



# **Prime, Shock, and Kill: Priming CD4 T Cells from HIV Patients with a BCL-2 Antagonist before HIV Reactivation Reduces HIV Reservoir Size**

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### **ABSTRACT**

**Understanding how some HIV-infected cells resist the cytotoxicity of HIV replication is crucial to enabling HIV cure efforts. HIV killing of CD4 T cells that replicate HIV can involve HIV protease-mediated cleavage of procaspase 8 to generate a fragment (Casp8p41) that directly binds and activates the mitochondrial proapoptotic protein BAK. Here, we demonstrate that Casp8p41 also binds with nanomolar affinity to the antiapoptotic protein Bcl-2, which sequesters Casp8p41 and prevents apoptosis. Fur**ther, we show that central memory CD4 T cells (T<sub>CM</sub>) from HIV-infected individuals have heightened expression of BCL-2 relative to procaspase 8, possibly explaining the persistence of HIV-infected  $T_{CM}$  despite generation of Casp8p41. Consistent with **this hypothesis, the selective BCL-2 antagonist venetoclax induced minimal killing of uninfected CD4 T cells but markedly increased the death of CD4 T cells and diminished cell-associated HIV DNA when CD4 T cells from antiretroviral therapy (ART)**  $s$ uppressed HIV patients were induced with  $\alpha$ CD3/ $\alpha$ CD28 to reactivate HIV *ex vivo*. Thus, priming CD4 T cells from ART sup**pressed HIV patients with a BCL-2 antagonist, followed by HIV reactivation, achieves reductions in cell-associated HIV DNA, whereas HIV reactivation alone does not.**

### **IMPORTANCE**

**HIV infection is incurable due to a long-lived reservoir of HIV memory CD4 T cells, and no clinically relevant interventions have been identified that reduce the number of these HIV DNA-containing cells. Since postintegration HIV replication can result in HIV protease generation of Casp8p41, which activates BAK, causing infected CD4 T cell death, we sought to determine whether this occurs in memory CD4 T cells. Here, we demonstrate that memory CD4 T cells can generate Casp8p41 and yet are intrinsically resistant to death induced by diverse stimuli, including Casp8p41. Furthermore, BCL-2 expression is relatively increased in these cells and directly binds and inhibits Casp8p41's proapoptotic effects. Antagonizing BCL-2 with venetoclax derepresses this antagonism, resulting in death, preferentially in HIV DNA containing cells, since only these cells generate Casp8p41. Thus, BCL-2 antagonism is a clinically relevant intervention with the potential to reduce HIV reservoir size in patients.**

Intense activity is focused on identifying a clinical intervention<br>that results in a long term, drug free remission of HIV-1 infecthat results in a long term, drug free remission of HIV-1 infection. Latently infected CD4 T cells harbor transcriptionally silent, replication-competent HIV. Because these cells persist long term and are unaffected by current therapies, the cells represent an HIV reservoir that remains undiminished by current approaches. Pilot clinical trials have tested whether reactivation of HIV-1 from latency will decrease the number of cells containing HIV DNA due to viral cytopathic effect or immune-mediated clearance. The latency reversal agents vorinostat, panobinostat, and romidepsin result in HIV reactivation, as measured by increases in cell-associated HIV RNA, but no change in cell-associated HIV DNA, indicating that the reactivating cells do not die  $(1-4)$  $(1-4)$  $(1-4)$ . Multiple ongoing studies are testing augmentation of the anti-HIV immune response in combination with viral reactivation as a strategy for HIV eradication.

In an effort to inform these attempts to eradicate the HIV reservoir, we and others have been examining the mechanistic basis for HIV-induced killing of CD4 T cells under different circumstances of activation and HIV replication. Although numerous pathways may contribute to the decline of uninfected CD4 T cells during uncontrolled HIV infection [\(5\)](#page-13-3), fewer pathways have been implicated in the demise of cells directly infected by HIV. After HIV attachment, at least three distinct pathways can initiate the death of infected cells: (i) RIG-I-mediated sensing of HIV RNA [\(6,](#page-13-4) [7\)](#page-13-5), (ii) IFI-16 sensing of unintegrated HIV DNA [\(8,](#page-13-6) [9\)](#page-13-7), and (iii) DNA-PK-sensing of HIV integrase nicking of host DNA [\(10\)](#page-13-8). Once integrated into host DNA, HIV can remain in a latent state

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for years, or it can reactivate and replicate. When HIV reactivation and replication occur, HIV protease is active within the cytosol [\(11\)](#page-13-9), where it cleaves both viral and host substrates, leading to apoptotic cell death [\(12\)](#page-13-10). HIV protease-induced death is dependent upon cleavage of the host protein Procaspase 8 to generate the fragment Casp8p41 [\(13](#page-13-11)-[15\)](#page-13-13), which contains an  $\alpha$ -helical BH3-like domain that binds and activates the proapoptotic BCL-2 family member BAK, triggering mitochondrial outer membrane permeabilization (MOMP) and apoptosis [\(16,](#page-13-14) [17\)](#page-13-15). Paradoxically, when latently HIV-infected CD4 T cells reactivate HIV, very few cells die [\(18\)](#page-13-16). In the present study, we sought to determine whether cells that reactivate HIV can generate Casp8p41 and, if so, how they are able to resist its proapoptotic effects.

## **MATERIALS AND METHODS**

**Study design.** The objectives of our studies were (i) to determine whether BCL-2 expression impacted Casp8p41-induced cell death in the context of HIV infection and (ii) to determine whether antagonism of BCL-2, along with viral reactivation, decreased the HIV burden. To accomplish this, we used combinations of *in vitro* and *ex vivo* studies involving immortalized T cell lines, primary uninfected CD4 T cells, and primary CD4 T cells from antiretroviral therapy (ART)-suppressed HIV-positive patients (see details below). The number of replicates for each experiment is detailed in the text or figure legends. All human studies were performed with the approval of the Mayo Clinic Institutional Review Board (IRB protocol 1039-03) in accordance with all applicable federal, state, and local regulations. Informed written consent was obtained from all participants prior to inclusion.

**Cell culture.** Jurkat cells and HEK 293T cells were obtained from the American Type Culture Collection (Manassas, VA). Jurkat cells stably overexpressing BCL-2 were created by transfecting Jurkat cells with pCDNA3/BCL-2 (kindly provided by Stan Korsmeyer), selecting in Geneticin for 30 days, and confirming overexpression via Western blotting. Jurkat cells stably expressing enhanced green fluorescent protein (eGFP) were constructed by stable transfection with eGFP-N1, followed by selection in G418, and then two rounds of sterile flow sorting for eGFP-positive cells. HIV-uninfected primary peripheral blood mononuclear cells (PB-MCs) were harvested by Ficoll-Hypaque gradient centrifugation from leukocyte reduction system apheresis chambers from healthy volunteer blood donors in accordance with Mayo Clinic IRB protocol 1039-03 [\(19\)](#page-13-17). Primary bulk CD4 T cells were isolated by using a RosetteSep human  $CD4<sup>+</sup>$  T cell enrichment cocktail (Stem Cell Technologies), activated for  $24$  h with 1  $\mu$ g/ml phytohemagglutinin, washed in medium, and incubated for 48 h with 50 U/ml interleukin-2 (IL-2) prior to HIV infection. Central memory CD4 T cells  $(T_{CM})$  and effector memory CD4 T cells  $(T_{FM})$  were treated with CH11 (anti-Fas; 1  $\mu$ g/ml), cycloheximide (CHX; 10 μg/ml), etoposide (20 μM), camptothecin (20 μM), CCCP (carbonyl cyanide *m*-chlorophenylhydrazone; 1  $\mu$ M), or hydrogen peroxide (0.35 mM) for 16 h to induce cell death.

**Plasmid and peptide construction.** Casp8p41 in pEGFP-C1, pcDNA3, and glutathione *S*-transferase (GST) were previously described [\(15,](#page-13-13) [20\)](#page-13-18). Plasmids encoding BCL-2, BCL-X<sub>L</sub>, and MCL-1 were previously described [\(21\)](#page-13-19). The indicated Casp8p41 and BCL-2 mutations were introduced using site-directed mutagenesis (Agilent Technologies, Santa Clara, CA) and confirmed by sequencing.

**Transfection.** HEK 293T cells were transfected using Lipofectamine (Invitrogen, Carlsbad, CA) according to the manufacturer's protocol. Jurkat cells were transfected using a square-wave electroporator (BXT, San Diego, CA) delivering 320 V for 10 ms.

**Immunoprecipitations and Western blots.** 293T cells transfected with empty vector, HA-Casp8p41, or HA-Casp8p41 V150E/L157K were collected after 24 h, washed with PBS, lysed (20 mM Tris-HCl [pH 7.5], 150 mM NaCl, 1% CHAPS {3-[(3-cholamidopropyl)-dimethylammonio]-1-propanesulfonate}, 2  $\mu$ g/ml aprotinin, 10  $\mu$ g/ml leupeptin, 2

g/ml pepstatin, and 1 mM phenylmethylsulfonyl fluoride [PMSF]) for 10 min on ice, and centrifuged at 15,000  $\times$  g for 5 min at 4°C. Aliquots containing 500  $\mu$ g of protein were precleared with 25  $\mu$ l of protein A/Gagarose (Santa Cruz Biotechnology, Santa Cruz, CA) and incubated with  $5 \mu$ g of anti-BCL-2 (C21; Santa Cruz Biotechnology) overnight at  $4^{\circ}$ C. Samples were supplemented with 10  $\mu$ l of protein-A/G agarose, followed by incubation for an additional 2 h before sedimentation. Beads were washed three times with 10 volumes of lysis buffer. Bound protein was eluted and subjected to SDS-PAGE, followed by immunoblotting as previously described [\(16\)](#page-13-14). The primary antibodies used were anti-HA peroxidase high-affinity 3F10 (Roche, St. Louis, MO) and the antibodies listed above.

**Protein expression and purification.** Plasmids for GST-tagged proteins were transformed into *Escherichia coli* BL21 or DH5 $\alpha$  by heat shock, grown to an optical density of 0.8, and induced with 1 mM IPTG (isopropyl- $\beta$ -D-thiogalactopyranoside) for 3 h at 37°C. Bacteria were freezethawed in calcium- and magnesium-free Dulbecco phosphate-buffered saline containing 0.1% Triton X-100, 2  $\mu$ g/ml aprotinin, 10  $\mu$ g/ml leupeptin,  $2 \mu g/ml$  pepstatin, and  $1 \mu M$  PMSF and then sonicated three times for 15 s/min on ice. GST-tagged proteins were purified with glutathione-agarose (Thermo Fisher Scientific, Rockford, IL).

**SPR.** Proteins used for surface plasmon resonance (SPR) analyses were further purified by fast-performance liquid chromatography on Superdex S200, concentrated in a centrifugal concentrator (Centricon; Millipore), dialyzed against Biacore buffer (10 mM HEPES [pH 7.4], 150 mM NaCl, 0.05 mM EDTA, 0.005% [wt/vol] Polysorbate 20), and stored at 4°C for 48 h before use. Binding assays were performed at 25°C on a Biacore 3000 biosensor (Biacore, Uppsala, Sweden) using the specified proteins immobilized on a CM5 chip (GE Healthcare). Ligands were injected at 30 l/min for 1 min in Biacore buffer. Bound protein was allowed to dissociate in Biacore buffer at 30  $\mu$ l/min for 10 min and then desorbed with 2 M MgCl<sub>2</sub>. Binding kinetics were derived using BIA evaluation software (Biacore).

**Flow cytometry.** Immunophenotyping of T cell subsets was performed using multicolor flow cytometry with monoclonal antibodies to human CD3 (Alexa 700; BD Pharmingen), CD4 (FITC; BD Pharmingen), CD8 (Pacific Blue; BD Pharmingen), CD27 (PE; BD Pharmingen), and CD45RO (ECD; Beckman Coulter).  $T_{CM}$  cells were defined as  $CD3^+$ CD4<sup>+</sup> CD27<sup>+</sup> CD45RO<sup>+</sup>; T<sub>EM</sub> cells were defined as CD3<sup>+</sup> CD4<sup>+</sup> CD27<sup>-</sup>  $CD45RO^{+/-}$  [\(22\)](#page-13-20). Intracellular expression of Casp8p41 was assessed as previously described [\(23\)](#page-13-21). Cell death was measured using Live/Dead Fixable Aqua dead cell stain (Invitrogen) or TUNEL (terminal deoxynucleotidyltransferase-mediated dUTP-biotin nick end labeling; Roche) according to the manufacturer's protocol. Gating for TUNEL staining was based on unstained, untransfected controls. Intracellular staining for active BAK (MAb clone TC-100; Enzo Life Sciences) or active caspase 3 was performed and assessed via flow cytometry as previously described [\(17\)](#page-13-15). Cell proliferation was measured using CellTrace CFSE cell proliferation kit (Life Technologies) according to the manufacturer's protocol. Fluorescence-activated cell sorting (FACS) analysis was performed on either a FACScan or LSRII flow cytometer (BD Biosciences) based on multiparameter needs. FACS data were analyzed using FlowJo software (Tree Star, Inc.).

**HIV infections.**Jurkat and Jurkat-BCL-2 cells were infected overnight with HIV-1IIIb (NIH AIDS Reagent Program). Aliquots of the same infectious supernatant were used for all experiments. Cells were then washed three times and incubated in fresh medium. HIV-1 p24 in the cell culture supernatant was measured by Retrotek ELISA kits (Zeptometrix Corporation) according to the manufacturer's protocol. Cell-associated HIV-1 DNA was measured using a previously validated assay as follows [\(24\)](#page-14-0). Total DNA was extracted using a Qiagen DNeasy blood and tissue kit (Hilden, Germany) and analyzed by a real-time PCR assay specific for HIV-LTR and  $\beta$ -globin primers. A standard curve of pNL4-3 plasmid from  $10<sup>6</sup>$  through to 10 copies was used as an internal control. Briefly, a 300 nM concentration of the sense primer RU5-F (5'-TTAAGCCTCAAT

AAAGCTTGCC-3') and the antisense primer RU5-R (5'-GTTCGGGCG CCACTGCTAGA-3') were used in conjunction with a 300 nM concentration of a dually labeled fluorogenic TaqMan probe (5'-FAM-CCAGA GTCACACAACAGACGGGCACA-TAMRA-3'). For a 20-µl reaction, 10  $\mu$ l of gene expression master mix (Applied Biosystems) was used with 5  $\mu$ l of genomic DNA. PCR conditions consisted of one cycle of 95°C for 3 min, followed by 45 cycles of 95°C for 15 s and 60°C for 1 min. Total HIV-1 DNA was compared and normalized with genomic DNA, determined by  $\beta$ -globin detection using the Applied Biosystems (Carlsbad, CA). HIV-1 proviral DNA levels are expressed as HIV-1 copies/ $\beta$ -globin genomic equivalent of  $10^6$  cells. HIV RNA in cell culture supernatant was measured by using a COBAS AmpliPrep/COBAS TaqMan HIV-1 test.

**Next-generation sequencing.** Primary  $T_{CM}$  and  $T_{EM}$  cells were isolated using  $CD4^+$  central memory T cell isolation and  $CD4^+$  effector memory T cell isolation kits (MACS Miltenyi Biotec). After RNA was extracted (Qiagen RNeasy kit), the quality of total RNA samples was assessed by using an Agilent bioanalyzer (Santa Clara, CA). RNA libraries were prepared according to the manufacturer's instructions for the TruSeq RNA sample preparation kit (version 2; Illumina, San Diego, CA).  $Poly(A)$  mRNA was purified from the total RNA using oligo(dT) magnetic beads. The purified mRNA was fragmented at 95°C for 8 min, eluted from the beads, and primed for first-strand cDNA synthesis. The RNA fragments were then copied into first-strand cDNA using SuperScript III reverse transcriptase and random primers (Invitrogen). Second-strand cDNA synthesis was performed using DNA polymerase I and RNase H. The double-stranded cDNA was purified using a single AMPure XP bead (Agencourt, Danvers, MA) cleanup step. cDNA ends were repaired and phosphorylated using Klenow, T4 polymerase, and T4 polynucleotide kinase, followed by a single AMPure XP bead cleanup. The blunt-ended  $c$ DNAs were modified to include a single  $3'$  adenylate (A) residue using Klenow Exo-Minus (3' to 5' Exo Minus). Paired-end DNA adaptors (Illumina) with a single "T" base overhang at the 3' end were ligated to an "A-tailed" cDNA population. Unique indices, included in the standard TruSeq kits (12-Set A and 12-Set B) were incorporated at the adaptor ligation step for multiplex sample loading on the flow cells. The resulting constructs were purified by two consecutive AMPure XP bead cleanup steps. The adapter-modified DNA fragments were then enriched by 12 cycles of PCR using primers included in the Illumina sample preparation kit. Libraries were loaded onto paired end flow cells at concentrations of 8 to 10 pM to generate cluster densities of 700,000/mm2 according to Illumina's standard protocol using the Illumina cBot and cBot paired-end cluster kit (version 3). The flow cells were sequenced as  $51\times2$  paired-end reads on an Illumina HiSeq 2000 using the TruSeq SBS sequencing kit version 3 and HCS v2.0.12 data collection software. Base-calling was performed using Illumina's RTA version 1.17.21.3. Data were analyzed according to a Mayo Clinic-developed protocol for analyzing RNA sequencing data, [\(http://www.biomedcentral.com/content/pdf/1471-2105-15-22](http://www.biomedcentral.com/content/pdf/1471-2105-15-224.pdf) [4.pdf\)](http://www.biomedcentral.com/content/pdf/1471-2105-15-224.pdf). Once the gene counts were provided by MAP-RSeq, a differential expression and gene set enrichment analysis was performed. DESeq [\(25\)](#page-14-1), an r package, was used to normalize and quantify the log fold change, the *P* values, and the false discovery rate between the groups. A hypergeometric test was then performed on all targets with an absolute  $log<sub>2</sub>$ -fold change  $of$   $>$ 1 to investigate the enrichment of genes associated with cell death and cell proliferation. Cell death and cell proliferation genes were gathered from DeathBase [\(26\)](#page-14-2), Gene Ontology [\(27\)](#page-14-3), and KEGG [\(28\)](#page-14-4) pathways.

**Statistics.** Mean values of experimental results were compared by *t* tests (nonpaired for transformed cell line experiments and paired for primary cell experiments) or by analysis of variance (ANOVA) or Friedman tests as appropriate. The results of time course experiments were compared by area-under-the-curve (AUC) analyses, with mean AUC values compared by *t* tests. A  $P$  value of  $\leq$  0.05 was considered statistically significant.

**Molecular modeling.** The initial structure of the Casp8p41·BCL-2 complex was generated by manually docking the BH3-like domain of Casp8p41 (residues 142 to 162) in the  $\alpha$ -helical conformation into the

vacated BH3-binding groove of BCL-2 (residues 50 –203) that was taken from the crystal structure of human BCL-2 (Protein Data Bank ID 4AQ3; residue 9 of the 4AQ3 structure corresponds to residue 50 of the human BCL-2 sequence of NCBI accession no. [P10415\)](http://www.ncbi.nlm.nih.gov/nuccore?term=P10415). This manual docking placed V150<sup>Casp8p41</sup> in the proximity of L137<sup>BCL-2</sup> and L157<sup>Casp8p41</sup> close to V148BCL-2. All Glu, Asp, Arg, and Lys residues were treated as Glu, Asp, Arg, and Lys, respectively. His $94^{BCL-2}$  was treated as histidine with hydrogen on the epsilon nitrogen (HIE), and all other His residues were treated as histidine with hydrogens on both nitrogens (HIP). The topology and coordinate files of the complex were generated by using LEAP of Amber-Tools 1.5 (University of California, San Francisco). The complex was refined by energy minimization using SANDER of AMBER 11 (University of California, San Francisco) with a dielectric constant of 1.0 and 200 cycles of steepest-descent minimization, followed by 300 cycles of conjugate-gradient minimization using Amber Forcefield FF12MC. Developed by Yuan-Ping Pang, FF12MC is based on Amber Forcefield FF99 with changes of (i) reducing all atomic masses by 10-fold to improve configurational sampling  $(29, 30)$  $(29, 30)$  $(29, 30)$ , (ii) shortening C–H bonds by 10 to 14%  $(1.09)$ to 0.98 Å for the aliphatic; 1.08 to 0.93 Å for the aromatic)  $(31)$ , and  $(iii)$ zeroing torsion potentials involving a nonperipheral sp3 atom with reduction of scaling factors of protein backbone torsions  $\phi$  and  $\psi$  (from 2.00 to 1.00 for the van der Waals interaction; from 1.20 to 1.18 for the electrostatic interaction) [\(32\)](#page-14-8). The energy minimized complex was then solvated by using the LEAP module with 5946 TIP3P water molecules with the distance parameter of 8.2 Å for the solvatebox command. The solvated complex system was energy minimized for 100 cycles of steepest-descent minimization, followed by 900 cycles of conjugate-gradient minimization to remove close van der Waals contacts in the system, then heated from 0 to 300 K at a rate of 10 K/ps under constant temperature and constant volume, and finally simulated in 10 unique, independent 10-ns molecular dynamics simulations using PMEMD of AMBER 11 with FF12MC. The 10 unique seed numbers for initial velocities of simulations 1 to 10 are 1804289383, 846930886, 1681692777, 1714636915, 1957747793, 424238335, 719885386, 1649760492, 596516649, and 1189641421, respectively. These all-atom, isothermal-isobaric molecular dynamics simulations used (i) a dielectric constant of 1.0, (ii) the Berendsen coupling algorithm [\(33\)](#page-14-9), (iii) the particle mesh Ewald method to calculate longrange electrostatic interactions [\(34\)](#page-14-10), (iv) a time step of 1.0 fs, (v) SHAKEbond-length constraints applied to all the bonds involving the H atom, (vi) a protocol to save the image closest to the middle of the "primary box" to the restart and trajectory files, (vii) a formatted restart file, (viii) a nonbonded cutoff of 8.0 Å, and (ix) default values of all other inputs of PMEMD. All simulations were performed on 10 12-core Apple Mac Pros with Intel Westmere (2.40 GHz) processors. A cluster analysis of all conformations saved at 100-ps intervals from the 10 10-ns molecular dynamics simulations was performed using PTRAJ of AmberTools 1.5 with the average-linkage algorithm [\(35\)](#page-14-11) (epsilon of 2.0 Å and root mean square coordinate deviation on all  $C\alpha$  atoms of the BH3-like domain). The occurrences of the three most populated clusters were 67, 6, and 5%, respectively. The structure displayed in [Fig. 4D](#page-7-0) is the representative conformation of the most populated cluster identified by PTRAJ.

### **RESULTS**

Apoptosis resistance of CD4 central memory T cells  $(T_{CM})$ . HIV is currently incurable due to the presence of HIV integrated into the genome of resting cells, predominantly  $T_{CM}$ , which constitute the major reservoir for HIV in patients on suppressive ART [\(36\)](#page-14-12). In the setting of HIV reactivation from latency, the "shock and kill hypothesis" [\(37\)](#page-14-13) predicts that HIV reactivation should cause reactivating cells to die. This is not, however, the case  $(18)$ . In the context of recent advances in understanding of the biology of Casp8p41, there are two possible reasons why reactivating cells might not die: either Casp8p41 is not generated, or the proapoptotic effects of Casp8p41 are antagonized by intrinsic apoptosis



<span id="page-3-0"></span>**FIG** 1 Central memory CD4 T cells express CASP8 and Casp8p41 in HIV infection. (A) Cytosolic extracts from  $T_{CM}$  and  $T_{EM}$  were assessed for expression of Casp8 and BCL-2 by Western blotting and densitometry. (B) PBMCs from five HIV-infected patients were assessed for intracellular Casp8p41 expression in CD4 T cell subsets and in CD3 negative cells. (C) Depicted is representative flow cytometry data showing the gating strategy to determine intracellular Casp8p41 expression in CD4<sup>+</sup> T cell subsets: naive (T<sub>N</sub>), central memory (T<sub>CM</sub>), effector memory (T<sub>EM</sub>), and total CD4 T cells. CD3<sup>-</sup> cells, which are not infected by HIV and thus do not express HIV protease, were used as negative gating controls.

resistance mechanisms. Since  $T_{CM}$  express detectable levels of caspase 8 [\(Fig. 1A\)](#page-3-0), we analyzed whether  $T_{CM}$  from five chronically HIV-infected persons generate Casp8p41 *in vivo* [\(Fig. 1B](#page-3-0) and [C\)](#page-3-0). Flow cytometry readily detected Casp8p41 in 0.006 to 1.86% of  $T_{CM}$ , as well as 0 to 0.36% of  $T_N$  and 0.006 to 1.22% of  $T_{EM}$ , but not in CD3-negative cells. This frequency of Casp8p41 positivity in resting memory CD4 T cells is similar to the frequency of Gag sequences in memory CD4 T cells found in another study which detected 100 to 10,000 Gag copies per  $10<sup>5</sup>$  highly purified sorted memory CD4 T cells from HIV-infected patients [\(38\)](#page-14-14). Moreover, our current findings are consistent with our previous analyses where we determined the phenotype of Casp8p41-positive cells and found that Casp8p41 was not detected in cells from HIV-1 negative patients, nor was it detected in CD8 cells, CD14 cells, or CD16 cells from HIV-1-infected patients, and yet both memory and naive CD4 T cells from HIV-infected patients were Casp8p41 positive, with the majority belonging to the memory  $CD4^+$  T-cell subset [\(14,](#page-13-12) [23,](#page-13-21) [39\)](#page-14-15). Together, these data suggest that the failure of  $T_{CM}$  to die when HIV reactivates is not due to a failure to generate Casp8p41, but is instead perhaps due to antagonism of Casp8p41 mediated killing.

 $T_{CM}$  cells are long-lived and proliferate in response to antigenic restimulation. In contrast,  $T_{EM}$ , which are a lesser HIV reservoir [\(40\)](#page-14-16), are found in areas of inflammation and have a shorter halflife than  $T_{CM}$  [\(41\)](#page-14-17). The longer life span of  $T_{CM}$  prompted us to speculate that these cells might be intrinsically resistant to death stimuli. To assess this possibility, we treated primary PBMCs from three uninfected donors with a variety of proapoptotic stimuli and analyzed cell death in  $T_{CM}$  and  $T_{EM}$  [\(Fig. 2A](#page-4-0) and [B\)](#page-4-0). Not only did  $T_{CM}$  die less in response to the Fas receptor activation (agonistic antibody CH11) compared to  $T_{EM}$  (absolute difference =  $-19.0\% \pm 3.7\%, P = 0.006$ , which is consistent with an earlier report [\(42\)](#page-14-18), but  $T_{CM}$  also died less in response to chemotherapy agents such as etoposide and camptothecin (CPT), suggesting a global death resistance.

To understand this apoptosis resistance of  $T_{CM}$ , we performed RNA-seq using cells from two HIV-infected donors with longterm suppressed HIV infection. Compared to  $T_{EM}$ ,  $T_{CM}$  upregulated 6 proliferation genes and downregulated 46 cell death genes by at least 2-fold ( $P = 6.5 \times 10^{-6}$  for enrichment within the death and proliferation gene sets, [Fig. 2C\)](#page-4-0).

Recently, we demonstrated that Casp8p41-induced cell death



<span id="page-4-0"></span>**FIG 2** Central memory CD4 T cells are more resistant to apoptosis than effector memory CD4 T cells. (A and B) PBMCs from three donors were treated overnight with CH11, cycloheximide (CHX), etoposide (ETP), camptothecin (CPT), carbonyl cyanide 3-chlorophenylhydrazone (CCCP), or hydrogen peroxide  $(H<sub>2</sub>O<sub>2</sub>)$ . Cell death was assessed by flow cytometry using light scatter (A) and Live/Dead stains (B); mean (SEM) results of treatment specific killing (treatmentcontrol) from three independent donors. (C)  $T_{CM}$  and  $T_{EM}$  were isolated from two HIV-infected donors by magnetic bead separation and gene expression measured by RNA sequencing. Depicted are differentially expressed genes associated with cell proliferation and cell death. The genes are sorted by log<sub>2</sub>-fold change. At the top are the cell proliferation genes. Below are the cell death genes. (D) Gene expression differences in  $T_{CM}$  versus  $T_{EM}$  were validated in a separate publicly available data set of six uninfected donors.

depends on the interaction between a BH3-like  $\alpha$ -helical domain of Casp8p41 and BAK [\(17\)](#page-13-15). Since BCL-2 antagonizes Bak activation in lymphoid cells [\(43\)](#page-14-19) by binding and neutralizing proapoptotic proteins containing BH3 domains, we assessed the relative expression of BCL-2 and procaspase 8 protein in  $T_{CM}$  versus  $T_{EM}$ . This analysis demonstrated that the BCL-2/procaspase 8 ratio is 60% higher in  $T_{CM}$  than in  $T_{EM}$  [\(Fig. 1A\)](#page-3-0), suggesting that  $T_{CM}$ might resist the prodeath effects of Casp8p41 by virtue of elevated BCL-2 expression. These results are consistent with previously published [\(44\)](#page-14-20) gene expression data [\(GSE61697,](http://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE61697) Gene Expression Omnibus) showing a higher expression of BCL-2 and a lower expression of four other genes that impact T cell survival (TNFRSF9, TNFRSF11A, PRF1, and GZMA) in  $T_{CM}$  than in  $T_{EM}$  [\(Fig. 2D\)](#page-4-0). Of note, BCL-2 RNA expression was not significantly different in the two patients analyzed in [Fig. 2C.](#page-4-0)

**BCL-2 inhibits Casp8p41-induced cell death.** To assess whether BCL-2 alters the ability of Casp8p41 to kill cells, we compared the responses of parental Jurkat T cells versus Jurkat cells

stably overexpressing BCL-2 (Jurkat-BCL-2) to transient GFP-Casp8p41 expression. After transfection, Casp8p41-induced apoptosis, as measured by TUNEL positivity [\(Fig. 3A](#page-6-0) and [B\)](#page-6-0), was greater in parental Jurkat cells than Jurkat-BCL-2 cells (mean AUC of 1,500 versus 870 [95% confidence interval of difference   $-790$  to  $-480$ ;  $P = 0.004$ ]) despite similar Casp8p41 expression [\(Fig. 3C\)](#page-6-0). Thus, cells overexpressing BCL-2 die less in response to Casp8p41, indicating that BCL-2 antagonizes Casp8p41-induced killing.

To determine whether BCL-2 overexpression impacts Casp8p41 mediated BAK activation, Jurkat or Jurkat-BCL-2 cells were transfected with GFP or GFP-Casp8p41 and stained with conformational specific antibodies that recognize activated BAK, but not inactive BAK. As expected,  $GFP-Casp8p41<sup>+</sup>$  expressing parental Jurkat cells (identified as  $GFP<sup>+</sup>$  by flow cytometry) contained more activated BAK than parental cells expressing GFP alone (MFI difference =  $52 \pm 16$ ,  $P = 0.029$ ; [Fig. 3D](#page-6-0) and [E\)](#page-6-0). In contrast,  $GFP-Casp8p41<sup>+</sup>$  Jurkat-BCL-2 cells did not exhibit increased BAK activation compared to GFP alone (MFI difference  $3.5 \pm 16$ ,  $P = 0.834$ ), indicating that BCL-2 prevents BAK activation in response to Casp8p41 expression. Accordingly, the overall number of activated BAK<sup>+</sup> cells after GFP-Casp8p41 transfection was lower in Jurkat-BCL-2 cells than in Jurkat cells ( $P = 0.045$ ). Thus, BCL-2 inhibits Casp8p41-induced BAK activation.

To assess whether BCL-2 overexpression also alters the outcome of acute HIV infection *in vitro*, Jurkat and Jurkat-BCL-2 cells were infected with HIVIIIb and analyzed for HIV p24 production. BCL-2 overexpression was accompanied by increased HIV p24 production in culture supernatants (2.5-log increase compared to infected parental cell cultures,  $P = 0.023$ , [Fig. 3F\)](#page-6-0). This suggests that BCL-2 reduces HIV-induced cell death and increases the number of HIV-infected cells, thereby allowing more cells to produce progeny virions (reflected by increased p24).

**BCL-2 directly binds Casp8p41.** We next investigated how BCL-2 antagonizes Casp8p41 killing. BCL-2 family members are classified into three groups based on their structure and function [\(45\)](#page-14-21): the proapoptotic proteins BAX and BAK, which permeabilize the outer mitochondrial membrane when activated; the antiapoptotic proteins, e.g., BCL-2, BCL- $X_I$ , and MCL-1, which bind and neutralize activated BAX and BAK, as well as other proteins that contain exposed BH3 domains, and the proapoptotic BH3 only proteins, e.g., BIM, BID, and BAD, which contain only a BH3 domain, serve as sensors of various cellular stresses and trigger apoptosis by (i) directly activating BAX and BAK or (ii) displacing BAX and BAK from antiapoptotic neutralizers.

Because the  $\alpha$ -helical BH3-like domain of Casp8p41 directly activates BAK by binding to the BAK BH3 binding groove [\(17\)](#page-13-15), we investigated whether Casp8p41 also binds antiapoptotic BCL-2, Bcl- $x_L$ , and Mcl-1 through their BH3 binding grooves [\(45,](#page-14-21) [46\)](#page-14-22). In initial experiments, lysates from 293T cells transfected with empty vector,HA-Casp8p41,orHA-Casp8p41V150E/L157K(Casp8p41- EK, a variant with decreased affinity for BAK due to disruption of critical binding interactions [\[17\]](#page-13-15)) were immunoprecipitated for BCL-2 and probed for associated hemagglutinin (HA)-tagged proteins. Immunoprecipitation of BCL-2 demonstrated interaction with HA Casp8p41 that was reduced by the V150E/L157K substitutions [\(Fig. 4A\)](#page-7-0).

SPR, a widely used technique to assess the affinity of proteinprotein interactions, was used for more detailed study of Casp8p41 interactions with BCL-2, BCL- $X_I$ , and MCL-1, the antiapoptotic BCL-2 family members expressed in T cells. These experiments indicated equilibrium dissociation constants  $(K_{d}s)$  of  $13 \pm 4$  nM for Casp8p41 binding to BCL-2,  $11 \pm 8$  nM for Casp8p41 binding to BCL-X<sub>L</sub>, and  $8 \pm 6$  nM for Casp8p41 bind-ing to MCL-1 [\(Fig. 4B](#page-7-0) and [C\)](#page-7-0), which are similar to the affinities of the same proteins for BH3-only proteins such as BIM and PUMA [\(45,](#page-14-21) [47\)](#page-14-23). We also confirmed that the peptide corresponding to the --helical BH3-like domain of Casp8p41 [\(17\)](#page-13-15) also bound BCL-2, providing evidence that the same Casp8p41 domain is responsible for binding both BAK and BCL-2 [\(Fig. 4I\)](#page-7-0). Using multiple lowmass molecular dynamic simulations [\(29,](#page-14-5) [30\)](#page-14-6), we constructed a three-dimensional model of Casp8p41 bound in the BH3 binding groove of BCL-2 [\(Fig. 4D\)](#page-7-0). This model predicts that Arg146 of BCL-2 is critical for binding electrostatically to Glu147 and Glu154 of Casp8p41. Mutation of BCL-2 Arg146 to Ala [\(21\)](#page-13-19) decreased the affinity of BCL-2 for the Casp8p41 activator peptide [\(Fig. 4I\)](#page-7-0) or full-length Casp8p41 250-fold [\(Fig. 4E](#page-7-0) and [F\)](#page-7-0), confirming that Casp8p41 is binding to the BH3 binding groove of BCL-2. The model also suggests that Val150 and Leu157 of Casp8p41 bind to two hydrophobic regions of the BCL-2 BH3 binding groove that are similar to the two hydrophobic holes of the BAK BH3 binding groove [\(48\)](#page-14-24). Consistent with this prediction, the affinity of Casp8p41 for BCL-2 was reduced  $>$ 300-fold by the introduction of the Val150Glu and Leu157Lys mutations into Casp8p41 [\(Fig. 4G](#page-7-0) and [H\)](#page-7-0). These observations, combined with the observations in [Fig. 3,](#page-6-0) suggest that BCL-2 inhibits cell death induced by Casp8p41 or HIV by binding and neutralizing the BH3-like domain of Casp8p41, thereby preventing Casp8p41 induced BAK activation.

**Venetoclax is a clinically relevant BCL-2 antagonist that impacts Casp8p41-mediated killing.** Because overexpressed antiapoptotic BCL-2 family members have been implicated widely in the pathogenesis of cancer [\(49\)](#page-14-25), there has been substantial interest in developing therapeutic BCL-2 antagonists. One such molecule, venetoclax (formerly known as ABT-199), potently and selectively inhibits BCL-2 binding to BH3 domains [\(50\)](#page-14-26), is well tolerated in early phase clinical trials [\(51\)](#page-14-27), and achieves peak plasma levels of up to 5  $\mu$ M [\(52\)](#page-14-28). Accordingly, we assessed the impact of venetoclax on T cells expressing Casp8p41 or reactivating HIV.

In initial experiments, treatment with increasing doses of venetoclax (up to 1  $\mu$ M) did not result in a statistically significant increase in apoptosis of either parental Jurkat cells transfected with no DNA or Jurkat cells expressing eGFP compared to diluent control [\(Fig. 5A\)](#page-8-0). In contrast, venetoclax  $(1 \mu M)$  significantly increased cell death in Jurkat cells expressing eGFP-Casp8p41 (mean difference  $25.7\% \pm 10.3\%, P = 0.016$ , [Fig. 5A\)](#page-8-0).

This selective enhancement of killing induced by Casp8p41 but not generalized toxicity was further evaluated by treating primary uninfected CD4 T cells with venetoclax. Importantly, uninfected CD4 T cells ( $n = 7$  patients) treated with venetoclax (1  $\mu$ M) for up to 5 days did not exhibit reduced viability compared to control treated cells (mean difference  $-7.2\% \pm 4.8\%$  at day 5,  $P = 0.16$ , [Fig. 5B\)](#page-8-0). Furthermore, uninfected CD4 T cells treated with venetoclax did not exhibit altered mitogen-induced proliferation as measured by CFSE (carboxyfluorescein succinimidyl ester) dilution (mean difference in the percentage of CFSE<sup>low</sup> cells at day  $3 =$  $-1.1\% \pm 7.9\%, P = 0.90$ , [Fig. 5C\)](#page-8-0). The lack of toxicity of venetoclax toward CD4 T cells from HIV uninfected donors was also seen using cells from HIV-infected, but ART-suppressed, patients; venetoclax  $(1 \mu M)$  for 24 h did not adversely affect viability of bulk



<span id="page-6-0"></span>**FIG 3** BCL-2 overexpression decreases cell death induced by Casp8p41 and increases viral replication. (A) Parental Jurkat T cells or Jurkat T cells stably overexpressing BCL-2 (Jurkat-BCL-2) were transfected with GFP-Casp8p41 or GFP alone and assessed for cell death via TUNEL. (B) Mean (standard deviation [SD]) results from three independent experiments as in panel A. (C) The relative GFP-Casp8p41 expression in GFP-positive cells was compared between Jurkat and Jurkat-BCL-2 cells. (D and E) Parental Jurkat cells or Jurkat-BCL-2 cells were transfected with GFP-Casp8p41 or GFP alone and assessed for active BAK expression in GFP-positive cells, using a conformational specific antibody which detects only active BAK. Depicted are the individual mean fluorescence intensities (MFI) of active BAK (D) and representative dot plots (E) from two independent experiments. (F) Parental Jurkat cells or Jurkat-BCL-2 cells were infected with HIVIIIb or mock infected and then assessed for HIV p24 production in culture supernatant at day 9 postinfection. Depicted are the mean (SD) values of three independent experiments.



<span id="page-7-0"></span>**FIG 4** Casp8p41 binds to the BH3 binding groove of antiapoptotic BCL-2 family members. (A) 293T cells transfected with HA-empty vector, HA-Casp8p41, or HA-Casp8p41 EK were immunoprecipitated with HA-matrix or agarose-conjugated antibody (IgG or BCL-2) and immunoblotted as indicated. (B) Binding of 12.5 to 200 nM GST-BCL-2 to immobilized GST-Casp8p41, as assessed by SPR. (C) *K<sub>d</sub>s* for GST-BCL-2, GST-BCL-X<sub>1</sub>, and GST-MCL-1 binding to GST-Casp8p41. (D) The multiple low-mass molecular dynamics simulation-refined model of the BH3-like domain of Casp8p41 (green) bound at the human BCL-2 BH3-binding groove. (E) Binding of 800 nM GST-BCL-2 or GST-BCL-2 R146A to immobilized GST-Casp8p41. (F)  $K_d$ s determined as in panel E. (G) Binding of 200 nM GST-Casp8p41 or GST-Casp8p41 Val150Glu/Leu157Glu to immobilized GST-BCL-2. (H)  $K_s$ s determined as in panel G. Bars in panels C, F, and H: means the SD from three independent experiments. (I) Binding of 800 nM GST-BCL-2 or GST-BCL-2 Arg146Ala to immobilized Casp8p41 activator BH3-like peptide. GST, glutathione *S*-transferase.

primary CD4 T cells (mean difference  $= -4.7\% \pm 1.6\%$  viable, [Fig. 5D\)](#page-8-0). These favorable safety findings *in vitro* have also been seen *in vivo*: in 40 non-Hodgkin lymphoma patients receiving venetoclax monotherapy, only two cases of dose-limiting neutropenia were observed [\(53\)](#page-14-29). These results raise the possibility that venetoclax might potentially be used safely in HIV-infected patients.

**Simultaneous detection of markers of HIV infection and apoptosis.** If BCL-2 neutralizes Casp8p41, then antagonizing BCL-2 should augment Casp8p41-induced killing, including when Casp8p41 is generated by latently HIV-infected cells that reactivate the virus. Before testing this possibility, we first assessed whether select markers (protein or nucleic acid based) remain detectable when the cell of interest is undergoing death. Jurkat T cells stably expressing eGFP were treated with vehicle control or the cytotoxic quinolone alkaloid CPT to induce apoptosis and then examined for expression of eGFP (as a prototypic cytoplasmic protein) and actin mRNA (as a prototypic mRNA) over time. Treatment of GFP<sup>+</sup> Jurkat cells with CPT decreased cell viability as measured by cellular ATP content [\(Fig. 6A\)](#page-9-0) and light scatter



<span id="page-8-0"></span>**FIG 5** Venetoclax increases Casp8p41-induced apoptosis but spares cells that do not express Casp8p41. (A) Jurkat T cells pretreated with increasing concentrations of venetoclax or diluent dimethyl sulfoxide (DMSO) were transfected with EGFP-Casp8p41, vector control, or no DNA and assayed for cell death by TUNEL. Data are representative of three independent experiments. (B) Primary CD4 T cells from seven uninfected donors were treated with venetoclax (1 M) or diluent and assayed for cell viability by flow cytometry over 5 days. (C) Primary CD4 T cells from four uninfected donors were stimulated with phytohemagglutinin (2  $\mu$ g/ml) in the presence of venetoclax (1  $\mu$ M) or diluent and assayed for proliferation by CFSE staining. (D) Primary CD4 T cells from seven ART-suppressed HIV-positive patients were treated with venetoclax (1  $\mu$ M) or DMSO control for 24 h and assessed for viability by trypan blue exclusion.

[\(Fig. 6B\)](#page-9-0) compared to diluent-treated cells. Coincident with the loss in viability was a decrease in detectable eGFP (17% eGFP<sup>+</sup> with CPT versus 91% eGFP<sup>+</sup> with diluent, [Fig. 6C\)](#page-9-0) and detectable actin message (34% reduction compared to diluent control) as assessed by quantitative reverse transcription-PCR (qRT-PCR) [\(Fig. 6D\)](#page-9-0). As the proportion of active caspase- $3^+$  cells [\(Fig. 6E\)](#page-9-0), TUNEL<sup>+</sup> cells [\(Fig. 6F\)](#page-9-0), or membrane-permeable cells [\(Fig. 6G\)](#page-9-0) increased over time, there was a reciprocal decrease in detectable eGFP [\(Fig. 6C\)](#page-9-0) despite the fact that virtually all cells were eGFP positive at baseline. For example, by 48 h 97% of CPT-treated cells were eGFP negative, despite having been uniformly eGFP positive.

Accordingly, estimating the proportion of dead or dying cells that express a degradable marker of interest (e.g., HIV p24 or HIV RNA/DNA) will substantially underestimate the proportion of cells that expressed the marker before encountering the death stimulus. Therefore, the ability to detect protein- or nucleic acid-based phenotypic markers is impaired as cell death proceeds, rendering this approach insensitive and prone to incorrect phenotyping of the dying cell. Accordingly, to approach the question of whether HIV reactivation in concert with BCL-2 antagonism reduces cell-associated HIV DNA, we compared HIV DNA content in treated versus control samples



<span id="page-9-0"></span>**FIG 6** Apoptotic cell death is associated with loss of protein and mRNA markers. Jurkat T cells stably expressing eGFP were treated with DMSO or CPT and assessed for cell viability by ATP content (A) and light scatter (B) over time. (C) Expression of eGFP was measured by flow cytometry. (D) Actin mRNA expression was assessed by qRT-PCR and expressed as mean  $C_T$  values normalized to baseline DMSO control. (E to G) Cell death was assessed by active-caspase 3 expression (E), TUNEL (F), and Live/Dead viability stain (G) and compared between eGFP-positive and eGFP-negative cells. Values in panels A to D represent the mean (range) of two independent experiments. The results the panels E to G are representative of two independent experiments.



<span id="page-10-0"></span>**FIG 7** Selective BCL-2 inhibition reduces cell associated DNA during HIV reactivation *ex vivo*. (A) Primary CD4 T cells isolated by negative selection from cryopreserved PBMCs of long-term virologically suppressed HIV-infected patients (*n*  4) were treated for 16 h with venetoclax or DMSO vehicle in the presence of tenofovir and raltegravir to prevent spreading infection and then exposed to plate-bound  $\alpha$ CD3 and soluble  $\alpha$ CD28 antibody to induce HIV reactivation. After 72 h, cell-associated HIV DNA was measured. (B) Freshly obtained peripheral CD4 T cells from an additional 16 suppressed HIV-infected patients were treated with venetoclax (1 µM) or DMSO in the presence of tenofovir/raltegravir, followed by  $\alpha$ CD3/ $\alpha$ CD28 for 72 h, and cell associated HIV DNA was measured. Depicted is the ratio of HIV DNA in venetoclax-treated versus diluent-treated samples for each patient, with measurable HIV DNA in the diluent sample (*n* 11). (C) Primary CD4 T cells from four HIV-infected subjects were treated with venetoclax or diluent and induced to reactivate HIV using CD3/CD28, and cell death was measured in HIV P24-positive or -negative cells using activated caspase 3 staining. (D) HIV RNA was measured in cell culture supernatant from 6 of the 11 patient experiments from panel B.

rather than simultaneously assessing markers of HIV and cell death in the same cells.

**Effect of simultaneous HIV reactivation and venetoclax.** It has previously been established that when cells from HIV-infected patients on suppressive ART are treated with latency reversal agents (LRA), reactivation alone does not alter the amount of cell-associated HIV DNA, nor does it induce markers of cell death in the HIV-containing reactivating cells [\(18\)](#page-13-16). Moreover, when different LRA have been tested in patients, despite successful reactivation, no studies have achieved a decrease in HIV DNA levels  $(1-3, 54)$  $(1-3, 54)$  $(1-3, 54)$  $(1-3, 54)$  $(1-3, 54)$ .

In this context, we assessed whether venetoclax would cause the death of the reactivating cells and decrease the number of HIV DNA-containing cells. For these experiments, we reactivated HIV in primary CD4 T cells from combination antiretroviral therapy (cART)-suppressed patients using  $\alpha$ CD3/ -CD28, which has previously been observed to induce maximal HIV reactivation in PBLs from HIV-infected cARTsuppressed patients [\(55\)](#page-14-31), which contain some latently infected CD4 T cells. These patient-derived primary CD4 T cells were treated with  $\alpha$ CD3/ $\alpha$ CD28, along with tenofovir and raltegravir, to prevent spreading infection and in the presence of venetoclax or diluent to assess the impact of BCL-2 inhibition. After 72 h, the cells were harvested and assayed for total cell-associated HIV DNA [\(56\)](#page-14-32). In experiments using reactivated CD4 T cells from cryopreserved PBMCs, venetoclax caused a dose-dependent reduction in cell-associated HIV DNA, with a mean decrease of 64.4% at 1  $\mu$ M venetoclax compared to control [\(Fig. 7A,](#page-10-0)  $P \leq$ 



<span id="page-11-0"></span>**FIG 8** BCL-2 antagonism as a model of "prime, shock, and kill" for decreasing the HIV reservoir. (A) Reactivation of latent HIV does not lead to death of the reactivated cell due to inhibition of Casp8p41 by direct binding to BCL-2. Therefore, the reactivated cell survives and produces progeny virus. (B) Antagonism of BCL-2 activity (as with venetoclax treatment) allows Casp8p41 to bind proapoptotic BAK, leading to apoptotic death of the reactivated cell and to decreased total HIV DNA.

0.05). To further validate this important result, freshly isolated CD4 T cells from an additional 16 HIV-infected patients were analyzed. Of these, 11 (69%) had measurable cell-associated HIV DNA levels in control samples, and venetoclax caused a reduction in HIV DNA in 8 of the 11 (73%) (median residual DNA  $= 64.1\%$ of control [interquartile range =  $48.6$  to  $108.9\%$ ],  $P = 0.024$ , [Fig. 7B\)](#page-10-0).

In [Fig. 6](#page-9-0) we demonstrated that protein and nucleic acid markers can be lost during apoptosis, causing underestimation of the number of cells with those markers that are dying. However, it is still possible to detect  $p24^+$  and  $p24^-$  cells in a population that contains some dying cells. Of these, the  $p24<sup>+</sup>$  cells will be HIV infected, but  $p24$ <sup>-</sup> cells might be infected (with degraded p24) or uninfected. With this in mind, active caspase 3 (a marker of apoptotic cell death) was assessed in  $p24^+$  (HIV infected) and  $p24^$ cells that were primed with venetoclax and reactivated with  $\alpha$ CD3/ $\alpha$ CD28. Median cell death, as measured by active caspase 3 staining in the p24<sup>-</sup> cells, was 2.5% in the control treated  $\alpha$ CD3/ -CD28 sample and was not changed significantly by venetoclax  $(10.3\%, P = 0.189, Fig. 7C)$  $(10.3\%, P = 0.189, Fig. 7C)$ . In contrast, venetoclax increased the proportion of HIV p24<sup>+</sup> CD4<sup>+</sup> cells that are active caspase  $3^+$ compared to control treated cells (from 23% active caspase  $3^+$  in control sample to 47% active caspase  $3^+$  in the venetoclax sample,  $P = 0.026$ , [Fig. 7C\)](#page-10-0). Of note, the frequency of p24-positive cells in reactivated CD4 T cells was similar to other previous reports [\(57](#page-15-0)[–](#page-15-1)[59\)](#page-15-2). HIV RNA in the cell culture supernatant was not altered by venetoclax treatment compared to control [\(Fig. 7D\)](#page-10-0), indicating that venetoclax does not impair the ability of cells to reactivate HIV.

In total, therefore, we demonstrate that venetoclax-mediated inhibition of BCL-2 in all cells has minimal effect on cell viability in uninfected cells [\(Fig. 5\)](#page-8-0) yet promotes the preferential killing of  $p24<sup>+</sup>$  (HIV replicating) cells. Moreover, we have successfully identified a clinically relevant treatment that converts HIV reactivation from latency without killing of the reactivating cell [\(Fig.](#page-11-0) [8A\)](#page-11-0) into an event that kills the reactivating cell by priming all cells toward an apoptosis-sensitive phenotype and then allows HIV-

infected cells, which replicate virus and generate Casp8p41, to undergo apoptosis when BCL-2 is inhibited [\(Fig. 8B\)](#page-11-0).

### **DISCUSSION**

Viral infection is often detected by intrinsic host defense mechanisms that activate cell suicide programs in order to limit the production of progeny virions. In response, viruses have evolved mechanisms to inhibit cell death pathways. Prototypic examples include the human papillomavirus E6 and E7 proteins [\(60\)](#page-15-3), baculovirus inhibitor of apoptosis (IAP) and p35 proteins [\(61\)](#page-15-4), and adenovirus E1B-55K  $(62)$ . The role of these viral death inhibitors in viral persistence is well established. In cervical epithelial cells with integrated HPV, for example, chromosomal disruption of either E6 or E7 with CRISPR/Cas9 results in the activation of p53 or retinoblastoma (Rb), respectively, thereby activating cell death cascades [\(60\)](#page-15-3) and disrupting the persistence of the virally infected cell. In baculovirus-infected cells, p35 and IAP inhibit apoptosis, and baculoviruses deficient in either of these proteins will induce premature death after infection, resulting in fewer progeny viruses [\(63\)](#page-15-6). Similarly, deletion of antiapoptotic EBV BALF1, BHRF1, or both results in premature cell death during EBV infection of primary B cells [\(64\)](#page-15-7), and deletion of the adenoviral *BCL2* homolog E1B-19K results in premature cell death and loss of persistence of adenoviral infection [\(65\)](#page-15-8). Thus, virally encoded apoptosis inhibitors are often necessary for infected cells to persist and enable the persisting cells to produce progeny viruses.

Although HIV does not encode an apoptosis inhibitory protein *per se*, HIV-infected cells are nonetheless resistant to apoptosis (reviewed in references [66](#page-15-9) and [67\)](#page-15-10). This apoptosis resistance reflects the ability of various HIV-encoded proteins to upregulate endogenous apoptosis inhibitory proteins and downregulate proapoptotic proteins. For example, Tat upregulates antiapoptotic BCL-2, c-FLIP, XIAP, and C-IAP2 [\(68\)](#page-15-11), whereas Nef causes phosphorylation and inactivation of proapoptotic BAD [\(69\)](#page-15-12), thereby conferring apoptosis resistance [\(70\)](#page-15-13). This shift in apoptotic balance affords an HIV-infected cell the ability to survive and produce more virions. Experimentally, addition of the pan-caspase

inhibitor z-VAD-fmk to primary T cells infected with HIV reduces cell death and augments HIV production because fewer HIV-infected cells die [\(71\)](#page-15-14). Similarly, when primary CD4 T cells are transduced and selected for BCL-2 expression and then infected with GFP/HIV, some cells revert to a quiescent  $(GFP<sup>low</sup>)$ state, which recapitulates many features of latency, including lack of basal HIV transcription and induction of GFP/HIV by latencyreversing agents [\(72\)](#page-15-15). Thus, there is an established association between apoptosis resistance, BCL-2 expression, and latency during *in vitro* HIV infection.

The present work provides a mechanistic basis for such BCL-2 effects. HIV-infected T cell death occurs through multiple mechanisms, including the pathway involving HIV protease-mediated cleavage of the cellular protein procaspase 8 to inactivate the enzyme and unmask a novel  $\alpha$ -helical BH3-like domain that interacts with the BH3 binding groove in BAK, thereby inducing BAK oligomerization and MOMP [\(17\)](#page-13-15). Here, we show for the first time that the BH3-like domain of Casp8p41 also interacts with antiapoptotic BCL-2 family members.

There has previously been considerable debate over whether CD4 T cell loss during HIV infection is due to killing of HIVinfected cells, loss of uninfected (bystander) cells, or both (reviewed in reference [5\)](#page-13-3). The approach used to discriminate infected cells from uninfected cells has been to assess intracellular HIV p24, HIV-specific RNA/DNA sequences, or GFP expression encoded by reporter viruses, in conjunction with phenotypic markers cell death (e.g., TUNEL staining or activated caspase 3). In the years since many of these experiments were first performed, much has been learned about the processes by which cells die and the molecular mechanisms activated within cells to result in the phenotypic changes of cell death [\(73,](#page-15-16) [74\)](#page-15-17). For instance, after mitochondrial outer membrane permeabilization, which occurs in CD4 T cells acutely infected with HIV [\(75\)](#page-15-18), effector caspases that cleave numerous host proteins [\(76,](#page-15-19) [77\)](#page-15-20) and effector nucleases that degrade host and viral nucleic acids [\(78](#page-15-21)[–](#page-15-22)[80\)](#page-15-23) are activated. Indeed, assays used to detect cell death depend upon these processes (e.g., the presence of active caspase 3 indicates that proteases capable of degrading cellular proteins are active, whereas positive TUNEL staining indicates that nucleases degrading host and viral nucleic acids are active). These processes might explain why a seminal paper evaluating apoptosis and HIV/SIV infection in lymph nodes  $(81)$  using  $>$ 2-kb riboprobes in *in situ* hybridization to identify infected cells failed to identify infected cells that were also TUNEL positive. Interpreted in the context of current understanding of apoptotic processes, it is possible that when TUNEL (or active caspase 3 staining) signals are positive, RNA/DNA and protein have been degraded, rendering riboprobe ISH, PCR detection (or protein detection) assays insensitive for the detection of infected cells.

Previously, there has been no clinically relevant pharmacologic intervention identified that can convert latency reactivation alone (which does not decrease cell-associated HIV DNA levels) to an intervention that reduces cell-associated HIV DNA in the majority of cases. Data presented in here indicate that venetoclax, which has been granted breakthrough therapy designation by the U.S. Food and Drug Administration [\(82\)](#page-15-25) in recognition of its favorable safety profile and its promising efficacy for patients with hematologic malignancies, is such an agent. It remains to be seen whether unexpected toxicities occur with the use of venetoclax in an HIVinfected population and whether venetoclax would be acceptable

to HIV-infected patients. However, the willingness of HIV patients to participate in other cure focused clinical trials involving gene therapy (NCT01769911 and NCT01787994) or chimeric antigen receptors [\(83\)](#page-15-26), among other unproven technologies, suggest that at least a subset of patients might be willing to participate in trials involving venetoclax, which has shown acceptable toxicity profiles in much sicker patient populations, including those with relapsed malignancies  $(84)$ . When considering the possible use of venetoclax for HIV, this agent would need be applied in the setting of maximally suppressive ART to prevent reseeding of the reservoir, as has been observed for example with IL-7 therapy [\(85\)](#page-15-28). Also, in light of observations that HIV reactivating stimuli do not reactivate all replication-competent viruses [\(86\)](#page-15-29), therapy over a prolonged period, with multiple rounds of reactivation stimuli, might need to be evaluated.

Considering the potential *in vivo* evaluation of venetoclax for HIV, mouse models of HIV infection appear to be inappropriate for long-term study of latency interventions because of the frequent occurrence of graft-versus-host disease (GVHD) [\(87\)](#page-15-30). It also remains unknown whether SIV protease generates Casp8p41 in macaques and whether simian Casp8p41, if present, interacts with BAK and BCL-2 similarly to the human counterparts. Thus, it might be of interest to study venetoclax in HIV-infected patients with long-term-suppressed HIV, alone or in conjunction with additional latency-reversing agents, such as the recently described combination of panobinostat and bryostatin [\(88\)](#page-15-31).

For unknown reasons, not all HIV patient samples treated with venetoclax and  $\alpha$ CD3/ $\alpha$ CD28 decreased HIV DNA [\(Fig. 7B\)](#page-10-0). One intriguing possibility is that BCL-2 single-nucleotide variants might result in resistance to the venetoclax. Indeed, *BCL-2* somatic mutations that result in enhanced antiapoptotic activity have been observed in follicular lymphoma [\(89\)](#page-15-32). Importantly, the existence of these mutations correlates with increased activationinduced cytidine deaminase (AID) expression, suggesting that AID-induced somatic hypermutation may have generated the *BCL2* mutations. The well-known ability of HIV infection to induce the cytidine deaminase APOBEC3G [\(90\)](#page-16-0), resulting in increased somatic hypermutation during HIV infection [\(91\)](#page-16-1), suggests that similar *BCL2* mutations might arise during HIV infection. Alternatively, the inability of venetoclax and  $\alpha$ CD3/ -CD28 to diminish HIV DNA levels in CD4 T cells from a small subset of patient cells might reflect earlier observations that some mutant HIV protease isolates have an impaired ability to cleave procaspase 8 to generate Casp8p41 [\(92\)](#page-16-2). Both of these possibilities are worthy of further study.

Observations in the Berlin patient [\(93\)](#page-16-3) and at least two other HIV patients who received allogeneic hematopoietic stem cell transplantation (HSCT) indicate that HSCT alone can induce significant but at times incomplete reductions in HIV reservoir size [\(94\)](#page-16-4), suggesting that inducing apoptosis in the majority of lymphoid cells by chemotherapy can reduce HIV burden [\(95\)](#page-16-5). The present study demonstrates that more targeted induction of apoptosis, achieved by combining the intrinsic proapoptotic effect of the HIV-specific death stimulus Casp8p41 (which is only produced in HIV-infected cells) with antagonism of antiapoptotic signals using venetoclax, is effective at reducing HIV burden *ex vivo*. These observations provide proof of concept that apoptosis sensitization (BCL-2 inhibition), along with HIV reactivation, reduces HIV burden, an observation consistent with our proposed model of "prime, shock, and kill" [\(96\)](#page-16-6). Further evaluation of

apoptosis sensitization strategies in concert with HIV reactivation strategies therefore appear to be warranted.

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N.W.C., A.M.S., S.H.K., and A.D.B. conceived of the project and designed the experiments. N.W.C., A.M.S., H.D., S.N., G.D.B., C.M.D.A.C., R.S., and D.O. performed experiments and analyzed data. Y.-P.P. designed, conducted, and analyzed the computational study. R.S. and S.A.R. recruited human subject participants. N.W.C., A.M.S., and A.D.B. wrote the initial draft of the manuscript. All authors contributed to editing and rewriting the manuscript and agree with the content in the final version. We declare no conflicts of interest.

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