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## Low-coverage exome sequencing screen in formalin-fixed paraffin-embedded tumors reveals evidence of exposure to carcinogenic aristolochic acid

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### Abstract

**Background**—Dietary exposure to cytotoxic and carcinogenic aristolochic acid (AA) causes severe nephropathy typically associated with urological cancers. Monitoring of AA exposure uses biomarkers such as aristolactam-DNA adducts, detected by mass spectrometry in the kidney

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### DISCLOSURE OF POTENTIAL CONFLICTS OF INTEREST

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cortex, or the somatic A>T transversion pattern characteristic of exposure to AA, as revealed by previous DNA sequencing studies using fresh frozen tumors.

**Methods**—Here we report a low-coverage whole-exome sequencing method (LC-WES) optimized for multi-sample detection of the AA mutational signature, and demonstrate its utility in 17 formalin-fixed paraffin-embedded urothelial tumors obtained from 15 patients with endemic nephropathy, an environmental form of aristolochic acid nephropathy.

**Results**—LC-WES identified the AA signature, alongside signatures of age and APOBEC enzyme activity, in 15 samples sequenced at the average per-base coverage of ~10x. Analysis at 3–9x coverage revealed the signature in 91% of the positive samples. The exome-wide distribution of the predominant A>T transversions exhibited a stochastic pattern whereas 83 cancer driver genes were enriched for recurrent non-synonymous A>T mutations. In two patients, pairs of tumors from different parts of the urinary tract, including the bladder, harbored overlapping mutation patterns, suggesting tumor dissemination via cell seeding.

**Conclusion**—LC-WES analysis of archived tumor tissues is a reliable method applicable to investigations of both the exposure to AA and its biologic effects in human carcinomas.

**Impact**—By detecting cancers associated with AA exposure in high-risk populations, LC-WES can support future molecular epidemiology studies and provide evidence-base for relevant preventive measures.

### Keywords

aristolochic acid; urothelial carcinoma; formalin-fixed paraffin-embedded tumors; whole-exome sequencing; mutational signature

## INTRODUCTION

The International Agency for Research on Cancer (IARC) classified aristolochic acid (AA) as a Group 1 carcinogen (1). Exposure to AA, following intake of *Aristolochia* herbaceous plants as traditional medicines or due to consumption of bread from flour contaminated by *Aristolochia* seeds, can lead to aristolochic acid nephropathy (AAN). AAN is a progressive tubulo-interstitial nephropathy with high risk of developing upper tract urothelial carcinoma (UTUC) (2–5). Additionally, recent studies proposed AA as a factor contributing to the development of hepatocellular (6–8), renal cell (9, 10) and urinary bladder carcinomas (11) and intrahepatic cholangiocarcinoma (12). Given this growing spectrum of AA-associated tumor types, AA exposure detection methods for screening of disease-risk populations are of key importance.

Following metabolic activation of AA, aristolactam (AL)-DNA adducts accumulate in the proximal tubules of the renal cortex and are used as biomarker of exposure (4, 13, 14). AL-DNA adducts may persist for over 20 years after the exposure had ceased (15, 16) and can be measured by <sup>32</sup>P-postlabelling (14, 17) or by ultra-performance-liquid chromatography-electrospray ionization-multistage scan mass spectrometry (UPLC-ESI-MS/MS<sup>n</sup>), both applicable to formalin-fixed paraffin-embedded (FFPE) tissues (16, 18, 19). However,

the  $^{32}\text{P}$ -postlabeling method lacks specificity, and access to the UPLC-ESI-MS/MS<sup>n</sup> methodology and its optimization for biomaterial of low quantity are limiting factors.

DNA sequencing established a characteristic AA mutational signature marked by accumulation of A>T transversions within the 5'-Pyr-A-Pur-3' sequence context (enriched for 5'-CpApG-3'), preferentially located on the non-transcribed strand (8–10, 20, 21). In cancers not associated with AA, such A>T transversions are infrequent (22, 23).

We exploited the unique features of the AA mutational signature to devise a sensitive method for AA exposure detection, based on low-coverage whole-exome sequencing (LC-WES, at approximately 10x in contrast with the conventional 100x coverage), optimized for analysis of tumor-specific DNA of limited quantity and integrity extracted from archived FFPE tissues. The studied urothelial tumor samples originated from a well-characterized population residing in the endemic nephropathy (EN) regions of Croatia and Bosnia and Herzegovina (13), with EN being thus far the only recognized environmental form of AAN (4, 24). For the first time, we report in the urothelial tumors of EN patients the genome-wide signatures of AA, age and APOBEC cytidine deaminase activity, thereby extending previous mutational analyses of this population based solely on the mutations of the TP53 tumor suppressor gene (4, 13, 25). In addition, we demonstrate the ability of LC-WES to elucidate the impact of the AA mutation spectra on key homeostatic biological pathways and to reveal possible mechanisms of tumor dissemination along the urinary tract.

## MATERIALS AND METHODS

### Patients and tumor samples

Exposure to AA was investigated in 15 patients with urothelial tumors, diagnosed with EN following established criteria (13, 26). As controls, UTUC samples were obtained from 4 patients from a metropolitan area of the United States, unlikely exposed to AA. All specimens were FFPE-converted in the histopathologic laboratories of the participating centers. The involved anatomical sites were renal pelvis, ureter and bladder (ICD-10 codes C65, C66 and C67, respectively). Clinicopathological features and *Aristolochia* exposure history are listed in Supplementary Table S1. The study protocols included patients' informed consent and were approved by the IARC Ethics Committee and the Institutional Review Boards of the participating institutions.

### DNA isolation from paraffin sections

Hematoxylin-eosin preparations of the paraffin block sections were used to identify tumor tissue free of necrotic areas. The tumor cell areas were measured by ImageJ software (27). Ten (10)  $\mu\text{m}$  sections, cut with the Leica RM 2145 microtome (Leica Microsystems), were used to macrodissect the tumor-enriched areas and isolate genomic DNA yielding 1–2  $\mu\text{g}$  (5–10  $\text{ng}/\text{mm}^2$ ) per sample. Prior to DNA isolation, slides were deparaffinized for 5 minutes (min) in 100% xylene, kept for 5 min in absolute ethanol, 5 min in 85% ethanol, 5 min in 75% ethanol and stored in milliQ water. DNA isolation was done using QIAamp DNA FFPE Tissue kit (Qiagen) following the manufacturer's protocol. DNA yields and concentrations were measured using the Picogreen assay (LifeTechnologies) and Fluoroskan

Ascent FL microplate fluorometer (Thermo Fisher Scientific). DNA purity was evaluated by the NanoDrop 8000 spectrophotometer (Thermo Fisher Scientific), and DNA integrity assessed by 0.8% agarose gel electrophoresis.

### AL-DNA adduct analysis and *TP53* resequencing

DNA was isolated from the renal cortex and tumor tissues by standard phenol-chloroform extraction techniques. The level of AL-DNA adducts in the renal cortex DNA (10–20 µg) was determined using <sup>32</sup>P-postlabeling polyacrylamide gel electrophoresis, as previously described (13). The *TP53*-specific mutations were identified using the AmpliChip p53 Research Test (Roche Molecular Diagnostics, Pleasanton, CA), sensitively detecting all single base-pair substitutions and single-base deletions (13).

### WES library preparation, exome capture and sequencing

Two hundred-fifty (250) ng of genomic DNA were sheared by adaptive focused acoustics™ method (Covaris, Inc.) to obtain ~300 bp fragments, with water temperature of 4°C, one cycle at 175 Watt peak power, duty factor 10 and 200 cycles per burst. Resulting fragment size was assessed using the 2100 Bioanalyzer and High Sensitivity DNA kit (Agilent Technologies). The sheared DNA was converted into libraries using the Kapa LTP Library Preparation Kit (Kapa Biosystems). Briefly, the fragmented DNA was subjected to end repair reaction followed by poly-A-tailing and adapter ligation, excess adapters removed by Agencourt AMPure XP beads (Beckman Coulter). Eight cycles of PCR were performed to amplify the libraries with correct adapters on both ends. Four libraries (250 ng each) were pooled per exome capture with the Nimblegen SeqCap EZ Exome reagent. Exome-enriched mixes were PCR-amplified in 10 cycles, post-enrichment libraries pooled in 420 µl of water to a final concentration of 6 pM. This volume was divided and loaded in two lanes of the rapid run mode flow cell for cluster generation and sequencing on the HiSeq2500, in a paired-end 50 bp cycle run. Multiplexing 16 samples per run resulted in the target coverage of ~10x.

Four additional EN UTUC samples and two UTUC samples from the metropolitan United States were analyzed in a validation assay using the SOLiD 5500XL sequencer (Life Technologies). See Supplementary Methods for details.

Raw HiSeq2500 sequencing data were deposited to the Sequence Read Archive (SRA) of the National Center for Biotechnology Information (NCBI) repository (ID SRP042035) to become available from the NCBI's dbGaP database. The annotated list of single-base substitutions (HiSeq2500 data) is provided in Supplementary Table S2.

### Sequencing data analysis

FastQ reads were aligned to the human genome (hg19) using Burrows-Wheeler Aligner. Realignment and base quality score recalibration was done by the Genome Analysis Toolkit (GATK) and the duplicate-read removal by Picard. GATK HaplotypeCaller was used to call variants subsequently annotated on the RefSeq Gene transcript contents by ANNOVAR (28). Polymorphisms present in normal population and removed from our data originated from these collections: 1000 genomes (1000g, <http://www.1000genomes.org/>), Exome

Sequencing Project (ESP, <http://exome.gs.washington.edu/>) and the SNP database build 137 (dbSNP, <http://www.ncbi.nlm.nih.gov/SNP/>). We removed variants with frequency above 0.1% in either the 1000g or ESP databases, or annotated in the dbSNP database, or present in a custom germline variant catalog built from 560 cases from The Cancer Genome Atlas (TCGA, <http://cancergenome.nih.gov/>). Variants mapping to repetitive sequences contained in the genomic segmental duplication database (29) alongside variants with >90% homology with multiple regions were excluded. R functions were developed to compute the mutation type distributions and strand bias. The strand bias significance was determined by Pearson  $\chi^2$  test. These tertiary analysis parameters were computed in two separate coverage ranges, 3x with no defined maximum, and between 3–9x to emulate ultra-low coverage.

### Mutational signature analysis using non-negative matrix factorization (NMF)

NMF decomposes mutational patterns based on factorization of one matrix ( $n \times m$ ) in two matrices  $W$  ( $n \times r$ ) and  $H$  ( $r \times m$ ) with the constraint that all three matrices must be composed of non-negative elements (30). The  $r$  is the rank of factors to be extracted from the input matrix, corresponding to the number of signatures. The input matrix contained one column per patient (only HiSeq2500 data considered) and in rows the frequency of mutations types in 96 possible two-base sequence contexts. The R package NMF (31) was used to extract mutational signatures. The correlation between the extracted signatures and previously published ones (7, 21, 22, 32) and/or available in COSMIC (23) was computed as the inner product of the two signatures (vectors) divided by the product of their norms.

### Functional analysis of tumor-specific non-synonymous mutations

To examine the biological impact of the gene mutants in the AA signature-positive samples, analysis was performed using the DAVID tool (33), with two input gene lists: 1) genes harboring non-synonymous (missense, stop-gain or stop-loss) SBS and 2) genes non-synonymously mutated in the EN data set and in AA signature-positive samples from at least one of the two published datasets on UTUC in Taiwanese patients (8, 21). The list was further narrowed by classifying the mutated genes as established oncogenes or tumor suppressors listed by the Gene Set Enrichment Analysis (GSEA) database (34) and/or a cancer driver genes defined by recent seminal studies (35–39).

## RESULTS AND DISCUSSION

### Low-coverage detection of AA exposure signature in urothelial tumors of EN patients

We applied HiSeq2500 LC-WES to genomic DNAs isolated from FFPE urothelial tumors from 11 EN cases, two of whom had concurrent UTUC and bladder carcinoma, and from two US patients providing non-EN control samples (13 patients and 15 tumors in total, see Supplementary Table S1). Features of AA signature had been described earlier, as follows: mutational load of ~40 SBS or ~10 A>T in exonic positions, high proportion of A>T (>35% of all SBS types or as the predominant type) with a strand bias of ~1.25, and ~33% enrichment of A>T in the 5'-(C/T)pApG-3' sequence context (8, 21). We used analogous criteria for the AA signature (~50 SBS per sample of which ~15% are A>T SBS, of which ~20% are in the 5'-CpApG-3' context), applying more stringent statistical analysis of the strand bias ratio combined with a cut-off of ~1.5 (9, 11). Under these criteria the AA

signature was readily observed in 10 of the 13 analyzed EN tumor samples, with 33–77% of A>T transversions per sample (Fig. 1), a non-transcribed strand bias of 2.0–3.3 and the 5'-C<sub>G</sub>-3' context enrichment above 19% (mean 24.6%, SD=4.9, range of 19.1–27.4%) (Table 1). In contrast, A>T mutations and their enrichment in the 5'-CpApG-3' context are generally low in cancers of non-AA etiology, based on our analysis of 7,160 tumors of 52 cancer types in the COSMIC database (average 5.8% A>T, range 0–12.1%, of which 10% are in the 5'-CpApG-3' context). Similarly, the average percentage of A>T in the 5'-CpApG-3' context in TCGA urothelial carcinoma data (only bladder data available) is 10.8% (0–50%), while the mean percentage of all A>T mutations is low (average of 3.9%, range 0.8–8.3%).

A weaker signature marked by 18.7% A>T, strand bias of 2.1 and the 5'-CpApG-3' context proportion of 12.5% was observed in the bladder tumor sample (EN-01-B) of a patient with a concurrent AA signature-positive UTUC (EN-01-RP, see Table 1 and Supplementary Table S1). Two EN samples (EN-06 and EN-07) and the two non-EN controls were found negative for the AA signature, with A>T transversions present at 4–8%. In the case of EN-07 (bladder carcinoma with no history of UTUC), despite the presence of AL-DNA adducts in the patient's renal cortex, the mutation profile (Fig. 1) suggested AA-unrelated etiology. Among the AA signature-positive samples, we detected an average of 1,142 (range 349–2,707) mutations per tumor (~18 SBS per sample per exome megabase [Mb]) whereas the mutation rate in the control and negative samples (including the weaker AA-signature bladder cancer) was on average 357 (range 258–440) mutations per sample (~6 per sample per exome Mb). As shown in Table 1, the predominant A>T transversions substantially contributed to the high SBS counts.

LC-WES analysis of the EN UTUC thus generates results consistent with previous reports on the highly mutagenic potential of AA (8, 21, 40) and our results justify the use of exome sequencing for reliable detection of exposure to AA in archived FFPE material.

### LC-WES identifies AA signature at ultra-low coverage

We next investigated whether the AA signature can be identified at ultra-low coverage. Upon considering 3–9 non-duplicate per-base reads, mutation counts in the AA-associated samples decreased to 233 per tumor on average (~4 per sample per exome Mb) and to average 67 per tumor (1 per sample per exome Mb) in the negative samples and the weakly positive bladder tumor (EN-01-B). The 10 tumors shown in Fig. 1 (two top rows) still exhibited the AA signature at ultra-low coverage (Supplementary Fig. S1), with the strand bias ratios between 1.7–4.7, and retained prominent enrichment of the 5'-CpApG-3' context (>25%). Thus, the specific and unique features of the AA signature can be reliably detected in FFPE tumor samples by superficial coverage sequencing.

These results open an attractive opportunity for retrospective analyses of archived pathological specimens from the regions of AA exposure risk. In comparison with the <sup>32</sup>P-post-labelling and mass spectrometry adduct detection techniques, the LC-WES approach is based on a commodity technology that generates genome-wide information. LC-WES is also very sensitive, using low input DNA amounts (250 ng compared to 5–10 µg required for adduct analysis). Finally, it can indicate exposure to AA when neither AL-DNA adducts nor

mutations in *TP53* are detected, as we demonstrate for the AA signature-positive cases EN-01, EN-03, EN-04 and EN-11 (Fig. 1 and Supplementary Table S1).

### AA-associated urothelial tumors harbor three major mutational signatures

NMF extracts individual mutational signatures from complex alteration patterns observed in primary tumors, reflecting thus the specific effects of etiological factors (7, 9, 22, 41). NMF was used to describe the AA signature in human UTUC, bladder, liver and renal carcinomas (7, 9, 11) and in experimental *in vitro* system designed to model mutational signatures of carcinogens (32). Here, in the EN urothelial tumors, the NMF approach identified three distinct signatures, the AA-specific signature (Signature 22) (42), the signature related to age (C:G>T:A in the 5'-XpCpG-3' context, Signature 1) (22), and the Signatures 2 and/or 13 associated with the cytidine deaminase activity of the APOBEC enzymes (22) (Fig. 2). All three signatures are currently listed in the COSMIC database (23). Furthermore, NMF aided in classifying the EN-01-B bladder tumor as positive due to non-negligible sample contribution to the AA signature (18%), in contrast with the negative samples (EN-06-RP and EN-07-B, with contributions of 0% for both) and non-EN controls (each 0% contribution, see Table 1 and Fig. 2B). The identified EN UTUC AA signature correlated highly (>90%) with the COSMIC Signature 22 (23), derived from AA-associated primary UTUC tumors from Taiwanese patients (8, 21), and with the AA signature modeled *in vitro* (32) (Fig. 2C). The other EN tumor signatures matched their COSMIC counterparts with 72% similarity (Age) and 70% and 64% similarity (APOBEC, Signature 2 and 13, respectively) (Fig. 2C).

### Validation of the LC-WES performance on a distinct sequencing platform

To validate the LC-WES performance using another sequencing chemistry and platform, we analyzed four additional EN UTUCs positive for AL-DNA adducts and p53 A>T mutations (EN-12, EN-13, EN-14, EN-15), and two control UTUCs from US patients (Non-EN-03 and Non-EN-04), on the SOLiD 5500x1 sequencer. At the average 14.5x coverage we observed the AA signature in all EN samples, although in samples EN-13-RP and EN-14-RP the A>T transversion was the second most abundant mutation type following C>T (see Supplementary Fig. S2A). The signature remained detectable at ultra-low coverage (~4.6x), when considering only the 3–9 read interval (Supplementary Fig. S2B).

### Chromosomal distribution of the AA-specific mutations and recurrently mutated cancer driver genes

In the A>T enriched samples, A>T transversions were randomly distributed along the sequenced regions, with linear correlation between A>T SBS counts and chromosome size ( $R^2=0.9$ ) (Fig. 3A). Similar correlation was maintained in the minimum coverage interval of 3–9x (data not shown). This result was confirmed by the analysis of the Taiwan UTUCs (8, 21) in which a similar although less linear trend was observed ( $R^2=0.61-0.64$ ). These findings suggest a stochastic A>T mutation distribution within the gene/transcription units represented by the exome.

Despite this apparently random pattern, we identified 83 cancer driver genes carrying protein sequence-altering A>T SBS, that were recurrently mutated across the three datasets

of the AA signature-positive tumor samples (this study, n=10, and the two previously reported Taiwanese sets of n=18 (21) and n=9 (8)). These findings are summarized in Fig. 3B and in Supplementary Table S4. The recurrently mutated genes included numerous known drivers and chromatin-associated factors such as *TP53*, *ARID1B*, *ATRX*, *CREBBP*, *CHD2*, *CHD5*, *CHD8*, *FAT1*, *KDM6A*, *MLL2 (KMT2D)*, *SETBP1*, *TRRAP*. *TP53* was the most frequently mutated gene (17 of 37 [46%] samples) with all its mutations being A>T transversions. Fifteen samples exhibited mutations in the histone methyl-transferase *KMT2D (MLL2)*, with varying SBS types, suggesting secondary mutation processes possibly linked due to high mutational loads and increased genomic instability. Further systematic investigations should be undertaken to establish possible recurrent alterations in particular genes and pathways in UTUC across studies of different populations/geographical areas. For instance, data in Fig. 3 and in Supplementary Table S3 indicate that *TP53*, *CREBBP* and *LRRK2* are mutated mostly in the Taiwanese samples whereas mutations in the *AHNAK*, *ATRX*, *SMCHD1* and *XIRP2* genes are enriched in the EN UTUC samples. Other factors contributing to these differences merit further investigations, including varying modes of AA exposure (low-dose chronic intake in the EN regions as compared to higher-dose, (sub)acute exposures resulting from the use of traditional herbal medicines in Asia) and disease susceptibility due to the patients' genetic background.

### Biological impact of the AA-signature

Using NIH DAVID, we performed Gene Ontology (GO) and KEGG pathway analyses of the genes harboring non-synonymous A>T mutations in the AA-signature positive samples analyzed by HiSeq2500 (n=10). We identified gene targets from the functional classes of cell adhesion, cell-matrix contact, cell migration, cell cycle, cell signaling (MAPKKK/RAS and PI3K cascades, mTOR pathway), pathways of WNT, insulin and ERBB signaling, nucleotide excision repair, and the DNA-dependent ATPase and helicase activity, chromatin modification and histone binding related to gene expression regulation, with dozens to hundreds of mutated genes per category (Supplementary Table S3). This observation suggests massive deregulation and/or destabilization of key homeostatic pathways by the high A>T mutation loads.

Next, for the 83 recurrently mutated cancer genes (Fig. 3B and Supplementary Table S4) we observed enrichment of GO and KEGG categories related to regulation of transcription, chromatin/histone modification, and categories of DNA damage response and DNA repair (Supplementary Table S5). These included numerous previously established cancer driver genes (*TP53*, *AHNAK*, *ARID1B*, *ATRX*, *BLM*, *CHD2*, *CHD5*, *CHD8*, *CHD9*, *CHEK2*, *CLTC*, *ERBB4*, *FN1*, *HUWE1*, *IARS2*, *KALRN*, *LRRK2*, *MLL2*, *NEB*, *RXRA*, *SMCHD1*, *SPEG*, *STAG2*, *SYNE1*, *TRIO* (35–39)). Thus, the LC-WES analysis of AA-exposed urothelial tumors and associated data mining can reveal biological information contents, particularly upon meta-analysis with data from different populations characterized by identical etiology and tumor types.

### Overlapping mutation patterns in distinct tumors from same patients

Two EN patients had synchronous urothelial tumors in distinct anatomical sites (renal pelvis and bladder, samples EN-01-RP and EN-01-B; and renal pelvis and ureter, samples EN-02-



RP and EN-02-U). By using LC-WES, we investigated the common genetic origins of these synchronous tumor pairs. In patient EN-01, the overlapping SBS were enriched for C>T mutations (42%) followed by A>G (20%), and only 7.7% of the overlapping SBS were A>T transversions affecting the coding sequence of mere 3 non-cancer genes (*VWA3B*, *KDM3B* and *ACIN1*). However, the A>T SBS were enriched among the mutations unique to the renal pelvis and to the bladder tumor (77% and 28%, respectively, Supplementary Fig. S3A), suggestive of a common precursor carrying mainly non-A>T driver mutations, giving rise to two tumor progenies subsequently accumulating distinct patterns of A>T alterations in either anatomical site. The distinct AA signature in the bladder tumor is in keeping with a recent study of Asian bladder cancer patients in whose tumors the AA signature manifested without the involvement of upper tract or a history of renal disease (11). In contrast, the tumors in the renal pelvis and ureter of patient EN-02 shared the majority of mutations contributing to a prominent AA signature, suggesting a common precursor carrying mostly A>T alterations (Supplementary Fig. S3B). This genetic relationship between same-patient tumors suggests cell seeding along the tract as the basis for tumor dissemination. However, further investigations of a larger multiple-tumor case series and with the use of deep sequencing is needed to further elucidate the exact mechanisms of multifocal and recurrent tumorigenesis in the urinary tract of AA-exposed patients.

In summary, we report successful detection of the genome-wide AA signature in urothelial tumors of EN patients, using archived FFPE specimens and a customized low-coverage exome sequencing. The described technique is a cost-effective screening tool potentially applicable to molecular epidemiology studies aiming at identifying cancers associated with AA exposure. This ability of the LC-WES and its applicability to archived biomaterial may be exploited in future systematic studies on AAN and associated cancers, in support of established or future disease prevention programs.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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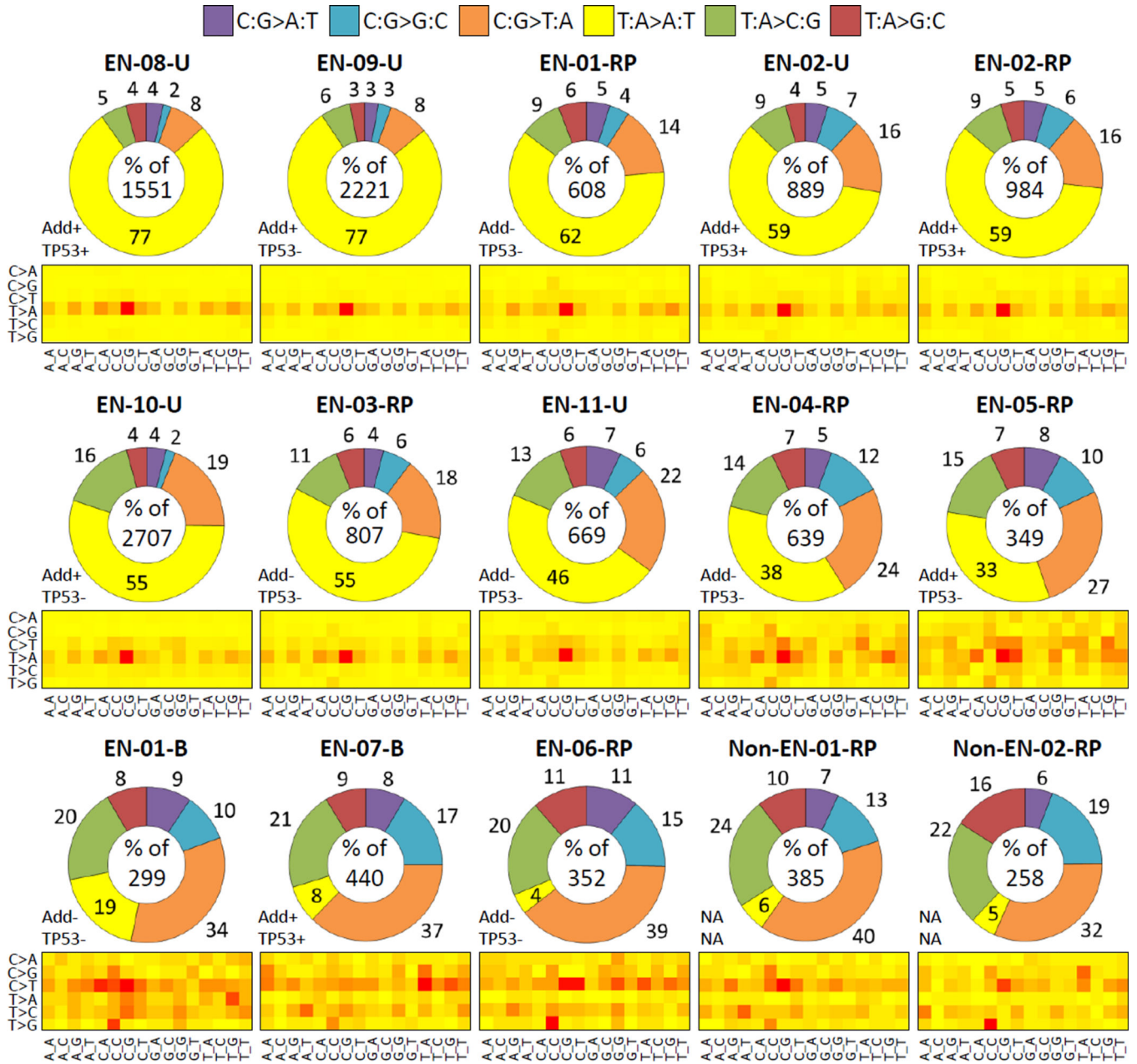
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**Figure 1. SBS alterations in urothelial tumors analyzed by LC-WES**

The distribution of six SBS types and their trinucleotide context are shown for variants detected at 3x per-base coverage. The doughnut charts correspond to individual samples (sample ID on top), ordered from high to low percentage of A>T. Total SBS counts per sample are provided in the center of each graph. The numbers outside the chart sections denote each mutation type percentage. The suffix -B, -RP and -U stands for bladder, renal pelvis and ureter, respectively. Add+/- = sample positive or negative for aristolactam-DNA adducts; TP53+/- = mutated (+) or wild-type (-) TP53 gene. The heat-maps summarize relative frequencies of the six mutation types (C>A stands for C:G>A:T etc.) across the 16

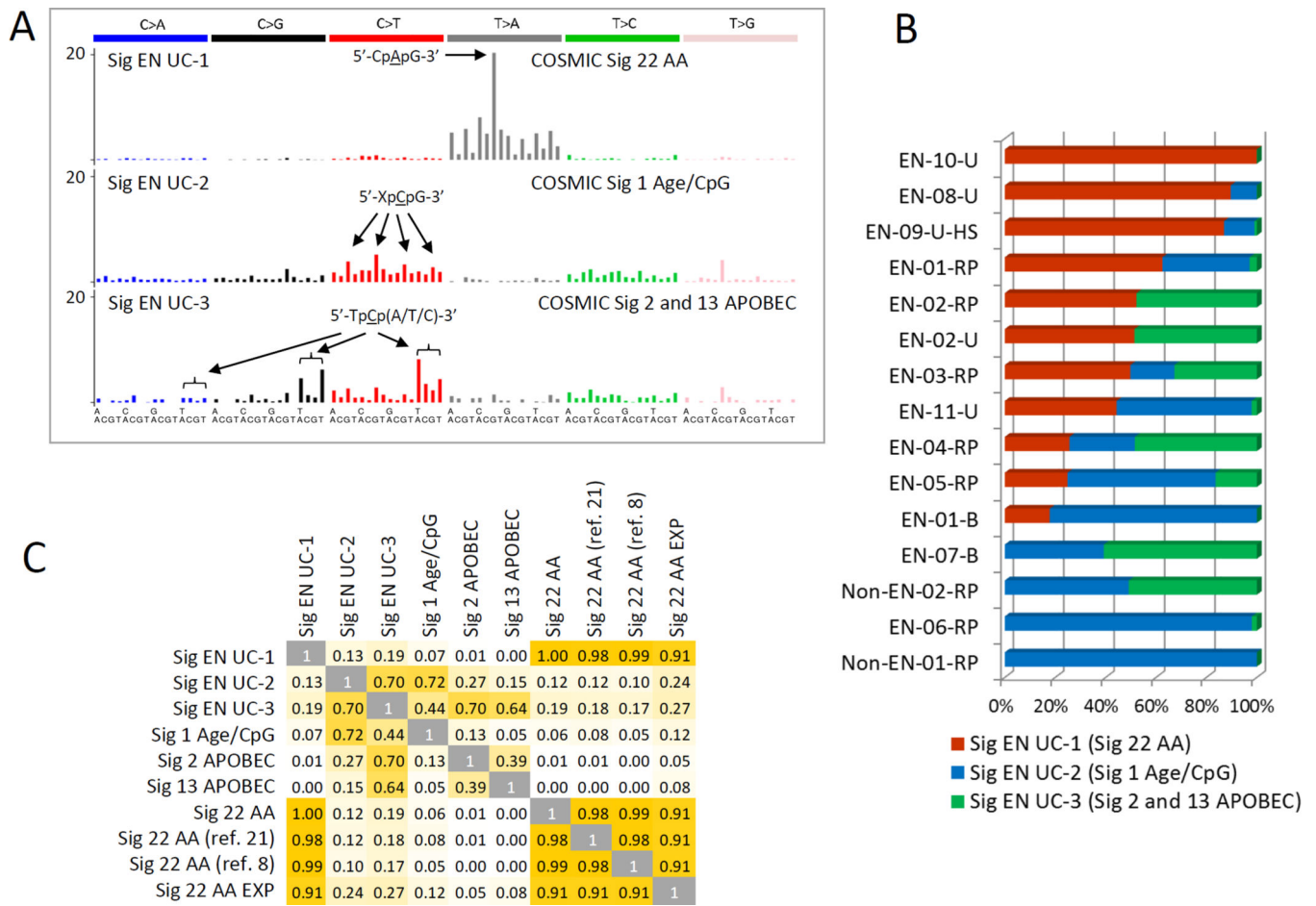
possible trinucleotide contexts listed at the bottom. Red=high frequency, yellow=low frequency.

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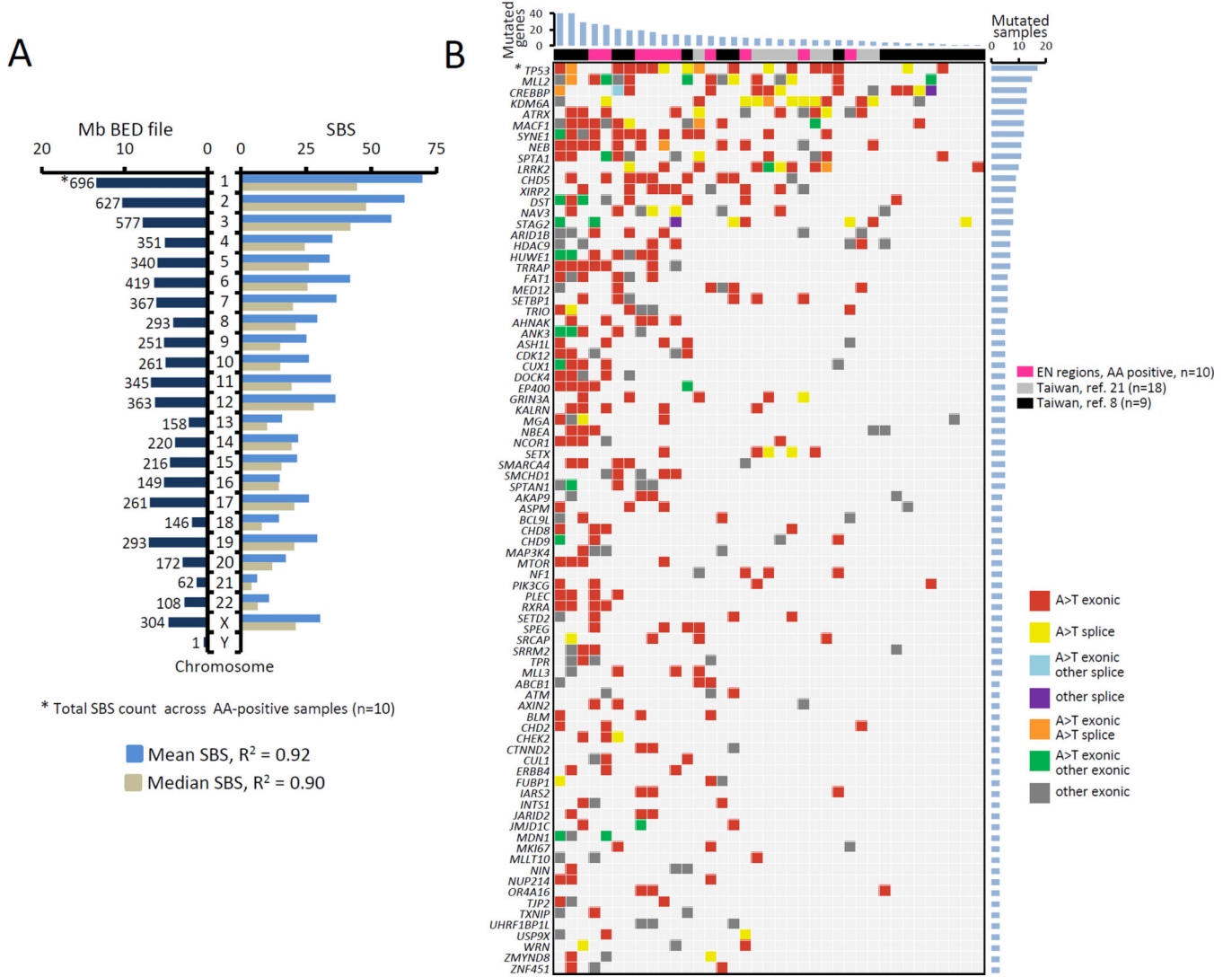
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**Figure 2. Mutational signatures determined by NMF**

Results are shown for urothelial carcinoma samples sequenced on HiSeq2500. **A)** Contribution of each mutation type to signatures of AA, age/CpG and APOBEC. The x-axis represents the trinucleotide sequence contexts, with the 5'-flank base in the first row and the 3'-flank in the second. **B)** Contributions of the studied urothelial tumors to the individual signatures shown in A. **C)** Correlation between NMF-identified EN sample (EN UC) signatures and previously described COSMIC Signatures 1, 2/13 and 22 (22), signature 22 identified in Taiwan UTUC samples (8, 21), and signature 22 AA Exp, modeled experimentally *in vitro* (32).



**Figure 3. Distribution of A>T mutations and their impact on cancer driver genes**

A) Correlation (squared Pearson product-moment correlation coefficient  $R^2$ ) between the mean (light blue) and median (gray) values of A>T SBS counts per chromosome and the chromosome size in Mb (dark blue, left side). Variants based on 3 unique reads were considered. B) Meta-analysis of recurrently mutated genes in AA-associated UTUC. Genes with non-synonymous SBS variants identified in this study were compared with gene mutants found by two previously published AAN-UTUC data sets from Taiwan (8, 21). \* = TP53 mutations combine results from the AmpliChip and LC-WES analyses. The list of recurrently mutated genes was narrowed down to cancer driver genes only, as described in Materials and Methods. See also Supplementary Table S4 for detailed annotation of these mutations.



Summary of the SBS detected at 3x per-base coverage and of the AA-signature analysis results

Table 1

Case ID	Total SBS	SBS per Mbp	A>T SBS	A>T per Mbp	A>T (%)	CAG context (%)	SB A>T ratio (NTr/Tr)	<sup>a</sup> SB P value	SB FDR Q value	Contribution to Sig 22 (AA)	AA signature
EN-01-RP	608	9.1	376	5.6	61.8	26.1	3.3 (272/82)	0	0	380 (63%)	Yes
EN-01-B	299	4.5	56	0.8	18.7	12.5	2.1 (37/18)	0.015	1	53 (18%)	Yes <sup>b</sup>
EN-02-RP	984	14.7	585	8.7	59.5	26.7	3.1(424/136)	0	0	514 (52%)	Yes
EN-02-U	889	13.3	529	7.9	59.5	26.1	3.3 (384/118)	0	0	457 (51%)	Yes
EN-03-RP	807	12.0	443	6.6	54.9	25.0	2.0 (278/140)	2.1E-11	1.7E-09	402 (50%)	Yes
EN-04-RP	639	9.5	241	3.6	37.7	19.5	2.3 (157/68)	4.4E-09	3.6E-07	164 (26%)	Yes
EN-05-RP	349	5.2	115	1.7	33.0	19.1	2.8 (79/28)	1.3E-06	1.1E-04	87 (25%)	Yes
EN-06-RP	352	5.3	15	0.2	4.3	0.0	5.5 (11/2)	0.027	1	0 (0%)	--
EN-07-B	440	6.6	35	0.5	8.0	11.4	1.2 (15/13)	0.850	1	0 (0%)	--
EN-08-U	1551	23.1	1195	17.8	77.0	23.4	2.8 (840/303)	0	0	1391 (90%)	Yes
EN-09-U	2221	33.1	1701	25.4	76.6	27.4	2.7 (1185/431)	0	0	1931 (87%)	Yes
EN-10-U	2707	40.4	1486	22.2	54.9	26.2	2.8 (1052/377)	0	0	2706 (100%)	Yes
EN-11-U	669	10.0	309	4.6	46.2	26.9	2.5 (211/85)	3.7E-13	0	297 (44%)	Yes
Non-EN-01-RP	385	5.7	24	0.4	6.2	0.0	0.6 (9/14)	0.400	1	0 (0%)	--
Non-EN-02-RP	258	3.9	14	0.2	5.4	14.3	5.0 (10/2)	0.043	1	0 (0%)	--

NOTE: Suffices -B, -RP and -U indicate bladder, renal pelvis and ureter, respectively.

Abbreviations: SBS, single base substitution. Mbp, megabase pair. A>T; A>T transversion(s); SB A>T, strand bias, the ratio of A>T transversions on the non-transcribed *versus* transcribed strand (the number of respective transversions is shown in brackets); CAG context (%), percentage of A>T transversions in the most frequent context reported for the AA signature; NTr/Tr, ratio of A>T variants on non-transcribed *versus* transcribed strand. SB P value and FDR q value, measures of significance of the strand bias, see Materials and Methods. Contribution to Sig 22 (AA) (Sig = NMF-determined mutational signature) shown as the number of SBS and the corresponding percentage (in parentheses) of the total SBS per sample. AA signature, positivity for AA signature considering the co-occurrence of 50 SBS and 15% A>T, 20% CAG context, strand bias (SB) and its significance (SB P and/or q value) and mutation load contribution to Sig 22 (AA), as described previously (9).

<sup>a</sup>Zero values correspond to a P value below 2×10<sup>-16</sup>.

<sup>b</sup>Lower percentage in the 5'-CpApG-3' context and non-significant q value, supported by the NMF analysis.