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## The Nervous Heart

Crystal M. Ripplinger, PhD<sup>\*</sup>,

Sami F. Noujaim, PhD<sup>\*</sup>, and

Dominik Linz, MD, PhD<sup>\*</sup>

Crystal M. Ripplinger: [cripplinger@ucdavis.edu](mailto:cripplinger@ucdavis.edu); Sami F. Noujaim: [snoujaim@health.usf.edu](mailto:snoujaim@health.usf.edu); Dominik Linz: [Dominik.Linz@uks.eu](mailto:Dominik.Linz@uks.eu)

### Abstract

Many cardiac electrophysiological abnormalities are accompanied by autonomic nervous system dysfunction. Here, we review mechanisms by which the cardiac nervous system controls normal and abnormal excitability and may contribute to atrial and ventricular tachyarrhythmias. Moreover, we explore the potential antiarrhythmic and/or arrhythmogenic effects of modulating the autonomic nervous system by several strategies, including ganglionated plexi ablation, vagal and spinal cord stimulations, and renal sympathetic denervation as therapies for atrial and ventricular arrhythmias.

### 1. Introduction

Autonomic regulation is essential for normal physiological functions and responses of the heart (i.e., inotropy, chronotropy, lusitropy, dromotropy). Importantly, autonomic dysfunction is increasingly recognized as playing a key role in adverse remodeling and malignant atrial and ventricular arrhythmias. A variety of pathological conditions may give rise to autonomic dysfunction, which may occur at various levels, including the intrinsic or extrinsic cardiac nervous systems or higher centers. Rather than focusing on pathology-dependent remodeling and dysfunction, we will describe more general mechanisms by which the intrinsic cardiac nervous system controls normal heart rate and may contribute to atrial and ventricular tachyarrhythmias. Specifically, we discuss the role of the intrinsic cardiac nervous system in normal and abnormal cardiac excitability and in contributing to atrial fibrillation (AF). We further detail two common forms of remodeling of the intrinsic ventricular sympathetic nerves (hyperinnervation and denervation) and discuss how this remodeling contributes to different forms of ventricular arrhythmia. We explore the potential antiarrhythmic and/or arrhythmogenic effects of modulating the autonomic nervous system by several strategies such as ganglionated plexi ablation, vagal nerve stimulation, and renal sympathetic denervation for the treatment of atrial and ventricular tachyarrhythmias.

<sup>\*</sup>Contributed equally

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## 2. Atrium

### 2.1 Neuroanatomy of the Atria

The cardiac nervous system can be divided into the intrinsic cardiac nervous system (ICNS) and extrinsic cardiac nervous system (ECNS). The ECNS axons mediate sympathetic connections between the myocardium and the cervical, stellate and thoracic ganglia, and parasympathetic connections between the atrial myocardium and the medulla oblongata. The ICNS forms a complex neural network composed of ganglionated plexi (GPs) and the interconnecting ganglia and axons (Armour et al., 1997; Singh et al., 1996). These ganglia are composed of diverse neuronal elements including cholinergic, adrenergic, afferent, and interconnecting neurons (Rysevaite et al., 2011b; Yuan et al., 1993). The ICNS is thought to be a neuronal network that transduces local cardiac signals, as well as inputs from central neurons (Beaumont et al., 2013). Pauza et al. (2002a) delineated the neuroanatomy of the ICNS in the human adult and fetus, as well as mouse, rat, guinea pig, and dog. They concluded that the topographical organization of the ICNS is similar in these species (Pauza et al., 2002a; Pauza et al., 2002b; Rysevaite et al., 2011a; Vaitkevicius et al., 2009; Zarzoso et al., 2013). Armour et al. (1997) demonstrated that multiple large and small GPs are present in the atria. Hou et al. (2007a) used ablation and neural stimulation in anesthetized dogs and showed that pulmonary vein ganglia (PVG) serve as autonomic integration centers modulating cardiac excitability and AF inducibility. Moreover, Zarzoso et al. (2013) provided direct evidence for neuroanatomical connections between the PVG and the sinoatrial node (SAN) and observed similarities between the human and murine PVG neuroanatomy. Hence, intrinsic ganglia are abundant and more widely distributed than previously thought, and can play a role in normal and abnormal heart rhythm (Pauza et al., 2002a; Pauza et al., 2002b; Rysevaite et al., 2011a; Vaitkevicius et al., 2009; Zarzoso et al., 2013).

### 2.2 The Intrinsic Cardiac Nervous System in Sinus Rhythm

**2.2.1 Basic Investigations—**Zarzoso et al. (2013) studied the effect of GP stimulation on heart rate (HR) and SAN modulation. They demonstrated that GP influence on HR is dual, sympathetic and parasympathetic, in a time-dependent manner. Figure 1 is an immunofluorescence image of a mouse PVG stained for sympathetic (tyrosine hydroxylase-green) and parasympathetic (choline acetyltransferase-red) markers. The initial effect of PVG stimulation is predominantly parasympathetic, characterized by prolongation of the first three post-simulation P–P intervals. Additionally, it was demonstrated that PVG stimulation modulates the SAN activation pattern (Zarzoso et al., 2013). In whole-heart experiments, it was noted that PVG stimulation caused either inferior or superior displacement of the origin of SAN activation (Zarzoso et al., 2013). This is consistent with the effect of parasympathetic stimulation, which has been shown to produce either an inferior or superior shift in the origin of the pacemaker site (Glukhov et al., 2010; Mackaay et al., 1980; Shibata et al., 2001).

Sampaio et al., (2003) investigated HR and atrioventricular (AV) conduction control by two GP clusters in the rat. In an arterially perfused preparation, they electrically stimulated the epicardial sites that had a low threshold for causing changes in P–P and P–R intervals. The

main stimulation sites correlated with the SAN ganglion located at the junction of the superior vena cava and right atrium, and the AV ganglion at the junction of the inferior PVs and left atrium. SAN ganglion stimulation consistently resulted in HR slowing without significant effect on conduction and AV ganglion stimulation resulted in conduction slowing without significant effect on HR. In the dog, high frequency stimulation of the GPs in epicardial fat pads induced AF and caused high grade AV block due to the strong parasympathetic effect on the AVN. This vagal response to stimulation was abolished by ablation of the GPs and thus it was concluded that cardiac GPs play an important role in AF initiation and maintenance but also in the regulation of AVN conduction (Scherlag et al., 2005). Hou et al. (2007b) investigated the effects of the interplay between the different GPs on sinus rate and AVN conduction. The study suggested that the GPs are interconnected and that they collectively modulate SAN and AVN response to ECNS stimuli and that these interconnections and their effect on SAN and AVN modulation should be kept in mind while identifying the targets for AF ablation (Hou et al., 2007b). Additionally, Randall et al. (2003) have demonstrated that multiple groups of neurons within the ICNS, at the right atrial ganglionated and posterior atrial ganglionated plexi, together modulated heart rate. The study found that while neurons of the right atrial ganglionated plexus directly lower heart rate, neurons in the posterior atrial ganglionated plexus are partly responsible for the interaction between sympathetic and parasympathetic control of chronotropy.

**2.2.2 Clinical Investigations**—In spite of clear data derived from animal research, suggesting a possible role for GP in SAN and AVN functions, extrapolation to the clinical setting is not straightforward. It is, for example, controversial whether radiofrequency delivery to the PVs during isolation procedures can stimulate PVGs and produce a decrease in ventricular HR, or whether a specific high-frequency stimulation protocol is necessary to accomplish this (Lemery et al., 2006; Pokushalov et al., 2009; Scherlag et al., 2005). The mechanism of this slowing is also controversial. It has been proposed that this phenomenon is caused by the activation of afferent fibers in the PVGs, thus evoking a vagal reflex response and resulting in the observed HR decrease (Haissaguerre et al., 1998; Lemery et al., 2006). On the other hand, Zarzoso et al. (2013) observed considerable and immediate HR slowing after radiofrequency delivery during PV isolation procedures in patients. They correlated their results with data from isolated mouse heart experiments that showed similar HR slowing upon PVG stimulation, suggesting that the local neuronal connections between PVGs and the SAN are sufficient to influence HR. Moreover, it has been demonstrated that PVG ablation may result in the degeneration of the ventricular innervation and may cause cardiac autonomic dysfunction that could last for up to a year and could predispose to ventricular arrhythmias (Bauer et al., 2006; Osman et al., 2010; Puodziukynas et al., 2012).

Bauer et al. (2006) studied the short- and long-term effects of segmental and circumferential PV ablation on the autonomic function of the heart. One hundred patients with AF were enrolled in this study, half of which received segmental ablation and the other half circumferential ablation. Cardiac acceleration and deceleration capacity significantly decreased immediately after ablation in the circumferential ablation group. This impairment lasted for one year after ablation. Acceleration and deceleration capacity also decreased immediately after ablation in the segmental ablation group. However, this function returned

to baseline within one month. Consequently, it was apparent that PV ablation induces an immediate suppression of autonomic modulation of HR and this suppression seems to be more long term in circumferential PV ablation possibly because in circumferential ablation, more PVG are affected compared to segmental ablation.

### 2.3 The Intrinsic Cardiac Nervous System in Atrial Fibrillation

It has been proposed that the autonomic nervous system plays an important role in the pathophysiology of AF (Coumel et al., 1978) since AF triggers were shown to originate from the densely innervated PV roots (Haissaguerre et al., 1998). On a more functional level, studies suggested that AF can be initiated by electrical stimulation of the GPs at the roots of the PVs and that ablation of these arrhythmogenic foci can abort AF (Lemola et al., 2008; Lin et al., 2008; Lin et al., 2007). Nevertheless, the exact role and mode of action of the GPs remains unclear. As mentioned above, while some investigators show that the GPs function as local centers of integration between the heart and the ECNS, others investigators showed that GPs can act independently of higher autonomic centers and can directly affect cardiac function such as SAN automaticity and myocardial contractility (Wickramasinghe and Patel, 2013). Choi et al. (2010) addressed this question by recording electrical activity from ECNS and ICNS in a dog model of AF. Although the majority of AF episodes were associated with bursts of activity in ECNS nerves, certain episodes of AF were associated with isolated activity of the GPs without concomitant activity in the ECNS, suggesting that ICNS can potentially trigger AF without input from higher autonomic centers.

Evidence supports the idea that both sympathetic and parasympathetic components play an important role in AF initiation and maintenance. The release of adrenergic neurotransmitters mobilizes excess  $Ca^{2+}$  intracellularly leading to early after depolarizations (EADs), which can trigger the ectopic firing of PV cells (*see also Section 3.2*). Additionally, acetylcholine (ACh) release by GP neurons projecting from PV roots to the atria can cause shortening of the atrial action potential duration (APD) contributing to AF stabilization (Male and Scherlag, 2014). A study by Patterson et al. (2005) addressed the single-cell electrophysiology behind these findings. They recorded intracellular action potentials from myocardial cells in an excised, superfused PV–atrial sleeve. PVG activation by low-level stimulation caused shortening of PV myocardial cell APD and refractory period, along with the initiation of rapid firing caused by EADs. Atrial APD shortening was prevented by atropine and EAD-induced rapid PV firing was suppressed by  $\beta_1$ -blockade. This demonstrates the synergistic actions of the sympathetic and parasympathetic systems in the initiation and maintenance of AF.

### 2.4 Modulation of the autonomic nervous system to prevent AF

The demonstration by Haissaguerre et al. (1998) that focal electrical activity in the PVs played an important role in the initiation and maintenance of AF paved the way for interventional techniques targeting the PVs as a treatment for paroxysmal AF. Later on, strategies to modulate the autonomic nervous system were developed as stand-alone or adjunctive treatment approaches to prevent AF (Linz et al., 2014).

**2.4.1 GP Ablation**—Ablation of GPs to treat AF has been attempted, with highly variable success rates ranging from 25 to 78% AF freedom after 1 year of follow-up (Katritsis et al., 2008; Pokushalov et al., 2009; Scanavacca et al., 2006). Pappone et al. (2004) noted that the application of radiofrequency when inducing lesions to encircle the PVs, caused a vagal-like response characterized by the slowing of SAN firing rate and AVN conduction rate. This occurred in approximately one third of the patients studied and disappeared as radiofrequency ablation was continued. One year follow-up showed that the third of patients who manifested vagal response had a 99% freedom AF recurrence whereas the rest of the group had 85% freedom of recurrence, highlighting the importance of GP ablation to suppress AF. Katritsis et al. (2011) carried out a similar study in 3 randomly assigned groups of patients. The first group had PV isolation alone, the second had GP ablation alone, and the third had both PV isolation and GP ablation. The last group was shown to have a 20% greater success rate compared to the first two groups, thus confirming the importance of both the PVs and GPs in AF maintenance and initiation. The effect of GP ablation on ventricular arrhythmias is less clear and needs further investigation. In a dog model of acute myocardial ischemia, He et al. (2013) showed that GP ablation increased the risk of ventricular arrhythmias compared to control animals.

Verma et al. (2008) demonstrated the presence of complex fractionated atrial electrograms (CFAEs) in patients with AF. Ablation of the myocardial regions underlying the origins of these CFAEs terminated AF in 55% of the patients studied and the conjugate use of PV isolation and CFAE ablation further increased AF suppression rate. Although other studies further supported this ablation strategy (Arruda and Natale, 2008; Porter et al., 2008), the mechanisms underlying the origin and involvement of CFAEs in AF are not well understood. Furthermore, CFAEs can occur in the vicinity of the GPs, hence it is tempting to speculate that the hyperactivity of the plexi can participate in causing the formation of CFAEs (Katritsis et al., 2009; Lin et al., 2007). It was shown that CFAEs occur with the highest frequency at the sites where GPs cluster, and that patients who did not manifest CFAEs at anatomical GP sites were free of CFAEs anywhere else in the atria (Katritsis et al., 2009; Wickramasinghe and Patel, 2013). Additionally, a long-term follow up of patients following AF ablation reported AF freedom rates of 40, 37 and 29% after 1, 3 and 5 years following single catheter ablation. With a median of 2 repeat procedures per patient with AF recurrence, success rates increased to 87, 81 and 63%, over the same time frames (Weerasooriya et al., 2011).

**2.4.2 Low-Level Vagal Nerve Stimulation**—Vagal stimulation reduces heart rate and AV conduction and activates atrial ACh dependent potassium currents ( $I_{K,ACh}$ ), leading to a potent shortening of the effective refractory period, promoting atrial arrhythmias (Schotten et al., 2011). However, low-level vagal nerve stimulation (LL-VNS) not resulting in reduction of heart rate and AV conduction has been shown to result in antiarrhythmic effects. Rapid atrial pacing normally results in the shortening of the atrial effective refractory period. LL-VNS counters this effect by prolonging the atrial refractoriness, hence decreasing AF susceptibility (Lu et al., 2008; Sheng et al., 2011). Yu et al. (2013) performed low-level transcutaneous electrical stimulation of the auricular branch of vagus nerve at the turgus of the ear in anaesthetized dogs and they were able to document decreased AF

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inducibility. Many mechanistic preclinical studies suggest the involvement of several integrative processes occurring at the intra- and extracardiac ganglion level. Left-sided LL-VNS suppressed stellate ganglion nerve activities and reduced the incidences of paroxysmal atrial tachyarrhythmias in ambulatory dogs. This was associated with a significant neural remodeling process of the left stellate ganglion (Pieroni et al., 2007). Li et al. (2009) and Yu et al. (2011) used atrial high frequency stimulation delivered during the refractory period of the atrium and PVs to induce local firing and AF. They demonstrated that bilateral LL-VNS could suppress this type of focal AF by inhibiting the neural activity of GPs within the ICNS. There are studies suggesting the involvement of local release of the neuromodulators vasostatin and its precursor chromogranin A during electrical stimulation to the autonomic nerves for the regulation of GPs (Pieroni et al., 2007). Injection of vasostatin into the major atrial GPs resulted in electrophysiological effects similar to those of LL-VNS (Stavrakis et al., 2012). Importantly, in the clinical setting, an electrode is placed around the right cervical vagus. Therefore, stimulation of the cervical vagosympathetic trunk contains postganglionic axons from at least the superior cervical ganglion activating both afferent and efferent axons. This may evoke complex responses in the lungs and the gastrointestinal systems, which could secondarily affect the heart. Anti-inflammatory effects, which may result indirectly from the stimulation of the gastrointestinal system or from a direct ANS-immune system connection are proposed to underlie some of the described beneficial effects of LL-VNS (Zhao et al., 2013). Even using low levels of stimulation intensity, many vagal axons with a low threshold for excitability will respond and may result in the above-mentioned effects. Another comparable strategy to modulate autonomic balance by sympathetic withdrawal and increased vagal activation is carotid baroreceptor stimulation. While strong carotid baroreceptor stimulation resulted in a pronounced increase in AF inducibility (Linz et al., 2013c), low-level carotid baroreceptor stimulation suppressed AF inducibility by inhibiting the activity of GPs (Liao et al., 2015).

**2.4.3 Renal Denervation**—Catheter-based renal denervation (RDN) involves section of the renal nerves running in the adventitia of the renal artery, while the neurons in the renal ganglia and much of the intra-renal innervation remain functional (Bohm et al., 2013). RDN is currently studied as a treatment option for drug-refractory hypertension (Bohm et al., 2013). Blood pressure reduction by RDN may reduce atrial structural arrhythmogenic remodeling processes thereby preventing the occurrence of atrial arrhythmias (Schotten et al. 2011). However, the results from the Symplicity-HTN3-trial did not meet the prespecified endpoint (Bhatt et al., 2014). Nevertheless, mechanistically, it has been observed that the procedure resulted in a 47% reduction of renal norepinephrine (NE) spillover measured with a radiochemical tracer methodology using 3H-norepinephrine (Krum et al., 2009) as well as in a 37% reduction in firing of single sympathetic vasoconstrictor fibers, measured by single muscle sympathetic nerve activity (Hering et al., 2013). These findings indicate a combined modulation of efferent and afferent signaling at the kidney by RDN. RDN results in a reduction in heart rate and AV-conduction velocity in pigs (Linz et al., 2013b). Accordingly, RDN reduces heart rate during AF in humans as well as in anesthetized pigs with AF induced by rapid atrial pacing (Linz et al., 2013b). Moreover, in goats RDN reduced cardiac sympathetic nerve sprouting, transcardiac NE concentrations and cardiac fibrosis formation, which was associated with a less complex



fibrillatory conduction pattern during AF (Linz et al., 2015). In a pig model for sleep apnea, RDN attenuated the postapneic blood pressure rises as well as the renin angiotensin system activation, which may prevent the development of cardiac structural remodeling (Linz et al., 2013a). In a small study in humans, the atrial antiarrhythmic effects of circumferential PV isolation combined with RDN was investigated (Pokushalov et al., 2012). Patients who received both procedures showed significant reductions in average systolic and diastolic blood pressure, whereas those in the PV isolation-only group did not show any significant improvement in blood pressure (Pokushalov et al., 2012). At one-year follow-up, 69% of patients who received both procedures had no AF recurrences, compared to 29% of those in the PV isolation-only group (Pokushalov et al., 2012). In a case report, even RDN without PV isolation reduced blood pressure and attenuated paroxysmal AF episodes, which were symptomatic and drug-resistant before RDN (Vollmann et al., 2013).

**2.4.4 Spinal Cord Stimulation**—Stimulation of afferent spinal nerve fibers increases central vagal tone and decreases sympathetic tone via central reflex activation. Spinal cord stimulation (SCS) reduced peripheral sympathetic drive induced by right atrial pacing (Mannheimer et al., 1993) and suppressed activity generated by intrinsic afferent sensory cardiac neurons related to sympathetic excitation (Lopshire et al., 2009). SCS has been shown to suppress AF inducibility under certain conditions. In a canine model of AF induced by atrial tachypacing, SCS prolonged atrial effective refractory periods and reduced AF burden and inducibility (Bernstein et al., 2012). Rapid atrial pacing-induced AF was suppressed by SCS by inhibiting atrial autonomic remodeling in another canine model (Wang et al., 2016). There are data indicating that SCS may prevent episodic AF caused by rapid PV and non-PV firing through modulating GP activity (Yu et al., 2014). Interestingly, effectiveness of SCS in AF suppression increases with time. Chronic SCS therapy has been shown to modify intrinsic cardiac neuronal stochastic interconnectivity in AF suppression by altering synaptic function without directly targeting the transmembrane properties of individual intrinsic cardiac neuronal somata (Ardell et al., 2014). Although not directly related, it was shown in a dog model with rapid atrial pacing, that high thoracic epidural anesthesia inhibited atrial sympathetic nerve sprouting, which was associated with prevention of sustained AF (Yang et al., 2011).

### 3. Ventricle

Many cardiac diseases associated with ventricular arrhythmia are accompanied by disruption of the autonomic nervous system, which may involve increased central sympathetic drive and parasympathetic withdrawal along with changes to the density, distribution, excitability, and neurotransmitter content of the intrinsic efferent innervation of the ventricles. Although various forms of neuronal remodeling have been reported, we will focus on the mechanisms by which sympathetic hyperinnervation and sympathetic denervation contribute to ventricular arrhythmia. Interestingly, these seemingly opposite conditions share common mechanisms of arrhythmogenesis.

### 3.1 Ventricular Nerve Remodeling

Sympathetic hyperinnervation is one of the most well characterized forms of neural remodeling and has been clearly linked to ventricular arrhythmias in animal models and humans (Cao et al., 2000a; Cao et al., 2000b). Hyperinnervation occurs in a variety of pathological conditions including myocardial infarction (MI), heart failure, and hypercholesterolemia and is characterized by non-uniform increases in local nerve density compared to control tissue (Cao et al., 2000b; Li et al., 2004b; Liu et al., 2003). It is thought that increases in nerve growth factor (NGF) are primarily responsible for hyperinnervation (Cao et al., 2000a) and blocking NGF prevents nerve sprouting following MI (Hasan et al., 2006). Conversely, sympathetic denervation is also associated with ventricular arrhythmia (Boogers et al., 2010; Fallavollita et al., 2014) and occurs in MI, diabetic neuropathy, and heart failure (Gardner and Habecker, 2013; Gardner et al., 2015; Jacobson et al., 2010; Kimura et al., 2010). Interestingly, whereas NGF stimulates axon growth, its precursor, proNGF, triggers axon degeneration (Nykjaer et al., 2004) and may be involved in post-MI denervation (Siao et al., 2012). Recently, Gardner and Habecker (2013) also identified chondroitin sulfate proteoglycans, which are present in reperfused infarcts, as responsible for chronic denervation observed in reperfused post-MI hearts.

### 3.2 Cellular Responses to Sympathetic Stimulation

Release of NE from the nerve terminals (as well as epinephrine released from the adrenal medulla) during sympathetic activation leads to prototypical chronotropic and inotropic responses of the heart. Chronotropic responses are primarily mediated via increases in the rate of diastolic depolarization of pacemaker cells in the SAN (Bucchi et al., 2007; Vinogradova et al., 2006). Inotropic responses are mediated via alterations in myocyte Ca<sup>2+</sup> handling (Figure 2). Briefly, NE (or Epi) binds to  $\beta$ -adrenergic receptors (AR) on cardiac myocytes and stimulates production of cAMP and consequent activation of protein kinase A (PKA). PKA phosphorylates several intracellular targets involved in excitation-contraction coupling, including phospholamban, L-type Ca<sup>2+</sup> channels, and ryanodine receptors (RyR) (Bers, 2002). Phospholamban is an inhibitor of the sarcoplasmic reticulum (SR) Ca-ATPase (SERCA) pump and phosphorylation of phospholamban relieves this inhibition, leading to increased SERCA activity and a subsequent increase in the Ca<sup>2+</sup> content of the SR. The amount of Ca<sup>2+</sup> released from the SR during Ca<sup>2+</sup>-induced Ca<sup>2+</sup> release (CICR) is highly dependent on SR Ca<sup>2+</sup> load (Shannon et al., 2000); therefore, during sympathetic stimulation, more Ca<sup>2+</sup> is released into the cytosol and is available to activate the myofilaments, increasing contractility. Furthermore, the  $\beta$ -AR mediated increase in L-type Ca<sup>2+</sup> current also leads to enhanced triggering Ca<sup>2+</sup> for CICR. PKA may also phosphorylate RyR, which can modulate the open probability of these channels (Marx et al., 2000; Valdivia et al., 1995), making Ca<sup>2+</sup> release more likely for any given cytosolic and luminal Ca<sup>2+</sup> concentration. However, the impact of PKA phosphorylation on RyR is currently unresolved, with mixed results in different experimental conditions (Song et al., 2001; Viatchenko-Karpinski and Györke, 2001).

In addition to impacting intracellular Ca<sup>2+</sup> handling, sympathetic stimulation also has effects on several ionic currents. NE binds to both  $\alpha$ - and  $\beta$ - ARs and the effects of both of these signaling mechanisms on ion channels have been reviewed elsewhere (Gallego et al.,



2014; Hartzell, 1988). One of the most well-known and well-studied features of  $\beta$ -AR stimulation is an increase in L-type  $\text{Ca}^{2+}$  current via phosphorylation of the channel Cav1.2 (Sperelakis, 1994). This effect, by itself, would be expected to increase the APD, but it is counterbalanced by the effect of  $\beta$ -AR on  $\text{K}^{+}$  currents, most notably an increase in IKs, but IKr may also be involved (Kagan et al., 2002; Marx et al., 2002). The net effect is typically a shortening of the APD, which is required to accommodate fast heart rates during sympathetic activity. Although the positive chronotropic and inotropic effects of sympathetic stimulation are necessary to meet increased cardiac demands during stress or exercise, sympathetic stimulation can also lead to pathological ventricular arrhythmias via focal (triggered) and/or reentrant mechanisms.

### 3.3 Focal Arrhythmia Mechanisms

At the cellular level, focal activity of ventricular myocytes during sympathetic activation is likely due to delayed afterdepolarizations (DADs) (Pogwizd and Bers, 2004), which are membrane depolarizations occurring during phase IV of the action potential. Increased cytosolic and SR  $\text{Ca}^{2+}$  levels can lead to SR  $\text{Ca}^{2+}$  overload, resulting in spontaneous opening of RyRs and  $\text{Ca}^{2+}$  release into the cytosol that is not in response to an action potential. This leads to  $\text{Ca}^{2+}$  extrusion from the cytosol via the  $\text{Na}^{+}/\text{Ca}^{2+}$  exchanger (NCX) (Pogwizd et al., 2001). NCX is electrogenic, extruding one  $\text{Ca}^{2+}$  ion in exchange for 3  $\text{Na}^{+}$  ions, which produces a net inward current. If the inward current is large enough, the cell membrane depolarizes and a triggered action potential occurs (Figure 2).

At the tissue level, however, several thousand cells must all experience DADs simultaneously in order to generate enough depolarizing current to produce a propagating action potential (called the ‘source-sink mismatch’) (Kumar et al., 1996; Xie et al., 2010). Localized application of  $\beta$ -AR agonists such as NE or isoproterenol can induce propagating premature ventricular complexes (PVCs) and sustained focal ventricular tachycardia (VT) in intact hearts (Doppalapudi et al., 2008; Myles et al., 2015; Nash et al., 2001), suggesting that hyperinnervation and localized release of NE can directly induce focal activity. Myles et al. (2012) further showed that this focal activity is due to synchronous SR  $\text{Ca}^{2+}$  overload and release and subsequent DADs among thousands of myocytes, providing a mechanistic link between the numerous experimental and clinical investigations that have found regional hyperinnervation and localized nerve sprouting accompanied by increased arrhythmia risk (Cao et al., 2000a; Cao et al., 2000b; Li et al., 2004b; Liu et al., 2003).

Recently, however, several clinical studies have revealed that the degree of sympathetic hypo-innervation or denervation (quantified with nuclear imaging) is a significant predictor of ventricular arrhythmia risk (Boogers et al., 2010), predicting cardiac arrest independent of infarct size and ejection fraction (Fallavollita et al., 2014). Chronic sympathetic denervation can lead to  $\beta$ -adrenergic super-sensitivity on myocytes, which may result from both an upregulation of  $\beta$ -ARs as well as increased responsiveness upon catecholamine binding (Vatner et al., 1985). The increased responsiveness may be attributable to a down-regulation of G-protein receptor kinase 2 (GRK2, also known as  $\beta$ ARK1) that occurs in response to denervation (Yatani et al., 2006). GRK2 is normally activated by PKA during  $\beta$ -AR stimulation and acts to inhibit  $\beta$ -AR in a negative feedback loop. A loss of GRK2 may play

an important role in denervation-induced  $\beta$ -AR supersensitivity, as GRK2 knockout mice also display  $\beta$ -AR supersensitivity (Raake et al., 2012).

Despite regional myocardial denervation, circulating plasma concentrations of NE and epinephrine are significantly higher in patients with heart disease due to increased cardiac and renal NE spillover and augmented adrenomedullary activity (Hasking et al., 1986). This increase in circulating catecholamines coupled with localized  $\beta$ -AR supersensitivity may be particularly arrhythmogenic. For example, Gardner et al. (2015) recently showed in a murine model that reperfused infarcts remain denervated and display  $\beta$ -AR supersensitivity,  $\text{Ca}^{2+}$  mishandling, and triggered arrhythmia in response to circulating  $\beta$ -AR agonists. Therefore, in much the same way that regional hyperinnervation and nerve sprouting lead to localized  $\beta$ -AR stimulation and triggered activity, denervation also leads to localized  $\beta$ -AR stimulation and triggered activity through localized supersensitivity.

### 3.4 Reentrant Arrhythmia Mechanisms

$\beta$ -AR stimulation also has a significant impact on the ventricular APD and heterogeneous remodeling of the sympathetic nerves (either hyper- or denervation), may result in increased dispersion of repolarization (DOR), setting the stage for unidirectional conduction block, wavebreak, and reentrant arrhythmia. Indeed, even in the normal heart, the base-to-apex gradient of innervation may lead to an increase in DOR during sympathetic activity. For example, Mantravadi et al. (2007) showed an increase in DOR along with a complete reversal of the direction of repolarization during sympathetic nerve stimulation in the innervated Langendorff-perfused rabbit heart. These authors further observed that application of the  $\beta$ -AR agonist isoproterenol also increased the DOR, but the spatial distribution of APD shortening was completely different, suggesting that the dramatic changes in repolarization observed with sympathetic nerve stimulation are due to heterogeneous nerve distribution. Similar results have been obtained in the porcine ventricles in which dramatically different spatial patterns of activation-recovery intervals (ARIs, surrogate measure for APD) and repolarization were observed in response to sympathetic nerve stimulation compared to circulating NE (Ajijola et al., 2013a; Yagishita et al., 2015). Furthermore, these investigators also found that nerve stimulation, but not NE infusion, increased the T-peak to T-end interval, which is an independent marker of sudden cardiac death (Yagishita et al., 2015).

The differential electrophysiologic responses to physiological nerve stimulation versus circulating catecholamines are intriguing and highlight the shortcomings of using exogenously applied catecholamines to experimentally mimic nerve activity. A major contributor to these differences is likely the heterogeneous distribution of sympathetic fibers, which upon stimulation, results in heterogeneous  $\beta$ -AR activation compared to more uniform activation during catecholamine infusion. However, it is possible that there is also differential signaling between intra-junctional and extra-junctional cardiac  $\beta$ -ARs upon catecholamine binding, as well as the potential contribution of co-transmitter release during nerve stimulation that is not accounted for with catecholamine infusion. Interestingly, both ischemic (MI) and non-ischemic models of heart failure demonstrate transdifferentiation of sympathetic neurons, in which co-release of NE and ACh has been documented (Kanazawa

et al., 2010; Olivás et al., 2016). The functional electrophysiological consequences of sympathetic transdifferentiation remain unknown and represent an important area for further study.

In addition to altered neurotransmitter release, maladaptive nerve remodeling may also include areas of hyperinnervation and/or denervation. Hence, in diseased hearts, physiological nerve activation may result in significantly greater heterogeneity of APD and DOR. Indeed, Ajijola and colleagues found that following MI, sympathetic stimulation not only caused increased DOR compared to control hearts, but that activation and propagation patterns were also significantly altered in MI hearts (Ajijola et al., 2013b). These results were confirmed in human patients with MI in which reflex sympathetic stimulation caused a 230% increase in ARI dispersion compared to patients with structurally normal hearts (Vaseghi et al., 2012).

In summary, both sympathetic hyper- and denervation of the ventricles can lead to heterogeneous  $\beta$ -AR activation, either through localized catecholamine release or localized  $\beta$ -AR supersensitivity, respectively. This non-uniform sympathetic activation increases the likelihood of focal arrhythmia triggers and creates gradients of repolarization, increasing the susceptibility to sustained reentrant arrhythmia (VT/VF). Together, these factors may lead to a 'perfect storm' of arrhythmia-provoking conditions during periods of high sympathetic drive. As discussed below, several clinical and pre-clinical studies are now demonstrating the powerful therapeutic effects of modulating autonomic activity to treat ventricular arrhythmias.

### **3.5 Modulation of the autonomic nervous system to prevent ventricular arrhythmia**

The effectiveness of implantable cardioverter-defibrillators (ICDs) for primary and secondary preventions of sudden cardiac death has resulted in an increasing number of patients presenting with recurrent, appropriate ICD shocks for VT (Moss et al., 1996). Because of the frequently insufficient success of pharmacologic therapy, catheter-based VT ablation, mainly targeting the structural arrhythmogenic substrate for VT, is commonly used in these patients but is associated with limited long-term efficacy and significant complications (Zipes et al., 2006). Despite structural substrates, which can be modified by VT-ablation (substrate modification), triggers and autonomic imbalance, characterized by increased sympathetic activation and parasympathetic withdrawal, may account for the timing of clinical presentation of the arrhythmia after ventricular injury (Figure 3). Therefore, modulation of the autonomic nervous system provides an adjunctive, in some cases even alternative treatment modality for VT. Attempts to therapeutically reduce sympathetic activation (e.g., by cardiac sympathetic denervation or renal denervation) or to increase the cardiac parasympathetic tone (e.g., by vagal stimulation and baroreceptor stimulation) may operate at any part of this integrative circuit.

#### **3.5.1 Reduction of sympathetic activation**

**3.5.1.1 General anesthesia and thoracic epidural anesthesia:** High thoracic epidural anesthesia and intubation with general anesthesia can reduce afferent and efferent sympathetic nerve impulse to the heart. Thoracic epidural anesthesia by intrathecal injection

of clonidine was shown to reduce ischemia-induced ventricular arrhythmias in a canine model and in patients with electrical storm (Bourke et al., 2010).

**3.5.1.2 Cardiac sympathetic denervation:** The stellate ganglion (or cervicothoracic ganglion) is a sympathetic ganglion formed by the fusion of the inferior cervical ganglion and the first thoracic ganglion. It is located in front of the neck of the first rib and just below the subclavian artery. MI results in a persistent increase in synaptic density of bilateral stellate ganglia and is associated with increased stellate ganglionic nerve activity indicating remodeling of the extracardiac autonomic nerve activity and structure (Han et al., 2012). Left cardiac sympathetic denervation (surgical excision of stellate ganglion, together with second and third thoracic ganglia) reduces arrhythmia in high risk patients and canines following MI, in patients with long QT syndrome (Schwartz et al., 2004), catecholaminergic polymorphic VT (Wilde et al., 2008), and cardiomyopathy and refractory ventricular arrhythmias (Bourke et al., 2010). In patients with VT storm, particularly in those with structural heart disease, bilateral cardiac sympathetic denervation seems to be more beneficial than left cardiac sympathetic denervation alone as far as reduction in ICD shocks is concerned (Vaseghi et al., 2014).

**3.5.1.3 Renal denervation (RDN):** In a pig model with acute MI, RDN has been shown to reduce ventricular ectopies and ventricular fibrillation, while arrhythmias and shortening of the monophasic APD during reperfusion were not affected by RDN (Linz et al., 2013d). Case series have provided evidence that in patients with dilated cardiomyopathy and electrical storm, RDN was able to reduce discharges from ICDs and ventricular ectopies (Remo et al., 2014; Ukena et al., 2012). In patients with ICDs and refractory ventricular arrhythmias, RDN was associated with reduced arrhythmic burden with no procedure-related complications (Armaganijan et al., 2015). Furthermore, by reducing circulating catecholamines, RDN has the potential to reduce the electrical heterogeneity between regions of scarred myocardium that demonstrate denervation supersensitivity to catecholamines and border zone regions with hyperinnervation due to nerve sprouting (Linz et al., 2014).

### 3.5.2 Modulation of parasympathetic withdrawal

**3.5.2.1 Vagal Stimulation:** Direct stimulation of cervical pre-ganglionic parasympathetic fibers activates overall cardiac vagal tone (Linz et al., 2014). Vagal stimulation, performed shortly after the onset of an acute ischemic episode in conscious animals with a healed MI can effectively prevent ventricular fibrillation independent from heart rate reduction (Vanoli et al., 1991). Additionally, vagal stimulation improved ventricular function (Li et al., 2004a), and most interestingly, has shown anti-inflammatory effects, which were associated with a reduction in ischemic injury during ischemia and reperfusion (Zhang et al., 2009) independent of heart rate changes.

**3.5.2.2 Spinal Cord Stimulation:** Increased central vagal tone and decreased sympathetic tone during thoracic SCS (Mannheimer et al., 1993) can protect against ischemic ventricular arrhythmias in a canine model of healed MI and heart failure (Issa et al., 2005). SCS had an antiarrhythmic effect on spontaneous non-sustained VT and sustained VT during ischemia-

reperfusion in association with a reduction of repolarization alterations independent of any effect on infarct size (Odenstedt et al., 2011).

#### 4. Conclusions and future perspectives

A complex interplay exists between the intrinsic and extrinsic cardiac nervous systems, and between their sympathetic and parasympathetic branches. These inputs are well documented to contribute to atrial and ventricular remodeling, creating an arrhythmogenic substrate. Despite such intricacies, we have highlighted key autonomic factors that play a role in normal sinus rhythm, and in atrial and ventricular tachyarrhythmias. Preclinical studies and first clinical observations suggest that modulation of the autonomic nervous system provides an adjunctive or maybe even alternative treatment modality for prevention of atrial and ventricular arrhythmias. Attempts to therapeutically modulate sympathetic and parasympathetic tone target the problem differently and consequently, it has become evident that the effect of autonomic nervous system modulation on cardiac arrhythmogenesis is not only highly complex, but also difficult to predict. Therefore, detailed studies from the molecular to the organismal levels, and from the bench to the bedside are becoming increasingly necessary to gain further insights into: 1) the roles of the extrinsic and intrinsic nervous systems in normal and abnormal cardiac electrophysiology; and 2) the interventional modulation of the autonomic nervous system for arrhythmia prevention.

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#### List of Abbreviations

<b>ACh</b>	Acetylcholine
<b>AF</b>	Atrial fibrillation
<b>APD</b>	Action potential duration
<b>AR</b>	Adrenergic receptor
<b>ARI</b>	Activation-recovery interval
<b>AV</b>	Atrioventricular
<b>AVN</b>	Atrioventricular node
<b>CFAE</b>	Complex fractionated atrial electrogram
<b>CICR</b>	Ca <sup>2+</sup> -induced Ca <sup>2+</sup> release
<b>DOR</b>	Dispersion of repolarization
<b>DAD</b>	Delayed afterdepolarization
<b>EAD</b>	Early afterdepolarization

<b>ECNS</b>	Extrinsic cardiac nervous system
<b>GP</b>	Ganglionated plexi
<b>GRK</b>	G-protein receptor kinase
<b>HR</b>	Heart rate
<b>ICD</b>	Implantable cardioverter-defibrillator
<b>ICNS</b>	Intrinsic cardiac nervous system
<b>LL-VNS</b>	Low-level vagal nerve stimulation
<b>MI</b>	Myocardial infarction
<b>NCX</b>	Na <sup>+</sup> /Ca <sup>2+</sup> exchanger
<b>NE</b>	Norepinephrine
<b>NGF</b>	Nerve growth factor
<b>PKA</b>	Protein kinase A
<b>PV</b>	Pulmonary vein
<b>PVG</b>	Pulmonary vein ganglia
<b>RDN</b>	Renal denervation
<b>RyR</b>	Ryanodine receptor
<b>SAN</b>	Sinoatrial node
<b>SCS</b>	Spinal cord stimulation
<b>SERCA</b>	Sarcoplasmic reticulum Ca-ATPase
<b>SR</b>	Sarcoplasmic reticulum
<b>VF</b>	Ventricular fibrillation
<b>VT</b>	Ventricular tachycardia

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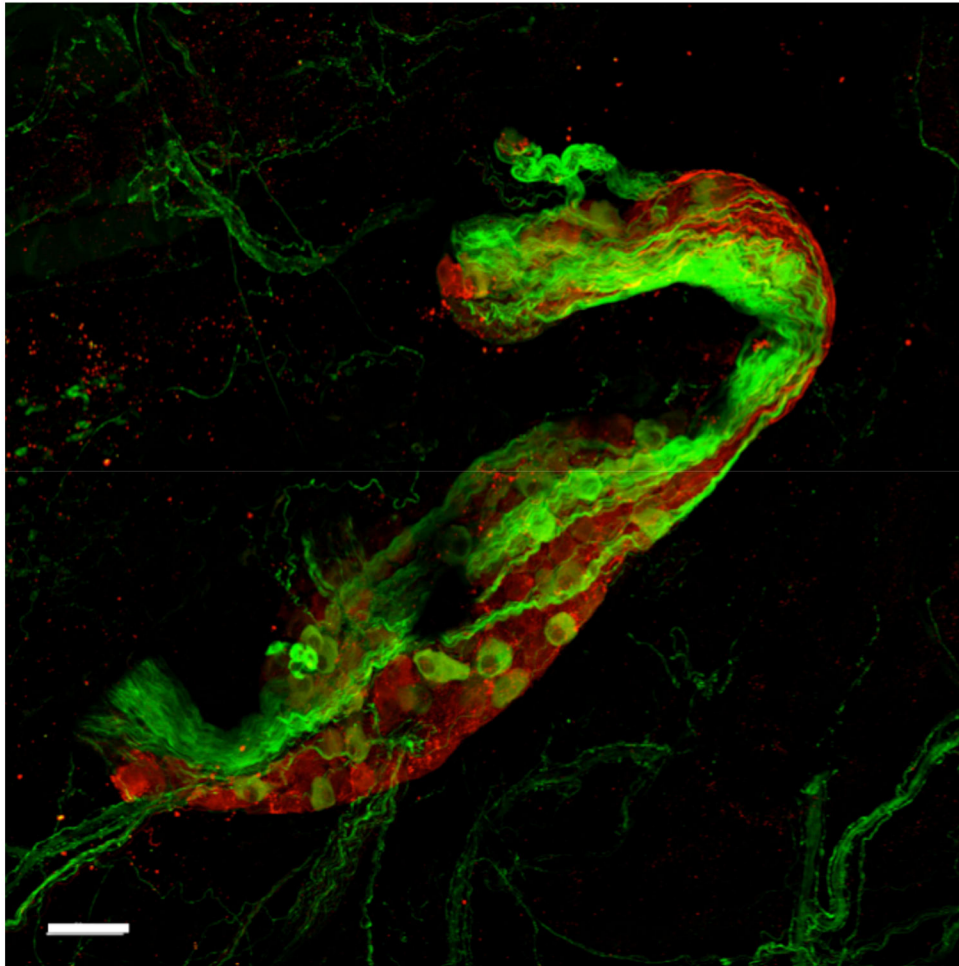


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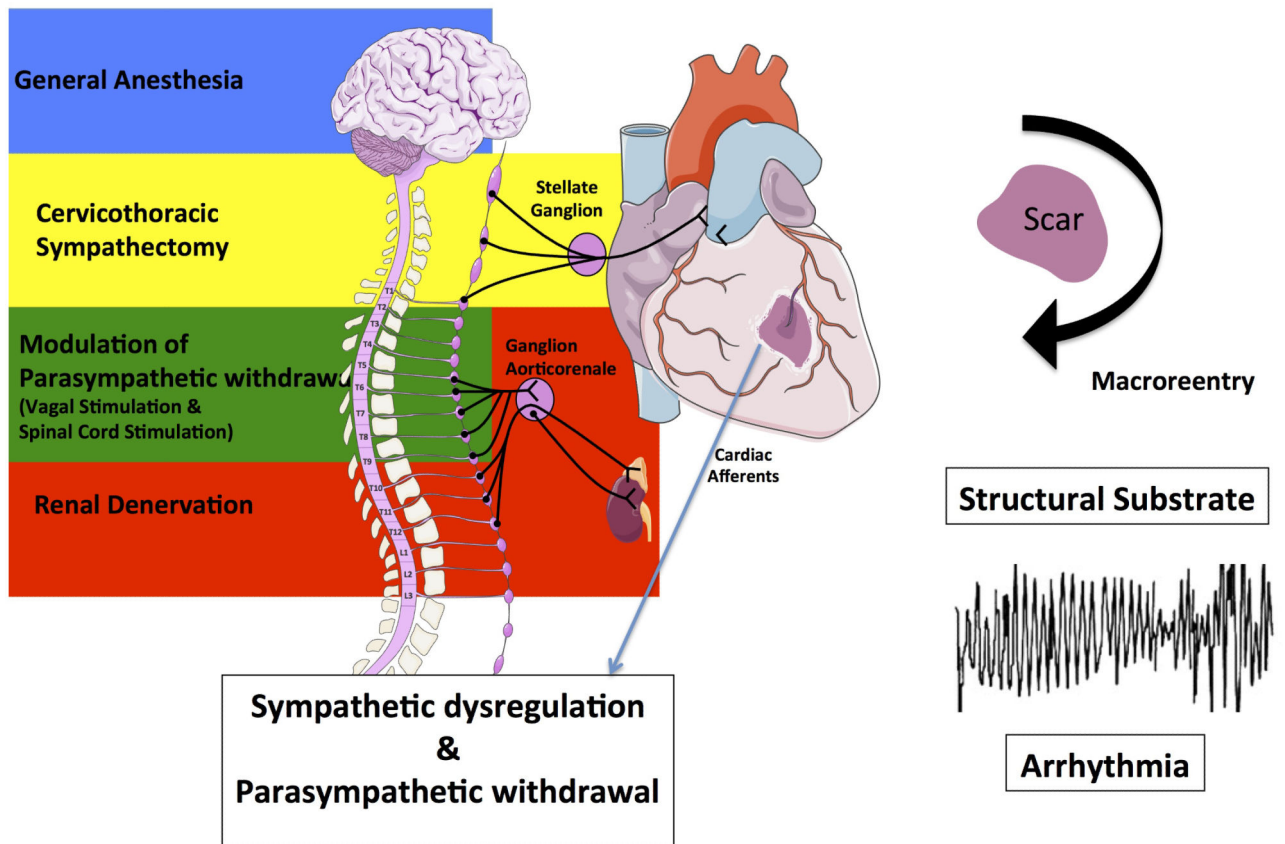
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**Figure 1.** Mouse pulmonary vein ganglion stained with tyrosine hydroxylase (green, sympathetic), and choline acetyltransferase (red, parasympathetic). The ganglion is composed of sympathetic and parasympathetic somas. Staining was done as described earlier (Zaroso et al., 2013). Scale bar: 50  $\mu\text{m}$ .





**Figure 3.** Impact of ventricular injury on scar generation and subsequent development of a structural substrate for macroreentries and ventricular arrhythmias as well as on cardiac afferents resulting in sympathetic dysregulation and parasympathetic withdrawal. Different regulatory centers of cardiac efferent sympathetic (brain, stellate ganglion, renal sympathetic nerves) and parasympathetic (vagus nerve, spinal cord) activation are shown on the left. Interventional strategies to reduce sympathetic activation (e.g. by cardiac sympathetic denervation or renal denervation) or to increase the cardiac parasympathetic tone (e.g. by vagal stimulation and baroreceptor stimulation) are provided.