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Mitochondria in Human Pluripotent Stem Cell Apoptosis

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Abstract

Human pluripotent stem cells (hPSCs) have great potential in regenerative medicine because they can differentiate into any cell type in the body. Genome integrity is vital for human development and for high fidelity passage of genetic information across generations through the germ line. To ensure genome stability, hPSCs maintain a lower rate of mutation than somatic cells and undergo rapid apoptosis in response to DNA damage and additional cell stresses. Furthermore, cellular metabolism and the cell cycle are also differentially regulated between cells in pluripotent and differentiated states and can aid in protecting hPSCs against DNA damage and damaged cell propagation. Despite these safeguards, clinical use of hPSC derivatives could be compromised by tumorigenic potential and possible malignant transformation from failed to differentiate cells. Since hPSCs and mature cells differentially respond to cell stress, it may be possible to specifically target undifferentiated cells for rapid apoptosis in mixed cell populations to enable safer use of hPSC-differentiated cells in patients.

Keywords

apoptosis; human pluripotent stem cells; p53; tumorigenicity

1. Introduction

New possibilities in regenerative medicine have been enabled by the production of human embryonic stem cells (hESCs) and the reprogramming of somatic cells into human induced pluripotent stem cells (hiPSCs) [1–4]. Both cell types classify as human pluripotent stem cells (hPSCs) and each can self-renew indefinitely in culture. hPSCs also have the potential

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to develop into all of the mature cells in our bodies, including the germ cells that pass genetic information on to our progeny. Therefore, protection against harmful DNA mutations and other heritable lesions is vital for propagation of our species. Consistent with this fidelity, hPSCs exhibit a low rate of DNA mutation due to enhanced mechanisms of DNA damage protection and repair [5–9]. In the event that irreparable DNA or other forms of heritable damage do occur, however, suboptimal hPSCs are prevented from replicating by sensitive and rapid apoptotic mechanisms [10–13]. hPSCs also uniquely regulate the cell cycle and cell cycle checkpoints to prevent damaged cells from further differentiation or cell division [14–16]. In this review we will examine and discuss the mechanisms employed by hPSCs to prevent the propagation of damaged cells, which centers on increased sensitivity to apoptosis triggers. Furthermore, teratoma formation in a rodent is the current gold standard test for hPSCs, but this occurrence also portends a potential tumorigenic threat for using hPSC derivative cells clinically [17]. An increased sensitivity to apoptotic stimuli for hPSCs could enable the selective removal of cells which fail to differentiate from a therapeutic cell population. Metabolic differences between hPSCs and their differentiated progeny are also substantial [18–23], potentially enabling metabolic selection against hPSCs in cell mixtures. Therefore, we also examine emerging methods for selective elimination of hPSCs that do not harm healthy populations of differentiated cells intended for cell therapies.

2. hPSCs show increased sensitivity to apoptosis

2.1 Mitochondria and BCL-2 family proteins in apoptosis

Apoptosis can be regulated by BCL-2 (B cell lymphoma-2) family proteins and their interactions with the mitochondrion. BCL-2 family proteins can be divided into three functional groups: pro-apoptotic effector proteins BAK (BCL-2 antagonist/killer) and BAX (BCL-2 associated X protein), a set of pro-apoptotic BH3 (BCL-2 homology domain 3)-only proteins, and a set of pro-survival proteins that include BCL-2 itself [24]. BAK and BAX can initiate the apoptosis cascade by induction of mitochondrial outer membrane permeabilization (MOMP) [25, 26]. BAK and BAX are activated through protein interactions that induce structural changes and their homo-oligomerization. Activation of BAX causes exposure of its N-terminal domain and insertion of its C-terminal domain into the mitochondrial outer membrane, which contrasts with BAK, which is localized to the mitochondrial outer membrane in both inactive and active conformations [27–29]. Homooligomerized BAK or BAX forms a pore that causes MOMP [25, 26, 30]. MOMP subsequently leads to the release of pro-apoptotic proteins in the mitochondrial intermembrane space (IMS), including cytochrome *c*, which activates caspase-9 protease in the cytosol [28, 31, 32]. In turn, caspase-9 activates additional effector caspases, unleashing a cascade of proteases that culminates in apoptotic cell death [24, 33, 34]. BAK and BAX have overlapping roles in apoptosis, but differ in their mechanisms of activation. *Bak* or *Bax* knockout mice have limited abnormalities, whereas a double knockout of both *Bak* and *Bax* is perinatal lethal due to defects in apoptosis induction [35]. In somatic cells, inactive BAX is located in the cytosol until an apoptotic stimulus causes BAX to interact with p53 or BH3 only proteins, activating BAX [27, 39–41]. BH3-only proteins can directly bind and activate BAK or BAX, or they can bind and neutralize pro-survival BCL-2 family member proteins. When pro-survival BCL-2 proteins are not bound by BH3-only proteins, they can bind

directly to activated BAK or BAX, inhibiting their pro-apoptotic activities [24]. Additional proteins can also interact with BCL-2 family member proteins to sensitize or deaden cellular responses to apoptosis induction [40, 42–44]. Therefore, the cellular apoptotic threshold is a complex balance between pro-survival and pro-apoptotic proteins and their interactions.

2.2 Differential roles of BAK and BAX

Some hESC lines show a unique pattern of BAX regulation during S-phase of the cell cycle, poising these lines for rapid apoptosis induction upon DNA damage. In these lines, during S-phase BAX is sequestered in the Golgi apparatus in its activated conformation, held away from the mitochondrion. Cell stress from DNA damage causes a rapid p53-dependent translocation of active BAX from the Golgi to the mitochondria by an unknown mechanism, causing apoptosis (Figure 1). By maintaining BAX in its active conformation in the Golgi, certain hESC lines bypass the BAX activation step and are 'primed' for a cell death response [11]. It is not yet known, however, how active BAX is localized to the Golgi and what holds it there prior to p53-dependent translocation to the mitochondrion. Active BAX forms homo-oligomers and pores activating MOMP [25] making it unclear whether active BAX also causes pore formation in the Golgi membrane stack and, if not, what prevents pore formation or oligomerization. Active BAX is detected by an antibody that recognizes its exposed N-terminal domain [11], but it is not known whether this antibody-detected conformational change is sufficient for BAX activity. The H1 hESC line lacks Golgilocalized active BAX but still exhibits hypersensitivity to apoptosis induction that is typical of other hPSC lines [10, 11, 45]. One interpretation of this data is that hPSC hypersensitivity to apoptosis may be independent of active BAX in the Golgi, or there may be additional mechanisms of hypersensitivity. In addition, whereas BAX has a key role in the apoptotic response of hPSCs to DNA damage, BAK is actually more important in response to other apoptotic insults and cell stresses. For example, the induction of rapid apoptosis in hPSCs by transcriptional inhibition using actinomycin D depends more on BAK than BAX [10]. Overall, some hPSC lines localize active BAX to the Golgi [11], but this unique localization is not required for apoptosis hypersensitivity in all hPSC lines, raising questions about its role in mitochondrial priming of hPSCs for apoptosis.

2.3 Enhanced mitochondrial priming in hPSCs

hPSCs also undergo rapid apoptosis with activation of the unfolded protein response and transcriptional inhibition [10, 12, 13]. These data strongly suggest that hPSCs are hypersensitive to multiple and perhaps all activators of mitochondrial-mediated apoptosis. The balance between pro- and anti-apoptotic BCL-2 family proteins controls the sensitivity of cells to mitochondrial-mediated apoptotic stimuli [46, 47]. hPSCs are reportedly in a high mitochondrial priming state in which an elevated ratio of pro-apoptotic to pro-survival BCL-2 proteins are present in comparison to their differentiated progeny (Figure 1) [12]. However, the mechanistic underpinning for how mitochondrial priming is differentially regulated between hPSCs and differentiated cells remains unclear. Increased expression of pro-apoptotic BCL-2 family proteins occurs with the reprogramming of fibroblasts to hiPSCs, which could increase mitochondrial priming [13, 48]. In addition, decreased expression of pro-survival BCL-2 itself has been reported in hESCs compared to

differentiated cell types [12, 48]. Finally, p53 can impact the activities of BCL-2 family proteins and MOMP, which is discussed next.

3. P53, DNA damage, and cell cycle regulation

3.1 P53 in hPSCs

The *P53* tumor suppressor is activated by cell stress in both pluripotent and differentiated cells [12, 49]. p53 is a key component of the DNA damage response through its roles as a transcription factor in the nucleus and through activation of BAK or BAX oligomerization at the mitochondria [40, 43, 44, 50–52]. The timing of induction of p53 target genes in response to DNA damage is similar in pluripotent and differentiated cells. When p53 is localized only to the cytoplasm, hPSCs can still undergo apoptosis induction without p53 transcriptional activity [12]. Therefore, both cytoplasmic and nuclear roles for p53 in apoptosis induction are functioning in hPSCs.

p53 protein stability is tightly regulated by the E3 ubiquitin ligase mouse double minute 2 homolog (MDM2), which triggers p53 degradation by mono-ubiquitination and degradation in the proteasome [53–55]. Disruption of a p53-MDM2 interaction in response to DNA damage is crucial for stabilizing p53 and its activities [53, 54]. In hPSCs, inhibition of MDM2 causes rapid p53 stabilization. Interestingly, inhibition of protein translation with cyclohexamide causes a fast proteasome-dependent decrease in p53 levels in hPSCs that does not occur in differentiated cells [10]. Therefore, p53 is unstable in hPSCs due to proteasome degradation. Additionally, deacetylation of p53, which promotes p53 degradation, is promoted by OCT4-dependent expression of SIRT1 [56]. Together the data suggest that hPSCs rapidly produce and degrade p53 in the absence of cellular stress, which enables quick p53 stabilization upon DNA damage or with other pro-apoptotic stimuli (Figure 1). Since p53 can directly regulate MOMP through interactions with BAK and BAX [40, 43, 44, 51], differences in p53 stability could account for differences in mitochondrial priming between hPSCs and differentiated cells. Contradicting this idea, mitochondrial priming in hPSCs was unaffected by p53 knockdown, suggesting that other mechanisms contribute to mitochondrial priming [12]. Overall, p53 has an early role in apoptosis for both hPSCs and differentiated cells, but differences in its turnover and stabilization rates increase apoptosis sensitivity for hPSCs with DNA damage.

3.2 Heightened DNA damage protection and repair in hPSCs

Compared to differentiated cells, hPSCs exhibit robust protection from DNA damage and heightened repair mechanisms [5, 8, 13, 58, 59]. For example, hPSCs have reduced DNAdamaging mitochondrial reactive oxygen species (ROS), with hiPSCs accumulating less nuclear and mitochondrial DNA damage when exposed to UV light or hydrogen peroxide compared to fibroblasts and most cancer cell lines [13, 60]. Glutathione (GSH), a key intracellular antioxidant, is present at higher steady-state levels in hPSCs than fibroblasts, likely from increased expression of many antioxidant and detoxification enzymes (Figure 2) [13, 59, 60]. Glutathione peroxidase-2 (GPX2), which prevents oxidative damage by reducing free peroxides, is highly expressed in hPSCs. GPX2 generates glutathione disulfide (GSSG), which can be reduced back to antioxidant GSH by glutathione reductase (GSR),

which is also highly expressed in hPSCs [13]. GSR, however, requires NADPH as a reducing agent cofactor for this reaction. Uncoupling protein 2 (UCP2), which is highly expressed in hPSCs, increases carbon flux through the pentose phosphate pathway (PPP), which produces NADPH (Figure 2) [18]. Nutrient metabolism also protects hPSCs from oxidative stress by favoring glycolysis over oxidative phosphorylation (OXPHOS) for ATP production, which results in lower ROS production from respiration [18, 60]. UCP2 appears well positioned to regulate these activities by reducing electron transport chain activity via transport of C-4 tricarboxylic acid cycle intermediate metabolites out of the mitochondria [18, 61].

Generally, hPSCs express DNA damage signaling and repair genes at higher levels than differentiated cells [5, 8, 59]. hiPSCs also have a more efficient DNA mismatch repair (MMR) pathway than fibroblasts due to higher expression of MMR proteins. Induction of a MMR-dependent response by alkylating DNA damage in hPSCs causes rapid p53 activation and apoptosis in the first cell cycle S-phase as contrasted with an arrest seen in the second cell cycle G2/M-phase after alkylating damage in somatic cell types [9]. Altogether, hPSCs limit DNA damage with reduced oxidative metabolism, high level antioxidant defense mechanisms, and robust DNA damage repair, but when these mechanisms fail, p53 is rapidly stabilized and hypersensitive apoptosis pathway activation is initiated.

3.3 Unique cell cycle regulation in hPSCs

hPSCs show an abbreviated cell cycle due to a shortened G1-phase and they undergo p21depedent cell cycle arrest in G2-phase with DNA damage [58, 62, 63]. As hPSCs differentiate, there is a concurrent p53-dependent increase in the duration of G1-phase [64]. Propagation of damaged hPSCs is also prevented by blocking differentiation during DNA replication and cell division, periods of the cell cycle with an increased probability for DNA damage. During G1-phase, hPSCs are most likely to differentiate, whereas maintained pluripotency is favored during replicative stress in S- and G2/M- phases of the cell cycle [14–16]. Interestingly, hPSCs selectively differentiate into endoderm during early G1-phase, whereas hPSCs preferentially differentiate into ectoderm during late G1-phase [16]. Promotion of pluripotency during DNA replication prevents cell fate changes and the propagation of cells with an increased probability of damaged DNA. Additionally, pluripotency maintenance during S-phase increases the probability of DNA damage repair and/or apoptosis, which are heightened responses in hPSCs.

4. Dissociation induced apoptosis in hPSCs

Single cell dissociation of hPSCs that normally grow in tightly packed colonies causes apoptosis, which can limit the use of techniques that require clonal isolation of hPSCs [1, 65]. To overcome this limitation, inhibition of Rho-associated kinase (ROCK) increases both hPSC survival after dissociation and cloning efficiency [66]. Single cell dissociation of hPSCs disrupts E-cadherin-mediated intercellular contact, resulting in immediate membrane blebbing by ROCK hyperactivation of myosin [67, 68]. Myosin activation contracts the actomyosin network and is required for dissociation-induced hPSC apoptosis [67–69]. Although dissociation-mediated apoptosis leads to MOMP and cytochrome *c* release from

mitochondria, the mechanism connecting actomyosin network contraction and MOMP initiation remains unknown [70].

5. Selective apoptosis of hPSCs in therapeutic cell mixtures

5.1 Tumorigenicity of hPSCs

A safety concern in developing hPSC derivatives for use in humans is their tumorigenic potential [17, 71]. Teratoma formation as the main test for pluripotency illustrates the inherent tumorigenic potential of hPSCs [1, 2]. Furthermore, despite increased protection from DNA damage, extended in vitro culture of hPSCs somewhat paradoxically causes the accumulation of genetic lesions [72–74]. The most prevalent karyotypic change for hPSC lines is trisomy 12, which increases hPSC proliferation rate and tumorigenic potential [72, 75–77]. In addition, hiPSCs can acquire genetic and epigenetic abnormalities during reprogramming to pluripotency [17, 78]. A comparison of teratoma formation between hESCs and hiPSCs revealed that hiPSCs form teratomas more efficiently and more quickly than hESCs [79]. Therefore, therapeutic applications of hPSC differentiated derivatives will require increased control over innate tumorigenicity and possible malignant transformation of failed to differentiate cells.

In vitro differentiation to desired cell types remains inefficient, with some percentage of undifferentiated cells persisting within the population of differentiated cells to be transferred into patients [80]. Failure to differentiate is a common feature for cancers that maintain a high proliferative capacity and a potential sign of concern for malignant transformation [81– 83]. Therefore, strategies to make differentiation close to 100% efficient, which currently seem unlikely, or to remove pluripotent residual cells while leaving healthy differentiated cells unharmed are of therapeutic interest. Current strategies include introduction of pluripotency-specific suicide genes [84–88], antibody-based enrichment or depletion of cell types [89, 90], lectin-targeted toxins [91], and selective induction of apoptosis by small molecule inhibitors that target hPSCs. Here, we examine small molecule inhibitors that may selectively remove hPSCs from heterogeneous cell populations. Many of these strategies take advantage of differences between hPSCs and differentiated cells, including apoptosis hypersensitivity and unique forms of cell metabolism.

5.2 Exploiting hPSC hypersensitivity to apoptosis

The balance of pro-apoptotic and pro-survival BCL-2 family proteins is different in pluripotent and differentiated cells, which makes strategies targeting this difference potentially useful for selective induction of apoptosis [12, 13]. Since many cancers upregulate pro-survival BCL-2 family proteins, inhibitors targeting these proteins are in development and could find use in regenerative medicine [24]. Also, non-BCL-2 family prosurvival proteins, such as BCL-10 and SURVIVIN, are more highly expressed in hESCs than in adult stem/progenitor cells. Since adult stem/progenitor cells express other prosurvival proteins, such as BCL-2, chemical inhibition of BCL-10 or SURVIVIN causes apoptosis of hESCs, but not these more differentiated adult stem/progenitor cells. Hence, pretreatment of mixed populations of hESCs and differentiated cells with SURVIVIN inhibitors has been shown to repress teratoma formation in mice [57].

As discussed in Section 3.3, there is differential regulation of the cell cycle between hPSCs and differentiated cells. Systematic depletion of key cell-cycle regulatory proteins revealed that inhibition of cyclin dependent kinase 1 (CDK1) activates apoptosis in hPSCs but not in differentiated cells. Selective apoptosis of hPSCs by CDK1 inhibition is mediated by a p53 dependent increase in NOXA, a BH3-only pro-apoptotic protein [92].

As the hypersensitivity of hPSCs to apoptosis becomes better understood, additional strategies for removing hPSCs from differentiated cell mixtures will likely be identified. Since p53 may have a key role in hPSC apoptosis, MDM2 inhibitors may provide an interesting approach. Strategies that increase oxidative stress or DNA damage could provide selective cell death for hPSCs but at a price that seems unacceptable as the potential for increased DNA damage in differentiated cell types also increases.

5.3 Metabolic selection against hPSCs

hPSCs produce most ATP by glycolysis and transition to OXPHOS for most ATP production during differentiation [93, 94]. Cardiomyocytes (CMs), for example, produce most energy using glucose, fatty acids, and lactate by OXPHOS [95]. By culturing hPSCs and CMs in a mixture in conditions lacking glucose but supplemented with lactate, a population of CMs can be purified that lacks teratoma forming cells [95]. However, many other differentiated cell types cannot uptake and metabolize lactate making this strategy specific for CMs.

A small molecule inhibitor that can selectively kill hPSCs is STF-31. There are conflicting reports that STF-31 kills hPSCs by inhibiting either glucose transporters [96] or nicotinamide phosphoribosyltransferase (NAMPT) [97]. Facilitated glucose transporters are abundant at the hPSC cell membrane, which led to the identification of STF-31 [96]. The timing of STF-31-induced hPSC death, however, is distinct from the kinetics of hPSC death caused by WZB117, a glucose transporter-1 inhibitor. These discrepant temporal results leave open the possibility that STF-31 is acting through a different mechanism. An alternative potential mechanism is through inhibition of NAMPT, which is part of the NAD⁺ salvage pathway. STF-31 treatment causes a decrease in NAD+ levels before it causes a decrease in glucose uptake. Apoptosis of hPSCs by STF-31 treatment can be rescued by supplementation of nicotinic acid, supporting inhibition of NAMPT as a mechanism for STF-31 killing of hPSCs [97].

A small molecule inhibitor screen with readout for selective killing of hPSCs yielded an inhibitor of stearoyl-coA desaturase (SCD1), which catalyzes the conversion of saturated fatty acids to monounsaturated fatty acids [98]. Treatment of hPSCs with the SCD1 inhibitor, PluriSIn #1, led to apoptosis after the induction of endoplasmic reticulum (ER) stress, which can be caused by saturated fatty acids [98–102]. PluriSIn #1 treatment of a mixed population of pluripotent and differentiated cells for 48 h prevented teratoma formation in mice [98]. SCD1 in hPSCs may prevent cellular damage and oxidative stress because adding the saturated fatty acid palmitate can cause apoptosis, ER stress, mitochondrial ROS, and mitochondrial DNA damage [101, 103].

5.4 Additional strategies for selective hPSC apoptosis

Mitochondria in hPSCs appear granular and spherical with morphologically immature inner membrane cristae [60, 104, 105]. Whereas there are many characterized differences in metabolism between pluripotent and differentiated cells, very little is known about the differential regulation of mitochondrial biogenesis, dynamics, morphology, and function between these cell states. MitoBloCK-6, an inhibitor of the mitochondrial redox protein Erv1/ALR, is toxic to hPSCs but has no effect on differentiated cells [106]. Erv1/ALR cooperates with Mia40 to import proteins into the mitochondrial IMS via a disulfide relay system with cytochrome *c* acting as the electron acceptor [107–109]. It remains unclear how hPSCs are specifically sensitive to MitoBloCK-6 treatment.

Tight junction protein claudin-6 (CLDN-6) is expressed specifically in hPSCs and not in differentiated cells. Although CLDN-6 is not required for hPSC survival and self-renewal, it can be targeted with *Clostridium perfringens* enterotoxin (CPE), which binds to six claudin family member proteins, including CLDN-6, to induce cell death. CPE treatment inhibits teratoma formation of hPSCs in mice. However, CPE also targets CLDN-3, CLDN-4, CLDN-7, CLDN-8 and CLDN-14, which are expressed in various epithelial and endoderm cells. CPE utility, therefore, is limited to cell mixtures with differentiated tissue types that lack expression of these claudin family proteins [90].

6. Concluding remarks

hPSCs exhibit multiple molecular mechanisms for maintaining genome integrity and preventing cellular and organismal damage. However, many of the details for these mechanisms remain to be discovered. Mitochondria, which are central to the apoptotic process, are morphologically and functionally distinct between pluripotent and differentiated cells. Very little, however, is known about how these morphological and functional differences impact apoptosis sensitivity. Additionally, cellular metabolism, which is known to influence apoptosis sensitivity in somatic cells, also differs between the pluripotent and differentiated states [18–23, 110].

Pluripotent stem cells and cancer cells share many features including indefinite self-renewal. Cancer cells, however, typically evolve mechanisms to avoid apoptosis despite significant DNA damage and other cell stresses [82]. By contrast, hPSCs are predisposed to apoptosis to maintain genome integrity. In many ways, including patterns of cell metabolism and proliferation, pluripotent stem cells and cancer cells resemble each other [111]. However, for programmed cell death, these cells seem to have diverged. Understanding how cell death mechanisms differ between cancer and pluripotent stem cells can potentially be leveraged to inform therapeutic developments for the benefit of both areas in human health and disease.

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Abbreviations

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Fig 1.

Mechanisms of hPSC hypersensitivity to apoptosis. hPSCs (top) and differentiated human cells (bottom) are shown before (left) and after (right) exposure to a cellular stress (yellow 'lightning bolt'). hPSCs initiate apoptosis (top right) in response to a stress, such as DNA damage, whereas differentiated cells may survive a similar stress (bottom right). Certain hPSC lines contain activated BAX (actBAX) located at the Golgi apparatus. DNA damage causes p53-dependent translocation of actBAX to the mitochondria to initiate MOMP and begin the apoptosis cascade. However, in differentiated cells BAX remains in the cytosol and is maintained in an inactive conformation (inBAX) through interactions with pro-

survival proteins (green circles) and requires activation by interactions with BH3-only proapoptotic proteins (red circles). hPSCs also show mitochondrial 'priming', indicating that the balance between pro-apoptotic and pro-survival mechanisms have been tipped to favor apoptosis compared to differentiated cells. Pro-apoptotic (red circles) and pro-survival (green circles) proteins depict mitochondrial 'priming' ratio in hPSCs (top left), in contrast to a more pro-survival protein ratio (bottom left) in differentiated cells. p53 is more rapidly degraded in hPSCs than in differentiated cells. Upon induction of apoptosis, hPSCs rapidly stabilize high concentrations of p53 which induces apoptosis through transcriptional and cytoplasmic/mitochondrial mechanisms of action.

Fig 2.

Elevated expression of antioxidant enzymes drive robust GSH production in hPSCs. hPSCs express high levels of enzymes involved in GSH metabolism, including GPX2 and GSR. GPX2 catalyzes the reduction of hydrogen peroxide to water via the oxidation of GSH to GSSG. To refresh the GSH pool, GSR reduces GSSG to GSH through the oxidation of NADPH to NADP⁺. hPSCs have a high carbon flux through the PPP and low OXPHOS, which is at least partially due to high expression of UCP2 in hPSCs. The PPP produces NADPH which fuels glutathione metabolism. Low OXPHOS limits the ROS produced by the electron transport chain (ETC) of the mitochondrial inner membrane.