



# **Evolution and Functional Trajectory of Sir1 in Gene Silencing**

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**We used the budding yeasts** *Saccharomyces cerevisiae* **and** *Torulaspora delbrueckii* **to examine the evolution of Sir-based silencing, focusing on Sir1, silencers, the molecular topography of silenced chromatin, and the roles of** *SIR* **and RNA interference (RNAi) genes in** *T. delbrueckii***. Chromatin immunoprecipitation followed by deep sequencing (ChIP-Seq) analysis of Sir proteins in** *T. delbrueckii* **revealed a different topography of chromatin at the** *HML* **and** *HMR* **loci than was observed in** *S. cerevisiae. S. cerevisiae* **Sir1, enriched at the silencers of** *HML*- **and** *HMR***a, was absent from telomeres and did not repress subtelomeric genes. In contrast to** *S. cerevisiae SIR1***'s partially dispensable role in silencing, the** *T. delbrueckii SIR1* **paralog** *KOS3* **was essential for silencing.** *KOS3* **was also found at telomeres with** *T. delbrueckii* **Sir2 (Td-Sir2) and Td-Sir4 and repressed subtelomeric genes. Silencer mapping in** *T. delbrueckii* **revealed single silencers at** *HML* **and** *HMR***, bound by Td-Kos3, Td-Sir2, and Td-Sir4. The** *KOS3* **gene mapped near** *HMR***, and its expression was regulated by Sir-based silencing, providing feedback regulation of a silencing protein by silencing. In contrast to the prominent role of Sir proteins in silencing,** *T. delbrueckii* **RNAi genes** *AGO1* **and** *DCR1* **did not function in heterochromatin formation. These results highlighted the shifting role of silencing genes and the diverse chromatin architectures underlying heterochromatin.**

**Heterochromatin-based gene silencing in** *Saccharomyces*<br> *cerevisiae* and its close relatives among the budding yeasts use the four Sir proteins to bind to nucleosomes throughout specific regions on chromosomes and to block the accessibility of other DNA binding proteins in that region  $(1-3)$  $(1-3)$  $(1-3)$ . In these species, the Sir1 protein is perhaps most enigmatic. In contrast to Sir2, Sir3, and Sir4, which are the structural proteins of heterochromatin necessary for its establishment, maintenance, and inheritance, Sir1's main role in *S. cerevisiae* seems to be in the establishment of heterochromatin at *HML*α and *HMR***a** [\(4\)](#page-13-3), though it contributes somewhat to the maintenance of heterochromatin  $(5)$ . *sir1* $\Delta$  cells exhibit a phenotype whereby 50 to 80% of individual cells within the mutant population completely lack silencing at *HML*α and *HMR***a**, whereas the remaining cells are fully silenced at these loci. The unsilenced  $\sin 1\Delta$  cells express transcripts from the silent mating type loci to the same extent as  $\sin 4\Delta$  mutants, are mating defective, and in the case of  $MATa$  haploids, lose sensitivity to  $\alpha$ -factor  $(4, 5)$  $(4, 5)$  $(4, 5)$ . Furthermore, individual  $sirl\Delta$  cells can switch transcriptional states at *HML* and *HMR*, switching from unsilenced to silenced once every 250 cell divisions and somewhat more slowly in the reverse direction. Biochemical and structural data revealed that Sir1 directly interacts with Orc1 and Sir4, suggesting that its localization is restricted to the silencers, where it facilitates efficient establishment of silencing [\(6,](#page-13-5) [7\)](#page-13-6).

In addition to its bistable mutant phenotype, *SIR1* has a dynamic evolutionary history. *SIR1* has been duplicated more than once among *Saccharomyces* yeasts, and some species have lost paralogs, while others have retained them [\(8\)](#page-13-7). As a result, *SIR1* paralogs vary widely among these species in number and in the level of protein sequence similarity between paralogs, which is typically 50%. At one end of the spectrum, S*accharomyces bayanus* var. *uvarum* has four *SIR1* paralogs: *SIR1* and three *Kin*-*of*-*SIR1* (*KOS1* to *KOS3*) genes. All four paralogs contribute to silencing in the species [\(8\)](#page-13-7). At the other end of the spectrum, *Kluyveromyces lactis* lacks an identifiable *SIR1* paralog, and silencing is mediated by *SIR2*, *SIR4*, *ORC1*, and *SUM1* [\(9,](#page-14-0) [10\)](#page-14-1). *Candida glabrata* is another yeast that lacks *SIR1* yet, like *S. cerevisiae*, has

*SIR2*, *SIR3*, and *SIR4* orthologs that function in silencing [\(11\)](#page-14-2). Yeast species seem to have created multiple solutions for establishing gene silencing, with some having no need for a *SIR1* gene whereas others have employed up to four *SIR1* genes. Analyses of *SIR1* orthologs among the species of the clade indicate that *KOS3* is the most ancestral form of *SIR1* [\(8\)](#page-13-7).

RNA interference (RNAi) is by far the most common mechanism of gene silencing. Key components of the RNAi machinery include Argonaute, Dicer, and, in most other organisms, an RNA-dependent RNA polymerase [\(12\)](#page-14-3). RNAi mechanisms involve the production of double-stranded RNAs generated either by DNA-dependent RNA polymerases or by an RNA-dependent RNA polymerase. These double-stranded RNAs are cleaved by Dicer and bound by Argonaute proteins, which use them to direct the modification of DNA and histones occupying sequences complementary to the RNAs bound by the Argonaute protein. RNAi is found widely in plants, animals, and many fungi, including *Schizosaccharomyces pombe*, but is completely missing from *S. cerevisiae*.

*Torulospora delbrueckii* is a budding yeast species evolutionarily well positioned to explore some of the most enigmatic questions concerning the origins of Sir-based silencing, and especially the role of Sir1/Kos3. The species diverged from the *Saccharomyces* species before the whole-genome duplication and has *T. delbrueckii* Kos3 (Td-Kos3), the most ancestral form of *S. cerevisiae* Sir1 (Sc-Sir1). *T. delbrueckii* also has pre-whole-genome-duplica-

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tion orthologs of *SIR2* and *SIR4* and a single gene orthologous to the *ORC1-SIR3* gene pair of *S. cerevisiae*, which we referred to as *ORC1-SIR3*. In addition, the species has orthologs of key RNAi components: a gene encoding Argonaute, *AGO1*, and a buddingyeast Dicer-like gene called *DCR1*. These RNAi-like genes are orthologous to the *AGO1* and *DCR1* present in *Naumovozyma castellii*, a species in which they repress transcription of repetitive Ty elements [\(13\)](#page-14-4). *T. delbrueckii* thus offers a chance to explore possible connections between, or divergence of, the two major mechanisms of heterochromatic gene silencing.

To date, no one has uncovered a sexual cycle for *T. delbrueckii*. However, the genome sequence of the *T. delbrueckii* type strain contains a *MAT* locus on chromosome (Chr) III, an *HML*- locus on the same chromosome, and two *HMR***a** loci (one on chromosome V and the other on chromosome VII) [\(14\)](#page-14-5). To explore the functions of *T. delbrueckii* silencing genes, we first created marked strains, protocols, and vectors to allow molecular genetic investigations (A. Ellahi and J. Rine, unpublished data). We then compared the functions of presumptive silencing genes of *T. delbrueckii* to the functions of their *S. cerevisiae* orthologs. These experiments offered an unbiased view of the genome-wide function of *T. delbrueckii SIR* genes, revealing a distinctly different molecular topography of silenced chromatin than is seen in *S. cerevisiae*. Additionally, we constructed  $ago1\Delta$  and  $dcr1\Delta$  single mutants and an  $ago1\Delta$  *dcr1* $\Delta$  double mutant and performed deep sequencing of mRNAs to uncover all loci that were possibly subject to transcriptional repression by the *T. delbrueckii* RNAi pathway. The study began with a genome-wide analysis of the roles of Sc-Sir1 in *Saccharomyces* to set the stage for studies of Td-Kos3 in *T. delbrueckii.* Collectively, these experiments lead to a new conceptualization of the evolution of Sir1's role in silencing and contribute to an expanded appreciation of the roles of RNAi components. These data provide the most complete picture to date of how the earliest *SIR1*-containing *SIR* silencing complex functioned and the evolutionary trajectories it may have followed.

## **MATERIALS AND METHODS**

**Identification of** *SIR1* **paralogs.** To identify *SIR1* paralogs, the *SIR1* protein sequence was used as a BLAST query against sequenced yeast genomes available on the Yeast Gene Order Browser (YGOB). Significant hits included the*KOS3* gene in *T. delbrueckii*(*TDEL0E00350*), as well as all other previously discovered *SIR1* paralogs [\(8\)](#page-13-7). *T. delbrueckii KOS3* itself, when used as a BLAST query against yeast genomes on the YGOB, identified the *Zygosaccharomyces rouxii KOS3* gene and the *S. bayanus* var. *uvarum* KOS3 gene as the two top matches. Other *SIR1* paralogs, including *S. cerevisiae SIR1*, were among the top 15 matches.

**Yeast strains and plasmids.** Yeast strains are listed in Table S1 in the supplemental material. *S. cerevisiae* strains were generated in the W303 background. Deletion mutants and epitope-tagged alleles of *SIR* genes were made as previously described, using one-step integration of knockout cassettes [\(15\)](#page-14-6). *T. delbrueckii* strains were grown in rich medium (yeast extract-peptone-dextrose [YPD]) at 30°C. Gene disruption in *T. delbrueckii* required  $\sim$  500 bp of sequence identity to the target region. Therefore, knockout cassettes and other tagging constructs were first cloned into plasmids containing 500 bp of sequence identical to the sequences flanking the genomic target and then amplified via PCR and transformed into strains. Transformations for *T. delbrueckii* were performed using the same lithium acetate-polyethylene glycol (PEG) method used for *S. cerevisiae* [\(16\)](#page-14-7).

**RNA isolation and qRT-PCR analysis.** Strains of both *S. cerevisiae* and *T. delbrueckii* were grown to an  $A_{600}$  of 0.8 to 1.0 at 30°C in YPD medium. RNA was extracted as described previously using the hot acid-phenol method [\(17,](#page-14-8) [18\)](#page-14-9). cDNA and quantitative reverse transcriptase (qRT)-PCR analyses were performed as described previously [\(17\)](#page-14-8). The oligonucleotides used for *ACT1* amplification were GCCGGTGACGACGCTCC and CCTCTCTTGGATTGAGCTTCATCACC; the oligonucleotides used for *KOS3* amplification were TTGGAGAACTATCGCAGAGAGAGC and TCTCTTTGGCTATTGCGGTTGG.

**Chromatin isolation and immunoprecipitation.** All strains were grown in 100 ml YPD medium and harvested in log phase at an  $A_{600}$  of  $\sim$ 0.7. Cross-linking was performed at 25°C in 1% formaldehyde for 45 min. Chromatin was prepared as previously described [\(19\)](#page-14-10). Sonication was performed to an average genomic fragment size of 300 to 400 bp. Immunoprecipitation of V5 epitope-tagged Sir1, Td-Kos3, Td-Sir2, and Td-Sir4 was performed overnight at  $4^{\circ}$ C using 800 µl of chromatin and 75 l of anti-V5 resin from Sigma (A7345). After several washes, protein and DNA were eluted from beads in Tris-EDTA (TE) buffer plus 1% SDS at 65°C, followed by reversal of cross-linking and then protease treatment. DNA was purified using Qiagen DNA spin columns prior to library preparation. The functions of epitope-tagged *SIR* alleles in *T. delbrueckii* were assayed by measuring repression at the silent *HMR***a***1* gene; the function of V5-tagged Sir1 was measured by its ability to complement a  $sir1\Delta$  mutation.

**Library preparation and sequencing.** Chromatin immunoprecipitation (ChIP) libraries were prepared using the Illumina TruSeq DNA Sample Prep kit. Transcriptome sequencing (RNA-Seq) libraries were prepared using the Illumina TruSeq mRNA sample prep kit. One hundred-base-pair paired-end libraries were used to accurately assign reads. A Bioanalyzer instrument (Agilent) was used to quantify all the libraries. The libraries were sequenced on an Illumina HiSeq 2000 machine (see Tables S10 and S11 in the supplemental material for sequence read information for all the libraries).

**URA3 reporter gene assay for silencing.**Cellswere grown to saturation overnight in 2 ml of YPD medium containing hygromycin B (to select for plasmids). The cells were then pinned onto plates with three different media: complete supplement mixture (CSM) containing hygromycin B (to assay overall growth), CSM containing hygromycin B and lacking uracil (to select for cells expressing *URA3*), and CSM containing uracil and 5-fluoroorotic acid (5FOA) to select for cells not expressing *URA3* [\(20\)](#page-14-11). The cells were pinned in a 5-fold dilution series, and the plates were imaged on day 3 of growth.

**Data analysis. (i) Chromatin immunoprecipitation followed by deep sequencing (ChIP-Seq).** Reads were mapped, using Bowtie2, to either the *S. cerevisiae* S288C reference genome or the *T. delbrueckii* reference genome sequence [\(14\)](#page-14-5). Duplicate reads were discarded using Picard, and pileup files were generated using Samtools [\(21\)](#page-14-12). The data were plotted and visualized using custom Python scripts. Statistically significant peaks of enrichment in immunoprecipitation (IP) samples were found by using the MACS peak-calling software [\(22\)](#page-14-13).

**(ii) RNA-Seq.** Data were analyzed as previously described [\(17\)](#page-14-8). Briefly, Tophat2 was used to map transcripts to their genes of origin. Transcript quantification was performed using Cufflinks [\(23\)](#page-14-14). DESeq was used to perform tests for differential gene expression [\(24\)](#page-14-15). The results were filtered for genes that showed differences in expression greater than 2-fold relative to the wild type, with a  $P$  value of  $\leq 0.05$  and a false-discovery rate of <10%. Weighted Venn diagrams detailing overlap in gene sets were made using the Matplotlib\_venn package in Python.

**(iii) Transcription factor binding site analysis.** Putative transcription factor binding sites were identified by the motif-scanning algorithm in MochiView [\(25\)](#page-14-16).

**(iv) GO term analysis.** Gene sets were subjected to gene ontology (GO) term analysis on the *Saccharomyces* Genome Database website using the "GO Term Finder" tool with default settings and background sets of genes. All significant GO terms with a *P* value of <0.05 and a false-discovery rate of  $<$ 10% were included in the final results.

**Microarray data accession numbers.** The sequence reads were deposited in the NCBI Sequence Read Archive (SRA) [\(http://www.ncbi.nlm.nih](http://www.ncbi.nlm.nih.gov/sra) [.gov/sra\)](http://www.ncbi.nlm.nih.gov/sra) under accession numbers SRP055208, SRP065348, SRP065349, SRP065572, and SRP065573.



<span id="page-2-0"></span>**FIG 1** Sc-Sir1 associates with the silencers of *HML*- and *HMR-E* in *S. cerevisiae*. ChIP-Seq was performed on V5-tagged Sc-Sir1 protein. Shown are the Sc-Sir1-3V5 IP enrichment patterns (purple) at various genomic loci, with chromosomal coordinates shown at the bottom of each panel. (A and B) Sc-Sir1 at HML<sub>Q</sub> (A) and *HMR***a** (B). For comparison, binding of Sc-Sir2 is shown. The *E* and *I* silencers are depicted by red boxes, and coding genes are shown by arrows. (C) Sc-Sir2 enrichment (green) at the left arm of chromosome XV, *TEL15L*. Sc-Sir1 was not enriched at this locus.

## **RESULTS**

*S. cerevisiae* **Sir1 localized to the autonomous silencers of** *HML* **and** *HMR-E***.** Previous studies of genome-wide Sir protein localization in *S. cerevisiae* have focused on Sc-Sir2, Sc-Sir3, and Sc-Sir4 [\(1,](#page-13-0) [17\)](#page-14-8). To study Sc-Sir1's evolution, we first established the molecular topography of Sc-Sir1 across the *S. cerevisiae* genome. ChIP-Seq of *Saccharomyces* Sir1 tagged with three copies of the V5 epitope (Sc-Sir1-3 $\times$ V5) revealed several important features of Sc-

Sir1's genome-wide binding profile. First, Sc-Sir1 displayed a sharp, narrow, largely silencer-restricted binding profile at *HML-E*, *HML-I*, and *HMR-E* [\(Fig. 1A](#page-2-0) and [B;](#page-2-0) the no-tag control is shown in Fig. S1 in the supplemental material). This distribution was in agreement with previous ChIP-PCR data suggesting that Sc-Sir1 is restricted to the *HMR-E* silencer [\(26\)](#page-14-17). Sc-Sir1's binding profile was strikingly different from previous data on Sc-Sir2, Sc-Sir3, and Sc-Sir4 (Sir2 is shown in green in [Fig. 1A](#page-2-0) and [B\)](#page-2-0). The



<span id="page-3-0"></span>**FIG 2** *SIR1* paralogs and RNAi genes in the family *Saccharomycetaceae*. Depicted is a phylogenetic tree of budding yeast species in the family *Saccharomycetaceae*, along with the *SIR1* paralogs and RNAi gene paralogs (where applicable; some species, e.g., *K. lactis*, do not have *SIR1* or the RNAi genes *AGO1* and *DCR1*). The number of dots within each box indicates the number of copies of that particular paralog in the genome (e.g., *N. castellii* has two highly similar *KOS3* paralogs). *S. cerevisiae* contains the defining *SIR1* gene, whereas *S. bayanus* contains four *SIR1* genes: *SIR1* and three *KOS* paralogs. *KOS3* is the earliest *SIR1* paralog, deduced to have originated prior to the whole-genome duplication. *T. delbrueckii* has the budding yeast orthologs of *AGO1* and *DCR1*. All sequenced species in the *Zygosaccharomyces* and *Torulaspora* clades have a *KOS3* paralog in their genomes. \*, *N. castellii* also has a fourth *SIR1* paralog, *KOS4*, specific to that species (not shown for simplicity); \*\*, results from additional species (*Zygosaccharomyces baillii*, *Torulaspora francisiae*, *Torulaspora pretoriensis*, and *Torulaspora globosa*) are unpublished (Devin Scannell, personal communication). The gray dot in the *DCR1* gene column for *S. bayanus* var. *uvarum* indicates that its *DCR1* is a pseudogene.

proteins exhibit strong coenrichment in discrete peaks at the pair of silencers flanking *HML*α and *HMR***a**, as well as within the silent loci [\(1\)](#page-13-0). Sc-Sir1 enrichment overlapped Sc-Sir2, Sc-Sir3, and Sc-Sir4 enrichment at three of the silencers and at a smaller peak located in the promoter region of *HML*α but not within *HMR*a [\(Fig. 1A\)](#page-2-0). Each silencer at *HML* is sufficient, on its own, for silencing *HML* [\(27\)](#page-14-18). At *HMR*, the *E* silencer is required for *HMR* silencing. *HMR-I* contributes to silencing when the locus is carried on a plasmid but on its own is insufficient to silence *HMR* and can be deleted from the chromosome with no obvious impact on silencing [\(28,](#page-14-19) [29\)](#page-14-20). No Sc-Sir1 enrichment was detected at the *HMR-I* silencer.

*S. cerevisiae* **Sir1 was absent from telomeres.** Telomeres in *S. cerevisiae*recruit the Sc-Sir2, Sc-Sir3, and Sc-Sir4 proteins through interactions with Rap1 [\(30\)](#page-14-21). Mutations in *SIR2*, *SIR3*, and *SIR4* but not *SIR1* disrupt transcriptional repression of reporter genes placed adjacent to artificially truncated telomeres [\(1,](#page-13-0) [31\)](#page-14-22). These early studies suggested *SIR1* has no role in gene silencing near artificial telomeres. However, one study of a *URA3* reporter gene at a native telomere (*TEL11L*) indicated a role for Sir1 in repressing genes at native telomeres [\(32\)](#page-14-23). Thus, *SIR1*'s role in telomeric and subtelomeric silencing warranted further genome-wide evaluation.

Strikingly, our results showed that the Sc-Sir1 protein was undetectable at all telomeres and subtelomeric regions (*TEL15L* is shown in [Fig. 1C;](#page-2-0) see Fig. S2 in the supplemental material for all 32 telomeres). The sole exceptions to this rule are the Sc-Sir1 peaks at the silencers of *HML*α, which fall within 20 kbp of the left end of chromosome III [\(Fig. 1A;](#page-2-0) see Fig. S2 in the supplemental material). In contrast, Sc-Sir2, Sc-Sir3, and Sc-Sir4 are all highly enriched at the telomeres, where they repress  $~6\%$  of subtelomeric genes [\(Fig. 1C\)](#page-2-0) [\(1,](#page-13-0) [17\)](#page-14-8). To test the possibility that Sc-Sir1 binds telomeres transiently, long enough to repress genes but not long enough to be detectably enriched, we performed deep sequencing of mRNAs from wild-type and *sir1*Δ strains. Genes at *HML*α and *HMR***a** were derepressed in the *sir1* $\Delta$  strain, as expected, as were a few genes under  $a/\alpha$  control (see Table S2 in the supplemental material). However, consistent with a lack of Sc-Sir1 binding at and/or near telomeres, no subtelomeric genes were derepressed in the  $sir1\Delta$  mutant.

**The** *T. delbrueckii* **genome contained** *KOS3***, an ancestral** *SIR1* **paralog.** A reconstruction of the evolutionary history of the *SIR1* gene [\(8\)](#page-13-7) yielded two important findings: (i) *SIR1* has undergone at least two or three gene duplications among post-wholegenome-duplication yeast species, and (ii) *SIR1* itself may also be the product of an internal duplication of a shorter *SIR1* paralog



<span id="page-4-0"></span>**FIG 3** *T. delbrueckii kos3* mutants completely lacked silencing at *HMR***a**. (A) *HMR***a***1* expression in the wild type and four *S. cerevisiae*silencing mutants: *sir1*, *sir2*,*sir3*, and *sir4*. Expressionwasmeasuredfrom deep sequencing ofmRNAs and quantified as FPKM. **a***1*derepressionmeasuredin a population of*sir1*cellswas ~50% that of the derepression measured in  $\frac{sin 2\Delta}{sin 3\Delta}$ , and  $\frac{sin 4\Delta}{2}$  mutants, which completely lack the ability to silence *HML* and *HMR*. P values: \*, <0.01 to 0.05; \*\*, 0.001 to 0.01; \*\*\*, 0.001 (Student's*t*test). The error bars indicate standard deviations. (B) Chromosome V *HMR***a***1* expression in *T. delbrueckii* in wild-type, *kos3*, *sir2*, and *sir4* strains. In contrast to the more modest effect seen in the *sir1* mutant, *kos3* mutants exhibited as great a silencing defect as *sir2* or *sir4* mutants.

called *KOS3*, first recognized in *S. bayanus* var. *uvarum*. This paralog dates back to pre-whole-genome-duplication yeast species [\(8\)](#page-13-7). *T. delbrueckii*, like *Z. rouxii*, has a *KOS3* paralog as its only Sir1 related gene [\(Fig. 2\)](#page-3-0). *KOS3* has approximately half the sequence length of *SIR1* and aligns best with the C-terminal Orc1-interacting region of Sir1. *S. bayanus* var. *uvarum*, *N. castellii*, and *Naumovozyma diarenesis* also have *KOS3* paralogs of similar size [\(Fig.](#page-3-0) [2\)](#page-3-0). The *KOS3* paralog in *S. bayanus* var. *uvarum* participates in silencing, though its function is partially shared with the other three paralogs in the species [\(8\)](#page-13-7). All identified *SIR1* paralogs are highly divergent at the protein sequence level [\(8\)](#page-13-7). Similarly, Sc-Sir1 and Td-Kos3 share only 16% protein similarity.

**KOS3 is indispensable for silencing in** *T. delbrueckii***.** In *S. cerevisiae*, deletion of *SIR1* causes a partial loss of silencing at HMLα and *HMR*a when evaluated at the population level. At the single-cell level, 50 to 80% of *sir1*  $\Delta$  cells lack silencing at *HML* $\alpha$ and *HMR***a**, whereas these loci are fully silenced in the remaining cells [\(5\)](#page-13-4). Thus, expression of *HMRa1* in a  $sirl\Delta$  strain, as measured in bulk RNA from a population of cells, was less than the expression level seen in *S. cerevisiae sir2* $\Delta$ *, sir3* $\Delta$ , or *sir4* $\Delta$  mutants [\(Fig. 3A\)](#page-4-0).

To evaluate whether *KOS3* was also only partially required for silencing in *T. delbrueckii* or played a more prominent role, we measured expression of the *HMRa1* locus in a *MAT* $\alpha$  strain containing deletion alleles of *KOS3*, *SIR2*, or *SIR4* (the *SIR3* ortholog in *T. delbrueckii* is *ORC1*, which appears to be essential [unpublished observation]). In contrast to the partial derepression of *HMR***a**<sup>1</sup> seen in *S. cerevisiae sir1*△, *T. delbrueckii kos3*△ cells showed complete derepression of *HMR***a***1*, indistinguishable from

that in  $\sin 2\Delta$  and  $\sin 4\Delta$  cells [\(Fig. 3B\)](#page-4-0). Thus, *KOS3* played a more central role in silencing in *T. delbrueckii* than *S. cerevisiae SIR1*.

*T. delbrueckii***Kos3 was coenriched with Td-Sir2 and Td-Sir4 at all heterochromatic locations.** The genome-wide binding profiles of Td-Kos3, Td-Sir2, and Td-Sir4 in *T. delbrueckii* were striking with respect to the differences in Sir protein distributions in *S. cerevisiae*. At *HMR*, Td-Kos3 was most enriched in a pair of close but discrete peaks beginning approximately 670 bp 3= of *HMR***a***1*, which were also the positions most enriched for Td-Sir2 and Td-Sir4 [\(Fig. 4B\)](#page-5-0). The first of these peaks corresponded to a tRNA-Val gene. The distribution of Td-Kos3, Td-Sir2, and Td-Sir4 at *HML* showed only a single prominent peak of enrichment 770 bp from the 3= end of *HML*-*1* [\(Fig. 4A\)](#page-5-0). At neither *HML* nor *HMR* of *T. delbrueckii* was there evidence of two flanking enrichment peaks analogous to the two silencers flanking the silent mating type loci in *S. cerevisiae*.

In addition to examining Td-Kos3 binding at *HML* and *HMR*, we also interrogated Td-Kos3 enrichment at presumptive telomeres in *T. delbrueckii* to determine whether it was absent from telomeres, as Sc-Sir1 was in *S. cerevisiae*. At least 10 out of 16 telomeres showed enrichment of Td-Kos3, Td-Sir2, and Td-Sir4: *TEL01L*, *TEL02L*, *TEL04L*, *TEL07L*, *TEL08L*, *TEL01R*, *TEL04R*, *TEL05R*, *TEL06R*, and *TEL08R* [\(Fig. 4C](#page-5-0) shows *TEL01R*; see Fig. S3 in the supplemental material for all 16 telomeres). Td-Kos3's presence at telomeric sequences in *T. delbrueckii* was a marked difference from Sc-Sir1's absence from telomeres. Likewise, many genes within 20 kb of chromosome ends increased in expression in all three *T. delbrueckii sir* mutants examined ( $\text{kos3}\Delta$ , *sir2* $\Delta$ , and *sir4* $\Delta$ ) (see Table S9 in the supplemental material). Thus, similar to its



<span id="page-5-0"></span>**FIG 4** Enrichment of Kos3, Sir2, and Sir4 in *T. delbrueckii* at heterochromatic locations. ChIP-Seq of V5-tagged Td-Kos3, Td-Sir2, Td-Sir4, and a no-tag control strain was performed. Shown are the enrichment patterns of the three proteins at *HML* (A), *HMR* (B), and a representative telomere, *TEL01R* (C). The binding pattern of Td-Kos3 mirrored the binding patterns of Td-Sir2 and Td-Sir4 at these loci. The no-tag control immunoprecipitation is also shown. The arrows without labels depict nearby coding genes.

more extensive role in silencing at *T. delbrueckii HML* and *HMR*, Td-Kos3 was also required to repress expression of subtelomeric genes.

*T. delbrueckii SIR2* **had roles outside its functions with** *KOS3* **and** *SIR4***.** We investigated genome-wide functions for *T. delbrueckii KOS3*, *SIR2*, and *SIR4* by performing mRNA sequencing (mRNA-Seq) in *kos3*∆, *sir2*∆, and *sir4*∆ mutants. Overall, 22 genes increased in expression across all three mutants (see Table S9 in the supplemental material). These 22 genes were either at the silent mating type loci or adjacent to the silent mating type loci or were subtelomeric genes within 20 kb of a chromosome end. No centromere-adjacent genes changed expression among this set of mutants. Comparing the overlap between genes across all three *sir* mutants, we found that the majority of the changes in expression in the  $kos3\Delta$  and  $sir4\Delta$  mutants completely overlapped with changes in expression in the  $\sin 2\Delta$  mutant, suggesting that  $KOS3$ and *SIR4* did not have any function outside their role in the Sir complex (see Fig. S4B in the supplemental material). There were 124 genes that increased specifically in the  $\sin 2\Delta$  mutant, however, indicating that like *SIR2* in *S. cerevisiae*, *T. delbrueckii SIR2* had roles beyond heterochromatin formation.

To examine potential roles that *T. delbrueckii SIR2* may have, we performed GO term analysis on the 85 *sir2* $\Delta$ -specific genes that had orthologs in *S. cerevisiae*. Using the *S. cerevisiae* functional annotations for these genes, we found 21 genes associated with meiosis and sporulation and 9 genes associated with carbohydrate

metabolism (see Table S4 in the supplemental material, asterisks). Since *T. delbrueckii SIR2* is the pre-whole-genome duplication ortholog of *S. cerevisiae* SIR2 and *HST1*, we also investigated whether, like *HST1*, *T. delbrueckii* SIR2 functioned as a promoterspecific repressor by examining whether any genes contained statistically significant Td-Sir2 peaks in their promoters. Of the 124 Td-Sir2-regulated genes, 66 had a Td-Sir2 peak in their promoters (see Table S4 in the supplemental material, ‡).

*T. delbrueckii* **Kos3 bound to the silencers of** *HML*- **and** *HMR***a.** The largely silencer-restricted binding profile of Sc-Sir1 correlated with Sc-Sir1's importance in establishing silencing. To determine whether the regions bound by Td-Kos3 corresponded to the silencers of *T. delbrueckii*, we created a reporter-based silencing assay using a plasmid containing the entire *T. delbrueckii* HMLα locus plus 1,000 bp on either side and transformed the plasmid into *T. delbrueckii*. In this plasmid, the α2 coding region was replaced with *K. lactis URA3*. Strains auxotrophic for uracil yet containing the plasmid were unable to grow on medium lacking uracil due to silencing of the *K. lactis URA3* gene. Deletion of *KOS3*, *SIR2*, or *SIR4* relieved this repression, leading to *URA3* expression and growth on medium lacking uracil [\(Fig. 5A\)](#page-6-0).

To map the silencers at *HML*α, we deleted a 284-bp fragment (region E) corresponding to the major Td-Kos3, Td-Sir2, and Td-Sir4 binding peak adjacent to the coding genes and evaluated its impact on *URA3* silencing. This deletion completely abolished  $s$ ilencing at  $HML\alpha$  [\(Fig. 5C\)](#page-6-0). Formally, silencers are defined as



<span id="page-6-0"></span>FIG 5 Kos3 bound to the silencer of *HML* $\alpha$ . (A) A plasmid bearing an ~5-kb fragment of *HML* $\alpha$  in which the  $\alpha$ 2-coding gene had been replaced with the *K. lactis URA3* gene was transformed into *T. delbrueckii* wild-type, *kos3*, *sir2*, and *sir4* strains. *T. delbrueckii* silencing mutants were able to grow on medium lacking uracil (CSM-Ura) and unable to grow on medium containing 5FOA (CSM-5FOA). (B) Depiction of region E at *HML* in relation to the  $\alpha I$  gene and the region bound by Td-Kos3. (C) Region E and a putative Rap1 binding site (red line in panel B) were critical for silencing.

*cis*-acting regulatory sites. Because of the nature of the assay, there was an intact copy of the E region in the chromosome, which nevertheless could not maintain silencing in cells with a deletion of the region on a plasmid-borne *HML* locus. Therefore, the deleted region contained a silencer for *HML*, or at least a critical component of one.

A similar assay was developed to map silencer elements at *HMR***a** by cloning an  $\sim$  5-kb fragment containing *HMR* from *T*. *delbrueckii* chromosome V and replacing the **a***1* coding region with the *K. lactis URA3* gene. Silencing of this reporter was also dependent on*KOS3*, *SIR2*, and *SIR4* [\(Fig. 6A\)](#page-7-0). The binding profile of Td-Kos3 at *HMR***a** at the putative silencer region showed two peaks, corresponding to regions A and B. Region C included regions A and B and some surrounding sequence [\(Fig. 6B\)](#page-7-0). Region A was centered on the first peak and contained a valine tRNA gene. Deletion of region A had a modest effect on silencing, resulting in weak growth on medium lacking uracil, but not to the same extent as in the  $kos3\Delta$  mutant [\(Fig. 6C\)](#page-7-0). Deletion of region B had slight to almost no effect on silencing, and deletion of region C led to a complete loss of silencing [\(Fig. 6C\)](#page-7-0). For the reasons described above, the deletion of the C region must have removed all or a critical part of a silencer for *HMR*.

*T. delbrueckii* **silencers contained Rap1 binding sites that were important for silencing.** In *S. cerevisiae*, the *E* and *I*silencers contained combinations of binding sites for Rap1, Abf1, and the origin recognition complex (ORC). The silencers of *K. lactis* contain binding sites for Reb1 and Ume6, as well as an additional "C box" sequence [\(33\)](#page-14-24). Since *T. delbrueckii* lies in a position between *S. cerevisiae* and *K. lactis* on the phylogenetic tree, we evaluated whether *T. delbrueckii* silencers contained binding sites that resembled those of *K. lactis* or *S. cerevisiae*, potentially illuminating how this major evolutionary transition of transcription factor binding sites occurred. The *T. delbrueckii*silencer region E defined by the deletion at *HML* contained a high-scoring Rap1 DNA binding motif 797 bp away from the 3' end of the  $\alpha$ 1 gene: GACCTG TACA. A high-scoring Rap1 site was also found in the promoter region of *HML*, between the  $\alpha$ 2 and  $\alpha$ 1 genes, reminiscent of the Rap1 binding site in the promoter region of *HML* in *S. cerevisiae*.



<span id="page-7-0"></span>**FIG 6** Kos3 bound to the silencer of *HMR***a**. A plasmid-based *URA3* reporter construct was developed to map silencers at *HMR***a**. (A) Silencing (lack of growth on CSM lacking uracil [CSM-URA]) was dependent on *T. delbrueckii SIR* genes. CSM-5FOA, CSM containing 5FOA. (B) Depiction of the fragment of *HMR***a** on the plasmid in relation to the region of Td-Kos3 binding (purple). Immediately adjacent to the valine tRNA was a putative Rap1 site (red line). A cluster of three putative Abf1 binding sites was present in region B (green lines), as well as a putative ARS consensus sequence (arrowhead and blue line adjacent to the green lines). (C) Silencing as measured by growth on medium lacking uracil in each of the deletion constructs depicted in panel B. (D) Mutations to the Rap1 binding site adjacent to region A disrupted silencing.

To test the importance of the Rap1 binding site within region E, a triple mutant that disrupted the three most conserved base pairs of this Rap1 motif (underlined) (GACCTGTACA to GAAATAT ACA) was evaluated [\(Fig. 5C\)](#page-6-0). This mutant diminished silencing to the same extent as deleting the entire E region, suggesting that the Rap1 binding site was a key component of the silencer. A Rap1 binding site was also found in the *T. delbrueckii HMR* region immediately adjacent to the valine tRNA, residing just outside region



<span id="page-8-0"></span>**FIG 7** Rap1 binds to the silencers of the silent mating type loci in *T. delbrueckii*. (A) Rap1 was enriched at the E silencer region of *HML* that included the putative Rap1 binding site. (B) Enrichment of Rap1 along the silencer of the chromosome V *HMR*, with genomic positions of primer sets 1 to 4 depicted in order below. The Rap1 binding site is shown in red, the tRNA gene is in black, and the C region is in gray. \*, all IP-over-input values are relative to *SSC1* IP over input. The error bars indicate standard deviations.

A. Disrupting this Rap1 binding site via a complete deletion, or mutating it from CATCCATACA to CATAAATACA, also greatly reduced silencing at *HMR***a** [\(Fig. 6D\)](#page-7-0).

The DNA binding domain of the *S. cerevisiae* Rap1 protein has been mapped to amino acid residues 358 to 602 [\(34,](#page-14-25) [35\)](#page-14-26). Alignment of the Sc-Rap1 and Td-Rap1 protein sequences revealed that the region is highly conserved between the two species, displaying 81% sequence identity, suggesting that Td-Rap1 may bind to the conserved Rap1 binding motifs at the *T. delbrueckii* silencers. To test directly if Td-Rap1 bound to the silencers, chromatin immunoprecipitation followed by quantitative PCR (qPCR) was performed on tagged Td-Rap1-3 $\times$ V5. Td-Rap1 was enriched at the silencers of both *HML* and *HMR*, most highly in regions that included the conserved Rap1 binding site [\(Fig. 7\)](#page-8-0).

In addition to Rap1 binding sites, a motif search also revealed the presence of three putative Abf1 binding sites clustered within region B of *HMR* [\(Fig. 6B,](#page-7-0) green lines under arrowhead), as well as one site within the promoter region of *HML* (overlapping the putative Rap1 site). Mutations of the highest-scoring of these putative binding sites in the B region, or deletion of all three, had no effect on silencing (data not shown). A search for autonomously replicating sequence (ARS) consensus sequences revealed a potential candidate AT-rich sequence 13 bp in length in the C region of *HMR* [\(Fig. 6B,](#page-7-0) arrowhead marked "ARS"). This C region was also found to have a functional ARS; however, deleting the sequence that may represent this functional ARS had no effect on silencing (data not shown).

**KOS3 expression was autoregulated.** The *KOS3* gene itself is located  $\sim$ 1 kb away from the copy of *HMR* carried on chromo-some V [\(Fig. 8A\)](#page-9-0). Interestingly, in the  $\sin 2\Delta$  and  $\sin 4\Delta$  mutants, the expression of *KOS3* itself doubled [\(Fig. 8B\)](#page-9-0). Neither Td-Sir2 nor Td-Sir4 was enriched at the promoter of the *KOS3* gene, indicating that these proteins do not directly repress it. Genes adjacent to

silent mating type cassettes are often derepressed when losses in silencing occur, presumably because repressive chromatin at the silent locus exerts transcriptional repression on nearby genes; for example, the *CHA1* gene adjacent to *HML* in *S. cerevisiae*increases in expression in *sir* mutants [\(17\)](#page-14-8). When the*KOS3* gene was moved from its native location to a plasmid, there was no increase in its expression in a  $\sin 2\Delta$  mutant [\(Fig. 8C\)](#page-9-0). The location of the *KOS3* gene and its increased expression when *HMR* is derepressed suggest that in a wild-type strain, occasional lapses in silencing at *HMR* would increase the expression of its repressor, *KOS3*, providing an autoregulatory method of maintaining silencing.

*KOS3***was necessary for efficient recruitment of Sir2 and Sir4 to silenced loci.** In *S. cerevisiae*, Sc-Sir2, Sc-Sir3, and Sc-Sir4 can be recruited to the silencers of *HMR* in the absence of Sc-Sir1 [\(26\)](#page-14-17), presumably due to the interactions between Sc-Rap1 at the silencer and an Sc-Sir2–Sc-Sir4 dimer, which, in turn, recruits Sc-Sir3. These interactions do not require Sc-Sir1 and may allow silencing to be reestablished, albeit inefficiently, in a  $\sin 1\Delta$  strain. ChIP-Seq of V5-tagged alleles of *SIR2* and *SIR4* in *kos3* strains showed that *KOS3* was required for efficient enrichment of Td-Sir2 and Td-Sir4 at *HML* and *HMR* and at telomeres (*HMR***a** is shown in [Fig. 9;](#page-10-0) see Fig. S5 in the supplemental material for *HML* and *TEL01R*).

**Sc-Sir1 and** *T. delbrueckii* **Kos3, Sir2, and Sir4 may be enriched at centromeres.** Sc-Sir1 had previously been found at six centromeres (*CEN1*, *CEN2*, *CEN3*, *CEN4*, *CEN11*, and *CEN16*) by locus-specific ChIP, and  $sir1\Delta$  *cac1* $\Delta$  mutants showed elevated rates of nondisjunction [\(36\)](#page-14-27). When examining the Sir1 IP track separately from the input track, we saw a consistent underrepresentation of centromere sequences, hinting that centromere DNA was systematically underrecovered in our samples (a representative example is shown in Fig. S6 in the supplemental material). To account for this underrecovery, we plotted Sir1 enrichment in



<span id="page-9-0"></span>**FIG 8** *KOS3* expression was autoregulated by the expression state of the Chr V *HMR*. (A) The *KOS3* gene is located  $\sim$  1 kb away from the *HMRa2* gene of the Chr V HMR. (B) Derepression at the Chr V *HMR***a***1* gene in *sir2* and *sir4* mutants led to a doubling of *KOS3* expression. (C) *KOS3* expression did not increase in the *sir2* mutant when the gene was placed on a plasmid. The expression levels shown are relative to a wild-type strain with *KOS3* at its native location. The error bars indicate standard deviations.

terms of IP over input (IP/input) and compared those values to the IP/input of the no-tag control. This analysis revealed Sc-Sir1 enrichment at all 16 *S. cerevisiae* centromeres (see Fig. S7 in the supplemental material). ChIP-Seq data sets have been shown to contain certain reproducible but artifactual signals, implying the association of proteins with sequences that they do not actually bind *in vivo* [\(37,](#page-14-28) [38\)](#page-14-29). To rigorously test whether these Sc-Sir1 peaks at centromeres represented ChIP-Seq artifacts, we compared Sc-Sir1 enrichment to enrichment of green fluorescent protein (GFP) tagged with a nuclear localization sequence (GFP-NLS) at centromeres (data from rreference [37\)](#page-14-28). GFP is not expected to bind in a meaningful way to any portion of the yeast genome, yet control experiments showed that it colocalizes with multiple common ChIP-Seq artifacts. Only one centromere, *CEN13*, showed GFP-NLS IP-over-input enrichment. Thus, although the Sc-Sir1 signal present at that centromere may be spurious (see Fig. S7 in the supplemental material, asterisk), there was no indication of artifactual enrichment at the others. Additionally, despite the presence of Sc-Sir1 at centromere sequences, there was no indication of any Sir-dependent gene silencing adjacent to any centromere [\(17\)](#page-14-8).

Because we saw Sc-Sir1 enrichment at *S. cerevisiae* centromeres, we evaluated whether Td-Kos3, Td-Sir2, and Td-Sir4 were present at centromeres in *T. delbrueckii*. *T. delbrueckii*, like *S. cerevisiae*, has point centromeres that have been annotated based on conservation of the centromere DNA elements (CDEI, CDEII, and CDEIII) and by synteny [\(39\)](#page-14-30). We confirmed the functions of two of these centromeres (*T. delbrueckii CEN1* and *CEN3*) by observing their ability to functionally replace *S. cerevisiae CEN6* in the pRS316 vector, allowing strains to maintain the plasmid in the absence of selection in an *S. cerevisiae* host (centromeres appear to be compatible between the two species). We then examined Td-Kos3, Td-Sir2, and Td-Sir4 enrichment at presumptive *T. delbrueckii* centromeres in terms of IP/input and detected enrichment of all three proteins at centromeres (see Fig. S8 in the supplemental material). As in *S. cerevisiae*, we observed no evidence of silencing of genes adjacent to the centromeres.

*T. delbrueckii AGO1* **and** *DCR1* **had no function in silencing.** Most *Saccharomyces* yeasts lack the machinery for RNAi, a mechanism of gene silencing found in *S. pombe* and many other organisms, including plants and animals. The Argonaute and Dicer proteins are required for heterochromatin formation in *S. pombe* and presumably in all organisms using the RNAi mechanism. Ago1 is a necessary component of the RNA-induced initiation of transcriptional gene silencing (RITS) complex, and Dcr1 cleaves double-stranded RNA into small interfering RNAs (siRNAs) that serve as guide RNAs, directing the heterochromatin machinery to the locus targeted for silencing [\(40\)](#page-14-31). The *N. castellii* genome contains an *AGO1* ortholog and a *DCR1*-like gene (*DCR1*-like because it is not directly orthologous to the *S. pombe DCR1*, but rather, is a duplicate of *RNT1*, an RNase specific for double-stranded RNA). *N. castellii AGO1* and *DCR1* together degrade Ty transcripts [\(13\)](#page-14-4).

The *T. delbrueckii* genome also contains an *AGO1*- and a *DCR1*-like gene, orthologous to those of *N. castellii*. Given that *AGO1* and *DCR1* repress Ty elements in *N. castellii*, we tested whether the *AGO1* and *DCR1* genes functioned in silencing in *T.*



<span id="page-10-0"></span>**FIG 9** T. delbrueckii KOS3 is required for efficient recruitment of Td-Sir2 and Td-Sir4 to HMLα, HMR**a**, and telomeres. ChIP-Seq was carried out for V5-tagged Td-Sir2 and Td-Sir4 in  $kos3\Delta$  strains. The enrichment of Td-Sir2 and Td-Sir4 was compared to that of the wild-type strain for *KOS3*. (A) Enrichment of Td-Sir2 at *HMR***a** in the wild type (green) and in the *kos3* mutant (black). (B) Enrichment of Td-Sir4 at the same locus in the wild type (brown) and the *kos3* mutant (black). Signal from input chromatin is also shown. Relevant genomic features on Chr V are shown at the bottom.

*delbrueckii* by deep sequencing of mRNAs in *T. delbrueckii ago1* and  $dcr1\Delta$  mutants and  $ago1\Delta$   $dcr1\Delta$  double mutants. These mutants displayed no defect in transcriptional repression of *HML* or *HMR* or of any genes near telomeres [\(Fig. 10A](#page-11-0) and [B\)](#page-11-0), and thus, these genes displayed no overlap in function with the *SIR* genes. Additionally, no genes showed a clear signal of derepression in the RNAi mutants—i.e., no genes went from 0 fragments per kilobase per million (FPKM) in the wild type to an FPKM of  $>0$  in the mutant. Overall, 15 genes significantly changed in expression in the *ago1* $\Delta$  mutant, 9 in the *dcr1* $\Delta$  mutant, and 53 in the *ago1* $\Delta$  $dcr1\Delta$  double mutant [\(Fig. 10B;](#page-11-0) see Tables S6 to S8 in the supplemental material). Among the genes changing in expression in RNAi mutants, little to no overlap was seen among these gene sets [\(Fig. 10C](#page-11-0) and [D\)](#page-11-0). The most striking observation was that the double mutant had a bigger impact on the expression of genes than either of the single mutants (discussed below). For the genes that had *S. cerevisiae* orthologs, we performed GO term analysis for the  $a\gamma\partial \Delta d\tau$  *dcr1* $\Delta$  double mutant and found that several genes were associated with oxidation-reduction processes and/or small-molecule metabolism, indicating a possible coordinating role in metabolic function [\(Fig. 10B,](#page-11-0) black and orange dots).

## **DISCUSSION**

In this study, we exploited four opportunities provided by *T. delbrueckii* to explore theme and variation in the evolution of gene silencing. Specifically, *T. delbrueckii*, as a pre-whole-genome-duplication ascomycete, has one of the oldest versions of the *SIR1* gene, perhaps the most enigmatic of all budding yeast silencing genes. We explored the functional trajectory of this gene from its

earliest recognized appearance in *T. delbrueckii* to its reduced role in *S. cerevisiae*. Interestingly, we found that although the overall function of *SIR1* in the formation of heterochromatin has remained constant, its precise role in that process has evolved considerably. The effect of deleting *SIR1* on silencing in *S. cerevisiae*is relatively minor on a cell population basis. In contrast, in *T. delbrueckii*, deletion of*KOS3* completely abolished silencing. Second, in addition to having the oldest *SIR*-silencing components, *T. delbrueckii* also has genes orthologous to budding yeast *AGO1* and *DCR1*, whose function(s) in *T. delbrueckii* is not known. Third, the silencer composition of the only other preduplication species examined, *K. lactis*, differs from that of *S. cerevisiae*. Hence, *T. delbrueckii* offered the chance to explore whether the *S. cerevisiae* composition originated before or after the whole-genome duplication event. Finally, *T. delbrueckii* offered the opportunity to explore to what extent unusual features of the molecular topography of silenced chromatin were intrinsic to the mechanism of silencing.

**Sc-Sir1 is associated with silencers, except for the** *HMR-I* **silencer.** Sc-Sir1 clearly bound to three of the four silencers in *S. cerevisiae*: it was strikingly enriched at *HML-E*, *HML-I*, *and HMR-E* but not at *HMR-I*. It bound to the silencers that are sufficient on their own to maintain silencing [\(27\)](#page-14-18). Sc-Sir1 directly interacts with Orc1, a component of the origin of recognition complex, and this interaction likely brings Sc-Sir1 to the silencer [\(7,](#page-13-6) [41\)](#page-14-32). However, the ORC presumably associates with all four silencers, as an ARS consensus sequence is present at each one, and all four are capable of functioning as an origin of replication when on plasmids. Moreover, both *HMR-E* and *HMR-I* are origins of replication in their chromosomal context [\(42,](#page-14-33) [43\)](#page-14-34). Therefore, it is



<span id="page-11-0"></span>FIG 10 RNAi does not contribute to silencing in *T. delbrueckii.* (A) Expression of *HMRa1* in the wild type,  $a \text{go1}\Delta$  and  $d c r 1 \Delta$  mutants, an  $a \text{go1}\Delta$   $d c r 1 \Delta$  double mutant, and a *sir2* mutant. Repression of **a***1* was maintained in all three RNAi mutants. The error bars indicate standard deviations. (B) Heat map displaying significant changes in expression of genes across the three RNAi mutants, as well as the 22 genes that increased in expression across all three *sir* mutants. All

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perplexing that Sir1 enrichment was absent from *HMR-I*. Interestingly, *HMR-I* lacks a Rap1 binding site. It is possible that Sc-Rap1 stabilizes the interactions between Sc-Sir1, ORC, and Sc-Sir4 and that Sc-Sir1's absence is due to Sc-Rap1's absence at this silencer.

**Td-Kos3 is essential for silencing, whereas Sc-Sir1 is not.** Two observations emphasize the importance of Kos3 in silencing: (i) *T. delbrueckii kos3* strains exhibited a complete loss of silencing at *HML*, *HMR*, and telomeres and (ii) in the absence of Td-Kos3, enrichment of Td-Sir2 and Td-Sir4 at these positions was greatly reduced. In *S. cerevisiae*, Sc-Sir1 and Sc-Sir4 interact [\(6\)](#page-13-5). Sc-Rap1 is also present at the silencer, and the interactions between Sc-Rap1 and Sc-Sir4 and between Sc-Rap1 and Sc-Sir3 are well documented [\(44\)](#page-14-35). Therefore, in addition to the interaction between Sc-Sir1 and Sc-Sir4, interactions between Sc-Rap1 and Sc-Sir4 and between Sc-Rap1 and Sc-Sir3 boost the efficiency with which silencing proteins associate with the silencer in *S. cerevisiae*. Td-Rap1 bound silencers in *T. delbrueckii* and contributed to silencing the adjacent loci. The absence of a Sir3 paralog and/or the lack of a Td-Sir4 –Td-Rap1 interaction in *T. delbrueckii* may explain why Td-Kos3 is essential for silencing in that species: Td-Kos3 may be the primary protein mediating an interaction between Td-Sir4/Td-Sir2 and the silencer.

**Td-Kos3 functions at telomeres, whereas Sc-Sir1 does not.** Early studies of telomeric silencing in *S. cerevisiae* found no role for *Sir1* in the "telomere position effect," as measured by reporter genes adjacent to synthetic telomeres. Our ChIP-Seq data for Sc-Sir1 and RNA-Seq data for the  $sir1\Delta$  mutant corroborated these early observations and extended them to all telomeres. We saw no Sc-Sir1 protein enrichment at or near telomeres (except for at  $HML\alpha$ ), and no subtelomeric genes were derepressed in the  $sir1\Delta$ mutant. In contrast, Td-Kos3 bound to at least 10 out of 16 telomeric and subtelomeric sequences in *T. delbrueckii*, where its enrichment pattern closely matched that of Td-Sir2 and Td-Sir4. These data suggest that the ancestral *SIR1* was once a part of a core silencing complex composed of Td-Orc1/Td-Kos3/Td-Sir4/Td-Sir2, functionally equivalent to the Sc-Sir2/Sc-Sir3/Sc-Sir4 complex. For the five telomeres where Td-Kos3 was absent, Td-Sir2 and Td-Sir4 were also absent. It may be that the genome assembly for these five telomeres is less complete; sequencing using longer genomic inserts  $(>1$  kb) would be required to fully assemble the remaining five telomeres and to assess whether Td-Kos3, Td-Sir2, and Td-Sir4 are present at those ends, as well.

*T. delbruekii SIR2* **has roles in addition to silencing.** *SIR2* in *S. cerevisiae* has other roles in the cell, in addition to its role in heterochromatin formation at telomeres and the silent mating type loci, such as suppression of recombination at rDNA repeats and life span regulation [\(45,](#page-14-36) [46\)](#page-14-37). Our RNA-Seq data suggested that even in *T. delbrueckii*, *SIR2* regulates many genes and likely performs functions other than silencing, as there were 146 expression changes that were specific to the  $\sin 2\Delta$  mutant (124 genes increased and 22 decreased in expression). *T. delbrueckii SIR2* is the pre-whole-genome-duplication ancestor of the *S. cerevisiae SIR2* and *HST1* duplicates; thus, *T. delbrueckii SIR2* may also repress genes that in *S. cerevisiae* are repressed by *HST1*. *S. cerevisiae*

Hst1, in complex with Sum1 and Rfm1, functions in promoterspecific repression of middle-sporulation genes [\(47\)](#page-14-38). *K. lactis SIR2*, another pre-whole-genome-duplication ortholog of *S. cerevisiae SIR2* and *HST1*, possesses functions of both *S. cerevisiae SIR2* and *HST1* [\(9,](#page-14-0) [48\)](#page-14-39). Interestingly, *T. delbrueckii* orthologs of two middle-sporulation genes repressed by Hst1 in *S. cerevisiae* were derepressed in the *T. delbrueckii sir2* $\Delta$  mutant: *SPS4* and *DIT1*. Many other orthologs of meiotic genes were also derepressed (see the 21 marked genes in Table S4 in the supplemental material), and six of them had Sir2 peaks in their promoters: *DIT2*, *SPO19*, *SPS101*, *SPS2*, *SPS4*, and *IME2*. The presence of promoter-specific Sir2 peaks suggests that, like *K. lactis SIR2*, *T. delbrueckii SIR2* is capable of acting as both a promoter-specific repressor and a long-range, promoter-independent repressor of gene expression.

**Silencer conservation and diversity among budding yeasts.** Pairs of silencers flank both *HML* and *HMR* in *S. cerevisiae*, which are all bound by Sc-Sir2, Sc-Sir3, and Sc-Sir4 and, as shown here, with the exception of *HMR-I*, by Sc-Sir1. A single prominent site bound by Td-Kos3, Td-Sir2, and Td-Sir4 adjacent to *HML* and a close pair of sites adjacent to one side of *HMR* mediated silencing of these loci in *T. delbrueckii*. Although the analysis of these binding sites has only just begun, these sites are, in fact, silencers. A Rap1 binding motif was clearly critical for silencing at both loci, and the Rap1 protein itself associated with regions that included this binding motif. The *HMR* silencer supported autonomous replication of a plasmid, implying the existence of an origin of replication and, thus, an ORC binding site. Abf1 binding site motifs were also evident. Individual mutations to the putative Abf1 binding sites and the putative ARS had no effect on silencing. While this result might suggest that these binding sites do not contribute to silencing, it is possible that, as in *S. cerevisiae*, they have partially redundant roles in facilitating transcriptional repression. As in *S. cerevisiae*, mutating the two sites simultaneously may be required to disrupt repression [\(49\)](#page-14-40). Further analysis will be required to map more precisely the functional elements of the silencer, but already there are notable differences between the structure of silenced chromatin in *T. delbrueckii* and that in *S. cerevisiae*, pointing to alternative means by which silencing can occur.

In *K lactis*, Reb1 substitutes for the Rap1 protein in silencer function [\(50\)](#page-15-0), even though Rap1 is critical for telomeric gene silencing [\(51\)](#page-15-1). In *T. delbrueckii*, Rap1 sites were clearly important for silencer function, and Td-Rap1 bound to the silencer regions of both *HML* and the chromosome V *HMR*. Thus, the substitution of Reb1 for Rap1 was not associated with the whole-genome duplication. It is possible that the elevated substitution rate at silencers drives the diversification of transcription factor binding sites at silencers and silencer binding proteins [\(52\)](#page-15-2). It is curious that the Sir proteins themselves (with the exception of Sir2) are also rapidly evolving. Whatever the driver of this rapid evolution may be, the result is that hemiascomycete species have a variable repertoire of Sir proteins with differing numbers of Sir1 paralogs. Selection may be imposed on whichever set of protein-protein interactions results in the successful recruitment of the Sir2-Sir4

expression changes were filtered for genes that increased or decreased in expression more than 2-fold relative to the wild type and showed a false-discovery rate of 10%. For genes with orthologs in *S. cerevisiae*, the three-letter gene name is shown. Whole-genome duplicates are labeled with the names of both *S. cerevisiae* duplicates (e.g., "*RGI1*/*RGI2*" represents the pre-whole-genome-duplication ancestor of these two genes in *T. delbrueckii*). (C and D) Weighted Venn diagrams of overlapping genes increasing and decreasing, respectively, in expression relative to the wild type in each of the RNA mutants and the double mutant.

dimer (Sir2, being the catalytic component, is the member that can deacetylate H4K16Ac and ultimately repress the locus). Rapid protein evolution may have strengthened some protein-protein interactions and weakened others. Thus, species that require multiple Sir1 paralogs, like *S. bayanus* var. *uvarum*, may be those in which Rap1 or Sir3 is insufficient to stably recruit Sir2-Sir4. Species that lack a *SIR1* paralog entirely may be those in which silencer-bound proteins have evolved a higher affinity for the Sir2-Sir4 dimer, obviating the need for Sir1.

**Presence of Sc-Sir1 and Td-Kos3 at centromeres.** Heterochromatin is characteristically assembled at centromeres of eukaryotes, including *S. pombe*, yet in *Saccharomyces* and other organisms with point centromeres, heterochromatin is not found at centromeres, and no genes near centromeres were derepressed in *sir* mutants in *S. cerevisiae* or *T. delbruekii*. Earlier work established that the Sc-Sir1 protein of *S. cerevisiae* is present at some centromeres, where it contributes to proper chromosome segregation, along with the chromatin assembly factor (CAF) complex [\(36\)](#page-14-27). We found some enrichment of Sc-Sir1 at all but one centromere. All three Sir proteins in *T. delbrueckii* (Td-Kos3, Td-Sir2, and Td-Sir4) were found at all eight centromeres in the organism. In both species, the enrichment of IP reads over input for the centromere regions did not reach statistical significance, as assessed by MACS. However, MACS is designed to detect peaks created by an enrichment of IP reads relative to input at a particular genomic region, not peaks created by greater underenrichment in the input sample. Viewing the data in terms of IP over input clearly showed peaks at the centromeres. Unfortunately, we have been unable to express GFP in *T. delbrueckii* and hence were unable to use this established metric to evaluate whether these peaks represented biological or artifactual associations. One interpretation of these data is that Td-Kos3 in *T. delbruekii*, like Sc-Sir1, plays some conserved role in centromere function. Whether the other Sir proteins with a ChIP-Seq enrichment signal at a subset of centromeres represent some latent centromere function of these proteins, the vestigial presence of silencing proteins at centromeres, or a new class of ChIP-Seq artifacts awaits further study.

**Role of RNAi in** *T. delbrueckii***.** Our RNA-Seq data for *ago1* and *dcr1* mutants of *T. delbrueckii*revealed that*AGO1* and *DCR1* did not function in silencing at *HML*, *HMR*, or telomeres. Thus, if these proteins contribute to RNAi function in *T. delbrueckii*, RNAi must have a role other than in heterochromatin function. Of the 77 genes found to significantly change in expression across all candidate RNAi mutants,  $\sim$ 32% are genes of unknown function that have no ortholog in *S. cerevisiae*. Moreover, budding yeast *DCR1* is not directly orthologous to *S. pombe DCR1*, but rather, a duplicate of *RNT1* that encodes an RNase involved in the processing of rRNA transcripts [\(53\)](#page-15-3). Therefore, *DCR1* may have inherited a separate set of interaction partners and functional constraints from its *RNT1* ancestor and may be on a different evolutionary trajectory from *AGO1*. Additionally, the *AGO1* and *DCR1* genes of *N. castellii* that repress Ty elements are thought to mediate repression at the posttranscriptional level, not at the epigenetic level, via interactions with chromatin-modifying enzymes (such as histone deacetylases and demethylases). Furthermore, *Candida albicans DCR1*, an ortholog of both the *T. delbrueckii* and *N. castellii DCR1* genes, functions in rRNA and spliceosomal RNA processing, strengthening the possibility of an RNA-processing function for *T. delbrueckii DCR1* [\(54\)](#page-15-4). As of yet, there exists no evidence tying budding yeast RNAi genes to any chromatin factors

involved in the establishment or maintenance of heterochromatin, although there are many direct interactions between chromatin modifiers and *DCR1* and *AGO1* in *S. pombe* [\(12\)](#page-14-3).

Argonaute itself has had a complex evolutionary journey. Eukaryotic Argonaute proteins bind short RNA guide molecules to target transcripts. Prokaryotic Argonaute proteins, however, can bind DNA and may participate in genome defense against mobile elements [\(55\)](#page-15-5). Budding yeast Argonaute copurifies with small interfering RNAs generated by Dicer, which suggests that it functions like other eukaryotic Argonaute proteins [\(13\)](#page-14-4). However, other binding properties of budding yeast Argonaute have yet to be explored. Little overlap was observed in gene sets between  $a$ go1 $\Delta$  and *dcr1* $\Delta$  mutants; however, the 48 genes whose expression is altered only in the  $a\gamma\partial \Delta d\alpha$  double mutant imply that the two proteins may share overlapping functions. The overlapping functions must not be ones that the proteins carry out together; rather, based upon the unique phenotype of the double mutant, either must be able to contribute to the function in the absence of the other.

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