# REVIEW

# CAN FREE WATER TRANSPORT BE USED AS A CLINICAL PARAMETER FOR PERITONEAL FIBROSIS IN LONG-TERM PD PATIENTS?

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Sodium sieving in peritoneal dialysis (PD) occurs in a situation with high osmotically-driven ultrafiltration rates. This dilutional phenomenon is caused by free water transport through the water channel aquaporin-1. It has recently been described that encapsulating peritoneal fibrosis is associated with impaired free water transport, despite normal expression of aquaporin-1. In this review, it will be argued that free water transport can be used for assessment of fibrotic peritoneal alterations, due to the water-binding capacity of collagen. Finally, the consequences for clinical practice will be discussed.

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Nolph et al. were the first who described in 1969 the presence of a decrease in the peritoneal effluent Na<sup>+</sup> concentration during peritoneal dialysis (PD) exchanges of about 1 hour with a 7% glucose-based dialysis solution, as used for treatment of overhydration in virtually anuric patients (1). They named the phenomenon sodium sieving and postulated that this uncoupling of the transport of water and Na<sup>+</sup> was caused by peritoneal water transport without concomittant equal solute diffusion, because plasma Na<sup>+</sup> concentration showed an increase. They tried to explain this unexpected finding by hindrance of the peritoneal membrane to sodium diffusion.

Ten years later, this observation was confirmed by the same group in 4.25% glucose exchanges, as used in continuous ambulatory PD (CAPD) (2). The minimum dialysate

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Na+ concentration was found after about 60 minutes. The interpretation of sodium sieving in these days was that transperitoneal transport of Na<sup>+</sup> was hindered in the presence of high osmotically-induced fluid flows, but the possibility that some transcellular water transport might occur was also mentioned, albeit in one sentence. Probably as a consequence of the inability to give a good explanation for the observed discrepancy between peritoneal sodium and water transport, it tended to be forgotten and in the peritoneal equilibrium test (PET) developed by the same authors, a 2.27/2.25% glucose solution was advocated, thereby neglecting Na<sup>+</sup> kinetics (3).

Another 10 years later, Rippe *et al.* published the results of their peritoneal transport simulations in CAPD, showing that solute transport characteristics could be explained by assuming transport of small solutes through a large number of small interendothelial pores, in combination with a small quantity of large pores involved in peritoneal transport of macromolecules. In addition, the authors simulated water transport to occur through ultrasmall transcellular pores that were impermeable to solutes (4). They further refined their model of solute and fluid transport (5,6) and used this 3-pore model of peritoneal transport for a description of the transfer of fluid and solutes during PD (7).

In the same years that the assumption of ultrasmall, waterselective transcellular pores was made, Agre *et al.* discovered a 26 kD protein in the cell membrane of red blood cells (8), that later appeared to be a water channel (9). It was also found in renal proximal tubule cells. Shortly thereafter, this water channel appeared to be present in many non-fenestrated endothelia (10) and was called aquaporin-1 (AQP-1). Using immunochemistry, it was found that this protein also appeared to be present in the endothelial cells of peritoneal capillaries and venules (11–13). In 2006, a study in aquaporin (AQP)-1 knock-out mice proved that AQP-1 was the ultrasmall water selective pore of the 3-pore model, because these animals had no sodium sieving in the presence of otherwise normal

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peritoneal transport characteristics (14). It implies that a part of transendothelial fluid transport during PD is free water transport through AQP-1.

# **CLINICAL ASSESSMENT OF FREE WATER TRANSPORT**

The above mentioned studies assumed that AQP-1 is an archetypal water channel that is expressed constitutively and functions in the presence of an osmotic pressure gradient, as is the case for red blood cells. Its function can be temporarily inhibited by the administration of mercury chloride as has been shown in rats (15) and rabbits (16). This raised the question of whether impaired AQP-function could also be caused by endothelial damage as might occur in some long-term PD patients. This was already suggested in 1995 by an observation of 6 CAPD patients who developed otherwise unexplained ultrafiltration failure (UFF) and appeared to have no sodium sieving at all (17). A few years later, a similar patient was described with apparently impaired AQP-1 function, but with normal expression of the protein (18).

Based on these observations on the development of reduced free water transport, as summarized in Krediet *et al.* (19), the International Society for Peritoneal Dialysis (ISPD) published a guideline, stating the importance of assessment of sodium sieving in peritoneal function tests, like the PET (20). Therefore this "modified PET" should be performed with the most hypertonic dialysis solution (3.86/4.25% glucose) and a dialysate and plasma sample should be obtained after 60 minutes for assessment of the D/P Na<sup>+</sup> dip. Furthermore, the committee defined UFF by the 3x4 rule, meaning net ultrafiltration (UF) < 400 mL after a 4-h dwell with a 3.86/4.25% glucose solution.

Using the above methodology, Smit *et al.* found a lower dip of D/P Na<sup>+</sup> in long-term patients with UFF compared with a similar group without this complication (21), and also when compared to patients with early UF (22). However, the D/P ratio only provides a semiquantitative assessment of free water transport. Knowledge of the intraperitoneal volume after 60 minutes as well makes it possible to calculate sodium removal during this initial period of a dwell when UF has its maximum. Assuming that Na<sup>+</sup> only passes through the small pores makes it possible to calculate small pore fluid transport (SPFT) as the peritoneal Na<sup>+</sup> clearance. Free water transport is then quantitatively assessed as the difference between net UF and SPFT after 60 minutes. This suggestion by La Milia was subsequently employed in studies by Smit *et al.* (23) and by La Milia *et al.* who named it the "mini PET" (24).

Computer simulations indicated that water transport through AQP-1 could account for about 40% of total UF (4). In 4 cross-sectional studies with the La Milia method for FWT assessment, remarkably similar values were reported for FWT<sub>0-60 min</sub> (23–26). Medians or means ranged from 35 to 44%, but showed a large interindividual variability. Median/mean absolute values were 164 mL (23), 215 mL (24), 180 mL (25), and 152 mL (26). Free water transport was related to the P/D Na<sup>+</sup> dip. A relationship was present between FWT and SPFT in the majority of patients, but not in some with UFF (26). This relationship between FWT and SPFT makes sense because both are dependent on the osmotic gradient. Accordingly, both FWT and SPFT rates decreased with the duration of the dwell due to the decrease of the osmotic gradient, but the SPFT rate leveled off after 2 hours while the FWT rate showed a continuous decline (25).

# FLUID TRANSPORT IN ENCAPSULATING PERITONEAL SCLEROSIS

The duration of PD is the most important risk factor for the development of encapsulating peritoneal sclerosis (EPS), as shown in a number of studies (27,28). Peritoneal transport assessment has not been done frequently, but EPS is always associated with UFF and often, but not always, with fast transport of small solutes (29,30). Also, the presence of a reduced osmotic conductance to glucose has been described (31). The old finding that the potential to induce UF is especially impaired for the most hypertonic solution also points to a decrease in FWT (29).

The largest study on the time course of peritoneal transport in patients who eventually developed EPS was published in 2011 (32). It concerned 417 PD patients who were admitted to the PD program since 1995, and from whom the results of standard peritoneal permeability analyses with 3.86% glucose-based dialysate were available (32). Encapsulating peritoneal sclerosis developed in 12 of these patients (3%) after a median PD duration of 8 years. They were compared with the 21 patients who had late UFF, but no EPS, after a duration of 6 years, and 26 controls without UFF and a PD duration of 5.5 years. It appeared that small solute transport was lower in the normal UF group, compared to both UFF and EPS patients; no difference was present for peritoneal protein clearances, while net UF was similar in the UFF and EPS group. Further analysis of fluid transport in the 3 patient groups using receiver operating curves showed that only FWT was a predictor of EPS with an area under the receiver operating curve of 0.82. This value was markedly higher than for the mass transfer coefficient of creatinine, SPFT, the osmotic conductance to glucose, LpA (product of hydraulic permeability and surface area), the osmotic reflection coefficient and net UF (33). An absolute value of 75 mL/60 min had the best discriminative power with a sensitivity of 100% and a specificity of 81%, much better than when FWT was expressed as 45% or 35% of the total ultrafiltered volume (Lopes Barreto *et al.*, unpublished).

Recently, a study was published that confirmed the above discussed findings (34). The analysis was done in 234 PD patients, 6 of whom developed EPS (3%) after a mean period of 4.8 years. Although no free water transport was calculated, the authors found lower sodium sieving in EPS than in 28 controls matched for PD duration, but not divided for the presence or absence of UFF. The EPS patients had normal AQP-1 expression. Taking the studies by Sampimon *et al.* (33) and Morelle *et al.* (34) together now shows for the first time, in 19 EPS patients from different countries, that impaired FWT is the most important predictor of EPS, but that AQP-1 is unlikely to be the culprit.



Figure 1 — Left panel: the absence of a relationship between small pore fluid transport (SPFT) and the dialysate appearance rate of plasminogen activator inhibitor-1 (PAI-1); Right panel: the obvious negative correlation between free water transport (FWT) and the appearance rate of PAI-1. Calculated from data published in Lopes Barreto *et al.* (35).

#### THE TIME COURSE OF FREE WATER TRANSPORT

The results in EPS patients suggest that extensive peritoneal fibrosis is an important cause of impaired FWT. As peritoneal fibrosis develops with time on PD, it can be speculated whether the amount of FWT can be used as an approximation of peritoneal fibrosis severity. This contention is supported by the relationship that is present in PD patients between effluent markers of peritoneal fibrosis and FWT, as shown in Figure 1 (35).

Remarkably few longitudinal studies on FWT have been performed, probably because in most of these a PET with 2.27/2.5% glucose-based dialysate was used, despite the recommendations by the ISPD, published in 2000 (20). The prospective longitudinal single-center study by Coester et al. of 138 incident PD patients treated with conventional dialysis solutions for a maximum of 5 years showed that FWT remained stable during the first 4 years of treatment, but that it decreased after that time (36). This decrease was not present for patients treated with a "biocompatible" solution (unpublished). No correction was made for peritonitis incidence. In a subsequent study by the same group, a marked difference was found for FWT between patients with any episode of peritonitis and those who never suffered this complication (37). Patients with 1 or more peritonitis episodes showed a gradual decrease from the start of PD onwards.

Frequent peritonitis is associated with fibrotic peritoneal alterations (38). Even after the first peritonitis episode, discrete signs of peritoneal interstitial changes are present such as an increase of the restriction coefficient to macromolecules (39). This makes it conceivable that the decrease of FWT with the duration of PD can be explained by fibrotic alterations in peritoneal interstitial tissues. Also the reported beneficial effect of corticosteroid treatment on FWT in patients with a kidney transplant (40) may have nothing to do with AQP-1 function, but could have been caused by the well-known beneficial effect of these drugs on fibrotic processes.

# SODIUM SIEVING OR WATER SIEVING IN PERITONEAL FIBROSIS?

Here, a possible pathogenetic explanation will be discussed. Lumped models for peritoneal transport that consider the peritoneum as a single membrane similar to a hemodialysis one, cannot be used, because of the interstitial compartment. The addition of a fiber matrix to the 3-pore model has been used for estimations of effects of peritoneal fibrosis on the mass transfer area of glucose, but not for estimations of effects on FWT (41). Therefore, a simple qualitative explanation will be given. It is illustrated in Figure 2.

Fluid from the circulation is filtered during PD through small pores in the microvascular endothelial layer by hydrostatic and cristalloid pressure gradients, and water is filtered by cristalloid osmosis through AQP-1, which is especially localized in the capillary and venular walls. It is likely, as seen in computer simulations and from observations in newly started patients, who are unlikely to have marked peritoneal fibrosis, that the total endothelial filtrate consists for on average 60% of fluid that has passed through the small interendothelial pores, and for 40% through AQP-1. The 2 are likely to mix in the interstitial compartment. Assuming a plasma Na<sup>+</sup> concentration of 138 mmol/L, the Na<sup>+</sup> concentration in the filtrate after mixing will average 82.8 mmol/L. However, despite this, the interstitium is still hypertonic, because of the dialysate glucose, present in the peritoneal cavity, which probably diffuses freely into the interstitial compartment. When a patient is treated with 4-hour dwells of 3.86% glucose-based dialysate only, it can be calculated that the interstitial glucose concentration may average 125 mmol/L.

Collagen fibers bind water. Most research on this has been done with tissue from bovine paw tendons that are normally dehydrated. Hydration causes a linear expansion (42). At physiological levels of hydration, type 1 collagen fibers consist of about 30% collagen and 70% water by volume (42). *In vitro* experiments using gel filtration over a column packed with collagen 1 showed that small solutes like glucose pass without



Figure 2 — A schematic representation of the hypothesis on pathways of filtered capillary fluid and peritoneal fibrosis. Note that measurements of free water transport in drained effluent are not necessarily representative of capillary and venular aquaporin-1 function, because of the peritoneal interstitial barrier. FWT = free water transport; SPFT = small pore fluid transport; AQP-1 = aquaporin-1.

binding (43). This is in contrast to proteins; electrolytes were not investigated. The capacity of hydrated collagen 1 fibers to bind water in well-hydrated tissue like the peritoneal interstitium is not known, but may be substantial because of its hyperosmolality. Interstitial retention of water, but not of Na<sup>+</sup> would result in an increased Na<sup>+</sup> concentration in the filtered volume that reaches the peritoneal cavity, the location where the measurements of D/P ratios and FWT are performed. This would lead to the spurious conclusion of impaired FWT, while it only means interstitial water retention in collagen fibers. This assumption is only valid in the absence of interstitial sodium retention. An excessive intake of sodium leads to storage of osmotically inactive Na<sup>+</sup>, for instance in the extracellular matrix of the skin (44). It is unknown if such storage can also happen in the peritoneal tissues, but is not very likely, because the filtrate Na<sup>+</sup> concentration in the peritoneal interstitial compartment is lower than plasma Na<sup>+</sup>. It can be concluded that the absence of sodium sieving is replaced by water sieving in long-term UFF.

## **CONSEQUENCES FOR CLINICAL PRACTICE**

Assessment of peritoneal function should be done at regular time intervals in every PD patient, for instance once yearly. The PET in its present form only provides information on small solute transport and net UF, and these parameters can only quide prescriptions of the dialysis dose. They cannot be used to monitor long-term peritoneal alterations and the development of fibrosis and EPS. In the present review, it is argued that determination of FWT by sodium kinetics may be used for assessment of the severity of peritoneal fibrosis and the development of EPS. As both FWT and SPFT are dependent on the osmotic gradient, situations that influence this gradient in an early phase of PD, like inflammation and endothelial-to-mesenchymal transition of mesothelial cells (45), interpretation of the results will be difficult. However the development of peritoneal fibrosis takes some years, meaning that the predictive value of FWT may only become evident after more than 2 years of dialysis.

Two tests can be used in clinical practice. The Double Mini-PET is simple, but requires 2 tests (46), the Modified PET with temporary drainage after 60 min and final drainage after 240 min provides a combination for assessment of FWT and solute transport (47). This test has later been called the Twoin-one protocol of the modified PET (26). These tests are simple to perform and do not generate additional costs.

# DISCLOSURES

The authors have no financial conflicts of interest to declare.

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