



# **Impact of Surotomycin on the Gut Microbiota of Healthy Volunteers in a Phase 1 Clinical Trial**

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*Clostridium difficile***-associated diarrhea has been associated with disruption of the normal intestinal microbiota, particularly the** *Bacteroides fragilis* **group and** *Prevotella* **species. Surotomycin is a bactericidal cyclic lipopeptide in development for treatment of** *Clostridium difficile***-associated diarrhea that has selective and potent activity against** *C. difficile* **and other Gram-positive bacteria and a minimal impact on intestinal Gram-negative organisms. The impacts of ascending doses of surotomycin on major organism groups in the gut microbiota of healthy volunteers were evaluated during a randomized, double-blind, placebocontrolled, multiple-dose phase 1 study. Thirty volunteers were randomized into 3 cohorts, using a 4:1 ratio, to receive 250 mg, 500 mg, or 1,000 mg of surotomycin, or placebo, twice daily for 14 days. Stool samples collected at baseline (days 0 and 1) and at the end of treatment (days 13 to 15) were cultured quantitatively. The** *B. fragilis* **group, the** *Bacteroides***/***Prevotella* **group, and** *Enterobacteriaceae* **were also quantified by quantitative real-time PCR. Baseline and end-of-treatment stool samples showed 1 to 2-log10 CFU/g reductions in total bacterial counts for most volunteers. Various decreases in clostridial,** *Lactobacillus-Bifidobacterium* **group, and enterococcus-streptococcus group counts occurred while patients were receiving surotomycin, whereas the enterobacteria and the** *B. fragilis* **group persisted at the end of treatment. There was no change in enterococcus MICs of surotomycin, nor was vancomycin-resistant** *Enterococcus* **detected after exposure. Surotomycin at doses of up to 1,000 mg twice daily had only modest disruptive effects on the gut microbiota. The potential sparing of the gut microbiota by surotomycin may decrease the risk of disease recurrence.**

**T**he human intestinal tract is colonized with a variety of commensal beneficial microorganisms that reside in a delicate balance, providing protection against potential pathogens [\(1\)](#page-4-0). Alteration of the microbial diversity renders the environment supportive to *Clostridium difficile* or other intestinal pathogens [\(2,](#page-4-1) [3\)](#page-4-2).

*C. difficile* infection, also known as *C. difficile*-associated diarrhea (CDAD), is the leading cause of health care-associated diarrhea in the world [\(4\)](#page-4-3). Over the past decade, the incidence and severity of *C. difficile* infection have increased throughout the United States, Canada, and Europe [\(5](#page-4-4)[–](#page-4-5)[9\)](#page-4-6). The most commonly used therapies for CDAD are metronidazole and vancomycin. However, these treatments are suboptimal, as disease recurrence occurs in 15 to 35% of patients [\(10](#page-4-7)[–](#page-4-8)[12\)](#page-4-9).

Surotomycin (CB-183,315) is an orally administered, minimally absorbed, selective, bactericidal cyclic lipopeptide in phase 3 development for the treatment of CDAD [\(13\)](#page-4-10). A selective and potent activity of surotomycin against *C. difficile* and other Grampositive bacteria, with minimal impact on intestinal Gram-negative organisms, has been demonstrated *in vitro* [\(14,](#page-5-0) [15\)](#page-5-1). In the LCD-CDAD-DR-09-03 phase 2 trial, clinical cure rates were similar between both doses of surotomycin (125 mg and 250 mg) twice daily and vancomycin at 125 mg given four times daily; however, disease recurrence was significantly reduced with surotomycin at 250 mg compared with vancomycin (17.2% versus 35.6%, respectively;  $P = 0.035$ ) [\(16\)](#page-5-2). The objective of the current study was to determine the impact of surotomycin on major groups of organisms in the gut microbiota of healthy volunteers enrolled in a phase 1 clinical trial.

# **MATERIALS AND METHODS**

**Study population.** Eligible volunteers included males and females aged  $\geq$  18 years and  $\leq$  75 years who were considered to be in good health.

Volunteers did not have any evidence of significant gastrointestinal inflammatory disease, such as inflammatory bowel disease. Additional exclusion criteria included known sensitivity to daptomycin, administration of antibiotics within the past 30 days, and use of prescribed or over-thecounter medication for volunteers between 18 and 49 years of age. For volunteers who were  $>49$  years of age, use of medication had to be approved by both the medical monitor and the investigator.

**Study design.** This study was a double-blind, randomized, placebocontrolled, multiple-dose phase 1 study of ascending oral surotomycin doses in healthy volunteers. Thirty eligible volunteers were recruited and sequentially enrolled into one of three dose cohorts, receiving 250 mg (cohort 1), 500 mg (cohort 2), or 1,000 mg (cohort 3) orally twice daily for 14 days. The 10 volunteers for each cohort were randomized at a 4:1 ratio to receive surotomycin (8 volunteers) or placebo (2 volunteers). Randomization was stratified by gender to achieve equal numbers of male and female volunteers in each cohort. One stool sample each was collected at baseline (days 0 and 1), midstudy (days 7 to 9), and the end of treatment (days 13 to 15) for all 4 arms. All stool samples were frozen at  $-70^{\circ}$ C until analysis.

The study protocol was approved by institutional review boards at the participating institutions, and all participants provided written informed consent.

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**Microbiological evaluation.** Fecal specimens were thawed and inoculated onto selective and nonselective media for recovery of aerobic and anaerobic bacteria [\(Table 1\)](#page-1-0). Because of previous reports of vancomycinresistant *Enterococcus* (VRE) appearing in conjunction with vancomycin and metronidazole therapy [\(17\)](#page-5-3), enterococci were quantitated using Enterococcosel agar with 16  $\mu$ g/ml or 4  $\mu$ g/ml vancomycin or without drug. Quantitative plating was achieved by weighing approximately 1 g of stool and adding sufficient saline to make a 1:10 dilution. Further 10-fold dilutions were prepared, and 0.1 ml was plated on Enterococcosel agar. Semiquantitative cultures of other organisms were prepared by plating 0.01 ml of the 1:10 dilution onto the selective and nonselective media listed in [Table 1](#page-1-0) and streaking plates for isolation. Total counts were determined from quantitative 10-fold dilutions. Anaerobic culture plates were incubated in an anaerobic chamber (Bactron IV; Sheldon Manufacturing Inc., Cornelius, OR), and enterococcal and other aerobic culture plates were incubated in the ambient atmosphere at 36°C for 2 to 5 days. Isolates were identified to the genus or group level by using growth characteristics on selective media, Gram staining, and catalase production assay. The lower level of detection was  $1 \times 10^2$  CFU/g.

**PCR analysis.** Total nucleic acid was prepared for SYBR green quantitative PCR analyses by extracting 0.1 g of stool in stool transport and recovery buffer (Roche Molecular, Pleasanton, CA), using a NucliSENS easyMAG extraction system (bioMérieux, Durham, NC) running the Specific A program. Before extraction, stool samples were spiked with 10  $\mu$ l of the Simplexa extraction and amplification control set (SEAC; Focus Diagnostics, Cypress, CA) to monitor PCR inhibition. The Uni331F/ Bac708R primer set was used to amplify species within the *Bacteroides fragilis* group, whereas the CFB286F/CFB719R primer set more broadly amplified the members of the *Bacteroides/Prevotella* group. Purified nucleic acid  $(1 \mu l)$  was added to each quantitative PCR mix, which contained 0.3  $\mu$ M (each) primers (Biosearch Technologies, Petaluma, CA) and 2 $\times$ QuantiTect SYBR green master mix (Qiagen, Valencia, CA). Quantitative PCR was performed in  $10$ - $\mu$ l reaction mixtures by use of an integrated

cycler machine (3M, St. Paul, MN). Cycling parameters included an initial 10 min at 95°C for denaturation followed by 45 cycles of 95°C for 30 s, 50°C (for the *B. fragilis* group and the *Bacteroides/Prevotella* group) or 55°C (for *Enterobacteriaceae*) for 30 s, and 72°C for 30 s. Standard curves were constructed by extracting preparations of cultured isolates (with known numbers of CFU per milliliter) of *Escherichia coli* (ATCC 25922), *B. fragilis* (ATCC 25285), and *Prevotella melaninogenica* (ATCC 25845). The primer sequences used to quantify shifts in major components of the gut microbiota are shown in [Table 2](#page-1-1) [\(18](#page-5-4)[–](#page-5-5)[21\)](#page-5-6).

**MIC analysis.** Enterococci recovered on Enterococcosel agar were subcultured onto blood agar plates for identification and further testing. MICs of surotomycin, daptomycin, and vancomycin against enterococci were determined by broth microdilution according to methods described in Clinical and Laboratory Standards Institute document M7-A7 [\(22\)](#page-5-7). Cation-adjusted Mueller-Hinton broth was prepared according to the manufacturer's specifications. Tests for daptomycin and surotomycin were adjusted to contain a final concentration of 50 mg/liter  $Ca^{2+}$  [\(23\)](#page-5-8). The baseline MIC values were compared to the postbaseline values to determine if drug exposure selected for decreased susceptibility to surotomycin or emergence of vancomycin-resistant strains.

### **RESULTS**

**Volunteer population.** A total of 24 volunteers were randomized to receive 250 mg ( $n = 8$ ), 500 mg ( $n = 8$ ), or 1,000 mg ( $n = 8$ ) of surotomycin twice daily; an additional 2 patients per cohort received a placebo ( $n = 6$ ). A total of 29 volunteers completed the study.

**Impact of surotomycin on gut microbiota as determined by bacterial culture.** Stool samples collected at baseline (days 0 and 1) and at the end of treatment (days 13 to 15) were cultured. Total bacterial counts and specific bacterium concentrations at these two time points were compared. In all three cohorts, total bacterial

<span id="page-1-1"></span>



*<sup>a</sup>* F, forward; R, reverse.



<span id="page-2-0"></span>**FIG 1** Impacts of surotomycin on fecal bacterium groups. The graphs show percentages of stool samples showing the presence of enterococci and streptococci (A), lactobacilli and bifidobacteria (B),*Clostridium* spp. (C), *E. coli*-*Enterobacter*-*Klebsiella* (D), and the *B. fragilis* group-*Prevotella-Porphyromonas*(E) at baseline and at the end of treatment. The lower level of detection was  $1 \times 10^2$  CFU/g.

counts for the majority of volunteers were reduced. In the 250 and 1,000-mg twice-daily cohorts, 1- to 2- $log_{10}$  CFU/g reductions in bacterial counts were observed in seven of the eight volunteers, whereas in the 500-mg twice-daily cohort, four of the seven volunteers had decreases of 1 to 2  $log_{10}$  CFU/g.

The impacts of surotomycin on the different organism groups found in the gut are summarized in [Fig. 1.](#page-2-0) Enterococci and streptococci were recovered at baseline from 75 to 100% of volunteers. At the end of treatment, these bacteria persisted in only one patient from the 1,000-mg twice-daily cohort [\(Fig. 1A\)](#page-2-0). When all three cohorts were combined, anaerobic Gram-positive rods resembling the *Lactobacillus*-*Bifidobacterium* group persisted at the end of treatment in 13 of 23 (57%) volunteers [\(Fig. 1B\)](#page-2-0). *Clostridium* spp. were retained in four (50%) volunteers in the 250-mg twice-daily cohort, two (29%) volunteers in the 500-mg twicedaily cohort, and three (38%) volunteers in the 1,000-mg twicedaily cohort [\(Fig. 1C\)](#page-2-0). Enterobacteria [\(Fig. 1D\)](#page-2-0) and the *B. fragilis-Prevotella-Porphyromonas* group [\(Fig. 1E\)](#page-2-0) were recovered at the

end of treatment from 18 of 23 (78%) and 19 of 23 (83%) volunteers, respectively. Recovery of *Staphylococcus aureus* at baseline or the end of treatment was rare.

**Impact of surotomycin on gut microbiota as determined by quantitative PCR.** Stool samples collected at baseline and at the end of treatment were analyzed, and specific bacterium concentrations at these two time points were compared. Quantitative SYBR green PCR was used to quantify *Enterobacteriaceae*, *Bacteroides*, and *Prevotella* organisms. At baseline, the average log CFU/ml was 6.79, 8.33, and 7.40 for *Enterobacteriaceae*, the *B. fragilis* group, and the *Bacteroides*/*Prevotella* group, respectively. By the end of treatment, the *Enterobacteriaceae* counts increased by 1.77, 1.36, and 1.74 log CFU/ml in the 250-, 500-, and 1,000-mg twice-daily cohorts, respectively, compared with a 0.05-log CFU/ml increase in the placebo group [\(Fig. 2\)](#page-3-0). The *Bacteroides*/ *Prevotella* group counts decreased by 0.19 and 0.20 log CFU/ml in the 250- and 500-mg twice-daily cohorts, respectively, compared with a 0.04-log CFU/ml increase in the placebo group [\(Fig. 2\)](#page-3-0). The



<span id="page-3-0"></span>**FIG 2** Changes in the number of CFU per milliliter for *Enterobacteriaceae*, the *Bacteroides*/*Prevotella* group, and the *B. fragilis* group for each treatment cohort as determined by quantitative real-time PCR.

counts increased by 0.25 log CFU/ml in the 1,000-mg twice-daily cohort [\(Fig. 2\)](#page-3-0). The *B. fragilis* group count decreased by 0.19 and 0.49 log CFU/ml in the 250- and 500-mg twice-daily cohorts, respectively, compared with a 0.38-log CFU/ml increase in the placebo group [\(Fig. 2\)](#page-3-0). Alternatively, the *B. fragilis* group count increased by 0.34 log CFU/ml in the 1,000-mg twice-daily cohort [\(Fig. 2\)](#page-3-0). The relative precision of the quantitative PCR was limited, as the coefficient of variation for the PCR was approximately 8% using quantitative standards.

**Susceptibility testing of enterococci.** Susceptibility testing with surotomycin, daptomycin, and vancomycin was performed on all isolates. Surotomycin MIC values for all 114 isolates recovered from all cohorts ranged from  $\leq 0.03$  to 2  $\mu$ g/ml, with an  $MIC<sub>90</sub>$  of 1 µg/ml [\(Table 3\)](#page-3-1) and an MIC<sub>50</sub> of 0.5 µg/ml. Two volunteers who received surotomycin in each of the three cohorts did not have enterococci in their stools at baseline. In the 250-mg and 500-mg twice-daily cohorts, no enterococci were isolated from any volunteers midstudy or at the end of treatment. In the 1,000-mg twice-daily cohort, one volunteer had enterococci present midstudy, whereas another volunteer had enterococci present at the end of treatment (surotomycin MIC  $= 0.25 \,\mathrm{\mu g/mol}$ ). Among volunteers who received surotomycin, changes in MIC values for surotomycin, daptomycin, or vancomycin  $(\pm 1)$  dilution from baseline) were not detected for any postbaseline isolates. Additionally, VRE were not recovered from any volunteer.

# **DISCUSSION**

Despite increased awareness and knowledge of CDAD, the incidence and severity of this infection have increased over the past decade [\(5](#page-4-4)[–](#page-4-5)[9\)](#page-4-6). Rates of morbidity and mortality are high, as CDAD can quickly progress from watery diarrhea to fulminant colitis to toxic megacolon and bowel perforation [\(24\)](#page-5-10). Both vancomycin and metronidazole, the primary antibiotics used to treat CDAD, disrupt the protective intestinal microbiota, have high rates of disease recurrence, and can lead to VRE colonization in the intestinal tract [\(1,](#page-4-0) [17,](#page-5-3) [25\)](#page-5-11).

Fidaxomicin, a macrocyclic antibiotic recently approved by the U.S. Food and Drug Administration for treatment of CDAD, preserves the intestinal microbiota and is associated with a greater sustained clinical response than that observed with vancomycin [\(25\)](#page-5-11). Still, new and improved antibiotics that target *C. difficile* without disrupting the normal intestinal microbiota are needed.

Surotomycin is a novel agent under investigation for treatment of CDAD. It is a cyclic lipopeptide with activity against *C. difficile* and limited activity against Gram-negative pathogens. Given surotomycin's improved selectivity for *C. difficile* versus Gram-negative bacteria  $(14, 15)$  $(14, 15)$  $(14, 15)$ , the current analysis, conducted as part of a randomized, double-blind, placebo-controlled, multiple-dose phase 1 clinical trial, evaluated the impacts of surotomycin on major organism groups in the gut microbiota of healthy volunteers.

In the current study, oral surotomycin doses of 250, 500, and 1,000 mg twice daily for 14 days had a minimal disruptive effect on the normal gut microbiota. Whereas postexposure counts for clostridia and the enterococcus and streptococcus groups were lowered, enterobacteria and the *B. fragilis* group persisted in the majority of volunteers at the end of the study (days 13 to 15). A slight increase in *Enterobacteriaceae* counts was observed by PCR. This finding is in agreement with previously published data for mice [\(26\)](#page-5-12) and supports findings from a recent phase 2 study that evaluated the effects of surotomycin and vancomycin on the intestinal microbiota [\(27\)](#page-5-13). That study demonstrated that surotomycin was associated with little discernible change in the counts of Gram-negative anaerobes, particularly the *B. fragilis* group and *Prevotella*, whereas vancomycin exposure greatly suppressed these microorganisms during and after treatment [\(27\)](#page-5-13).

The *B. fragilis* group and *Prevotella* have an important role in colonization resistance and maintaining a healthy environment within the intestinal tract by preventing overgrowth of potential pathogens, such as *C. difficile* [\(1,](#page-4-0) [25\)](#page-5-11). Preserving the normal balance of these protective bacteria could minimize CDAD recurrence following treatment. In a recent phase 2 clinical trial, surotomycin at doses of 125 and 250 mg twice daily was safe and well tolerated in patients with CDAD [\(16\)](#page-5-2). Clinical cure rates were similar between surotomycin at 125 and 250 mg and vancomycin (92.4%, 86.6%, and 89.4%, respectively) [\(16,](#page-5-2) [28\)](#page-5-14). However, 4

<span id="page-3-1"></span>**TABLE 3** Surotomycin MIC ranges  $(\mu g/m)$  for enterococci<sup>a</sup>

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Visit	Surotomycin group data						Placebo group data	
	Cohort 1 $(250 \,\text{mg b.i.d.})$		Cohort 2 $(500 \,\text{mg b.i.d.})$		Cohort 3 $(1,000 \,\text{mg b.i.d.})$		All cohorts	
	$\boldsymbol{n}$	MIC range	$\boldsymbol{n}$	MIC range	п	MIC range	п	MIC range
Baseline (days 0 and 1)	8	$0.06 - 1$		$0.06 - 1$		$0.03 - 1$	6	$0.06 - 2$
Midstudy (days 7 to 9)	8					0.25		$0.06 - 1$
End of study (days 13 to 15)	8					0.25	6	$0.06 - 0.5$

a<sup>n</sup>, number of volunteers with stool samples provided and evaluated; —, none of the evaluable volunteers had any enterococcal isolates in the stool samples. MIC ranges are given for volunteers with enterococci isolated.

weeks after treatment, surotomycin at 250 mg was associated with a lower recurrence rate than that observed with vancomycin  $(17.2\% \text{ versus } 35.6\%, \text{ respectively}; P = 0.035)$  [\(16\)](#page-5-2). More than 80% of the recurrences were considered relapses, as the recurrent isolate was genetically identical to the baseline pathogen [\(27\)](#page-5-13). Investigators theorized that fewer CDAD relapses and recurrences following surotomycin therapy could be a result of this agent's minimal effect on the normal bowel biota.

The development and spread of VRE are a growing problem in hospitals. Unfortunately,  $>50\%$  of CDAD cases have concurrent VRE colonization [\(29\)](#page-5-15). Previous research has shown that treatment with both oral metronidazole and vancomycin for CDAD has produced VRE overgrowth in stool, leading to greater contamination of skin and environmental surfaces [\(17,](#page-5-3) [30\)](#page-5-16). Additionally, VRE domination of the microbiota after antibiotic treatment has been shown to precede bacteremia in patients undergoing allogeneic hematopoietic stem cell transplantation [\(31\)](#page-5-17). Our study showed no postbaseline changes in enterococcal MIC values for surotomycin, daptomycin, or vancomycin among volunteers who received surotomycin. This finding is consistent with a previous *in vitro* study which documented that the rate of spontaneous resistance to surotomycin was either low or below the limit of detection for *C. difficile* and enterococci, including VRE [\(32\)](#page-5-18). VRE were not recovered from any volunteer at baseline, and exposure to surotomycin did not select for VRE in any study volunteer.

Due to the limitations of the procedures employed, the analysis of the impact of surotomycin exposure on the microbiota as determined by bacterial culture was not a formal quantitative study. Furthermore, fecal samples were not processed in an anaerobic environment, which could have negatively affected anaerobic bacterial growth and subsequently biased analyses of these samples. However, the molecular analysis confirmed the results generated by the semiquantitative methods. It should also be noted that analysis of bacterial groups within fecal samples for identification of microbial dysbiosis provides only an indirect measure of biological processes occurring at the mucosal surface of the gut [\(25\)](#page-5-11).

Our research shows a potential benefit with the use of surotomycin for the treatment of CDAD. The microbiota-sparing trend observed with surotomycin in the current study supports the reduction in risk of recurrent disease observed in the LCD-CDAD-DR-09-03 phase 2 trial. Thus, these findings promote the continued clinical development of surotomycin for the treatment of CDAD.

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