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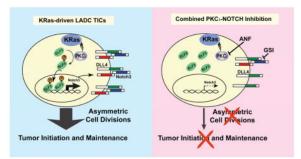
Protein Kinase C₁ drives a NOTCH3-dependent stem-like phenotype in mutant *KRAS* lung adenocarcinoma

Syed A. Ali, **Verline Justilien**, **Lee Jamieson**, **Nicole R. Murray**, and **Alan P. Fields**^{*} Department of Cancer Biology, Mayo Clinic Cancer Center, Jacksonville, Florida, United States, 32224

SUMMARY

We report that the protein kinase Cu (PKCu) oncogene controls expression of NOTCH3, a key driver of stemness, in *KRAS*-mediated lung adenocarcinoma (LADC). PKCu activates NOTCH3 expression by phosphorylating the ELF3 transcription factor and driving ELF3 occupancy on the *NOTCH3* promoter. PKCu-ELF3-NOTCH3 signaling controls the tumor-initiating cell (TIC) phenotype by regulating asymmetric cell division, a process necessary for tumor initiation and maintenance. Primary LADC tumors exhibit PKCu-ELF3-NOTCH3 signaling, and combined pharmacologic blockade of PKCu and NOTCH synergistically inhibits tumorigenic behavior *in vitro* and LADC growth *in vivo* demonstrating the therapeutic potential of PKCu-ELF3-NOTCH3 signal inhibition to more effectively treat *KRAS* LADC.

eTOC Blurb



Ali et al. show that in *KRAS*-mediated lung adenocarcinoma, PKC¹ controls *NOTCH3* expression by phosphorylating ELF3 and driving occupancy at the *NOTCH3* promoter. PKC¹-ELF3-

^{*}To whom correspondence should be addressed: Alan P. Fields, Ph.D., Department of Cancer Biology, Mayo Clinic Cancer Center, Griffin Cancer Research Building, Rm. 212, 4500 San Pablo Road, Jacksonville, Florida 32224, fields.alan@mayo.edu. Author Contributions

SAA performed most experiments with technical assistance, input and advice from LJ and VJ. The experiments were conceived by SAA, NRM and APF, and the work was supervised by NRM and APF. The manuscript was written by SAA, NRM and APF with input from LJ and VJ.

The authors declare that they have no conflicts of interest to report.

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NOTCH3 signaling controls the TIC phenotype and combined blockade of PKC1 and NOTCH has a synergistic anti-tumor effect in vitro and in vivo.

Keywords

Protein Kinase Cu; ELF3; NOTCH Signaling; *KRAS*-driven lung adenocarcinoma; therapeutic intervention

INTRODUCTION

Lung cancer is the major cause of cancer death worldwide (Jemal et al., 2011). LADC is the most prevalent form of lung cancer accounting for ~40% of cases. Major oncogenic drivers of LADC include activating mutations in epidermal growth factor receptor (*EGFR*), chromosomal translocations that generate oncogenic *EML4-ALK* fusions, and activating mutations in *KRAS*. *KRAS* mutations, the most prevalent oncogenic driver in human LADC, are present in ~30% of cases. Highly selective and potent EGFR and ALK kinase inhibitors are promising targeted therapies for mutant *EGFR* and *EML4-ALK* LADCs, respectively (Herbst, 2002; Koivunen et al., 2008; Nakajima et al., 2010; Pao et al., 2004), and represent a new paradigm of individualized therapy to treat cancers harboring specific driver mutations. Despite intensive efforts however, targeted treatment options for *KRAS* LADC remain elusive. *KRAS* has proven to be an intractable target, leading to efforts to target critical *KRAS* effectors that are more amenable to therapeutic intervention (Vasan et al., 2014). There remains a need to better understand the molecular mechanisms that drive *KRAS* LADC and translate this knowledge into new intervention strategies.

We previously identified *PRKCI* as an oncogene in non-small cell lung cancer (NSCLC) (Regala et al., 2005b). PKCı is overexpressed in *KRAS* LADC and PKCı expression predicts poor outcome (Regala et al., 2005b). Genetic silencing of *PRKCI* inhibits transformed growth and invasion of *KRAS* LADC cells *in vitro*, and tumor development *in vivo* (Regala et al., 2005a; Regala et al., 2005b). Furthermore, lung-specific genetic disruption of *Prkci* in the *LSL-Kras^{G12D}* mouse LADC model blocks tumor initiation by inhibiting clonal expansion of putative lung cancer stem cells (Regala et al., 2009). A synthetic lethality screen identified a small molecule oncrasin that selectively inhibits oncogenic *KRAS* LADC in a PKCı-dependent manner (Guo et al., 2008). These studies establish *PRKCI* as a critical oncogenic effector of *KRAS* in LADC.

KRAS LADC tumors consist of a hierarchy of cells of differing tumorigenic potential. Atop this cellular hierarchy are highly malignant TICs exhibiting potent tumor-initiating activity and the ability to recapitulate *KRAS* LADC *in vivo* (Hassan et al., 2013; Sullivan et al., 2010; Zheng et al., 2013). Functional characterization of LADC TICs revealed a requirement for NOTCH (Hassan et al., 2013; Sullivan et al., 2010; Zheng et al., 2013). Here, we define a major molecular mechanism by which *PRKCI* maintains a highly tumorigenic TIC phenotype in *KRAS* LADC cells *in vitro* and drives tumorigenesis *in vivo*. Our results provide molecular insights into *KRAS* LADC TIC biology and inform a targeted therapeutic approach that may improve treatment outcomes for *KRAS* LADC patients.

RESULTS

Characterization of human KRAS LADC tumor-initiating cells

TICs function to drive tumor initiation, maintenance and progression (Clarke et al., 2006; Kreso and Dick, 2014). Cell surface markers such as CD133 can mark LADC TICs, however considerable heterogeneity in expression of these markers across LADC cell lines makes them problematic for TIC identification (Hassan et al., 2013). Therefore, we took an unbiased approach to enrich for TICs by culturing three human oncogenic KRAS LADC cell lines (A549, H358 and H23) under low adherence conditions in defined stem cell media (Eramo et al., 2008; Hassan et al., 2013; Justilien et al., 2012). These cells successfully grow as large masses termed oncospheres in non-adherent stem culture (Fig. 1A, middle panels). Oncosphere cells re-differentiate and acquire morphology comparable to parental cells when returned to adherent culture (Fig. 1A, compare upper and lower panels). Oncosphere cells exhibit enhanced anchorage-independent growth (Fig. 1B) and clonal expansion efficiency (>65-80%) when compared to parental or re-differentiated oncosphere cells (Fig. 1C). Quantitative PCR (QPCR) reveals that oncosphere cells express elevated levels of stemrelated genes, including BMI, NANOG, OCT3/4, ALDH1A1 and CD133, which are decreased upon re-differentiation (Fig. S1A). Consistent with their stem-like behavior, oncosphere cells exhibit enhanced tumor initiation when injected orthotopically into the lungs of immunodeficient mice (Fig. 1D-F). As few as 100 oncosphere cells result in efficient tumor take (5/5) whereas 1×10^6 parental cells achieve only partial tumor take (3/5) (Fig. 1D, see also Fig. S1B). Extreme limiting dilution analysis (ELDA) revealed a highly significant ($p=1.9\times10^{-49}$) enrichment in TIC frequency in oncosphere cultures (1/TIC frequency ~42 cells) when compared to parental cells (1/TIC frequency ~ 1.4×10^6 cells) (Fig. S1C). 10,000 oncosphere cells generate robust multifocal lung tumors, whereas 10,000 parental cells fail to propagate tumors (Fig. 1E, a-d; Fig. 1F, e and f). Histology reveals that oncosphere-derived and parental cell tumors (from 1×10^6 parental cells) exhibit similar morphology (Fig. 1F, g and h). Serial xenotransplantation demonstrates that oncosphere cells propagate histologically similar tumors through five successive generations (Fig. S1D). Thus, oncosphere cultures are highly enriched in TICs exhibiting cancer stem-like behavior in vitro and in vivo.

PKC_l is required for LADC oncosphere behavior

QPCR revealed no significant change in PKCt expression, but a consistent increase in the PKCt target gene *MMP10* (Frederick et al., 2008) in LADC oncosphere cells (Fig. 2A). Immunoblot analysis demonstrated elevated levels of pT410 PKCt, a marker of PKCt activity (Le Good et al., 1998; Standaert et al., 1999), in oncosphere cells compared to parental cells, consistent with enhanced PKCt activation (Fig. S2A). To assess involvement of PKCt in oncosphere behavior, we used two shRNA lentiviruses targeting PKCt (KD1 and KD2) to achieve stable KD (Fig. 2B; and Fig. S2B and C). PKCt KD significantly decreased oncosphere growth (Fig. 2C and D), clonal expansion efficiency (Fig. 2E) and anchorage-independent growth (Fig. 2F) compared to oncosphere cells expressing non-target (NT) control shRNA. Two independent PKCt KD constructs induced similar phenotypes indicating the observed effects are due to PKCt loss, a conclusion validated by expressing exogenous PKCt in PKCt KD1 A549 oncosphere cells as described previously (Frederick et

al., 2008; Justilien and Fields, 2009). Expression of exogenous PKCt (Fig. 2G) significantly restored oncosphere growth (Fig. 2H and I) and anchorage-independent growth (Fig. 2J). Similar results were obtained in H358 and H23 oncosphere cells demonstrating the generalizability of these findings to other *KRAS* LADC cells (Fig. S2D–G).

PKC1 drives expression of NOTCH3 in LADC oncospheres

NOTCH3 plays a major role in maintenance of *KRAS* LADC TICs (Sullivan et al., 2010; Zheng et al., 2013). Interestingly, we observed significantly elevated NOTCH3 expression in LADC oncospheres that was decreased by PKCt KD, suggesting PKCt may regulate NOTCH3 expression (Fig. 3A). PKCt selectively affected NOTCH3 without changing NOTCH1 or NOTCH2 levels (Fig. S3A); NOTCH4 was below the detection limit of QPCR in these cells. PKCt KD had no significant effect on expression of NOTCH ligands JAG1, JAG2, DLL1, DLL3 or DLL4 (Fig. S3B), indicating that PKCt selectively regulates NOTCH3 and not NOTCH ligand expression.

To assess NOTCH3 function we knocked down NOTCH3 using two lentiviral shRNAs (Fig. 3B). NOTCH3 KD was specific since no change in NOTCH1 or NOTCH2 was observed (Fig. 3C). NOTCH3 KD decreased oncosphere growth (Fig. 3D–F), clonal expansion (Fig. 3G), cell viability (Fig. 3H), and soft agar growth (Fig. 3I) when compared to NT oncospheres. Similar results were observed in H358 and H23 oncospheres (Fig. S3C–J). The cellular effects of NOTCH3 KD were rescued by expressing exogenous NOTCH3 (Fig. 3K–N), demonstrating these effects are specific to NOTCH3 loss. Knock down of NOTCH ligands revealed that DLL4 KD significantly inhibited oncosphere growth, whereas JAG1, JAG2, DLL1 and DLL3 KD had either no significant effect, or only a modest inhibitory effect (Fig. S3K). Thus, DLL4 may preferentially mediate NOTCH3 signaling in *KRAS* LADC oncosphere cells.

We previously demonstrated that PKC1 maintains a LSCC TIC phenotype (Justilien et al., 2014). Thus, we assessed NOTCH3 levels in oncospheres from four human LSCC cell lines harboring *PRKC1* gene copy gains (Chago, H1299, H1703 and H520 cells). Interestingly, NOTCH3 was not induced (Fig. S3L), and PKC1 KD (Fig. S3M) did not regulate NOTCH3 in LSCC oncospheres (Fig. S3N). Similarly, NOTCH3 was not significantly induced, and PKC1 KD did not significantly affect NOTCH3 expression, in oncospheres from two LADC cell lines (H661 and H1437) harboring wild-type *KRAS* (Fig. S3O). Interestingly, expression of an oncogenic *Kras*^{G12V} allele led to significant induction of NOTCH3 in H661 and H1437 oncospheres that is inhibited by PKC1 KD (Fig. S3P). Thus, PKC1 regulates NOTCH3 in oncogenic *KRAS* oncosphere cells but not in LSCC or LADC oncospheres expressing wild-type *KRAS*.

PKC₁ recruits ELF3 to the NOTCH3 promoter

PKCt maintains a LSCC TIC phenotype by regulating the transcriptional activity of the stemness factor SOX2 (Justilien et al., 2014). However, SOX2 is a LSCC-specific stem factor that is not highly expressed in LADC (Tatsumori et al., 2014; Yuan et al., 2010) suggesting that another transcription factor(s) may be targeted by PKCt in *KRAS* LADC oncospheres. In a meta-analysis, we identified four genes whose expression correlates with

PKCt in multiple LADC gene expression datasets (Erdogan et al., 2009). Among these genes was *ELF3*, an ETS family transcription factor implicated in lung epithelial stem cell maintenance (Oliver et al., 2011). Interestingly, QPCR demonstrates that ELF3 is elevated in LADC oncospheres (Fig 4A), and co-immunoprecipitation indicates that PKCt and ELF3 interact in LADC oncospheres (Fig. S4A). To assess the role of ELF3 in TIC behavior we knocked down ELF3 (Fig. 4B). Similar to PKCt or NOTCH3 KD, ELF3 KD decreased oncosphere growth (Fig. 4C and D), clonal expansion (Fig. 4E), cell viability (Fig. 4F) and soft agar growth (Fig. 4G).

The proximal *NOTCH3* promoter contains multiple ELF3 sites 5' to the transcriptional start site (Fig. 4H, inset). Chromatin immunoprecipitation (ChIP) using two primer/probe sets spanning two clusters of putative ELF3 binding sites (A and B) revealed enhanced ELF3 binding to the *NOTCH3* promoter in LADC oncospheres compared to parental cells (Fig 4H). Moreover, ELF3 KD significantly decreased NOTCH3 expression (Fig 4I), without affecting NOTCH1 or NOTCH2 expression (Fig. S4B). Thus, ELF3 occupies the *NOTCH3* promoter and selectively regulates NOTCH3 expression in LADC oncospheres.

To assess whether PKC1 and ELF3 regulate *NOTCH3* promoter activity NT, PKC1 KD and ELF3 KD oncospheres were transfected with a ~1 kb *NOTCH3* promoter reporter construct (pGL4-*NOTCH3*-luc, Fig. 4J, inset) and assessed for promoter-dependent luciferase activity (Fig. 4J). *NOTCH3* promoter activity was decreased in PKC1 and ELF3 KD oncospheres compared to NT oncospheres (Fig. 4J), consistent with the loss of NOTCH3 expression in PKC1 KD (Fig. 3A) and ELF3 KD oncospheres (Fig. 4I). Expression of exogenous PKC1 in PKC1 KD oncospheres restored ELF3 *NOTCH3* promoter occupancy (Fig. 4K) and NOTCH3 expression (Fig. 4L), validating PKC1-dependent ELF3 occupancy at the *NOTCH3* promoter and NOTCH3 expression.

PKC1 phosphorylates ELF3 to drive NOTCH3 expression and the TIC phenotype

Since PKC₁ and ELF3 interact in LADC oncosphere cells (Fig. S4A), we determined whether PKC1 can phosphorylate ELF3. Recombinant PKC1 and Flag tagged-ELF3 were combined in a PKCt kinase reaction and bands corresponding to ELF3 (Fig. 5A) subjected to mass spectrometry. Analysis identified a phosphopeptide corresponding to phosphorylation at Serine 68 (pS68) (Fig. 5A). S68 resides within the Pointed (PNT) domain of ELF3 that is implicated in binding to other ETS proteins (Mackereth et al., 2004). To assess the functional significance of S68 phosphorylation, we generated nonphosphorylatable (S68A) and phosphomimetic (S68D) ELF3 mutants (Fig. 5A, lower panel). ELF3 KD oncospheres transfected with ELF3 mutants (Fig. 5B, top panel) were assessed for NOTCH3-luc promoter activity (Fig. 5B, lower panel). ELF3 KD led to decreased NOTCH3-luc promoter activity that was restored by WT and S68D ELF3 but not S68A ELF3 (Fig. 5B). ChIP revealed that both WT and S68D ELF3 occupy the endogenous NOTCH3 promoter and induce NOTCH3 expression whereas S68A ELF3 does not (Fig. 5C and D; Fig. S5A-B). WT and S68D ELF3 specifically induced NOTCH3 but not NOTCH1 or NOTCH2 expression (Fig. S5C). Since S68A ELF3 did not significantly bind and activate the NOTCH3 promoter, we assessed its intracellular localization relative to WT ELF3 and S68D ELF3. Both WT ELF3 and S68D ELF3 localize predominantly to the nucleus,

whereas S68A ELF3 exhibited diminished nuclear localization (Fig. 5E and F), suggesting that S68 phosphorylation participates in nuclear import, accumulation, and/or retention of ELF3. PKCt-mediated ELF3 phosphorylation is functionally significant since expression of WT ELF3 or S68D ELF3, but not empty vector or S68A ELF3, significantly restored growth of ELF3 KD oncospheres (Fig. 5G and H). Similar results were obtained in H23 oncospheres (Fig. S5B–D).

PKC1-ELF3-NOTCH3 signaling regulates asymmetric cell divisions

A key defining characteristic of TICs is the ability to propagate cancer stem-like cells and generate differentiated, transiently-amplifying cells that populate the bulk tumor (Lathia et al., 2011). This feat is accomplished through a balance of symmetric and asymmetric cell divisions (Lathia et al., 2011; Pine et al., 2010). PKCu and NOTCH3 have both been implicated in polarity, cell fate, and maintenance of lung cancer stem-like phenotypes (Justilien et al., 2014; Zheng et al., 2013). Thus, we hypothesized that PKC₀, ELF3 and NOTCH3 KD may alter the balance between symmetric and asymmetric cell divisions. CD133 is a cell surface antigen that exhibits asymmetric distribution during asymmetric cell divisions in LADC oncosphere cells (Pine et al., 2010). Immunofluorescence of interphase LADC oncosphere cells revealed polar distribution of cell surface CD133 (Fig. 6A, panels a-c), consistent with previous reports (Lathia et al., 2011; Pine et al., 2010). Mitotic LADC oncosphere cells undergo both symmetric cell divisions to generate two CD133⁺ daughter cells (Fig. 6A, symmetric, panels d-f), and asymmetric cell divisions to generate one CD133⁺ and one CD133⁻ daughter cell (Fig. 6A, asymmetric, panels g-i). As expected, PKC₁, ELF3 and NOTCH3 KD oncosphere cells exhibit a decreased mitotic index (Fig. 6B) consistent with inhibition of oncosphere growth. Interestingly, NT oncosphere cells undergo approximately equal numbers of symmetric and asymmetric cell divisions whereas PKCu KD, ELF3 KD and NOTCH3 KD oncosphere cells exhibit a significant and selective decrease in asymmetric cell divisions compared to NT cells (Fig. 6C), indicating that PKCu-ELF3-NOTCH3 signaling regulates cell fate by driving asymmetric cell divisions.

Asymmetric cell divisions are necessary for LADC TICs to propagate a tumor (Pine et al., 2010) suggesting that loss of PKC1-ELF3-NOTCH3 signaling may impair tumor initiation in vivo. To test this hypothesis, we injected 50,000 NT, PKC1 KD, ELF3 KD and NOTCH3 KD oncosphere cells expressing firefly luciferase orthotopically into the lungs of immunodeficient mice. 50,000 cells are sufficient for oncosphere, but not parental, cultures to engraft (Fig. 1D, Fig. S1A), ensuring that we are monitoring TIC behavior and allowing us to assess effects of PKC1, ELF3 and NOTCH3 KD on TIC engraftment. NT oncosphere cells produce large tumors whereas PKC1 KD, ELF3 KD and NOTCH3 KD oncosphere cells fail to generate tumors (no or only weak residual bioluminescence at the injection site) (Fig. 6D). PKC₁, ELF3 and NOTCH3 KD oncosphere cells exhibited a >90% inhibition in tumor size compared to NT oncosphere cells (Fig. 6E). Ex vivo imaging at sacrifice confirmed the presence of large, locally-invasive multi-focal tumors in NT oncosphere cellinjected mice, and a lack of tumors in PKC1, ELF3 and NOTCH3 KD mice (Fig. 6F). Kaplan-Meier analysis revealed a statistically significant increase in survival (>80% survival rate) in PKCu, ELF3 and NOTCH3 KD oncosphere cell-injected mice compared to NT mice (22% survival) six weeks after injection (Fig. 6G). QPCR revealed that NT oncospherederived tumor cells express ELF3, NOTCH3 and CD133 levels comparable to parental cells (Fig. 6H) indicating that injected NT oncosphere cells differentiate *in vivo*. Blockade of PKCt-ELF3-NOTCH3 signaling inhibits tumor growth *in vitro* and *in vivo*

The anti-rheumatoid gold salts aurothiomalate (ATM) and auranofin (ANF) are selective PKCu inhibitors that block NSCLC growth in vitro and in vivo (Erdogan et al., 2006; Stallings-Mann et al., 2006). Thus, we assessed whether PKCu-ELF3-NOTCH3 signaling can be pharmacologically targeted with ANF. Treatment of oncospheres with ANF inhibited NOTCH3 expression (Fig. S6A). The inhibitory effect of ANF was dependent upon ELF3 since ELF3 KD inhibited NOTCH3 expression and abolished ANF-mediated inhibition of NOTCH3 (Fig. S6A). Re-expression of wild-type ELF3 reconstituted NOTCH3 expression and response to ANF, whereas S68A ELF3 did not (Fig. S6A). However, re-expression of S68D ELF3 restored NOTCH3 expression and conferred resistance to ANF-mediated inhibition of NOTCH3 (Fig. S6A), indicating that ANF selectively inhibits PKCu-ELF3-NOTCH3 signaling in LADC oncospheres. We next assessed the therapeutic potential of ANF alone and in combination with γ -secretase inhibitor (GSI). GSIs are effective inhibitors of NOTCH signaling that exhibit antitumor effects in NSCLC (Fan et al., 2010; Konishi et al., 2007; Sullivan et al., 2010). Combination index analysis (Chou and Talalay, 1984) revealed that GSI and ANF exhibit synergistic activity against oncosphere growth in vitro (Fig. 7A). Furthermore, both GSI and ANF inhibit oncosphere growth (Fig. 7B-C), cell viability (Fig. 7D) and soft agar growth (Fig. 7E). In each case, combined GSI and ANF treatment led to enhanced inhibition compared to either drug alone (Fig. 7C-E). Furthermore, ANF and GSI treatment led to a selective loss of asymmetric cell divisions in LADC oncosphere cells which was reversed upon withdrawal of the drugs (Fig. S6B and C).

We next determined the effect of these compounds on the growth of LADC oncosphere cellderived tumors *in vivo*. Established A549 oncosphere cell-derived subcutaneous tumors were treated with ANF, GSI, ANF+GSI or diluent. Both GSI and ANF significantly inhibited tumor growth compared to diluent, and combined GSI+ANF treatment led to a significantly larger inhibitory effect than either compound alone (Fig. 7F and G).

We next assessed whether the PKCu-ELF3-NOTCH3 signaling axis is operative in primary LADC tumors. We first interrogated a TCGA LADC tumor dataset for associations between expression of *KRAS*, pathway components *PRKCI* and *NOTCH3*, and the major downstream *NOTCH* gene target *HES1*. Analysis revealed a positive correlation of *KRAS* with *PRKCI*, *NOTCH3*, and *HES1* (Fig. 7H). Furthermore, significant correlations of *PRKCI* with *NOTCH3* and *HES1*, and of *NOTCH3* with *HES1* were also observed (Fig. 7H). We next validated these associations in an independent primary LADC dataset (Kalari et al., 2012). Significant correlations were again observed between *KRAS* and *PRKCI*, *NOTCH3* and *HES1* (Fig. S6D). The correlations of *KRAS* and *PRKCI* with *NOTCH3* were specific since no associations were observed between *KRAS* or *PRKCI* with *NOTCH1*, *NOTCH2* or *NOTCH4* (Fig. S6E). Furthermore, analysis of a TCGA LSCC dataset revealed no significant correlations between these pathway components (Fig. S6F). These data provide compelling evidence for active PKCu-ELF3-NOTCH3 signaling in primary human *KRAS* LADC tumors but not LSCC tumors.

DISCUSSION

Despite introduction of new targeted therapies into clinical practice, median survival for NSCLC patients remains <18 months. One reason for the recalcitrance of NSCLC tumors to therapeutic intervention is the existence of highly malignant, chemoresistant stem-like cells, alternatively termed tumor initiating cells (TICs), tumor propagating cells (TPCs) or cancer stem cells (CSCs) that survive therapy and drive relapse. We recently showed that PKCt is required for Kras LADC tumorigenesis in the mouse (Regala et al., 2009), suggesting a role for PKCL in KRAS LADC TICs. Here, we identify and molecularly characterize a PKCL-ELF3-NOTCH3 signaling axis through which PKC1 drives a KRAS LADC TIC phenotype. Our results are consistent with recent reports implicating NOTCH3 in KRAS LADC TIC behaviors (Sullivan et al., 2010; Zheng et al., 2013), and provide significant mechanistic insight into NOTCH3 regulation in KRAS LADC TICs. Moreover, our data provide molecular insight into how PKC1 and NOTCH3 drive the tumorigenic behavior of KRAS LADC cells. Specifically, we find that PKCu-mediated ELF3 phosphorylation regulates NOTCH3 by driving ELF3 occupancy on the NOTCH3 promoter. We recently demonstrated that PKC₁ also maintains a TIC phenotype in human LSCC harboring *PRKCI* gene amplification (Justilien et al., 2014). Thus, PKCt is a critical oncogenic kinase in at least two major forms of lung cancer.

Although PKCL drives a TIC phenotype in both LSCC and LADC, it does so through distinct, lineage-specific mechanisms. In *KRAS* LADC, PKCL drives PKCL-ELF3-NOTCH3 signaling whereas in LSCC PKCL activates Hedgehog (Hh) signaling by inducing SOX2-dependent expression of HHAT, the rate limiting step in Hh ligand production (Justilien et al., 2014). Interestingly, both biochemical and bioinformatics studies indicate that these two PKCL-dependent pathways are lineage-restricted and relevant to primary *KRAS* LADC and LSCC, respectively. Thus, PKCL emerges as a master regulator of LSCC and *KRAS* LADC TIC phenotypes through control of distinct, lineage-restricted cell fate pathways. PKCL was recently implicated in maintenance of normal pluripotent stem cells through a NOTCH1-dependent pathway (Mah et al., 2015) suggesting that PKCL may be even more widely involved in stem cell maintenance in different contexts, including normal development.

Though distinct PKCu signaling mechanisms drive *KRAS* LADC and LSCC tumors respectively, some commonalities emerge. First, in both *KRAS* LADC and LSCC, PKCu establishes and maintains a highly malignant stem-like phenotype that drives tumor initiation and maintenance *in vivo*. Second, PKCu drives TIC behavior by regulating the transcriptional activity of key, stem-related transcription factors through direct phosphorylation; ELF3 in *KRAS* LADC and SOX2 in LSCC (Justilien et al., 2014). Interestingly, PKCu can phosphorylate and activate GLI1 in basal cell carcinoma cells (Atwood et al., 2013) indicating that PKCu may act as a key transcriptional regulator of stemness in many cancer types.

A hallmark of TICs is their capacity to self-renew and give rise to differentiated bulk tumor cells through symmetric and asymmetric cell divisions (Morrison and Kimble, 2006). In lower eukaryotes, disruption of the balance between symmetric and symmetric cell divisions can drive tumor development (Morrison and Kimble, 2006; Neumuller and Knoblich, 2009).

Whereas symmetric cell divisions of stem-like cells can drive tumor formation in some model systems (Neumuller and Knoblich, 2009), recent evidence reveals that asymmetric cell divisions are critical for *KRAS* LADC TICs to initiate and propagate tumors *in vivo* (Pine et al., 2010). However, the specific mechanism(s) controlling these critical cell fate decisions is still poorly understood. Here we show that PKCu-ELF3-NOTCH3 signaling is responsible for controlling asymmetric cell division and tumor-initiating activity of *KRAS* LADC oncosphere cells. Our results are consistent with the well-established role of atypical PKCs in cell polarity (Lin et al., 2000; Ohno, 2001) and cell fate (Vorhagen and Niessen, 2014). We now implicate PKCu in cell fate decisions in human LADC cells, and directly and mechanistically link PKCu-mediated control of cell polarity, cell fate and oncogenesis in human cancer.

Asymmetrically dividing LADC oncosphere cells can be identified using CD133, whose asymmetric segregation during mitosis has been implicated in both LADC (Pine et al., 2010) and glioma cell fate decisions (Lathia et al., 2011). Though the exact function of CD133 in TIC biology is unclear, CD133 localization to cholesterol-based lipid micro-domains in the apical membrane (Roper et al., 2000; Weigmann et al., 1997) and asymmetric segregation during TIC differentiation (Pine et al., 2010) suggest the intriguing possibility that CD133 is functionally linked to the PKC1-ELF3-NOTCH3 cell fate pathway. Further studies will be required to assess if a direct functional link exists between PKC1 and CD133 in determining cell fate.

TICs have emerged as critical therapeutic targets for cancer therapy since they often exhibit intrinsic drug resistance and may mediate therapeutic failure. NOTCH signaling participates in TIC fate in gliomas (Fan et al., 2006) and lung cancer (Sullivan et al., 2010; Zheng et al., 2013). GSI inhibitors of NOTCH exhibit potent anti-tumor activity in glioma (Fan et al., 2010; Fan et al., 2006), lung (Konishi et al., 2007), and ovarian cancers (Groeneweg et al., 2014a; Groeneweg et al., 2014b) and the potent GSI PF-03084014 has shown clinical promise in advanced cancer patients (Messersmith et al., 2015). The anti-rheumatoid gold salts ATM and ANF selectively inhibit PKCt signaling and block growth of lung (Erdogan et al., 2006; Fields et al., 2007; Regala et al., 2008; Stallings-Mann et al., 2006), pancreatic (Butler et al., 2015; Scotti et al., 2012) and ovarian (Wang et al., 2013) tumors in vitro and in vivo, and two clinical studies have demonstrated proof-of-principle for PKCu inhibitorbased therapy with ATM (Mansfield et al., 2013) and ANF (Jatoi et al., 2014). Our finding that GSI and ANF exhibit highly synergistic anti-tumor activity suggests a novel therapeutic approach to treat KRAS LADC, a tumor sub-type for which there is a dire need for effective targeted therapeutics. Our findings demonstrate the efficacy of "vertical blockade" of a key signaling pathway to achieve a desired therapeutic effect; a concept perhaps best documented by combined BRAF and MEK inhibition for treatment of NRAS and BRAFmutant melanomas (Flaherty et al., 2012; Kwong et al., 2012). The NOTCH ligand DLL4, which may mediate NOTCH3 action, represents another attractive therapeutic target in KRAS LADC. In this regard, the humanized DLL4 antibody, Enoticumab, has shown preliminary clinical activity in NSCLC and other solid malignancies (Chiorean et al., 2015). We recently demonstrated that combined PKC1 and Hh inhibition exhibits synergistic growth inhibitory effects in LSCC TICs (Justilien and Fields, 2015), consistent with the role

of PKCt-Hh signaling in LSCC TIC behavior (Justilien et al., 2014). Thus, combined PKCt and Hh inhibition represents a promising therapeutic approach for LSCC tumors harboring *PRKCI* amplification. Our current findings suggest an individualized therapeutic approach to treating both *KRAS* LADC and LSCC in which ANF is strategically combined with a second agent that provides vertical blockade of the lineage-restricted oncogenic PKCt signaling driving that tumor type. Clinical trials are currently being designed to evaluate the clinical utility of this personalized approach for both *PRKCI*-amplified LSCC and *KRAS* LADC.

Experimental Procedures

Antibodies and Plasmids. Antibodies

αTubulin, GAPDH and Phospho-T410-PKCι (Cell Signaling), PKCλ/ι (Santa Cruz Biotechnology), ELF3 and NOTCH3 (Abcam), PKCι (BD Pharmingen), CD133/1 (Miltenyi Biotech). The human PKCι cDNA plasmid was described previously (Justilien et al., 2014); human NOTCH3 plasmid (Myc-NOTCH3/cCMV6; cat.# RC224711) was from OriGene Technologies. PKCι and NOTCH3 KD reconstitutions were performed as described previously (Justilien et al., 2014).

Cell lines, enrichment of tumor-initiating cells, anchorage-independent growth and clonal expansion

Human lung adenocarcinoma (LADC) and squamous carcinoma (LSCC) cell lines (A549, H358, H23, H661, H1437, H1703, H1299, H520 and ChagoK1) were obtained from American Type Culture Collection. Cell lines and oncospheres were cultured, and assayed for anchorage-independent growth, clonal expansion and redifferentiation as described previously (Justilien et al., 2014). Details provided in Supplemental Experimental Procedures.

Quantitative real-time polymerase chain reaction (QPCR)

QPCR was performed using a ViiA7 thermal cycler and associated reagents (Applied Biosystems) or custom designed reagents (Invitrogen) (primers/probes listed in Supplemental Experimental Procedures). Efficiency of target protein knock down was monitored by immunoblot as described previously (Justilien et al., 2014). Experimental details in Supplemental Experimental Procedures.

In vivo tumor formation

Lung orthotropic injections and tumor assessments of A549 cells expressing firefly luciferase were performed as described previously (Justilien et al., 2014). All animal procedures were approved by the Mayo Clinic Institutional Animal Care and Use Committee. Details provided in Supplemental Experimental Procedures.

Lentiviral RNAi constructs and transfections

Lentiviral vectors containing short hairpin RNAi against human PKCL, NOTCH3 and ELF3 (Sigma-Aldrich) were packaged into recombinant lentivirus as described previously (Frederick et al., 2008). Lentiviral target sequences are listed in Supplemental Experimental

Procedures. A non-target (NT) control vector that does not recognize any mouse or human genes was used as a negative control. Details provided in Supplemental Experimental Procedures.

Chromatin Immunoprecipitation

CHiP assays were performed to assess ELF3 occupancy of the NOTCH3 promoter as described in Supplemental Experimental Procedures.

NOTCH3 promoter Luciferase assays

A ~1kB fragment of the **NOTCH3** promoter was PCR cloned into pGL4.14 [luc2/Hygro] (PromegaI) using primers listed in Supplemental Experimental Procedures. Luciferase assays were performed as described in Supplemental Experimental Procedures.

In vitro PKC1 kinase assays, MS analysis and ELF3 mutants

PKCt kinase assays were performed using recombinant ELF3 and PKCt as described previously (Justilien et al., 2011) and phosphorylation site analysis performed by the Mayo Clinic Cancer Center Protein Chemistry and Proteomics Shared Resource as described previously (Justilien et al., 2011; Justilien et al., 2014). ELF3 S68A and ELF3 S68D mutants were generated by site-directed mutagenesis using pIRES-puro-ELF3 (Addgene) as template as described previously (Justilien et al., 2014). Resulting plasmids were sequenced to ensure sequence fidelity. Primers and details provided in Supplemental Experimental Procedures.

Analysis of Gene Expression Data

TCGA gene expression datasets for LADC and LSCC were analyzed using cBioPortal for Cancer Genomics (http://www.cbioportal.org/public-portal/) software (Cerami et al., 2012; Gao et al., 2013). Associations between expression of *KRAS*, *PRKCI*, *NOTCH3*, *ELF3* and *HES1* mRNAs were determined using cBioPortal software, mRNA expression z-scores (microarray) and a z-score threshold of +/- 1.0. Validation was performed on an independent dataset of mutant *KRAS* LADC described previously (Kalari et al., 2012) using Kendall Tau rank order analysis.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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HIGHLIGHTS

• PKCu maintains a tumor initiating cell (TIC) phenotype in *KRAS* LADC

- PKC1 phosphorylates and recruits ELF3 to the NOTCH3 promoter
- PKC1-ELF3-NOTCH3 signaling drives LADC tumor formation
- Combined PKCu and NOTCH blockade synergistically inhibits *KRAS* LADC growth *in vivo*

SIGNIFICANCE

Lung cancer is the leading cause of cancer deaths worldwide. Activating mutations in *KRAS* are the oncogenic driver in ~30% of cases of LADC, the most prevalent form of lung cancer. *KRAS* LADC is characterized by poor therapeutic response and a high relapse rate, underscoring the need for new therapeutic options. Here we define a PKCt-ELF3-NOTCH3 signaling axis that drives a TIC phenotype *in vitro* and *KRAS* LADC tumorigenesis *in vivo*. Combined pharmacologic blockade of PKCt and NOTCH produces synergistic anti-tumor activity against *KRAS* LADC *in vitro* and *in vivo*. Our findings support the use of combined PKCt and NOTCH inhibition in the treatment of *KRAS* LADC.

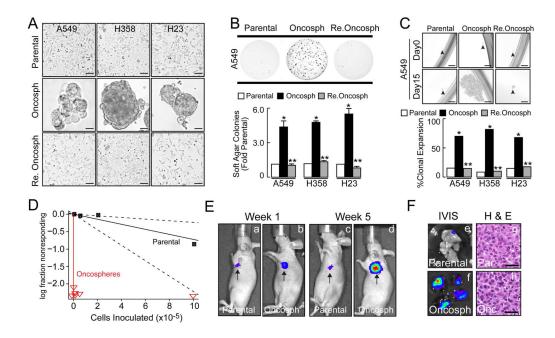


Figure 1.

LADC oncospheres exhibit tumor initiating cell properties. (A) Micrographs of parental cells (top, parental), oncospheres in low adherence (middle, oncosph) and re-differentiated oncosphere cells returned to adherent culture (bottom, Re. oncosph). Scale bars 50 µm. (B) Soft agar growth expressed as fold parental ± SEM, n=6, *p 0.05 compared to parental, **p 0.05 compared to oncospheres. (C) Single cells were assessed for clonal expansion. Plotted as % expanded +/–SEM; Cells expanded/total cells scored: A549: Parental (11/91), oncosph. (71/97), re. oncosph. (10/87); H358: parental (7/81), oncosph. (75/95), re. oncosph. (9/86); H23: parental (9/81), oncosph. (61/87), re. oncosph. (12/86). *p<0.0001 compared to parental; **p<0.0001 compared to oncospheres using Fisher's exact test. Scale bars 50 µm. (D) Extreme Limited Dilution Analysis (ELDA) of A549 parental (black) and oncosphere (red) cells for orthotopic tumor engraftment. Results plotted as log fraction not responding (no engraftment) vs. cells inoculated. (E) Bioluminescent images of representative tumor bearing-mice at weeks 1 and 5 post-injection (a–d) and (F) corresponding images of lung tissue upon dissection (e, f). H&E staining reveals similar histology of oncosphere- and parental cell-derived tumors (g, h). Scale bars 50 µm. See also Figure S1.

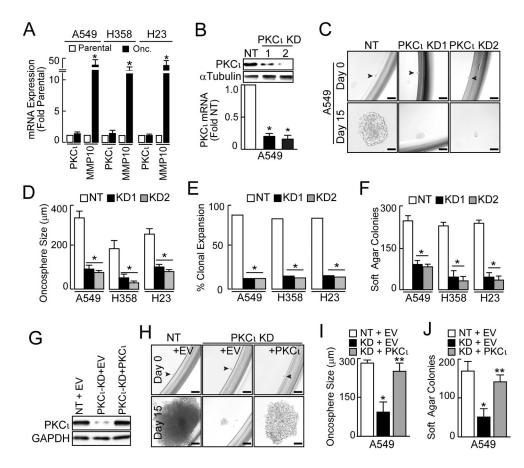


Figure 2.

Role of PKC1 in the LADC TIC phenotype. (A) QPCR of parental and oncosphere cells for PKCu and MMP10. Results expressed as fold parental +/-SEM. n=3. *p<0.05 compared to parental. (B) PKCu knock down (KD) in A549 oncospheres using two RNAi constructs. Immunoblot (top), and QPCR (bottom). QPCR results expressed as fold parental cells +/ -SEM, n=3. *p 0.05 compared to parental. (C) Representative micrographs showing effect of PKC1 KD on oncosphere growth. Scale bars 50 µm. (D) Oncosphere size expressed as mean diameter (μ m) +/-SEM. Oncospheres assessed: A549: NT (63), KD1 (63), KD2 (58); H358: NT (44), KD1 (40), KD2 (39); H23: NT (41), KD1 (33), KD2 (37). *p 0.05. (E) Single oncosphere cells were assessed for clonal expansion plotted as % expanded +/-SEM. Cells expanded/total cells: A549: NT (55/63), KD1 (7/63), KD2 (7/58); H358: NT (37/44), KD1 (5/40), KD2 (4/39); H23: NT (35/41), KD1 (4/33), KD2 (4/37). *p 0.0001 compared to NT by Fisher's exact test. (F) Soft agar growth expressed as colony number +/-SEM, n=6. *p 0.05 compared to NT. (G) Immunoblot of A549 oncosphere cells stably transduced with NT or PKC1 RNAi and stably transfected with either empty vector (EV) or vector encoding PKCu (+PKCu). (H) Representative micrographs of PKCu reconstitution on A549 oncosphere growth. Scale bars 50 µm. (I) Oncosphere size expressed as mean diameter (µm) +/-SEM. Number assessed: NT + EV (39), KD + EV (34), KD + PKCu (35). *p = 0.05compared to NT+EV, **p 0.05 compared to PKC1 KD+EV. (J) Soft agar growth plotted as colony number +/-SEM, n=6. *p 0.05 compared to NT+EV, **p 0.05 compared to PKCu KD+EV. See also Figure S2.

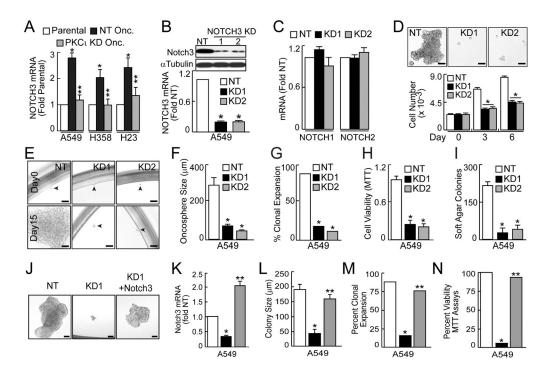


Figure 3.

Role of NOTCH3 in the LADC TIC phenotype. (A) QPCR for NOTCH3. Results expressed as fold parental +/-SEM, n=5. *p 0.05 compared with parental; **p 0.05 compared with NT oncospheres. (B) NOTCH3 KD in A549 oncospheres using two RNAi constructs. Immunoblot (top) and QPCR (bottom). QPCR results expressed as fold parental +/-SEM, n=3, *p<0.05. (C) QPCR for NOTCH1 and NOTCH2. Results expressed as fold parental +/ -SEM, n=3. *p<0.05. (D) Growth of A549 oncospheres expressed as mean cell number +/ -SEM, n=3, *p 0.05. Scale bars 50 µm. (E) Effect of NOTCH3 KD on A549 oncosphere growth. Scale bars 50 μ m. (F) A549 oncosphere size expressed as mean diameter (μ m) +/ -SEM. Number assessed: NT (40), KD1 (35), KD2 (33); *p 0.05. (G) Single oncosphere cells were assessed for clonal expansion plotted as % expanded. Cells expanded/total cells scored: NT (35/40), KD1 (5/35), KD2 (4/33); *p<0.0001 by Fisher's exact test. (H) A549 TIC viability plotted as fold NT +/- SEM, n=3, *p<0.05. (I) Soft agar growth plotted as colony number +/-SEM, n=6 and *p<0.05. (J) Representative micrographs showing NOTCH3 KD and reconstitution of A549 oncosphere growth. Scale bars 50 µm. (K) QPCR for NOTCH3. Results expressed as fold NT +/-SEM, n=5. *p<0.05 compared to NT; **p<0.05 compared to NOTCH3 KD. (L) Oncosphere size expressed as mean diameter (µm) +/-SEM. Number assessed: NT + EV (25), KD + EV (25), KD + PKCt (25). *p 0.05compared to NT+EV, **p 0.05 compared to PKC1 KD+EV. (M) Single oncosphere cells were assessed for clonal expansion plotted as % expanded +/-SEM. Cells expanded/total cells scored: NT (22/25), NOTCH3 KD (4/25), NOTCH3 KD+NOTCH3 (19/25). *p 0.0001 compared to NT and **p<0.0001 compared to NOTCH3 KD by Fisher's exact test. (N) Oncosphere viability (MTT reduction) plotted as %NT +/-SEM, n=3, *p<0.05 compared to NT; **p<0.05 compared to NOTCH3 KD. For (K, L, M and N) open bars, NT; black bars, Notch3 KD; gray bars, Notch3 KD+ Notch 3. See also Figure S3.

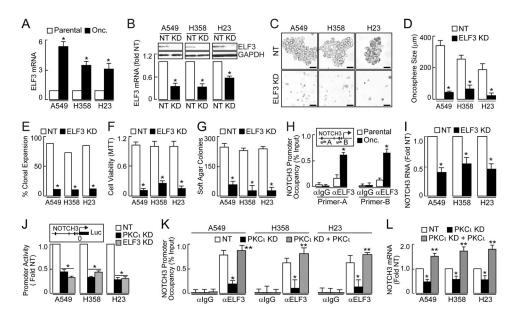


Figure 4.

Effect of PKC1 on ELF3 occupancy at the NOTCH3 promoter and NOTCH3 expression. (A) QPCR for ELF3 presented as fold parental +/-SEM, n=3. *p 0.05. (B) Immunoblot (top) and QPCR (bottom) of ELF3 KD. QPCR expressed as fold NT +/-SEM, n=3. *p 0.05 compared to NT. (C) Representative micrographs of ELF3 KD on oncosphere growth Scale bars 50 μ m. (D) Oncosphere size expressed as mean diameter (μ m) +/-SEM. Oncospheres assessed: A549: NT (37), KD (37); H358: NT (34), KD (34); H23: NT (34), KD (38). *p 0.05 compared to NT. (E) Single oncosphere cells were assessed for clonal expansion plotted as %expanded. Cells expanded/total cells scored: A549: NT (33/37), KD (5/37); H358: NT (26/34), KD (4/34); H23: NT (26/34), KD (5/38). *p 0.001 based on Fisher's exact test. (F) TIC viability plotted as fold NT +/-SEM, n=3, *p 0.05 compared to NT. (G) Soft agar growth plotted as colony number +/-SEM, n=6. *p<0.05 compared to NT. (H) ChIP analysis of ELF3 occupancy of the NOTCH3 promoter in A549 TICs. Inset depicts the NOTCH3 promoter; ChIP primer-probes used are indicated as A and B. Consensus ELF3 binding sites indicated by vertical slashes. Data presented as % Input +/ -SEM, n=3. *p<0.05; data representative of two independent experiments. immunoglobulin G, (IgG). (I) QPCR of NOTCH3 expressed as fold NT +/-SEM, n=3. *p 0.05 compared to NT. (J) NOTCH3 promoter reporter (inset) activity plotted as fold NT +/-SEM, n=5, *p<0.05 compared to NT. (K) NOTCH3 promoter occupancy. Data presented as % Input +/ -SEM, n = 3; *p<0.05. (L) QPCR for NOTCH3 expressed as fold NT +/-SEM, n=3. *p<0.05 compared to NT, **p<0.05 compared to PKCt KD. See also Figure S4.

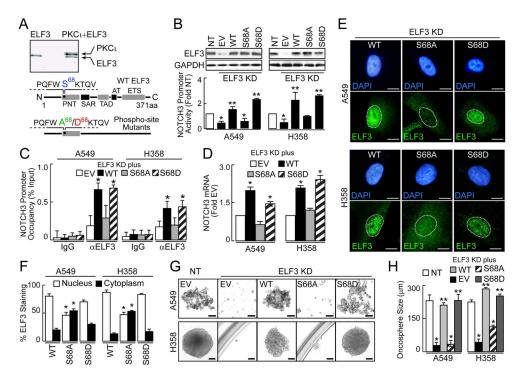


Figure 5.

Role of PKCt-mediated ELF3 phosphorylation on NOTCH3 expression and oncosphere growth. (A) Recombinant human ELF3 incubated in the absence or presence of recombinant PKCL. ELF3 was subjected to mass spectrometric analysis. Schematic of ELF3 domain structure indicating the PKC₁ phosphorylation site at S68, and the S68A and S68D mutants generated for subsequent experiments (inset). (B) ELF3 expression (top) and NOTCH3 promoter reporter activity (bottom) assayed in NT or ELF3 KD cells stably transduced with empty vector (EV), or ELF3 KD cells transduced with WT-ELF3 (WT), S68A-ELF3 (S68A), or S68D-ELF3 (S68D). Activity is plotted as fold NT +/-SEM, n=5, *p<0.05 compared to NT+EV, **p<0.05 compared to ELF3-KD+EV. (C) ChIP analysis of ELF3 occupancy at the NOTCH3 promoter. Data presented as % input +/-SEM, n=3; *p<0.05 compared to EV. Data are representative of two independent experiments. (D) OPCR of NOTCH3 expressed as fold EV +/-SEM, n=3. *p<0.05 compared to EV. (E) Representative micrographs of immunofluorescence localization of exogenous WT-ELF3, S68A-ELF3 and S68D-ELF3 mutants in the nucleus (dotted line) and cytoplasm in ELF3-KD cells. Scale bars 10 µm. (F) Cells (300–350/ELF3 construct) were assessed for intracellular localization of ELF3 (nucleus vs. cytoplasm) as described in Experimental Procedures. (G) Representative micrographs showing the effect of ELF-WT and ELF3 mutants on oncosphere growth. Scale bars 50 µm. (H) Oncosphere size expressed as mean diameter (µm) +/-SEM. Oncospheres assessed: A549: NT+EV (27), KD+EV (29), KD+WT ELF3 (28), KD+S68A ELF3 (30), KD+S68D (29); H358: NT+EV (31), KD+EV (27), KD+WT ELF3 (29), KD+S68A ELF3 (28), KD+S68D ELF3 (30). *p<0.05 compared to NT, **p<0.05 compared to EV. See also Figure S5.

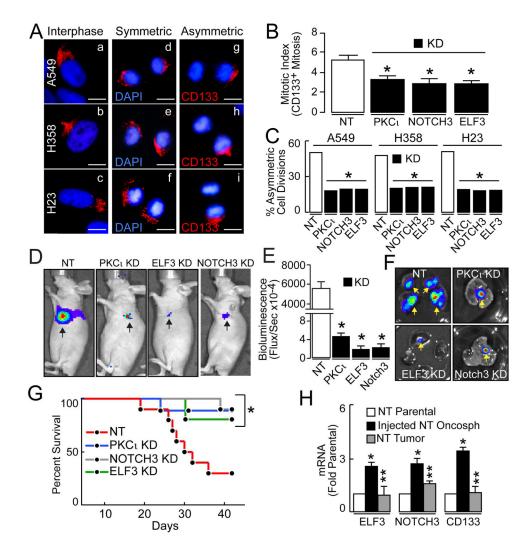


Figure 6.

Effect of the PKCu-ELF3-NOTCH3 axis on asymmetric cell division and tumor initiation. (A) Immunofluorescence of A549 oncosphere cells for CD133 (red) and DAPI (blue) during interphase (a–c) and mitosis (d–i) demonstrating symmetric (d–f) and asymmetric (g–i) cell divisions. Scale bars 10 μ m. (B) PKCu, ELF3 and NOTCH3 KD inhibit mitotic index in LADC oncosphere cells. Data expressed as mitotic index (% mitotic cells) +/–SEM. n=3. *p<0.05 compared to NT. (C) Effect of PKCu, ELF3 and NOTCH3 KD on asymmetric cell division. Results expressed as % asymmetric cell divisions (>300 mitoses evaluated/cell line). *p 0.0001 compared to NT using Fisher exact test. (D) Bioluminescent images of representative tumor bearing-mice 5 weeks after injection of 50,000 NT, PKCu KD, ELF3 KD or NOTCH3 KD A549 oncosphere cells. (E) Tumor size expressed as bioluminescence flux +/–SEM, n=10. *p<0.05 compared to NT control. (F) Representative bioluminescence images of lung tumors *ex vivo*. (G) Kaplan-Meier survival analysis of mice injected with the indicated A549 oncosphere cells (50,000 cells). N=10. *p<0.05 compared to NT. (H) QPCR for ELF3, NOTCH3 and CD133. Results are expressed as fold parental +/–SEM, n=10, *p<0.05 compared to NT parental, **p<0.05 compared to injected NT oncosphere cells.

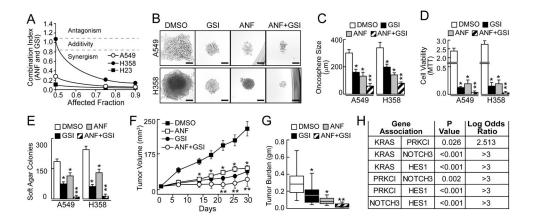


Figure 7.

Effect of Auranofin (ANF) and γ -secretase inhibitor (GSI) on oncosphere formation and tumor growth. (A) Combination index analysis of ANF and GSI. (B) Representative micrographs of ANF and GSI effects on A549 and H358 oncosphere growth. Scale bars 50 μ m. (C) Effect of ANF, GSI and the combination on oncosphere size expressed as mean diameter (μ m) +/–SEM. Oncospheres assessed: A549: DMSO (54), GSI (45) ANF (49), GSI/ANF (55); H358: DMSO (57), GSI (49), ANF (51), GSI/ANF (55). *p<0.05. (D) Cell viability expressed as MTT reduction. n=3. *p<0.05. (E) Soft agar growth expressed as colonies +/–SEM, n=6. *p<0.05 compared to DMSO. **p<0.05 compared to ANF or GSI alone. (F) Tumor volume plotted +/–SEM. N=20. *p<0.05 compared to DMSO; **p<0.05 compared to DMSO. **p<0.05 compared to ANF or GSI alone. (H) Association analysis for expression of the indicated genes in primary LADC tumors (see Experimental Procedures). See also Figure S6.