

Intranasal Oxytocin Affects Amygdala Functional Connectivity after Trauma Script-Driven Imagery in Distressed Recently Trauma-Exposed Individuals

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Approximately 10% of trauma-exposed individuals go on to develop post-traumatic stress disorder (PTSD). Neural emotion regulation may be etiologically involved in PTSD development. Oxytocin administration early post-trauma may be a promising avenue for PTSD prevention, as intranasal oxytocin has previously been found to affect emotion regulation networks in healthy individuals and psychiatric patients. In a randomized double-blind placebo-controlled between-subjects functional magnetic resonance (fMRI) study, we assessed the effects of a single intranasal oxytocin administration (40 IU) on seed-based amygdala resting-state FC with emotion regulation areas (ventromedial prefrontal cortex (vmPFC), ventrolateral prefrontal cortex (vlPFC)), and salience processing areas (insula, dorsal anterior cingulate cortex (dACC)) in 37 individuals within 11 days post trauma. Two resting-state scans were acquired; one after neutral- and one after trauma-script-driven imagery. We found that oxytocin administration reduced amygdala-left vlPFC FC after trauma script-driven imagery, compared with neutral script-driven imagery, whereas in PL-treated participants enhanced amygdala-left vlPFC FC was observed following trauma script-driven imagery. Irrespective of script condition, oxytocin increased amygdala–insula FC and decreased amygdala–vmPFC FC. These neural effects were accompanied by lower levels of sleepiness and higher flashback intensity in the oxytocin group after the trauma script. Together, our findings show that oxytocin administration may impede emotion regulation network functioning in response to trauma reminders in recently trauma-exposed individuals. Therefore, caution may be warranted in administering oxytocin to prevent PTSD in distressed, recently trauma-exposed individuals.

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INTRODUCTION

Approximately 10% of trauma-exposed individuals develop post-traumatic stress disorder (PTSD) (de Vries and Olf, 2009). As of now, there are only a few effective, preventive interventions for PTSD (Amos *et al*, 2014; Rothbaum *et al*, 2012). Therefore, improved prevention interventions are warranted, and pharmacological targeting of neurobiological processes etiologically involved in PTSD development early post-trauma has been proposed as a promising prevention strategy (Fletcher *et al*, 2010).

Fear expression is associated with activity in the dorsal anterior cingulate cortex (dACC) and the amygdala (Milad *et al*, 2009). Further, regulation of emotion, including fear, is related to the functioning of neural networks

involving the amygdala and prefrontal cortex (PFC), where the PFC exerts inhibitory control over the amygdala (Milad *et al*, 2009). Exaggerated fear expression and aberrant emotion regulation are key symptoms of PTSD, neurobiologically associated with amygdala and dorsal anterior cortex (dACC) hyperreactivity to negative emotional stimuli, and diminished amygdala–PFC functional connectivity (FC) (Patel *et al*, 2012; Rabinak *et al*, 2014). From a theoretical perspective, inadequate PFC engagement early post-trauma may lead to insufficient inhibition of amygdala and dACC reactivity (Admon *et al*, 2013b). This neural pattern may ultimately result in prolonged, exaggerated fear responsiveness to trauma-related stimuli and generalization of these responses to neutral stimuli, as observed in PTSD (Mahan and Ressler, 2012). Interestingly, in line with this theory, converging evidence indicates that aberrant neural functioning observed in PTSD patients is indeed etiologically involved in PTSD development. A prospective neuroimaging study in military personnel showed that pre-deployment, high amygdala reactivity to combat-related stimuli was associated with higher PTSD symptom severity following

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combat stress (Admon *et al*, 2009). In addition, decreased limbic-vmPFC functional and structural connectivity over the course of deployment was observed in soldiers who developed PTSD symptoms, whereas soldiers who did not develop PTSD symptoms showed increased connectivity (Admon *et al*, 2013a). Similarly, higher pre-trauma amygdala reactivity in adolescents was associated with higher PTSD symptomology after the Boston marathon terrorist attack (McLaughlin *et al*, 2014). In addition, resting-state inter-amygdala FC assessed 2 days after a car accident was negatively correlated with PTSD symptoms 1 and 6 months later (Zhou *et al*, 2012). In line with these neural observations, slower fear extinction pre-deployment was associated with higher PTSD symptom severity 2 months post deployment (Lommen *et al*, 2013). Also, in patients with either acute stress disorder or chronic PTSD, fear extinction in the presence of a safety signal was lower compared with healthy controls (Jovanovic *et al*, 2013), further strengthening the notion that early post-trauma emotion regulation deficits are involved in PTSD development. Notably, dACC reactivity to a cognitive interference task was higher in veterans with PTSD compared with trauma-exposed controls, and the same distinction was also observed in their non-trauma-exposed twins (Shin *et al*, 2011)—a finding that also links pre-existing aberrant dACC function to PTSD vulnerability. Taken together, these findings imply that interindividual differences in the functioning of amygdala-centered networks associated with emotion regulation and fear expression may partly explain why only a minority of trauma-exposed individuals develops PTSD after trauma exposure. Consequently, early post-trauma augmentation of amygdala-PFC network connectivity associated with emotion regulation may be argued to reduce PTSD risk in vulnerable individuals.

Intranasal oxytocin (OT) administration has been proposed as a preventive intervention for PTSD (Frijling *et al*, 2014), based on animal studies suggesting that both endogenous OT release and central OT administration have anxiolytic effects by affecting amygdala functioning (Viviani and Stoop, 2008). OT's stimulatory effect on GABAergic interneurons in the lateral central amygdala inhibit medial central amygdala output to hypothalamic and brainstem nuclei, thereby modulating fear expression (Huber *et al*, 2005; Knobloch *et al*, 2012; Viviani *et al*, 2011). Although OT hardly passes the blood-brain barrier (Neumann and Landgraf, 2012), intranasal OT administration seems to affect central OT availability, as increased amygdala OT levels were observed 30–60 min post administration in rats (Neumann *et al*, 2013). This may result from endogenous OT release after intranasal administration, although the exact underlying mechanisms remain unknown. In addition, OT administration enhanced long-term glutamatergic neurotransmission in mice's infralimbic-mPFC (the rodent parallel of the vmPFC) *in vitro* (Ninan, 2011). This may be related to higher infralimbic-mPFC neuroplasticity, and consequently potentially increased regulatory output to the amygdala (Ninan, 2011). Furthermore, in rats, OT administration at the infralimbic-mPFC facilitated fear extinction (Lahoud and Maroun, 2013). fMRI studies in humans showed that a single administration of OT attenuated amygdala reactivity to negative emotional stimuli and enhanced amygdala-medial PFC resting-state FC in healthy males (Kirsch *et al*, 2005;

Sripada *et al*, 2012a), and males with generalized social anxiety disorder (Dodhia *et al*, 2014; Labuschagne *et al*, 2010). Moreover, OT attenuated amygdala reactivity and increased PFC reactivity during fear extinction (Eckstein *et al*, 2015), and increased fear extinction recall after fear extinction training (Acheson *et al*, 2013) in healthy males. Similarly, OT dampened amygdala and dACC reactivity to fear-conditioned faces, while reducing negative ratings of these faces (Petrovic *et al*, 2008). In females with borderline personality disorder, OT also attenuated amygdala reactivity to negative social stimuli (Bertsch *et al*, 2013). Together, these findings suggest that OT administration augments emotion regulation abilities and attenuates negative behavioral responses to aversive stimuli in humans by affecting amygdala-PFC network functioning. Therefore, as inadequate emotion regulation is likely etiologically involved in PTSD development, we hypothesized that OT may reduce PTSD risk when administered early post-trauma.

However, more recent observations (mostly published after the start of our study) showed that OT does not consistently act anxiolytically (eg, Acheson *et al*, 2015). OT-induced increases in salience processing provides a theoretical framework explaining previously observed differential OT administration effects on anxiety-related outcomes depending on context perception (Bartz *et al*, 2011). Salience processing is associated with functioning of a neural network comprising the amygdala, dACC, and insula (Seeley *et al*, 2007). This salience network serves to detect and direct attention to relevant environmental stimuli (Menon and Uddin, 2010), thereby directly influencing responses to the environment. Increased amygdala-insula FC was previously associated with higher anxiety states and psychological distress in healthy individuals (Baur *et al*, 2013; van Marle *et al*, 2010), and also with PTSD (Sripada *et al*, 2012b). However, OT-induced enhanced salience network activity was previously not interpreted as reflecting increased anxiety, as increased insula/dACC reactivity and amygdala-insula/dACC FC in response to emotional stimuli occurred in parallel with attenuated amygdala reactivity and/or enhanced functioning of PFC areas involved in emotion regulation (ie, the lateral orbitofrontal cortex and inferior frontal gyrus) in healthy individuals (eg, Riem *et al*, 2011a; Striepens *et al*, 2012). Similarly, in males with generalized social anxiety disorder, in addition to dampening amygdala hyperreactivity and enhancing resting-state amygdala-PFC FC (Dodhia *et al*, 2014; Labuschagne *et al*, 2010), OT also increased amygdala-insula and amygdala-mid/dACC FC towards fearful faces (Gorka *et al*, 2015). Thus, considering OT's effects on salience network activity, and the role of salience processing in responding to emotional stimuli, we also included the insula in our analyses.

To gain insight into the proposed neurobiological mechanisms underlying OT's hypothesized therapeutic potential for PTSD prevention, we have investigated the effects of a single OT administration on resting-state FC of amygdala-centered emotion regulation and salience networks in recently trauma-exposed individuals with moderate-to-severe acute distress. Within 11 days post trauma, we induced emotional responses and acute PTSD symptoms using personalized trauma script-driven imagery, and assessed associated amygdala resting-state FC. We expected that compared with neutral script-driven imagery

the trauma script would result in increased amygdala FC with salience network nodes (dACC/insula). We expected that OT administration would result in amygdala FC patterns associated with increased emotion regulation, ie increased amygdala FC with the ventromedial PFC (vmPFC)/ventrolateral PFC (vlPFC) (ie, the lateral orbitofrontal cortex and inferior frontal gyrus).

MATERIALS AND METHODS

Participants

Forty-four participants (males $n=18$) were scanned after verbal and written informed consent (see Supplementary Material for inclusion flowchart). The Institutional Review Board of the Academic Medical Center, Amsterdam, the Netherlands approved the study, and Good Clinical Practice guidelines were followed. Participants were recruited following treatment at one of the three participating Emergency Departments (EDs) in Amsterdam after experiencing a traumatic event, according to the DSM-IV PTSD A1 criterion (American Psychiatric Association, 2000). Adults (18–65 years) scoring above screening questionnaire cutoffs indicating moderate-to-severe distress within 7 days post trauma, and hence increased PTSD risk (see below), were eligible to participate. Exclusion criteria were MRI contraindications, severe/chronic systemic disease, current PTSD/depression and current psychotic/bipolar/substance-related/personality disorder, mental retardation, neurological/endocrinological disorder, ongoing traumatization, medication use potentially interfering with OT administration (eg, systemic glucocorticoids, psychopharmacological medication), OT allergy, persistent impaired consciousness or amnesia, pregnancy, and breastfeeding. Although all participants screened negative for current depression, bipolar disorder, PTSD, substance-related disorder, psychotic disorder at time of trauma exposure, 18 (41%) participants screened positive for at least one lifetime psychiatric disorder (Table 1). Due to technical failure ($n=3$), excessive head movement (ie, >6 mm/degrees in any direction) ($n=3$) and preliminary scan abortion ($n=1$), seven participants were excluded, resulting in 37 included participants for fMRI analyses (OT $n=19$).

Design and Experimental Intervention

The study was a double-blind, randomized, placebo-controlled, between-subjects study. Participants self-administered either OT (40IU oxytocin (Defiante Farmaceutica, S.A., Funchal, Portugal); five puffs of 4IU per nostril) or PL (0.8% NaCl solution, five puffs per nostril). Forty-five minutes post administration, a 6-min task-based fMRI scan was performed (results described elsewhere), and the functional resting-state occurred between 55 and 80 min post administration. This timeframe falls within the window of intranasal OT-induced increases in regional cerebral blood flow in the amygdala, insula, and vlPFC (Paloyelis *et al*, 2014). Given that Paloyelis *et al* observed neural OT effects peaked between 39 and 51 min post administration and slowly attenuated onwards (Paloyelis *et al*, 2014), we explored correlations of duration between intranasal administration, scanning, and our observed neural effects in the OT group

(see Supplementary Material). Participants who also enrolled in the randomized clinical trial on the efficacy of multiple OT intranasal doses for PTSD prevention ($n=23$) (Frijling *et al*, 2014) were scanned after the first intranasal dose.

Procedure—Screening and Baseline Assessment

Potentially trauma-exposed ED patients were contacted within 7 days post trauma (mean (SD)=3.3 (1.9) days). Acute distress was assessed using the Trauma Screening Questionnaire (TSQ; cutoff score ≥ 5 (Mouthaan *et al*, 2014; Walters *et al*, 2007) and Peritraumatic Distress Inventory (PDI; cutoff score ≥ 17 (Nishi *et al*, 2010) (see Frijling *et al* (2014) for details). Individuals with increased PTSD risk (ie, scoring above TSQ and/or PDI cutoff) were invited to participate. At the baseline assessment (within 8 days post trauma, mean (SD)=6.0 (1.9) days) current and lifetime psychopathology was assessed with the MINI International Neuropsychiatric Interview (MINI) (Sheehan *et al*, 1998). Acute PTSD symptom severity was assessed with the Clinician-Administered PTSD Scale (CAPS) (Blake *et al*, 1995), measuring frequency and intensity of DSM-IV PTSD symptoms experienced since the recent event. Participants completed the Early Trauma Inventory (ETI; short version) for assessing the number of different childhood traumas they have experienced (Bremner *et al*, 2007).

Procedure—Script-Driven Imagery and Resting-State fMRI Scanning

The fMRI session was scheduled within 11 days post-trauma (mean (SD)=8.4 (2.1) days). Participants were abstained from eating, drinking (except water), smoking, and exercise 3.5 h before scanning. Fifty-five minutes post administration, two resting-state fMRI scans were taken: one immediately following neutral script-driven imagery, and the second immediately following trauma script-driven imagery (van Marle *et al*, 2010) (see Figure 1 for an overview of experimental procedures). Participants had previously provided detailed contextual descriptions of the recent trauma and a recent non-emotional event (eg, preparing breakfast), including physical sensations experienced during these events, according to a previously established protocol for script-driven imagery (Pitman *et al*, 1987). Two-minute neutral and trauma audio scripts were composed, recorded, and used for neutral- and trauma-script-driven imagery prior to resting-state scanning. The same female member of the research team recorded both the trauma and neutral scripts for a given participant. The neutral script condition (RS-neutral) always preceded the trauma script condition (RS-trauma), to prevent spillover effects. Participants were instructed to vividly imagine the events while listening to each script. After both scripts, participants immediately rated current anxiety and tension levels (scale 0–10). Subsequently, functional scanning began (8 min per script condition), during which participants kept their eyes open and let their minds wander.

After both scans, participants rated safety, calmness, and sleepiness experienced during scanning (scale 0–6). After RS-trauma, participants rated PTSD symptoms experienced during RS-trauma, using a Dutch translation of the Responses to Script-Driven Imagery Scale (RSDI) consisting

Table 1 Participant Characteristics

	Oxytocin (n = 24)	Placebo (n = 20)	Statistics
N—included in fMRI analyses	19	18	
Males (n (%))	9 (37.5%)	9 (45%)	$\chi^2 = 0.25$, $df = 1$, $p = 0.61$
Age (years)	29.5 (11.4)	32.3 (11.5)	$t_{42} = -0.82$, $p = 0.42$
Current smoker (n (%))	1 (4%)	3 (15%)	$\chi^2 = 1.55$, $df = 1$, $p = 0.21$
Type of trauma			$\chi^2 = 2.31$, $df = 3$, $p = 0.51$
Motor vehicle traffic accident (n (%))	12 (50%)	14 (70%)	
Accident at work/home (n (%))	5 (21%)	3 (15%)	
Interpersonal trauma (n (%))	6 (25%)	3 (15%)	
Other (n (%))	1 (4%)	0 (0%)	
Childhood trauma (ETI total)	5.2 (4.4)	5.2 (3.6)	$t_{42} = -0.11$, $p = 0.92$
Days between trauma and scan session	8.8 (1.3)	8.0 (2.8)	$t_{42} = -0.30$, $p = 0.77$
MINI lifetime PTSD (n (%))	6 (26.1%)	1 (5%)	$\chi^2 = 3.49$, $df = 1$, $p = 0.06$
MINI lifetime MDD (n (%))	6 (25%)	6 (30%)	$\chi^2 = 0.14$, $df = 1$, $p = 0.71$
MINI lifetime alcohol/substance dependence (n (%))	1 (4.2%)	1 (5.0%)	$\chi^2 = 0.02$, $df = 1$, $p = 0.90$
MINI lifetime psychotic disorder (n (%))	0 (0%)	0 (0%)	NA
MINI lifetime bipolar disorder (n (%))	1 (4.2%)	1 (5%)	$\chi^2 = 0.02$, $df = 1$, $p = 0.90$
CAPS total	45.1 (21.2)	39.1 (16.9)	$t_{42} = 1.02$, $p = 0.32$
CAPS re-experiencing	19.2 (9.9)	13.5 (7.9)	$t_{42} = 2.09$, $p = 0.04$
CAPS avoidance	9.9 (7.7)	10.8 (5.3)	$t_{42} = -0.43$, $p = 0.67$
CAPS hyperarousal	16.0 (8.1)	14.9 (8.0)	$t_{42} = 0.47$, $p = 0.64$

Abbreviations: CAPS, Clinical Administered PTSD Scale; ETI, Early Trauma Inventory; fMRI, functional magnetic resonance imaging.

Demographic and clinical variables (\pm SD) for the oxytocin and placebo group assessed at the pre-intervention appointment. Statistically significant group differences ($p < 0.05$) are in boldface.

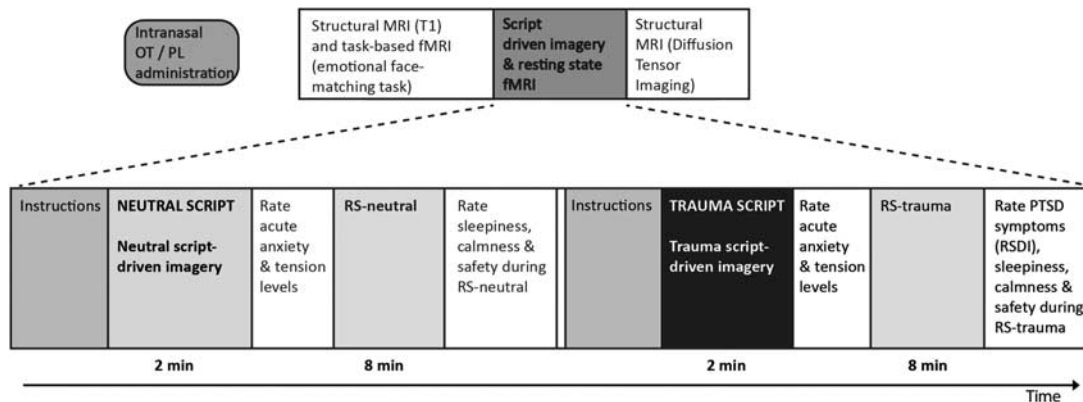


Figure 1 Schematic overview of the experimental paradigm and scanning procedure, including timing of script-driven imagery and behavioral assessments. (f)MRI, (functional) magnetic resonance imaging; OT, oxytocin; PL, placebo; PTSD, post-traumatic stress disorder; RS, resting-state; RSDI, responses to script-driven imagery scale.

of re-experiencing (three items, total range 0–18), avoidance (three items, total range 0–18), and dissociation subscale (four items, total range 0–24) (Hopper *et al*, 2007a). The RSDI has strong construct validity. Within our sample, Cronbach's alpha of the subscales ranged from good to excellent (0.83–0.95).

fMRI Acquisition

Scans were acquired using a Philips Ingenia 3T MRI scanner with a 16-channel head coil. A gradient-echo echo-planar imaging sequence sensitive to blood oxygenation level-dependent contrast was used to obtain functional images (repetition time (TR) = 2300 ms, echo time (TE) = 27 ms),

field of view (FOV) = 220 × 220 × 120 mm, matrix = 96 × 96, voxel size = 2.29 × 2.29 × 3.00 mm, 40 transverse slices, no gap). Whole-brain high-resolution anatomical reference images were acquired using a T₁-weighted 3D MPRAGE sequence (TR = 6.7 ms, TE = 3.1 ms, flip angle = 9°, FOV 270 × 252 × 201 mm, matrix = 256 × 256, voxel size = 1.11 mm³, 170 sagittal slices).

Data Analysis

Demographic and behavioral data. All data were checked for normality and log transformed when indicated. There were no outliers. To test for treatment group differences on demographic variables (eg, age, trauma type and childhood

trauma) and baseline PTSD symptom severity two-sample *t*-tests (continuous variables) and chi-square tests (categorical variables) were performed. The statistically significant group difference on baseline PTSD re-experiencing symptom severity was included as a covariate in all subsequent between-subject (including fMRI) analyses.

One OT-treated participant's outcome of the lifetime PTSD MINI module was missing. Incorrect button presses led to missing values for behavioral script responses: there were four missing values for acute anxiety ratings after the trauma script, all other scales had fewer missing values. Behavioral measures that were assessed twice did not follow normal distributions, even after log transformation, except for the sleepiness scale. Therefore, we tested whether the PL- and OT-treated groups showed different behavioral responses to the trauma script *vs* the neutral script using difference scores (RS-trauma minus RS-neutral) with one-way ANCOVAs (adjusting for CAPS re-experiencing scores). Significant treatment effects on difference scores were followed by one-way ANCOVAs within each script condition. If there was no significant treatment effect on difference scores, trauma script effects relative to the neutral script were tested irrespective of treatment, using paired *t*-tests (normal distribution) or Wilcoxon signed rank tests (non-normal distribution). Treatment effects on RSDI scores after the trauma script were tested using one-way ANCOVAs. SPSS software (version 20, IBM, Armonk, NY, USA) was used, *p*-values < 0.05 (two sided) were considered statistically significant.

fMRI data. fMRI data analyses were conducted using SPM8 (Wellcome Trust Centre for Neuroimaging, London, UK). The last 2 min of each RS scan were discarded to prevent exclusion of more participants based on increasing excessive head movement during this period. Functional images of the first 6 min of scanning were spatially realigned to the first image, slice-time-corrected, segmented, normalized to 2 mm³ voxels in Montreal Neurological Institute space, and spatially smoothed with a 8-mm full-width-half-maximum Gaussian kernel.

Applying a seed-based approach, for each participant the first eigenvariate of the set of time courses in the bilateral amygdala was extracted for both scans, using an anatomical mask from the Automated Anatomic Labeling (AAL) atlas in the SPM Wake Forest University (WFU) Pickatlas toolbox. We selected a single mask for the left and right amygdala combined according to a prior study (van Marle *et al*, 2010), to reduce the number of statistical tests. The resulting bilateral amygdala time series were entered as regressors of interest in first-level general linear models. Six motion parameters and white matter and cerebrospinal fluid signal (extracted from each scan using corresponding masks implemented in SPM) were added as nuisance regressors to account for global non-neuronal signal fluctuations. A high-pass filter of 1/128 Hz was included to remove low-frequency signal drift. Temporal autocorrelation was modeled with an AR(1) process. Individual whole-brain maps of bilateral amygdala correlation estimates were produced, which were taken to second-level, where group differences were tested with whole-brain voxel-wise random-effects analyses. As recent studies show that the common practice in fMRI analyses to regress out the six realignment

parameters at the individual level to account for head motion may insufficiently remove motion artifact from resting-state fMRI data (Power *et al*, 2015), we calculated the mean frame wise displacement (FD) for each scan, using the Data Processing Assistant Resting-State fMRI toolbox (Chao-Gan and Yu-Feng, 2010). FD values were added as covariate to all second-level analyses (Yan *et al*, 2013). CAPS re-experiencing scores were added to models assessing treatment effects. Analyses were restricted to predefined regions of interest (ROIs), which were nodes of salience (insula/(d) ACC) and emotion regulation networks (vmPFC: (ventral) ACC and medial orbitofrontal cortex masks; vlPFC: lateral orbitofrontal cortex and inferior frontal gyrus), using anatomical masks from the Automated Anatomic Labeling atlas of the WFU Pickatlas in SPM. Treatment by script condition interactions were tested for each ROI, using a 2 × 2 ANCOVA with treatment (OT/PL) as between-subjects factor and script condition (neutral/trauma script) as within-subjects factor. Significant interactions were followed by *t*-contrasts. When no significant interaction effects for a ROI were found, treatment and script condition main effects were assessed with two-sample and paired *t*-tests respectively, as it has been suggested to use models allowing for partitioned error for between-subject analyses in SPM (Henson and Penny, 2003). All results were small volume corrected (SVC) for multiple comparisons within the ROI. *p*_{SVC}-values < 0.05 were considered statistically significant. We applied Bonferroni corrections for each ROI for which we conducted *post hoc* tests. Peak voxel coordinates are reported in Montreal Neurological Institute space.

Finally, for all significant results, we additionally adjusted for sex, lifetime PTSD, duration between intranasal administration and scanning, and (for fMRI analyses only) experienced childhood trauma in separate models (Fan *et al*, 2014; Paloyelis *et al*, 2014; Rilling *et al*, 2014).

We also explored whether behavioral responses to both scripts were associated with neural responses in treatment groups separately, using Pearson (normally distributed variables) or Spearman correlations (non-normally distributed variables).

RESULTS

Participant Characteristics

Participant characteristics are listed in Table 1. Treatment groups did not significantly differ in demographics, trauma type, time since trauma at scan, childhood trauma, current smoking status, or baseline PTSD symptom severity, except for significantly higher re-experiencing symptoms (ie, CAPS re-experiencing scores) in OT-treated participants (mean (SD) = 19.2(9.9)) relative to PL-treated participants (mean (SD) = 13.5(7.9), *t*₄₂ = 2.1, *p* = 0.04). CAPS re-experiencing scores were included as covariate in all subsequent between-subjects analyses.

Behavioral Responses to the Neutral and Trauma Script

Behavioral data showed that OT- and PL-treated participants had similar increases in acute anxiety and tension, and no significantly different decreases in calmness and safety ratings following the trauma script *vs* the neutral script

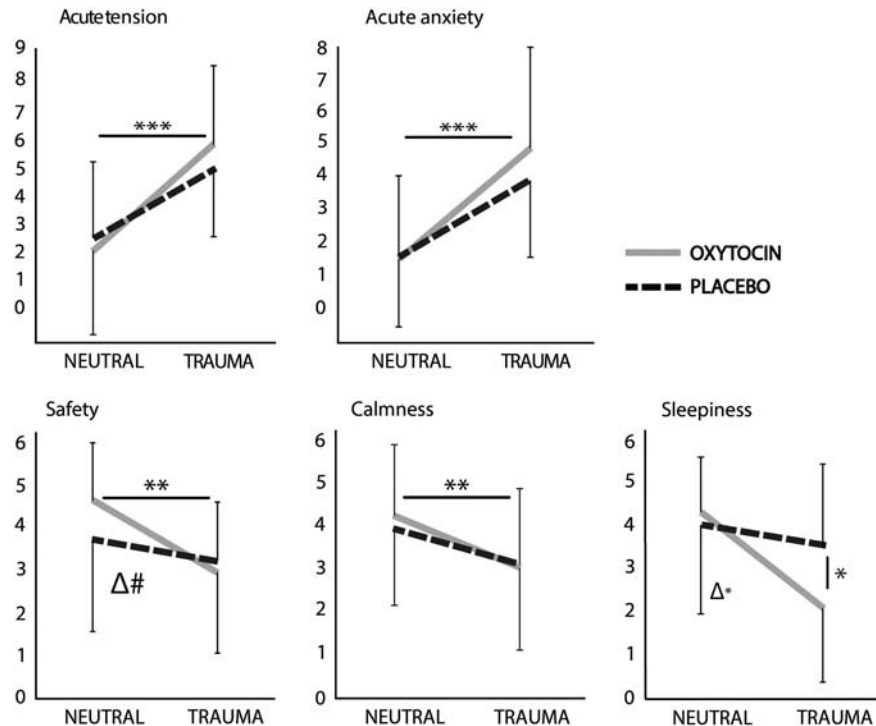


Figure 2 Behavioral responses to the neutral and trauma script. Acute anxiety and acute tension feelings were assessed immediately after the script, prior to scanning. Safety, calmness and sleepiness feelings experienced during scanning were retrospectively assessed after scanning. Oxytocin $n = 24$, placebo $n = 20$. Δ Treatment effect on difference scores from the neutral to the trauma script condition; # $p < 0.1$; * $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$.

(Figure 2, Supplementary Table S1: Between-subjects treatment effects (difference scores): anxiety: $F_{1,37} = 0.83$, $p = 0.37$; tension: $F_{1,37} = 0.61$, $p = 0.44$; safety: $F_{1,39} = 3.45$, $p = 0.07$; calmness: $F_{1,39} = 0.24$, $p = 0.63$. Within-subjects script condition effects: anxiety: $Z = -4.67$, $p < 0.001$; tension: $Z = -4.47$, $p < 0.001$; safety: $Z = -3.19$, $p = 0.001$; calmness: $Z = -3.27$, $p = 0.001$). Sleepiness attenuation from RS-neutral to RS-trauma was significantly higher in OT-treated participants than in PL-treated participants (Figure 2, Supplementary Table S1: $F_{1,39} = 5.20$, $p = 0.03$). Further testing revealed that sleepiness during RS-trauma was significantly lower in OT-treated participants (Figure 2, Supplementary Table S1: $F_{1,39} = 4.74$, $p = 0.04$). Although there were no group differences on RSDI subscale scores after the trauma script (ie, PTSD symptoms during RS-trauma) (Supplementary Table S1: p -values > 0.45), OT-treated participants specifically reported higher flashback intensity during RS-trauma than PL-treated participants (Supplementary Table S1: $F_{1,40} = 4.24$, $p = 0.046$). CAPS re-experiencing scores were associated with RSDI flashback intensity scores ($F_{1,40} = 6.04$, $p = 0.018$), but did not explain the observed group difference. All significant treatment effects remained significant after adjustment for sex. When additionally adjusting for duration between intranasal administration and scanning, the OT effect on lowering sleepiness during RS-trauma became marginally significant ($F_{1,38} = 3.99$, $p = 0.053$), and when additionally adjusting for lifetime PTSD, OT-treated participants had marginally higher flashback intensity scores during RS-trauma than PL-treated participants ($F_{1,38} = 3.47$, $p = 0.07$). All other results were unaltered.

Amygdala Functional Connectivity in Response to Neutral- and Trauma-Script-Driven Imagery

To investigate effects of OT administration on amygdala FC in response to neutral- and trauma-script-driven imagery we conducted seed-based functional connectivity analyses. There was a significant treatment by script-condition interaction effect for amygdala-left vIPFC FC (Figure 3, peak $[x y z] = [-36 28 -14]$, $F_{1,70} = 26.43$, $z = 4.57$, $p = 0.002$). *Post hoc* within-subject t -contrasts revealed that PL-treated participants had significantly greater amygdala-left vIPFC FC during RS-trauma than during RS-neutral (peak $[x y z] = [-40 42 -14]$; $z = 4.28$, $p_{SVC} = 0.005$). In contrast, the OT group showed significantly less amygdala-left vIPFC FC during RS-trauma compared with RS-neutral (peak $[x y z] = [-36 28 -14]$; $z = 4.10$; $p_{SVC} = 0.01$). *Post hoc* between-group t -contrasts additionally revealed that OT-treated participants tended to have lower amygdala-left vIPFC FC during RS-trauma than PL-treated participants (peak $[x y z] = [-34 32 -20]$; $z = 3.74$; $p_{SVC} = 0.035$, corrected alpha for statistical significance = 0.0125). Amygdala-left vIPFC FC during RS-neutral did not differ between treatment groups (peak $[x y z] = [-38 24 -14]$; $z = 2.87$; $p_{SVC} = 0.35$). Furthermore, irrespective of script condition, OT-treated participants showed greater amygdala FC within a cluster originating in the left insula (Figure 4a, OT > PL: peak $[x y z] = [-48 -6 4]$, $z = 3.58$, $p_{SVC} = 0.036$), and there was lower amygdala-vmPFC FC in OT-treated participants (Figure 4b, PL > OT: peak $[x y z] = [-8 38 -8]$, $z = 3.76$, $p_{SVC} = 0.027$). All significant interaction and treatment effects remained significant after additional adjustment for sex, lifetime PTSD, number of different childhood

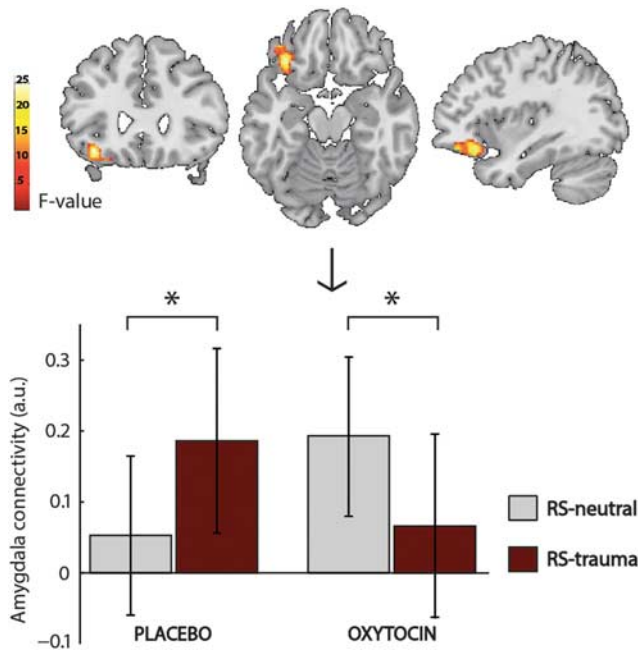


Figure 3 Amygdala-left ventrolateral prefrontal cortex connectivity: treatment by script condition interaction. Above, a statistical map is overlaid on a single subject template brain, depicting the significant treatment by script condition interaction effect of amygdala-left vPFC FC (peak voxel $[x\ y\ z] = [-36\ 28\ -14]$; thresholded for graphical purposes at $p_{\text{uncorr}} < 0.01$). For both script condition and treatment groups (placebo $n = 18$; oxytocin $n = 19$), the bar graphs below depict the mean contrast estimates of the significant treatment by script condition interaction effect, extracted from the depicted cluster, means adjusted for baseline re-experiencing symptoms and frame wise displacement. Error bars represent SD's, also adjusted for baseline re-experiencing symptoms and frame wise displacement. *Significant *post hoc* *t*-contrast at $p_{\text{SVC}} < 0.0125$, all analyses carried out in SPM (Statistical Parametric Mapping); RS, resting-state; SVC, small volume correction; vPFC, ventrolateral prefrontal cortex.

traumatic events, and duration between intranasal administration and scanning.

For treatment groups, we separately explored whether behavioral script responses correlated with neural script responses. For both script conditions and treatments, there were no significant correlations between ratings of acute anxiety, acute tension, calmness, safety, sleepiness, and amygdala–insula/–vPFC/–vmPFC FC (r s ranging from 0.00 to 0.45; all p -values > 0.05). Furthermore, there were no significant correlations between acute PTSD symptoms following the trauma script (RDSI scales) and amygdala–insula/–vPFC/–vmPFC FC following the trauma script (r s ranging from 0.02 to 0.26; all p -values > 0.05).

DISCUSSION

We studied distressed, recently trauma-exposed individuals, and investigated whether a single OT administration affected amygdala-centered emotion regulation and salience network functional connectivity (FC) after a trauma reminder, using neutral- and trauma-script-driven imagery. The trauma script acutely increased anxiety and tension levels. We observed enhanced amygdala-left vPFC FC after the trauma script compared with the neutral script in PL-treated participants, whereas OT-treated participants showed diminished amygdala-left vPFC FC. In addition, irrespective

of script condition, OT administration enhanced amygdala–insula FC and decreased amygdala–vmPFC FC. These neural OT effects were accompanied by lower levels of sleepiness and higher flashback intensity after the trauma script in OT-treated participants. These observations could not be explained by baseline re-experiencing symptoms, childhood trauma, sex, lifetime PTSD, or duration between intranasal treatment and scanning.

Neural functioning associated with inadequate emotion regulation and increased fear expression may be etiologically involved in PTSD development (Admon *et al*, 2013b), and targeting these neural abnormalities early post-trauma may reduce PTSD risk (Fletcher *et al*, 2010). We observed that OT administration reduced amygdala–left vPFC FC following a personalized trauma script, and reduced amygdala–vmPFC FC following both neutral and trauma scripts in distressed trauma-exposed individuals within 11 days post trauma. Whereas the vmPFC is generally associated with fear extinction (Milad *et al*, 2009), more laterally located PFC areas (ie, vPFC and dorsolateral PFC (dlPFC)) are related to cognitive emotion regulation, such as reappraisal (Ochsner *et al*, 2012). It was previously found that vPFC recruitment and attenuated amygdala reactivity during negative emotion reappraisal was paralleled by increased amygdala–vPFC FC (Banks *et al*, 2007). Moreover, lower amygdala–vPFC FC strength during reappraisal has been related to higher negative affect (Banks *et al*, 2007). In addition to our finding of attenuated amygdala–vPFC FC after the trauma script in OT-treated participants, we also demonstrated that OT administration resulted in higher flashback intensity after the trauma script, which might be a behavioral reflection of attenuated emotion regulation abilities. Importantly, in the same sample as the current study, we also observed that OT exacerbated right amygdala reactivity to fearful faces (Frijling *et al*, 2015), suggesting increased fear processing after OT treatment in distressed recently trauma-exposed individuals. As lower amygdala–vPFC connectivity may reflect lower top-down inhibitory control over the amygdala, our current finding in OT-treated participants of general amygdala–vmPFC decoupling, and amygdala–vPFC decoupling following a trauma reminder is in line with increased amygdala reactivity to fearful faces (Frijling *et al*, 2015). Together, these results suggest that a single OT administration may potentially enhance fear processing and impair emotion regulation abilities in distressed recently trauma-exposed individuals when presented with negative stimuli signaling potential imminent threat, such as a trauma reminder or fearful faces.

We additionally observed increased amygdala–insula FC in OT-treated participants. This is in line with previous studies showing increased amygdala–insula FC in task-related paradigms in healthy participants (Riem *et al*, 2011b; Striepens *et al*, 2012) and generalized social anxiety disorder patients (Gorka *et al*, 2015), and may be interpreted as representing increased salience processing after OT administration. However, it should be noted that these previous studies observed OT effects in the anterior insula, which is part of the salience network, whereas the OT effect in our study was located in the posterior insula, which typically does not belong to the salience network (Seeley *et al*, 2007). Therefore, our results do not seem to fit with the currently prevailing salience processing theory (Bartz *et al*, 2011), but

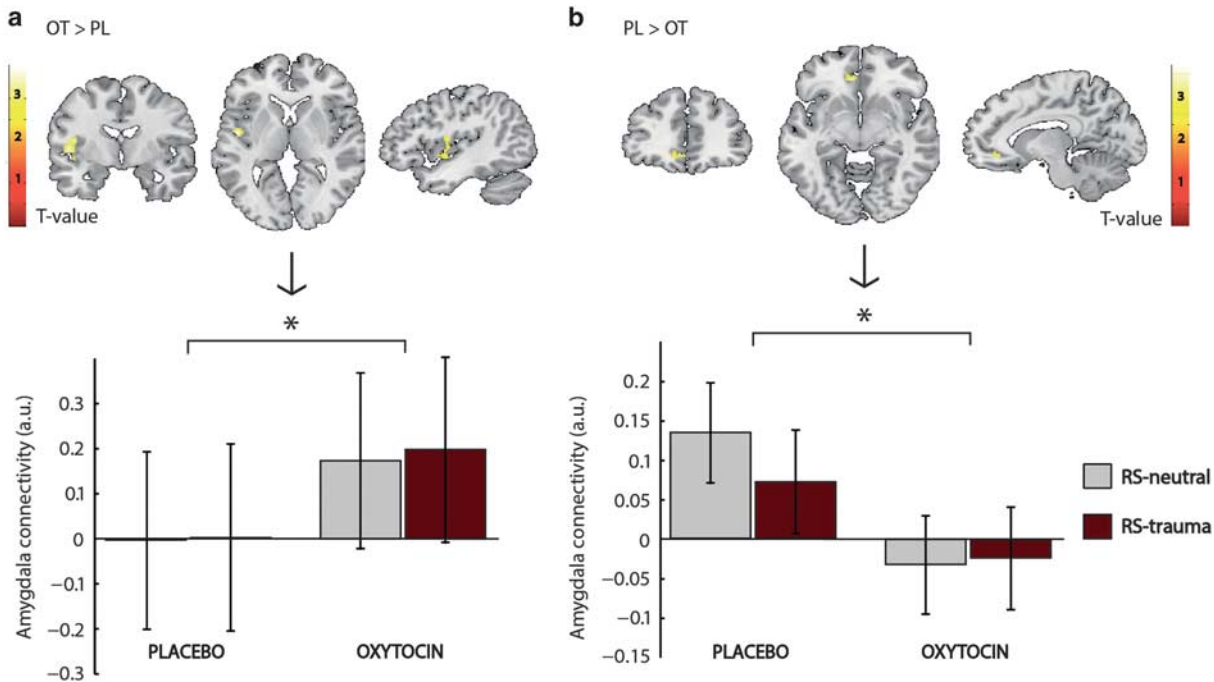


Figure 4 Main effect of oxytocin on amygdala-insula resting-state functional connectivity to script-driven imagery. Above, the statistical maps of the t -contrasts OT > PL (a) and PL > OT (b) over both script conditions (threshold at $p_{\text{uncorr}} < 0.01$ for graphical purposes) is overlaid on a single subject template brain ((a): peak voxel $[x\ y\ z] = [-48\ -6\ 4]$; (b): peak voxel $[x\ y\ z] = [-8\ 38\ -8]$). For both script conditions and treatment groups (placebo $n = 18$; oxytocin $n = 19$), the bar graphs below depict the mean contrast estimates of main treatment effects (extracted from the depicted clusters) adjusted for baseline re-experiencing symptoms and frame wise displacement. Error bars represent the SD's, also adjusted for baseline re-experiencing symptoms and frame wise displacement. *Significant t -contrast at $p_{\text{SVC}} < 0.05$, all analyses carried out in SPM (Statistical Parametric Mapping); RS, resting-state; SVC, small volume correction.

may better be interpreted otherwise. First, as amygdala-insula FC has been associated with state anxiety, psychological stress, and PTSD (Baur *et al*, 2013; Sripatha *et al*, 2012b; van Marle *et al*, 2010), our finding of increased amygdala-insula FC could reflect increased anxiety following OT administration. However, we did not observe higher acute subjective anxiety levels after either neutral- or trauma-script-driven imagery in OT-treated participants compared with PL-treated participants. Alternatively, the posterior insula has specifically been related to processing of internal bodily states (Craig, 2003). Sripatha *et al* (2012b) previously postulated that increased amygdala-insula FC may reflect enhanced functional linkage between visceral perception and emotional responses. In addition, insula reactivity was specifically associated with higher re-experiencing symptoms in response to trauma script-driven imagery in PTSD patients (Hopper *et al*, 2007b). Therefore, our observation of increased flashback intensity after the trauma script paralleled with enhanced amygdala-insula FC in the OT-treated participants, corroborates these previous findings linking re-experiencing symptoms to amygdala-insula functioning, although flashback intensity ratings were not significantly correlated with amygdala-insula FC after the trauma script.

In both treatment groups, we observed an acute increase in negative emotional state in response to the trauma script compared with the neutral script. However, we did not find script condition effects on amygdala FC in both treatment groups, whereas we expected increased amygdala-dACC and amygdala-insula FC in response to the trauma script in all participants (van Marle *et al*, 2010). Notably, in PL-treated

participants we did observe increased amygdala-vlPFC FC after the trauma script relative to the neutral script condition. Increased vlPFC reactivity towards trauma script-driven imagery vs neutral script-driven imagery was previously observed in both PTSD patients and healthy controls (although vlPFC reactivity was higher in controls compared with PTSD patients) (Lanius *et al*, 2007).

The current study's strength is that we scanned distressed trauma-exposed individuals within 11 days post trauma, thereby providing empirical evidence relevant for considering intranasal OT therapeutically for PTSD prevention in a sample with increased PTSD risk (Mouthaan *et al*, 2014; Nishi *et al*, 2010; Walters *et al*, 2007). Illustrative of our sample's vulnerability for PTSD is the high prevalence of lifetime psychopathology (Ozer *et al*, 2003): 41% of participants screened positive for at least one lifetime psychiatric disorder and 16% screened positive for prior PTSD. In addition, the script-driven imagery paradigm enabled us to investigate OT effects on amygdala-centered network functioning related to personal trauma reminders, making our study ecologically valid for a distressed recently trauma-exposed population at increased PTSD risk. Despite these strengths, our study also has limitations. First, the sample size was modest, and although we had a mixed-gender sample we could not test for sex differences in responses to OT (Rilling *et al*, 2014) and trauma script-driven imagery, due to this limited sample size. Similarly, this study was not powered to investigate other interindividual factors potentially moderating OT effects (Bartz *et al*, 2011), such as acute PTSD symptom severity or childhood trauma, which both have been associated with altered

amygdala functioning and/or PTSD risk (Fan *et al*, 2014; Ozer *et al*, 2003; Patel *et al*, 2012). Second, for feasibility reasons within our acute post-trauma design, we opted for a between-subject design, although a within-subject design would have been more powerful. Also, scheduling sessions early post-trauma restricted our ability to scan during predefined menstrual phases in females, which theoretically may influence OT effects (Caldwell *et al*, 1994). Furthermore, as we had specific hypotheses about OT effects on particular neural networks, we applied ROI analyses, as opposed to conducting a whole-brain approach, which limits interpreting OT effects on overall neural functioning. Although we adjusted for mean relative displacement to apply a more rigorous approach than using only six motion parameters as first-level nuisance regressors to account for head motion effects, there are more substantive approaches for dealing with head motion (eg, data scrubbing, wavelet despiking, global mean regression) (Power *et al*, 2015). Furthermore, we scanned participants within the timeframe of neural OT effects, but after the expected peak OT effect (Paloyelis *et al*, 2014). Importantly, although effects did not alter when additionally adjusting for duration between drug administration and scanning, OT effects on (decreasing) amygdala-left vPFC FC appear to attenuate with increasing duration since intranasal administration (see Supplementary Material). Finally, a recently trauma-exposed control group with low levels of acute post-traumatic distress would be helpful in interpretation of the results.

A 1-week intranasal OT treatment regimen is currently under investigation as preventive intervention for PTSD in a similar sample of distressed recently trauma-exposed individuals (Frijling *et al*, 2014). Our current findings are not in line with our initial hypotheses regarding underlying neurobiological mechanisms of potential preventive actions of OT administration, as we hypothesized that OT would reduce PTSD risk by attenuating amygdala reactivity and increasing amygdala–prefrontal FC. Moreover, the observed effects suggest caution in administering OT in distressed, recently trauma-exposed individuals. However, our observed effects on acute behavioral effects and amygdala FC cannot be directly generalized to long-term PTSD symptom development, which is clinically more relevant. Also, in the current study we used a single OT administration, at a relatively high dose, in a predominantly female sample. Different results may be obtained after multiple administrations, as was previously demonstrated in rats (Slattery and Neumann, 2010). Lower OT doses and specifically testing for sex differences may yield different results, as dose- and sex-dependent OT administration effects have been previously demonstrated (Cardoso *et al*, 2012; Rilling *et al*, 2014). Currently, the neuropharmacological mechanisms underlying (sex-)differential effects of single *vs* multiple, and low- *vs* high-dosed OT administrations are not yet well understood. Hypothetically, these differential effects could be a consequence of differential effects on endogenous OT release, OT-receptor functioning (expression, binding, and sensitivity) or OT-binding to arginine vasopressin receptors (Cardoso *et al*, 2012; Macdonald and Feifel, 2014).

In the context of PTSD development, it should also be noted that in healthy males a single OT administration facilitated neural and behavioral fear extinction (Acheson *et al*, 2013; Eckstein *et al*, 2015; see also Acheson *et al*, 2015).

However, rodent studies suggest that the occurrence of either beneficial or adverse OT effects on fear consolidation and extinction depends on a variety of factors (eg, administration timing relative to fear-conditioning phase (Toth *et al*, 2012), and fear memory type (Toth *et al*, 2012; Zoicas *et al*, 2014). Therefore, (timing of) OT effects on fear conditioning should be further elucidated to gain a better understanding of OT administration's therapeutic potential for stress-related disorders. Finally, we assessed FC measures averaged over the whole resting-state scan following script-driven imagery. However, neural responses following a trauma reminder are likely not constant over time, as acute responses may differ from subsequent recovery. Furthermore, OT may differentially impact acute and recovery-related neural responses after trauma reminders. Therefore, temporal dynamics of neural responses following trauma reminders and related OT effects should be investigated in future studies.

In summary, our findings show that a single OT administration in distressed recently trauma-exposed individuals results in diminished amygdala–vmPFC FC and increased amygdala–insula FC. Furthermore, we observed diminished amygdala–vPFC FC specifically after trauma script-driven imagery. These neural OT effects were paralleled by lower levels of sleepiness and higher flashback intensity after the trauma script. These observations indicate that a single OT administration might impede emotion regulation network function, especially after a trauma reminder. Our findings suggest that caution may be warranted in administering a single OT dose in distressed, recently trauma-exposed individuals.

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