 2001; Taepavarapruk et al., 2015). Inactivation of ventral hippocampus or vSub with muscimol+baclofen or lidocaine decreases cue and drug priming-induced reinstatement of cocaine and methamphetamine seeking (Sun and Rebec, 2003; Hiranita et al., 2006; Rogers and See, 2007). Additionally, inactivation of ventral hippocampus with muscimol+baclofen decreases the effect of repeated restraint stress, given during abstinence, on enhanced reacquisition of nicotine self-administration (Yu and Sharp, 2015). These data point to a more general role of vSub in promoting relapse to drug seeking.



**Figure 6.** vSub→NAc shell projections do not send collateral projections to other vSub projection areas. Representative photomicrographs of HA immunoreactivity from a rat in Experiment 3 that received AAV5-Cre in NAc shell and AAV1-DIO-KORD in vSub. **A**, vmPFC. **B**, NAc shell. **C**, PVT. **D**, Lateral hypothalamus (LH). **E**, BLA. **F**, vSub.

Finally, anatomical and synaptic physiology studies suggest that vSub-NAc shell projection neurons are glutamatergic (Christie et al., 1987; Britt et al., 2012). There is also evidence that glutamate and dopamine (via D1 receptors) act in synergy to promote activity of NAc neurons (O'Donnell, 2003; Floresco, 2007). Thus, we propose that vSub glutamate release in NAc shell acts in combination with dopamine, originating from the ventral tegmental area (Nauta et al., 1978), to promote context-induced relapse to alcohol seeking. Evidence supporting this idea is that chemical and electrical vSub stimulation increases NAc dopamine release (Legault et al., 2000; Taepavarapruk et al., 2000), an effect that is dependent on both ventral tegmental area activity (Legault et al., 2000) and NAc glutamate receptors (Taepavarapruk et al., 2000; Floresco et al., 2001). Additionally, we recently found that blockade of NAc shell dopamine D1 receptors decreases context-induced relapse to alcohol seeking after punishmentimposed abstinence (Marchant and Kaganovsky, 2015).

### Methodological and conceptual considerations

Several issues should be considered in the interpretation of the present data. One issue is whether our findings from experiments using the genetically selected P rats generalize to outbred rat strains that consume less alcohol than the P rats. Another issue is that the effects on context-induced relapse of vSub reversible inactivation or chemogenetic inhibition of vSub—NAc shell projections are due to nonspecific performance deficits. This is unlikely because we previously found no effect of vSub muscimol+baclofen injections on high-rate operant responding

for food (Bossert and Stern, 2014), and SalB had no effect on context-induced relapse in rats expressing hM3D in vSub $\rightarrow$ NAc shell neurons. A second issue is that the lower vSub KORD (HA-IR) expression in the vehicle-injected rats (compared with the SalB-injected rats) contributed to the group differences in context-induced relapse (Fig. 5*C*). This is unlikely because the two groups did not differ in their response latency during testing or in other behavioral measures (acquisition and reacquisition of alcohol self-administration, punishment, and repunishment responding (data not shown).

Another issue is the relative contribution of the vSub→NAc projection to the role of vSub in context-induced relapse after punishment. As seen in Figures 3 and 5, we observed a greater reduction of alcohol seeking after vSub inactivation than after chemogenetic inhibition of vSub-NAc shell projections. A potential interpretation of this difference is that vSub projections to other brain regions also play a role in context-induced relapse. Although this possibility cannot be ruled out, we speculate that the weaker effect of our retro-DREADD manipulation is likely due to the fact that this manipulation only partially inhibited the activity of the projection because not all projection neurons were expressing KORD. We observed approximately half the number of HA-positive neurons in Experiment 3 compared with the number of CTb-positive neurons in Experiment 1. Thus, the observed behavioral effects of SalB may underestimate the contribution of the vSub-NAc shell projection to context-induced relapse. In this regard, the serotype of the KORD virus we used in the present study was different from the one we used in our

previous study (Marchant et al., 2016), resulting in a lower number of KORD-expressing neurons in the present study. Another issue related to the retro-DREADD methodology is that the observed behavioral effects may be due to collateral projections to brain areas other than those injected with the retrograde AAV-Cre. This possibility is unlikely in our study because very few vSub projection neurons to a given brain area send collaterals to other brain areas (Namura et al., 1994; Naber and Witter, 1998). Indeed, as can be seen in Figure 6, using this dual-virus strategy, we observe HA labeling of terminals in NAc shell but not in other vSub projection areas.

Another issue to consider is that our experimental manipulations (vSub inactivation, chemogenetic inhibition of the vSub→NAc shell projection) had no effect on response latency during testing. These results suggest that neuronal activity in vSub and vSub→NAc shell projection does not mediate the initial context-driven alcohol seeking but underlies other learning and motivational processes that control drug seeking during the nonreinforced relapse tests.

Finally, an unexpected finding in our study was that chemogenetic inhibition of the vSub-NAc shell projections had no effect on context-induced relapse after reacquisition and repunishment. The reasons for this time-limited role of vSub→NAc shell projection in context-induced relapse are unknown and may be due to multiple factors, including the rat strain, the effectiveness of neuronal inhibition by KORD, and other procedural factors. Noteworthy, relevant literature does not exist because, over the last decades, studies using relapse models have not compared mechanisms of initial versus repeated relapse/ reinstatement episodes after reacquisition of drug selfadministration (Venniro et al., 2016). However, for at least one form of relapse, reacquisition after extinction (Millan et al., 2013; McNally, 2014), there is evidence for pharmacological dissociation of reacquisition versus initial acquisition of alcohol self-administration: the former is naloxone-sensitive, whereas the latter is not (Perry and McNally, 2012). Based on these findings, one potential account of our data, which can only be resolved by future studies, is that mechanisms underlying the initial relapse episode might be different from those involved in subsequent relapse episodes.

### Concluding remarks

We used our recently developed model of context-induced relapse where abstinence is voluntary and studied the role of projections to NAc shell in this form of relapse. Our results demonstrate a critical role of vSub in context-induced relapse after punishment-imposed abstinence and further suggest a role of the vSub→NAc shell projection in this form of relapse. A surprising finding was that chemogenetic inhibition of vSub NAc shell projection, which decreased first-episode context-induced relapse, had no effect on relapse after reacquisition of alcohol self-administration and repunishment. This unexpected finding may have implications for future studies on mechanisms of drug relapse in rat models. Over the years, relapse studies in the addiction field have been exclusively limited to the investigation of an initial relapse episode induced by exposure to drug priming, stress, and drug cues or contexts (Kalivas and McFarland, 2003; Bossert et al., 2013; Mantsch et al., 2016; Venniro et al., 2016). Our serendipitous findings on the dissociable effects of SalB injections on the first versus second context-induced relapse episode after reacquisition and repunishment suggest that studies on mechanisms underlying relapse after multiple prior relapse episodes, which commonly occurs in most alcohol and drug users (O'Brien, 1997; Heilig et al., 2011), are warranted. Finally, based on previous studies on the differences in mechanism of drug reward and relapse across drug classes (Badiani et al., 2011; Badiani, 2013), another question for future research is whether the circuit mechanism identified here generalizes to other drugs of abuse.

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